

Solving Shape-Analysis Problems in Languages with Destructive Updating

#SAV Presentation

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Motivation

Analyse the shape of heap allocated data structures.

Verify that a program preserves shape properties such as:

- *list-ness*
- *circular list-ness*
- *tree-ness*

This analysis algorithm can be used to find [aliases](#), and therefore to optimise code (no alias means it can be more easily parallelised).

List Reversal – Normalisation

```
// x points to an unshared list
y := nil
while x  $\neq$  nil do

    t := y

    y := x

    x := x.cdr

    y.cdr := t
od

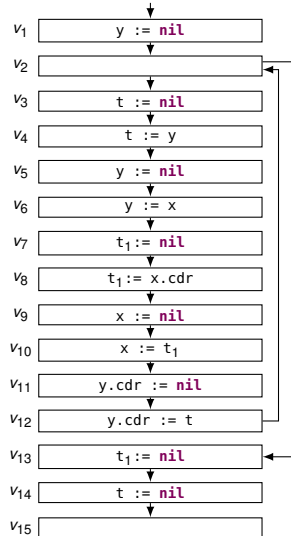
t := nil
```

List Reversal – Normalisation

```
// x points to an unshared list
y := nil
while x ≠ nil do
  t := nil
  t := y
  y := nil
  y := x
  t1 := nil
  t1 := x.cdr
  x := nil
  x := t1
  y.cdr := nil
  y.cdr := t
od
t1 := nil
t := nil
```

List Reversal – Normalisation

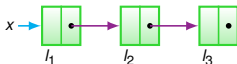
```
// x points to an unshared list
y := nil
while x ≠ nil do
  t := nil
  t := y
  y := nil
  y := x
  t1 := nil
  t1 := x.cdr
  x := nil
  x := t1
  y.cdr := nil
  y.cdr := t
od
t1 := nil
t := nil
```



Shape-Graph $SG = \langle E_v, E_s \rangle$

What is a **shape-graph**?

- directed graph with nodes and edges
- nodes are called **shape-nodes**
 - runtime locations, i.e. heap memory, or *cons-cells*
 - implicitly defined by edges and *shape_nodes(SG)*
- edges are divided into 2 categories:
 - E_v : **variable-edges** of the form $[x, n]$
 - E_s : **selector-edges** of the form $\langle s, sel, t \rangle$



DSG: Deterministic Shape-Graph

A shape-graph is **deterministic** if

1. no variable points to more than one node
2. no node has a selector pointing to more than one node

In other words:

It is deterministic when edges are behaving like **pointers**.

gc: Garbage Collection

The *gc* function removes runtime location that are not reachable from any program variable:

$gc : SG \rightarrow SG$

$gc(\langle E_v, E_s \rangle) \stackrel{\text{def}}{=} \langle E_v, E'_s \rangle$ where $E'_s \subseteq E_s$ and $\langle s, sel, t \rangle \in E'_s$ if and only if there exists $[x, r] \in E_v$ such that there is a path of selector-edges in E_s from r to s .



DSG Transformers

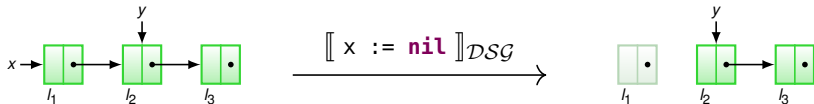
A program statement is represented as a transform function on *DSG*, denoted $\llbracket \text{st} \rrbracket_{DSG}$.

Thanks to program normalisation, there are only 6 statements and they are simple:

1. $\llbracket x := \text{nil} \rrbracket_{DSG}$
2. $\llbracket x.sel := \text{nil} \rrbracket_{DSG}$
3. $\llbracket x := \text{new} \rrbracket_{DSG}$
4. $\llbracket x := y \rrbracket_{DSG}$
5. $\llbracket x := y.sel \rrbracket_{DSG}$
6. $\llbracket x.sel := y \rrbracket_{DSG}$

DSG Transformers: 1

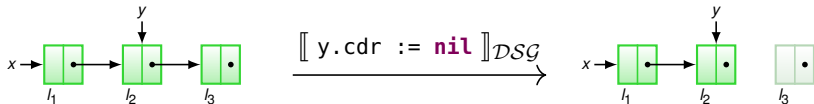
$$\llbracket x := \text{nil} \rrbracket_{DSG} (\langle E_V, E_S \rangle) \\ \stackrel{\text{def}}{=} \langle E_V - \{[x, *]\}, E_S \rangle$$



NB: *gc* can do some cleaning.

DSG Transformers: 2

$$\llbracket x.sel := \text{nil} \rrbracket_{DSG} (\langle E_v, E_s \rangle) \\ \stackrel{\text{def}}{=} \langle E_v, E_s - \{ \langle s, sel, * \rangle \mid [x, s] \in E_v \} \rangle$$



NB: *gc* can do some cleaning.

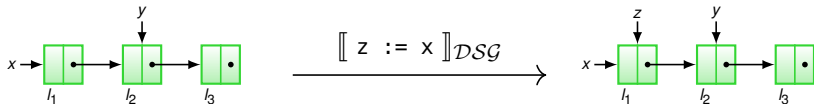
DSG Transformers: 3

$$\llbracket x := \text{new} \rrbracket_{DSG} (\langle E_V, E_S \rangle) \\ \stackrel{\text{def}}{=} \langle E_V \cup \{[x, n_{\text{new}}]\}, E_S \rangle$$



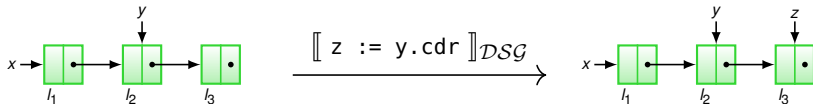
DSG Transformers: 4

$$\llbracket x := y \rrbracket_{\mathcal{DSG}} (\langle E_v, E_s \rangle) \\ \stackrel{\text{def}}{=} \langle E_v \cup \{[x, n] \mid [y, n] \in E_v\}, E_s \rangle$$



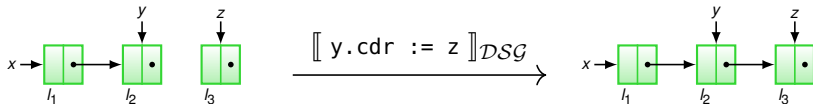
DSG Transformers: 5

$$\llbracket x := y.sel \rrbracket_{DSG} (\langle E_v, E_s \rangle) \\ \stackrel{\text{def}}{=} \langle E_v \cup \{[x, t] \mid [y, s] \in E_v \wedge \langle s, sel, t \rangle \in E_s\}, E_s \rangle$$



DSG Transformers: 6

$$\begin{aligned} \llbracket x.sel := y \rrbracket_{DSG} (\langle E_v, E_s \rangle) \\ \stackrel{\text{def}}{=} \langle E_v, E_s \cup \{ \langle s, sel, t \rangle \mid [x, s] \in E_v \wedge [y, t] \in E_v \} \rangle \end{aligned}$$



Collecting Semantics

Each DSG models one runtime behaviour (of many).

We need a tool to perform analysis on **all** possible execution paths, not just one.

Hence the collecting function $cv : V \rightarrow 2^{DSG}$

$$cv(v) \stackrel{\text{def}}{=} \{ \llbracket st(v_k) \rrbracket_{DSG} (\cdots (\llbracket st(v_1) \rrbracket_{DSG} (\langle \emptyset, \emptyset \rangle))) \mid [v_1, \dots, v_k] \in \text{pathsTo}(v) \}$$

V is the vertex set of the *regular* control flow graph $G = \langle V, A \rangle$.

Abstraction, Abstractly

DSG

Abstraction, Abstractly

 $\subseteq \cup$ $\{DSG\}$

Abstraction, Abstractly

 $\subseteq \cup$ $\sqsubseteq \sqcup$

$$\{DSG\} \xrightarrow{\alpha} SSG$$

Abstraction, Abstractly

 $\subseteq \cup$ $\sqsubseteq \sqcup$

$$\{DSG\} \xrightarrow{\alpha} SSG$$

Static shape graphs: $SSG = \langle SG, is_shared \rangle$

- SG is a shape graph
- $is_shared: shape_nodes(SG) \rightarrow \{T, F\}$
is a predicate identifying nodes that were shared in the DSG

Abstraction – Helpers

- Grouping nodes by variable labels

$$\alpha_s[DSG]: \text{shape_nodes}(DSG) \rightarrow \{n_X \mid X \subseteq PVar\}$$

$$\alpha_s[DSG](r) \stackrel{\text{def}}{=} n_{\{X \in PVar \mid [X, r] \in E_v\}}$$

- Initialisation of the sharing predicate

$$\text{induced_is_shared}[DSG]: \text{shape_nodes}(DSG) \rightarrow \{T, F\}$$

$$\text{induced_is_shared}[DSG](t) \stackrel{\text{def}}{=} |\{\langle *, *, t \rangle \in E_s\}| \leq 2$$

- Projection (a.k.a. quotienting) of SGs with respect to f

$$\langle SG, p \rangle \downarrow f$$

Abstraction

- Projection/quotient of a single DSG

$$\hat{\alpha}: \mathcal{DSG} \rightarrow \mathcal{SSG}$$

$$\hat{\alpha}(DSG) \stackrel{\text{def}}{=} \langle DSG', induced_is_shared[DSG'] \rangle \downarrow \alpha_s[DSG']$$

where $DSG' = gc(DSG)$

- Abstraction function

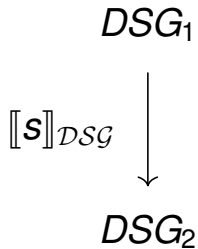
$$\alpha: 2^{\mathcal{DSG}} \rightarrow \mathcal{SSG}$$

$$\alpha(S) \stackrel{\text{def}}{=} \bigsqcup_{DSG \in S} \hat{\alpha}(DSG)$$

Abstract Interpretation, Abstractly

DSG_1

Abstract Interpretation, Abstractly

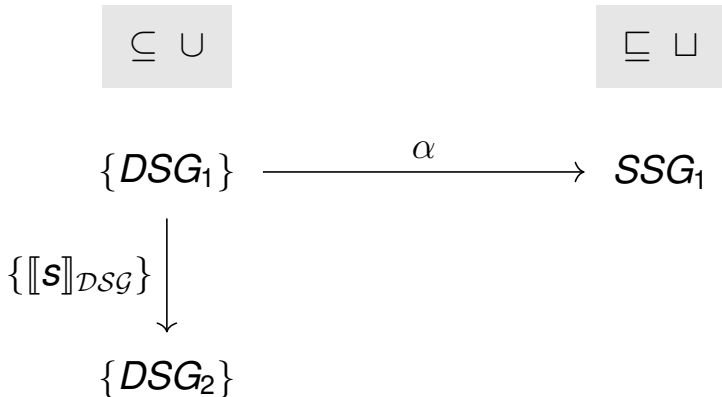


Abstract Interpretation, Abstractly

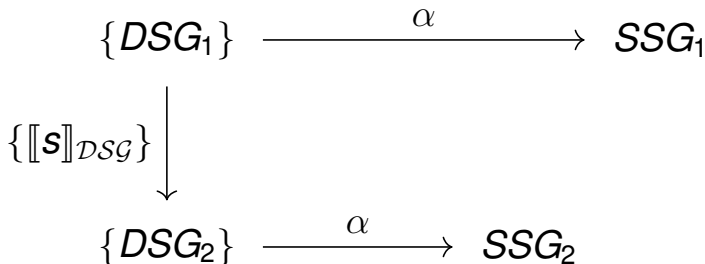
$$\subseteq \cup$$

$$\begin{array}{c} \{DSG_1\} \\ \downarrow \\ \{[\![s]\!]_{DSG}\} \\ \downarrow \\ \{DSG_2\} \end{array}$$

Abstract Interpretation, Abstractly



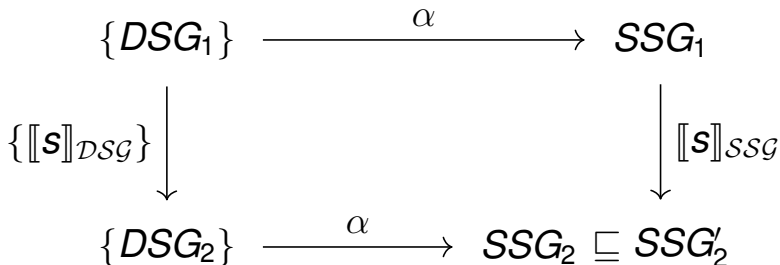
Abstract Interpretation, Abstractly

 $\subseteq \cup$ $\sqsubseteq \sqcup$ 

Abstract Interpretation, Abstractly

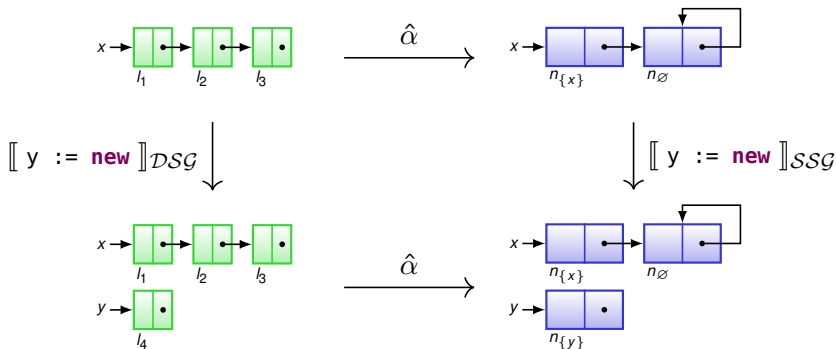
$$\subseteq \cup$$

$$\sqsubseteq \sqcup$$



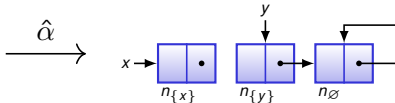
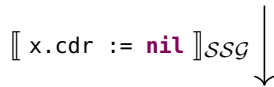
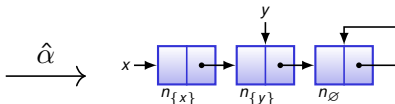
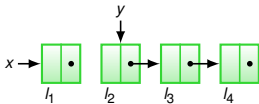
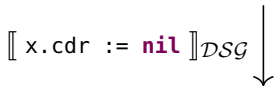
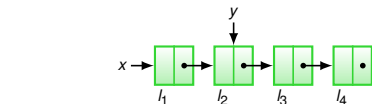
Abstract Interpretation – Examples

Allocating a new node.



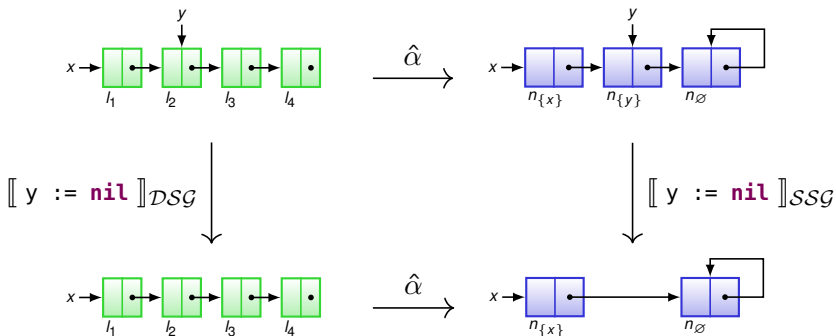
Abstract Interpretation – Examples (cont.)

Assigning **nil** to a field.



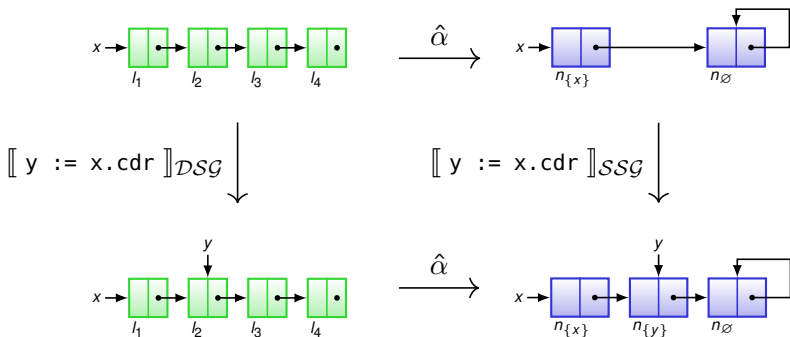
Abstract Interpretation – Examples (cont.)

Assigning **nil** to a variable.



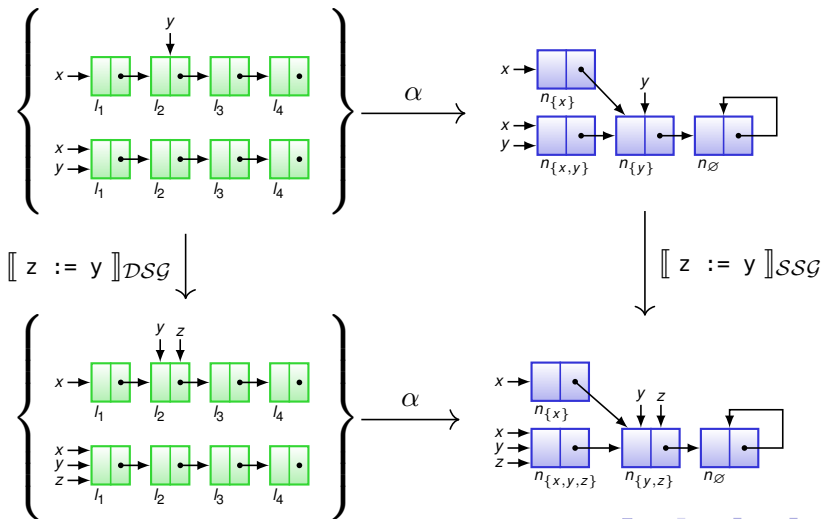
Abstract Interpretation – Examples (cont.)

Materialising a node n_y from the summary node n_\emptyset .



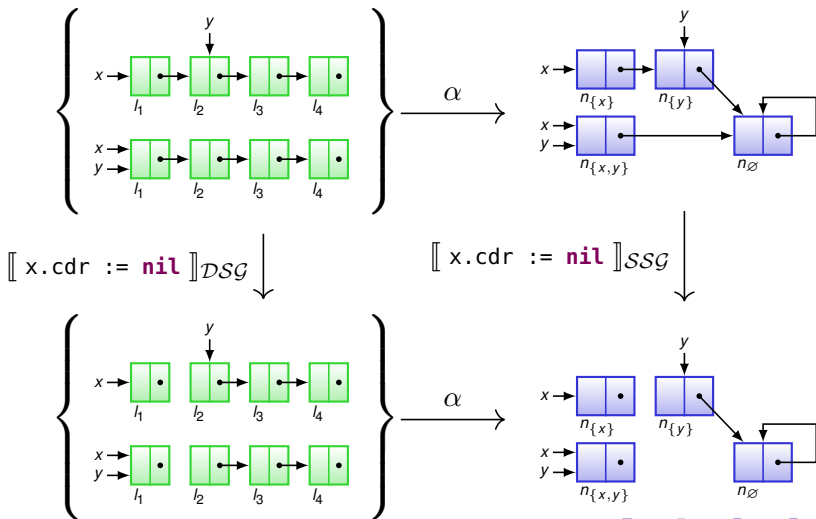
Abstract Interpretation – Examples (cont.)

Variable assignment.



Abstract Interpretation – Examples (cont.)

Strong nullification.



List Insertion – Normalisation

```
// x is an unshared list  
// e the element to insert
```

```
y := x  
while y.cdr  $\neq$  nil  $\wedge$  ... do
```

```
    z := y.cdr
```

```
    y := z  
od
```

```
t := y.cdr
```

```
e.cdr := t
```

```
y.cdr := e
```

```
t := nil
```

```
z := nil
```

```
e := nil
```

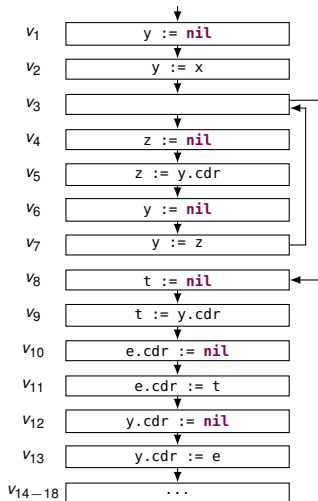
```
y := nil
```

List Insertion – Normalisation

```
// x is an unshared list
// e the element to insert
y := nil
y := x
while y.cdr  $\neq$  nil  $\wedge$  ... do
  z := nil
  z := y.cdr
  y := nil
  y := z
od
t := nil
t := y.cdr
e.cdr := nil
e.cdr := t
y.cdr := nil
y.cdr := e
t := nil
z := nil
e := nil
y := nil
```

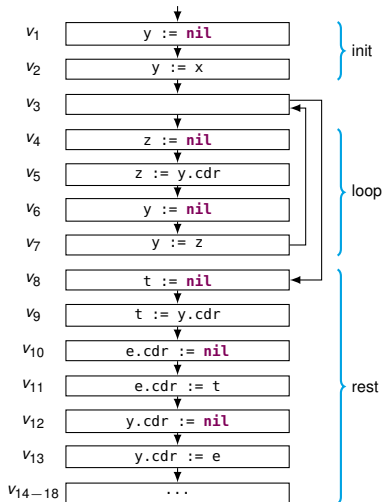
List Insertion – Normalisation

```
// x is an unshared list
// e the element to insert
y := nil
y := x
while y.cdr ≠ nil ∧ ... do
  z := nil
  z := y.cdr
  y := nil
  y := z
od
t := nil
t := y.cdr
e.cdr := nil
e.cdr := t
y.cdr := nil
y.cdr := e
t := nil
z := nil
e := nil
y := nil
```



List Insertion – Normalisation

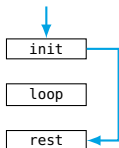
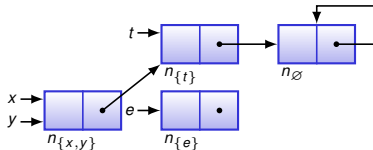
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y := x
while y.cdr ≠ nil ∧ ... do
  z := nil
  z := y.cdr
  y := nil
  y := z
od
t := nil
t := y.cdr
e.cdr := nil
e.cdr := t
y.cdr := nil
y.cdr := e
t := nil
z := nil
e := nil
y := nil
```



Sharing

From v_1 to v_{11} *without entering the loop.*

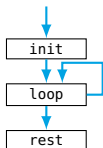
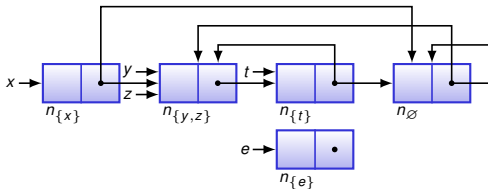
Executing $\llbracket e.\text{cdr} := \text{nil} \rrbracket - n_{\{t\}}$ is **not** shared.



Sharing

From v_1 to v_{11} *through* the loop.

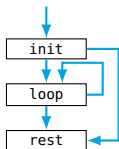
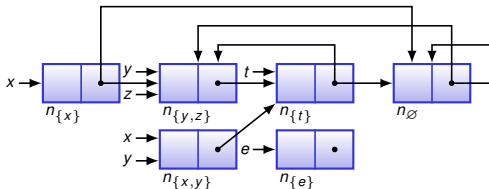
Executing $\llbracket e.\text{cdr} := \text{nil} \rrbracket - n_{\{t\}}$ is **not** shared.



Sharing

From v_1 to v_{11} by all possible paths.

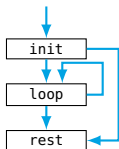
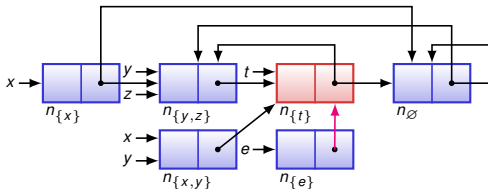
Executing $\llbracket e.\text{cdr} := \text{nil} \rrbracket - n_{\{t\}}$ is still **not** shared.



Sharing

From v_1 to v_{12} by all possible paths.

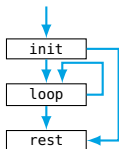
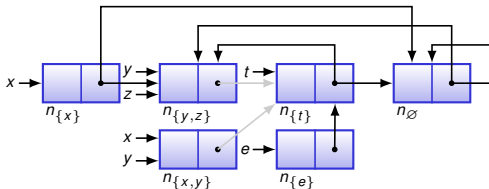
Executing $\llbracket e.\text{cdr} := t \rrbracket - n_{\{t\}}$ **is** shared.



Sharing

From v_1 to v_{13} by all possible paths.

Executing $\llbracket y.\text{cdr} := \text{nil} \rrbracket$ – **strong nullification**.



Extensions

Merging Shape-Nodes to avoid a huge number of nodes ($\leq 2^{|PVar|}$), a widening operator can be introduced.

Finding Aliases and Sharing testing whether x and y are aliases at some point of the program can be extended to test whether two paths can alias by introducing two extra variables.

Interprocedural Analysis *shape-graph-transformations* can be introduced to accurately model procedures.

Representing Definitely Circular Structures with extra special nodes (n_{atom} , n_{nil} , n_{uninit}), definitely cyclic data structures can be modelled.

Thank you!

Questions?