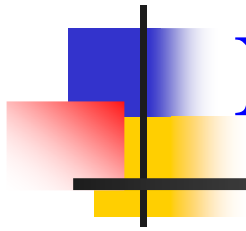


# Artificial Neurons, Neural Networks and Architectures





# Artificial Neural Networks

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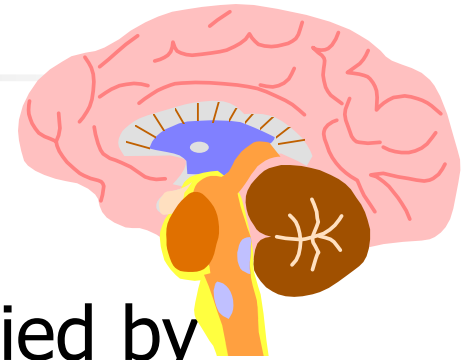
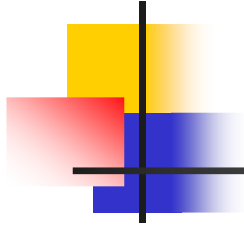
## ■ Other terms/names

- connectionist
- parallel distributed processing
- neural computation
- adaptive networks..

## ■ History

- 1943-McCulloch & Pitts are generally recognised as the designers of the first neural network
- 1949-First learning rule
- 1969-Minsky & Papert - perceptron limitation - Death of ANN
- 1980's - Re-emergence of ANN - multi-layer networks

# The biological inspiration



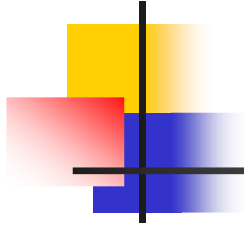
- The brain has been extensively studied by scientists.
- Vast complexity prevents understanding.
- Even the behaviour of an individual neuron is extremely complex

# Features of the Brain

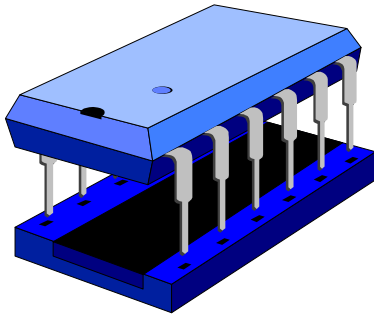


- Ten billion ( $10^{10}$ ) neurons
- Face Recognition  $\sim 0.1$ secs
- On average, each neuron has several thousand connections
- Hundreds of operations per second
- High degree of parallel computation
- Distributed representations
- Compensated for problems by massive parallelism

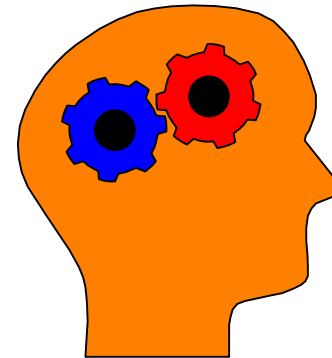
# The contrast in architecture

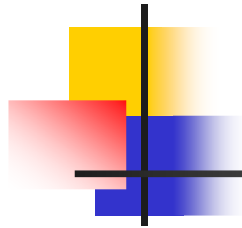


- The Von Neumann architecture uses a single processing unit;
  - Tens of millions of operations per second



- The brain uses many slow unreliable processors acting in parallel





# Computer vs. Brain

---

- Computers are good at: 1/ Fast arithmetic and 2/ Doing precisely what the programmer programs them to do
- Computers are not good at: 1/ Interacting with noisy data or data from the environment, 2/ Massive parallelism, 3/ Fault tolerance, 4/ Adapting to circumstances



# Neural Networks Defined

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- Artificial neural networks are **massively parallel adaptive networks of simple nonlinear computing elements** called neurons which are intended to abstract and model some of the functionality of the human nervous system in an attempt to **partially capture** some of its **computational strengths**.



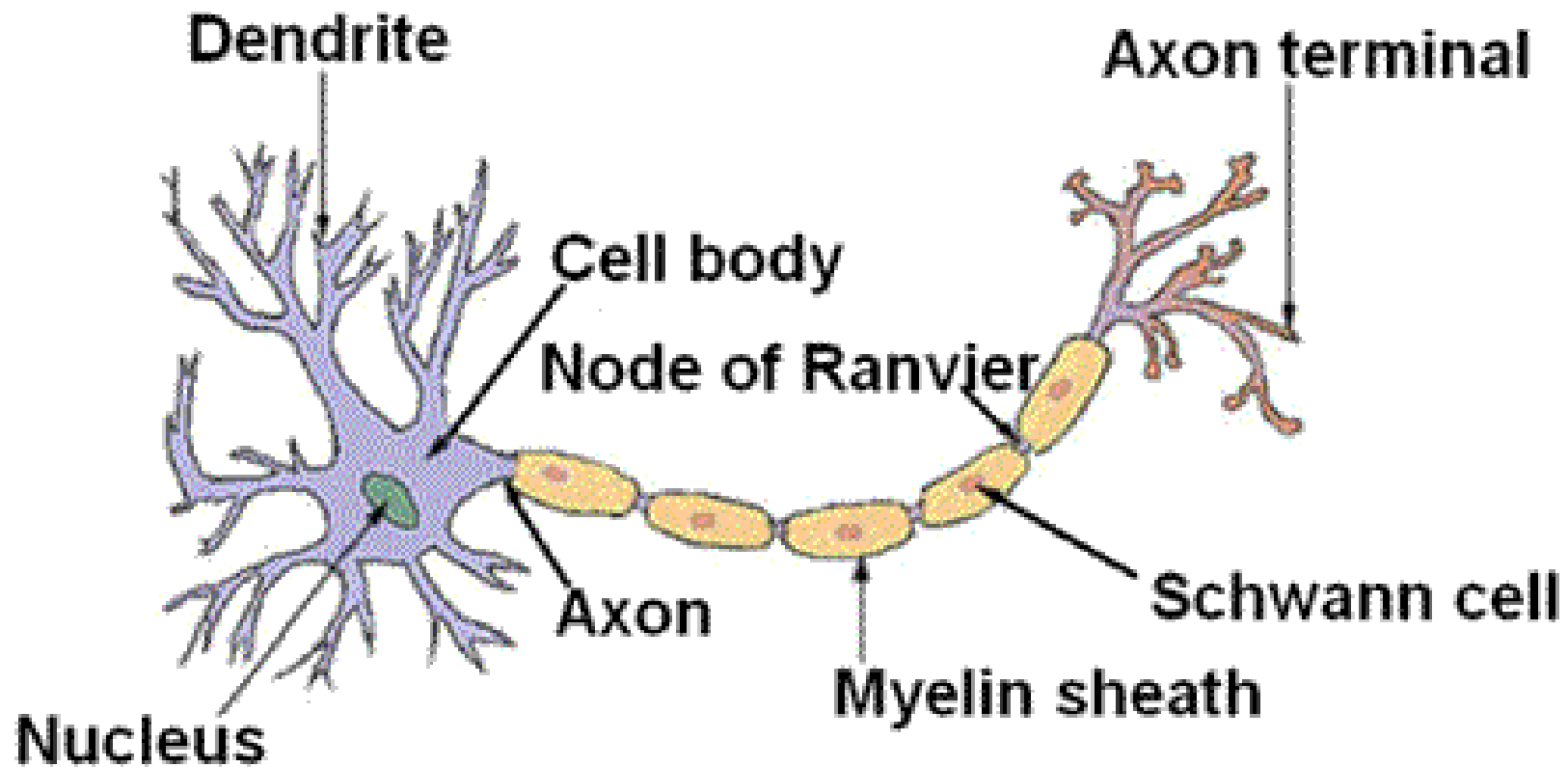
# Neuron Abstraction

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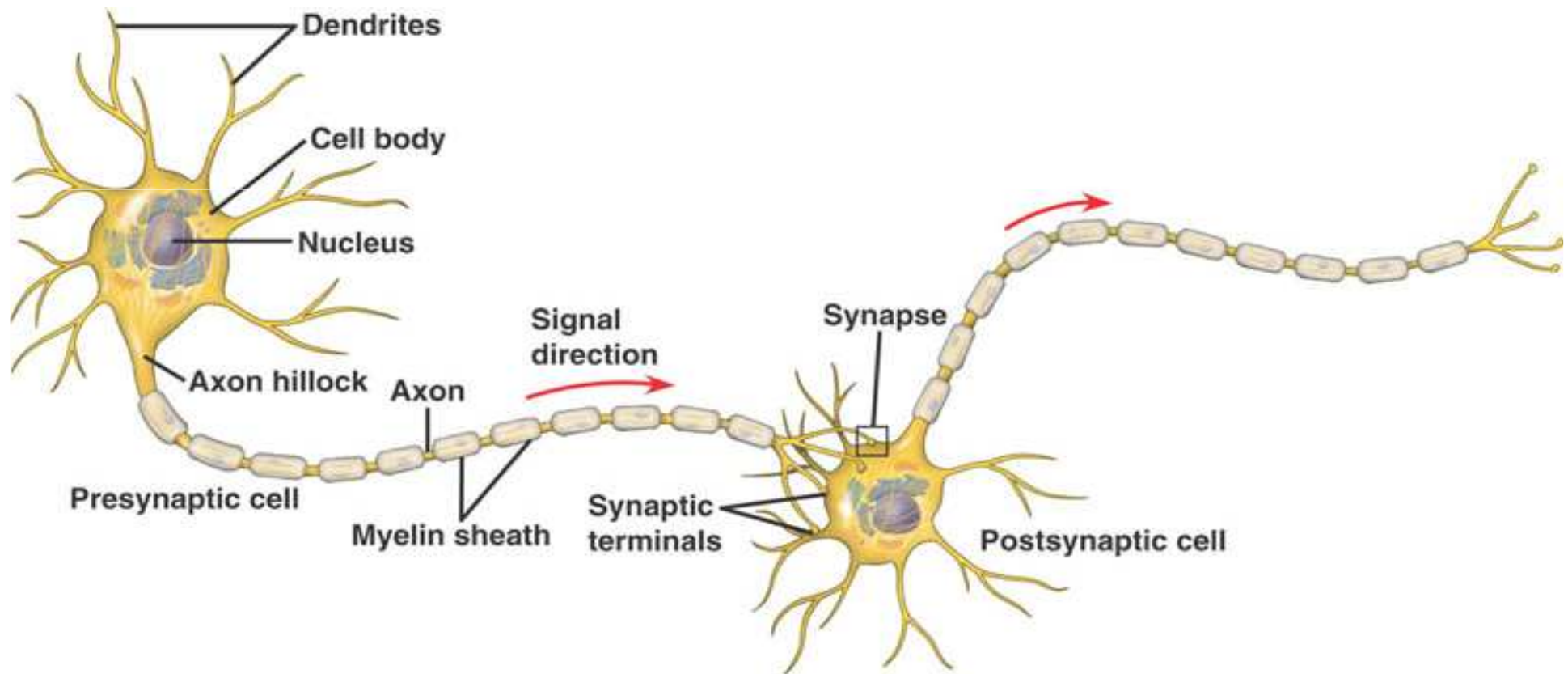
- Neurons transduce signals—electrical to chemical, and from chemical back again to electrical.
- Each synapse is associated with **synaptic efficacy**
  - It is the efficiency with which a signal is transmitted from the presynaptic to postsynaptic neuron



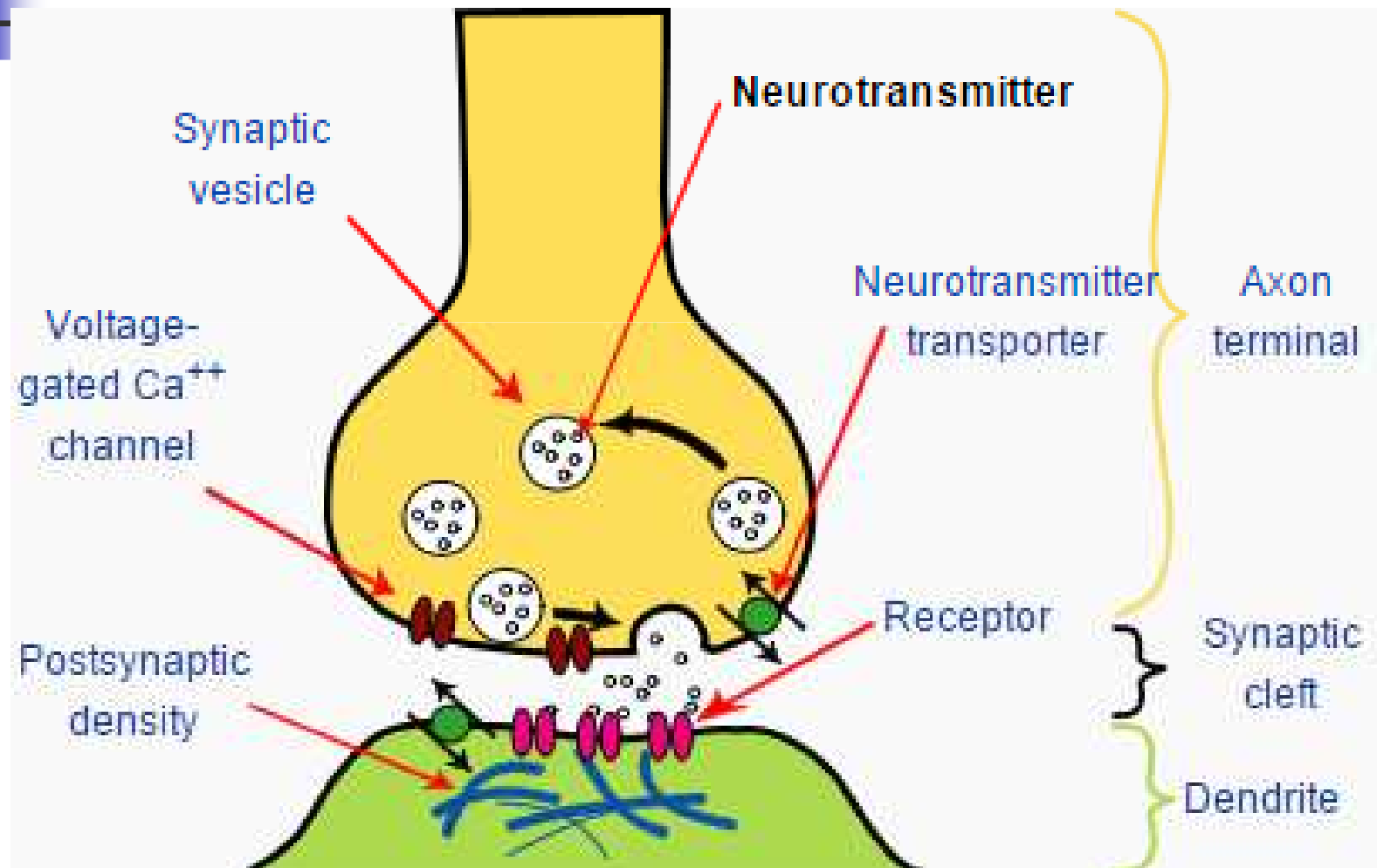
# Biological Neuron



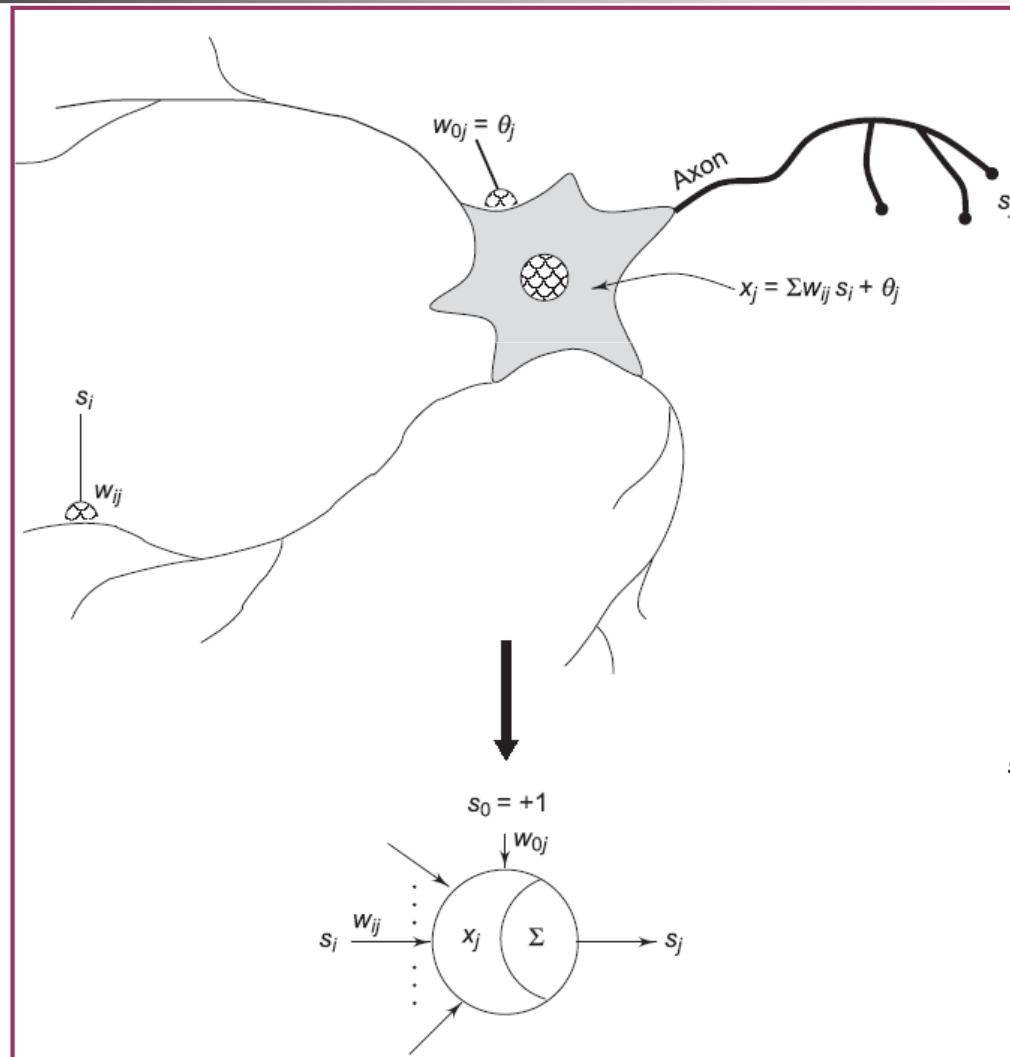
# Biological Neuron



# Biological Neuron



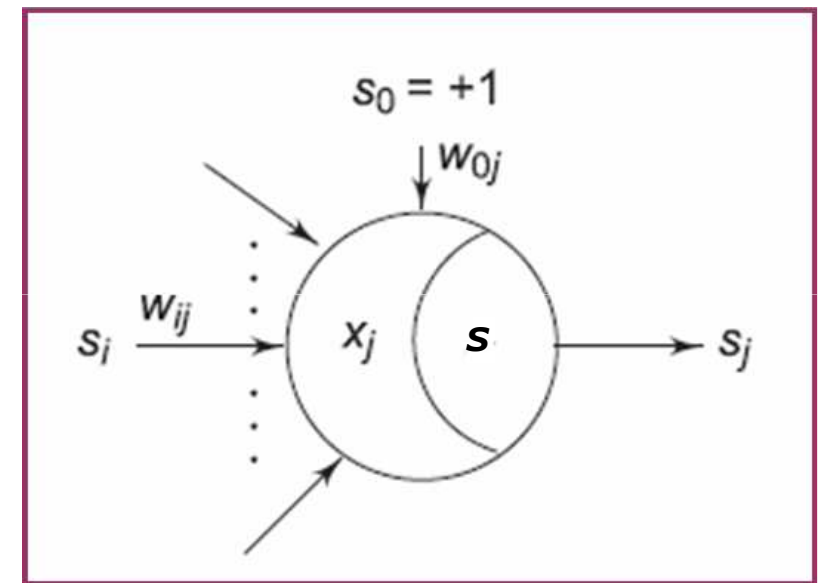
# Neuron Abstraction



# Neuron Abstraction:

## Activations

- The  $j^{th}$  artificial neuron that receives input signals  $s_i$ , from possibly  $n$  different sources
- An internal activation  $x_j$  which is a linear weighted aggregation of the impinging signals, modified by an internal threshold,  $\theta_j$



$$x_j = \sum_{i=1}^n w_{ij} s_i + \theta_j$$



# Activations Measure Similarities

- The activation  $x_j$  is simply the binner product of the impinging signal vector  $S = (s_0, \dots, s_n)^T$ , with the neuronal weight vector  $W_j = (w_{0j}, \dots, w_{nj})^T$

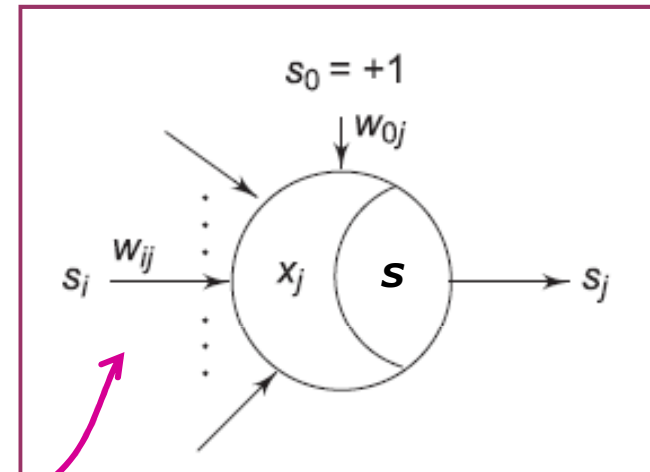
$$x_j = S^T W_j = \sum_{i=0}^n w_{ij} s_i$$



Adaptive Filter

# Neuron Abstraction: Weights

- $w_{ij}$  denotes the weight from neuron  $i$  to neuron  $j$ .

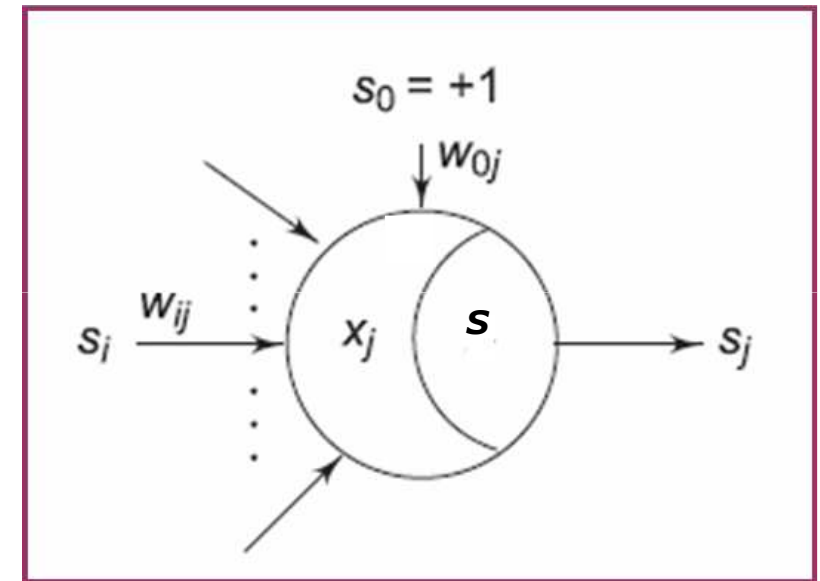


$$x_j = \sum_{i=1}^n w_{ij} s_i + \theta_j$$

A pink arrow points from the  $w_{ij}$  term in the equation to the dotted line of arrows in the diagram above.

# Neuron Abstraction: Weights

- The  $j^{\text{th}}$  artificial neuron that connection weights  $w_{ij}$  model the synaptic efficacies of various interneuron synapses.

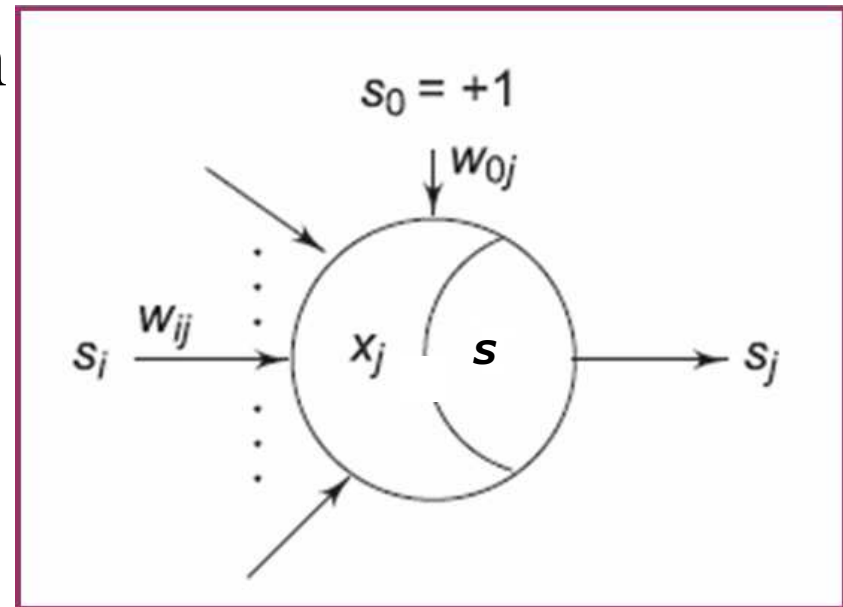


$$x_j = \sum_{i=1}^n w_{ij} s_i + \theta_j$$



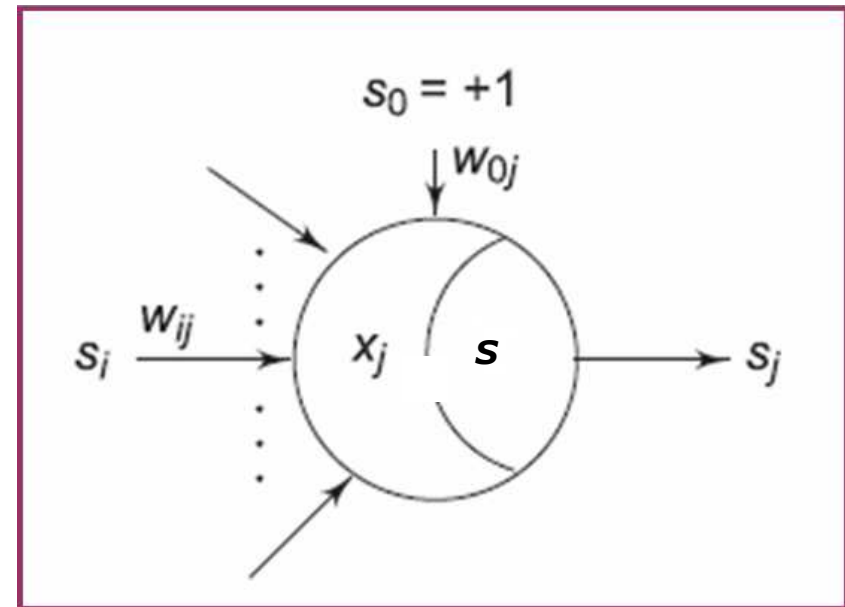
# Neuron Abstraction: Signal Function

- The activation of the neuron is subsequently transformed through a *signal function*  $S(\cdot)$
- Generates the output signal  $s_j = S(x_j)$  of the neuron.



# Neuron Abstraction: Signal Function

- A signal function may typically be
  - Binary threshold
  - Linear threshold
  - Sigmoidal
  - Gaussian
  - Probabilistic.



# Neuron Signal Functions:

## Binary Threshold Signal Function

- Net positive activations translate to a +1 signal value
- Net negative activations translate to a 0 signal value.

$$S(x_j) = \begin{cases} 1 & x_j \geq 0 \\ 0 & x_j < 0 \end{cases}$$



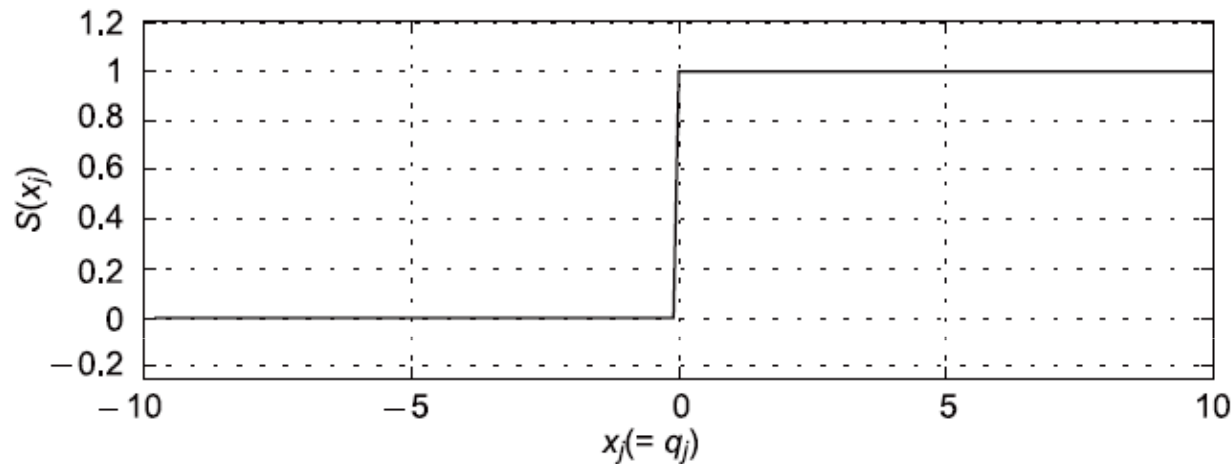
# Neuron Signal Functions: Binary Threshold Signal Function

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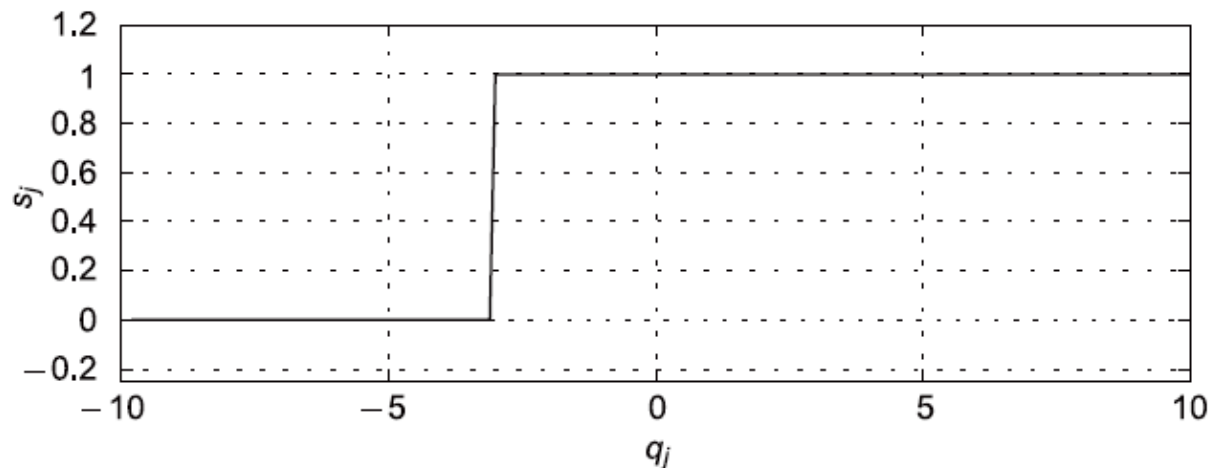
- The threshold logic neuron is a two state machine
  - $s_j = S(x_j) \in \{0, 1\}$

$$S(x_j) = \begin{cases} 1 & x_j \geq 0 \\ 0 & x_j < 0 \end{cases}$$

# Neuron Signal Functions: Binary Threshold Signal Function



(a) Binary threshold function:  $\theta_j = 0$



(b) Binary threshold function:  $\theta_j = +3$



# Threshold Logic Neuron (TLN) in Discrete Time

- The updated signal value  $S(x_j^{k+1})$  at time instant  $k + 1$  is generated from the neuron activation  $x_j^{k+1}$ , sampled at time instant  $k + 1$ .
- The response of the threshold logic neuron as a two-state machine can be extended to the *bipolar* case where the signals are
  - $s_j \in \{-1, 1\}$

$$S(x_j^{k+1}) = \begin{cases} 1 & x_j^{k+1} > 0 \\ S(x_j^k) & x_j^{k+1} = 0 \\ 0 & x_j^{k+1} < 0 \end{cases}$$

$$S(x_j) = \begin{cases} +1 & x_j > 0 \\ -1 & x_j < 0 \end{cases}$$



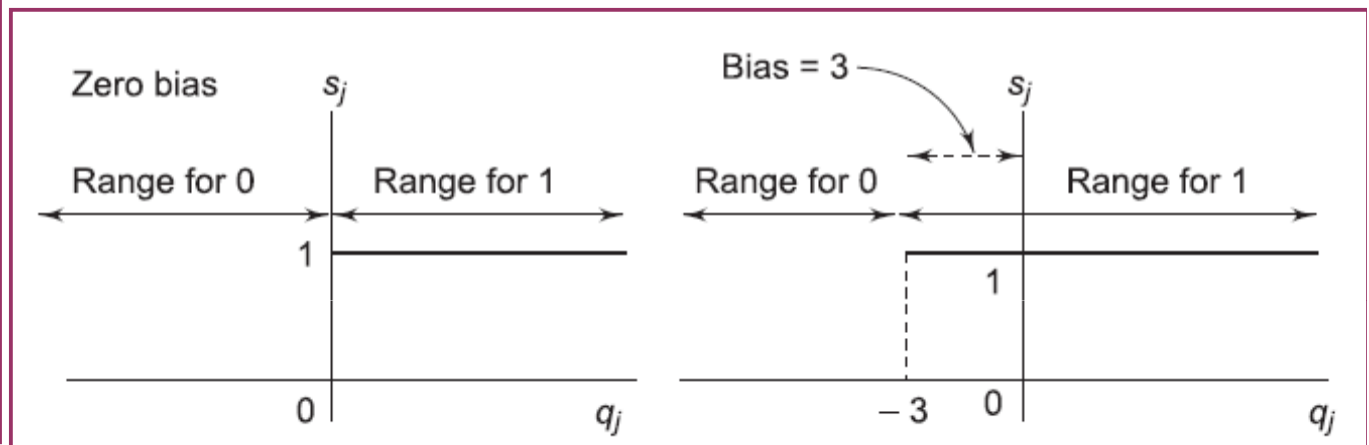
# Threshold Logic Neuron (TLN) in Discrete Time

---

- The resulting signal function is then none other than the *signum function*,  $\text{sign}(x)$  commonly encountered in communication theory.

# Interpretation of Threshold

$$\begin{aligned}x_j &= \sum_{i=0}^n w_{ij} s_i \\&= \sum_{i=1}^n w_{ij} s_i + w_{0j} \\&= q_j + \theta_j\end{aligned}$$

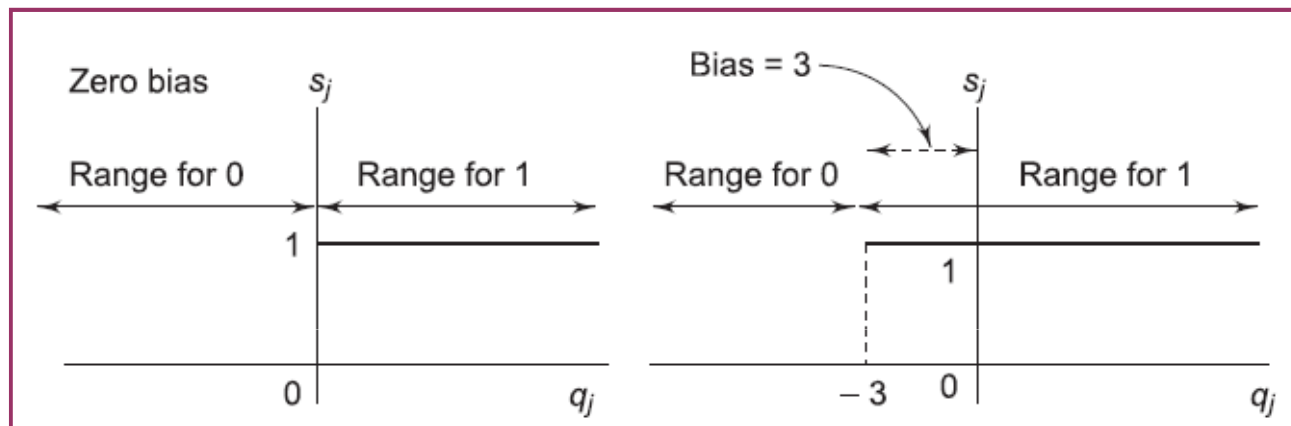


- From the point of view of the net activation  $x_j$ 
  - The signal is +1 if  $x_j = q_j + \theta_j \geq 0$ , or  $q_j \geq -\theta_j$  ;
  - And is 0 if  $q_j < -\theta_j$  .



# Interpretation of Threshold

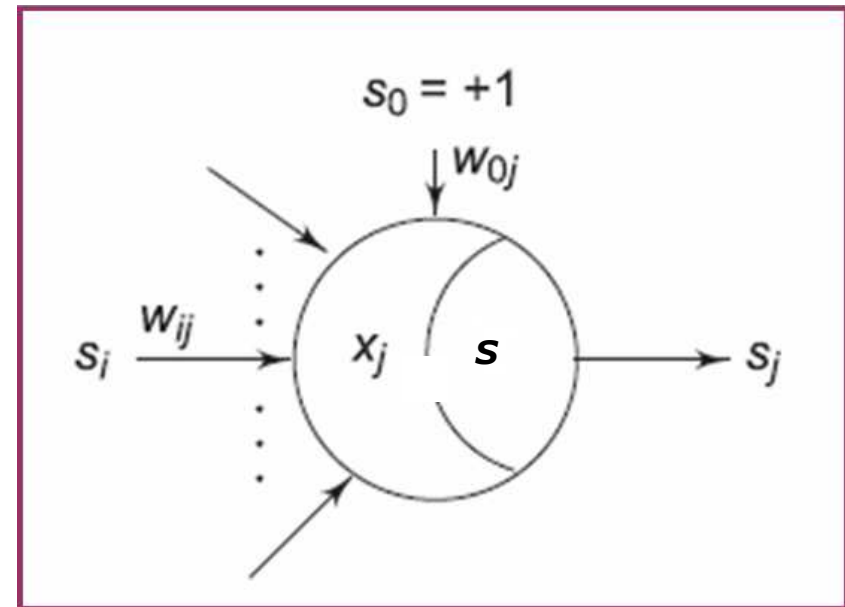
$$\begin{aligned}x_j &= \sum_{i=0}^n w_{ij} s_i \\&= \sum_{i=1}^n w_{ij} s_i + w_{0j} \\&= q_j + \theta_j\end{aligned}$$



- The neuron thus “compares” the net external input  $q_j$ 
  - If  $q_j$  is greater than the negative threshold, it fires +1, otherwise it fires 0.

# Neuron Abstraction: Signal Function

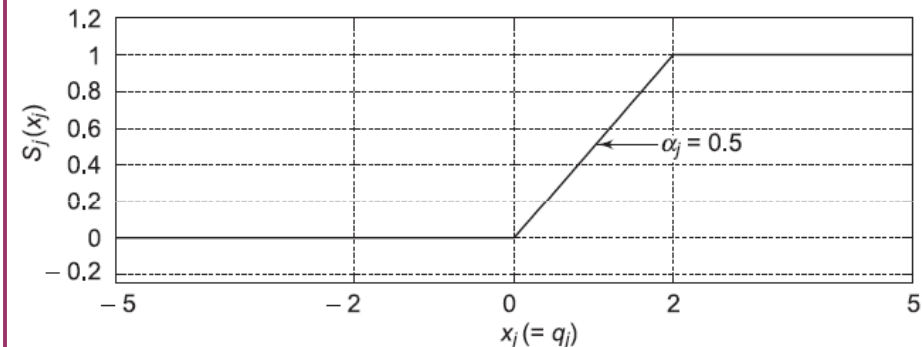
- A signal function may typically be
  - Binary threshold
  - **Linear threshold**
  - Sigmoidal
  - Gaussian
  - Probabilistic



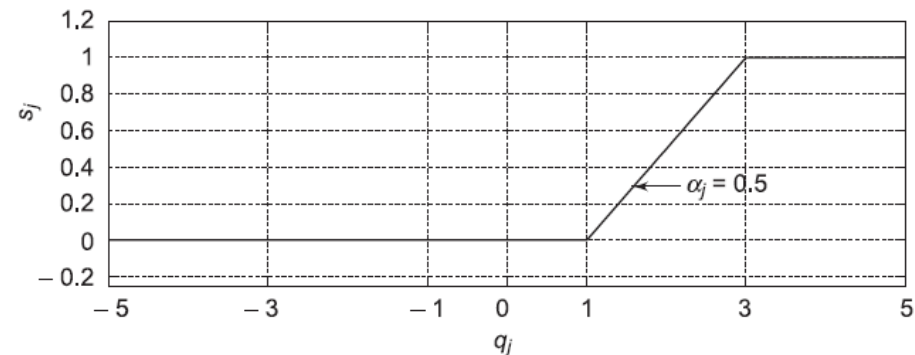
# Linear Threshold Signal Function

$$S_j(x_j) = \begin{cases} 0 & x_j \leq 0 \\ \alpha_j x_j & 0 < x_j < x_m \\ 1 & x_j \geq x_m \end{cases}$$

- $\alpha_j = 1/x_m$  is the **slope parameter** of the function
- Figure plotted for  $x_m = 2$  and  $\alpha_j = 0.5$ .



(a) Linear Threshold function:  $\theta_j = 0$

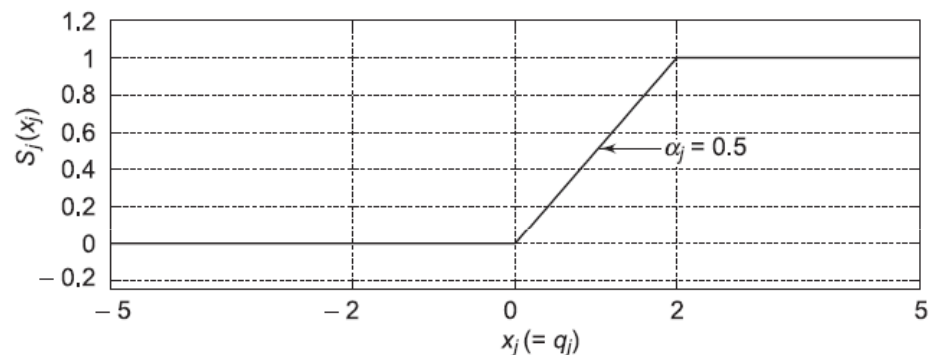


(b) Shifted Linear Threshold function:  $\theta_j = -1$

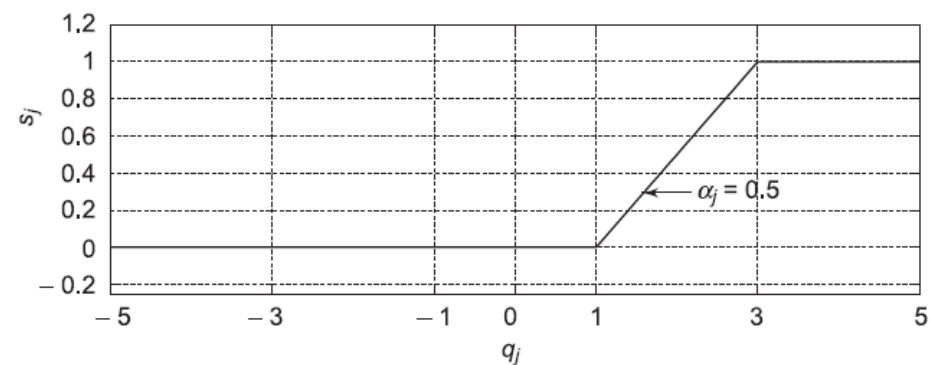
# Linear Threshold Signal Function

$$S_j(x_j) = \begin{cases} 0 & x_j \leq 0 \\ \alpha_j x_j & 0 < x_j < x_m \\ 1 & x_j \geq x_m \end{cases}$$

- $S_j(x_j) = \max(0, \min(\alpha_j x_j, 1))$
- We assume that neurons within a network are **homogeneous**.



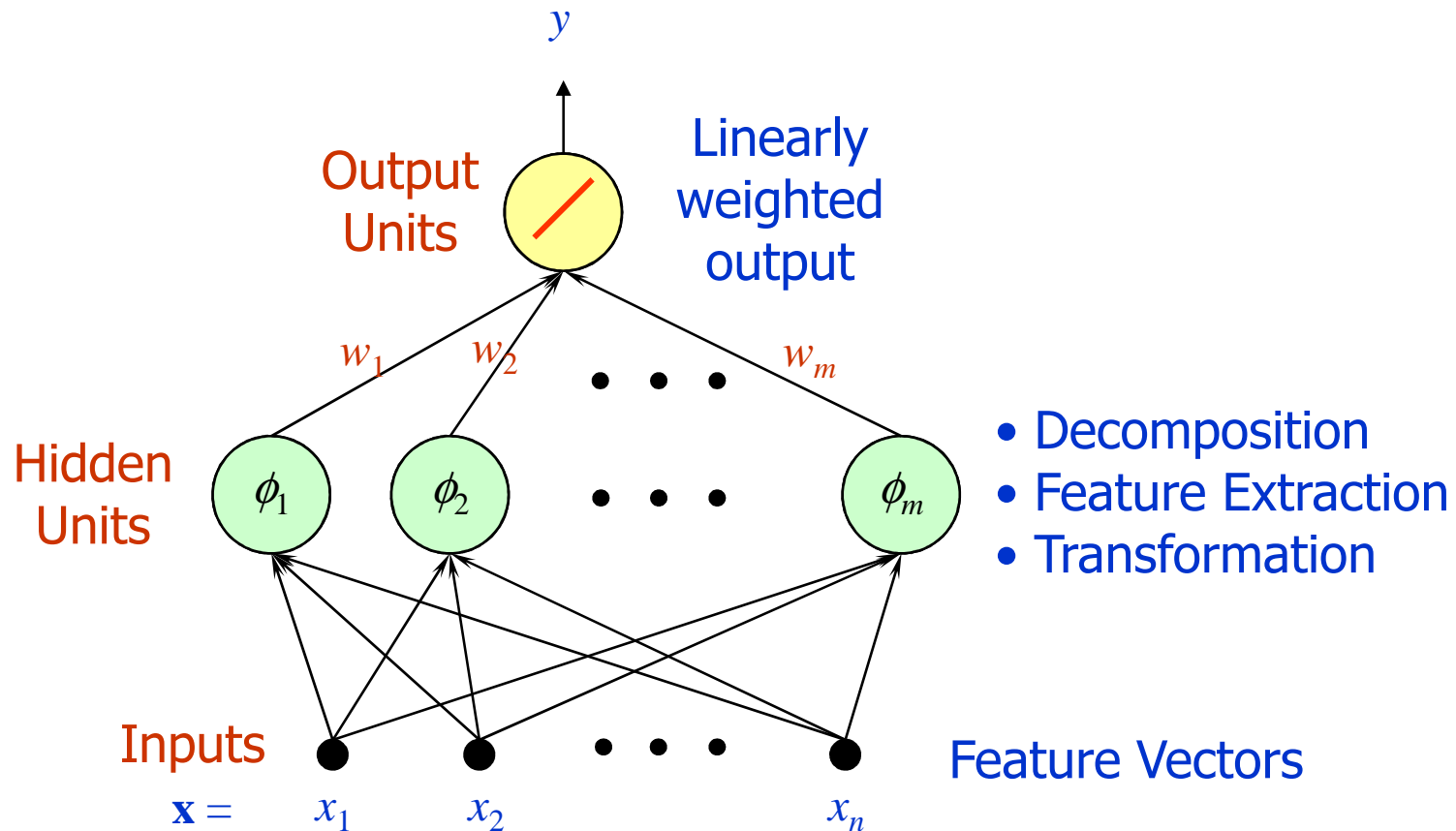
(a) Linear Threshold function:  $\theta_j = 0$



(b) Shifted Linear Threshold function:  $\theta_j = -1$

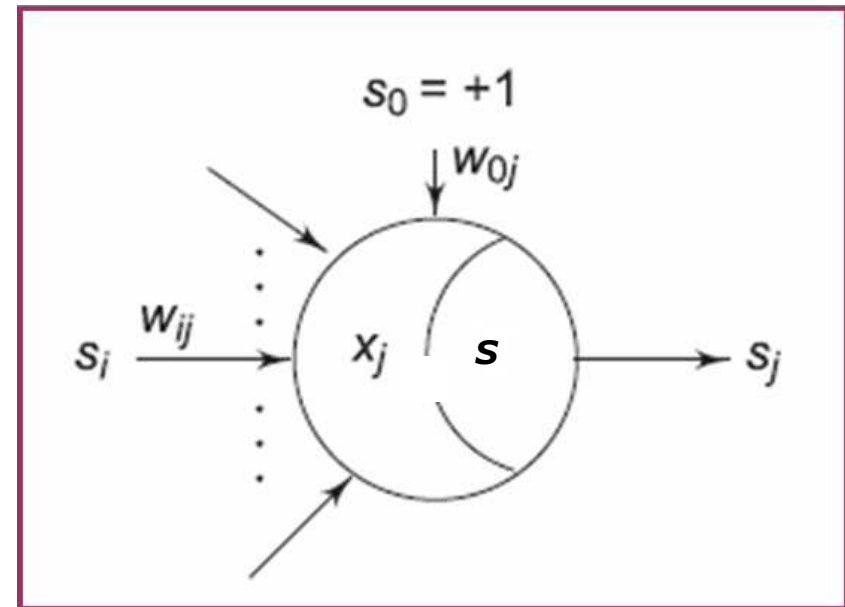
$$f(\mathbf{x}) = \sum_{i=1}^m w_i \phi_i(\mathbf{x})$$

# Linear Models



# Neuron Abstraction: Signal Function

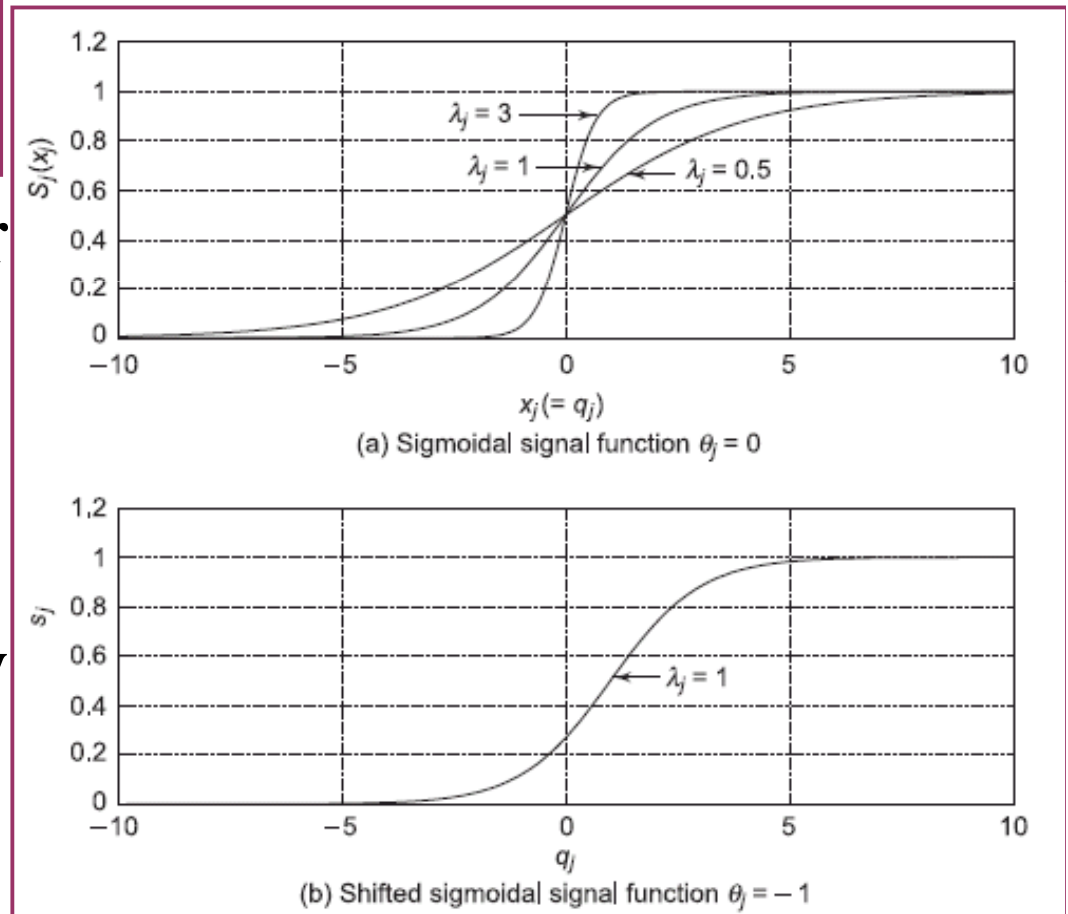
- A signal function may typically be
  - Binary threshold
  - Linear threshold
  - **Sigmoidal**
  - Gaussian
  - Probabilistic



# Sigmoidal Signal Function

$$s_j(x_j) = \frac{1}{1 + e^{-\lambda_j x_j}}$$

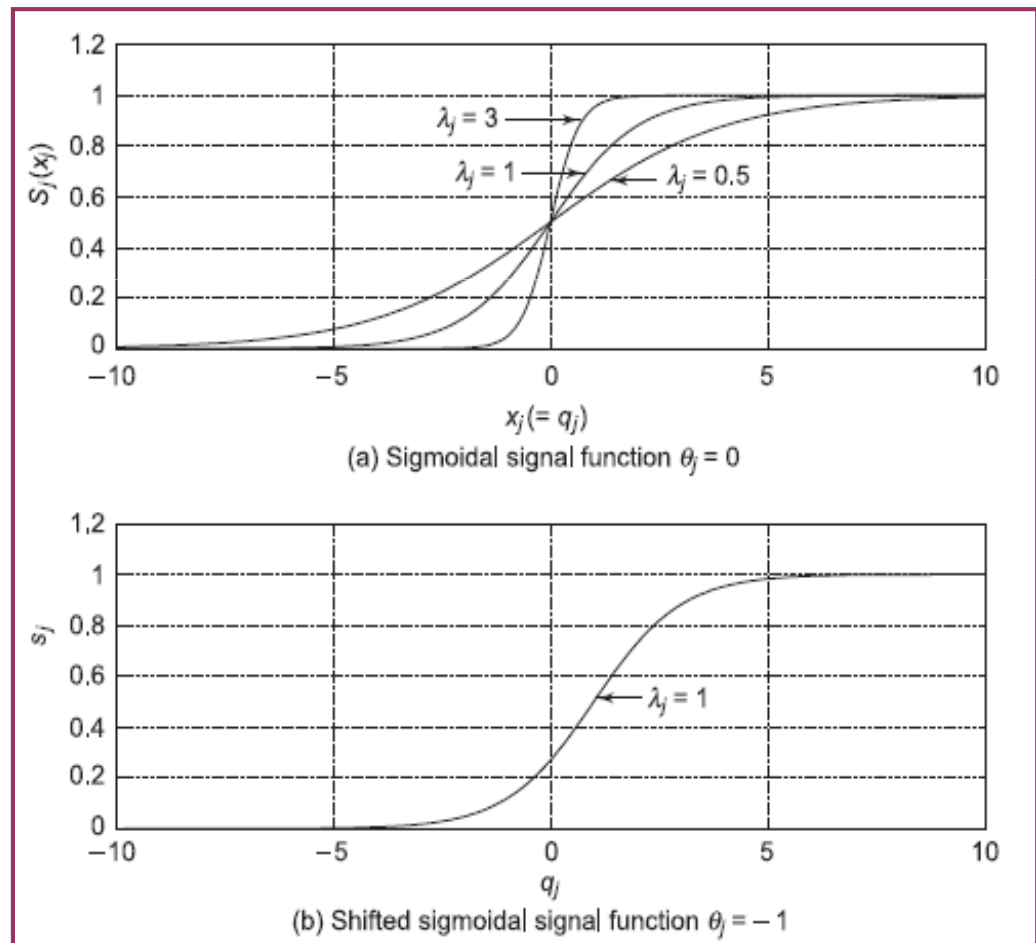
- $\lambda_j$  is a gain scale factor
- In the limit, as  $\lambda_j \rightarrow \infty$  the smooth logistic function approaches the non-smooth binary threshold function.



# Sigmoidal Signal Function

$$\mathcal{S}_j(x_j) = \frac{1}{1 + e^{-\lambda_j x_j}}$$

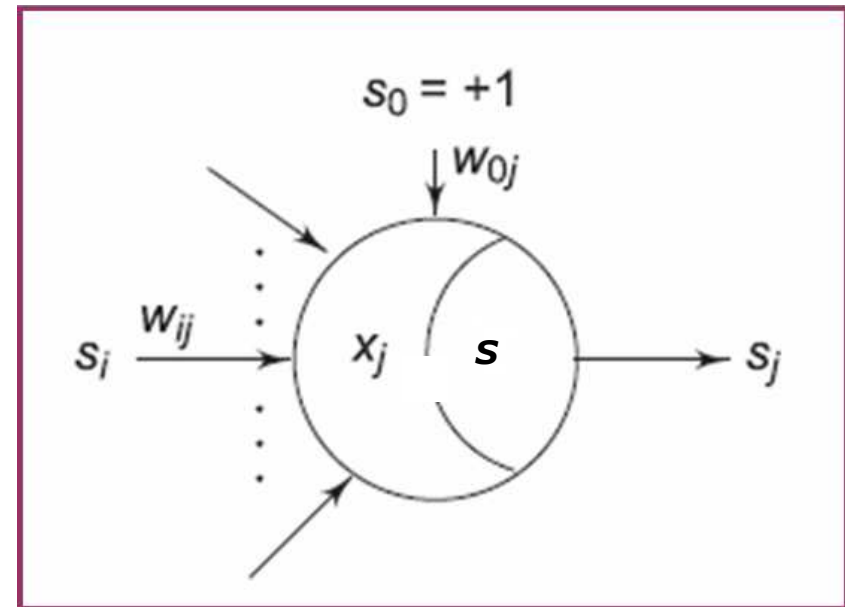
- The sigmoidal signal function has some very useful mathematical properties.
- It is
  - monotonic
  - continuous
  - bounded





# Neuron Abstraction: Signal Function

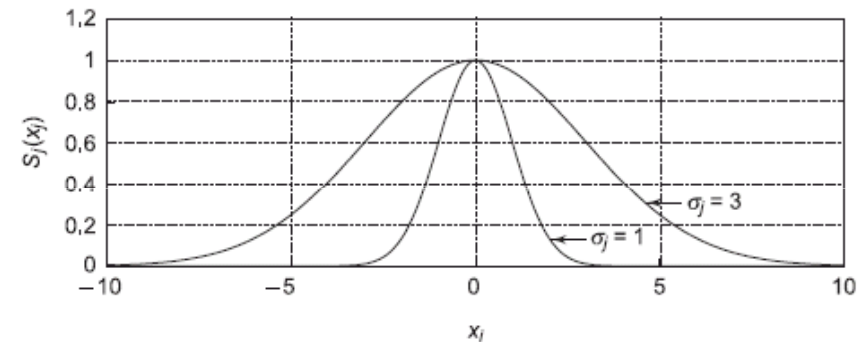
- A signal function may typically be
  - Binary threshold
  - Linear threshold
  - Sigmoidal
  - **Gaussian**
  - Probabilistic



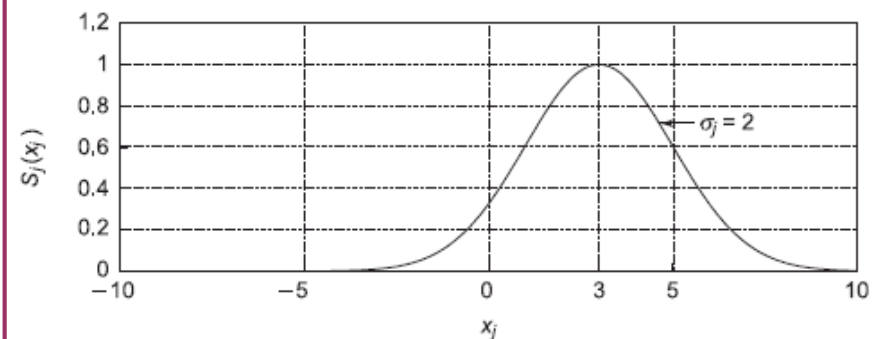
# Gaussian Signal Function

$$S_j(x_j) = \exp \left( -\frac{(x_j - c_j)^2}{2\sigma_j^2} \right)$$

- $\sigma_j$  is the Gaussian spread factor and  $c_j$  is the center.
- Varying the spread makes the function sharper or more diffuse.



(a) Gaussian signal function: center = 0

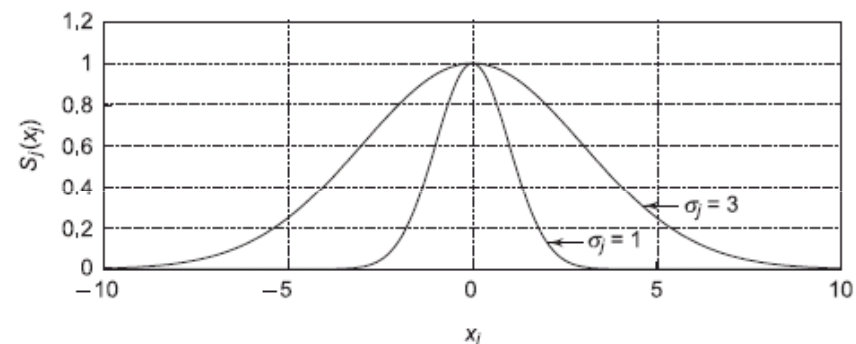


(b) Gaussian signal function: center = 3

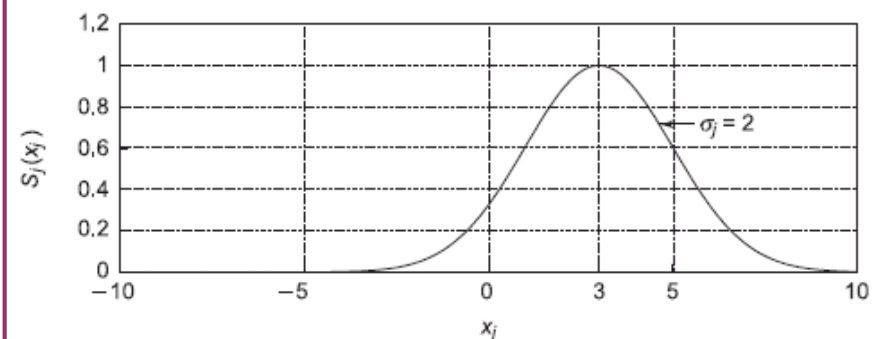
# Gaussian Signal Function

$$S_j(x_j) = \exp \left( -\frac{(x_j - c_j)^2}{2\sigma_j^2} \right)$$

- Changing the center shifts the function to the right or left along the activation axis
- This function is an example of a *non-monotonic* signal function



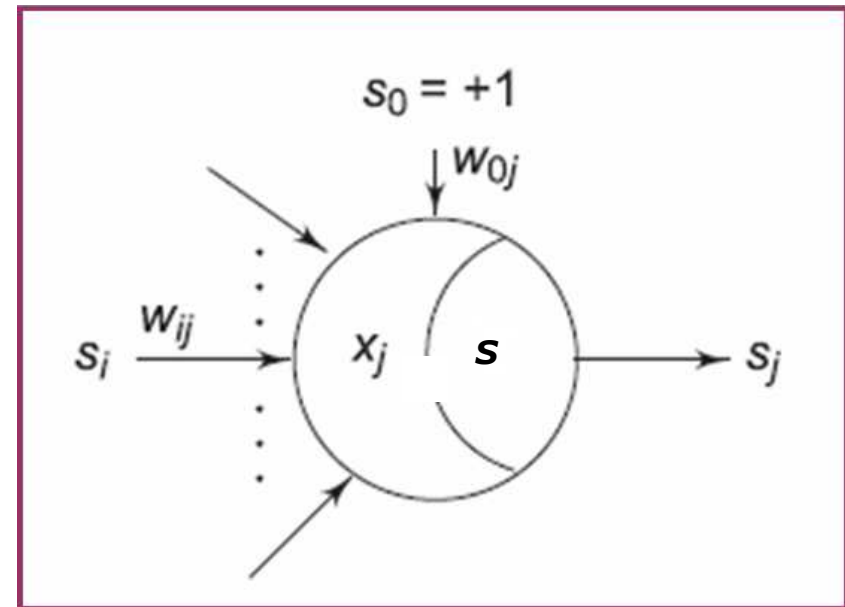
(a) Gaussian signal function: center = 0



(b) Gaussian signal function: center = 3

# Neuron Abstraction: Signal Function

- A signal function may typically be
  - Binary threshold
  - Linear threshold
  - Sigmoidal
  - Gaussian
  - Probabilistic





# Stochastic Neurons

---

- The signal is assumed to be two state
  - $s_j \in \{0, 1\}$  or  $\{-1, 1\}$
- Neuron switches into these states depending upon a *probabilistic function of its activation*,  $P(x_j)$ .

$$P(x_j) = \frac{1}{1 + e^{-x_j/T}}$$



# Summary of Signal Functions

<i>Name</i>	<i>Function</i>	<i>Characteristics</i>
Binary threshold	$\mathcal{S}(x_j) = \begin{cases} 1 & x_j \geq 0 \\ 0 & x_j < 0 \end{cases}$	Non-differentiable, step-like, $s_j \in \{0, 1\}$
Bipolar threshold	$\mathcal{S}(x_j) = \begin{cases} 1 & x_j \geq 0 \\ -1 & x_j < 0 \end{cases}$	Non-differentiable, step-like, $s_j \in \{-1, 1\}$
Linear	$\mathcal{S}_j(x_j) = \alpha_j x_j$	Differentiable, unbounded, $s_j \in (-\infty, \infty)$
Linear threshold	$\mathcal{S}_j(x_j) = \begin{cases} 0 & x_j \leq 0 \\ \alpha_j x_j & 0 < x_j < x_m \\ 1 & x_j \geq x_m \end{cases}$	Differentiable, piece-wise linear, $s_j \in [0, 1]$
Sigmoid	$\mathcal{S}_j(x_j) = \frac{1}{1 + e^{-\lambda_j x_j}}$	Differentiable, monotonic, smooth, $s_j \in (0, 1)$
Hyperbolic tangent	$\mathcal{S}_j(x_j) = \tanh(\lambda_j x_j)$	Differentiable, monotonic, smooth, $s_j \in (-1, 1)$
Gaussian	$e^{-(x_j - c_j)^2 / 2\sigma_j^2}$	Differentiable, non-monotonic, smooth, $s_j \in (0, 1)$
Stochastic	$\mathcal{S}_j(x_j) = \begin{cases} +1 & \text{with probability } P(x_j) \\ -1 & \text{with probability } 1 - P(x_j) \end{cases}$	Non-deterministic step-like, $s_j \in \{0, 1\}$ or $\{-1, 1\}$



# Eight Components of Neural Networks

---

- *Neurons*. These can be of three types:
  - Input: receive external stimuli
  - Hidden: compute intermediate functions
  - Output: generate outputs from the network
- *Activation state vector*. This is a vector of the activation level  $x_i$  of individual neurons in the neural network,
  - $X = (x_1, \dots, x_n)^T \in \mathbb{R}^n$ .



# Eight Components of Neural Networks

---

- *Signal function*. A function that generates the output signal of the neuron based on its activation.
- *Pattern of connectivity*. This essentially determines the inter-neuron connection architecture or the graph of the network. Connections which **model the inter-neuron synaptic efficacies**, can be
  - excitatory (+)
  - inhibitory (−)
  - absent (0).





# Eight Components of Neural Networks

---

- *Activity aggregation rule.* A way of aggregating activity at a neuron, and is usually computed as an inner product of the input vector and the neuron fan-in weight vector.
- *Activation rule.* A function that determines the new activation level of a neuron on the basis of its current activation and its external inputs.



# Eight Components of Neural Networks

---

- *Learning rule.* Provides a means of modifying connection strengths based both on external stimuli and network performance with an aim to improve the latter.
- *Environment.* The environments within which neural networks can operate could be
  - deterministic (noiseless) or
  - stochastic (noisy).

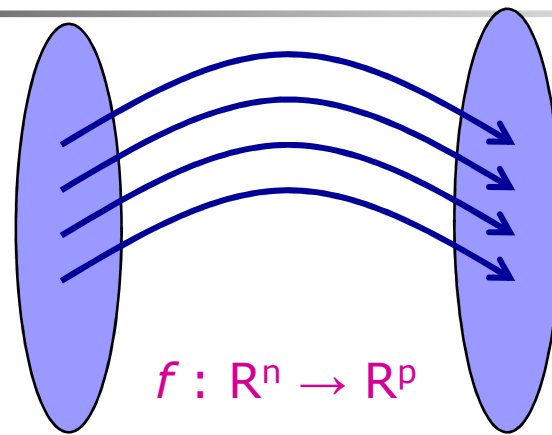
# Architectures:

## Feedforward and Feedback

---

- Local groups of neurons can be connected in either,
  - A *feedforward* architecture, in which the network has no loops, or
  - A *feedback* (*recurrent*) architecture, in which loops occur in the network because of feedback connections.

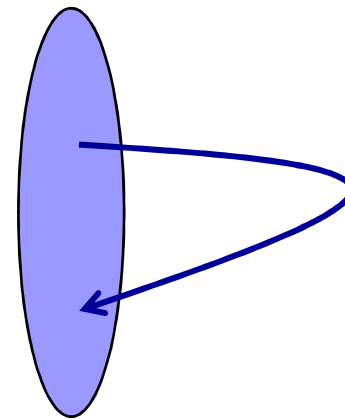
# Neural Networks Generate Mappings



- Multilayered networks that associate vectors from one space to vectors of another space are called *heteroassociators*.
  - Map or associate two different patterns with one another—one as input and the other as output. Mathematically we write,  $f : \mathbb{R}^n \rightarrow \mathbb{R}^p$ .

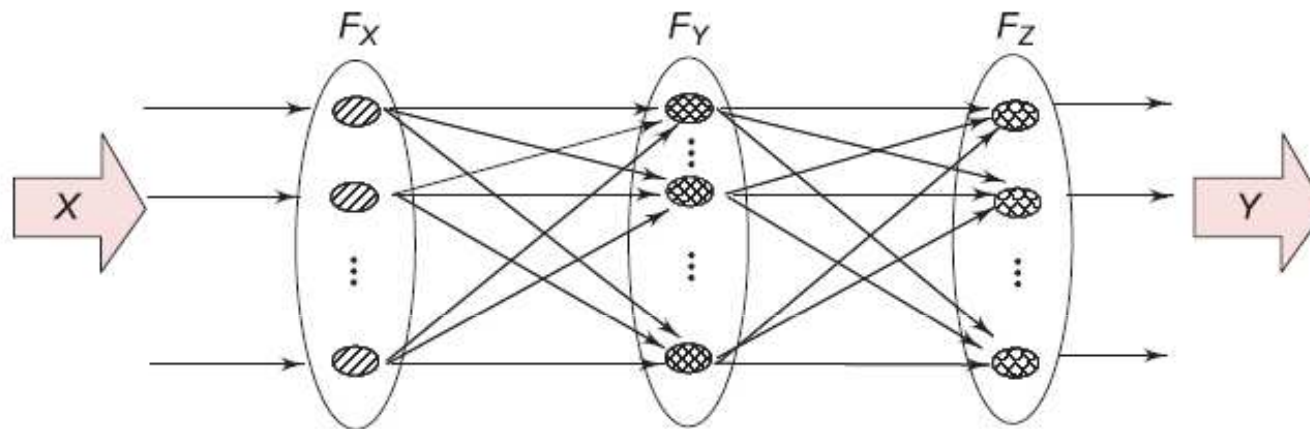
# Neural Networks Generate Mappings

- When neurons in a single field connect back onto themselves the resulting network is called an *autoassociator* since it associates a single pattern in  $\mathbb{R}^n$  with itself.

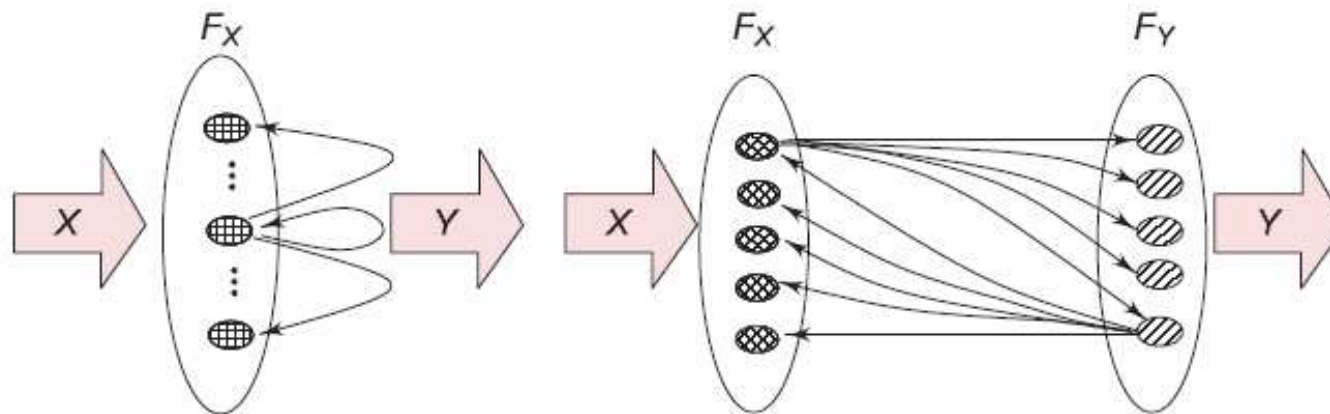


$$f : \mathbb{R}^n \rightarrow \mathbb{R}^n$$

# Architectures: Feedforward and Feedback



(a) Feedforward heteroassociator

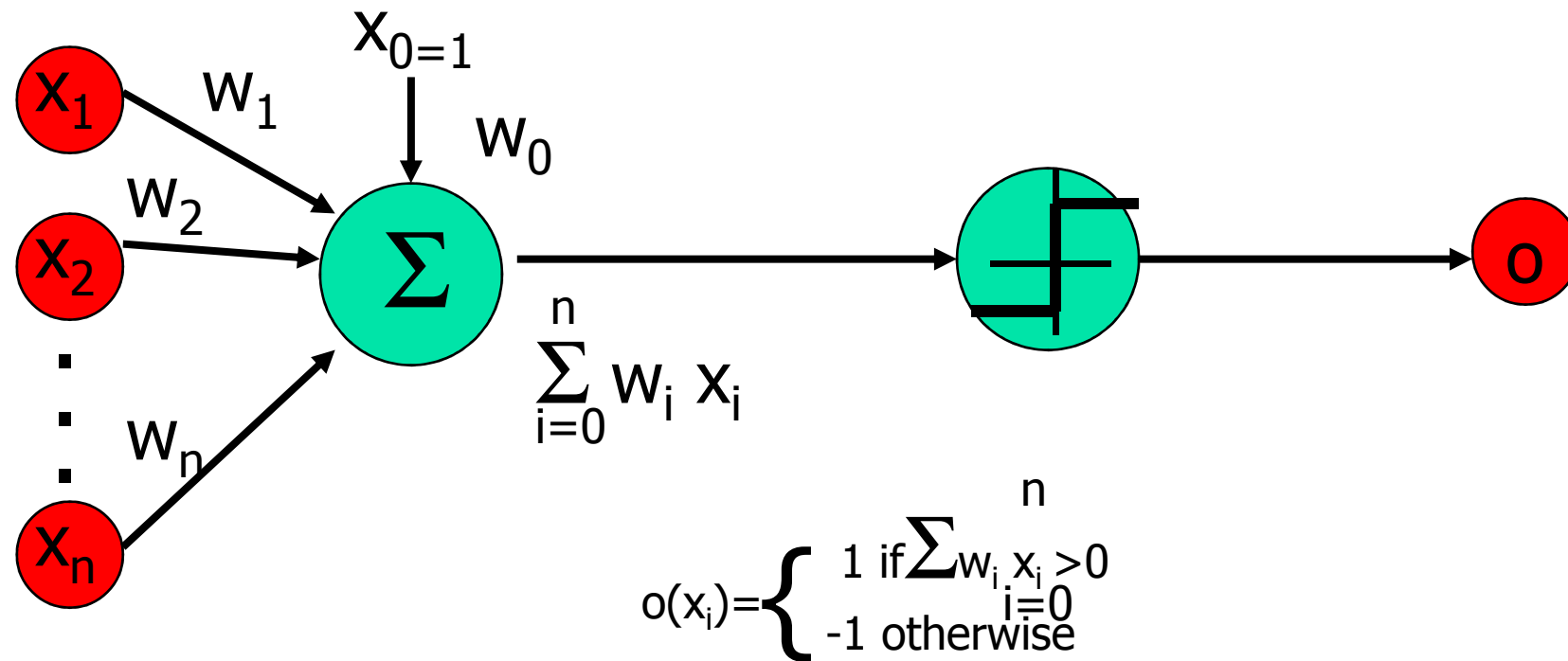


(b) Feedback autoassociator

(c) Feedback heteroassociator

# Perceptron

- Linear threshold unit (LTU)





# Perceptron Learning Rule

---

$$w_i = w_i + \Delta w_i$$

$$\Delta w_i = \eta (t - o) x_i$$

$t=c(x)$  is the target value

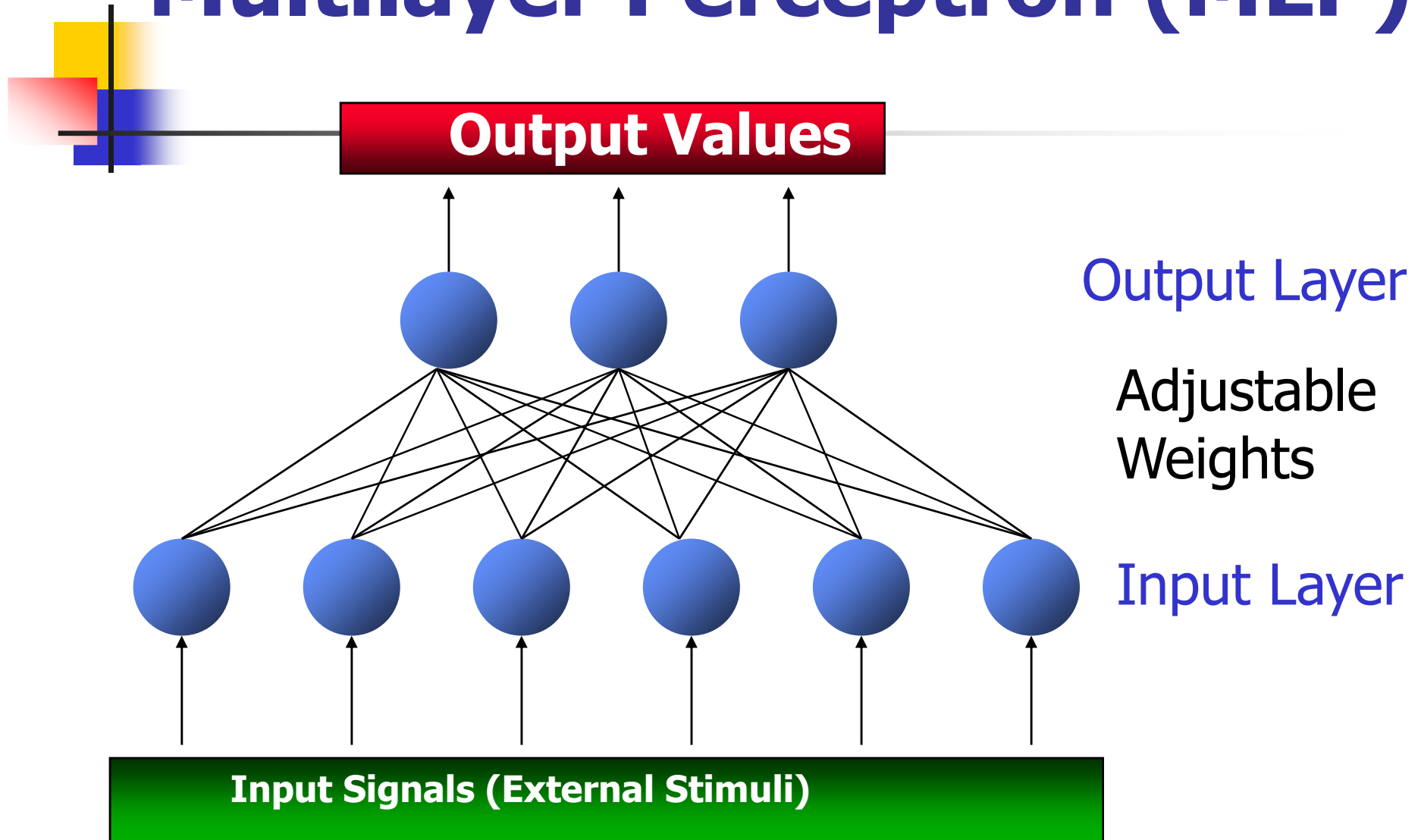
$o$  is the perceptron output

$\eta$  Is a small constant (e.g. 0.1) called *learning rate*

- If the output is correct ( $t=o$ ) the weights  $w_i$  are not changed
- If the output is incorrect ( $t \neq o$ ) the weights  $w_i$  are changed such that the output of the perceptron for the new weights is *closer* to  $t$ .
- The algorithm converges to the correct classification
  - if the training data is linearly separable
  - and  $\eta$  is sufficiently small



# Multilayer Perceptron (MLP)





# Types of Layers

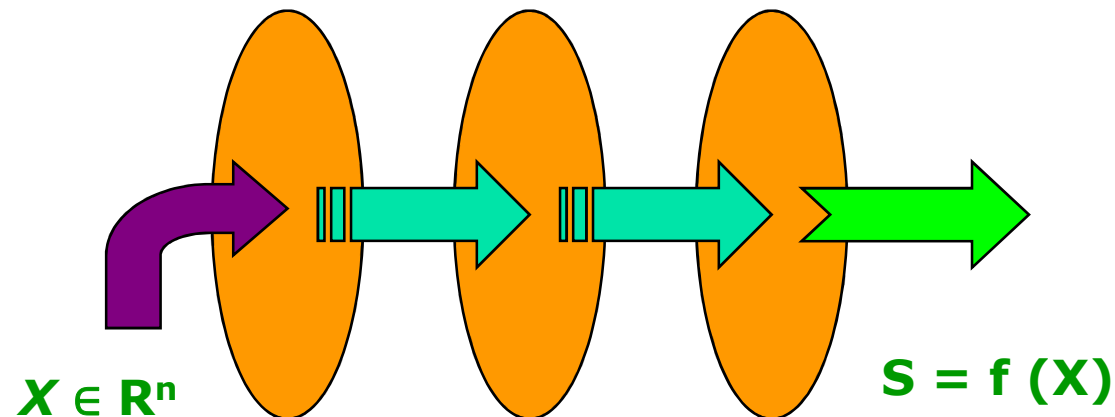
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- The input layer.
  - Introduces input values into the network.
  - No activation function or other processing.
- The hidden layer(s).
  - Perform classification of features
  - Two hidden layers are sufficient to solve any problem
  - Features imply more layers may be better
- The output layer.
  - Functionally just like the hidden layers
  - Outputs are passed on to the world outside the neural network.

# Feedforward vs Feedback:

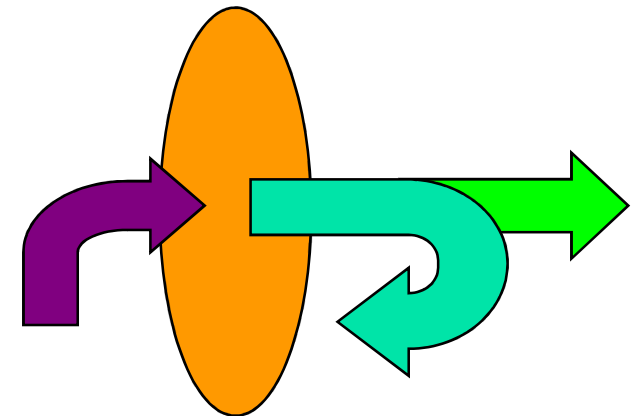
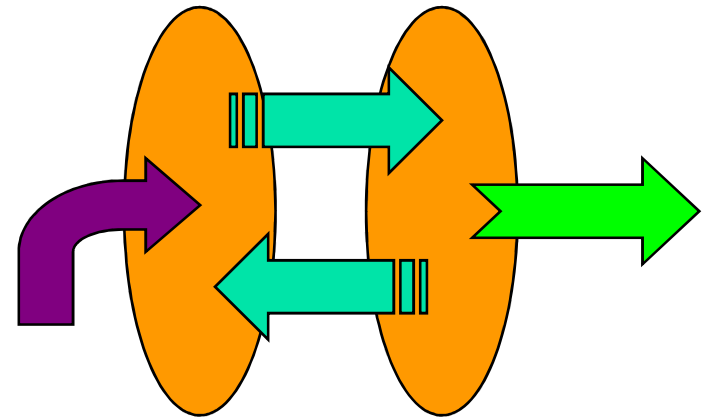
## *Multilayer Perceptrons*

- Organized into different layers
- Unidirectional connections
- **memory-less**: output depends only on the present input
- Find widespread applications in pattern classification.



# Feedforward vs Feedback: Recurrent Neural Networks

- *Non-linear dynamical systems*
- New state of the network is a function of the current input and the present state of the network
- Capable of performing powerful tasks such as
  - pattern completion
  - topological feature mapping
  - pattern recognition





# Training Algorithms

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- Adjust neural network weights to map inputs to outputs.
- Use a set of sample patterns where the desired output (given the inputs presented) is known.
- The purpose is to learn to generalize
  - Recognize features which are common to good and bad exemplars




# Back-Propagation

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- A training procedure which allows multi-layer feedforward Neural Networks to be trained;
- Can theoretically perform “any” input-output mapping;
- Can learn to solve linearly inseparable problems.
- In 1969 a method for learning in multi-layer network, **Backpropagation**, was invented by **Bryson and Ho**.
- The Backpropagation algorithm is a sensible approach for **dividing the contribution of each weight**.
- Works **basically** the same as perceptrons

# Backpropagation Network training

- 1. **Initialize** network with **random** weights
- 2. **For all** training cases (**called examples**):
  - **a.** Present training inputs to network and calculate output
  - **b.** For all layers (starting with output layer, back to input layer):
    - i. Compare **network output** with **correct output** (error function)
    - ii. **Adapt weights** in current layer



This is what you want



# Backpropagation Algorithm – Main Idea – error in hidden layers

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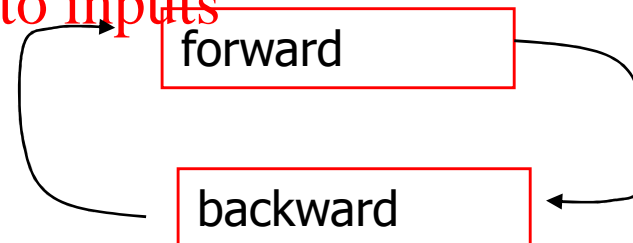
The ideas of the algorithm can be summarized as follows :

1. Computes the **error term for the output units** using the observed error.
2. From output layer, repeat
  - propagating the error term back to the previous layer and
  - updating the weights between the two layers until the earliest hidden layer is reached.



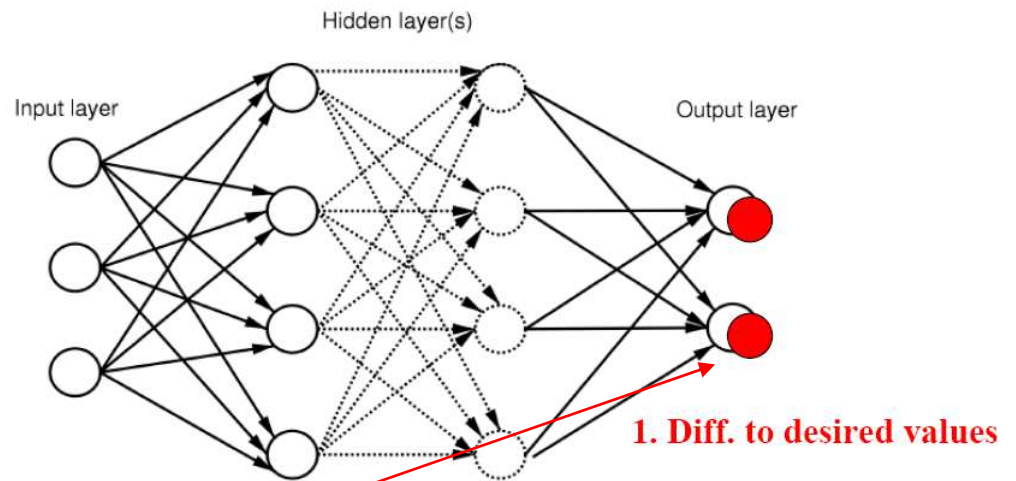
# Backpropagation Learning Details

- Method for **learning weights** in feed-forward (FF) nets
- Can't use Perceptron Learning Rule
  - no **teacher values** are possible for **hidden units**
- Use **gradient descent** to minimize the error
  - **propagate deltas** to **adjust for errors backward** from **outputs** to hidden layers **to inputs**



# Visualization of Backpropagation learning

## Backpropagation Learning



Brauni 2003

8

## Backpropagation Learning

$$E_{out\ i} = d_{out\ i} - out_i$$

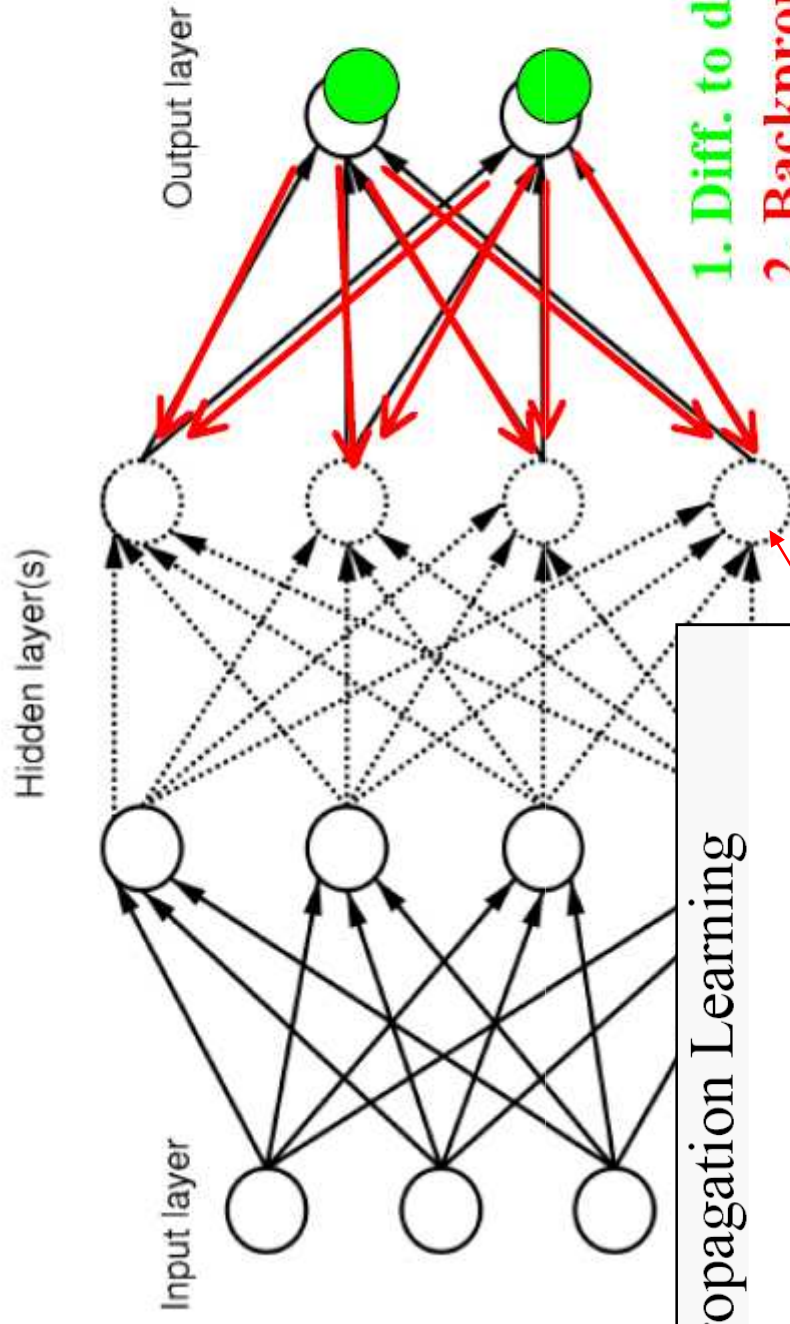
$$E_{total} = \sum_{i=0}^{num(n_{out})} E_{out\ i}^2$$

$$E_{hid\ i} = \sum_{k=1}^{num(n_{out})} E_{out\ k} \cdot w_{out\ i,k}$$

$$diff_{hid\ i} = E_{hid\ i} \cdot (1 - o(n_{hid\ i})) \cdot o(n_{hid\ i})$$

Backprop output layer

# Backpropagation Learning



9

## Backpropagation Learning

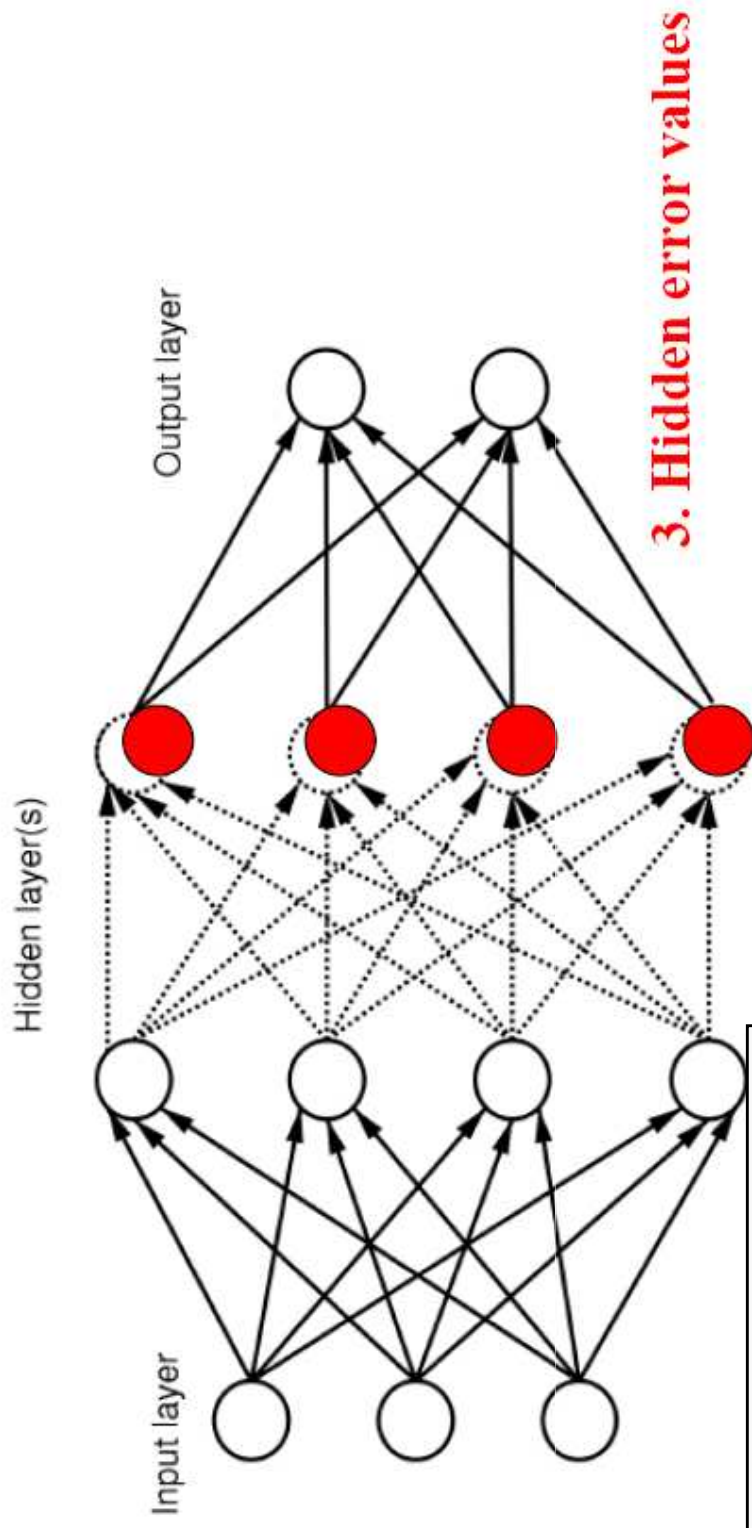
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# Backpropagation Learning



## Backpropagation Learning

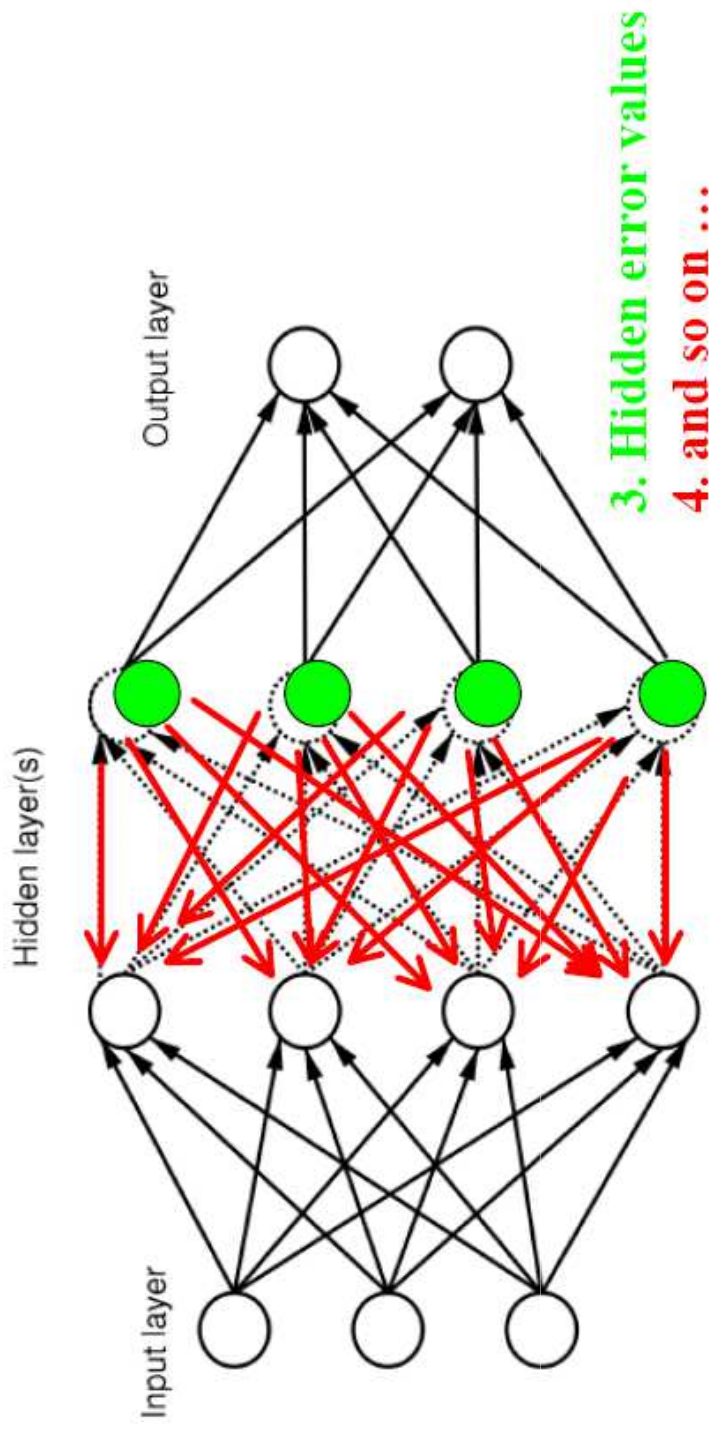
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# Backpropagation Learning



## Backpropagation Learning

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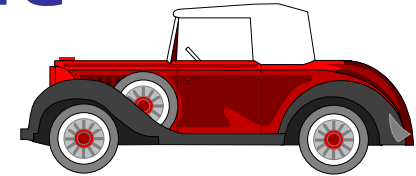
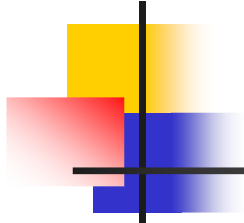
# Applications



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- The properties of neural networks define where they are useful.
  - Can learn complex mappings from inputs to outputs, based solely on samples
  - Difficult to analyse: firm predictions about neural network behaviour difficult;
  - Require limited understanding from trainer, who can be guided by heuristics.

# Engine management



- The behaviour of a car engine is influenced by a large number of parameters
  - temperature at various points
  - fuel/air mixture
  - lubricant viscosity.
- Major companies have used neural networks to dynamically tune an engine depending on current settings.



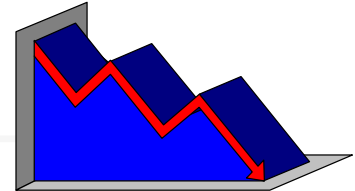
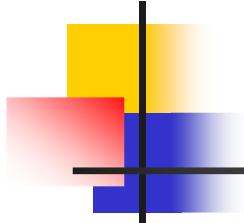
# Signature recognition

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- Each person's signature is different.
- There are structural similarities which are difficult to quantify.



# Stock market prediction



- “Technical trading” refers to trading based solely on known statistical parameters; e.g. previous price
- Neural networks have been used to attempt to predict changes in prices.
- Difficult to assess success since companies using these techniques are reluctant to disclose information.



# Summary

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1. Neural network is a computational model that simulate some properties of the human brain.
2. The connections and nature of units determine the behavior of a neural network.
3. Perceptrons are feed-forward networks that can only represent linearly separable functions.
4. Given enough units, any function can be represented by Multi-layer feed-forward networks.
5. Backpropagation learning works on multi-layer feed-forward networks.
6. Neural Networks are widely used in developing artificial learning systems.