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An individual differences perspective on pragmatic abilities in the preschool years

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- Acknowledgements: We are very thankful to Stella Christie for sharing the material for the relational match-to-sample task with us.
- Funding: M. Bohn received funding from the European Union's Horizon 2020
- 16 research and innovation programme under the Marie Sklodowska-Curie grant agreement
- 17 no. 749229. M. H. Tessler was funded by the National Science Foundation SBE
- Postdoctoral Research Fellowship Grant No. 1911790. M. C. Frank was supported by a
- Jacobs Foundation Advanced Research Fellowship and the Zhou Fund for Language and
- ²⁰ Cognition. The funders had no role in study design, data collection and analysis, decision
- to publish, or preparation of the manuscript.
- Ethical approval: The study was approved by an internal ethics committee at the
- 23 Max Planck Institute for Evolutionary Anthropology.
- Conflict of interest: The authors declare no conflict of interest.
- Data availability: All analysis scripts, data files and experimental stimuli can be
- 26 found in the following online repository: https://github.com/manuelbohn/pragBat.
- The authors made the following contributions. Manuel Bohn: Conceptualization,
- 28 Methodology, Formal Analysis, Visualization, Writing original draft, Writing review &
- editing; Michael Henry Tessler: Formal Analysis, Writing review & editing; Clara Kordt:
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35 Abstract

Pragmatic abilities are fundamental to successful language use and learning. Individual

37 differences studies contribute to understanding the psychological processes involved in

pragmatic reasoning. Small sample sizes, insufficient measurement tools, and a lack of

theoretical precision have hindered progress, however. Three studies addressed these

challenges in three- to five-year-old German-speaking children (N = 228, 121 female).

Studies 1 and 2 assessed the psychometric properties of six pragmatics tasks. Study 3

investigated relations among pragmatics tasks and between pragmatics and other cognitive

abilities. The tasks were found to measure stable variation between individuals. Via a

computational cognitive model, individual differences were traced back to a latent

pragmatics construct. This presents the basis for understanding the relations between

pragmatics and other cognitive abilities.

47 Keywords: Pragmatics, language development, individual differences, cognitive

48 modeling

49 Word count: 7997

An individual differences perspective on pragmatic abilities in the preschool years

Communication predates productive language. Before children produce their first

Introduction

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words, they communicate with the world around them using vocalizations and gestures 53 (Bates, Benigni, Bretherton, Camaioni, & Volterra, 1979; Bruner, 1974). The process of language learning recruits many of the social-cognitive processes that underlie pre-verbal communication (Bohn & Frank, 2019; E. V. Clark, 2009; Tomasello, 2009). Even for proficient language users, communication is not reducible to the words being exchanged. The common thread running through the different aspects of human communication is its inferential nature: what a speaker means – verbal or otherwise – is underdetermined by the parts that make up the utterance. It takes contextual social inferences, often referred to as pragmatic inferences, to recover the intended meaning (Bohn & Köymen, 2018; H. H. Clark, 1996; Grice, 1991; Levinson, 2000; Sperber & Wilson, 2001). The development of pragmatics has been widely studied in recent years (for a recent 63 review see Bohn & Frank, 2019). This research covers a range of different phenomena ranging from so-called pure pragmatics (Matthews, 2014) in non-verbal communication in infancy to sophisticated linguistic inferences developing much later (Huang & Snedeker, 2009; Papafragou & Skordos, 2016). A growing portion of this work is devoted to studying individual differences (Lorge, 2019; Matthews, Biney, & Abbot-Smith, 2018; O'Neill, 2007; A. Wilson & Bishop, 2022; E. Wilson & Katsos, 2021). The motivation behind the move to study individual variation is twofold: first, individual differences offer insights into the underlying psychological processes. If two phenomena (e.g. pragmatic reasoning and executive functions) vary together this is consistent with shared cognitive processes (Kidd, Donnelly, & Christiansen, 2018; Matthews et al., 2018; A. Wilson & Bishop, 2022), though it is not definitive evidence for such a claim. Second, deficits in pragmatic abilities have been linked to maladaptive behavioral patterns and forms of language impairment

(Helland, Lundervold, Heimann, & Posserud, 2014). However, research on individual differences comes with some unique challenges.

In their recent review, Matthews et al. (2018) identified three issues that significantly 78 limit what we can learn from individual differences research on pragmatic abilities. First, 79 most studies have insufficient sample sizes so that small and medium-sized correlations among pragmatics tasks and between pragmatics tasks and measures for other cognitive 81 abilities cannot be reliably detected (mirroring issues in estimating correlations across other fields, Schönbrodt & Perugini, 2013). Second, the tasks used to assess pragmatic abilities often have poor or unknown psychometric properties. For example, many tasks only have a single trial and are therefore unable to capture variation between children (see also Enkavi et al., 2019). Furthermore, reliability is not assessed, making it unclear if the task captures stable characteristics (Flake & Fried, 2020; Norbury, 2014; Russell & Grizzle, 2008). Third, the cognitive processes underlying pragmatic inferences in a particular task are underspecified. As a consequence, there is often no clear rationale for why a particular pragmatic task should correlate with another cognitive measure.

In search of a better understanding of individual variation in pragmatic ability, the 91 studies presented here directly address these issues. We identified six pragmatic reasoning tasks in children between three and five years of age and investigated their psychometric properties, in particular, their re-test reliability. Reliable tasks are a necessary precondition for meaningful individual differences research (Enkavi et al., 2019; Fried & Flake, 2018; Hedge, Powell, & Sumner, 2018). Next, we investigated the relations among different pragmatic reasoning tasks as well as between pragmatic reasoning and other cognitive abilities in a sample large enough to detect small to medium-sized correlations. Finally, we introduced computational cognitive models of pragmatic reasoning to the study of individual differences. Our model formalizes the cognitive processes that could underlie 100 pragmatic reasoning and provides a substantive theoretical account of why certain 101 pragmatic reasoning tasks should be related to one another. Here, we use the formalism 102

introduced by the Rational Speech Act (RSA) framework (Frank & Goodman, 2012; 103 Goodman & Frank, 2016). RSA models see pragmatic inferences as a special case of 104 (Bayesian) social reasoning. A pragmatic listener interprets an utterance by assuming it 105 was produced by a cooperative speaker. The speaker tries to be informative, that is, they 106 provide messages that would increase the probability that the listener will recover their 107 intended meaning. The informativeness of an utterance arises from an inference process 108 during which the effects of multiple – plausible — utterances are compared. We assume 109 that this inference process is shared by some of the pragmatics tasks involved in this study 110 and can thus be used to account for individual differences (see below). 111

The six tasks we selected were developmental adaptations of referential 112 communication games inspired by research in experimental pragmatics (Noveck & Reboul, 113 2008; Noveck & Sperber, 2004). They all share a common trial-by-trial structure in which 114 the test event always involved an agent producing an ambiguous utterance that the child 115 had to resolve using pragmatic reasoning. This structure allowed us to run multiple trials 116 per task, increasing reliability. We grouped the tasks into two broad categories (Figure 1). 117 Utterance-based tasks asked children to derive inferences from the words and gestures the 118 speaker produced in context. Common ground/discourse-based tasks asked children to derive inferences from the social interaction that preceded the utterance.

For the utterance-based category, we selected mutual exclusivity, informativeness inference, and ad-hoc implicature tasks. "Mutual exclusivity" describes the phenomenon that children tend to map a novel word to an unknown object (Bion, Borovsky, & Fernald, 2013; E. V. Clark, 1988; Halberda, 2003; Lewis, Cristiano, Lake, Kwan, & Frank, 2020; Markman & Wachtel, 1988; Merriman, Bowman, & MacWhinney, 1989). Following Lewis et al. (2020), we use the term "mutual exclusivity" as a convenient term to denote a specific task. This term is also related to a particular theoretical account of the phenomenon (Markman, 1990), but we do not presuppose that specific account. Here, we take a pragmatic perspective on this phenomenon and assume that children identify a novel

object as the referent of a novel word by assuming that the speaker would have used a different utterance (a familiar word) to refer to another potential referent present in 131 context (a familiar object). Informativeness inferences describe situations in which children 132 identify the referent of a novel word by assuming that the speaker is trying to be 133 informative. Being informative translates to using words that reduce ambiguity and help 134 the listener to recover the intended meaning (Frank & Goodman, 2014). Ad-hoc 135 implicature describes inferences that ask the child to contrast an utterance with 136 alternatives that the speaker could have used but did not (Katsos & Bishop, 2011; Stiller, 137 Goodman, & Frank, 2015; Yoon & Frank, 2019). Taken together, we assume that 138 pragmatic inferences in all three utterance-based tasks involve a comparison of possible 139 utterances. The tasks differ in how these possible utterances are constructed. We formalize 140 the task-specific and shared processes in the RSA model described below.

For the discourse-based category, we selected speaker preference, discourse novelty 142 and discourse continuity tasks. In the speaker preference task, the child had to track the 143 preference of a speaker in order to identify the referent of a novel word (Saylor, Sabbagh, 144 Fortuna, & Troseth, 2009). Discourse novelty refers to a situation in which the child tracks 145 the temporal appearance of objects and expects the speaker to refer to objects that are 146 new in context (Akhtar, Carpenter, & Tomasello, 1996; Diesendruck, Markson, Akhtar, & Reudor, 2004). In the discourse continuity task, the child had to infer and track the topic 148 of an ongoing conversation to resolve ambiguity (Akhtar, 2002; Bohn, Le, Peloquin, 140 Köymen, & Frank, 2021). Taken together, we assume that pragmatic inferences in these 150 three tasks involve tracking shared interactions with the speaker. They differ in the aspect of the interaction that needs to be tracked. In addition to the pragmatics tasks, we also included two additional cognitive tasks: one measuring an aspect of executive function (Zelazo, 2006) and the other analogical reasoning (Christie & Gentner, 2014). Executive 154 functions refer to a family of top-down mental processes that enable us to inhibit 155 automatic or intuitive responses and allow us to concentrate and focus attention on 156

particulars (Diamond, 2013). A substantial body of research has investigated the link 157 between different aspects of executive functions and pragmatics – with mixed results 158 (Matthews et al., 2018; Nilsen & Graham, 2009). One aspect of executive functions that 159 seems particularly relevant for pragmatic is inhibition. Pragmatic inferences, especially 160 when interpreting utterances, are thought to involve inhibiting one's own perspective and 161 focusing on contextual information. Nilsen and Graham (2009; see also Gillis and Nilsen, 162 2014) found that better inhibitory skills were associated with taking a speaker's 163 perspective. Given our focus on comprehension and the structure of our pragmatics tasks, 164 we therefore expected positive correlations between a task measuring the inhibition aspect 165 of executive function and our pragmatics tasks. 166

Analogical reasoning refers to the ability to reason about abstract relations between stimuli (Carstensen & Frank, 2021). To our knowledge, this ability has not been specifically linked to pragmatics. This tasks serves as a control to show that the correlation between executive functions and pragmatics is not due to all of the tasks measuring general mental abilities; if this were the case, then analogical reasoning should correlate with the pragmatics tasks in the same way as executive functions.

Study 1 and 2 explored the re-test reliability of the pragmatics tasks and found it to 173 be relatively good. Study 3 tested a larger sample of children to investigate relations 174 between the three utterance-based tasks. We focused on these tasks for theoretical reasons: 175 as noted above, we assume that – computationally – they share a common inference 176 process. We formalize these assumptions in a computational cognitive model which we 177 then use to study individual differences in this alleged process. Study 3 also included tasks for executive function (inhibition) and analogical reasoning. Across analytical approaches, 179 we found systematic relations among the pragmatics tasks as well as between pragmatics 180 and the inhibition aspect of executive function, but not analogical reasoning. In the 181 discussion, we use the structure of the cognitive model to speculate about the psychological 182 processes shared between pragmatics and executive functions. 183

 $_{184}$ Study 1

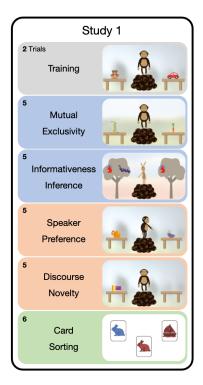
Study 1 focused on the psychometric properties of four pragmatics tasks, in 185 particular, their re-test reliability. We chose our sample size so that we would detect re-test 186 correlations larger than .5 with sufficient power. Two of the tasks were from the 187 utterance-based group and two from the common ground/discourse-based group. This 188 design allowed us to explore whether tasks within one group are more related to one 189 another than between groups. As a fifth task, we included a measure of executive function 190 (inhibition). Methods and sample size were pre-registered at https://osf.io/6a723. All 191 analysis scripts and data files can be found in the following repository: 192 https://github.com/manuelbohn/pragBat. The same repository also contains the code to 193 run the experiments. 194

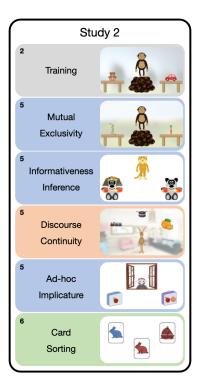
95 Participants

For Study 1, we collected data from 48 children ($m_{age} = 3.99$, range $_{age}$: 3.10 - 4.99, 23 girls), of whom 41 were tested twice. For most children, the two test sessions were two days apart; the longest time difference was six days. Children came from an ethnically homogeneous, mid-size German city (\sim 550,000 inhabitants, median income \in 1,974 per month as of 2020); were mostly monolingual and had mixed socioeconomic backgrounds. The study was approved by an internal ethics committee at the Max Planck Institute for Evolutionary Anthropology Data was collected between November 2019 and January 2020.

203 Material and Methods

The study was presented as an interactive picture book on a tablet computer (Frank,
Sugarman, Horowitz, Lewis, & Yurovsky, 2016). The tasks were programmed in
HTML/JavaScript and run in a web browser. Pre-recorded sound files were used to address
the child (one native German speaker per animal). Children responded by touching objects





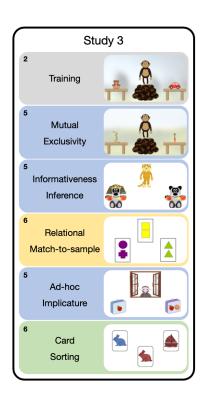


Figure 1. Overview of the tasks used in Study 1 to 3. Pictures show screenshots from each task. The vertical order corresponds to the order of presentation in each study. The colors group the tasks along the (assumed) cognitive processes involved. Blue: utterance-based inferences. Red common ground/discourse-based inferences. Green: Executive functions. Yellow: Analogical reasoning. Bold numbers show the number of trials per task.

on the screen. Children were tested in a quiet room in their daycare or in a separate room in a child laboratory. An experimenter guided the child through the study, selecting the different tasks and advancing within each task. In the beginning of the study, children completed a touch training to familiarize themselves with selecting objects. After a short introduction to the different animal characters, children completed the following six tasks. Figure 1 shows screenshots for each task and the order in which they were presented.

Training. An animal was standing on a pile between two tables. A familiar object was located on each table. The animal asked the child to give them one of the objects (e.g., "Can you give me the car"). The objects were chosen so that children of the youngest age

group would easily understand them (car and ball). This procedure familiarized the child
with the general logic of the animals making requests and the child touching objects. There
were two training trials.

Mutual exclusivity. This task was adapted from Bohn, Tessler, Merrick, and 220 Frank (2021). The task layout and the procedure was the same as in the training. In each 221 trial, one object was a novel object (drawn for the purpose of this study) while the other 222 one was likely to be familiar to children. Both object types changed from trial to trial. 223 Following Bohn, Tessler, et al. (2021), the familiar objects varied in terms of the likelihood 224 that they would be familiar to children in the age range (carrot, duck, eggplant, garlic, 225 horseshoe). For example, we assumed that most 3-year-olds would recognize a carrot, 226 whereas fewer children would recognize a horseshoe. The animal always used a novel 227 non-word (e.g., gepsa) in their request. We reasoned that children would identify the novel 228 object as the referent of the novel word because they assumed the animal would have used 229 the familiar word if they wanted to request the familiar object. Children's response was 230 thus coded as correct if they selected the novel object. There were five trials, with the side 231 on which the novel object appeared pseudo-randomized. 232

Informativeness inference. The task was adapted from Bohn, Tessler, Merrick, 233 and Frank (2022). The animal was standing between two trees with objects hanging in 234 them. In one tree, there were two objects (type A and B) and in the other tree there was 235 only one (type B). The animal turned to the tree with the two objects and labeled one of 236 the objects. It was unclear from the animal's utterance, which of the two objects they were 237 referring to. We assumed that children would map the novel word onto the object of type A because they expected the animal to turn to the tree with only the object of type B if 239 their intention was to provide a label for an object of type B. Next, the trees were replaced by new ones, one of which carried an object of type A and the other of type B. The animal then said that one of the trees had the same object as they labeled previously (using the 242 same label) and asked the child to touch the tree. We coded as correct if the child selected

the tree with the object of type A. The first two trials were training trials, in which there
was only one object in each tree. There were five test trials. The location of the tree with
the two objects in the beginning of each trial was pseudo-randomized and so was the
location of the objects when the new trees appeared.

Speaker preference. This task was also adapted from Bohn, Tessler, et al. (2022). 248 The animal was standing between the two tables, each of which had a novel object (drawn 249 for the purpose of the study) on it. The animal turned to one table, pointed at the object 250 and said that they very much liked this object (using a pronoun instead of a label). Next, 251 the animal turned to the other table and said that they really did not like the object 252 (again, using a pronoun and no label). Then the animal turned towards the participant 253 and used a novel label to request an object in an excited tone. We assumed that children 254 would track the animal's preference and identify the previously liked object as the referent. 255 Thus, we coded as correct if the child selected the object the animal expressed preference 256 for. There were five test trials. The location of the preferred object as well as whether the 257 animal first expressed liking or disliking was pseudo-randomized across trials 258

Discourse novelty. This task was adapted from Bohn, Tessler, et al. (2021). Once 259 again, the animal was standing between the two tables. One table was empty whereas there 260 was a novel object on the other table. The animal turned towards the empty table and 261 commented on its emptiness. Next, the animal turned to the other table and commented 262 (in a neutral tone) on the presence of the object (not using a label). The animal then 263 briefly disappeared. In the absence of the animal a second novel object appeared on the 264 previously empty table. Then the animal returned and, facing the participant, asked for an object in an excited tone. We assumed that children would track which object was new to the ongoing interaction and identify the object that was new in context as the referent. We coded as correct when children selected the object that appeared later. There were five test 268 trials. The location of the empty table and whether the animal first commented on the 260 presence or absence of an object was pseudo-randomized across trials

This task was modeled after Zelazo (2006). The child saw two Card sorting. 271 cards, a blue rabbit on the left and a red boat on the right. The experimenter introduced 272 the child to the color game they would be playing next. In this game, all blue cards 273 (irrespective of objects depicted) would go to the left card and all red cards to the right. 274 Next, a third card appeared in the middle of the screen (red rabbit or blue boat) and the 275 experimenter demonstrated the color sorting by moving the card to the one with the same 276 color. After a second demonstration trial, the child started to do the color sorting by 277 themselves. After six trials, the experimenter said that they were now going to play a 278 different game, the shape game, according to which all rabbits would go to the card with 279 the rabbit (left) and all boats to the card with the boat (right). The experimenter repeated 280 these instructions once and without any demonstration the child continued with the sorting 281 according to the new rule. There were six test trials. The shape on the card was 282 pseudo-randomized across trials. We only coded the trials after the rule change and coded 283 as correct when the child sorted according to shape. 284

Each child received exactly the same version of each task and completed the tasks in the same order, with the same order on the two days. This ensured comparability of performance across children.

288 Analysis

We analyzed the data in three steps. First we investigated developmental effects in
each task, then we assessed re-test reliability, and finally, we looked at relations between
the tasks. All analyses were run in R (R Core Team, 2018) version 4.1.2. Regression models
were fit as Bayesian generalized linear mixed models (GLMM) using the function brm from
the package brms (Bürkner, 2017). We used default priors for all analysis.

To estimate developmental effects in each task, we fit a GLMM predicting correct responses (0/1) by age (in years, centered at the mean) and trial number (also centered).

The model included random intercepts for each participant and random slopes for trial
within participants (model notation in R: correct ~ age + trial + (trial|id)). We
pre-registered the inclusion of random intercepts for item. We deviate from this here
because the order of items was fixed and the same for all participants so that trial and item
were confounded for each task. For each task, we inspected and visualized the posterior
distribution (mean and 95% Credible Interval (CrI)) for the age estimate.

We assessed re-test reliability in two ways. First, for each task we computed the 302 proportion of correct trials for each individual in the two test sessions and then used Pearson correlations to quantify re-test reliability. Second, we used a GLMM based approach suggested by Rouder and Haaf (2019). Here, a GLMM was fitted to the trial-by-trial data for each task with a fixed effect of age (in years, centered at the mean), a 306 random intercept for each participant and a random slope for test day (correct ~ age + 307 (0+test_day|id)). The notation 0+test_day yields a separate intercept estimate for each 308 test day and subject. The model also estimates a correlation between test days which can 309 be interpreted as the re-test reliability. This approach has several advantages. First, it uses 310 trial-by-trial data and avoids information loss that comes with data aggregation. Second, it 311 uses hierarchical shrinkage to obtain better participant-specific estimates. Finally, it allows 312 us to get an age-independent estimate for reliability. One worry when assessing re-test 313 reliability in developmental studies is that re-test correlations can be high because of 314 domain general cognitive gains and not because of task-specific individual differences. By 315 including age as a fixed effect in the model, the estimates for each participant are 316 independent of age and so is the correlation between estimates for the two test days – the 317 re-test reliability. 318

Finally, we used aggregated data from both test days for each participant and task to compute Pearson correlations between the different tasks. Given the small sample size in Study 1, this part of the analysis was mostly exploratory.

Results

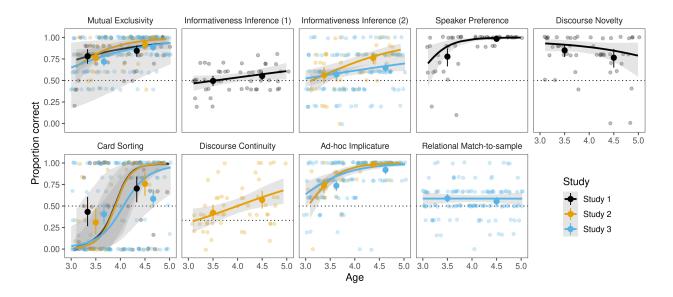


Figure 2. Results by task for studies 1 to 3. Each panel shows the results for one task. Regression lines show the predicted developmental trajectories (with 95% CrI, reflecting only uncertainty in the fixed effects) based on by-task GLMMs, with the line type indicating the study. Colored points show age group means (with 95% CI based on non-parametric bootstrap) with the different shapes corresponding to the different studies. Light shapes show the mean performance for each subject by study. Dotted line shows the level of performance expected by chance.

We found developmental effects in most of the tasks. Figure 2 shows the data and visualizes the developmental trajectories based on the model. Figure 3 shows the model estimates for age. In the mutual exclusivity task, performance was reliably above chance level and increased with age. For informativeness inference, the pattern was quite different: Performance was at chance level with only minor developmental gains. In the speaker preference task, performance was again clearly above chance with developmental gains resulting in a ceiling effect for older children. In the discourse novelty task, performance was also above chance with no clear developmental effects. The card sorting task showed the strongest developmental effects with younger children performing largely below chance

and older children performing above chance.

Re-test reliability was high for most tasks (see Figure 4). Raw correlation between
the two test sessions was above .7 for mutual exclusivity, speaker preference and discourse
novelty, though it was slightly lower for card sorting (.62). The model based – age
independent – reliability estimates yielded similar results suggesting that the tasks did
capture task specific individual differences. A notable exception was the informativeness
inference task, which was not reliable according to any of the methods of computing re-test
reliability (Figure 4). We suspected the overall low variation in performance to be
responsible for this.

Most correlations between the tasks were low and ranged between r = -0.2 and 0.2 (see Figure 2). A notable exception was the correlation between mutual exclusivity and card sorting (r = 0.31, 95% CI[0.03 - 0.55]).

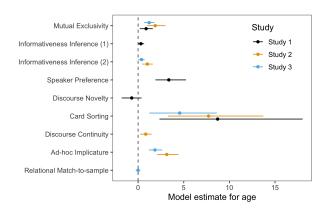
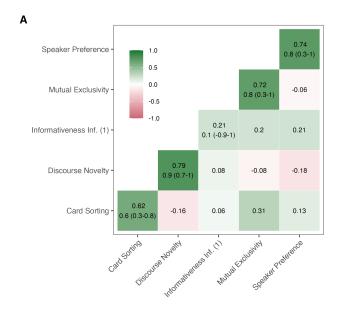


Figure 3. Model estimates (with 95% CrI) for age (in years, centered at the mean) based on GLMMs for each task and study.

344 Discussion

Study 1 showed that the different tasks were – for the most part – age appropriate and reliable. A notable exception was the informativeness inference task which generated no systematic variation in the age range we studied here. Correlations between the tasks



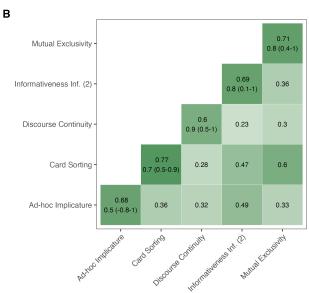


Figure 4. Re-test and task correlations for Study 1 (A) and, 2 (B). The diagonal in shows the re-test reliability based on aggregated raw test scores (top row) and based on a GLMM that accounted for participant age. Numbers in parenthesis are 95% CrI for the model based estimate. (see main text for details).

were generally low, with the exception of the relation between mutual exclusivity and card sorting. Given the small sample size, we avoid overly strong claims, however, it was interesting to see that the relation between the two tasks tapping into discourse-based inferences (speaker preference and discourse novelty) were – if anything – negatively correlated.

 $\mathbf{Study} \ \mathbf{2}$

The goal of Study 2 was to assess the re-test reliability in a new set of tasks. We 354 retained the mutual exclusivity and card sorting tasks because of the interesting relation 355 between the two found in Study 2. We simplified the informativeness inference task to be more age appropriate with the hope of inducing more variation in performance. We removed the speaker preference and discourse novelty tasks – despite their excellent re-test 358 reliability – because they seemed to be unrelated to one another and also unrelated to the 359 other tasks. We also added new tasks focused on ad-hoc implicature and discourse continuity. As noted in the introduction, we had theoretical reasons to expect the ad-hoc 361 implicature task to be related to the mutual exclusivity and informativeness inference 362 tasks. We had no such strong predictions for the discourse continuity task. 363

Methods and sample size were pre-registered at https://osf.io/hp9f7. Data, analysis scripts and experiment code can be found in the associated online repository.

B66 Participants

Participants for Study 2 were recruited from the same general population. We collected data from 54 children ($m_{age} = 3.97$, range $_{age}$: 3.09 - 4.93, 24 girls), of whom 40 were tested twice. The two test sessions were again two days apart; the longest time difference was 14 days. Data was collected between March and October 2020.

71 Material and Methods

The general setup and mode of presentation was the same as in Study 1. We added two new tasks and modified the informativeness inferences task, which we will describe in detail below. The training, mutual exclusivity and card sorting tasks were the same as in Study 1.

Informativeness inference. The structure and coding of the task was the same as 376 in Study 1, however, we replaced the stimuli from Bohn, Tessler, et al. (2022) with those 377 used originally by Frank and Goodman (2014). Instead of objects in trees, these new 378 stimuli featured a range of different objects with different properties (e.g., a bear with a 379 club and a crown vs. a bear with just a club) The first two trials were training trials, in 380 which each object only had one property. There were five test trials. The location of the 381 object with the two properties in the beginning of each trial was pseudo-randomized and so 382 was the location of the properties when the new objects appeared.

Discourse continuity. This task was adapted from Bohn, Le, et al. (2021). 384 Children were told that they were going to visit the animals in their home. An animal 385 greeted the child and told them that they would show them their things. During exposure 386 trials, the child saw three objects from three different categories (e.g., train (vehicle), drum 387 (instrument), orange (fruit); see Figure 1). The animal named one of the objects and asked 388 the child to touch it. On the next exposure trial, the child saw three new objects but from 389 the same categories (e.g., bus (vehicle), flute (instrument), apple (fruit)). The animal asked 390 the child to touch the object from the same category as previously (only naming the 391 object, not the category). There were 5 such exposure trials. On the following test trial, the animal used a pronoun to refer to one of the objects (i.e., can you touch it). We assumed that children would use the exposure trials to infer that the animal was talking about a certain category and would use this knowledge to identify the referent of the 395 pronoun. Children received five test trials, each with a different category as the target.

The position of the objects in exposure trials as well as test trials was pseudo-randomized.

Ad-hoc implicature. This task used the general procedure and stimuli developed 398 in Yoon and Frank (2019). The animal was located in a window, looking out over two objects (see Figure 1). Both objects were of the same kind, but had different properties. As 400 properties we chose objects that were well known to children of that age range. One object 401 had one property (A), while the other had two (A and B). For example, objects were lunch 402 boxes, one with an orange and the other with an orange and an apple. The animal then 403 asked the child to hand them their object which was the one with the property that both 404 objects shared (A). We assumed that children would pick the object with only property A 405 because they expected the animal to name property B if they had wanted to refer to the 406 object with both properties. There were five test trials, preceded by two training trials in 407 which the objects did not share a common property. The positioning of the objects (left 408 and right) was pseudo-randomized. 400

410 Analysis

We used the same methods to analyze the data as in Study 1.

Results

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We found substantial developmental gains in all five tasks (Figure 2 and 3). For mutual exclusivity and ad-hoc implicature performance was above chance across the entire age range. For the informativeness inference and discourse continuity tasks, performance was close to chance for younger children and reliably above it for older children. Like in Study 1, we found the strongest developmental effect for card sorting, with performance below chance for 3-year-olds and above chance for 4-year-olds.

Re-test reliability based on aggregated data was good for all tasks with most estimates around 0.7. The model-based reliability estimates were similar, with lower values

for ad-hoc implicature and higher ones for discourse continuity. Notably, the revised informativeness inference task showed a much-improved re-test reliability compared with the estimate from Study 1.

Correlations between tasks were generally higher compared to Study 1. In fact, confidence intervals for correlation coefficients were not overlapping with 0 except for the correlation between the discourse continuity and informativeness inference tasks (Figures 4. Once again, we found the strongest relation between card sorting and mutual exclusivity (r= 0.60, 95% CI[0.40 - 0.75]). Other notable relations were those between card sorting and informativeness inference (r = 0.47, 95% CI[0.23 - 0.65]) as well as between ad-hoc implicature and informativeness inference (r = 0.49, 95% CI[0.25 - 0.67]).

431 Discussion

In Study 2 we found good results from a measurement perspective: all tasks had
acceptable re-test reliability. This result extended to the informativeness inference task,
which had very low reliability in Study 1. Higher average performance and increased
variability both suggest that our changes to the stimuli made the task easier for children.

As in Study 1, we found a relatively strong correlation between the mutual
exclusivity and card sorting tasks. This finding supports the idea that these tasks share
common processes. We also found substantial relations between the three utterance-based
inference tasks (mutual exclusivity, ad-hoc implicature, informativeness inference). The
correlations between these tasks and the discourse continuity task were numerically lower.

Study 3

In Study 3, we focused explicitly on the relations between the different tasks. In
particular, we explored the idea that the three utterance-based inference tasks share
common cognitive processes. Once again, we also included the card sorting task and added

a new task of analogical reasoning as a control for which we did not expect strong relations with the other tasks. To be able to test predictions about cross-task variation, we collected data from a comparatively larger sample of children.

The reliability estimates from Study 1 and 2 helped us plan the sample size for Study 448 3. The focal tasks had a re-test reliability around 0.7. Because the highest plausible correlation between two tasks is the product of their reliabilities (higher correlations would 450 mean that the task is more strongly related to a different task than to itself), the highest we could expect were correlations between two tasks around 0.7*0.7=0.49. We planned 452 our sample so that we could detect correlations between two tasks of 0.3 with 95% power. 453 The first author drafted a pre-registration and shared it with the last author but forgot to 454 register it at OSF. Thus, the study was not officially pre-registered. Data, analysis scripts 455 and experiment code can be found in the associated online repository. 456

457 Participants

For Study 3, we collected data from 126 children ($m_{age} = 4.00$, range_{age}: 3.00 - 5.02, 459 74 girls) from the same general population. Data was collected between June and 460 November 2021. Children were tested only once.

461 Materials and Methods

From Study 2, we used the mutual exclusivity, ad-hoc implicature, informativeness inference and card sorting tasks. We added the relational match-to-sample task, which we now describe in more detail.

Relational match-to-sample. The task was modeled after (and used the original stimuli from) Christie and Gentner (2014). The child saw three cards, one on top (the sample) and two at the bottom (the potential matches; see Figure 1). The experimenter guided the child through the study and read out the instructions. The child was instructed

to match the sample card to one of the lower ones based on similarity, that is, they were 469 instructed to pick the card that was "like" the sample. All cards had two geometrical 470 shapes of the same color on them. The sample card showed two identical shapes and so did 471 one of the potential matches. The other card showed two different shapes. We assumed 472 that children would match the sample to the match that showed the same relation between 473 shapes (sameness). Children received six test trials, preceded by two training trials in 474 which one of the potential matches was identical to the sample. The position of the 475 same-match was pseudo randomized. 476

477 Analysis

We estimated age effects and raw correlations between tasks in the same way as in 478 Studies 1 and 2. We used two additional methods to investigate the structure of individual 479 differences between tasks: first, we used Confirmatory Factor Analysis (CFA). Models were 480 fit in a Bayesian framework using the R package blavaan (Merkle & Rosseel, 2018) using 481 default priors. The response variables were z-transformed aggregated raw scores for each 482 participant. (In the associated online repository we also include models that treat the raw 483 scores as ordinal instead of continuous. These models yield the same qualitative results). 484 As outlined above, our focal model assumed that mutual exclusivity, ad-hoc implicature 485 and informativeness inference load on a common pragmatics factor. The card sorting and 486 relational match-to-sample tasks were included as separate factors. We used Posterior 487 Predictive P-Values (PPP) to evaluate model fit (Lee & Song, 2012). A good model fit is 488 indicated by a PPP close to 0.5 and should not be smaller than 0.1 (Cain & Zhang, 2019). We also fit two alternative models: one including only a single factor on which all tasks loaded and a second with a separate factor for each task. In the latter model, we set the correlations between the pragmatics tasks to be zero so that this model represents the hypothesis that the pragmatics tasks are unrelated. We compared models using WAIC 493 (widely applicable information criterion) scores and weights (McElreath, 2018). WAIC is

an indicator of out-of-sample predictive accuracy with lower values indicating better fit. 495 WAIC weights transform WAIC values to give the probability that a particular model (out 496 of the models considered) provides the best out-of-sample predictions. In addition, we 497 report Bayes Factors (LaPlace approximation) for model comparisons computed based on 498 the approximate marginal likelihood of the data given each model. Within the focal model, 490 we inspected the posterior estimates (with 95%CrI) for the factor loadings and the variance 500 in the task explained by the factor for the three pragmatics tasks. In addition, we 501 evaluated the correlations between the pragmatics factor and the other two tasks. 502

Second, we used computational cognitive models from the Rational Speech Act 503 (RSA) framework to relate the three pragmatics tasks to one another (Frank & Goodman, 504 2012; Goodman & Frank, 2016). In contrast to the CFA model above, the RSA models are 505 models of the tasks and not of the data. That is, they include a schematic representation of 506 the experimental tasks and provide a computational account of how participants make 507 inferences in this context. RSA models see pragmatic inferences as a form of Bayesian 508 social reasoning where the listener tries to infer the speaker's meaning (here: the intended 509 referent) by assuming that the speaker is helpful and informative. Being helpful and 510 informative means that the speaker chooses a message based on the probability that it would help the listener to recover the speaker's intended meaning (i.e., select the intended 512 referent). Thus, RSA models have a recursive structure in which the listener reasons about 513 a speaker who is reasoning about the listener. To avoid an infinite regress, the speaker is 514 assumed to reason about a literal listener, who interprets utterances according to their 515 literal semantics. A formal definition of the modelling framework can be found in the 516 Appendix 517

Our main model contained three sub-models, one for each task. Importantly, the sub-models shared one common parameter: the speaker informativeness parameter α . This commonality offers a way of relating performance in the three tasks to one another by constraining the three models to use the same value for α . We then used Bayesian inference

to estimate the posterior distribution for α that best explained performance in the three 522 tasks. To adapt this framework to the study of individual differences, we allowed a separate 523 parameter for each participant (α_i) . We estimated α_i in a hierarchical model as a deviation 524 from a hyper parameter: $\alpha_i \sim \mathcal{N}(\alpha_j, \sigma^{\alpha})$. Given the developmental nature of our data, we 525 defined α_j via a linear regression as a function of the child's age (age_i) : $\alpha_j = \beta_0^{\alpha} + age_i \cdot \beta_1^{\alpha}$. 526 Thus, the participant-specific value for α was not only constrained by the performance in 527 the three tasks but also by the child's age. The parameter can be interpreted in the 528 following way: for $\alpha = 1$, the likelihood of each referent is equal to its relative 529 informativity. Values of $\alpha > 1$ amplify the preference of the most informative referent and 530 reflect a heightened expectation that the speaker chooses the best utterance. In contrast, 531 values of $1 > \alpha > 0$ (lower bound) decrease the preference for the most informative referent 532 and thus suggest an expectation that the speaker is not helpful or informative.

To account for differences in difficulty between the tasks due to other factors, we added a scale parameter to the model that adjusted α for each task in comparison to a reference task (ad-hoc implicature).

To validate this approach, we first applied this model to the data from Study 2 separately for each test session. This allowed us to compute the re-test reliability of α and see if it captures individual differences equally well compared to the raw test scores. After finding excellent re-test reliability, we applied it to the data from Study 3 and correlated the results with the card sorting and relational match-to sample tasks. For this correlational analysis, we converted the posterior distribution for each participant into a single value by taking the mode (and 95% highest density interval – HDI). The cognitive models were implemented in WebPPL (Goodman & Stuhlmüller, 2014) and the corresponding code, including information on prior distributions (which we omit here for space), can be found in the associated online repository.

47 Results

The age effects in Study 3 largely replicate those of Study 2 for the four overlapping
tasks (see Figure 2 and 3). There were no substantial developmental gains in the newly
added relational match-to-sample task and performance was close to chance for both age
groups. Thus – in the absence of information on re-test reliability – it is unclear if the
variation in performance reflects systematic individual differences in analogical reasoning or
not.

Overall, the correlations between the tasks were lower compared to Study 2. This was to some extent expected given that there were only half the number of trials per task in Study 3 and, hence less "signal" (systematic, non-error variability) for capturing individual differences. Nevertheless, the overall pattern resembles that found in Study 2 (compare Figure 4 and 5). We saw the strongest bi-variate relation between the mutual exclusivity and the ad-hoc implicature task (r = 0.33, 95% CI[0.16 - 0.48]) followed by ad-hoc implicature and card sorting (r = 0.28, 95% CI[0.11 - 0.44]). The relational match-to-sample task showed no substantial correlations with any of the other tasks.

Next, we turn to the results of the confirmatory factor analysis. Our focal model – 562 including a latent factor for pragmatic reasoning – fit the data well (PPP = 0.50, WAIC = 1,753.45, se = 32.01). The one factor model also showed an acceptable fit (PPP = 0.42, 564 WAIC = 1,754.87, se = 32.26), while the model with individual factors (and correlations 565 between the pragmatics tasks set to zero) did not (PPP = 0.02, WAIC = 1.773.57, se = 566 32.67). Due to this poor fit, we did not consider this model further. When directly 567 comparing the focal model to the one factor model, we found that the focal model provided 568 a slightly better fit (WAIC difference = -0.71, se of difference = 1.04, Bayes Factor in favor 569 of the focal model = 8.42). 570

Figure 6 shows factor loadings for the individual tasks as well as their residual variance. The latent pragmatic reasoning factor best explained the mutual exclusivity task,

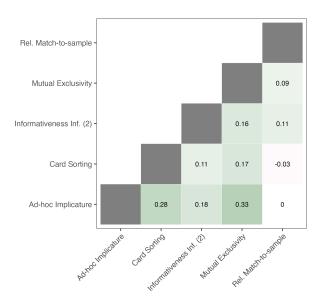


Figure 5. Correlations between tasks in Study 3 based on aggregated raw test scores.

followed by the ad-hoc implicature and the informativeness inference task. The correlation between pragmatic reasoning and executive function (inhibition, indicated by the card sorting task) was estimated to be reliably different from zero (r = 0.33; model estimate = 0.20, 95% CrI [0.01 - 0.38]). There was no systematic relation between pragmatic reasoning and analogical reasoning (as indicated by the relational match-to-sample task): r = 0.06; model estimate = 0.04, 95% CrI [-0.11 - 0.19].

Finally, we present the results of the cognitive modeling analysis. Using the data 579 from Study 2, we saw that participant specific speaker informativeness parameters (α) were 580 highly reliable (Figure 7B). The scale parameter suggested that the mutual exclusivity task 581 was easier and the informativeness inference task was harder compared to the ad-hoc implicature task (Figure 7C). When correlating α with performance in the other two tasks, 583 the cognitive modeling approach yielded similar conclusions compared to the confirmatory 584 factor analysis (Figure 7A): There was a substantial correlation with the card sorting (r =585 0.31, 95% CI[0.15 - 0.47]) but not the relational match-to-sample task (r = 0.03, 95%586 CI[-0.15 - 0.20]). 587

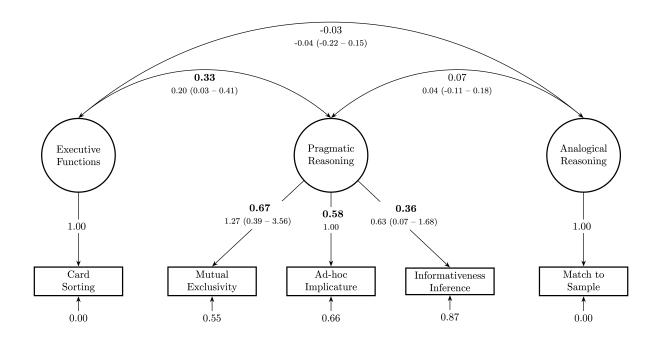


Figure 6. Graphical overview of CFA model for Study 3. Arrows from latent variable (circles) to observed variable (rectangles) show factor loadings. Bottom arrows to observed variables give the residual variance not explained by the factor. Bent arrows between latent variables show correlations. Bottom rows show model estimates with 95% CrI. Top rows show standardized estimates (bold if 95 % CrI does not include 0).

Discussion

Using a diversity of analytical tools, we found that performance in the three utterance-based pragmatic inference tasks was related in a way that points to shared cognitive processes. In the confirmatory factor analysis, we found that a model including a latent pragmatic reasoning factor fit the data well and better compared to alternative models. The latent factor explained substantial portions of the variance in each of the three tasks. The cognitive modeling approach provides an explicit theory of what the shared cognitive processes may look like: the pragmatic inference in each task included contrasting the utterance the speaker produced with alternative utterances. Individual

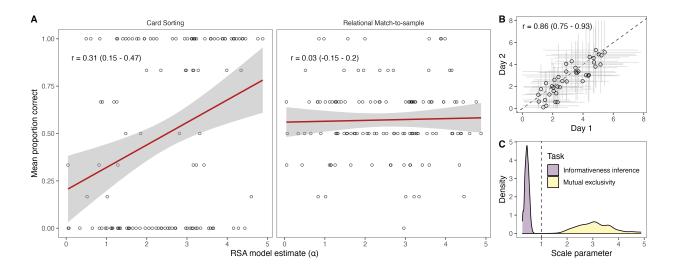


Figure 7. Results of cognitive model analyses. A: Correlation between the speaker informativeness parameter α and the performance in the card sorting and relational match to sample tasks. Regression line (with 95% CI) is based on a linear model. B: Re-test reliability for α based on the data from Study 2. C: Scale parameter for α in relation to the ad-hoc implicature task. Values below 1 indicate a more difficult task, values above 1 an easier task. Correlation coefficients show Pearson correlation with 95% CI.

differences stem from differential expectations about how informative the speaker is. 597

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Both analytic strategies point to systematic relations between pragmatic reasoning 598 and aspects of executive functions as measured by the card sorting test. We found no such relations with analogical reasoning as indicated by the relational match-to-sample task. 600 However, given the unknown psychometric properties of the latter task, this result should be interpreted with caution.

General Discussion

In this paper, we explored the development of pragmatic inferences in the preschool years. We identified six tasks covering a broad range of pragmatic phenomena. We found them to have generally good re-test reliability. We then selected three utterance-based inference tasks for a well-powered study of relations among different types of pragmatic

abilities and between pragmatics and other cognitive abilities. The results showed
systematic relations between the utterance-based tasks, consistent with a latent cognitive
construct. We used a computational cognitive model of pragmatic reasoning to formalize
the cognitive processes we believed the tasks to share. Finally, we found pragmatic abilities
to be related to a task of executive functions.

One of the main contributions of this paper is that it presents six pragmatic inference 613 tasks that are highly robust and reliable. Whenever we used a task in two studies (mutual 614 exclusivity, informativeness inference, ad-hoc implicature), we found developmental results 615 that replicated previous findings. In Study 1 and 2, all tasks showed good re-test reliability 616 - even when corrected for age. A notable exception was the informativeness inference task 617 in Study 1. However, after making some procedural changes, it turned out to be robust 618 and reliable as well. Taken together, the tasks are suitable for individual differences 619 research, advancing the agenda of Matthews et al. (2018). These materials are freely 620 available via the associated online repository. 621

We grouped our pragmatics tasks into utterance-based and common 622 ground/discourse based. This grouping broadly captured the kind of information that we 623 assumed to be relevant to compute the inference. For Study 3, we focused on the three 624 utterance-based tasks. The main reason was theoretical. We were able to build on earlier 625 work (Bohn, Schmidt, Schulze, Frank, & Tessler, 2022; Bohn, Tessler, et al., 2021; Bohn, 626 Tessler, et al., 2022) and formalize the inferences involved in these tasks in a common 627 computational framework. We specified the structural overlap between the tasks and 628 identified a parameter in the model that we used to capture individual differences. The shared structural features involve a recursive social inference process according to which the listener expects the speaker to select the most informative of a set of possible utterances. The individual difference parameter captured how informative the listener 632 expected the speaker to be. Previous accounts would not have predicted such an overlap. 633 In particular, theoretical accounts of mutual exclusivity as arising from heuristics or

principles unconnected with pragmatic reasoning (reviewed in Lewis et al., 2020) do not make the prediction of correlations with other pragmatic tasks.

Our formal model also allowed us to speculate about why we saw a systematic 637 relation across the three studies between pragmatic inference and the card sorting task as a 638 measure of the inhibition aspect of executive function. Before we do so, we want to 639 emphasize that the model is first and foremost a computational description of the tasks and 640 not a model of a psychological process (cf. Goodman & Frank, 2016). Here we speculate, assuming a bit more psychological realism in our interpretation of the RSA model than 642 previous authors have. The card sorting task asks the child to switch between rules after 643 having practiced the first rule over the course of several trials. This switch requires inhibiting a pre-potent response and attending to different features of the cards. Similarly, pragmatic inference in the RSA model involves contrasting the observed utterance with alternative plausible utterances. This process, too, could be described as requiring inhibiting available, plausible interpretations and contrasting different interpretations before making a response. To pursue this connection further, the next step should be to 640 model card sorting and the pragmatics tasks jointly to substantiate such a verbal analysis. 650

51 Limitations

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The studies we presented here have important limitations. Our focus on the
utterance-based pragmatic inference tasks meant that we did not study or analyze the
common ground/discourse-based tasks with the same level of detail. That is, we did not
formalize them in a cognitive model and did not study relations between them in a larger
sample. Future research should address these shortcomings. Nevertheless, the work
presented here is an important first step because it showed that the common
ground/discourse tasks themselves have good psychometric properties.

Finally, we only studied one sample of children from a Western, affluent setting.

Thus, it is unclear if and how the results would transfer to other settings (Nielsen, Haun, Kärtner, & Legare, 2017). The tasks used here were largely developed and tested with English-speaking children in the US. The fact that they transferred well to our German setting is at least a small hint that they might also be suitable to study pragmatic inference in other cultural and linguistic settings.

665 Conclusion

The studies reported here addressed some fundamental challenges in the study of individual differences in pragmatic abilities (Matthews et al., 2018). First, we provide a 667 much-needed assessment of the psychometric properties of pragmatics tasks. This provides 668 a solid empirical basis for individual differences research in this field. Second, we explore 669 the structure of individual differences in pragmatic abilities and provide a novel, 670 computational account of individual differences in utterance-based pragmatic inferences. 671 This helps to establish a taxonomy of pragmatic skills and corresponding measures – 672 something that has been missing from the literature so far (Norbury, 2014; Russell & 673 Grizzle, 2008). 674

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Appendix

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The studies from which we took the mutual exclusivity and informativeness inference tasks also formalized these tasks in an RSA-style model (Bohn, Tessler, et al., 2021; Bohn, Tessler, et al., 2022). We refer to this earlier work for a more detailed description of the models. For the present study, we formalized the ad-hoc implicature task within the same RSA framework. The common model structure is formally defined as:

$$P_{L_1}(r|u) \propto P_{S_1}(u|r) \cdot P(r)$$

In the above equation, the listener (P_{L_1}) is trying to infer the speaker's (P_{S_1}) intended referent r by imagining what a rational speaker would say, given the referent they are trying to communicate and the listener's prior expectations about the referent P(r) (which we assumed to be uniform over potential referents). The speaker is an approximately rational Bayesian actor (with degree of rationality α) who produces utterances as a function of their informativity.

$$P_{S_1}(u|r) \propto Informativity(u;r)^{\alpha}$$

The informativity of an utterance for a referent is taken to be the probability with which a naive listener (P_{L_0}) , who only interprets utterances according to their literal semantics, would select a particular referent given an utterance.

$$Informativity(u;r) = P_{L_0}(r|u)$$

The three models differ in the types of utterances that are being produced, however, they share the same contrastive inference process according to which the listener (P_{L_1}) compares the speaker's (P_{S_1}) utterance to a set of alternative, possible utterances. As noted above, the listener expects the speaker to be informative (with degree α) that is, choose the

utterance that best communicates the intended message. In the mutual exclusivity task, 857 the speaker produced an unfamiliar word; thus, the alternative utterance for the speaker 858 would have been to use a familiar word. In the case of the informative inference task, the 859 speaker pointed to the object with two properties; thus, the alternative would have been to 860 point to the object with only one property. For the ad-hoc implicature task the speaker 861 referred to the property shared by the two objects, which contrasts with referring to the 862 property that was unique to one of the objects. In all cases, these alternative utterances 863 would be better suited to communicate about the respective other referent. 864