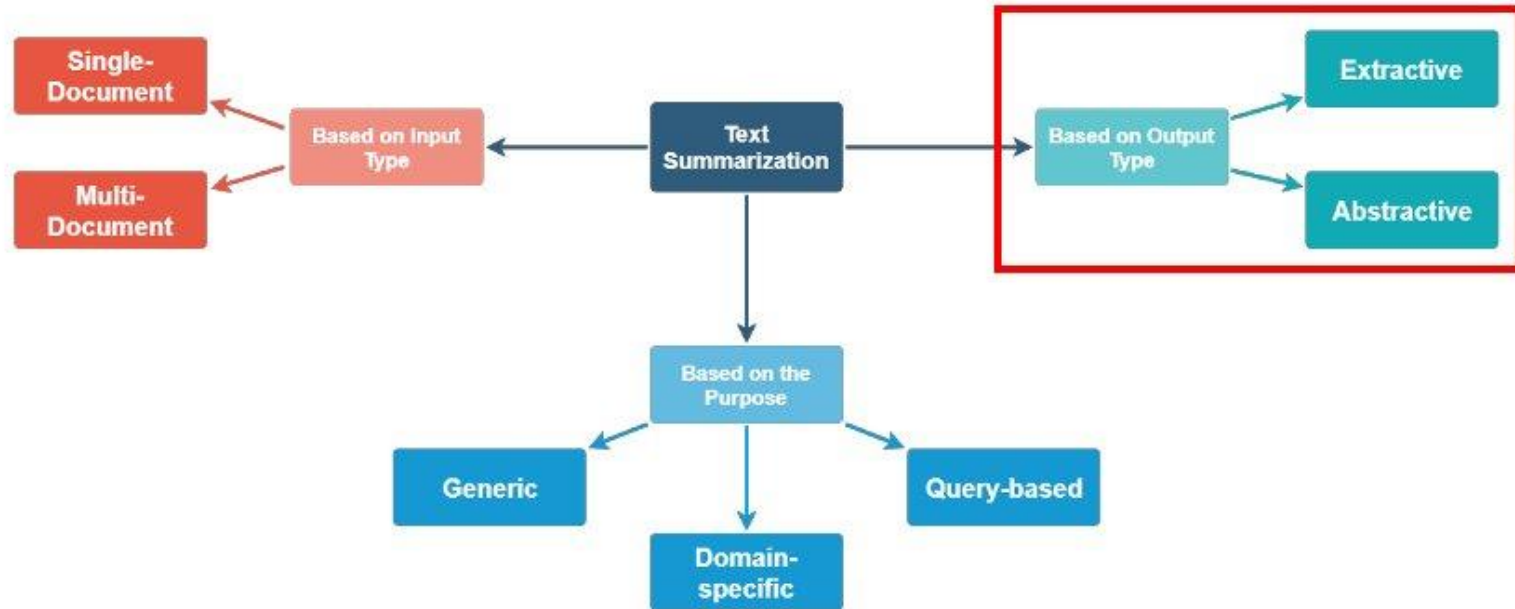


# Document Summarization using Neural Networks

Manu Hegde, VIII 'A', CSE  
1DA15CS067

# Paradigms in Document/Text summarization



# Major types of Document Summarization

- **Extractive document summarization** is generating summaries by selecting words, phrases or sentences which when combined can effectively represent the gist of the document.
- **Abstractive document summarization** is generating summaries that may contain words other than those present in the original document.

Abstractive summarization requires that the summarizer be able to represent a given set of sentences in an intermediate representation using which a summary can be derived. Hence until 2014, efforts for summarization were mostly Extractive.

The first major success in abstractive summarization was enabled by the creation of high dimensional word embeddings[1] such that words were represented as vectors and whose dot product showed their similarity.

This led to development of recurrent neural network based encoder decoder (Sequence to Sequence) models [2].

[1] - **Word2Vec** <https://arxiv.org/abs/1301.3781>,

[2] - **Sequence to Sequence Learning with Neural Networks** <https://arxiv.org/abs/1409.3215>

# Approaches to Extractive Document Summarization

**Extractive document summarization** has been mostly done by complex systems carefully engineered with manual feature selection and pre processing based on language specific patterns.

Mostly they belonged to following categories:

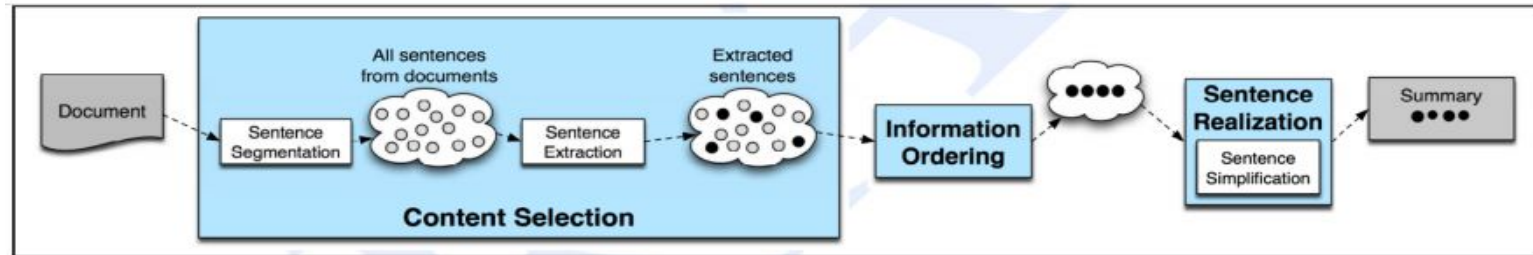
## **Sentence scoring functions:**

- Based on presence of topic keywords
- Features such as where the sentence appears in the document

## **Graph-based algorithms**

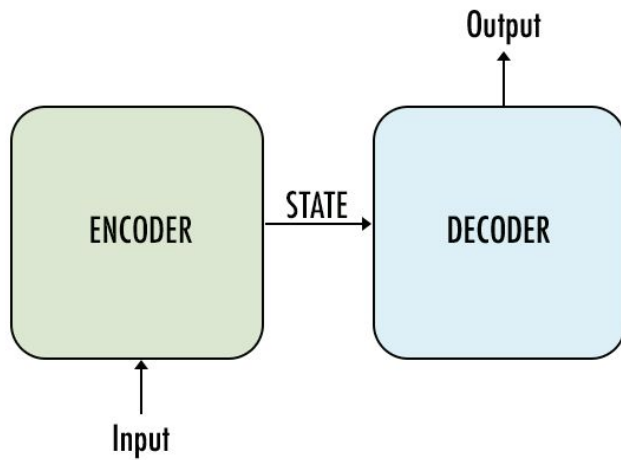
- view the document as a set of sentences (nodes), with edges between each sentence pair
- Edge weight is proportional to sentence similarity
- Use graph algorithms to identify sentences which are central in the graph

### **A general architecture of a pre neural era extractive summarizer**



# Approaches to Abstractive Document Summarization

**Abstractive document summarization** has been achieved using various types of models. However, all major models are based on Encoder-Decoder architecture. The Encoder-Decoder architecture is a common base technology for various other tasks like Translation, Text classification, etc.



**Encoder:** Takes text as input, in the form of word embeddings (like Word2Vec), optionally adds position encoding for words and outputs a latent state/representation for given input.

**Latent State/ Representation** is an intermediate, fixed length value (usually), which is capable of meaningfully representing the contents of the input.

**Decoder:** Takes the latent representation as input and generates the final output i.e the summary.

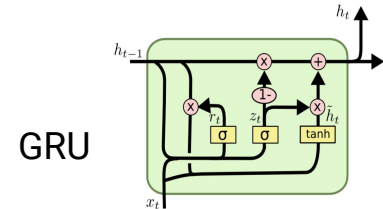
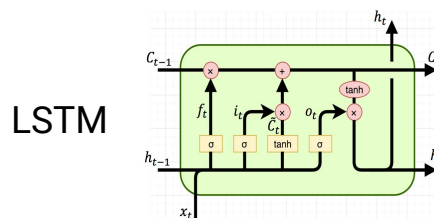
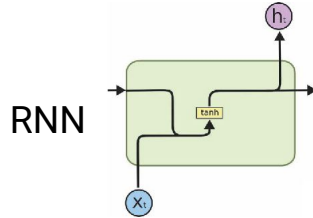
Recurrent Bi-LSTM/GRU based Seq2Seq, Seq2Seq with Attention Mechanism and Transformers with Self Attention are three major and widely used architectures for abstractive summarization.

# Recurrent Seq2Seq Model

**Recurrent Neural Networks (RNN)** are a subcategory of neural networks where output of a neuron is fed back to it along with its input. This feedback is considered as previous state of the recurrent neuron. Every state of a recurrent neuron is considered as a time step.

As the length of input increases, information from much older time steps eventually vanish. Two major variants of RNN are hence used as alternatives.

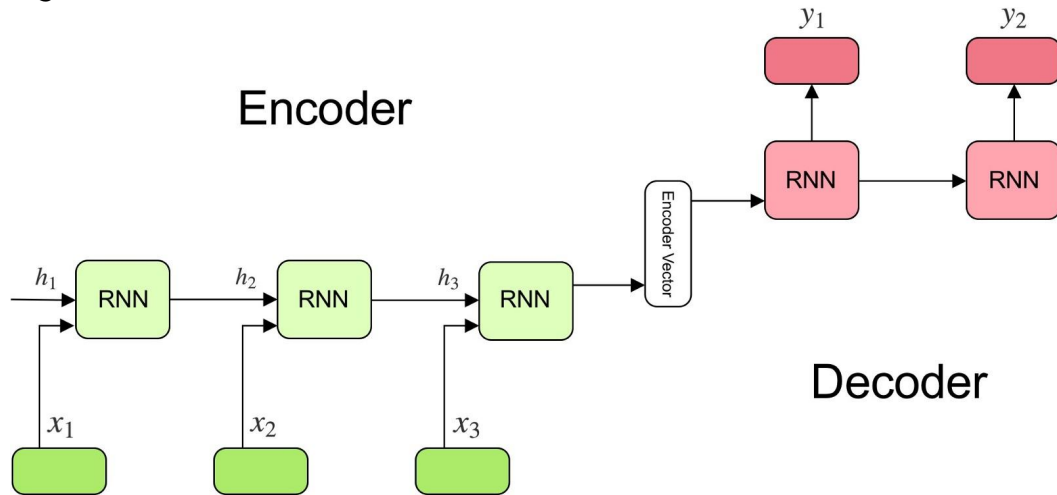
- **LSTM (Long Short-Term Memory Cells):** Introduced in 1997, these cells have the ability to store and retrieve information from many previous time steps. Hypothetically LSTMs are more powerful most other variants of RNN.
- **GRU (Gated Recurrent Units):** Introduced in 2014, These cells are much faster compared to LSTM and are proven to outperform RNNs and LSTMs in practical scenarios.



LONG SHORT-TERM MEMORY - <https://www.bioinf.jku.at/publications/older/2604.pdf>  
Gated Recurrent Units - <https://arxiv.org/abs/1412.3555>

# Recurrent Seq2Seq model

- **Recurrent Seq2Seq (Sequence2Sequence)** are made of either of RNNs/GRUs/LSTMs.
- LSTMs and GRUs are used more commonly and every recurrent unit can have multiple hidden states
- The number of recurrent units in the Encoder is linearly dependant on the length on the input, i.e the number of words in the input.
- This model is also used to generate contextual embeddings, i.e embeddings for words based on its position in the sentence and its surrounding words.
- **Advantages:**
- Computational efficient compared to other models and can model vocabulary of upto few million words.
- **Disadvantages:**
- Cannot be used for summarizing very long documents.
- Outputs UNK (unknown) symbol whenever a word outside its trained vocabulary is seen.



Skip Thought Vectors - <https://arxiv.org/abs/1506.06726>

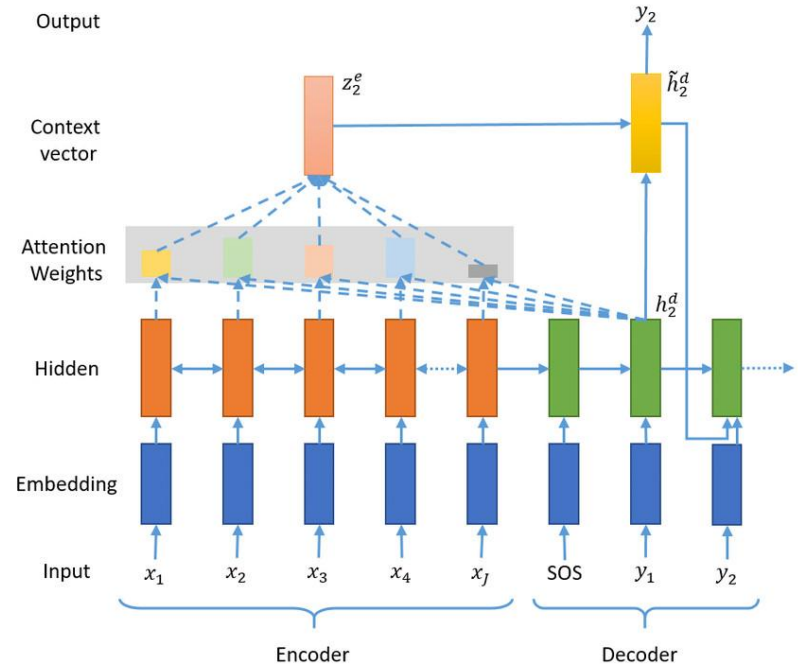
Sequence to Sequence Learning with Neural Networks - <https://arxiv.org/abs/1409.3215>

# Recurrent Seq2Seq with Attention

- **Recurrent Seq2Seq (Sequence2Sequence) with Attention** consists of an additional attention mechanism over the existing Seq2Seq architecture.
- Attention A is calculated as

$$A(q, K, V) = \sum_i \frac{e^{q \cdot k_i}}{\sum_j e^{q \cdot k_j}} v_i$$

- **Advantages:**
- Attention can be used to concentrate on important parts of a sentence, in a self supervised manner.
- It can be easily accelerated using GPU since its not sequential.
- Enables in considering larger context of the document.
- **Disadvantages:**
- An Extra layer of computation that grows quadratically with input size and dimension of the latent state.

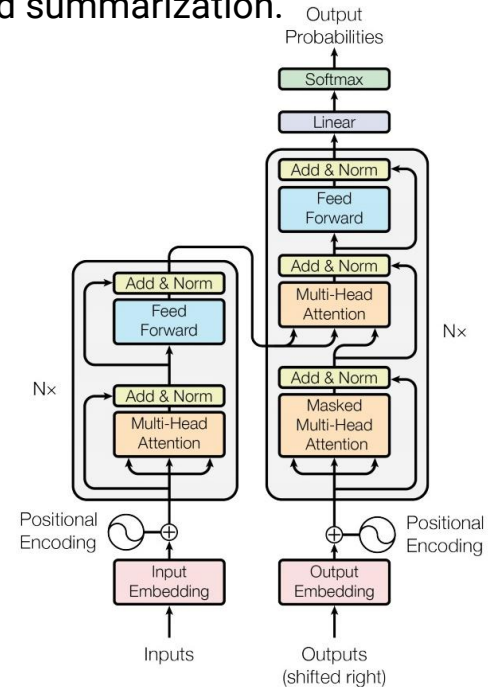


A Neural Attention Model for Abstractive Sentence Summarization - <https://arxiv.org/pdf/1509.00685.pdf>  
Attention Is All You Need - <https://arxiv.org/abs/1706.03762>



# Transformer Models

- **Transformer models with Attention** is a novel architecture that uses pure attention, without any recurrent neurons for various tasks like translation, language modelling and summarization.
- **Advantages:**
- Multi head attention is used to learn various smaller and larger contexts in a document.
- Very good performance even on very large input.
- Enhanced learning due to positional encoding and context vectors.
- Can work with character level language modelling, i.e it can understand words even with repeated letters, i.e it knows 'yesss' and 'yes' are the same.
- It can understand that same word in different position has different meaning.
- **Disadvantages:**
- Very computationally intensive to train. One of the most popular model i.e BERT by Google has 117 Million parameters.



Attention Is All You Need - <https://arxiv.org/abs/1706.03762>

Unsupervised Multi task Learners - <https://d4mucfpksyww.cloudfront.net/better-language-models/language-models.pdf>

BERT: Deep Bidirectional Transformers <https://arxiv.org/pdf/1810.04805.pdf>

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# Applications

- Summarizers can be used for quick understanding of lengthy academic papers.
- It can also be used for obtaining simplified explanations of complex scientific literature.
- For generation of news feed of news articles.
- For summarizing tenders and legal documents including end user licence agreements which are known to be very long.
- For quick comparison of documents.