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CSCI4230 Computational Learning Theory  
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Based on *Rocco Servedio's* notes

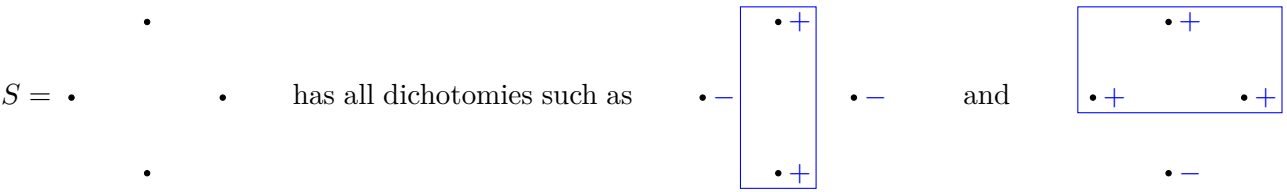
## Notes 5: VC dimension

### 1. VAPNIK-CHERVONENKIS DIMENSION

Related to mistake lower bounds in Online Learning  
Usually an integer, telling us how expressive a concept class  $\mathcal{C}$  is  
Given concept class  $\mathcal{C}$  over instance space  $X$ , subset  $S \subseteq X$  is **shattered** by  $\mathcal{C}$  if all “dichotomies” of  $S$  can be induced by  $\mathcal{C}$ , i.e.:

$$\forall T \subseteq S, \exists c \in \mathcal{C} \text{ s.t. } c \cap S = T$$

$X = \text{the plane} = \mathbb{R}^2$       $\mathcal{C} = \text{axis-aligned rectangles}$



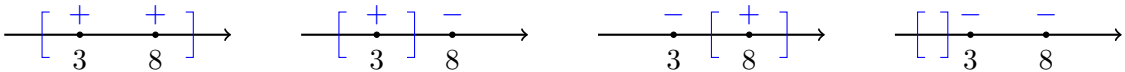
$\text{VCDim}(\mathcal{C})$  is the size of the largest subset  $S \subseteq X$  shattered by  $\mathcal{C}$   
 $\text{VCDim}(\mathcal{C}) = d$  if and only if

- (1) some subset  $S \subseteq X$  with  $|S| = d$  is shattered by  $\mathcal{C}$ ; and
- (2) all subsets of size  $d + 1$  is not shattered by  $\mathcal{C}$

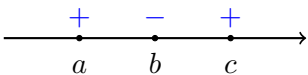
$\text{VCDim}(\mathcal{C})$  can be  $\infty$

Example: Closed intervals of the real line

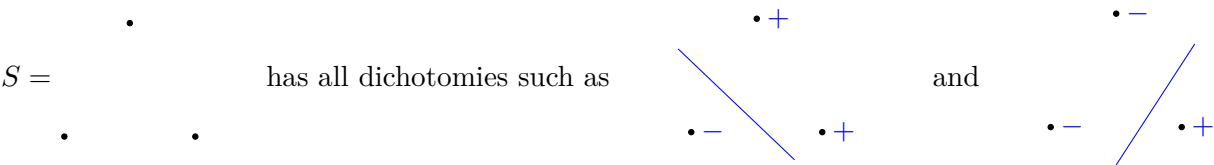
$X = \mathbb{R}$       $\mathcal{C} = \text{closed intervals} = \{[a, b] \mid a, b \in \mathbb{R}\}$  where  $[a, b] = \{x \in \mathbb{R} \mid a \leq x \text{ and } x \leq b\}$   
Every two points (e.g. 3 and 8) can be shattered  $\implies \text{VCDim}(\mathcal{C}) \geq 2$



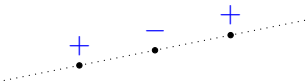
No three points ( $a < b < c$ ) can be shattered  $\implies \text{VCDim}(\mathcal{C}) \leq 2$



Example: Halfspaces in the plane      $X = \mathbb{R}^2$       $\mathcal{C} = \text{LTF}$   
Any three non-collinear points can be shattered  $\implies \text{VCDim}(\mathcal{C}) \geq 3$



No four points can be shattered  $\implies \text{VCDim}(\mathcal{C}) \leq 3$   
Case 1: contains three collinear points



Case 2: No three points collinear

Case 2a: Some point inside the triangle formed by three other points

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Case 2b: Four points form a convex quadrilateral  $\iff$  the **two diagonals** cross