An overview of embedding models of entities and relationships for knowledge base completion

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Abstract

Knowledge bases (KBs) of real-world facts about entities and their relationships are useful resources for a variety of natural language processing tasks. However, because knowledge bases are typically incomplete, it is useful to be able to perform *knowledge base completion* or *link prediction*, i.e., predict whether a relationship not in the knowledge base is likely to be true. This article serves as a brief overview of embedding models of entities and relationships for knowledge base completion, summarizing up-to-date experimental results on standard benchmark datasets FB15k, WN18, FB15k-237, WN18RR, FB13 and WN11.

Keywords: Knowledge base completion, link prediction, embedding model, triple classification, entity prediction.

1 Introduction

Before introducing the KB completion task in details, let us return to the classic Word2Vec example of a "royal" relationship between "king" and "man", and between "queen" and "woman." As illustrated in this example: $v_{king} - v_{man} \approx v_{queen} - v_{woman}$, word vectors learned from a large corpus can model relational similarities or linguistic regularities between pairs of words as translations in the projected vector space (Mikolov et al., 2013; Pennington et al., 2014). Figure 1 shows another example of a relational similarity between word pairs of countries and capital cities:

$$egin{array}{ll} oldsymbol{v}_{Japan} - oldsymbol{v}_{Tokyo} &pprox & oldsymbol{v}_{Germany} - oldsymbol{v}_{Berlin} \ oldsymbol{v}_{Germany} - oldsymbol{v}_{Berlin} &pprox & oldsymbol{v}_{Italy} - oldsymbol{v}_{Rome} \ oldsymbol{v}_{Portugal} - oldsymbol{v}_{Lisbon} \end{array}$$

Let us consider the country and capital pairs in Figure 1 to be pairs of entities rather than word types. That is, we now represent country and capital entities by low-dimensional and dense vectors. The relational similarity between word pairs

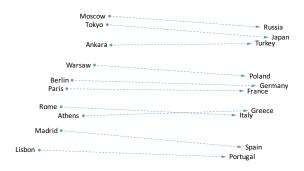


Figure 1: Two-dimensional projection of vectors of countries and their capital cities. This figure is drawn based on Mikolov et al. (2013).

is presumably to capture a "is_capital_of" relationship between country and capital entities. Also, we represent this relationship by a translation vector $\boldsymbol{v}_{is_capital_of}$ in the entity vector space. Thus, we expect:

$$egin{array}{ll} oldsymbol{v}_{Tokyo} + oldsymbol{v}_{is_capital_of} - oldsymbol{v}_{Japan} &pprox & oldsymbol{0} \ oldsymbol{v}_{Berlin} + oldsymbol{v}_{is_capital_of} - oldsymbol{v}_{Germany} &pprox & oldsymbol{0} \ oldsymbol{v}_{Rome} + oldsymbol{v}_{is_capital_of} - oldsymbol{v}_{Italy} &pprox & oldsymbol{0} \ oldsymbol{v}_{Lisbon} + oldsymbol{v}_{is_capital_of} - oldsymbol{v}_{Portugal} &pprox & oldsymbol{0} \end{array}$$

This intuition inspired the TransE model—a well-known embedding model for KB completion or link prediction in KBs (Bordes et al., 2013).

Knowledge bases are collections of real-world triples, where each triple or fact (h,r,t) in KBs represents some relation r between a head entity h and a tail entity t. KBs can thus be formalized as directed multi-relational graphs, where nodes correspond to entities and edges linking the nodes encode various kinds of relationship (García-Durán et al., 2016; Nickel et al., 2016a). Here entities are real-world things or objects such as persons, places, organizations, music tracks or movies. Each relation type defines a certain relationship between entities. For example, as illustrated in Figure 2, the relation type

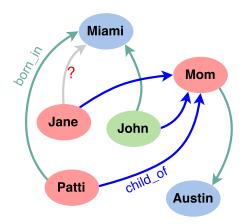


Figure 2: An illustration of (incomplete) knowledge base, with 4 person entities, 2 place entities, 2 relation types and total 6 triple facts. This figure is drawn based on Weston and Bordes (2014).

"child_of" relates person entities with each other, while the relation type "born_in" relates person entities with place entities. Several KB examples include the domain-specific KB GeneOntology and popular generic KBs of WordNet (Fellbaum, 1998), YAGO (Suchanek et al., 2007), Freebase (Bollacker et al., 2008), NELL (Carlson et al., 2010) and DBpedia (Lehmann et al., 2015) as well as commercial KBs such as Google's Knowledge Graph, Microsoft's Satori and Facebook's Open Graph. Nowadays, KBs are used in a number of commercial applications including search engines such as Google, Microsoft's Bing and Facebook's Graph search. They also are useful resources for many NLP tasks such as question answering (Ferrucci, 2012; Fader et al., 2014), word sense disambiguation (Navigli and Velardi, 2005; Agirre et al., 2013), semantic parsing (Krishnamurthy and Mitchell, 2012; Berant et al., 2013) and co-reference resolution (Ponzetto and Strube, 2006; Dutta and Weikum, 2015).

A main issue is that even very large KBs, such as Freebase and DBpedia, which contain billions of fact triples about the world, are still far from complete. In particular, in English DBpedia 2014, 60% of person entities miss a place of birth and 58% of the scientists do not have a fact about what they are known for (Krompaß et al., 2015). In Freebase, 71% of 3 million person entities miss a place of birth, 75% do not have a nationality while 94% have no facts about their parents (West et al., 2014). So, in terms of a specific application, question answering systems based on incomplete KBs would not provide a correct answer

given a correctly interpreted question. For example, given the incomplete KB in Figure 2, it would be impossible to answer the question "where was Jane born ?", although the question is completely matched with existing entity and relation type information (i.e., "Jane" and "born_in") in KB. Consequently, much work has been devoted towards knowledge base completion to perform link prediction in KBs, which attempts to predict whether a relationship/triple not in the KB is likely to be true, i.e., to add new triples by leveraging existing triples in the KB (Lao and Cohen, 2010; Bordes et al., 2012; Gardner et al., 2014; García-Durán et al., 2016). For example, we would like to predict the missing tail entity in the incomplete triple (Jane, born_in,?) or predict whether the triple (Jane, born_in, Miami) is correct or not.

Embedding models for KB completion have been proven to give state-of-the-art link prediction performances, in which entities are represented by latent feature vectors while relation types are represented by latent feature vectors and/or matrices and/or third-order tensors (Nickel et al., 2011; Jenatton et al., 2012; Bordes et al., 2013; Wang et al., 2014; Dong et al., 2014; Lin et al., 2015b; Guu et al., 2015; Krompaß et al., 2015; Toutanova and Chen, 2015; García-Durán et al., 2016; Trouillon et al., 2016; Toutanova et al., 2016; Nickel et al., 2016b). This article briefly overviews the embedding models for KB completion, and then summarizes up-to-date experimental results on two standard evaluation tasks: i) the entity prediction task—which is also referred to as the link prediction task (Bordes et al., 2013)—and ii) the triple classification task (Socher et al., 2013).

2 Embedding models for KB completion

2.1 A general approach

Let \mathcal{E} denote the set of entities and \mathcal{R} the set of relation types. Denote by \mathcal{G} the knowledge base consisting of a set of correct triples (h, r, t), such that $h, t \in \mathcal{E}$ and $r \in \mathcal{R}$. For each triple (h, r, t), the embedding models define a *score function* f(h, r, t) of its plausibility. Their goal is to choose f such that the score f(h, r, t) of a correct triple (h, r, t) is higher the score f(h', r', t') of an incorrect triple (h', r', t').

Table 1 summarizes different score functions f(h,r,t) and the optimization algorithms used to estimate model parameters. To learn model parameters (i.e., entity vectors, relation vectors

| Model | Score function $f(h, r, t)$ | Opt. |
|---------------|--|----------|
| Unstructured | $oxed{-\ oldsymbol{v}_h - oldsymbol{v}_t\ _{\ell_{1/2}}}$ | SGD |
| SE | $-\ \mathbf{W}_{r,1}oldsymbol{v}_h-\mathbf{W}_{r,2}oldsymbol{v}_t\ _{\ell_{1/2}}~; \mathbf{W}_{r,1}, \mathbf{W}_{r,2}\in\mathbb{R}^{k	imes k}$ | SGD |
| SME | $(\mathbf{W}_{1,1} \boldsymbol{v}_h + \mathbf{W}_{1,2} \boldsymbol{v}_r + \mathbf{b}_1)^{\top} (\mathbf{W}_{2,1} \boldsymbol{v}_t + \mathbf{W}_{2,2} \boldsymbol{v}_r + \mathbf{b}_2)$ $\mathbf{b}_1, \mathbf{b}_2 \in \mathbb{R}^n; \mathbf{W}_{1,1}, \mathbf{W}_{1,2}, \mathbf{W}_{2,1}, \mathbf{W}_{2,2} \in \mathbb{R}^{n \times k}$ | SGD |
| ENTITY | $\mathbf{v}_{r,1}^{	op} oldsymbol{v}_h + \mathbf{v}_{r,2}^{	op} oldsymbol{v}_t ; \mathbf{v}_{r,1}, \mathbf{v}_{r,2} \in \mathbb{R}^k$ | SGD |
| TransE | $\ -\ oldsymbol{v}_h+oldsymbol{v}_r-oldsymbol{v}_t\ _{\ell_{1/2}}$; $oldsymbol{v}_r\in\mathbb{R}^k$ | SGD |
| TransH | $egin{aligned} -\ (\mathbf{I}-oldsymbol{r}_poldsymbol{r}_p^	op)oldsymbol{v}_h+oldsymbol{v}_r-(\mathbf{I}-oldsymbol{r}_poldsymbol{r}_p^	op)oldsymbol{v}_t\ _{\ell_{1/2}}\ oldsymbol{r}_p,oldsymbol{v}_r\in\mathbb{R}^k 	ext{ , I denotes an identity matrix size }k	imes k \end{aligned}$ | SGD |
| TransR | $\ -\ \mathbf{W}_r oldsymbol{v}_h + oldsymbol{v}_r - \mathbf{W}_r oldsymbol{v}_t\ _{\ell_{1/2}} \; ; \mathbf{W}_r \in \mathbb{R}^{n 	imes k} \; , oldsymbol{v}_r \in \mathbb{R}^n$ | SGD |
| STransE | $\ -\ \mathbf{W}_{r,1}oldsymbol{v}_h+oldsymbol{v}_r-\mathbf{W}_{r,2}oldsymbol{v}_t\ _{\ell_{1/2}}~;\mathbf{W}_{r,1},\mathbf{W}_{r,2}\in\mathbb{R}^{k	imes k}$, $oldsymbol{v}_r\in\mathbb{R}^k$ | SGD |
| TranSparse | $egin{aligned} -\ \mathbf{W}_{r,1}(heta_{r,1})oldsymbol{v}_h + oldsymbol{v}_r - \mathbf{W}_{r,2}(heta_{r,2})oldsymbol{v}_t\ _{\ell_{1/2}} \ \mathbf{W}_{r,1}, \mathbf{W}_{r,2} \in \mathbb{R}^{n	imes k}; 	heta_{r,1}, 	heta_{r,2} \in \mathbb{R} \; ; oldsymbol{v}_r \in \mathbb{R}^n \end{aligned}$ | SGD |
| TransD | $\ - \ (\mathbf{I} + oldsymbol{r}_p oldsymbol{h}_p^	op) oldsymbol{v}_h + oldsymbol{v}_r - (\mathbf{I} + oldsymbol{r}_p oldsymbol{t}_p^	op) oldsymbol{v}_t \ _{\ell_{1/2}} \ ; oldsymbol{r}_p, oldsymbol{v}_r, oldsymbol{h}_p, oldsymbol{t}_p \in \mathbb{R}^k$ | AdaDelta |
| lppTransD | $\boxed{-\ (\mathbf{I}+\boldsymbol{r}_{p,1}\boldsymbol{h}_p^\top)\boldsymbol{v}_h+\boldsymbol{v}_r-(\mathbf{I}+\boldsymbol{r}_{p,2}\boldsymbol{t}_p^\top)\boldsymbol{v}_t\ _{\ell_{1/2}} \ ; \boldsymbol{r}_{p,1},\boldsymbol{r}_{p,2},\boldsymbol{v}_r,\boldsymbol{h}_p,\boldsymbol{t}_p \in \mathbb{R}^k}$ | SGD |
| Bilinear | $oxed{oldsymbol{v}_h^{	op} \mathbf{W}_r oldsymbol{v}_t \; ; \mathbf{W}_r \in \mathbb{R}^{k 	imes k}}$ | SGD |
| DISTMULT | $oldsymbol{v}_h^{	op} \mathbf{W}_r oldsymbol{v}_t$; \mathbf{W}_r is a diagonal matrix $\in \mathbb{R}^{k 	imes k}$ | AdaGrad |
| SimplE | $\begin{vmatrix} \frac{1}{2} \big(\boldsymbol{v}_{h,1}^\top \mathbf{W}_r \boldsymbol{v}_{t,2} + \boldsymbol{v}_{t,1}^\top \mathbf{W}_{r^{-1}} \boldsymbol{v}_{h,2} \big) \; ; \; \boldsymbol{v}_{h,1}, \boldsymbol{v}_{h,2}, \boldsymbol{v}_{t,1}, \boldsymbol{v}_{t,2} \in \mathbb{R}^k \\ \mathbf{W}_r \; \text{and} \; \mathbf{W}_{r^{-1}} \; \text{are diagonal matrices} \in \mathbb{R}^{k \times k} \end{vmatrix}$ | AdaGrad |
| ComplEx | $\begin{array}{c} \operatorname{Re}\left(\boldsymbol{c}_{h}^{\top}\mathbf{C}_{r}\hat{\boldsymbol{c}}_{t}\right);\operatorname{Re}(c) \text{ denotes the real part of the complex value } c \in \mathbb{C} \\ \boldsymbol{c}_{h},\boldsymbol{c}_{t} \in \mathbb{C}^{k};\mathbf{C}_{r} \in \mathbb{C}^{k \times k} \text{ is a diagonal matrix } ; \hat{\boldsymbol{c}}_{t} \text{ is the conjugate of } \boldsymbol{c}_{t} \end{array}$ | AdaGrad |
| RotatE | $-\ m{c}_h\circm{c}_r-m{c}_t\ _{\ell_{1/2}}$; $m{c}_h,m{c}_r,m{c}_t\in\mathbb{C}^k,\circ$ denotes the element-wise product | Adam |
| NTN | $egin{aligned} oldsymbol{v}_r^	op tanh(oldsymbol{v}_h^	op \mathbf{M}_r oldsymbol{v}_t + \mathbf{W}_{r,1} oldsymbol{v}_h + \mathbf{W}_{r,2} oldsymbol{v}_t + \mathbf{b}_r) \ oldsymbol{v}_r, oldsymbol{b}_r \in \mathbb{R}^n; \mathbf{M}_r \in \mathbb{R}^{k 	imes k 	imes n}; \mathbf{W}_{r,1}, \mathbf{W}_{r,2} \in \mathbb{R}^{n 	imes k} \end{aligned}$ | L-BFGS |
| TransE-COMP | $\ - \ oldsymbol{v}_h + oldsymbol{v}_{r_1} + oldsymbol{v}_{r_2} + + oldsymbol{v}_{r_m} - oldsymbol{v}_t \ _{\ell_{1/2}} \ ; oldsymbol{v}_{r_1}, oldsymbol{v}_{r_2},, oldsymbol{v}_{r_m} \in \mathbb{R}^k$ | AdaGrad |
| Bilinear-COMP | $oxed{oldsymbol{v}_h^{	op} \mathbf{W}_{r_1} \mathbf{W}_{r_2} \mathbf{W}_{r_m} oldsymbol{v}_t \ ; \mathbf{W}_{r_1}, \mathbf{W}_{r_2},, \mathbf{W}_{r_m} \in \mathbb{R}^{k 	imes k}}$ | AdaGrad |
| ConvE | $\boxed{ \boldsymbol{v}_t^\top g \left(vec \left(g \left(concat(\overline{\boldsymbol{v}}_h, \overline{\boldsymbol{v}}_r) * \boldsymbol{\Omega} \right) \right) \boldsymbol{W} \right) }$ | Adam |
| ConvKB | $\mathbf{w}^{	op}$ concat $(g\left([oldsymbol{v}_h,oldsymbol{v}_r,oldsymbol{v}_t]st \mathbf{\Omega} ight))$ | Adam |

Table 1: The score functions f(h,r,t) and the optimization methods (Opt.) of several prominent embedding models for KB completion. In these models, the entities h and t are represented by vectors v_h and $v_t \in \mathbb{R}^k$, respectively. In ConvE, \overline{v}_h and \overline{v}_r denote a 2D reshaping of v_h and v_r , respectively. In both ConvE and ConvKB, g, * and Ω denote a non-linear function, a convolution operator and a set of filters, respectively.

or matrices), the embedding models minimize an objective loss. A conventional objective loss is the following margin-based pairwise ranking loss (Bordes et al., 2013):

$$\mathcal{L} = \sum_{\substack{(h,r,t) \in \mathcal{G} \\ (h',r,t') \in \mathcal{G}'_{(h,r,t)}}} [\gamma - f(h,r,t) + f(h',r,t')]_{+}$$

where $[x]_+ = \max(0, x)$, γ is the margin hyperparameter, and $\mathcal{G}'_{(h,r,t)}$ is the set of incorrect triples generated by corrupting the correct triple $(h, r, t) \in \mathcal{G}$.

Recent work commonly employed either the negative log-likelihood (NLL) of softmax regression (Toutanova and Chen, 2015) or the NLL of logistic regression (Trouillon et al., 2016).

2.2 Specific models

The Unstructured model (Bordes et al., 2012) assumes that the head and tail entity vectors are similar. As the Unstructured model does not take the relationship into account, it cannot distinguish different relation types. The Structured Embedding (SE) model (Bordes et al., 2011) assumes that the head and tail entities are similar only in a relationdependent subspace, where each relation is represented by two different matrices. Furthermore, the SME model (Bordes et al., 2012) uses four different matrices to project entity and relation vectors into a subspace. The ENTITY model (Riedel et al., 2013) captures the compatibility between entities and the head and tail positions of relations. The TransE model (Bordes et al., 2013) is inspired by models such as the Word2Vec Skipgram model (Mikolov et al., 2013) where relationships between words often correspond to translations in latent feature space. TorusE (Ebisu and Ichise, 2018) embeds entities and relations on a torus to handle TransE's regularization problem.

The TransH model (Wang et al., 2014) associates each relation with a relation-specific hyperplane and uses a projection vector to project entity vectors onto that hyperplane. TransD (Ji et al., 2015) and TransR/CTransR (Lin et al., 2015b) extend the TransH model by using two projection vectors and a matrix to project entity vectors into a relation-specific space, respectively. Similar to TransR, TransR-FT (Feng et al., 2016a) also uses a matrix to project head and tail entity vectors. TEKE_H (Wang and Li, 2016) extends TransH to incorporate rich context information in an external text corpus. lppTransD (Yoon et al., 2016) extends TransD to additionally use two projection vectors for representing each relation. STransE (Nguyen et al., 2016b) and TranSparse (Ji et al., 2016) can be viewed as direct extensions of the TransR model, where head and tail entities are associated with their own projection matrices. Unlike STransE, the TranSparse model uses adaptive sparse matrices, whose sparse degrees are defined based on the number of entities linked by relations. TranSparse-DT (Chang et al., 2017) is an extension of TranSparse with a dynamic translation. ITransF (Xie et al., 2017) can be considered as a generalization of STransE, which allows sharing statistic regularities between relation projection matrices and alleviates data sparsity issue.

DISTMULT (Yang et al., 2015) is based on the

Bilinear model (Nickel et al., 2011; Bordes et al., 2012; Jenatton et al., 2012) where each relation is represented by a diagonal matrix rather than a full matrix. SimplE (Kazemi and Poole, 2018) extends DISTMULT to allow two embeddings of each entity to be learned dependently. ComplEx (Trouillon et al., 2016) is an extension of DISTMULT in the complex vector space. ComplEx-N3 (Lacroix et al., 2018) extends ComplEx with weighted nuclear 3-norm. Also in the complex vector space, RotatE (Sun et al., 2019) defines each relation as a rotation from the head entity to the tail entity.

The neural tensor network (NTN) model (Socher et al., 2013) uses a bilinear tensor operator to represent each relation while ER-MLP (Dong et al., 2014) and ProjE (Shi and Weninger, 2017) can be viewed as simplified versions of NTN. Such quadratic forms are also used to model entities and relations in KG2E (He et al., 2015), TransG (Xiao et al., 2016), TATEC (García-Durán et al., 2016), RSTE (Tay et al., 2017) and ANALOGY (Liu et al., 2017). In addition, the HolE model (Nickel et al., 2016b) uses circular correlation—a compositional operator—which can be interpreted as a compression of the tensor product.

ConvE (Dettmers et al., 2018) and ConvKB (Nguyen et al., 2018b) are based on convolutional neural networks. ConvE uses a convolution layer directly over 2D reshaping of head-entity and relation embeddings, while ConvKB applies a convolution layer over embedding triples. Conv-TransE (Shang et al., 2019) extends ConvE to keep the translational characteristic between entities and relations. CapsE (Nguyen et al., 2019) extends ConvKB by adding a capsule network layer (Sabour et al., 2017) on top of the convolution layer.

The IRN model (Shen et al., 2017) uses a shared memory and recurrent neural network-based controller to implicitly model multi-step structured relationships. Recent research has shown that relation paths between entities in KBs provide richer context information and improve the performance of embedding models for KB completion (Luo et al., 2015; Liang and Forbus, 2015; García-Durán et al., 2015; Guu et al., 2015; Toutanova et al., 2016; Durán and Niepert, 2018; Takahashi et al., 2018; Chen et al., 2018). In particular, Luo et al. (2015) constructed relation paths between entities and, viewing entities and relations in the path as pseudo-words, then applied Word2Vec algorithms (Mikolov et al., 2013) to produce pre-

trained vectors for these pseudo-words. Luo et al. (2015) showed that using these pre-trained vectors for initialization helps to improve the performance of models TransE (Bordes et al., 2013), SME (Bordes et al., 2012) and SE (Bordes et al., 2011). Liang and Forbus (2015) used the plausibility score produced by SME to compute the weights of relation paths.

PTransE-RNN (Lin et al., 2015a) models relation paths by using a recurrent neural network. In addition, RTransE (García-Durán et al., 2015), PTransE-ADD (Lin et al., 2015a) and TransE-COMP (Guu et al., 2015) are extensions of the TransE model. These models similarly represent a relation path by a vector which is the sum of the vectors of all relations in the path, whereas in the Bilinear-COMP model (Guu et al., 2015) and the PRUNED-PATHS model (Toutanova et al., 2016), each relation is a matrix and so it represents the relation path by matrix multiplication. In addition, Durán and Niepert (2018) proposed the KB_{LRN} framework to combine relational paths of length one and two with latent and numerical features.

The neighborhood mixture model TransE-NMM (Nguyen et al., 2016a) can be also viewed as a three-relation path model as it takes into account the neighborhood entity and relation information of both head and tail entities in each triple. Neighborhood information is also exploited in R-GCN (Schlichtkrull et al., 2018) which generalizes graph convolutional networks (Duvenaud et al., 2015; Kipf and Welling, 2017) for dealing with highly multi-relational data such as knowledge bases. SACN (Shang et al., 2019) uses a stack of multiple weighted graph convolutional network layers to build an entity embedding matrix which is then used as input for Conv-TransE to compute triple score.

2.3 Other KB completion models

The Path Ranking Algorithm (PRA) (Lao and Cohen, 2010) is a random walk inference technique which was proposed to predict a new relationship between two entities in KBs. Lao et al. (2011) used PRA to estimate the probability of an unseen triple as a combination of weighted random walks that follow different paths linking the head entity and tail entity in the KB. Gardner et al. (2014) made use of an external text corpus to increase the connectivity of the KB used as the input to PRA. Gardner and Mitchell (2015) improved PRA

| Dataset | E | R | #Triples in train/valid/test | | | | | |
|-----------|--------|-------|------------------------------|--------|--------|--|--|--|
| FB15k | 14,951 | 1,345 | 483,142 | 50,000 | 59,071 | | | |
| WN18 | 40,943 | 18 | 141,442 | 5,000 | 5,000 | | | |
| FB13 | 75,043 | 13 | 316,232 | 5,908 | 23,733 | | | |
| WN11 | 38,696 | 11 | 112,581 | 2,609 | 10,544 | | | |
| FB15k-237 | 14,541 | 237 | 272,115 | 17,535 | 20,466 | | | |
| WN18RR | 40,943 | 11 | 86,835 | 3,034 | 3,134 | | | |

Table 2: Statistics of the experimental datasets. In both WN11 and FB13, each validation and test set also contains the same number of incorrect triples as the number of correct triples.

by proposing a subgraph feature extraction technique to make the generation of random walks in KBs more efficient and expressive, while Wang et al. (2016) extended PRA to couple the path ranking of multiple relations. PRA can also be used in conjunction with first-order logic in the discriminative Gaifman model (Niepert, 2016). In addition, Neelakantan et al. (2015) used a recurrent neural network to learn vector representations of PRA-style relation paths between entities in the KB. Other random-walk based learning algorithms for KB completion can be also found in Feng et al. (2016b), Liu et al. (2016), Wei et al. (2016), Mazumder and Liu (2017) and Das et al. (2018). Recently, Yang et al. (2017) have proposed a Neural Logic Programming (LP) framework to learning probabilistic first-order logical rules for KB reasoning, producing competitive link prediction performances. See other methods for learning from KBs and multi-relational data in Nickel et al. (2016a).

3 Evaluation tasks

Two standard tasks are proposed to evaluate embedding models for KB completion including: the entity prediction task, i.e. link prediction (Bordes et al., 2013), and the triple classification task (Socher et al., 2013).

Information about benchmark datasets for KB completion evaluation is given in Table 2. Commonly, datasets FB15k and WN18 (Bordes et al., 2013) are used for entity prediction evaluation, while datasets FB13 and WN11 (Socher et al., 2013) are used for triple classification evaluation. FB15k and FB13 are derived from the large realworld fact KB FreeBase (Bollacker et al., 2008). WN18 and WN11 are derived from the large lexical KB WordNet (Miller, 1995).

Toutanova and Chen (2015) noted that FB15k and WN18 are not challenging datasets because

they contain many reversible triples. Dettmers et al. (2018) showed a concrete example: test triple (feline, hyponym, cat) can be mapped to a training triple (cat, hypernym, feline), thus knowing that "hyponym" and "hypernym" are reversible allows us to easily predict the majority of test triples. So, datasets FB15k-237 (Toutanova and Chen, 2015) and WN18RR (Dettmers et al., 2018) are created to serve as realistic KB completion datasets which represent a more challenging learning setting. FB15k-237 and WN18RR are subsets of FB15k and WN18, respectively. Note that when creating the FB13 and WN11 datasets, Socher et al. (2013) already filtered out triples from the test set if either or both of their head and tail entities also appear in the training set in a different relation type or order.

3.1 Entity prediction

3.1.1 Task description

The entity prediction task, i.e. link prediction (Bordes et al., 2013), predicts the head or the tail entity given the relation type and the other entity, i.e. predicting h given (?, r, t) or predicting t given (h, r, ?) where ? denotes the missing element. The results are evaluated using a ranking induced by the function f(h, r, t) on test triples.

Each correct test triple (h,r,t) is corrupted by replacing either its head or tail entity by each of the possible entities in turn, and then these candidates are ranked in descending order of their plausibility score. This is called as the "Raw" setting protocol. Furthermore, the "Filtered" setting protocol, described in Bordes et al. (2013), filters out before ranking any corrupted triples that appear in the KB. Ranking a corrupted triple appearing in the KB (i.e. a correct triple) higher than the original test triple is also correct, but is penalized by the "Raw" score, thus the "Filtered" setting provides a clearer view on the ranking performance.

In addition to the mean rank and the Hits@10 (i.e., the proportion of test triples for which the target entity was ranked in the top 10 predictions), which were originally used in the entity prediction task (Bordes et al., 2013), recent work also reports the mean reciprocal rank (MRR). In both "Raw" and "Filtered" settings, mean rank is always greater or equal to 1 and the lower

mean rank indicates better entity prediction performance. MRR and Hits@10 scores always range from 0.0 to 1.0, and higher score reflects better prediction result.

3.1.2 Main results

Table 3 lists entity prediction results of KB completion models on the FB15k and WN18 datasets. The first 29 rows report the performance of triplebased models that directly optimize a score function for the triples in a KB, i.e. they do not exploit information about alternative paths between head and tail entities. The next 9 rows report results of models that exploit information about relation paths. The last 3 rows present results for models which make use of textual mentions derived from a large external corpus. The reasons why much work has been devoted towards developing triple-based models are mentioned by Nguyen et al. (2016b) as follows: (1) additional information sources might not be available, e.g., for KBs for specialized domains, (2) models that do not exploit path information or external resources are simpler and thus typically much faster to train than the more complex models using path or external information, and (3) the more complex models that exploit path or external information are typically extensions of these simpler models, and are often initialized with parameters estimated by such simpler models, so improvements to the simpler models should yield corresponding improvements to the more complex models as well.

Table 3 shows that the models using external corpus information or employing path information generally achieve better scores than the triple-based models that do not use such information. In terms of models not exploiting path or external information, ComplEx-N3 (Lacroix et al., 2018) and RotatE (Sun et al., 2019) are the current state-of-the-art models on both FB15k and WN18.

Table 4 lists results on FB15k-237 and WN18RR. By using external textual mentions of entities, CONV-E + CONV-DISTMULT (Toutanova et al., 2015) produces the highest Hits@10 and MRR scores on FB15k-237. When not exploiting relation path or external textual information, ComplEx-N3 and RotatE can be viewed as the top models on both FB15k-237 and WN18RR. Tables 3 and 4 also show that TransE and DISTMULT, despite of theirs simplicity, can produce very competitive results (by performing a careful grid search of hyper-parameters).

¹Some recent work additionally reported Hits@1. However, formulas of MRR and Hits@1 show a strong correlation between these two scores. So using Hits@1 does not really reveal any additional insight.

| | Filtered | | | | | Raw | | | | | | |
|---|-----------|-----------|--------------|------------|-------------|-------|------------|-------------|-------|------------|------|-------|
| Method | | FB15k | | | WN1 | .8 | FB15k | | | WN18 | | |
| | MR | @10 | MRR | MR | @10 | MRR | MR | @10 | MRR | MR | @10 | MRR |
| SE (Bordes et al., 2011) | 162 | 39.8 | - | 985 | 80.5 | - | 273 | 28.8 | - | 1011 | 68.5 | - |
| Unstructured (Bordes et al., 2012) | 979 | 6.3 | - | 304 | 38.2 | - | 1074 | 4.5 | - | 315 | 35.3 | - |
| SME (Bordes et al., 2012) | 154 | 40.8 | - | 533 | 74.1 | - | 274 | 30.7 | - | 545 | 65.1 | - |
| TransH (Wang et al., 2014) | 87 | 64.4 | - | 303 | 86.7 | - | 212 | 45.7 | - | 401 | 73.0 | - |
| TransR (Lin et al., 2015b) | 77 | 68.7 | - | 225 | 92.0 | - | 198 | 48.2 | | 238 | 79.8 | - |
| CTransR (Lin et al., 2015b) | 75 | 70.2 | - | 218 | 92.3 | - | 199 | 48.4 | - | 231 | 79.4 | - |
| KG2E (He et al., 2015) | 59 | 74.0 | - | 331 | 92.8 | - | <u>174</u> | 48.9 | - | 342 | 80.2 | - |
| TransD (Ji et al., 2015) | 91 | 77.3 | - | 212 | 92.2 | - | 194 | 53.4 | - | 224 | 79.6 | - |
| lppTransD (Yoon et al., 2016) | 78 | 78.7 | - | 270 | 94.3 | - | 195 | 53.0 | - | 283 | 80.5 | - |
| TransG (Xiao et al., 2016) | 98 | 79.8 | - | 470 | 93.3 | - | 203 | 52.8 | - | 483 | 81.4 | - |
| TranSparse (Ji et al., 2016) | 82 | 79.5 | - | 211 | 93.2 | - | 187 | 53.5 | - | <u>223</u> | 80.1 | - |
| TranSparse-DT (Chang et al., 2017) | 79 | 80.2 | - | 221 | 94.3 | - | 188 | <u>53.9</u> | - | 234 | 81.4 | - |
| ITransF (Xie et al., 2017) | 65 | 81.0 | - | 205 | 94.2 | - | - | - | - | - | - | - |
| NTN (Socher et al., 2013) | - | 41.4 | 0.25 | - | 66.1 | 0.53 | - | - | - | - | - | - |
| RESCAL (Nickel et al., 2011) $[\heartsuit]$ | - | 58.7 | 0.354 | - | 92.8 | 0.890 | - | - | 0.189 | - | - | 0.603 |
| TransE (Bordes et al., 2013) $[\heartsuit]$ | - | 74.9 | 0.463 | - | 94.3 | 0.495 | - | - | 0.222 | - | - | 0.351 |
| HolE (Nickel et al., 2016b) | - | 73.9 | 0.524 | - | 94.9 | 0.938 | - | - | 0.232 | - | - | 0.616 |
| ComplEx (Trouillon et al., 2016) | - | 84.0 | 0.692 | - | 94.7 | 0.941 | - | - | 0.242 | - | - | 0.587 |
| ANALOGY (Liu et al., 2017) | - | 85.4 | 0.725 | - | 94.7 | 0.942 | - | - | 0.253 | - | - | 0.657 |
| SimplE (Kazemi and Poole, 2018) | - | 83.8 | 0.727 | - | 94.7 | 0.942 | - | - | 0.239 | - | - | 0.588 |
| TorusE (Ebisu and Ichise, 2018) | - | 83.2 | 0.733 | - | 95.4 | 0.947 | - | - | 0.256 | - | - | 0.619 |
| STransE (Nguyen et al., 2016b) | 69 | 79.7 | 0.543 | <u>206</u> | 93.4 | 0.657 | 219 | 51.6 | 0.252 | 217 | 80.9 | 0.469 |
| ER-MLP (Dong et al., 2014) [♠] | 81 | 80.1 | 0.570 | 299 | 94.2 | 0.895 | - | - | - | - | - | - |
| DISTMULT (Yang et al., 2015) [♣] | 42 | 89.3 | <u>0.798</u> | 655 | 94.6 | 0.797 | - | - | - | - | - | - |
| ConvE (Dettmers et al., 2018) | 64 | 87.3 | 0.745 | 504 | 95.5 | 0.942 | - | - | - | - | - | - |
| RotatE (Sun et al., 2019) | 40 | 88.4 | 0.797 | 309 | <u>95.9</u> | 0.949 | - | - | - | - | - | - |
| ComplEx-N3 (Lacroix et al., 2018) | - | <u>91</u> | 0.86 | - | 96 | 0.95 | - | - | - | - | - | - |
| IRN (Shen et al., 2017) | <u>38</u> | 92.7 | | 249 | 95.3 | - | - | - | - | - | - | - |
| ProjE (Shi and Weninger, 2017) | 34 | 88.4 | - | | - | - | 124 | 54.7 | - | - | - | _ |
| RTransE (García-Durán et al., 2015) | 50 | 76.2 | | - | - | - | - | - | - | - | - | - |
| PTransE-ADD (Lin et al., 2015a) | 58 | 84.6 | - | - | - | - | 207 | 51.4 | - | - | - | - |
| PTransE-RNN (Lin et al., 2015a) | 92 | 82.2 | - | - | - | - | 242 | | - | - | - | - |
| GAKE (Feng et al., 2016b) | 119 | 64.8 | - | - | - | - | 228 | 44.5 | - | - | - | - |
| Gaifman (Niepert, 2016) | 75 | 84.2 | | 352 | 93.9 | | - | - | - | - | - | - |
| Hiri (Liu et al., 2016) | - | | 0.603 | - | | 0.691 | - | - | - | - | - | - |
| Neural LP (Yang et al., 2017) | - | | 0.76 | - | | 0.94 | - | - | - | - | - | - |
| R-GCN+ (Schlichtkrull et al., 2018) | - | | 0.696 | - | 96.4 | 0.819 | - | - | 0.262 | - | - | 0.561 |
| KB_{LRN} (Durán and Niepert, 2018) | 44 | | 0.794 | - | - | - | - | - | - | - | - | |
| NLFeat (Toutanova and Chen, 2015) | 1 | | 0.822 | - | 94.3 | 0.940 | - | - | - | - | - | - |
| TEKE_H (Wang and Li, 2016) | 1 | 73.0 | | | 92.9 | | 212 | 51.2 | | 127 | 80.3 | |
| SSP (Xiao et al., 2017) | 82 | 79.0 | - | 156 | 93.2 | - | 163 | 57.2 | - | 168 | 81.2 | - |

Table 3: Entity prediction results on WN18 and FB15k. **MR** and @10 denote evaluation metrics of mean rank and Hits@10 (in %), respectively. TransG's results are taken from its latest ArXiv version (https://arxiv.org/abs/1509. 05488v7). NTN's results are taken from Yang et al. (2015) since NTN was originally evaluated only for triple classification. [♥]: Results are taken from Nickel et al. (2016b). [♠]: Results are taken from Ravishankar et al. (2017). [♣]: Results are taken from Kadlec et al. (2017). Hits@10 and MRR of ComplEx-N3 are reported with 2 decimal places. "NLFeat" abbreviates Node+LinkFeat. In the first 29 rows, the best score is in **bold**, while the second best score is in underline.

| | Filtered | | | | | | | |
|--|------------|-------------|-------|--------|-------------|--------------|--|--|
| Method | | B15k-2 | 237 | WN18RR | | | | |
| | MR | @10 | MRR | MR | @10 | MRR | | |
| IRN (Shen et al., 2017) | <u>211</u> | 46.4 | - | - | - | - | | |
| KBGAN (Cai and Wang, 2018) | - | 45.8 | 0.278 | - | 48.1 | 0.213 | | |
| ENTITY (Riedel et al., 2013) [♣] | - | 47.6 | 0.332 | - | - | - | | |
| DISTMULT (Yang et al., 2015) $[\clubsuit, \diamondsuit]$ | - | 52.3 | 0.357 | 5110 | 49 | 0.43 | | |
| ComplEx (Trouillon et al., 2016) [♦] | 339 | 42.8 | 0.247 | 5261 | 51 | 0.44 | | |
| ConvE (Dettmers et al., 2018) | 246 | 49.1 | 0.316 | 5277 | 48 | 0.46 | | |
| ER-MLP (Dong et al., 2014) [♠] | 219 | <u>54.0</u> | 0.342 | 4798 | 41.9 | 0.366 | | |
| TransE (Bordes et al., 2013) $[\heartsuit]$ | 347 | 46.5 | 0.294 | 3384 | 50.1 | 0.226 | | |
| ConvKB (Nguyen et al., 2018b) | 257 | 51.7 | 0.396 | 2554 | 52.5 | 0.248 | | |
| RotatE (Sun et al., 2019) | 177 | 53.3 | 0.338 | 3340 | 57.1 | <u>0.476</u> | | |
| ComplEx-N3 (Lacroix et al., 2018) | - | 56 | 0.37 | - | <u>57</u> | 0.48 | | |
| Conv-TransE (Shang et al., 2019) | - | 51 | 0.33 | _ | 52 | 0.46 | | |
| Neural LP (Yang et al., 2017) | - | 36.2 | 0.24 | - | - | - | | |
| R-GCN+ (Schlichtkrull et al., 2018) | - | 41.7 | 0.249 | - | - | - | | |
| KB _{LRN} (Durán and Niepert, 2018) | 209 | 49.3 | 0.309 | - | - | - | | |
| SACN (Shang et al., 2019) | - | 54 | 0.35 | - | 54 | 0.47 | | |
| NLFeat (Toutanova and Chen, 2015) | - | 46.2 | 0.293 | - | - | - | | |
| CONV-E+D (Toutanova et al., 2015) | - | 58.1 | 0.401 | | | | | |

Table 4: Entity prediction results on WN18RR and FB15k-237. [♣]: Results are taken from Toutanova et al. (2015). For DISTMULT, scores on FB15k-237 and WN18RR are taken from Toutanova et al. (2015) and Dettmers et al. (2018), respectively. [♦]: Results are taken from Dettmers et al. (2018) where Hits@10 and MRR are rounded to 2 decimal places on WN18RR. [♠]: Results are taken from Ravishankar et al. (2017). [♥]: Results are taken from Nguyen et al. (2018b). "Conv-E+D" abbreviates Conv-E + Conv-DISTMULT. The last 6 rows report results of models that exploit relation path or external corpus information.

3.2 Triple classification

3.2.1 Task description

The triple classification task was first introduced by Socher et al. (2013), and since then it has been used to evaluate various embedding models. The aim of this task is to predict whether a triple (h, r, t) is correct or not. For classification, a relation-specific threshold θ_r is set for each relation type r. If the plausibility score of an unseen test triple (h, r, t) is higher than θ_r then the triple will be classified as correct, otherwise incorrect. Following Socher et al. (2013), the relation-specific thresholds are determined by maximizing the micro-averaged accuracy, which is a per-triple average, on the validation set.

3.2.2 Main results

Table 5 presents the triple classification results of KB completion models on the WN11 and FB13 datasets. The first 7 rows report the performance of models that use TransE to initialize the entity and relation vectors. The last 12 rows present the accuracy of models with randomly initialized

| Method | W11 | F13 | Avg. |
|------------------------------------|------|------|------|
| CTransR (Lin et al., 2015b) | 85.7 | - | - |
| TransR (Lin et al., 2015b) | 85.9 | 82.5 | 84.2 |
| TransD (Ji et al., 2015) | 86.4 | 89.1 | 87.8 |
| TEKE_H (Wang and Li, 2016) | 84.8 | 84.2 | 84.5 |
| TranSparse-S (Ji et al., 2016) | 86.4 | 88.2 | 87.3 |
| TranSparse-US (Ji et al., 2016) | 86.8 | 87.5 | 87.2 |
| ConvKB (Nguyen et al., 2018b) [*] | 87.6 | 88.8 | 88.2 |
| NTN (Socher et al., 2013) | 70.6 | 87.2 | 78.9 |
| TransH (Wang et al., 2014) | 78.8 | 83.3 | 81.1 |
| SLogAn (Liang and Forbus, 2015) | 75.3 | 85.3 | 80.3 |
| KG2E (He et al., 2015) | 85.4 | 85.3 | 85.4 |
| Bilinear-COMP (Guu et al., 2015) | 77.6 | 86.1 | 81.9 |
| TransE-COMP (Guu et al., 2015) | 80.3 | 87.6 | 84.0 |
| TransR-FT (Feng et al., 2016a) | 86.6 | 82.9 | 84.8 |
| TransG (Xiao et al., 2016) | 87.4 | 87.3 | 87.4 |
| lppTransD (Yoon et al., 2016) | 86.2 | 88.6 | 87.4 |
| TransE (Bordes et al., 2013) [*] | 86.5 | 87.5 | 87.0 |
| TransE-NMM (Nguyen et al., 2016a) | 86.8 | 88.6 | 87.7 |
| TranSparse-DT (Chang et al., 2017) | 87.1 | 87.9 | 87.5 |

Table 5: Accuracy results (in %) for triple classification on WN11 (labeled as **W11**) and FB13 (labeled as **F13**) test sets. "Avg." denotes the averaged accuracy. [*] denotes that scores are taken from Nguyen et al. (2018a).

parameters. Note that there are higher results reported for NTN, Bilinear-COMP and TransE-

COMP (Guu et al., 2015), when entity vectors are initialized by averaging the pre-trained word vectors (Mikolov et al., 2013; Pennington et al., 2014). It is not surprising as many entity names in WordNet and FreeBase are lexically meaningful. It is possible for all other embedding models to utilize the pre-trained word vectors as well. However, as pointed out by Wang et al. (2014) and Guu et al. (2015), averaging the pre-trained word vectors for initializing entity vectors is an open problem and it is not always useful since entity names in many domain-specific KBs are not lexically meaningful.

4 Conclusions and further discussion

This article presented a brief overview of embedding models of entity and relationships for KB completion. The article also provided update-to-date experimental results of the embedding models on the entity prediction and triple classification tasks on benchmark datasets FB15k, WN18, FB15k-237, WN18RR, FB13 and WN11.

Dozens of embedding models have been proposed for KB completion, so it is worth to further explore these models for a new application where we could formulate its corresponding data into triples. For example of an interesting application, Vu et al. (2017) extended the STransE model (Nguyen et al., 2016b) for a *search personalization* task in information retrieval, to model *user*-oriented relationships between submitted *queries* and *documents* returned by search engines.

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