

Universidad Internacional de la Rioja (UNIR)

### **ESIT**

Master in Artificial Intelligence

Breakfastclub - Agent-based model simulation of a virtual classroom

Master Thesis

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## **Additional Content**

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## Abstract

**Nota:** Abstract here, max 150 words.

Keywords: Agent-based model, Big Five, classroom [?] blass

## Introduction

This document is describing the Master Thesis developed by Manuel Pasieka as part of the Master in Artificial Intelligence at UNIVERSIDAD INTERNACIONAL DE LA RIOJA, S.A. 2018-2019.

As part of the Thesis the student developed an Agent-based simulation named **Break-fastclub** (available at http://github.com/mapa17/breakfastclub) of a virtual classroom in order to study the effect of different Personality Traits on happiness and attention in the simulated class. This document is describing the development of the project and the results achieved.

The document is split into the following chapters.

- This first chapter introduces the reader into the motivation behind this work and its novelties.
- The second chapter will discuss the state of the art of the methods and technologies applied.
- The third chapter lays out the initials objectives as well as their adaption and final objectives of the Thesis.
- The fourth chapter describes in detail the implementation and technical solution to the proposed problem.
- The fifth chapter is focused on the Data Analysis of the results generated.
- The sixth chapter is providing a conclusion and summary of what has been presented.

### 1.1 Origin and Motivation

Human beings are social animals, and although this has been acknowledged by in psychology and the social studies for a long time [NOTE: References?], it is our claim that there is a lack of adequate tools and techniques to study in detail the behavior of humans in many different group settings.

In particular we are interested in the group dynamics of children in a classroom, engaged in a autonomous study group.

We have two goals for this work

- 1. Develop a flexible and extendable multi agent based simulation of a virtual classroom
- 2. Study how different personality traits effect attention and happiness of individuals and the group as a whole

## State of the art

In this chapter we will provide an overview of existing simulations software, and software particularly developed for virtual classrooms. We describe the deficiencies of those solutions, and why we believe that there is a necessity to develop our own simulation.

### 2.1 Social Simulations and Agent-based models

Social Simulations are a special type of Agent-based models (**ABM**) that are used to study the dynamics of groups based on the individual agents that respond to their own and other agents expectations[?].

NOTE[Have some definition + explanation of what is an agent-based model]

Social Simulations have been used since the early 1960s to study the dynamics of social segregation[?] or more recently on the spread of contagious diseases[?] within a city.

The intention of many of those simulations is to find emerging properties of the complete system (i.e. the group), which are absent in the individual agents[?]. This is of particular interest if the the found properties are empirically verified, but not understood.

Simulated systems have the great benefit to be easy to manipulate and produce almost no costs to run, making them an excellent tool to study complex dynamic systems.

### 2.2 Agent-based model Software

A series of open source and commercial distributed Agent Software[?] is available. Some of the most popular ones are NetLogo[?], Swarm [NOTE: Reference for Swarm], or Mesa[?],

which provide a framework to develop multi-agent simulations, often including a simple Visualization and a GUI.

We decided against against using those existing frameworks, and instead develop our own solution based on the Unity3d [Note: REF???] Game and Simulation Engine.

In particular Unity3d provides us with the following features that are absent or underdeveloped in the other frameworks.

- State of the Art Visualization: Unity3D is used to develop triple A computer games and provides the possibility to build simulations with realistic appearing Visuals and even virtual reality environments.
- User Interaction: User interaction if present at all is implemented very poorly in the other simulation frameworks. As User Interaction is essential part in every computer game, Unity3d provides an excellent support for it.
- Integration with Machine Learning tools: In the last year Unity3d has been extending its capabilities as a Agent based modeling framework by including a Machine Learning Agent toolkit that provides easy interface between State of the Art machine Learning Tools like Tensorflow or Pytorch and the Unity simulation.
- Actively Maintained: Many simulation frameworks have been academic endeavors with a short lifespan, and on multiple occasions stopped to be maintained and to be usable after a short period of time. Relying on a commercial sustained framework like Unity3d ensures availability and eases future development of the project.

Those aspects are not essential to the present solution but provide use with the possibility to extend the system as is discussed in the Outlook, in the final chapter of the Thesis.

#### 2.3 Simulations of virtual Classrooms

Of particular interest to us are Simulation Systems that focus on a virtual classroom. Several academic and commercial systems have been developed with different objectives in mind.

Some of those solutions (e.g. TLE TeachLivE[?][?] or simSchool [?]) focus on teacher education, providing a virtual classroom that can be used for new teachers to learn how to

interact with a class and resolve issues. Others (e.g. Katana Sim:Classroom [?]) are used as a simulation environment for academic research, focusing on psychological studies.

Evaluating the different simulations we found that all of them lacked one or more of the following features, and therefore decided to develop our own solution.

- OpenSource: The Simulation should be open source and freely available for academic and commercial purposes, in order to support its adoption and support the sustainability of the project.
- Model of Agent Logic: The agent behavior should depend on an flexible agent logic that is based on empirical psychological studies.
- **Flexibility:** The simulation should be configure able to scale class size, student profiles and classroom environment.
- Reproducibility: The simulation outcome (except of user interaction) should be
  reproducible, in order to provide a framework to study particular group dynamics.
  If multiple runs of the same simulation produce different results, it is unclear how
  alterations of the simulation configuration effect the outcome.
- Data Analysis: The simulation should include methods and tools to study its results. In particular it should be possible to execute multiple instances of the simulation with slightly changed configurations in order to perform a statistical analysis of the outcome.

## **Objectives**

As it is typical for projects beyond certain size, the objectives and scope had to be adapted according to the progression of the project. This chapter describes the initial envisioned objectives as well as the objectives reached with the final version developed during as part of the thesis.

One of the initial objectives of the thesis was to develop a simulation environment that could be used interactively as well as a closed loop simulation (i.e. once defined and setup would run without any user interaction until a defined state is reached).

In addition as the simulation is based on Unity3d, the Machine Learning Package would have be used to implement agents trained using a Reinforcement Learning approach.

Because of time contains the interaction and ML-Agent features have not been included in the final version developed during the Thesis, but are described in the last chapter on the outlook of the project.

The objectives for the final version based on the resources and and time available are therefor the following:

- Closed Loop Simulation: Implementation of Unity3d based virtual classroom simulation, including a 2D top down visualization.
- Psychological agent model: Development of a psychological model governing the behavior of agents that is based on empirical and theoretical grounds.
- Seedable and deterministic: The closed loop simulation should be deterministic and the random components should be seedable, making it possible to reproduce results of previous simulations if the same seed is provided.

- Simulation and Classroom configuration: The simulation as well as well as the psychological profile of the classroom should be easily configurable and alterable without the need to modify the simulation software.
- Agent and Classroom based analysis: As part of the data analysis it should be possible to analyze the behavior of individual agents (i.e students) as well as the average of the complete classroom (i.e. group).
- Comparison of pre-defined psychological classroom profiles: Based on empirical pedagogical studies a defined set of psychological interesting classroom profiles are compared to each other.

## Development

This chapter describes in detail the main components of the simulation and how they where implemented. How and why certain decision have been taken and alternative solutions. The project was developed in an iterative fashion, following with a first Prototype after the initial literature research and evaluation of alternative simulation frameworks (see ??).

### 4.1 Agent-based model

As described briefly in the chapter on the State of the Art (??) a agent-based model is a multi agent simulation with a special focus on the interaction and resulting group dynamics of its agents. The main components of a Agent-based model are the following:

- the environment: The environment is a strictly defined space in which the agents can move and interact with each other as well as with other objects that are part of the environment.
- the agents: The agents are autonomous dynamic systems with a set of sensors and actors that interact with each other and with the environment.
- the simulation mechanics and agent logic: The simulation mechanics controls how agents interact with each other, how the environment changes as of actions of the agent or external factors (e.g. a simulation protocol defining a change in the environment). The agent logic governs the dynamic cycle between the agent, other agents and the environment. It defines how internal states change, and which behavior the agent should perform.

#### 4.1.1 Unity3d

Unity3d[NOTE: REF] is a Computer Game Engine that has been used to develop not only AAA (i.e. high quality [NOTE: definition]) computer games but as well is continuously applied more and more to build simulations for commercial and academic purposes. Unity3d is distributed under various licencees, including a Free use license, which enables its use for Indie Game Developers as well to be applied in Academia without costs. For our purposes Unity3d is a generic Simulation Framework that provides the tools to create virtual 3d environments, a physics engine, a User Interface and autonomous agents.

The simulation is implemented as a Unity3 application with a single scene that is dynamically generated based on the simulation and classroom configuration.

All objects (Agents and Tables) in the classroom are Unity GameObjects that are updated in defined sequence with a constant rate of 1 Hz. The agent logic is therefor running in discrete steps, although the underlying Unity3d engine is executed continuously (as much as this is possible on a discrete computer system).

Although not completely separated, Simulation Logic is split from game content like Sprites (i.e. Images), Animations and other visual elements. The Simulation Logic is implemented as C# scripts that interface the Unity3d Framework. During the development it was taken care to separate the Unity3d specific elements from the rest of the simulation logic, in order to reduce dependence and make it possible to port the Simulation Logic to other platforms.

#### 4.1.2 The environment: a classroom

In our case the environment is classroom that contains multiple tables for students to study individually and in small groups. In addition the environment is modeling the noise that is accumulating in the classroom resulting from the different actions performed by the agents.

Agents are moving about in the classroom as part of the various actions they perform. The Unity3d Navigation Agent Infrastructure is used to control the movement of agents, including path finding and collision control.

The noise model implemented, is accumulating the noise produced by the different actions performed by all agents in the classroom. Different Actions produce different amount of noise depending on the simulation configuration.



#### Personality

- Openness
- Conscientiousness
- Extraversion
- Agreeableness
- Neuroticism

#### **Internal States**

- Motivation
- Happiness
- Attention

#### Behavior

- Chat
- Take a break
- Study alone
- Study in groups
- Quarrel

Figura 4.1: Agent Overview

#### 4.1.3 The agents: school children

The agents are modeled to simulate school children of no specific age or physical property. Instead agents are characterized by their personality traits based on the Big Five Personality Traits model (see ??). In addition agents have several internal states and a set of possible behaviors they can perform (see ??).

The internal states modeled by the agent are **motivation** to study, **happiness** and **attention** during studies.

The behaviors available to the agents fall into one of three different types, being either educational, recreational or the conflict.

- Chat: Agents chat with another random selected agent in the classroom.
- Take a break: Agents take a break and start a random walk through the classroom.
- Quarrel: Agents start to quarrel with another random selected agent in the class-room.
- Study alone: Agents sit down on one of the individual tables and learn by themselves.
- **Study in groups:** Agents take a spot on a group table and study with the other agents on the table.

All possible actions, are in one of the following states at each moment in time

• **Inactive:** The action is not active at all (This is needed because of implementation details).

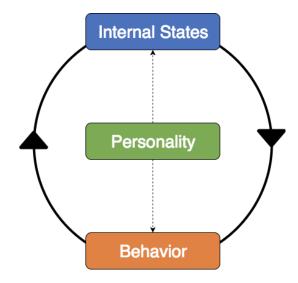


Figura 4.2: Agent Dynamics

- Transition: The agent is walking towards it needs to in order to perform the action.
- Waiting: The agents is waiting for either some response of another agent in order to perform the action.
- **Executing:** The agent is actively performing the action.

As the agents behavior depends on the internal states but as well is effecting them, each agent itself is a **dynamics systems** that is governed by the agent logic, based on the personality profile of the agent (see a visualization of this cycle in figure ??).

#### **Dynamic Systems**

As mentioned in the introduction, agent-based models are focus on the interaction between components of the simulation. The complete system is therefore the result of the interaction of multiple dynamic systems (i.e. agents and environment) (see figure ??).

This **multi level dynamic system** can express very sophisticated behavior and dynamics, making it one of the main reasons agent-based models are such a powerfully tool to study real world phenomena. One of the most curious aspects of complex dynamic systems are **emergent phenomena**[?] which describes aspects of the complete system (i.e. classroom), absent in the individual (i.e. children) components.

One examples of emerging properties is the wetness of Water that only appears in a ensem-

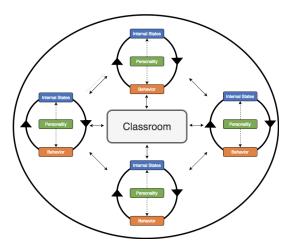


Figura 4.3: Group Dynamics

ble of many water molecules, not present in a single individual molecule. Another famous example is **Cowans Game of Life**[?] that shows the almost infinite complexity generated by a cellular automata simulating black or white cells on a infinite grid. Constructs generated by the simulation have emerging properties like *self replication*, *finite* and *infinite* cycles and many more. None of those behaviors are obviously deducible from the initial basic interaction rules. Instead those properties emerge in the interaction between the rules 'agents' (using agent in an amplified sense here) following basic rules.

#### Agent homogeneity

One axis along which to classify agent-based models is agent homogeneity[?]. In homogeneous agent models all agents share the same characteristic's and agent logic. Heterogeneous agent models on the other side can differ in the agents logic, its behavior or based on some parameters in its configuration.

In our case the simulation contains heterogeneous agents that differ, based on parameters in their Personality Traits.

### 4.2 Agent Personality Traits

As described earlier each agent is a dynamic system that in which the internal states interact with the agents behavior. Those interactions are governed by the agent logic witch is based on the **Personality Traits** of the agent.

It is not novel to use personality traits in ABM, but previous works[?] modeled very

abstract personality traits that have no relation to the real world.

We therefore where careful, to chose an established and widely used personality traits model. The **OCEAN** personality trait model[?], common known as the **Big-Five**, has been developed in the 1960s and has since been used in applied and theoretical psychology. It is based on factor analysis of empirical studies (mostly self description of patients about their behavior and self image).

Its name is derived from the five orthogonal dimensions which are used to describe the personality of an individual, where the extremes of each dimension are associated with typical behaviors or thought patterns (see figure ?? for a graphical representation).

A short description of the different dimension has been taken from[?] (see table ??).

Although the personality traits of a person could change over time, there is strong evidence ([?], [?]) that the personality traits of the big five model stay more or less constant over a long period of time or even the complete life of an individual.

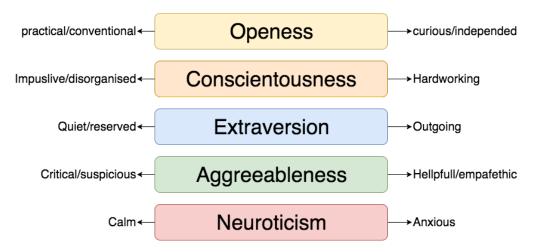


Figura 4.4: OCEAN Model

#### Big-five in the classroom

Various empirical studies have been performed in the past in order to investigate the association between Personality Traits, behavior and academic outcome in schools ([?], [?]). We used those empirical found associations to define and tune agent logic as well as simulation parameters in order to reproduce agent behavior that is in agreement with those results.

Although it is well studies how the Big-Five behave on an individual level, we found very few studies that focused on group dynamics influenced by the Big-Five. One work

Personality Trait	Description			
Openess	The general tendency to be curious about both inner and outer worlds. O includes			
	the elements of an active imagination, aesthetic sensitivity, attentiveness to inner			
	feelings, preference for variety, intellectual curiosity, and independence of judgment.			
	A high O also includes individuals who are unconventional, willing to question			
	authority, and ready to entertain new ethical and social ideas.			
Conscientiousness	The general tendency to be able to resist impulses and temptations. The conscien-			
	tious individual is purposeful, strong-willed, and determined. On the positive side,			
	high C is associated with academic and occupational achievement; on the negative			
	side, it may lead to annoying fastidiousness, compulsive neatness, or workaholic			
	behavior, Low C's are not necessarily lacking in moral principles, but they are less			
	exacting in applying them.			
Extraversion	The general tendency to be outgoing. In addition, high E's prefer large groups and			
	gatherings and are assertive, active, and talkative. They like stimulation and tend			
	to be cheerful in disposition. They are upbeat, energetic, and optimistic.			
Agreeableness	The general tendency to be altruistic. The high A is sympathetic to others and			
	eager to help them, and believes that others will be equally helpful in return. By			
	contrast, the low A is antagonistic and egocentric, skeptical of others' intentions,			
	and competitive rather than cooperative.			
Neuroticism	The general tendency to experience negative affects such as fear, sadness, embar-			
	rassment, anger, guilt, and disgust is the core of the N domain. However, N includes			
	more than susceptibility to psychological distress. Perhaps because disruptive emo-			
	tions interfere with adaptation, those who score high in N are also prone to have			
	irrational ideas, to be less able to control their impulses, and to cope more poorly			
	then others with stress.			

Tabla 4.1: Ocean model factors taken from  $\cite{black}$ 

that we did find[?] studied how the Big-Five influence the forming of new friendships in adolescence, but limited the study to pair wise interactions.

We know of no other study besides ours that focuses on the modulation of group dynamics based on personality trait variations.

### 4.3 Agent Logic

The agent logic is identical for all agents, but the internal states and states related to the agent behavior is maintained separately per agent.

The Logic is implemented as a infinite loop, repeating the following steps

- 1. Calculating action score
- 2. Action selection
- 3. Action execution
- 4. Handling interactions
- 5. Updating internal states

#### Calculating action score

The agent can execute one of five actions (see the section about ??). Independent of each other a score is calculated for each action. Tjat score is than used to select which action to perform. Section ?? covers the action score calculation in detail, for now it suffice to say that the score of an action depends on the the internal states of the agent and its psychological profile.

Besides the action score, the agent is calculating an **action score bias** that is added to the score of the current action and subtracted from the score of the previous action. This mechanism is used to keep the agent from switching between actions too quickly, and in case of the added bias models the tendency to continue with an ongoing task (similar to sustaining attention). The previous action score is reduced in order to keep the agent from looping between possible actions, and cause a more diverse action selection.

The action bias depends on the conscientiousness of the agent and is following an exponential decay curve, where time is the number of ticks the agent is performing the current

action. The number of ticks is only counted as the action is executed, not in its other states, making sure that Transitions or Waiting do not effect the action score bias.

$$scorebias(a_i) = A * e^{-(1.0-c)*t}$$

$$(4.1)$$

with

- $a_i$  is the action i
- where A is a simulation parameter defining the maximum bias
- where c is the agents conscientiousness
- where t is the number of ticks the current task is executed

#### Action selection

Once the actions are scored a single action is selected probabilistically. The probability for a action to be selected is defined by the square of the normalized action scores (see equation ??). Taking the squared action score makes sure that the highest rated score has a clear advantage over the other actions, but still gives other actions a chance to be selected.

$$p(a_i) = \frac{s_i^2}{\sum_i s_i^2} \tag{4.2}$$

with

- $p(a_i)$  is the probability of action i to be selected
- where  $s_i$  is the score for action i

#### Action execution

For the selected action it is tested if it can be performed, and if this is possible than the action is executed. Otherwise, the agent is taking a break, which works as the default action. In addition if it is not possible to perform an action, the agent keeps track of what its desired action is, and what the action that is actually executing.

#### **Handling Interaction**

Some of the agent behaviors like chat and quarrel depend on direct interactions between actions. Meaning that if agent A want to chat with agent B (that is randomly selected from the available agents), than Agent A depends on B accepting its invitation to chat. This mechanism is implemented by agent A is sending a request for chatting to agent B, and agent B decides to either accept or reject the invitation.

In case the request is accepted the agents perform the action (i.e. chat or quarrel), and if not, the sending agent A will retry either sending another request to the same agent B, or to another agent randomly selected.

The receiving agent B will interrupt any ongoing action and join the interaction. The decision if the agent B accepts the interaction os not depends on its personality traits. In case of chat the relevant personality trait is conscientiousness and in case of quarrel agreeableness. For each interaction a random number between 0.0 and 1.0 is generated. The that number is bigger than the corresponding personality trait of B, the interaction is accepted.

This mechanism makes sure to reflect the empirical findings that agents with a high level of conscientiousness are less likely to be distracted from the active task, and that high level of agreeableness is associated with less involvement in conflicts.

#### Updating internal states

The last step of the agent logic loop is to update the internal states of the agent. From the free internal states motivation, attention and happiness, only two (attention and happiness) are updated at this step.

- 1. **Happiness:** is increased if the current action is not quarrel, and the executed action is identical to the desired action. In case the agent is executing a not desired action happiness is decreased by a factor that is scaled by the agents neuroticism. The happiness increment is a simulation parameter.
- 2. **Attention:** In case the agent is studying (either alone or in a group), its attention is calculated by calculating the sum of its motivation plus conscientiousness minus the noise in the classroom.

As for motivation, this internal state is altered by the action performed.

### 4.3.1 Actions Scores

## Data Analysis

This chapter will describe which results are generated during the simulation, how they are analyzed, and the three steps analysis is performed.

## Conclusion and outlook

The final chapter will include the results of the class profile comparison, come conclusions and hypothesis concerning the results.

An outlook on how the simulation could be extended to be a interactive teacher training program.

## Appendix A

# Appendix



### Contact

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scount

#### **Skills**

tware Development

hine Learning

a Analysis

olem Solving

### nguages

German

**Python** 

English

I am eager to work with other machine learning experts to solve problems of automatic decision making and general artificial intelligence (e.g. learning, search and pattern recognition).

### **Experience & Education**

Scientific Software Engineer

#### Master in Artificial Intelligence

present 2018

#### Biocomp / Vienna Biocenter Core Facilities

Developing serval data analysis applications used by neuroscience researchers to study the amygdala circuit in the mouse brain.

In particular application to automatically quantify and analyze animal behavior, and software to process and analyze neuronal activity.

- Programming in Python, Matlab, R
- Data Visualization: matplotlib, seaborn
- Data Processing: numpy, pandas
- Data Analysis: scipy, scikit-learn

#### Universidad Internacional de La Rioja

Master Thesis: "The effect of personality traits on attention and happiness in a virtual classroom"

- Cognitive Neuroscience
- Automatic reasoning and planing
- Natural Language Processing
- Deep Learning

#### **Research Assistent**

Universidad Politécnica de Valencia

Developing a simulation environment controlled by a stationary replica of a autonomous vehicle.

- Programming in Python, C
- Developing simulation software

### Master in Parallel and Distributed Computing

2012

Master Thesis: "Peer selection and Bandwidth allocation methods in BitTorrent Systems"

Universidad Politécnica de Valencia

- Distributed Systems
- P2P Networks
- Parallel Computing
- High performance computing

### Embedded System Engineer

2010 -2007

2011

2012

#### Adaptivia GmbH

Programming of 16 bit low power SoC devices for wireless underground sensor networks.

- Embedded system engineering
- Programming in C
- System and Network design

### Bachelor of Technical Informatics

2009

#### **Technical University Vienna**

Bachelor Thesis: "Course Timetabling using Constraints satisfaction programming"

- Software Development
- Embedded system engineering
- Computer Theory

#### Various Internships

2007

Database Developer System Administrator Infrastructure Maintances Warehouse clerk Project Assistant

#### **Publications**

O

 $\square$ 

Pliota, P., Böhm, V., Grössl, F., Griessner, J., Valenti, O., Kraitsy, K., Kaczanowska, J., **Pasieka, M.**, Lendl, T., Deussing, J. M. and Haubensak, W. (2018) 'Stress peptides sensitize fear circuitry to promote passive coping', *MolecularPsychiatry*.



Dr. Johannes Griessner , **Manuel Pasieka** , Mr. Vincent Boehm , Mr. Florian Grössl , Mrs. Joanna Kaczanowska , Dr. Pinelopi Pliota , Mr. Dominic Kargl, Ms. Barbara Werner , Dr. Nadia Kaouane , Ms. Sandra Strobelt , Dr. Silke Kreitz , Prof. Andreas Hess and Haubensak, W. (2018) 'Central amygdala circuit dynamics underlying the benzodiazepine anxiolytic effect', *MolecularPsychiatry*.