

# A Survey on Combinatorial Duality Approach to Zero-dimensional Ideals

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## 1 Gröbner Technology

$\mathbb{F}$  denotes an arbitrary field,  $\overline{\mathbb{F}}$  denotes its algebraic closure and  $\mathbb{F}_q$  denotes a finite field of size  $q$  (so  $q$  is implicitly understood to be a power of a prime) and  $\mathcal{P} := \mathbb{F}[X] := \mathbb{F}[x_1, \dots, x_n]$  the polynomial ring over the field  $\mathbb{F}$ .

For any ideal  $\mathfrak{l} \subset \mathcal{P}$  and any extension field  $E$  of  $\mathbb{F}$ , let  $\mathcal{V}_E(\mathfrak{l})$  be the rational points of  $\mathfrak{l}$  over  $E$ . We also write  $\mathcal{V}(\mathfrak{l}) = \mathcal{V}_{\overline{\mathbb{F}}}(\mathfrak{l})$ .

Let  $\mathcal{T}$  be the set of terms in  $\mathcal{P}$ , *id est*

$$\mathcal{T} := \{x_1^{a_1} \cdots x_n^{a_n} : (a_1, \dots, a_n) \in \mathbb{N}^n\},$$

which is a multiplicative version of the additive semigroup  $\mathbb{N}^n$ , the relation between these notations being obvious: given

$$\alpha := (a_1, \dots, a_n), \quad \beta := (b_1, \dots, b_n), \quad \gamma := (c_1, \dots, c_n)$$

and the terms

$$\tau_a := X^\alpha = x_1^{a_1} \cdots x_n^{a_n}, \quad \tau_b := X^\beta = x_1^{b_1} \cdots x_n^{b_n}, \quad \tau_c := X^\gamma = x_1^{c_1} \cdots x_n^{c_n},$$

we have

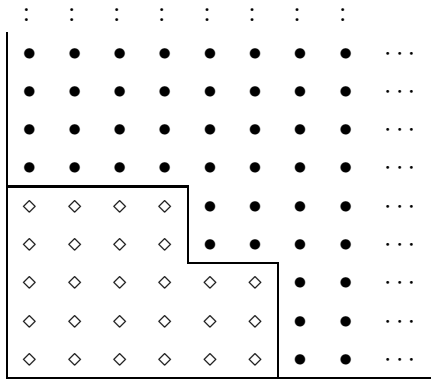
$$\begin{array}{llll} \tau_a \cdot \tau_b = \tau_c & \iff & a_i + b_i = c_i \text{ for each } i & \iff & \alpha + \beta = \gamma \\ \tau_a \mid \tau_b & \iff & a_i \leq b_i \text{ for each } i & \iff & \alpha \leq_P \beta \end{array}$$

where  $\leq_P$  is the natural partial ordering over  $\mathbb{N}^n$ .

The assignement of a finite set of terms

$$G := \{\tau_1, \dots, \tau_\nu\} \subset \mathcal{T}, \tau_i = x_1^{a_1^{(i)}} \cdots x_n^{a_n^{(i)}}$$

Figure 1:



— or, equivalently of a finite set of integer vectors

$$\{a^{(1)}, \dots, a^{(\nu)}\} \subset \mathbb{N}^n, a^{(i)} = (a_1^{(i)}, \dots, a_n^{(i)}) \in \mathbb{N}^n,$$

defines a partition of  $\mathcal{T}$  (resp.  $\mathbb{N}^n$ ) in two parts (see Figure 1 where  $G := \{x_1^7, x_1^5 x_2^3, x_2^5\} \subset \mathcal{T}$ ):

- $T := \{\tau \tau_i : \tau \in \mathcal{T}, 1 \leq i \leq \nu\} \cong \{\alpha + a^{(i)} : \alpha \in \mathbb{N}^n, 1 \leq i \leq \nu\} =: \Sigma$  which is a *semigroup ideal*, *id est* a subset  $T \subset \mathcal{T}$  (resp.  $\Sigma \subset \mathbb{N}^n$ ) such that

$$\tau \in T, t \in \mathcal{T} \implies t\tau \in T, \text{ resp. } a \in \Sigma, b \in \mathbb{N}^n, a \leq_P b \implies b \in \Sigma;$$

- $N := \mathcal{T} \setminus T \cong \mathbb{N}^n \setminus \Sigma =: \Delta$  which is an *order ideal*, *id est* a subset  $N \subset \mathcal{T}$  (resp.  $\Delta \subset \mathbb{N}^n$ ) such that

$$\tau \in N, t \in \mathcal{T}, t \mid \tau \implies t \in N, \text{ resp. } a \in \Delta, b \in \mathbb{N}^n, a \geq_P b \implies b \in \Delta.$$

Remark that the assignement of

- a finite monomial set  $G \subset \mathcal{T}$ ,
- a semigroup ideal  $T \subset \mathcal{T}$ ,
- an order ideal  $N \subset \mathcal{T}$

uniquely characterize the other data: in fact

- $N$  and  $T$  are related by their being complementary in  $\mathcal{T}$ ,
- each semigroup ideal  $T \subset \mathcal{T}$  has a unique minimal basis  $G \subset T$  such that  $T := \{\tau \tau_i : \tau \in \mathcal{T}, \tau_i \in G\}$ ; the fact, whose proof is quite involved, that  $G$  is finite is known as Dickson's Lemma but actually was already proved by Gordan [29].

We recall that the well-orderings on  $\mathcal{T}$  which are a *semigroup ordering*, *id est* satisfy

$$\tau_1 < \tau_2 \implies \tau\tau_1 < \tau\tau_2 \text{ for each } \tau, \tau_1, \tau_2 \in \mathcal{T}$$

are called *term orderings*, even if the old-fashioned notion of *admissible ordering* can still be found somewhere.

For a free-module  $\mathcal{P}^m$ ,  $m \in \mathbb{N}$ , denote  $\{\mathbf{e}_1, \dots, \mathbf{e}_m\}$  its canonical basis,

$$\begin{aligned} \mathcal{T}^{(m)} &= \{t\mathbf{e}_i, t \in \mathcal{T}, 1 \leq i \leq m\} = \\ &= \{x_1^{a_1} \cdots x_n^{a_n} \mathbf{e}_i, (a_1, \dots, a_n) \in \mathbb{N}^n, 1 \leq i \leq m\} \end{aligned}$$

its monomial  $\mathbb{F}$ -basis and  $\prec$  a well-ordering on  $\mathcal{T}^{(m)}$  which is compatible with the term-ordering  $<$  on  $\mathcal{T}$ , that is, satisfying

$$t_1 \leq t_2, \tau_1 \preceq \tau_2 \implies t_1\tau_1 \preceq t_2\tau_2$$

for each  $t_1, t_2 \in \mathcal{T}, \tau_1, \tau_2 \in \mathcal{T}^{(m)}$ .

Note that  $\mathcal{T}^{(1)} = \mathcal{T}$ .

For each  $f = \sum_{\tau \in \mathcal{T}^{(m)}} c(f, \tau)\tau \in \mathcal{P}^m$ , its *support* is

$$\text{supp}(f) := \{\tau \in \mathcal{T}^{(m)} : c(f, \tau) \neq 0\},$$

its *leading term* is the term  $\mathbf{T}_{\prec}(f) := \max_{\prec}(\text{supp}(f))$ , its *leading coefficient* is  $\text{lc}_{\prec}(f) := c(f, \mathbf{T}_{\prec}(f))$  and its *leading monomial* is  $\mathbf{M}_{\prec}(f) := \text{lc}_{\prec}(f)\mathbf{T}_{\prec}(f)$ .

When  $\prec$  is understood we will drop the subscript, as in  $\mathbf{T}(f) = \mathbf{T}_{\prec}(f)$ .

For any set  $F \subset \mathcal{P}^m$ , write

- $\mathbf{T}\{F\} := \mathbf{T}_{\prec}\{F\} := \{\mathbf{T}(f) : f \in F\};$
- $\mathbf{M}\{F\} := \mathbf{M}_{\prec}\{F\} := \{\mathbf{M}(f) : f \in F\};$
- $\mathbf{T}(F) := \mathbf{T}_{\prec}(F) := \{\tau\mathbf{T}(f) : \tau \in \mathcal{T}, f \in F\}$ , a *monomial module*<sup>1</sup>;
- $\mathbf{N}(F) := \mathbf{N}_{\prec}(F) := \mathcal{T}^{(m)} \setminus \mathbf{T}_{\prec}(F)$ , an *order module*<sup>2</sup>;
- $\mathbb{I}(F) = \langle F \rangle$  the module generated by  $F$ .

Remark that, if  $m = 1$ , the assignment of  $\mathbf{T}\{F\}$  gives the partition  $\mathcal{T} = \mathbf{T}(F) \sqcup \mathbf{N}(F)$  discussed above, that the related semigroup ideal  $\mathbf{T}(F)$  is also denoted  $\Sigma(F)$  while the related order ideal  $\mathbf{N}(F)$  is also denoted  $\Delta(F)$  and labelled  $\Delta$ -set or *footprint*. When  $F$  is the Gröbner basis of the module  $\mathbb{I}(F)$  it generates,  $\mathbf{N}(F)$  is called the *Gröbner éscalier*[26] of  $\mathbb{I}(F)$ .

We can now however induce a finer partition of  $\mathcal{T}^{(m)}$  in terms of a module  $\mathbf{M} \subset \mathcal{P}^m$  and a term-ordering  $\prec$ , by defining (see Figure 2 where again  $\mathbf{M} = \mathbb{I}(x_1^7, x_1^5 x_2^3, x_2^5) \subset \mathcal{P}$ )

$$\diamond \mathbf{N}_{\prec}(\mathbf{M}) = \mathcal{T}^{(m)} \setminus \mathbf{T}_{\prec}(\mathbf{M}) \text{ its } \textit{Gröbner éscalier};$$

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<sup>1</sup> *Id est* a subset  $T \subset \mathcal{T}^{(m)}$  such that  $\tau \in T, t \in \mathcal{T} \implies t\tau \in T$ .

<sup>2</sup> *Id est* a subset  $T \subset \mathcal{T}^{(m)}$  such that  $t\tau \in T, t \in \mathcal{T} \implies \tau \in T$ .

- $\mathbf{B}_{\prec}(\mathbf{M}) := \{x_h \tau : 1 \leq h \leq n, \tau \in \mathbf{N}_{\prec}(\mathbf{M})\} \setminus \mathbf{N}_{\prec}(\mathbf{M})$ , its *border set*;
- $\mathbf{J}_{\prec}(\mathbf{M}) := \mathbf{T}_{\prec}(\mathbf{M}) \setminus \mathbf{B}_{\prec}(\mathbf{M})$ ,
- \*  $\mathbf{G}_{\prec}(\mathbf{M}) \subset \mathbf{B}_{\prec}(\mathbf{M})$  the unique minimal basis of  $\mathbf{T}_{\prec}(\mathbf{M})$ ,
- $\mathbf{C}_{\prec}(\mathbf{M}) := \{\tau \in \mathbf{N}_{\prec}(\mathbf{M}) : x_h \tau \in \mathbf{T}_{\prec}(\mathbf{M}), \forall h\}$  its *corner set*.

Under this notation, the following properties are trivially satisfied:

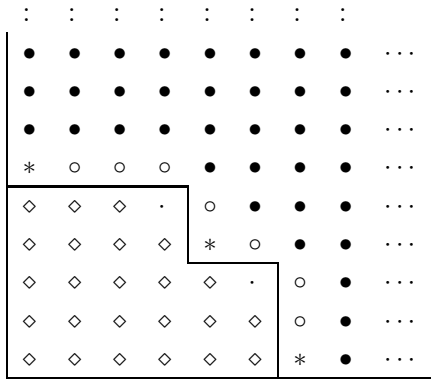
**Lemma 1** *It holds*

1.  $\mathbf{T}_{\prec}(\mathbf{M}) = \{\tau \in \mathcal{T} : \exists g \in \mathbf{M} : \mathbf{T}_{\prec}(g) = \tau\}$ ;
2.  $\mathbf{J}_{\prec}(\mathbf{M}) = \left\{ \tau \in \mathbf{T}_{\prec}(\mathbf{M}) : x_i \mid \tau \implies \frac{\tau}{x_i} \in \mathbf{T}_{\prec}(\mathbf{M}) \right\}$ ;
3.  $\mathbf{B}_{\prec}(\mathbf{M}) = \left\{ \tau \in \mathbf{T}_{\prec}(\mathbf{M}) : \exists x_i \mid \tau, \frac{\tau}{x_i} \in \mathbf{N}_{\prec}(\mathbf{M}) \right\}$ ;
4.  $\mathbf{G}_{\prec}(\mathbf{M}) = \left\{ \tau \in \mathbf{T}_{\prec}(\mathbf{M}) : \forall x_i \mid \tau, \frac{\tau}{x_i} \in \mathbf{N}_{\prec}(\mathbf{M}) \right\}$ ;
5.  $\mathbf{C}_{\prec}(\mathbf{M}) = \{\tau \in \mathbf{N}_{\prec}(\mathbf{M}) : \forall i, x_i \tau \in \mathbf{B}_{\prec}(\mathbf{M})\}$ ;
6.  $\mathbf{N}_{\prec}(\mathbf{M}) = \{\tau \in \mathcal{T} : \nexists g \in \mathbf{M} : \mathbf{T}_{\prec}(g) = \tau\}$ ;
7.  $\mathbf{C}_{\prec}(\mathbf{M}) \cup \mathbf{T}_{\prec}(\mathbf{M})$  is a monomial module;
8.  $\mathbf{N}_{\prec}(\mathbf{M}) \cup \mathbf{G}_{\prec}(\mathbf{M})$  and  $\mathbf{N}_{\prec}(\mathbf{M}) \cup \mathbf{B}_{\prec}(\mathbf{M})$  are order modules.
9.  $\tau \in \mathbf{J}_{\prec}(\mathbf{M}) \iff \forall x_i \mid \tau, \frac{\tau}{x_i} \in \mathbf{T}_{\prec}(\mathbf{M})$ ;
10.  $\tau \in \mathbf{B}_{\prec}(\mathbf{M}) \setminus \mathbf{G}_{\prec}(\mathbf{M}) \iff \exists h, H : \frac{\tau}{x_h} \in \mathbf{N}_{\prec}(\mathbf{M}), \frac{\tau}{x_H} \in \mathbf{B}_{\prec}(\mathbf{M}) \subset \mathbf{T}_{\prec}(\mathbf{M})$ ;
11.  $\tau \in \mathbf{B}_{\prec}(\mathbf{M}) \setminus \mathbf{G}_{\prec}(\mathbf{M}) \implies \forall x_i \mid \tau, \frac{\tau}{x_i} \in \mathbf{N}_{\prec}(\mathbf{M}) \cup \mathbf{B}_{\prec}(\mathbf{M})$ ;
12.  $\tau \in \mathbf{N}_{\prec}(\mathbf{M}) \cup \mathbf{G}_{\prec}(\mathbf{M}) \iff \forall x_i \mid \tau, \frac{\tau}{x_i} \in \mathbf{N}_{\prec}(\mathbf{M})$ ;
13.  $\tau \in \mathbf{T}_{\prec}(\mathbf{M}) \cup \mathbf{C}_{\prec}(\mathbf{M}) \iff \forall i, x_i \tau \in \mathbf{T}_{\prec}(\mathbf{M})$ ;
14.  $\tau \in \mathbf{N}_{\prec}(\mathbf{M}) \setminus \mathbf{C}_{\prec}(\mathbf{M}) \iff \exists h : x_h \tau \in \mathbf{N}_{\prec}(\mathbf{M})$ . □

**Lemma 2** *Let  $\mathbf{N}$  be a finitely generated  $\mathcal{P}$ -module,  $\Phi : \mathcal{P}^m \mapsto \mathbf{N}$  be any surjective morphism and set  $\mathbf{M} := \ker(\Phi)$ . Then*

1.  $\mathcal{P}^m \cong \mathbf{M} \oplus \text{Span}_{\mathbb{F}}(\mathbf{N}(\mathbf{M}))$ ;
2.  $\mathbf{N} \cong \text{Span}_{\mathbb{F}}(\mathbf{N}(\mathbf{M}))$ ;
3. for each  $f \in \mathcal{P}^m$ , there is a unique  $g := \text{Can}(f, \mathbf{M}, \prec) \in \text{Span}_{\mathbb{F}}(\mathbf{N}(\mathbf{M}))$  such that  $f - g \in \mathbf{M}$ .  
Such  $g$  is called the canonical form of  $f$  w.r.t.  $\mathbf{M}$  and satisfies also:

Figure 2:



$$(a) \text{ Can}(f_1, M, \prec) = \text{Can}(f_2, M, \prec) \iff f_1 - f_2 \in M;$$

$$(b) \text{ Can}(f, M, \prec) = 0 \iff f \in M. \quad \square$$

**Definition 3** Let  $N$  be a finitely generated  $\mathcal{P}$ -module,  $\Phi : \mathcal{P}^m \mapsto N$  be any surjective morphism and set  $M := \ker(\Phi)$ .

Let  $G \subset M$ ,  $f, h, f_1, f_2 \in \mathcal{P}^m$ . Then

1.  $G$  will be called a Gröbner basis of  $M$  if

$$\mathbf{T}(G) = \mathbf{T}(M),$$

that is,  $\mathbf{T}\{G\} := \{\mathbf{T}(g) : g \in G\}$  generates  $\mathbf{T}(M) = \mathbf{T}\{M\}$ .

2. For each  $f_1, f_2 \in \mathcal{P}^m$  such that

$$\mathbf{T}(f_1) = t_1 \mathbf{e}_{i_1}, \mathbf{T}(f_2) = t_2 \mathbf{e}_{i_2},$$

the S-polynomial of  $f_1$  and  $f_2$  exists only if  $\mathbf{e}_{i_1} = \mathbf{e}_{i_2} := \epsilon$ , in which case it is

$$S(f_1, f_2) := \text{lc}(f_2)^{-1} \frac{\delta(f_1, f_2)}{t_2} f_2 - \text{lc}(f_1)^{-1} \frac{\delta(f_1, f_2)}{t_1} f_1,$$

where  $\delta := \delta(f_1, f_2) := \text{lcm}(t_1, t_2)$ ;  $\delta\epsilon$  is called the formal term of  $S(f_1, f_2)$ .

3.  $f$  has a Gröbner representation  $\sum_{i=1}^{\mu} p_i g_i$  in terms of  $G$  if<sup>3</sup>

$$f = \sum_{i=1}^{\mu} p_i g_i, p_i \in \mathcal{P}, g_i \in G, \mathbf{T}(p_i) \mathbf{T}(g_i) \preceq \mathbf{T}(f), \text{ for each } i.$$

<sup>3</sup>note that here, unlike in (4), we are not assuming  $i \neq j \implies \mathbf{T}(p_i) \mathbf{T}(g_i) \neq \mathbf{T}(p_j) \mathbf{T}(g_j)$ ; moreover both here, in (4) and in (5) a same element of  $G$  can repeatedly appear.

4.  $f$  has the (strong) Gröbner representation  $\sum_{i=1}^{\mu} c_i t_i g_i$  in terms of  $G$  if

$$f = \sum_{i=1}^{\mu} c_i t_i g_i, c_i \in \mathbb{F} \setminus \{0\}, t_i \in \mathcal{T}, g_i \in G,$$

with  $\mathbf{T}(f) = t_1 \mathbf{T}(g_1) \succ \cdots \succ t_i \mathbf{T}(g_i) \succ \cdots$ .

5.  $f$  has the weak Gröbner representation  $\sum_{i=1}^{\mu} c_i t_i g_i$  in terms of  $G$  if

$$f = \sum_{i=1}^{\mu} c_i t_i g_i, c_i \in \mathbb{F} \setminus \{0\}, t_i \in \mathcal{T}, g_i \in G,$$

with  $\mathbf{T}(f) = t_1 \mathbf{T}(g_1) \succeq \cdots \succeq t_i \mathbf{T}(g_i) \succeq \cdots$ .

6. For any  $f_1, f_2 \in \mathcal{P}^m$ , whose  $S$ -polynomial exists and has  $\delta\epsilon$  as formal term, we say that  $S(f_1, f_2)$  has a quasi-Gröbner representation in terms of  $G$  if it can be written as  $S(g, f) = \sum_{k=1}^{\mu} p_k g_k$ , with  $p_k \in \mathcal{P}, g_k \in G$  and  $\mathbf{T}(p_k) \mathbf{T}(g_k) \prec \delta\epsilon$  for each  $k$ .

7.  $h := \text{NF}_{\prec}(f, G)$  is called a normal form of  $f$  w.r.t.  $G$ , if

- $f - h \in \mathbb{I}(G)$  has a strong Gröbner representation in terms of  $G$  and
- $h \neq 0 \implies \mathbf{T}(h) \notin \mathbf{T}(G)$ .

8. The reduced Gröbner basis of  $\mathbf{M}$  wrt  $\prec$  is the set

$$\{\tau - \text{Can}(\tau, \mathbf{M}, \prec) : \tau \in \mathbf{G}_{\prec}(\mathbf{M})\}.$$

9. The border basis of  $\mathbf{M}$  w.r.t.  $\prec$  is the set

$$\{\tau - \text{Can}(\tau, \mathbf{M}, \prec) : \tau \in \mathbf{B}_{\prec}(\mathbf{M})\}.$$

10. A Gröbner representation of  $\mathbf{M}$  is the assignment of

- a linearly independent set  $\mathbf{q} = \{q_1, \dots, q_s\}$  ( $q_1 = 1$ ), where  $s = \#(\mathbf{N}(\mathbf{M}))$ , such that  $\mathcal{P}^m / \mathbf{M} = \text{Span}_{\mathbb{F}}(\mathbf{q})$ ,
- the set

$$\mathcal{M} = \mathcal{M}(\mathbf{q}) := \left\{ \left( a_{lj}^{(h)} \right) \in \mathbb{F}^{s^2}, 1 \leq h \leq n \right\}$$

of the  $s \times s$  square matrices  $\left( a_{lj}^{(h)} \right)$  defined by the equalities

$$x_h q_l = \sum_j a_{lj}^{(h)} q_j, \forall l, j, h, 1 \leq l, j \leq s, 1 \leq h \leq n$$

in  $\mathcal{P}^m / \mathbf{M} = \text{Span}_{\mathbb{F}}(\mathbf{q})$ .

11. For each  $f \in \mathcal{P}$  the Gröbner description of  $f$  in terms of a Gröbner representation  $(\mathbf{q}, \mathcal{M})$  is the unique vector

$$\mathbf{Rep}(f, \mathbf{q}) := (\gamma(f, q_1, \mathbf{q}), \dots, \gamma(f, q_s, \mathbf{q})) \in \mathbb{F}^s$$

such that  $f - \sum_j \gamma(f, q_j, \mathbf{q}) q_j \in \mathcal{M}$ .

12. The linear representation of  $\mathcal{M}$  w.r.t.  $\prec$  is the Gröbner representation  $(\mathbf{N}_\prec(\mathcal{M}), \mathcal{M}(\mathbf{N}_\prec(\mathcal{M})))$  where  $\mathbf{q} = \mathbf{N}_\prec(\mathcal{M})$ .  $\square$

With these definitions, if  $\mathbf{N}_\prec(\mathcal{M}) = \{\tau_1, \dots, \tau_s\}$ , the Gröbner description

$$\mathbf{Rep}(f, \mathbf{N}_\prec(\mathcal{M})) := (\gamma(f, \tau_1, \mathbf{N}_\prec(\mathcal{M})), \dots, \gamma(f, \tau_s, \mathbf{N}_\prec(\mathcal{M})))$$

of  $f$  in terms of the linear representation of  $\mathcal{M}$  w.r.t.  $\prec$  is a convoluted synonym of the notion of the canonical form

$$\text{Can}(f, \mathcal{M}, \prec) = \sum_{j=1}^s \gamma(f, \tau_j, \prec) \tau_j = \sum_{j=1}^s \gamma(f, \tau_j, \mathbf{N}_\prec(\mathcal{M})) \tau_j$$

of  $f$  in terms of  $\prec$ .

## 2 Duality (1)

Denote  $\mathcal{P}^* := \text{Hom}_{\mathbb{F}}(\mathcal{P}, \mathbb{F})$  the  $\mathbb{F}$ -vector space of all  $\mathbb{F}$ -linear functionals  $\ell : \mathcal{P} \mapsto \mathbb{F}$  and remark that it holds  $f \in \mathcal{P}, \ell \in \mathcal{P}^* \implies \ell(f) = \sum_{\tau \in \mathcal{T}} \mathbf{c}(f, \tau) \ell(\tau)$  and that  $\mathcal{P}^*$  is made a  $\mathcal{P}$ -module defining  $\forall \ell \in \mathcal{P}^*, f \in \mathcal{P}, \ell \cdot f \in \mathcal{P}^*$  as  $(\ell \cdot f)(g) := \ell(fg) \forall g \in \mathcal{P}$ .

Two sets  $\mathbb{L} = \{\ell_1, \dots, \ell_r\} \subset \mathcal{P}^*$  and  $\mathbf{q} = \{q_1, \dots, q_s\} \subset \mathcal{P}$  are said to be

- *triangular* if  $r = s$  and  $\ell_i(q_j) = 0$ , for each  $i < j$ ;
- *biorthogonal* if  $r = s$  and  $\ell_i(q_j) = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{if } i \neq j. \end{cases}$

For each  $\mathbb{F}$ -vector subspace  $L \subset \mathcal{P}^*$ , let

$$\mathfrak{P}(L) := \{g \in \mathcal{P} : \ell(g) = 0, \forall \ell \in L\}$$

and, for each  $\mathbb{F}$ -vector subspace  $P \subset \mathcal{P}$ , let

$$\mathfrak{L}(P) := \{\ell \in \mathcal{P}^* : \ell(g) = 0, \forall g \in P\}.$$

**Lemma 4** For each  $\mathbb{F}$ -vector subspaces  $P, P_1, P_2 \subset \mathcal{P}$  and each  $\mathbb{F}$ -vector subspaces  $L, L_1, L_2 \subset \mathcal{P}^*$  it holds

1. if  $P$  is an ideal then  $\mathfrak{L}(P)$  is a  $\mathcal{P}$ -module.
2. if  $L$  is a  $\mathcal{P}$ -module then  $\mathfrak{P}(L)$  is an ideal.

3.  $P_1 \subset P_2 \implies \mathfrak{L}(P_1) \supset \mathfrak{L}(P_2)$ ;
4.  $L_1 \subset L_2 \implies \mathfrak{P}(L_1) \supset \mathfrak{P}(L_2)$ ;
5.  $\mathfrak{L}(P_1 \cap P_2) \supset \mathfrak{L}(P_1) + \mathfrak{L}(P_2)$ ;
6.  $\mathfrak{P}(L_1 \cap L_2) \supset \mathfrak{P}(L_1) + \mathfrak{P}(L_2)$ ;
7.  $\mathfrak{L}(P_1 + P_2) = \mathfrak{L}(P_1) \cap \mathfrak{L}(P_2)$ ;
8.  $\mathfrak{P}(L_1 + L_2) = \mathfrak{P}(L_1) \cap \mathfrak{P}(L_2)$ .
9.  $P = \mathfrak{P}\mathfrak{L}(P)$ .
10.  $L \subset \mathfrak{L}\mathfrak{P}(L)$ ;
11.  $\dim_{\mathbb{F}}(L) < \infty \implies L = \mathfrak{L}\mathfrak{P}(L)$ ;  $\square$

id est  $\mathfrak{P}$  and  $\mathfrak{L}$  define a duality between finite dimensional  $\mathcal{P}$ -modules of functionals and zero-dimensional ideals.

### 3 Möller's Algorithm

Let  $\mathbb{L} = \{\ell_1, \dots, \ell_s\} \subset \mathcal{P}^*$  be a (not necessarily linearly independent) set of  $\mathbb{F}$ -linear functionals such that  $L := \text{Span}_{\mathbb{F}}(\mathbb{L})$  is a  $\mathcal{P}$ -module, and let us denote, for each  $f \in \mathcal{P}$ ,

$$v(f, \mathbb{L}) := (\ell_1(f), \dots, \ell_s(f)) \in \mathbb{F}^s.$$

Since  $\dim_{\mathbb{F}}(L) < \infty$  then  $\mathfrak{l} := \mathfrak{P}(L)$  is a zero-dimensional ideal and

$$\#(\mathbf{N}(\mathfrak{l})) = \deg(\mathfrak{l}) = \dim_{\mathbb{F}}(L) =: r \leq s;$$

therefore, denoting

$$\mathbf{N}(\mathfrak{l}) = \{t_1, \dots, t_r\}, \quad 1 = t_1 < \dots < t_i < t_{i+1} < \dots < t_r,$$

we can consider the  $s \times r$  matrix  $\ell_i(t_j)$  whose columns are the vectors  $v(t_j, \mathbb{L})$  and are linearly independent, since any relation  $\sum_j c_j v(t_j, \mathbb{L}) = 0$  would imply

$$\ell_i\left(\sum_j c_j t_j\right) = \sum_j c_j \ell_i(t_j) = 0 \text{ and } \sum_j c_j t_j \in \mathfrak{P}(L) = \mathfrak{l}$$

contradicting the definition of  $\mathbf{N}(\mathfrak{l})$ .

The matrix  $\ell_i(t_j)$  has rank  $r \leq s$  and it is possible to extract an ordered subset  $\Lambda := \{\lambda_1, \dots, \lambda_r\} \subset \mathbb{L}$ , satisfying  $\text{Span}_{\mathbb{F}}\{\Lambda\} = \text{Span}_{\mathbb{F}}\{\mathbb{L}\}$  and to re-enumerate the terms in  $\mathbf{N}(\mathfrak{l})$  in such a way that each principal minor  $\lambda_i(t_j)$ ,  $1 \leq i, j \leq \sigma \leq r$  is invertible. Therefore, if we consider a set

$$\mathbf{q} := \{q_1, \dots, q_r\} \subset \mathcal{P}$$

which is triangular w.r.t.  $\mathbb{L}$ , and  $(a_{ij})$  denotes the invertible matrix such that  $q_i = \sum_{j=1}^r a_{ij} t_j$ ,  $\forall i \leq r$ , then for each  $\sigma \leq r$



- $\{q_1, \dots, q_\sigma\}$  and  $\{\lambda_1, \dots, \lambda_\sigma\}$  are triangular;
- $\text{Span}_{\mathbb{F}}\{t_1, \dots, t_\sigma\} = \text{Span}_{\mathbb{F}}\{q_1, \dots, q_\sigma\}$ ;
- $(a_{ij})$  is lower triangular.

If we now further assume that

1.  $\dim_{\mathbb{F}}(L) = r = s$  and
2. each subvectorspace  $L_\sigma := \text{Span}_{\mathbb{F}}(\{\ell_1, \dots, \ell_\sigma\})$  is a  $\mathcal{P}$ -module

so that each  $\mathfrak{l}_\sigma = \mathfrak{P}(L_\sigma)$  is a zero-dimensional ideal and there is a chain

$$\mathfrak{l}_1 \supset \mathfrak{l}_2 \supset \dots \supset \mathfrak{l}_s = \mathfrak{l},$$

then we have

- $\lambda_\sigma = \ell_\sigma, \forall \sigma$
- $\mathbf{N}(\mathfrak{l}_\sigma) = \{t_1, \dots, t_\sigma\}$  is an order ideal  $\forall \sigma$
- $\mathfrak{l}_\sigma \oplus \text{Span}_{\mathbb{F}}\{q_1, \dots, q_\sigma\} = \mathcal{P}, \forall \sigma$
- $\mathbf{T}(q_\sigma) = t_\sigma, \forall \sigma$ .

In conclusion we have proved

**Theorem 5 (Möller)** *Let  $\mathcal{P} := \mathbb{F}[x_1, \dots, x_n]$ , and  $<$  be any termordering. Let  $\mathbb{L} = \{\ell_1, \dots, \ell_s\} \subset \mathcal{P}^*$  be a set of  $\mathbb{F}$ -linear functionals such that  $\mathfrak{P}(\text{Span}_{\mathbb{F}}(\mathbb{L}))$  is a zero-dimensional ideal.*

*Then there are*

- an integer  $r \in \mathbb{N}$ ,
- an order ideal  $\mathbf{N} := \{t_1, \dots, t_r\} \subset \mathcal{T}$ ,
- an ordered subset  $\Lambda := \{\lambda_1, \dots, \lambda_r\} \subset \mathbb{L}$ ,
- an ordered set  $\mathbf{q} := \{q_1, \dots, q_r\} \subset \mathcal{P}$ ,

*such that, denoting  $L := \text{Span}_{\mathbb{F}}(\mathbb{L})$  and  $\mathfrak{l} := \mathfrak{P}(L)$ , it holds:*

1.  $r = \deg(\mathfrak{l}) = \dim_{\mathbb{F}}(\mathbb{L})$ ,
2.  $\mathbf{N}(\mathfrak{l}) = \mathbf{N}$ ,
3.  $\text{Span}_{\mathbb{F}}(\Lambda) = \text{Span}_{\mathbb{F}}(\mathbb{L})$ ,
4.  $\text{Span}_{\mathbb{F}}\{t_1, \dots, t_\sigma\} = \text{Span}_{\mathbb{F}}\{q_1, \dots, q_\sigma\}, \forall \sigma \leq r$ ,
5.  $\{q_1, \dots, q_\sigma\}, \{\lambda_1, \dots, \lambda_\sigma\}$  are triangular,  $\forall \sigma \leq r$ .

*If, moreover, we have*

- $\dim_{\mathbb{F}}(L) = r = s$  and
- $L_{\sigma} := \text{Span}_{\mathbb{F}}(\{\ell_1, \dots, \ell_{\sigma}\})$  is a  $\mathcal{P}$ -module,  $\forall \sigma$ ,

then it further holds

6.  $\lambda_{\sigma} = \ell_{\sigma}$ ,
7.  $\mathbf{N}(\mathbf{l}_{\sigma}) = \{t_1, \dots, t_{\sigma}\}$  is an order ideal,
8.  $\mathbf{l}_{\sigma} \oplus \text{Span}_{\mathbb{F}}\{q_1, \dots, q_{\sigma}\} = \mathcal{P}$ ,
9.  $\mathbf{T}(q_{\sigma}) = t_{\sigma}$

for each  $\sigma \leq r$ , where  $\mathbf{l}_{\sigma} = \mathfrak{P}(L_{\sigma})$ . □

**Corollary 6 (Lagrange Interpolation Formula)** *Let  $\mathcal{P} := \mathbb{F}[x_1, \dots, x_n]$ ,  $<$  be any termordering.  $\mathbb{L} = \{\ell_1, \dots, \ell_s\} \subset \mathcal{P}^*$  be a set of  $\mathbb{F}$ -linear functionals such that  $\mathbf{l} := \mathfrak{P}(\text{Span}_{\mathbb{F}}(\mathbb{L}))$  is a 0-dim. ideal.*

*There exists a set  $\mathbf{q} = \{q_1, \dots, q_s\} \subset \mathcal{P}$  such that*

1.  $q_i = \text{Can}(q_i, \mathbf{l}) \in \text{Span}_{\mathbb{F}}(\mathbf{N}(\mathbf{l}))$ ;
2.  $\mathbb{L}$  and  $\mathbf{q}$  are triangular;
3.  $\mathcal{P}/\mathbf{l} \cong \text{Span}_{\mathbb{F}}(\mathbf{q})$ .

*There exists a set  $\mathbf{q}' = \{q'_1, \dots, q'_s\} \subset \mathcal{P}$  such that*

1.  $q'_i = \text{Can}(q'_i, \mathbf{l}) \in \text{Span}_{\mathbb{F}}(\mathbf{N}(\mathbf{l}))$ ;
2.  $\mathbb{L}$  and  $\mathbf{q}'$  are biorthogonal;
3.  $\mathcal{P}/\mathbf{l} \cong \text{Span}_{\mathbb{F}}(\mathbf{q}')$ .

*Let  $c_1, \dots, c_s \in \mathbb{F}$  and let  $q := \sum_i c_i q'_i \in \mathcal{P}$ . Then, if  $\{g_1, \dots, g_t\}$  denotes a Gröbner basis of  $\mathbf{l}$ , one has*

1.  $q$  is the unique polynomial in  $\text{Span}_{\mathbb{F}}(\mathbf{N}(\mathbf{l}))$  such that  $\ell_i(q) = c_i$ , for each  $i$ ;
2. for each  $p \in \mathcal{P}$  it is equivalent
  - (a)  $\ell_i(p) = c_i$ , for each  $i$ ,
  - (b)  $q = \text{Can}(p, \mathbf{l})$ ,
  - (c) exist  $h_j \in \mathcal{P}$  such that

$$p = q + \sum_{j=1}^t h_j g_j, \mathbf{T}(h_j) \mathbf{T}(g_j) \leq \mathbf{T}(p - q).$$

□

Möller's Algorithm [45, 23, 41, 2] is a procedure which, given a set of  $\mathbb{F}$ -linear functionals  $\mathbb{L} = \{\ell_1, \dots, \ell_s\} \subset \mathcal{P}^*$  such that  $\mathfrak{P}(\text{Span}_{\mathbb{F}}(\mathbb{L}))$  is a zero-dimensional ideal, allows to compute the data whose existence is stated in Theorem 5. The stronger version of the algorithm (Figure 3) assumes that, for each  $\sigma \leq s$   $L_\sigma := \text{Span}_{\mathbb{F}}(\{\ell_1, \dots, \ell_\sigma\})$  is a  $\mathcal{P}$ -module, is performed by induction on  $\sigma$  and gives the complete structure of each ideal  $\mathfrak{l}_\sigma = \mathfrak{P}(L_\sigma)$ .

Its correctness is based on the following

**Lemma 7** *Let*

$$\mathcal{P} := \mathbb{F}[x_1, \dots, x_n],$$

*< be any termordering;*

$\mathbb{L} = \{\ell_1, \dots, \ell_r\} \subset \mathcal{P}^*$  *be a set of linearly independent  $\mathbb{F}$ -linear functionals such that  $\mathfrak{l} := \mathfrak{P}(\text{Span}_{\mathbb{F}}(\mathbb{L}))$  is a zero-dimensional ideal*

*and let*

$$\mathbf{N} := \{t_1, \dots, t_r\} \subset \mathcal{T},$$

$$\mathbf{q} := \{q_1, \dots, q_r\} \subset \mathcal{P},$$

$$G := \{g_1, \dots, g_t\} \subset \mathcal{P},$$

*be such that*

- $\mathbf{N}$  *is an order ideal,*
- $\text{Span}_{\mathbb{F}}\{t_1, \dots, t_r\} = \text{Span}_{\mathbb{F}}\{q_1, \dots, q_r\},$
- $\{q_1, \dots, q_r\}$  *and*  $\{\ell_1, \dots, \ell_r\}$  *are triangular,*
- $\ell(g) = 0$  *for each*  $g \in G$  *and each*  $\ell \in \mathbb{L}$  ,
- $\mathbf{N} \sqcup \mathbf{T}_{<}(G) = \mathcal{T}$  ,
- *for each*  $g \in G, g - \text{lc}(g)\mathbf{T}_{<}(g) \in \text{Span}_{\mathbb{F}}(\mathbf{N})$  ,

*then*  $G$  *is a reduced Gröbner basis of*  $\mathfrak{P}(\text{Span}_{\mathbb{F}}(\mathbb{L}))$  *w.r.t.*  $<$ .

The assumption that for each  $\sigma \leq s$ ,  $L_\sigma := \text{Span}_{\mathbb{F}}(\{\ell_1, \dots, \ell_\sigma\})$  can be satisfied if for instance the 0-dimensional ideal  $\mathfrak{l} = \mathfrak{P}(\text{Span}_{\mathbb{F}}(\mathbb{L}))$  is described in terms of a *Macaulay representation* (cf. [3]), but often <sup>4</sup> is not satisfied, thus requiring an alternative version (Figure 4) performed on inductions on the terms and not on the functionals and which returns also a basis of  $\text{Span}_{\mathbb{F}}(\mathbb{L})$ .

**Remark 8** If, in the algorithm of Figure 3, we define  $p$  in instruction  $\diamond$  as  $p := x_h f$  instead of  $p := x_h t$ , we have two counterbalancing effects:

---

<sup>4</sup>mainly in the solution of the FGLM-Problem, where in any case the functionals are properly reordered so they satisfy such property

Figure 3: Möller's Algorithm (1)

---

$(G_1, \dots, G_s, \mathbf{N}, \mathbf{q}) := \mathbf{G}\text{-basis}(\mathbb{L}, <)$

where

$\mathbb{L} = \{\ell_1, \dots, \ell_s\} \subset \mathcal{P}^*$  is s.t.

$L_\sigma := \text{Span}_{\mathbb{F}}(\{\ell_1, \dots, \ell_\sigma\})$

is a  $\mathcal{P}$ -module, for each  $\sigma \leq s$ ,

$\mathfrak{l}_\sigma = \mathfrak{P}(L_\sigma)$ , for each  $\sigma \leq s$ ,

$G_\sigma \subset \mathfrak{l}_\sigma$  is the red. Gröbner basis of  $\mathfrak{l}_\sigma$ ,  $\forall \sigma \leq s$ ,

$\mathbf{N} := \{t_1, \dots, t_s\}$  is an order ideal,

$\mathbf{q} := \{q_1, \dots, q_s\} \subset \mathcal{P}$  is a set triangular to  $\mathbb{L}$ ,

$\mathbf{N}_\sigma := \{t_1, \dots, t_\sigma\} = \mathbf{N}(\mathfrak{l}_\sigma)$ ,  $\forall \sigma \leq s$ ,

$q_\sigma \in \text{Span}_{\mathbb{F}}\{\mathbf{N}_\sigma\}$ , and  $\mathbf{T}(q_\sigma) = t_\sigma$ ,  $\forall \sigma \leq s$ ,

$\text{Span}_{\mathbb{F}}\{t_1, \dots, t_\sigma\} = \text{Span}_{\mathbb{F}}\{q_1, \dots, q_\sigma\}$ ,  $\forall \sigma \leq s$ ,

$\{q_1, \dots, q_\sigma\}$  and  $\{\ell_1, \dots, \ell_\sigma\}$  are triangular  $\forall \sigma$ .

$\sigma := 1, t_1 := 1, \mathbf{N} := \{t_1\}, q_1 := \ell_1(1)^{-1}(t_1)t_1$ ,

$\mathbf{q} := \{q_1\}, G_1 := \{x_h - \ell_1(x_h), 1 \leq h \leq n\}$ ,

%%  $\mathbf{N}_\sigma \sqcup \mathbf{T}(G_\sigma) = \mathcal{T}$ .

%%  $\ell_j(f) = 0$  for all  $f \in G_\sigma, 1 \leq j \leq \sigma$ .

**For**  $\sigma := 2..s$  **do**

◦  $t := \min\{\mathbf{T}(f) : f \in G_\sigma, \ell_\sigma(f) \neq 0\}$ ,

**Let**  $\mathbf{f} \in G_\sigma : \mathbf{T}(\mathbf{f}) = t$ ,

$t_\sigma := t, q_\sigma := \ell_\sigma(\mathbf{f})^{-1}\mathbf{f}, \mathbf{N} := \mathbf{N} \cup \{t_\sigma\}$ ,

•  $\mathbf{q} := \mathbf{q} \cup \{q_\sigma\}$ ,

★  $G_\sigma := \{f - \ell_\sigma(f)q_\sigma : f \in G_{\sigma-1}\}$ .

**For each**  $h = 1..n : x_h t \notin \mathbf{T}(G_\sigma)$  **do**

◊  $p := x_h t$ ,

\* **For**  $i = 1..\sigma$  **do**  $p := p - \ell_i(p)q_i$ ,

$G_\sigma := G_\sigma \cup \{p\}$ ;

%%  $\mathbf{N}_\sigma \sqcup \mathbf{T}(G_\sigma) = \mathcal{T}$ ,

%%  $\ell_j(f) = 0$  for all  $f \in G_\sigma, 1 \leq j \leq \sigma$ .

---

- the final output, while still a Gröbner basis, is not, in principle, reduced;
- since  $\mathbf{f} \in \mathbf{l}_\sigma$ , we have  $x_h \mathbf{f} \in \mathbf{l}_\sigma$  and  $\ell_i(p) = 0$  for each  $i \leq \sigma$  so that one can perform the instruction  $*$  for the single value  $i := \sigma$ .

Equivalently, defining, in the algorithm of Figure 3,  $p$  in instruction  $\diamond$  as

$$p := x_h \mathbf{f} - \ell_\sigma(x_h \mathbf{f}) q_\sigma = (x_h - \ell_\sigma(x_h \mathbf{f}) \ell_\sigma(\mathbf{f})^{-1}) \mathbf{f} \quad (1)$$

we can simply remove the instruction  $*$ .

Finally note that the algorithm discussed in [31] is the module generalization of the version of the algorithm of Figure 3 in which  $p$  is defined as in (1) in instruction  $\diamond$  and the instructions  $*$  and  $\bullet$  are removed.  $\square$

## 4 The FGLM Problem

For its elimination property, the *lex* ordering is a good tool for solving [Gianni–Kalkbener Algorithm [29, 30], Lazard’s triangular sets[35, 34, 4, 5]] or for applications [see the CRHT-like algorithms in BCH codes[51]] but both practical experience and theoretical argument show that, in general, *lex* is a very bad choice for applying Buchberger Algorithm. On the other side the *degrevlex ordering* is the *optimal* choice for applying Buchberger Algorithm [8].

This suggests[23] the

**Problem 9 (FGLM Problem)** *Given*

- a termordering  $<$  on the polynomial ring  $\mathcal{P} := \mathbb{F}[x_1, \dots, x_n]$ ,
- a zero-dimensional ideal  $\mathbf{l} \subset \mathcal{P}$  and
- its reduced Gröbner basis  $G_{<}$  w.r.t. the term-ordering  $<$ ,

to deduce the Gröbner basis  $G_{<}$  of  $\mathbf{l}$  w.r.t.  $<$ .  $\square$

## 5 The FGLM Matrix

Let  $<$  be a termordering and  $\mathbf{N}_{<}(\mathbf{l}) = \{\tau_1, \dots, \tau_s\}$ ; in order to apply Möller Algorithm to the FGLM Problem, we just need to choose as functionals  $\mathbb{L} := \{\ell_1, \dots, \ell_s\}$  the coefficients of the canonical forms  $\ell_i(\cdot) := \gamma(\cdot, \tau_i, \mathbf{N}_{<}(\mathbf{l}))$  so that we need to compute

$$\mathbf{Rep}(f, \mathbf{N}_{<}(\mathbf{l})) := (\gamma(f, \tau_1, \mathbf{N}_{<}(\mathbf{l})), \dots, \gamma(f, \tau_s, \mathbf{N}_{<}(\mathbf{l})))$$

for each  $f \in \mathbf{B} := \{x_i \tau_j, 1 \leq i \leq n, 1 \leq j \leq s\}$ .

Figure 4: Möller's Algorithm (2)

---

$(G, r, \mathbf{N}, \Lambda, \mathbf{q}) := \mathbf{G}\text{-basis}(\mathbb{L}, <)$

**where**

$\mathbb{L} = \{\ell_1, \dots, \ell_s\} \subset \mathcal{P}^*$  is s.t.  $\mathfrak{l} := \mathfrak{P}(\text{Span}_{\mathbb{F}}(\mathbb{L}))$  is a zero-dimensional ideal;

$G \subset \mathfrak{l}$  is the reduced Gröbner basis of  $\mathfrak{l}$  w.r.t.  $<$ ;

$r = \deg(\mathfrak{l}) = \dim_{\mathbb{F}}(\text{Span}_{\mathbb{F}}(\mathbb{L}))$ ;

$\mathbf{N} := \{t_1, \dots, t_r\} = \mathbf{N}(\mathfrak{l})$ ;

$1 = t_1 < t_2 < \dots < t_i < t_{i+1} < \dots < t_r$ ,

$\Lambda := \{\lambda_1, \dots, \lambda_r\} \subset \mathbb{L}$ , is a linearly independent basis of  $\text{Span}_{\mathbb{F}}(\mathbb{L})$ ;

$\mathbf{q} := \{q_1, \dots, q_r\} \subset \mathcal{P}$  is a set triangular to  $\Lambda$ ;

$q_i \in \text{Span}_{\mathbb{F}}\{t_1, \dots, t_i\}$ ,  $\mathbf{T}(q_i) = t_i$ , for each  $i \leq r$ ;

$\text{Span}_{\mathbb{F}}\{t_1, \dots, t_i\} = \text{Span}_{\mathbb{F}}\{q_1, \dots, q_i\}$ , for each  $i \leq r$ ;

$\{q_1, \dots, q_i\}$  and  $\{\lambda_1, \dots, \lambda_i\}$  are triangular, for each  $i \leq r$ .

$G := \emptyset, r := 1, t_1 := 1, \mathbf{N} := \{t_1\}$ ,

$v := (\ell_1(t_1), \dots, \ell_s(t_1))$ ,

$\mu := \min\{j : \ell_j(1) \neq 0\}$ ,

$\lambda_1 := \ell_{\mu}, \Lambda := \{\lambda_1\}$ ,

$q_1 := \lambda_1(1)^{-1}t_1, \mathbf{q} := \{q_1\}, \text{vect}(1) := \lambda_1(1)^{-1}v$ ,

%%  $\text{vect}(1) = (\ell_1(q_1), \dots, \ell_s(q_1))$ ,

**While**  $\mathbf{N} \sqcup \mathbf{T}(G) \neq \mathcal{T}$  **do**

$t := \min_{<}\{\tau \in \mathcal{T}, \tau \notin \mathbf{N} \sqcup \mathbf{T}(G)\}$ ,

$q := t, v := (\ell_1(q), \dots, \ell_s(q))$

**For**  $j = 1..r$  **do**

$v := v - \lambda_j(q) \text{vect}(j), q := q - \lambda_j(q)q_j$ ,

%%  $v = (\ell_1(q), \dots, \ell_s(q))$ .

**If**  $v = 0$  **then**

$G := G \cup \{q\}$ ,

**else**

$r := r + 1$

$t_r := t, \mathbf{N} := \mathbf{N} \cup \{t_r\}$ ,

$\mu := \min\{j : \ell_j(q) \neq 0\}$ ,

$\lambda_r := \ell_{\mu}, \Lambda := \Lambda \cup \{\lambda_r\}$ ,

$q_r := \lambda_r(q)^{-1}q, \mathbf{q} := \mathbf{q} \cup \{q_r\}, \text{vect}(r) := \lambda_r(q)^{-1}v$

%%  $\text{vect}(i) = (\ell_1(q_i), \dots, \ell_s(q_i))$  for each  $i, 1 \leq i \leq r$

$G, r, \mathbf{N}, \Lambda, \mathbf{q}$

---

If such elements are treated by  $\prec$ -increasing ordering, when the loop is treating a term  $x_h \tau_l$ , we have previously managed the term  $\tau_l$  so that we have previously computed  $\mathbf{Rep}(\tau_l, \mathbf{N}_{\prec}(\mathbf{l}))$  which satisfies the relation

$$\tau_l - \sum_{j=1}^s \gamma(\tau_l, \tau_j, \mathbf{N}_{\prec}(\mathbf{l})) \tau_j = \tau_l - \text{Can}(\tau_l, \mathbf{l}, \prec) \in \mathbf{l},$$

so that  $x_h \tau_l - \sum_{j=1}^s \gamma(\tau_l, \tau_j, \mathbf{N}_{\prec}(\mathbf{l})) x_h \tau_j \in \mathbf{l}$ , and

$$\begin{aligned} \text{Can}(x_h \tau_l, \mathbf{l}, \prec) &= \sum_{j=1}^s \gamma(\tau_l, \tau_j, \mathbf{N}_{\prec}(\mathbf{l})) \text{Can}(x_h \tau_j, \mathbf{l}, \prec) \\ &= \sum_{i=1}^s \left( \sum_{j=1}^s \gamma(\tau_l, \tau_j, \mathbf{N}_{\prec}(\mathbf{l})) \gamma(x_h \tau_j, \tau_i, \mathbf{N}_{\prec}(\mathbf{l})) \right) \tau_i. \end{aligned}$$

For the  $\prec$ -minimal  $\omega := x_h \tau_l \in \mathbf{B}$  under consideration we have the following three cases:

- if  $\omega \notin \mathbf{T}_{\prec}(\mathbf{l})$  then  $\omega \in \mathbf{N}_{\prec}(\mathbf{l})$ , so that we add  $\omega$  to  $\mathbf{N}$  and  $\{\omega x_h : 1 \leq h \leq n\}$  to  $\mathbf{B}$ ;
- if there is  $g \in G_{\prec}$  such that

$$\mathbf{T}_{\prec}(g) = \omega \text{ and } g = \omega - \sum_{\tau \in \mathbf{N}_{\prec}(\mathbf{l})} \gamma(\omega, \tau, \mathbf{N}_{\prec}(\mathbf{l})) \tau,$$

since the procedure iterates on  $\prec$ -increasing values of  $\omega$ , we have

$$\gamma(\omega, \tau, \mathbf{N}_{\prec}(\mathbf{l})) \neq 0 \implies \tau \prec \omega \implies \tau \in \mathbf{N};$$

- if there is  $H, 1 \leq H \leq n, \tau \in \mathbf{T}_{\prec}(\mathbf{l})$  such that  $\omega = x_H \tau$ ; thus  $\tau \prec \omega$  has been already treated so that we have obtained a representation

$$\text{Can}(\tau, \mathbf{l}, \prec) = \sum_{j=1}^s \gamma(\tau, \tau_j, \mathbf{N}_{\prec}(\mathbf{l})) \tau_j;$$

since in such representation we have

$$\gamma(\tau, \tau_j, \mathbf{N}_{\prec}(\mathbf{l})) \neq 0 \implies \tau_j \prec \tau \implies \tau_j \in \mathbf{N}, x_H \tau_j \prec x_H \tau = \omega = x_h \tau_l$$

and  $\tau = X_h \tau_\ell$  for  $\tau_\ell := \frac{\tau_l}{X_H}$ , we also have the representation

$$\text{Can}(x_H \tau, \mathbf{l}, \prec) = \sum_{j=1}^s \gamma(\tau, \tau_j, \mathbf{N}_{\prec}(\mathbf{l})) \text{Can}(x_H \tau_j, \mathbf{l}, \prec)$$

and we can use the same formula as above to derive

$$\begin{aligned}
& \gamma(x_h \tau_l, \tau_i, \mathbf{N}_{\prec}(\mathbf{l})) = \gamma(x_H \tau, \tau_i, \mathbf{N}_{\prec}(\mathbf{l})) \\
&= \sum_{j=1}^s \gamma(\tau, \tau_j, \mathbf{N}_{\prec}(\mathbf{l})) \gamma(x_H \tau_j, \tau_i, \mathbf{N}_{\prec}(\mathbf{l})) \\
&= \sum_{j=1}^s \gamma(x_h \tau_l, \tau_j, \mathbf{N}_{\prec}(\mathbf{l})) \gamma(x_H \tau_j, \tau_i, \mathbf{N}_{\prec}(\mathbf{l})).
\end{aligned}$$

These remarks can be formalized in the algorithm described in Figure 5; Figure 6 proposes the instanciacion of Möller's Algorithm (Figure 4) to the setting of the FGLM Problem.

## 6 Pointers

Remark (Compare [31]) that the Berlekamp-Massey Algorithm can be interpreted as a sort of FGLM Algorithm on modules with functionals depending on the state of the computation<sup>5</sup>.

However, the earliest instance of the FGLM Algorithm goes back to 1936: in fact, Todd-Coxeter Algorithm [54] can be easily read [52] as a re-formulation of **FGLM-Matrix** (Figure 5) over groups view as quotients of a non-commutative polynomial rings modulo a bimonomial ideal.

The FGLM Problem was already solved essentially by means of the FGLM Algorithm in [15].

Möller's Algorithm was introduced for the first time in [45]: in that setting the considered functionals were point evaluation, the aim being multivariate interpolation; the same procedure was proposed in [28] as a tool for efficiently perform change of coordinate into a 0-dimensional ideal.

[23] introduced the FGLM Problem and solved it by means of Figure 6; the paper gives also a precise complexity analysis and introduced both the FGLM Matrix and the efficient algorithm (Figure 5) computing it.

---

<sup>5</sup>in fact, with Berlekamp's [9] notation we assume to have found the basis

$$\{(\sigma^{(k)}, \omega^{(k)}), (\tau^{(k)}, \gamma^{(k)})\}$$

of the module

$$M_k := \{(a(z), b(z)) \in \mathbb{Z}_2[z]^2 : (1+S)a(z) \equiv b(z) \bmod z^{k+1}\} \subset \mathbb{Z}_2[z]^2$$

and we consider the new functional  $\lambda_{k+1} : \mathbb{Z}_2[z]^2 \rightarrow \mathbb{Z}_2$  defined by  $\lambda_{k+1}(a(z), b(z)) := \Delta_1^{(k)}$  where  $\Delta_1^{(k)} \in \mathbb{Z}_2$  is the value for which  $(1+S)a(z) - b(z) \equiv \Delta_1^{(k)} z^{k+1} \bmod z^{k+2}$

In other words we can consider the functionals  $\lambda_k : \mathbb{Z}_2[z]^2 \rightarrow \mathbb{Z}_2, 0 \leq k \leq 2t$  defined by  $\lambda_{k+1}(a(z), b(z)) := c_k$  where  $\sum_k c_k z^k = (1+S)a(z) - b(z) \in \mathbb{Z}_2[[z]]$  and each module  $M_k$  satisfies

$$M_k := \{(a(z), b(z)) \in \mathbb{Z}_2[z]^2 : \lambda_i(a(z), b(z)) = 0, 0 \leq i \leq k\} \subset \mathbb{Z}_2[z]^2.$$

For this interpretation I am strongly indepted to [25, 55].



Figure 5: The FGLM Matrix

---

$(\mathbf{N}_{\prec}, \mathcal{M}) := \mathbf{FGLM}\text{-Matrix}(G_{\prec})$

where

$G_{\prec} \subset \mathbf{l}$  is the reduced Gröbner basis of  $\mathbf{l}$  w.r.t.  $\prec$ ;

$s = \deg(\mathbf{l})$ ,

$\mathbf{N}_{\prec} := \{\tau_1, \dots, \tau_s\} = \mathbf{N}_{\prec}(\mathbf{l})$ ,

$1 = \tau_1 \prec \tau_2 \prec \dots \prec \tau_j \prec \tau_{j+1} \prec \dots \prec \tau_s$ ,

$\mathcal{M} = \mathcal{M}(\mathbf{N}_{\prec}) = \left\{ \begin{pmatrix} a_{lj}^{(h)} \end{pmatrix} \in \mathbb{F}^{s^2}, 1 \leq h \leq n \right\}$  is the set of the square matrices defined by the equalities  $x_h \tau_l = \sum_j a_{lj}^{(h)} \tau_j$  in  $\mathcal{P}/\mathbf{l} = \text{Span}_{\mathbb{F}}(\mathbf{N}_{\prec})$ ;

$r := 1, \tau_1 := 1, \mathbf{N}_{\prec} := \{\tau_1\}, \mathbf{B} := \{x_h : 1 \leq h \leq n\}$ ,

**While**  $\mathbf{B} \neq \emptyset$  **do**

$\omega := \min_{\prec}(\mathbf{B}), \mathbf{B} := \mathbf{B} \setminus \{\omega\}$ ,

$h, l : \omega := x_h \tau_l$

**If**  $\omega \notin \mathbf{T}_{\prec}(\mathbf{l})$  **then**

$r := r + 1$

$\tau_r := \omega, \mathbf{N}_{\prec} := \mathbf{N}_{\prec} \cup \{\tau_r\}, \mathbf{B} := \mathbf{B} \cup \{x_h \tau_r : 1 \leq h \leq n\}$ ,

$a_{lr}^{(k)} := 1$ ;

**else**

**if**  $\exists g := \mathbf{T}_{\prec}(g) - \sum_{j=1}^r \gamma(\omega, \tau_j, \mathbf{N}_{\prec}) \tau_j \in G_{\prec} : \mathbf{T}_{\prec}(g) = \omega = x_h \tau_l$  **then**

**For**  $j = 1..r$  **do**  $a_{lj}^{(h)} := \gamma(\omega, \tau_j, \mathbf{N}_{\prec})$

**else**

**Let**  $H, \iota : 1 \leq H \leq n, 1 \leq \iota \leq r : x_h \tau_{\iota} \in \mathbf{T}_{\prec}(G_{\prec}), \tau_{\iota} = x_H \tau_{\iota}$ ;

**For**  $i = 1..r$  **do**  $a_{li}^{(h)} := \sum_{j=1}^r a_{lj}^{(h)} a_{ji}^{(H)}$

**For each**  $H, i : x_H \tau_i = \omega$  **do**

**For**  $j = 1..r$  **do**  $a_{ij}^{(H)} := a_{lj}^{(h)}$ ;

$\mathbf{N}_{\prec}, \mathcal{M}$

---

Figure 6: The FGLM Algorithm

---

```

( $G, \mathbf{N}, \mathbf{q}$ ) := FGLM( $G_{\prec}, \prec$ )

where

 $\prec$  and  $\prec$  are termorderings on  $\mathcal{P}$ ,
 $\mathfrak{l} \subset \mathcal{P}$  is a zero-dimensional ideal,
 $G_{\prec} \subset \mathfrak{l}$  is the reduced Gröbner basis of  $\mathfrak{l}$  w.r.t.  $\prec$ ;
 $s = \deg(\mathfrak{l})$ ,
 $\mathbf{N}_{\prec} := \{\tau_1, \dots, \tau_s\} = \mathbf{N}_{\prec}(\mathfrak{l})$ ,
 $1 = \tau_1 \prec \tau_2 \prec \dots \prec \tau_j \prec \tau_{j+1} \prec \dots \prec \tau_s$ ,
 $\mathcal{M} = \mathcal{M}(\mathbf{N}_{\prec}) = \left\{ \begin{pmatrix} a_{lj}^{(h)} \end{pmatrix} \in \mathbb{F}^{s^2}, 1 \leq h \leq n \right\}$  is the set of the square matrices defined by the
equalities  $x_h \tau_l = \sum_j a_{lj}^{(h)} \tau_j$  in  $\mathcal{P}/\mathfrak{l} = \text{Span}_{\mathbb{F}}(\mathbf{N}_{\prec})$ ;
 $G \subset \mathfrak{l}$  is the reduced Gröbner basis of  $\mathfrak{l}$  w.r.t.  $\prec$ ,
 $\mathbf{N} := \{t_1, \dots, t_s\} = \mathbf{N}_{\prec}(\mathfrak{l})$ ,
 $1 = t_1 < t_2 < \dots < t_j < t_{j+1} < \dots < t_s$ ,
 $\mu : \{1, \dots, s\} \mapsto \{1, \dots, s\}$  is a permutation,
 $\mathbf{q} := \{q_1, \dots, q_s\} \subset \mathcal{P}$  is a set triangular to  $\{\gamma(\cdot, \tau_{\mu(1)}, \mathbf{N}_{\prec}), \dots, \gamma(\cdot, \tau_{\mu(s)}, \mathbf{N}_{\prec})\}$ 
 $q_i \in \text{Span}_{\mathbb{F}}\{t_1, \dots, t_i\}$ ,  $\mathbf{T}_{\prec}(q_i) = t_i$ , for each  $i \leq s$ ,
 $\{q_1, \dots, q_i\}$  and  $\{\gamma(\cdot, \tau_{\mu(1)}, \mathbf{N}_{\prec}), \dots, \gamma(\cdot, \tau_{\mu(i)}, \mathbf{N}_{\prec})\}$  are triangular for all  $i \leq s$ .

( $\mathbf{N}_{\prec}, \mathcal{M}$ ) := FGLM-Matrix( $G_{\prec}$ )
 $G := \emptyset, r := 1, t_1 := 1, \mathbf{N} := \{t_1\}, q_1 := 1, \mathbf{q} := \{q_1\}$ ,
 $\mathbf{B} := \{x_h, 1 \leq h \leq n\}$ 
 $\text{vect}(1) := (1, 0, \dots, 0), \mu(1) := 1$ ,
 $\% \text{ vect}(1) = \mathbf{Rep}(q_1, \mathbf{N}_{\prec}), \mu(1) = \min\{j : \gamma(q_1, \tau_j, \mathbf{N}_{\prec}) \neq 0\}$ 
Let  $\mathbf{B} := \{(x_h, h, 1), 1 \leq h \leq n\}$ 
While  $\mathbf{B} \neq \emptyset$  do
     $t := \min_{\prec}(\mathbf{B}), \mathbf{B} := \mathbf{B} \setminus \{t\}$ ,
     $l, h : t = x_h t_l = x_h \mathbf{T}_{\prec}(q_l)$ 
    If  $t \notin \mathbf{T}_{\prec}(G)$  then
         $q := x_h t_l$ 
        For  $i = 1..s$  do  $v_i := \sum_{j=1}^s \gamma(q_l, \tau_j, \mathbf{N}_{\prec}) a_{ji}^{(h)}$ ;
         $v := (v_1, \dots, v_s)$ 
         $\% \text{ } v = \mathbf{Rep}(q, \mathbf{N}_{\prec})$ 
        For  $j = 1..r$  do
             $v := v - \gamma(q, \tau_{\mu(j)}, \mathbf{N}_{\prec}) \text{vect}(j), q := q - \gamma(q, \tau_{\mu(j)}, \mathbf{N}_{\prec}) q_j$ ,
             $\% \text{ } v = \mathbf{Rep}(q, \mathbf{N}_{\prec})$ 
        If  $v = 0$  then
             $G := G \cup \{q\}$ ,
        else
             $r := r + 1$ 
             $t_r := t, \mathbf{N} := \mathbf{N} \cup \{t_r\}$ ,
             $\mu(r) := \min\{j : \gamma(q, \tau_j, \mathbf{N}_{\prec}) \neq 0\}$ ,
             $q_r := \gamma(q, \tau_{\mu(r)}, \mathbf{N}_{\prec})^{-1} q, \text{vect}(r) := \gamma(q, \tau_{\mu(r)}, \mathbf{N}_{\prec})^{-1} v$ 
             $\% \text{ vect}(i) = \mathbf{Rep}(q_i, \mathbf{N}_{\prec}), \forall i, 1 \leq i \leq r$ 
             $\mathbf{q} := \mathbf{q} \cup \{q_r\}$ ,
             $\mathbf{B} := \mathbf{B} \cup \{x_h t_r, 1 \leq h \leq n\}$ ,

```

---

$G, \mathbf{N}, \mathbf{q}$

[41] reconsidered Möller's and FGLM Algorithms, merging them and interpreting them in the setting of functionals; [2] is a survey which discusses also Macaulay's Algorithm to describe the structure of the canonical module  $\mathfrak{L}(\mathfrak{l})$ .

The FGLM Algorithm *proper* solves the FGLM Problem only for a 0-dimensional ideal; [37] explains how to extend it to a multi-dimensional ideal; the corresponding algorithm is however far to be fast. The same weakness is shared by the Gröbner Walk Algorithm [21].

The most efficient algorithm for the solution of the FGLM-Problem, at least in the multidimensional case, is the Hilbert Driven Algorithm [56]: assuming wlog that  $\mathfrak{l}$  is homogeneous, the knowledge of the basis  $G_{<}$  allows to compute the Hilbert function of  $\mathfrak{l}$  and thus, at each step, to predict how many new generators of a fixed degree are needed in the basis  $G_{<}$ ; when such generators are produced, all other S-pairs of same degree are discarded and the Hilbert function of the monomial ideal  $(\mathbf{T}_{<}(g) : g \in G_{<})$  is re-evaluated and the computation is performed in higher degree.

Recently new ideas have been proposed which promise to be more efficient than the FGLM and the Hilbert Driven Algorithms [7, 53].

Möller's Algorithm has been generalized to projective spaces [1] and to non-commutative setting [10].

[11, 12, 13] use an improved version of the FGLM algorithm for binomial ideals in order to correct binary linear codes (see [14]).

## 7 Duality (2)

Let us begin by remarking that a Gröbner representation of a 0-dimensional ideal  $\mathfrak{l} \subset \mathcal{P} := k[X_1, \dots, X_n]$  allows to deduce easily the  $\mathcal{P}$ -module structure of its canonical module  $\mathfrak{L}(\mathfrak{l})$ .

In fact

**Lemma 10** *Let*

$\mathbb{L} := \{\ell_1, \dots, \ell_r\} \subset \mathcal{P}^*$  *be a linearly independent set of  $k$ -linear functionals such that*

$L := \text{Span}_k(\mathbb{L})$  *is a  $\mathcal{P}$ -module so that*

$\mathfrak{l} := \mathfrak{P}(L)$  *is a zero-dimensional ideal;*

$\mathbf{N}(\mathfrak{l}) := \{t_1, \dots, t_r\},$

$\mathbf{q} := \{q_1, \dots, q_r\} \subset \mathcal{P}$  *the set triangular to  $\mathbb{L}$ , obtained via Möller's Algorithm;*

$(q_{ij}^{(h)}) \in k^{r^2}, 1 \leq k \leq r$  *be the matrices defined by  $X_h q_i = \sum_j q_{ij}^{(h)} q_j \bmod \mathfrak{l}$ ,*

$\Lambda := \{\lambda_1, \dots, \lambda_r\}$  *be the set biorthogonal to  $\mathbf{q}$ , which can be trivially deduced by Gaussian reduction.*

Then

$$X_h \lambda_j = \sum_{i=1}^r q_{ij}^{(h)} \lambda_i, \forall i, j, h.$$

□

Denoting  $\mathfrak{m} := (X_1, \dots, X_n)$  the maximal at the origin we recalled that, given an ideal  $\mathfrak{l} \subset \mathcal{P}$ , its  $\mathfrak{m}$ -closure is the ideal  $\bigcap_d \mathfrak{l} + \mathfrak{m}^d$ , and  $\mathfrak{l}$  is called  $\mathfrak{m}$ -closed iff  $\mathfrak{l} = \bigcap_d \mathfrak{l} + \mathfrak{m}^d$ .

We can produce a natural representation of  $\mathcal{P}^*$ , if we associate, to each term  $\tau \in \mathcal{T}$ , the functional  $M(\tau) : \mathcal{P} \rightarrow k$  defined by

$$M(\tau) = c(f, \tau), \forall f = \sum_{t \in \mathcal{T}} c(f, t) t \in \mathcal{P}.$$

; in fact, denoting  $\mathbb{M} := \{M(\tau) : \tau \in \mathcal{T}\}$ , we obtain  $\mathcal{P}^* \cong k[[\mathbb{M}]]$ .

Remark that, with this notation, for all

$$f := \sum_{t \in \mathcal{T}} a_t t \in \mathcal{P} \text{ and } \ell := \sum_{\tau \in \mathcal{T}} c_\tau M(\tau) \in k[[\mathbb{M}]] \cong \mathcal{P}^*$$

it holds  $\ell(f) = \sum_{t \in \mathcal{T}} a_t c_t$ .

The  $\mathcal{P}$ -module structure of  $\mathcal{P}^* \cong k[[\mathbb{M}]]$  is described by

$$\forall \tau \in \mathcal{T}, X_i \cdot M(\tau) = \begin{cases} M(\frac{\tau}{X_i}) & \text{if } X_i \mid \tau \\ 0 & \text{if } X_i \nmid \tau \end{cases}.$$

We will say that a  $k$ -vector subspace  $\Lambda \subset \text{Span}_k(\mathbb{M})$  is *stable* if  $\lambda \in \Lambda \implies X_i \cdot \lambda \in \Lambda$  i.e.  $\Lambda$  is a  $\mathcal{P}$ -module.

Clearly  $\mathcal{P}^* \cong k[[\mathbb{M}]]$ ; however in order to have reasonable duality<sup>6</sup> we must restrict ourselves to  $\text{Span}_k(\mathbb{M}) \cong k[[\mathbb{M}]]$ .

Under this restriction, for each  $k$ -vector subspace  $\Lambda \subset \text{Span}_k(\mathbb{M})$  we denote

$$\mathfrak{I}(\Lambda) := \mathfrak{P}(\Lambda) = \{f \in \mathcal{P} : \ell(f) = 0, \forall \ell \in \Lambda\}$$

and for each  $k$ -vector subspace  $P \subset \mathcal{P}$  we denote

$$\begin{aligned} \mathfrak{M}(P) &:= \mathfrak{L}(P) \cap \text{Span}_K(\mathbb{M}) \\ &= \{\ell \in \text{Span}_K(\mathbb{M}) : \ell(f) = 0, \forall f \in P\} \end{aligned}$$

and we obtain

**Lemma 11** [38, 39, 32, 46, 41, 3] *The mutually inverse maps  $\mathfrak{I}(\cdot)$  and  $\mathfrak{M}(\cdot)$  give a biunivocal, inclusion reversing, correspondence between the set of the  $\mathfrak{m}$ -closed ideals  $\mathfrak{l} \subset \mathcal{P}$  and the set of the stable  $k$ -vector subspaces  $\Lambda \subset \text{Span}_k(\mathbb{M})$ .*

*They are the restriction of, respectively,  $\mathfrak{P}(\cdot)$  to  $\mathfrak{m}$ -closed ideals  $\mathfrak{l} \subset \mathcal{P}$ , and  $\mathfrak{L}(\cdot)$  to stable  $k$ -vector subspaces  $\Lambda \subset \text{Span}_k(\mathbb{M})$ .*

---

<sup>6</sup>Recall that  $\mathfrak{L}P(L) = L$  holds only if  $\dim_k(L) < \infty$ .

Moreover, for any  $\mathfrak{m}$ -primary ideal  $\mathfrak{q} \subset \mathcal{P}$ ,  $\mathfrak{M}(\mathfrak{q})$  is finite  $k$ -dimensional and we have

$$\deg(\mathfrak{q}) = \dim_K(\mathfrak{M}(\mathfrak{q}));$$

conversely for any finite  $k$ -dim. stable  $k$ -vector subspace  $\Lambda \subset \text{Span}_K(\mathbb{M})$ ,  $\mathfrak{I}(\Lambda)$  is an  $\mathfrak{m}$ -primary ideal and we have

$$\dim_k(\Lambda) = \deg(\mathfrak{I}(\Lambda)).$$

□

## 8 Macaulay Bases

Let  $<$  be a semigroup ordering on  $\mathcal{T}$  and  $\mathfrak{l} \subset \mathcal{P}$  an  $\mathfrak{m}$ -closed ideal. We have

$$\text{Can}(t, \mathfrak{l}, <) =: \sum_{\tau \in \mathbf{N}_{<}(\mathfrak{l})} \gamma(t, \tau, <) \tau \in k[[\mathbf{N}_{<}(\mathfrak{l})]] \subset k[[X_1, \dots, X_n]]$$

so that

$$t - \sum_{\tau \in \mathbf{N}_{<}(\mathfrak{l})} \gamma(t, \tau, <) \tau \in \mathfrak{l},$$

$$t < \tau \implies \gamma(t, \tau, <) = 0.$$

Define, for each  $\tau \in \mathbf{N}_{<}(\mathfrak{l})$ ,

$$\ell(\tau) := M(\tau) + \sum_{t \in \mathbf{T}_{<}(\mathfrak{l})} \gamma(t, \tau, <) M(t) \in k[[\mathbb{M}]]$$

and remark that  $\ell(\tau) \in \mathfrak{M}(\mathfrak{l})$  requires  $\ell(\tau) \in k[[\mathbb{M}]]$  which holds iff  $\{t : \gamma(t, \tau, <) \neq 0\}$  is finite and is granted if  $\{t : t > \tau\}$  is finite.

To obtain this, we must choose as  $<$  a *standard ordering* i.e. a semigroup ordering such that

- $X_i < 1, \forall i$ ,
- for each infinite decreasing sequence in  $\mathcal{T}$

$$\tau_1 > \tau_2 > \dots \tau_\nu > \dots$$

and each  $\tau \in \mathcal{T}$  there is  $\nu : \tau > \tau_\nu$ .

In this setting the generalization of the notion of Gröbner basis is called Hironaka/standard basis and deals with *series* instead of polynomials. The choice of this setting is natural, since a Hironaka basis of an ideal  $\mathfrak{l}$  returns its  $\mathfrak{m}$ -closure.

Thus let  $<$  be a standard ordering on  $\mathcal{T}$  and  $\mathfrak{l} \subset \mathcal{P}$  an  $\mathfrak{m}$ -closed ideal; denoting

$$\text{Can}(t, \mathfrak{l}, <) =: \sum_{\tau \in \mathbf{N}_{<}(\mathfrak{l})} \gamma(t, \tau, <) \tau \in k[[\mathbf{N}_{<}(\mathfrak{l})]]$$

and, for each  $\tau \in \mathbf{N}_{<}(\mathbf{l})$ ,

$$\ell(\tau) := M(\tau) + \sum_{t \in \mathbf{T}_{<}(\mathbf{l})} \gamma(t, \tau, <) M(t) \in k[\mathbb{M}],$$

we have

$$\mathfrak{M}(\mathbf{l}) = \text{Span}_k\{\ell(\tau), \tau \in \mathbf{N}_{<}(\mathbf{l})\}.$$

**Definition 12** [3]

The set  $\{\ell(\tau), \tau \in \mathbf{N}_{<}(\mathbf{l})\}$  is called the Macaulay Basis of  $\mathbf{l}$ .  $\square$

There is an algorithm [41, 3] which, given a finite basis (not necessarily Gröbner/standard) of an  $\mathbf{m}$ -primary ideal  $\mathbf{l}$ , computes its Macaulay Basis. Such algorithm becomes an infinite procedure which, given a finite basis of an ideal  $\mathbf{l} \subset \mathbf{m}$ , returns the infinite Macaulay Basis of its  $\mathbf{m}$ -closure.

**Definition 13** [44] Let

$\mathbf{l} \subset \mathcal{P}$  be a 0-dimensional ideal

$$\mathbf{Z} := \{\mathbf{a} \in k^n : f(\mathbf{a}) = 0, \forall f \in \mathbf{l}\}$$

for each  $\mathbf{a} \in \mathbf{Z}$

- $\lambda_{\mathbf{a}} : \mathcal{P} \mapsto \mathcal{P}$  the translation  $\lambda_{\mathbf{a}}(X_i) = X_i + a_i, \forall i$ ,
- $\mathbf{m}_{\mathbf{a}} = (X_1 - a_1, \dots, X_n - a_n)$ ,
- $\mathbf{q}_{\mathbf{a}}$  the  $\mathbf{m}_{\mathbf{a}}$ -primary component of  $\mathbf{l}$ ,
- $\Lambda_{\mathbf{a}} := \mathfrak{M}(\lambda_{\mathbf{a}}(\mathbf{q}_{\mathbf{a}})) \subset \text{Span}_K(\mathbb{M})$ ,
- $\ell_{v\mathbf{a}}$ , for each  $v \in \mathbf{N}_{<}(\lambda_{\mathbf{a}}(\mathbf{q}_{\mathbf{a}}))$ , the Macaulay equation  $\ell_{v\mathbf{a}} := \ell(v)$  so that
- $\{\ell_{v\mathbf{a}} : v \in \mathbf{N}_{<}(\lambda_{\mathbf{a}}(\mathbf{q}_{\mathbf{a}}))\}$  is the Macaulay basis of  $\Lambda_{\mathbf{a}}$ .

A Macaulay representation of  $\mathbf{l} = \bigcup_{\mathbf{a} \in \mathbf{Z}} \mathbf{q}_{\mathbf{a}}$  is the data

- $\mathbf{Z} := \{\mathbf{a} \in k^n : f(\mathbf{a}) = 0, \forall f \in \mathbf{l}\}$ ,
- for each  $\mathbf{a} \in \mathbf{Z}$  the Macaulay basis  $\{\ell_{v\mathbf{a}} : v \in \mathbf{N}_{<}(\lambda_{\mathbf{a}}(\mathbf{q}_{\mathbf{a}}))\}$  is the Macaulay basis of  $\Lambda_{\mathbf{a}}$

so that the linearly independent set

$$\mathbb{L} := \{\ell_{v\mathbf{a}}\lambda_{\mathbf{a}} : v \in \mathbf{N}_{<}(\lambda_{\mathbf{a}}(\mathbf{q}_{\mathbf{a}})), \mathbf{a} \in \mathbf{Z}\} \subset \mathcal{P}^*$$

satisfies  $\text{Span}_k(\mathbb{L}) = \mathfrak{L}(\mathbb{L})$ .  $\square$

## 9 Cerlienco–Mureddu Correspondence

Cerlienco and Mureddu [16, 17, 18] solve the following

**Problem 14** *Given a finite set of points,*

$$\{\mathbf{a}_1, \dots, \mathbf{a}_s\} \subset k^n, \quad \mathbf{a}_i := (a_{i1}, \dots, a_{in}),$$

*to compute  $\mathbf{N}_{<}(\mathbf{l})$  w.r.t. the lexicographical ordering  $<$  induced by  $X_1 < \dots < X_n$  where*

$$\mathbf{l} := \{f \in \mathcal{P} : f(\mathbf{a}_i) = 0, 1 \leq i \leq s\}.$$

□

by means of an efficient combinatorial algorithm which to each *ordered* finite set of points

$$\mathbf{X} := \{\mathbf{a}_1, \dots, \mathbf{a}_s\} \subset k^n, \quad \mathbf{a}_i := (a_{i1}, \dots, a_{in}),$$

associates

- an order ideal  $\mathbf{N} := \mathbf{N}(\mathbf{X})$  and
- a bijection  $\Phi := \Phi(\mathbf{X}) : \mathbf{X} \mapsto \mathbf{N}$

satisfying

**Theorem 15** [16]  $\mathbf{N}(\mathbf{l}) = \mathbf{N}(\mathbf{X})$  holds for each finite set of points  $\mathbf{X} \subset k^n$ . □

Since they do so by induction on  $s = \#(\mathbf{X})$  let us consider the subset  $\mathbf{X}' := \{\mathbf{a}_1, \dots, \mathbf{a}_{s-1}\}$ , and the corresponding order ideal  $\mathbf{N}' := \mathbf{N}(\mathbf{X}')$  and bijection  $\Phi' := \Phi(\mathbf{X}')$ .

If  $s = 1$  the only possible solution is  $\mathbf{N} = \{1\}$ ,  $\Phi(\mathbf{a}_1) = 1$ .

Denoting

$$\begin{aligned} \mathcal{T}[1, m] &:= \mathcal{T} \cap k[X_1, \dots, X_m] \\ &= \{X_1^{a_1} \cdots X_m^{a_m} : (a_1, \dots, a_m) \in \mathbb{N}^m\}, \end{aligned}$$

$$\pi_m : k^n \mapsto k^m, \quad \pi_m(x_1, \dots, x_n) = (x_1, \dots, x_m),$$

$$\pi_m : \mathcal{T} \cong \mathbb{N}^n \mapsto \mathbb{N}^m \cong \mathcal{T}[1, m],$$

$$\pi_m(X_1^{a_1} \cdots X_n^{a_n}) = X_1^{a_1} \cdots X_m^{a_m}.$$

Cerlinco–Mureddu Algorithm set

$$m := \max(j : \exists i < s : \pi_j(\mathbf{a}_i) = \pi_j(\mathbf{a}_s));$$

$$d := \#\{\mathbf{a}_i, i < s : \pi_m(\mathbf{a}_i) = \pi_m(\mathbf{a}_s)\};$$

$$\mathbf{W} := \{\mathbf{a}_i : \Phi'(\mathbf{a}_i) = \tau_i X_{m+1}^d, \tau_i \in \mathcal{T}[1, m]\} \cup \{\mathbf{a}_s\};$$

$$Z := \pi_m(W);$$

$$\tau := \Phi(Z)(\pi_m(\mathbf{a}_s));$$

$$t_s := \tau X_{m+1}^d;$$

$$\mathbf{N} := \mathbf{N}' \cup \{t_s\},$$

$$\Phi(\mathbf{a}_i) := \begin{cases} \Phi'(\mathbf{a}_i) & i < s \\ t_s & i = s \end{cases}$$

where  $\mathbf{N}(Z)$  and  $\Phi(Z)$  are the result of the application of the present algorithm to  $Z$ , which can be inductively applied since  $\#(Z) \leq s - 1$ .

**Example 16** For the following sequence of points we iteratively obtain

$$\mathbf{a}_1 := (0, 0, 1),$$

$$\Phi(\mathbf{a}_1) := t_1 := 1;$$

$$\mathbf{a}_2 := (0, 1, -2),$$

$$m = 1, d = 1, W = \{(0, 1)\}, \tau = 1, \Phi(\mathbf{a}_2) := t_2 := X_2,$$

$$\mathbf{a}_3 := (2, 0, 2),$$

$$m = 0, d = 1, W = \{(2, 0)\}, \tau = 1, \Phi(\mathbf{a}_3) := t_3 := X_1,$$

$$\mathbf{a}_4 := (0, 2, -2),$$

$$m = 1, d = 2, W = \{(0, 2)\}, \tau = 1, \Phi(\mathbf{a}_4) := t_4 := X_2^2,$$

$$\mathbf{a}_5 := (1, 0, 3),$$

$$m = 0, d = 2, W = \{(1, 0)\}, \tau = 1, \Phi(\mathbf{a}_5) := t_5 := X_1^2,$$

$$\mathbf{a}_6 := (1, 1, 3),$$

$$m = 1, d = 1, W = \{(0, 1), (1, 1)\}, \tau = X_1, \Phi(\mathbf{a}_6) := t_6 := X_1 X_2.$$

$$\mathbf{a}_7 := (1, 1, 1),$$

$$m = 2, d = 1, W = \{(1, 1, 1)\}, \tau = 1, \Phi(\mathbf{a}_7) := t_7 := X_3.$$

$$\mathbf{a}_8 := (2, 0, 1),$$

$$m = 2, d = 1, W = \{(1, 1, 1), (2, 0, 1)\}, \tau = X_1, \Phi(\mathbf{a}_8) := t_8 := X_1 X_3,$$

$$\mathbf{a}_9 := (2, 0, 0),$$

$$m = 2, d = 2, W = \{(2, 0, 0)\}, \tau = 1, \Phi(\mathbf{a}_9) := t_9 := X_3^2,$$

$(0, 2, -2)$		
$(0, 1, -2)$	$(1, 1, 3)$	
$(0, 0, 1)$	$(2, 0, 2)$	$(1, 0, 3)$

□



[27] and [24] give a combinatorial reformulation of Cerlienco–Mureddu Algorithm which

- builds a tree on the basis of the point coordinates,
- cominatorially recombines the tree,
- reeds on this tree the monomial structure.

Their formulation returns  $\mathbf{N}$  but not  $\Phi$ ; more important, apparently it is *not* iterative.

[44] extends Cerlienco–Mureddu Algorithm to multiple points described via Macaulay representation.

## 10 Macaulay’s Algorithm

Let

$<$  be a standard-ordering on  $\mathcal{T}$ ,

$\mathfrak{l} \subset \mathcal{P}$  an  $\mathfrak{m}$ -closed ideal,

$\mathbf{C}_{<}(\mathfrak{l}) := \{\omega_1, \dots, \omega_s\}$  the finite corner set of  $\mathfrak{l}$  wrt  $<$ ,

$\{\ell(\tau) : \tau \in \mathbf{N}_{<}(\mathfrak{l})\}$ , the (not-necessarily finite) Macaulay basis of  $\mathfrak{l}$ ,

the  $k$ -vectorspace  $\Lambda \subset \text{Span}_k(\mathbb{M})$  generated by it,

$\forall j, 1 \leq j \leq s, \Lambda_j := \text{Span}_k\{v \cdot \ell(\omega_j) : v \in \mathcal{T}\}.$

$\forall j, 1 \leq j \leq s, \mathfrak{q}_j := \mathfrak{I}(\Lambda_j).$

$\forall j, 1 \leq j \leq s, \Lambda_j := \text{Span}_k\{v \cdot \ell(\omega_j) : v \in \mathcal{T}\}.$

$\forall j, 1 \leq j \leq s, \mathfrak{q}_j := \mathfrak{I}(\Lambda_j).$

Let  $J \subset \{1, \dots, s\}$  be the set such that  $\{\mathfrak{q}_j : j \in J\}$  is the set of the minimal elements of  $\{\mathfrak{q}_j : 1 \leq j \leq s\}$  and remark that  $\mathfrak{q}_i \subset \mathfrak{q}_j \iff \Lambda_i \supset \Lambda_j$ .

**Lemma 17 (Macaulay)** [38, 39] *With the notation above, for each  $j$ , denoting*

$$\Lambda'_j := \text{Span}_K\{v \cdot \ell(\omega_j) : v \in \mathcal{T} \cap \mathfrak{m}\}$$

*we have*

$$\dim_K(\Lambda'_j) = \dim_K(\Lambda_j) - 1,$$

$$\ell(\omega_j) \notin \Lambda'_j = \mathfrak{M}(\mathfrak{q}_j : \mathfrak{m}),$$

$$\mathfrak{q}' \supset \mathfrak{q}_j \implies \mathfrak{M}(\mathfrak{q}') \subseteq \Lambda'_j.$$

□

**Corollary 18 (Macaulay)** [38, 39] *Let  $\mathfrak{l}$  be a zero-dimensional ideal,  $\deg(\mathfrak{l}) = s$ . Then the Macaulay representation  $\mathbb{L} = \{\ell_1, \dots, \ell_s\}$  of  $\mathfrak{l}$  can be properly ordered so that*

$$L := \text{Span}_k(\mathbb{L}) = \mathfrak{L}(\mathfrak{l}),$$

*each subvectorspace  $L_\sigma := \text{Span}_k(\{\ell_1, \dots, \ell_\sigma\})$  is a  $\mathcal{P}$ -module so that*

*each  $\mathfrak{l}_\sigma = \mathfrak{P}(L_\sigma)$  is a zero-dimensional ideal and*

*there is a chain  $\mathfrak{l}_1 \supset \mathfrak{l}_2 \supset \dots \supset \mathfrak{l}_s = \mathfrak{l}$ .* □

Macaulay's construction allowsw, as it was remarked by Gröbner[32, 50], to compute an irreducible decomposition of primaries ideals<sup>7</sup>:

**Theorem 19 (Gröbner)** *If  $\mathfrak{l}$  is  $\mathfrak{m}$ -primary, then:*

1. *each  $\Lambda_j$  is a finite-dim. stable vectorspace;*
2. *each  $\mathfrak{q}_j$  is an  $\mathfrak{m}$ -primary ideal,*
3. *is reduced*
4. *and irreducible.*
5.  *$\mathfrak{l} := \bigcap_{j \in J} \mathfrak{q}_j$  is a reduced representation of  $\mathfrak{l}$ .*

## 11 Reduced Irreducible Decomposition

It is well known [Lasker-Noether Decomposition Theorem] that

- each ideal  $\mathfrak{l} \subset \mathcal{P}$  is the finite intersection of irreducible ideals;
- irreducible ideals are primaries, but the converse, in general, is false;
- if, into such a representation, each primaries associated to a same prime are substituted by their intersection, then  $\mathfrak{l} \subset \mathcal{P}$  has a representation as intersection of finite primary<sup>8</sup> ideals;
- the primes associated to such primaries are unique as well as the isolated primaries.

It is instead less known that this formulation given by Noether [49] is an adfapation of a preliminary formulation with respect to which irreduciility and *reduceness* are sacrificed in order to obtain uniqueness.

In fact Noether introduced the following

**Definition 20 (Noether)** [49]

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<sup>7</sup>For the definitions see the section below

<sup>8</sup>but not necessarily irreducible

A representation  $\mathfrak{a} = \bigcap_{j=1}^r \mathfrak{i}_j$  of an ideal  $\mathfrak{a}$  in a noetherian ring  $R$  as intersection of finitely many irreducible ideals is called a reduced representation if

- $\forall j \in \{1, \dots, r\}, \mathfrak{i}_j \not\supset \bigcap_{\substack{h=1 \\ j \neq h}}^r \mathfrak{i}_h$  and
- there is no irreducible ideal  $\mathfrak{i}_j' \supset \mathfrak{i}_j$  such that  $\mathfrak{a} = \left( \bigcap_{\substack{h=1 \\ j \neq h}}^r \mathfrak{i}_h \right) \cap \mathfrak{i}_j'$ .

A primary component  $\mathfrak{q}_j$  of an ideal  $\mathfrak{a}$  contained in a noetherian ring  $R$ , is called

reduced if there is no primary ideal  $\mathfrak{q}_j' \supset \mathfrak{q}_j$  such that  $\mathfrak{a} = \left( \bigcap_{\substack{i=1 \\ j \neq i}}^r \mathfrak{q}_i \right) \cap \mathfrak{q}_j'$ .  $\square$

and proved that

**Theorem 21 (Noether)** [49] ) In a noetherian ring  $R$ , each ideal  $\mathfrak{a} = \bigcap_{i=1}^r \mathfrak{q}_i$   $\mathfrak{a} \subset R$  has a reduced representation as intersection of finitely many irreducible ideals.

In an irredundant primary decomposition of an ideal of a noetherian ring, each primary component can be chosen to be reduced.  $\square$

**Example 22** The decomposition

$$(X^2, XY) = (X) \cap (X^2, XY, Y^\lambda), \forall \lambda \in \mathbb{N}, \lambda \geq 1,$$

where  $\sqrt{(X^2, XY, Y^\lambda)} = (X, Y) \supset (X)$ , shows that embedded components are not unique; however,

$$(X^2, XY, Y) = (X^2, Y) \supseteq (X^2, XY, Y^\lambda), \forall \lambda > 1,$$

shows that  $(X^2, Y)$  is a reduced embedded irreducible component and that

$$(X^2, XY) = (X) \cap (X^2, Y)$$

is a reduced representation.  $\square$

**Example 23** The decompositions

$$(X^2, XY) = (X) \cap (X^2, Y + aX), \forall a \in \mathbb{Q},$$

where  $\sqrt{(X^2, Y + aX)} = (X, Y) \supset (X)$  and, clearly, each  $(X^2, Y + aX)$  is reduced, show that also reduced representations are not unique; remark that, setting  $a = 0$ , we find again the previous one  $(X^2, XY) = (X) \cap (X^2, Y)$ .  $\square$

For an  $\mathfrak{m}$ -primary ideal, Theorem refGr give an algorithm to compute its reduced representation.

If  $\mathfrak{l}$  is not  $\mathfrak{m}$ -primary, its reduced representation can be obtained in the following way: let

$$\nabla_\rho := \{M(\omega) : \omega \in |cal T, \deg(\omega) < \rho\}$$

$$\mathbf{C}_{<}(\mathbf{l}) := \{\omega_1, \dots, \omega_t\},$$

$$\rho := \max\{\deg(\omega_j) + 1 : \omega_j \in \mathbf{C}_{<}(\mathbf{l})\} + 1 \text{ so that}$$

$$\mathfrak{q}' := \mathbf{l} + \mathfrak{m}^\rho \text{ is an } \mathfrak{m}\text{-primary component of } \mathbf{l},$$

$$\Lambda \cap \nabla_\rho = \mathfrak{M}(\mathfrak{q}');$$

$$\mathbf{l} = \cap_{i=1}^r \mathfrak{q}_i \text{ be an irredundant primary representation of } \mathbf{l} \text{ where } \sqrt{\mathfrak{q}_1} = \mathfrak{m},$$

$$\mathbf{J} := \cap_{i=2}^r \mathfrak{q}_i,$$

$$\mathbf{J} = \cap_{i=1}^u \mathfrak{i}_i, \text{ a reduced representation of } \mathbf{J};$$

$$\mathbf{C}_{<}(\mathfrak{q}') := \{\omega_1, \dots, \omega_t, \omega_{t+1}, \dots, \omega_s\} \supset \mathbf{C}_{<}(\mathbf{l})$$

$$\text{for each } j, 1 \leq j \leq s \ \Lambda_j := \text{Span}_K\{v\ell(\omega_j) : v \in \mathcal{T}\}$$

$$\text{and } \mathfrak{q}_j := \mathfrak{J}(\Lambda_j);$$

$$\mathfrak{q} := \cap_{j=1}^t \mathfrak{q}_j.$$

Then

**Corollary 24** *With the notation above, it holds:*

1.  $\mathbf{J} := \mathbf{l} : \mathfrak{m}^\infty = \cap_{i=2}^r \mathfrak{q}_i,$
2.  $\mathfrak{q} \subset \mathfrak{q}'$  is a reduced  $\mathfrak{m}$ -primary component of  $\mathbf{l}$
3.  $\mathfrak{q}' := \cap_{j=1}^s \mathfrak{q}_j$  is a reduced representation of  $\mathfrak{q},$
4.  $\mathfrak{q} := \cap_{j=1}^t \mathfrak{q}_j$  is a reduced representation of  $\mathfrak{q},$
5.  $\mathfrak{q}_i \supset \mathbf{J} \iff i > t,$
6.  $\mathbf{l} = \cap_{i=1}^u \mathfrak{i}_i \cap \cap_{j=1}^t \mathfrak{q}_j$  is a reduced representation of  $\mathbf{l}.$

□

For  $\mathbf{l} := (X^2, XY)$  we have

$$\Lambda = \text{Span}_K\{M(1), M(X)\} \cup \{M(Y^i), i \in \mathbb{N}\},$$

$$\mathbf{C}_{<}(\mathbf{l}) = \{X\};$$

$$\mathbf{l} : \mathfrak{m}^\infty = (X)$$

$$\rho = 3, \mathfrak{q}' = \mathbf{l} + \mathfrak{m}^3 = (X^2, XY, Y^3) \ \mathbf{C}_{<}(\mathfrak{q}') = \{X, Y^2\};$$

$$\omega_1 := X, \Lambda_1 = \text{Span}_K\{M(1), M(X)\}, \mathfrak{q}_1 = (X^2, Y);$$

$$\omega_2 := Y^2, \Lambda_2 = \{M(1), M(Y), M(Y^2)\}, \mathfrak{q}_2 = (X, Y^3) \supset (X);$$

whence  $(X^2, XY) = (X) \cap (X^2, Y)$ .

Both the reduced representation and the notion of Macaulay basis strongly depend on the choice of a frame of coordinates.

In fact, considering, for each  $a \in \mathbb{Q}, a \neq 0$ ,

$$\Lambda = \text{Span}_k\{M(1), M(X) - aM(Y)\} \cup \{M(Y^i), i \in \mathbb{N}\},$$

we obtain

$$\rho = 3, \Lambda \cap \nabla_\rho = \{M(1), M(X) - aM(Y), M(Y), M(Y^2)\},$$

$$\omega_1 := X, \Lambda_1 = \{M(1), M(X) - aM(Y)\}, \mathfrak{q}_1 = (X^2, Y + aX);$$

$$\omega_2 := Y^2, \Lambda_2 = \{M(1), M(Y)\}, \mathfrak{q}_2 = (X, Y^3) \supset (X);$$

whence  $(X^2, XY) = (X) \cap (X^2, Y + aX)$ .

Let us now discuss deeply the same example by performing the generic change of coordinate

$$\Phi : \mathbb{Q}[X, Y] \mapsto \mathbb{Q}[X, Y] : \Phi(X) = aX + bY, \Phi(Y) = cX + dY, ad - bc \neq 0 \neq a :$$

for  $\mathfrak{l} := (X^2, XY)$ , we obtain

$$\Phi(\mathfrak{l}) = (aXY + bY^2, a^2X^2 - bY^2),$$

$$\Lambda := \text{Span}_K\{M(1), M(X), M(Y), a^2M(Y^2) - abM(XY) + b^2M(X^2), \dots\}$$

$$\mathfrak{J} = \mathfrak{l} : \mathfrak{m}^\infty = (aX + bY),$$

$$\rho = 3, \mathbf{C}_<(\mathfrak{q}') = \{X, Y^2\};$$

$$\Lambda \cap \nabla_\rho = \text{Span}_K\{M(1), M(X), M(Y), a^2M(Y^2) - abM(XY) + b^2M(X^2)\};$$

$$\omega_1 := X, \Lambda_1 = \{M(1), M(X)\}, \mathfrak{q}_1 = (X^2, Y);$$

$$\omega_2 := Y^2, \Lambda_2 = \{M(1), aM(Y) - bM(X), a^2M(Y^2) - abM(XY) + b^2M(X^2)\},$$

$$\mathfrak{q}_2 = (aX + bY, Y^3) \supset (aX + bY);$$

whence  $\Phi(\mathfrak{l}) = (aX + bY) \cap (X^2, Y)$ .

We have chosen  $\{M(1), M(X), M(Y)\}$  as basis of  $\nabla_2$ ; however, what we have to do is to extend the basis  $\{M(1), aM(Y) - bM(X)\}$  of  $\mathfrak{M}(\mathfrak{J}) \cap \nabla_2$ , in order to obtain a basis of  $\nabla_2$ .

Any choice  $eM(Y) + fM(X), af + be \neq 0$  is acceptable giving the reduced primary

$$\mathfrak{I}(\{M(1), eM(Y) + fM(X)\}) = (X^2, eX - fY)$$

and the decomposition  $\Phi(\mathfrak{l}) = (aX + bY) \cap (X^2, eX - fY)$ .

## 12 Lazard Structural Theorem

Lazard Structural Theorem [33] is one of earliest important results within Gröbner Theory; it describes the structure of the lex Gröbner basis of a generic ideal in 2 variables; Gianni–Kalkbrenner’s Theorem can be seen as its ultimate generalization.

**Theorem 25 (Lazard)** *Let  $\mathcal{P} := k[X_1, X_2]$  and let  $<$  be the lex. ordering induced by  $X_1 < X_2$ .*

*Let  $\mathfrak{l} \subset \mathcal{P}$  be an ideal and let  $\{f_0, f_1, \dots, f_k\}$  be a Gröbner basis of  $\mathfrak{l}$  ordered so that*

$$\mathbf{T}(f_0) < \mathbf{T}(f_1) < \dots < \mathbf{T}(f_k).$$

*Then*

- $f_0 = PG_1 \cdots G_{k+1}$ ,
- $f_j = PH_j G_{j+1} \cdots G_{k+1}, 1 \leq j < k$ ,
- $f_k = PH_k G_{k+1}$ ,

*where*

*$P$  is the primitive part of  $f_0 \in k[X_1][X_2]$ ;*

*$G_i \in k[X_1], 1 \leq i \leq k+1$ ;*

*$H_i \in k[X_1][X_2]$  is a monic polynomial of degree  $d(i)$ , for each  $i$ ;*

*$d(1) < d(2) < \dots < d(k)$ ;*

*$H_{i+1} \in (G_1 \cdots G_i, \dots, H_j G_{j+1} \cdots G_i, \dots, H_{i-1} G_i, H_i), \forall i$ .*

□

## 13 Axis-of-Evil Theorem

The Axis-of-Evil Theorem [42, 43, 44] describes the combinatorial structure [Gröbner and border basis, linear and Gröbner representation] wrt the lex ordering of a 0-dimensional ideal  $\mathfrak{l} \subset \mathcal{P}$ , in terms of its Macaulay representation.

Such description is "algorithmical" in terms of elementary combinatorial tools and linear interpolation and extends Cerlienco–Mureddu Correspondence and Lazard’s Structural Theorem; the proof is essentially a direct application of Möller’s Algorithm [45, 23].

It is summarized into 22<sup>9</sup> statements.

We report here one of its extreme statements:

**Theorem 26** *Let*

*$<$  the lex ordering induced by  $X_1 < \dots, X_n$ ,*

---

<sup>9</sup>in honour of Trythemiuss, the founder of cryptography (*Steganographia* [1500], *Polygraphia* [1508]) which introduced in german the 22<sup>th</sup> letter **W** in order to perform german gematria.

$\mathbf{l} \subset \mathcal{P}$  be a zero-dimensional radical ideal;

$Z := \{\mathbf{a}_1, \dots, \mathbf{a}_s\} \subset k^n$  its roots;

$\mathbf{N} := \mathbf{N}_{<}(\mathbf{l})$ ;

$\mathbf{G}_{<}(\mathbf{l}) := \{\mathbf{t}_1, \dots, \mathbf{t}_r\}, \mathbf{t}_1 < \mathbf{t}_2 < \dots < \mathbf{t}_r, \mathbf{t}_i := X_1^{d_1^{(i)}} \dots X_n^{d_n^{(i)}}$  the minimal basis of its associated monomial ideal  $\mathbf{T}_{<}(\mathbf{l})$ ;

$G := \{f_1, \dots, f_r\}, \mathbf{T}(f_i) = \mathbf{t}_i \forall i$ , the unique reduced lexicographical Gröbner basis of  $\mathbf{l}$ .

There is a combinatorial algorithm which, given  $Z$ , returns sets of points

$$Z_{m\delta i} \subset k^m, \forall m, \delta, i : 1 \leq i \leq r, 1 \leq m \leq n, 1 \leq \delta \leq d_m^{(i)},$$

thus allowing to compute

- by means of Cerlienco–Mureddu Algorithm the corresponding order ideal

$$F_{m\delta i} := \mathbf{N}(Z_{m\delta i}) \subset \mathcal{T} \cap k[X_1, \dots, X_{m-1}]$$

- and, by interpolation<sup>10</sup> unique polynomials

$$\gamma_{m\delta i} := X_m - \sum_{\omega \in F_{m\delta i}} c_\omega \omega$$

which satisfy the relation

$$f_i = \prod_m \prod_\delta \gamma_{m\delta i} \pmod{(f_1, \dots, f_{i-1})} \forall i.$$

Moreover, setting

$\nu$  the maximal value such that  $d_\nu^{(i)} \neq 0, d_m^{(i)} = 0, m > \nu$  so that  $f_i \in k[X_1, \dots, X_\nu] \setminus k[X_1, \dots, X_{\nu-1}]$ ,

$$L_i := \prod_{m=1}^{\nu-1} \prod_\delta \gamma_{m\delta i} \text{ and}$$

$$P_i := \prod_\delta \gamma_{\nu\delta i}$$

we have  $f_i = L_i P_i$  where  $L_i$  is the leading polynomial of  $f_i$ .  $\square$

**Example 27** For the nine points considered in Example 16 the corresponding Gröbner basis is  $G = \{g_1, g_2, g_3, g_4, f_1, f_2, f_3, f_4\}$  where

$$\begin{aligned} g_1 &:= X_1^3 - 3X_1^2 + 2X_1 &= (X_1 - 2)(X_1 - 1)X_1 \\ g_2 &:= X_1^2 X_2 - X_1 X_2 &= X_2(X_1 - 1)X_1, \\ g_3 &:= X_1 X_2^2 - X_1 X_2 &= X_2(X_2 - 1)X_1, \\ g_4 &:= X_2^3 - 3X_2^2 + 2X_2 &= X_2(X_2 - 1)(X_2 - 2), \end{aligned}$$

---

<sup>10</sup>  $X_m(\mathbf{a}) = \sum_{\omega \in F_{m\delta i}} c_\omega \omega(\mathbf{a}), \mathbf{a} \in Z_{m\delta i}$ .

perfectly illustrating Lazard Structural Theorem, and

$$\begin{aligned} f_1 &:= X_3X_1^2 - 3X_3X_1 + 2X_3 - 3X_2^2 - 6X_2X_1 + 9X_2 - X_1^2 + 3X_1 - 2, \\ f_2 &:= X_3X_2 + X_3X_1 - 2X_3 + 3X_2^2 + X_2X_1 - 7X_2 - 2X_1^2 + 3X_1 + 2, \\ f_3 &:= X_3^2X_1 - 2X_3^2 - 4X_3X_1 + 8X_3 - 15X_2^2 - 30X_2X_1 + 45X_2 + 3X_1 - 6, \\ f_4 &:= X_3^3 - 3X_3^2 + 3X_3X_1 - 4X_3 - 3X_2^2 - 6X_2X_1 + 9X_2 - 3X_1 + 6, \end{aligned}$$

satisfy  $(\text{mod } (g_1, \dots, g_4))$

$$\begin{aligned} f_1 &= (X_1 - 2)(X_1 - 1)(X_3 - \frac{3}{2}X_2^2 + \frac{9}{2}X_2 - 1) \\ f_2 &= (X_2 + X_1 - 2)(X_3 + 3X_2 - 2X_1 - 1) \\ f_3 &= (X_1 - 2)(X_3 - 1)(X_3 - 5X_1 + 2) \\ f_4 &= (X_3 - 1)X_3(X_3 + 3X_1^2 - 8X_1 + 2) \end{aligned}$$

where

- $(X_1^2 - 3X_1 + 2, X_2 + X_1 - 2, X_3 - 1)$  is the Gröbner basis of the ideal whose roots are  $\{\pi_2(\mathbf{a}_7), \pi_2(\mathbf{a}_8)\}$ ,
- $\{\mathbf{a} \in \mathbf{X} : (X_1^2 - 3X_1 + 2)(\mathbf{a}) \neq 0\} = \{\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_4\}$  to which Cerlienco–Mureddu Correspondence associates  $\{1, X_2, X_2^2\}$
- $\{\mathbf{a} \in \mathbf{X} : (X_2 + X_1 - 2)(\mathbf{a}) \neq 0\} = \{\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_5\}$  to which Cerlienco–Mureddu Correspondence associates  $\{1, X_1, X_2\}$
- $\{\mathbf{a} \in \mathbf{X} : (X_1 - 2)(X_3 - 1)(\mathbf{a}) \neq 0\} = \{\mathbf{a}_2, \mathbf{a}_4, \mathbf{a}_5, \mathbf{a}_6\}$  to which Cerlienco–Mureddu Correspondence associates  $\{1, X_1, X_2, X_1X_2\}$ .
- $\{\mathbf{a} \in \mathbf{X} : (X_3^2 - X_3)(\mathbf{a}) \neq 0\} = \{\mathbf{a}_2, \mathbf{a}_3, \mathbf{a}_4, \mathbf{a}_5, \mathbf{a}_6\}$  to which Cerlienco–Mureddu Correspondence associates  $\{1, X_1, X_1^2, X_2, X_1X_2\}$ .

□

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