

ACCOUNT SUSPENSION NOTICE (ASN)

Under the Maxim Trader Code of Ethics, this account has been suspended pending investigation, in the matters of cash points sold illegally wherein a 20% discount was given. This being a maximum breach of the Maxim Trader Code of Ethics, empowers the Company to suspend accounts until further investigation. It should be clearly noted, that you are not being formally accused of any wrong doing at this stage of our investigation. Moreover, you are advised to now immediately communicate with the LACD at [<legalofficer-one@maximtrader.com>](mailto:legalofficer-one@maximtrader.com) in either English or Chinese, sharing whatever knowledge you have about this incident. Pending your cooperation, we have every intention to release the suspension over your account pending how long it takes for your cooperation to flow please. We hope this notice is received with the same sincerity in which the herein undersigns intends.

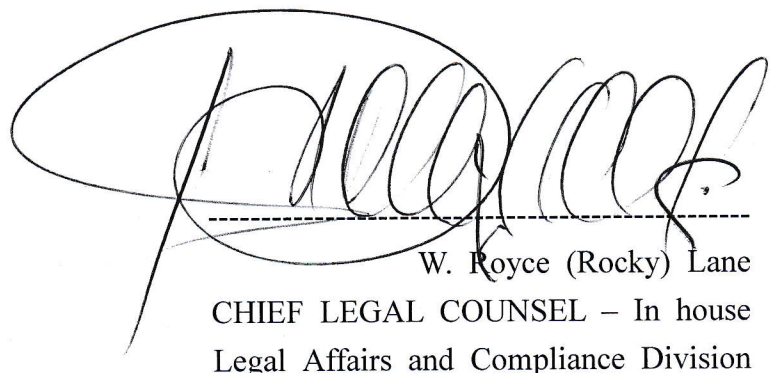
賬戶暫停告示

根據馬勝金融的道德規範，這賬戶已被暫停，等待調查，由於現金積分被非法出售於百分之二十的折扣。這導致一項嚴重違反馬勝金融的道德規範，授權了馬勝金融去將賬戶暫停，直到進一步的調查。在這裏要清楚指出，閣下並未於現階段我們的調查期間，被正式指控違反任何錯誤的事情。然而，我們建議閣下立即與馬勝金融的法律事務與法規遵從部門聯絡於電郵 [<legalofficer-one@maximtrader.com>](mailto:legalofficer-one@maximtrader.com)，用英文或中文，去分享閣下任何對這事件的知識。取決於閣下的合作，我們打算取消閣下賬戶的暫停，取決於閣下何時的合作。我們希望這告示被接收，與此同時同樣的真誠，在以下署名的在此希望。

账户暂停告示

根据马胜金融的道德规范，这账户已被暂停，等待调查，由于现金积分被非法出售于百分之二十的折扣。这导致一项严重违反马胜金融的道德规范，授权了马胜金融去将账户暂停，直到进一步的调查。在这里要清楚指出，阁下并未于现阶段我们的调查期间，被正式指控违反任何错误的的事情。然而，我们建议阁下立即与马胜金融的法律事务与法规遵从部门联络于电邮 [<legalofficer-one@maximtrader.com>](mailto:legalofficer-one@maximtrader.com)，用英文或中文，去分享阁下任何对这事件的知识。取决于阁下的合作，我们打算取消阁下账户的暂停，取决于阁下何时合作。我们希望这告示被接收，与此同时同样的真诚，在以下署名的在此希望。

Sincerity yours,



W. Royce (Rocky) Lane
CHIEF LEGAL COUNSEL – In house
Legal Affairs and Compliance Division