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**A Methodical Approach to the  
Evaluation of Appearance  
Computations**

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Study programme: Computer Science

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Development

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**Abstract:** Many techniques for the computation of realistic images exist, and there are a number of key technical aspects to such systems. In addition to the light transport technique which is being used, the details of how description and computation of object appearance are key distinguishing features. The details in questions span a wide range of features, such as the list of BRDF and BSSDF models which are supported in a given system, to the question whether computations are performed in color space or in spectral form, to whether features such polarization or fluorescence are being supported. Although there are obvious similarities between systems, there is no standardized implementation of any of these features, and their computation might vary in terms of accuracy.

Currently, whenever a researcher works on any aspect of appearance computation, they demonstrate their findings on their own set of test scenes. These scenes might not necessarily cover all test scenarios, and any possible inaccuracies might not be exposed properly.

So there is a need for an appearance test suite that would assess the correctness of such computations. The goal of this thesis is to introduce such a set of scenes that can be used to methodically test rendering algorithms based on their appearance reproduction capabilities. The test scenes are created in such a manner that they examine the capabilities of the appearance computations to correctly render respective features, while neglecting other aspects of rendering, such as global illumination. With the appearance in mind, we focus on spectral rendering in general, as well as specialized forms of it, such as fluorescence, dispersion or polarization. For various test cases, we manually verify that the reference images display proper results according to the definition of respective features.

**Keywords:** appearance, evaluation, spectral rendering, iridescence, polarization, fluorescence

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# Introduction

Since ancient times, we've been observing and studying nature, trying to comprehend its behavior. Common phenomena such as rainbows or light reflections have always been one of the primary topics discussed in the scientific circles which, once they were properly explained, led to the formulations of their descriptions.

In the modern era of computers, many of these phenomena are well-defined and can be accurately represented by physical models. One of the ultimate interests of the computer graphics is to replicate these sensations, creating realistic images that would be indistinguishable from a photograph.

Although the publications discussing the rendering process were released mostly in the second half of the 19th century [15][20], it is only now that we are capable of computing certain specific aspects of the natural light. Mostly thanks to the more powerful hardware, correctly simulating the light transport while evaluating non-trivial physical models can be done in a matter of minutes instead of days.

In the past, the physical realism of the image synthesis was mostly present only in the research-oriented systems, while the commercial world was quite content with the approximations of the computations as they were aiming for a visually appealing images instead of realistic ones. As the interest in the physical realism rises also in the commercial circles, the more advanced light transport simulations are becoming a standard, opening possibilities to reproduce phenomena that were almost impossible before.

However, as the newly-developed techniques are still being largely discussed, various rendering systems contain custom, sometimes even distinct, implementations. In addition to the light transport techniques, there are many other distinguishing key features, such as material models or the internal light representation. Even though large parts of the rendering systems present obvious similarities, there is no standardized implementation of any of these features and so their computations may vary in terms of accuracy.

Unfortunately, these dissimilarities cause that there is no unified evaluation process that would assess the correctness of a specific technique or the whole rendering system. In fact, whenever an improved or a completely new technique is introduced, the creators present their results on their own set of scenes. Even involuntarily, these scenes might not properly exercise the techniques, possibly leaving some inaccuracies unexposed. Therefore, there is a need for a standardized way to test the rendering systems and their features. We provide a solution that methodically evaluates the computations of several exotic appearance phenomena, creating a base for a general rendering benchmark.

## Goals

The main goal of this thesis is to introduce a set of scenes that test various rendering systems based on their appearance reproduction capabilities. These scenes are specifically designed to expose potential differences between the computation of the specific phenomenon and its defined behavior in nature. While it is possible to test the light transport simulations, we focus on the specific visual sensations that are to this date being widely developed and discussed such as fluorescence,

dispersion, or polarization. The spectral internal representation of light is an absolute necessity for most of the evaluated aspects so they may be simulated accordingly to their physical descriptions without any lossy conversions from the RGB domain.

For the user's convenience, we wrap the test scenes in automated workflow and we provide the reference images that we consider to be the ground truth.

## Thesis organization

This thesis is structured as follows:

Chapter 1 introduces the reader to color science and computer graphics. It explains the fundamental basics of the rendering process that are a necessity to comprehend the more advanced computational models. It also defines the terminology that is widely used throughout the thesis. In case the reader is already familiar with the computer graphics field, he can skip this chapter.

Chapter 2 continues in discussing the specific appearance phenomena that are the main interest of this thesis, providing in-depth explanations as well as exact implementations for each of them.

Chapter 3 shows the actual output of the thesis — the evaluation suite. We describe the framework and justify its design by demonstrating possible use case scenarios. We also explain the methodology used to create each test scene accordingly to the phenomena they are supposed to evaluate.

Chapter 4 directly compares the computations and their results of two different renderers.

At the end of the thesis, we provide a user guide which clarifies how to actually run and use the benchmark.

# 1. Rendering and Color Science

This chapter serves as an introduction to the computer graphics and the color science. We briefly overview basic aspects of these fields, mainly to familiarize the reader with some of the fundamental processes, their backgrounds and usages. We also establish the terminology, such as *rendering* or *RGB color space*, that will be used throughout the thesis frequently. A significant part of the following sections is based on the publications by Wyszecki and Stiles [37], Wilkie [35], Nimier-David et al. [21] and Pharr et al. [27].

First, we discuss the mechanisms behind the light and colors and then we look into the process of the physically based image synthesis.

## 1.1 Light

According to the definition by Barbrow [1], the light is "any radiation capable of causing a visual sensation directly". In other words, the visible light is an electromagnetic radiation that is perceivable by the human eye and allows us to see the objects around us.

As all electromagnetic radiations, the light also propagates in form of waves. The oscillation direction of these waves does not change the color of the light but it may interact differently with the reflective/refractive objects as it passes through them. A representation of such wave propagation is displayed in Figure 1.1.

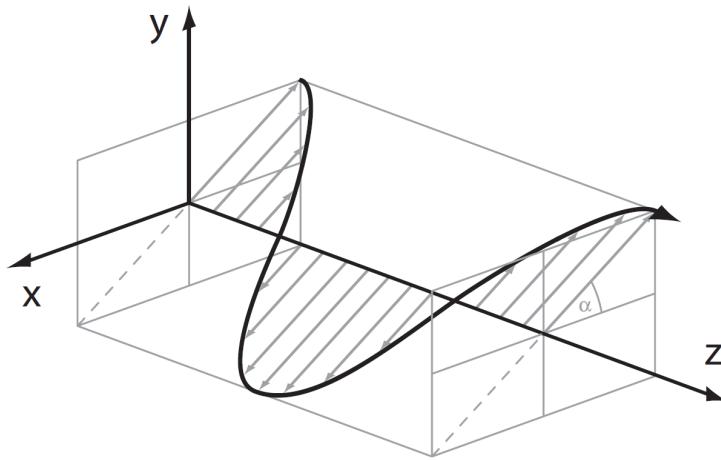


Figure 1.1: A propagation of wave [35]

Normally, by the term light we mean the *visible light* which consists of multiple waves of unique frequencies (wavelengths). There are no exact boundaries to the visible spectrum as distinct human eyes might perceive light slightly differently but the lower boundary is estimated between 360 and 400nm and the upper boundary from 760 to 830nm [30]. The light above this range is called infrared light and below ultraviolet. An explanatory image of the known electromagnetic wavelengths can be found in Figure 1.2.

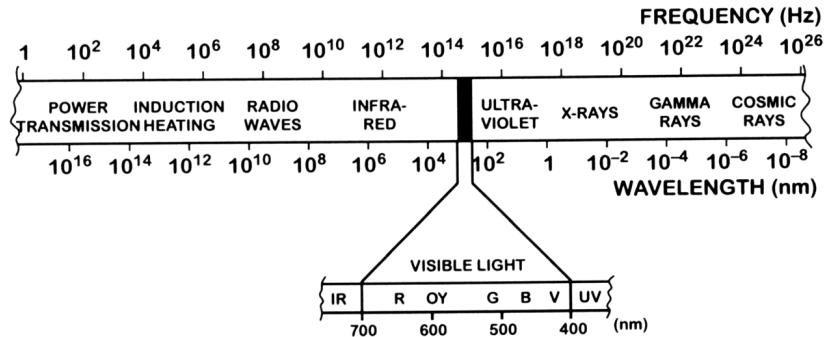


Figure 1.2: An image displaying various wavelengths [35]

### 1.1.1 Color

While observing an illuminated object, three different signals are sent from the eye sensors (rods and cones) to the brain, each representing a red, a green or a blue channel. When put together inside the brain, they form a sensation of the color.

To categorize the colors, several reproducible representations were formed, called the *color spaces*. A natural decision was to create an *RGB color space* as it directly correlates with the signals sent from the human eyes' rods and cones. Note that multiple variations of the RGB color space exist such as *sRGB* or *Adobe RGB*. An illustrative comparison between several color gamuts is shown in Figure 1.3.

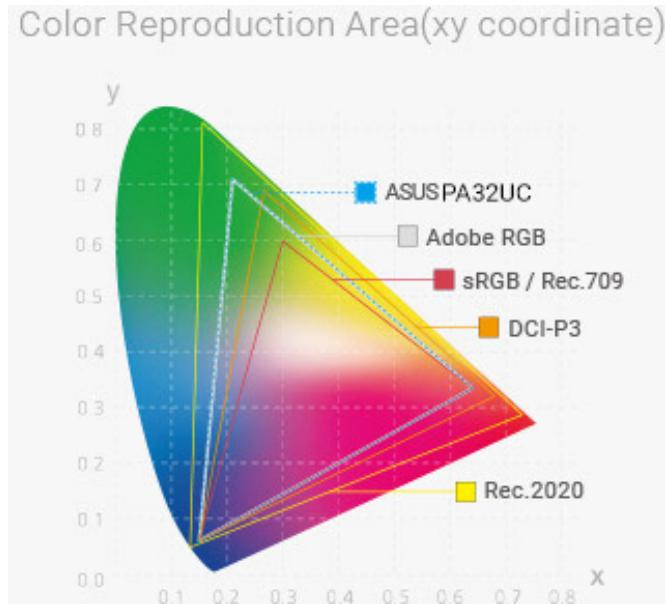


Figure 1.3: An illustrative comparison between five RGB gamuts by Asus<sup>1</sup>

In 1913, the Commission internationale de l'éclairage (International Commission on Illumination), shortly CIE, was formed as an authority that defines almost everything that concerns colors and their perception. In 1931, they conducted

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<sup>1</sup><https://www.asus.com/Microsite/ProArtMonitor/experience-truecolor.html>

color matching experiments to obtain three color matching functions that would convert the color stimuli perceived in our eyes to the *CIE RGB* color space. As these functions had a negative component, a new imaginary color space was created called *CIE XYZ*. These conversions are further described in subsection 1.1.2.

CIE also defined *CIE L\*a\*b\** color space, standard illuminants D65 and D50 and many others.

### 1.1.2 Conversions to tristimulus color spaces

The conversion from the spectrum to an RGB color space looks as follows:

1. Compute the tristimulus value XYZ using the CIE color matching functions (shown in Figure 1.4)

$$\begin{aligned} X &= \int P(\lambda) \bar{x}(\lambda) d\lambda \\ Y &= \int P(\lambda) \bar{y}(\lambda) d\lambda \\ Z &= \int P(\lambda) \bar{z}(\lambda) d\lambda \end{aligned}$$

, where  $P(\lambda)$  is the spectral power distribution and  $\bar{x}(\lambda)$ ,  $\bar{y}(\lambda)$  and  $\bar{z}(\lambda)$  are the color matching functions.

2. Convert the XYZ to the desired RGB color space using a transformation matrix. Depending on the specific RGB color space, the matrix differs — an example of CIE XYZ to sRGB conversion:

$$\begin{aligned} r &= 3.240X - 0.969Y + 0.55Z \\ g &= -1.537X + 1.875Y - 0.204Z \\ b &= -0.498 + 0.041Y + 1.057Z \end{aligned}$$

3. (Optional) As the resulting r,g,b values may be negative, a gamut mapping might be necessary.

### 1.1.3 Photometry and Radiometry

Two different sets of measurement units were developed to quantify the light — *photometry* and *radiometry*. The radiometry recognizes the light as an electromagnetic radiation while the photometry focuses more on the human perception of the light. Despite the distinct purposes, their quantities are often easily convertible.

Radiometric Quantity	Photometric Equivalent
Spectral radiant energy [J]	Luminous energy [Lumen – second]
Radiant flux [W]	Luminous flux [Lumen]
Irradiance [ $W.m^{-2}$ ]	Illuminance [ $Lumen.m^{-2}$ ]
Radiant intensity [ $W.sr^{-1}$ ]	Luminous intensity [ $candela = Lumen.sr^{-1}$ ]
Radiance [ $W.sr^{-1}.m^{-2}$ ]	Luminance [ $candela.m^{-2}$ ]

<sup>2</sup>The X,Y,Z data taken from <https://www.waveformlighting.com/tech/color-matching-function-x-y-z-values-by-wavelength-csv-excel-format>

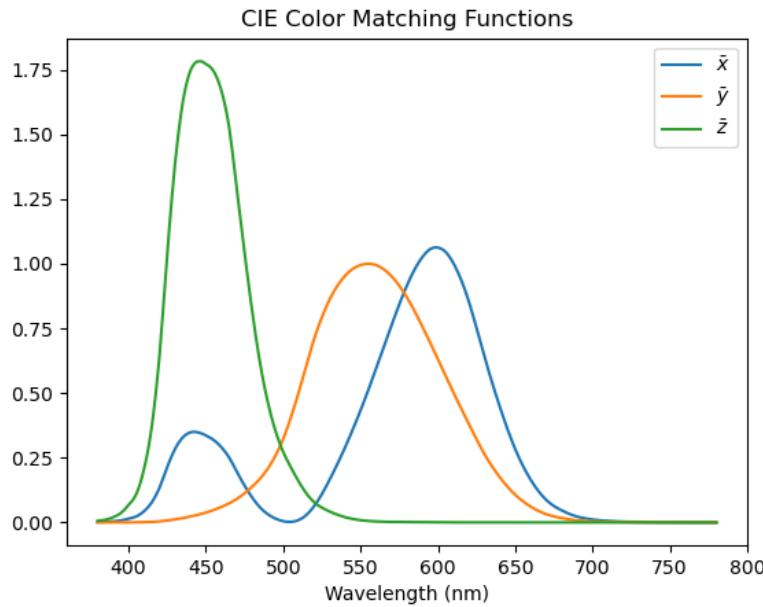


Figure 1.4: Color matching functions plotted in Python<sup>2</sup>

A brief description of each of them:

**Spectral radiant energy** Amount of the light energy at specific wavelength

**Radiant flux** Amount of the light energy with respect to time

**Irradiance** Flux at a specific point (space)

**Radiant intensity** Flux in a direction (steradian, shortly  $sr$ , is a unit of the solid angle — surface on a unit sphere, whole sphere has  $4\pi$  steradians)

**Radiance** Spatial and directional flux

The relationship between these quantities is described by the *spectral efficiency function*. It states how efficiently a human eye reacts to different wavelengths, implying that some wavelengths are detected more easily. As we can see in Figure 1.5, the scotopic (night) perception peaks at around 507nm and the photopic (day) at 555nm.

## 1.2 Physically based rendering

One of the ultimate goals of the computer graphics is the ability to reproduce visually plausible and physically coherent images that should be indistinguishable from a photograph based on a description of a scene. Such process is called the *photo realistic rendering*. In this thesis, we abbreviate the term and call it simply *rendering* as the non-photo realistic one does not concern us.

The main job of a rendering system (*renderer*) is to simulate various phenomena commonly seen in nature such as light reflections, refractions, shadows,

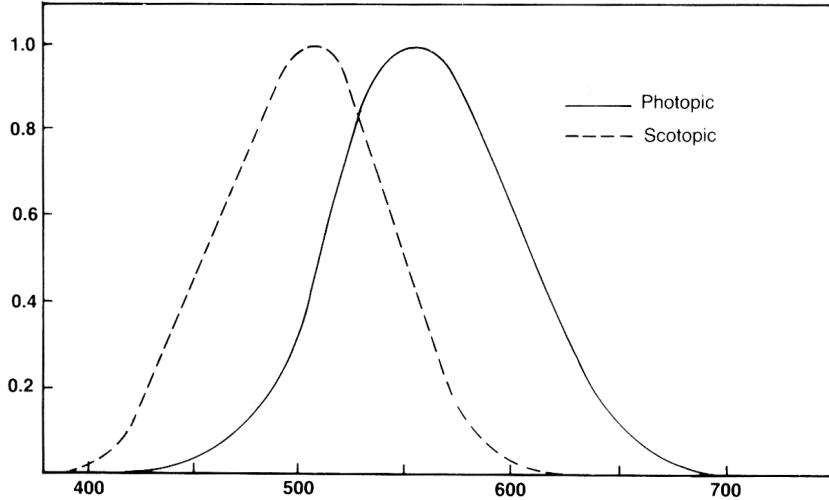


Figure 1.5: Relative luminous efficiency function [35]

etc. accurately to their physical models. These days, modern renderers are capable of recreating the scenes so authentically that the rendered images are almost identical to the real life photos. An example can be seen in Figure 1.6.

The rendering workflow is similar for every renderer:

1. A 3D digital scene is described by the objects it contains
2. A light simulation algorithm runs for every visible pixel from the viewer
3. Upon object interaction, the shading of the intersected point is computed
4. As the algorithm terminates, a picture ("photograph") of the scene called the *render* is created

This process is further explained in subsection 1.2.5.

### 1.2.1 Digital scene

Basic elements of a digital scene are roughly the same for each renderer:

**Camera** A camera (or a sensor) in a digital scene works in the same manner as in real life — it records a picture. Generally, you may define the coordinate position and the viewing vectors but also the properties such as focal distance or the type of the film.

**Light source** The scene needs to be illuminated by one or multiple sources in order to be visible. The common kinds of lights are point light, area light, spot light or environment (constant) light.

**Objects** The actual visible contents of the scene are objects. Almost all rendering systems offer a choice to either use their prepared basic geometry such as spheres or triangles or to include a mesh geometry from an external file

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<sup>3</sup><https://corona-renderer.com/gallery>



Figure 1.6: An image generated with the Corona Renderer<sup>3</sup>

(usually created by a modeling software). These objects must state their material properties so that the algorithm may correctly interact with them, e.g. diffuse vs. reflective material.

Unfortunately, as each renderer may have a very unique implementation details, the formats of the scenes are vastly different. For example, mitsuba uses XML but PBRT has its own specific format. An example of a simple scene for Mitsuba2 can be found in Figure 1.7.

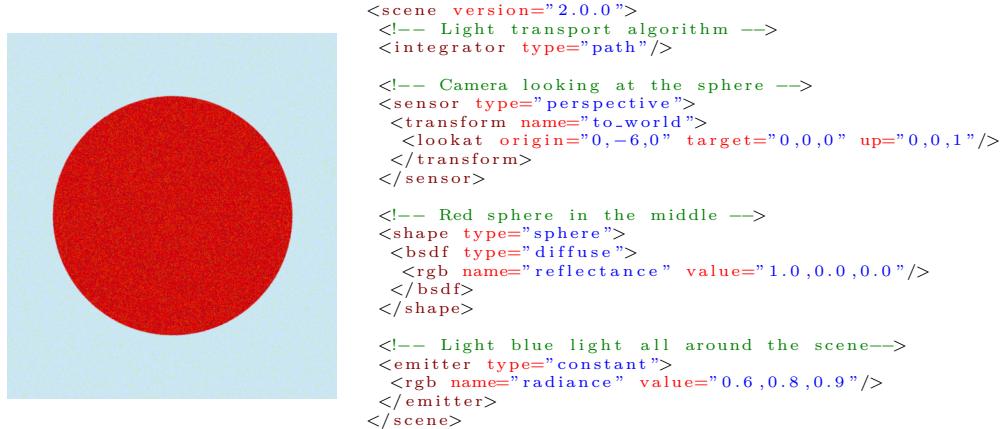


Figure 1.7: A simple scene rendered with Mitsuba2 (left) along with its scene description (right)

Once the scene is described, the renderer runs a light transport simulation inside it to determine the colors of the final image. As this is a fundamental part of the rendering process, the following sections describe the physics theory and the models behind the light transport and the materials.

## 1.2.2 BRDF

The reflective properties of a material are described by *Bidirectional Distribution Reflectance Function*, shortly *BRDF* [20]. It looks as follows:

$$f_r(\omega_i, \omega_o) = \frac{dL_o(\omega_o)}{L_i(\omega_i)\cos\theta_i d\omega_i} \quad (1.1)$$

Essentially, it states how much radiance is reflected from the incoming direction  $\omega_i$  ( $L_i(\omega_i)$ ) to the outgoing direction  $\omega_o$  ( $L_o(\omega_o)$ ) for the specific material. An image interpretation of the function is in Figure 1.8. As it is a distribution function, we can also reformulate its meaning as a probability density that a defined amount of light energy gets reflected from  $\omega_i$  to  $\omega_o$ .

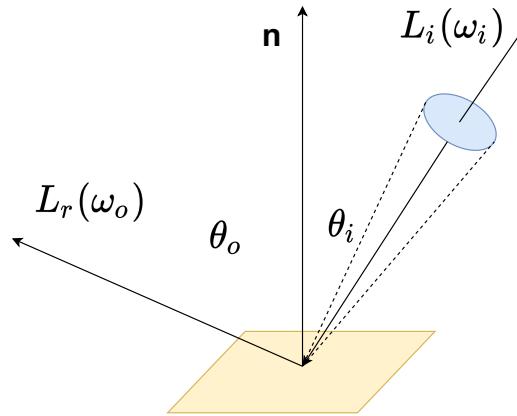


Figure 1.8: Bidirectional Distribution Reflectance Function

In the simplest cases, BRDF describes the reflectivity of a surface. Renders of a diffuse, a glossy and a mirror material are compared in Figure 1.9.

Physically based BRDFs must fulfill several properties 9:

**Heimholtz reciprocity** The amount of reflected energy from the incoming direction to the outgoing direction is equal to the amount of energy in the reversed directions ( $f_r(\omega_i, \omega_o) = f_r(\omega_o, \omega_i)$ ).

**Energy conservation** The amount of reflected energy cannot be larger than all received energy.

**Positivity** BRDF is always positive ( $f_r(\omega_i, \omega_o) \geq 0$ ).

Note that BRDF concerns only opaque surfaces. There exist multiple distribution functions that describe behavior of other materials, for example:

**BTDF** Describes light transmission

**BSDF** Combination of BTDF and BRDF (e.g. glass, water)

**BSSRDF** Considers scattering of the light under the surface as well (e.g. skin)

In this thesis, most of the materials that we talk about are actually described by BSDF.

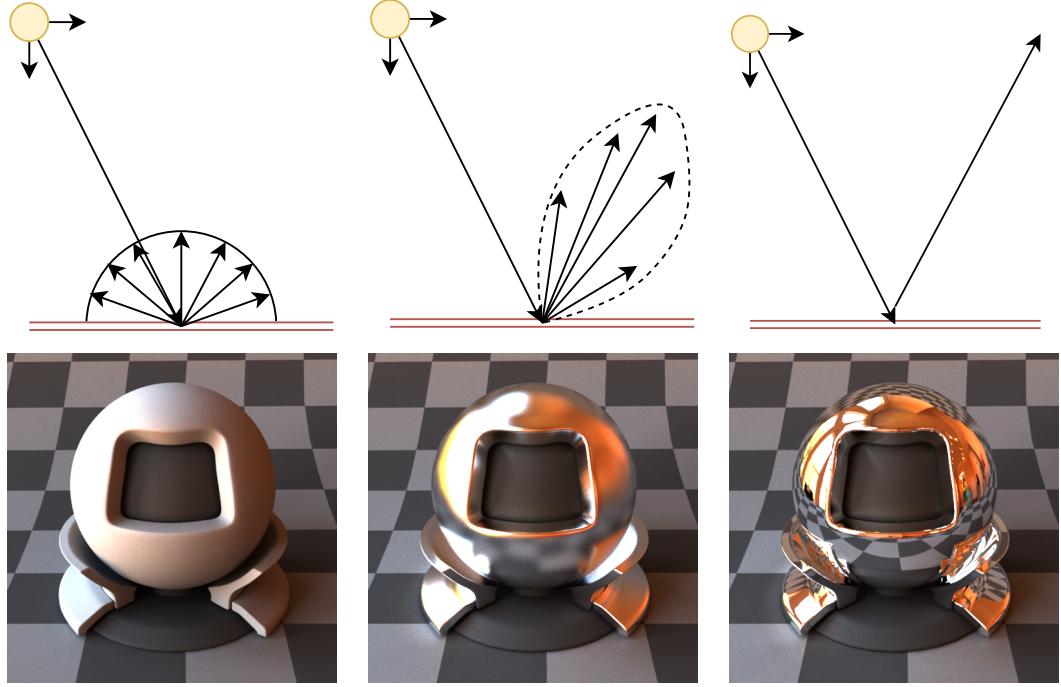


Figure 1.9: A preview of a diffuse (left), a glossy (middle) and mirror (right) material rendered in Mitsuba2 along with their illustrative BRDF visualizations

### 1.2.3 Global Illumination

With the BRDF defined, we can now formulate an equation that evaluates the global illumination of a scene — illumination of each point from all light sources. It is generally called the *rendering equation* [15]:

$$L_o(x, \omega_o) = L_e(x, \omega_o) + L_r(x, \omega_o) \quad (1.2)$$

Let's break it down first:

$x$  is the currently computed point in the scene.

$L_o$  is the outgoing radiance.

$L_e$  is the radiance emitted by  $x$  as  $x$  can be on a light source.

$L_r$  is also called the *reflectance equation* and it states the total amount of the reflected radiance for all contributions of the incident radiance. Hence, it is an integral over the upper hemisphere over  $x$  that look as follows:

$$L_r(x, \omega_0) = \int_{\Omega} f_r(x, \omega_o, \omega_i) L_i(x, \omega_i) \cos \theta_i d\omega_i \quad (1.3)$$

, where

$f_r(x, \omega_o, \omega_i)$  is the BRDF of  $x$  as defined in Equation 1.1.

$L_i(x, \omega_i)$  is the incoming radiance from a light source.

An image interpretation of the reflectance equation can be seen in Figure 1.10.

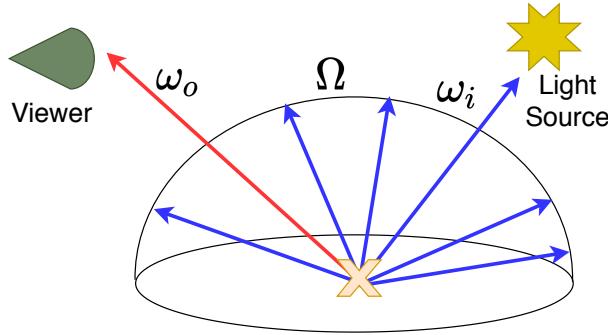


Figure 1.10: Reflectance Equation

As a matter of fact, each light transport algorithm tries to solve some of the formulations of the rendering equation.

Interestingly, the light transport is recursive in nature. As we can see from the rendering equation, to compute the outgoing radiance at a certain point  $x$ , we need to know all the contributed incoming radiances. These do not necessarily have to originate at a light source — the incoming radiance may come from another, non-emitting point  $y$  in the scene as a result of the rendering equation computed at the point  $y$ .

#### 1.2.4 Monte Carlo integration

Before we proceed to the actual algorithms that evaluate the rendering equation, we briefly introduce a method that is used to approximate the definite integral — *Monte Carlo integration* [5].

Formally, for a multidimensional definite integral

$$I = \int_{\Omega} g(x) dx \quad (1.4)$$

Monte Carlo (MC) estimates  $I$  as

$$\langle I \rangle = \frac{1}{N} \sum_{k=1}^N \frac{g(\xi_k)}{p(\xi_k)}; \xi_k \sim p(x) \quad (1.5)$$

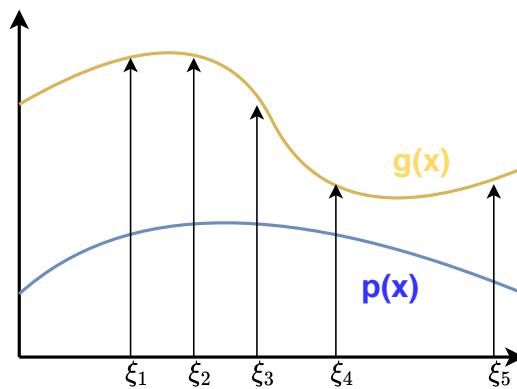


Figure 1.11: Monte Carlo method 2D visualization

In other words, Monte Carlo is a non-deterministic method that sums  $N$  randomly chosen samples  $\xi_k$ , computes their values  $g(\xi_k)$  and averages them. To reduce variance, an importance sampling is introduced by drawing samples from a distribution  $p(x)$  that is chosen for each specific problem to approximate the former  $g(x)$  function. In reality, the importance sampling ensures that if some samples are generated twice as much, their weight is decreased to half.

There exist other methods that are used to approximate integrals such as deterministic quadrature or Markov Chain Monte Carlo (MCMC).

### 1.2.5 Light transport algorithms

#### Path tracing

Over the years, a large number of various light transport algorithms and their variations have been developed, where each has its own benefits. The one that we mention the most in this thesis is called the *path tracing*. Its core idea is simple:

1. For each pixel in the image plane, shoot a primary ray  $r$  from camera into the scene.
2. If  $r$  hits a non-emitting object at point  $x$ :
  - 2.1. Compute BRDF at  $x$ .
  - 2.2. Generate a new random direction  $\omega$ . Ideally, the distribution of the generated direction should be proportional to the BRDF — e.g. diffuse BRDF would generate a direction uniformly over a hemisphere while glossy BRDF would prioritize samples from the reflectance lobe (look at Figure 1.9).
  - 2.3. Add the BRDF value to the final color of the pixel.
  - 2.4. Check for a terminating condition — there exist several options, usually a combination of them is applied:

**Maximum depth** User specified maximum number of recursions.

**Russian Roulette** Randomly choose if the ray survives, lowering the chance with each consecutive bounce.

**BRDF-proportional** Depending on the surface material, decide whether the ray survives or not. For example, reflective or refractive surfaces need a lot more recursions as they propagate the light further to the scene than diffuse surfaces.

- 2.5. In case the termination was not successful, bounce – shoot a secondary ray  $r$  from the point  $x$  in the direction  $\omega$  and continue from step 2.
3. If  $r$  hits an emitting object (light source), add its emission  $L_e$  to the final color of the pixel
4. If no scene geometry is hit, terminate the algorithm and add the color of the surrounding light (if there is any).

The bouncing of the light in the scene nicely correlates with the recursive nature of the rendering equation. Even though the path tracing is a slow algorithm (i.e. not suitable for real-time rendering in games), its variations can be extremely accurate. Providing equally accurate surface models used in the scene, the resulting images might even be indistinguishable from real photographs.

**MIS** In the algorithm described above, the direct illumination computation of each scene intersection is dependent only on the BRDF of the intersected surface and consequent walk to the light source. However, such scenario that the light source is hit at the end of every walk is greatly dependent on the number of samples and the maximum allowed depth of the recursion. Consequently, this creates variance which can be easily improved the integration of the *multiple importance sampling* (MIS). Generally, it involves a weighted combination of multiple sampling techniques. Typically, it combines the BRDF proportional sampling and the light source sampling — in each step of the path tracing, every light source that is visible from the intersected point contributes to its value. Both sampling methods are, of course, weighted to avoid an over-illumination.

**Volumes** Another aspect that needs to be accounted for in the rendering process are volumetric objects such as fogs or smokes. Normally, there are two ways that a volumetric object may effect the light passing through it. The volume is either attenuating the light by absorbing it or scattering to different directions. Or the volume can also strengthen it by emitting light (e.g. flame) or scattering light from different directions to the sampled one.

A single walk of a path tracer capable of volume tracing and MIS sampling is visualized in Figure 1.12.

## Other methods

As the path tracing is of our main concern in this thesis due to its physically based and unbiased properties, some of the other global illumination techniques are described only briefly here:

**Ray tracing** [10] Similar to the path tracing but there are no bounces from the surfaces, simulates only reflections, refractions, scattering etc. These days, real-time rendering is capable of ray tracing.

**Photon mapping** [14] Two rays are traced independently — from the camera and from the light source until termination occurs, then the radiance is computed based on their final positions. Faster at some scenarios but biased (does not have to converge to a correct solution).

**Radiosity** [29] Uses the finite element method instead of Monte Carlo. View independent, the light is traced from the source and bounced (possibly) to the viewer. Good for precomputations.

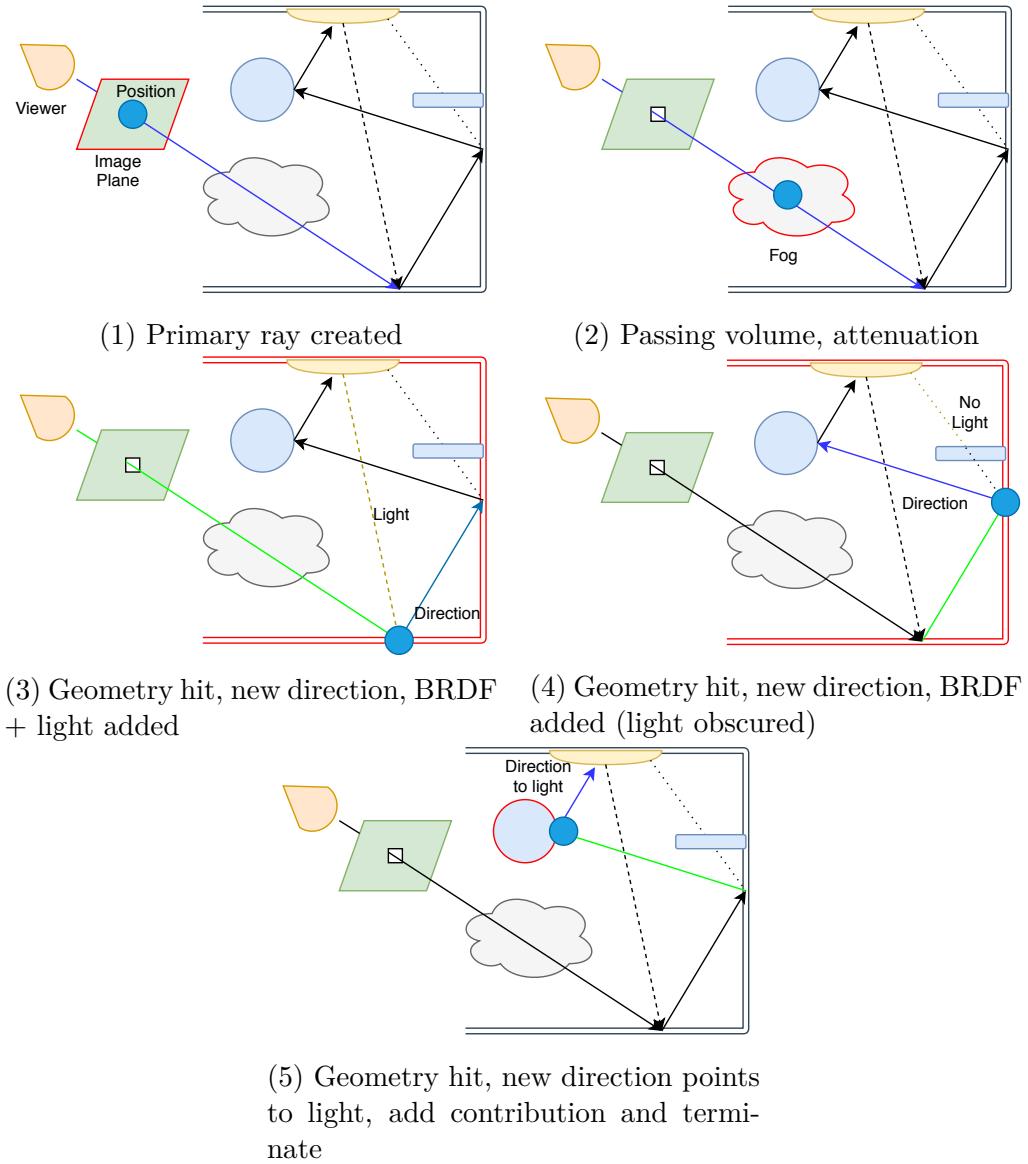


Figure 1.12: A visualization of a single walk in path tracer

## 1.3 Spectral Rendering

So far, we've considered the colors to be internally represented by a tristimulus color space during the rendering process. For the explanation purposes, let's assume RGB color space — colors of all objects in the scene are defined in RGB, the path tracing step colors are RGB and the output color is RGB. In a large number of scenarios, this workflow is sufficient as we are capable of simulating a majority of the common aspects (e.g. optics) while keeping the rendering simple and robust. Unfortunately, the RGB color space is only a fraction of the visible gamut and has no notion of the light as an electromagnetic radiation. Consequently, we are loosing a significant amount of information, causing the colors to be at times inaccurate and some phenomena completely impossible to render.

Therefore, a new approach to the rendering has been introduced that internally represents the colors as a spectrum distribution function instead of a tristimulus color space — the *spectral rendering*. The core idea is to track and sample several wavelengths at once for each step of the path tracing and to perform the integration over all of them. We also generalize the BSDF to account for the wavelengths:  $f_r(\lambda, \omega_i, \omega_o)$ .

Most of the phenomena evaluated in this thesis are a direct consequence of the light's nature as an electromagnetic radiation, hence the primary focus is placed on the spectral rendering. The following sections are largely based on a publication by Devlin et al. [7].

### 1.3.1 Color representation

If the spectral rendering is desired, some representation of the spectral distribution functions needs to be implemented. While several techniques are feasible, it is often a matter of a simple trade-off between the precision and the performance. For example, sampling wavelengths uniformly each 5nm would yield significantly more accurate results than sampling only four wavelengths in total. However, such approach might become unbearable in terms of speed and memory. Moreover, the spectral functions are usually quite smooth, therefore such dense sampling is mostly completely unnecessary. Some examples of these functions can be seen in Figure 1.13.

A typical approach is to sample at larger ranges (more than 10 nanometers) and use basis functions for the representation [26]. More approaches and their details are briefly explained by Devlin et al. [7].

### 1.3.2 Advantages

The spectral rendering presents the ability to reproduce the colors in a more photorealistic way. We might not see it at first, but the colors produced by a conventional RGB renderer tend to be slightly over-saturated as they do not account for the spectral characteristics of the light which might attenuate the final color. A comparison between the RGB and the spectral render of the same scene by Mitsuba2 is shown in Figure 1.14.

Still, the biggest advantage is the possibility to reproduce some of the natural phenomena for which the tracing of multiple wavelengths is an absolute necessity. Namely, those are:



Figure 1.13: Spectral curves measured for different colors[13].

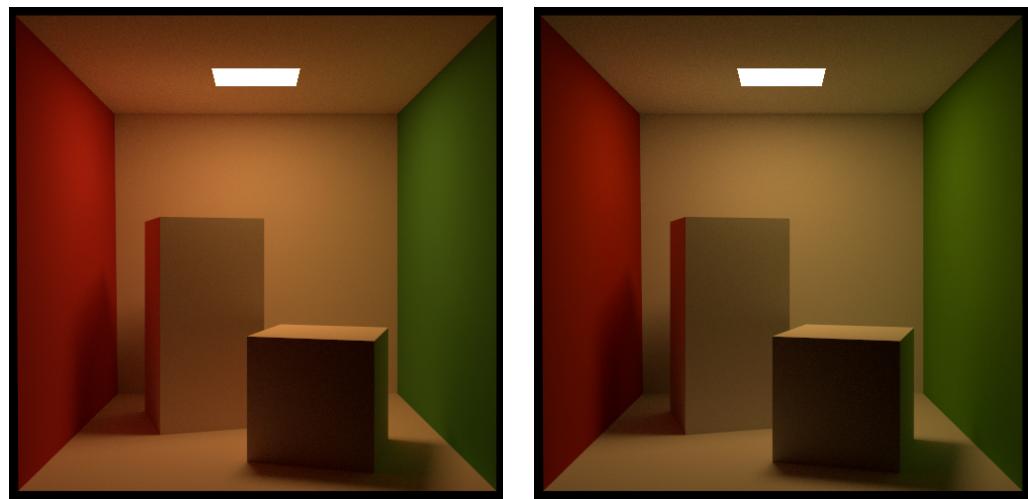


Figure 1.14: A comparison between the RGB render (left) and the Spectral render (right) of the same scene by Mitsuba2 [18]

**Fluorescence** Absorption and re-emission of a different color

**Dispersion** Splitting of the white light into its wavelength components via refraction

**Polarisation** Change of the oscillation direction of a light wave

**Iridescence** Thin layer constructive/destructive light interference

They are explained in detail in chapter 2.

### 1.3.3 Disadvantages

On the other side, the need to numerically integrate over multiple wavelengths introduces a problem of the chromatic noise. Fortunately, this has been effectively eliminated by the introduction of *Hero Wavelength Spectral sampling* [36]. Also, even though the performance is not a key factor, it obviously worsens as well.

Moreover, it is quite possible that the spectral images won't ever be properly displayable on the screens. Even the most modern monitors use a color space that is still smaller than the gamut of human-perceived colors. The only viable way is to store the distinct spectral bands as separate images. Clearly, it is quite inconvenient to have multiple results instead of one as it does not correlate with the images that we capture in reality. Therefore, the rendering needs to have a well-done spectrum to final RGB conversion as the final image is still being displayed on an RGB monitor. Fortunately, the conversion is well-defined and fairly simple to implement.

Due to the fact that the RGB gamut is only a subset of the visible spectrum, the situation gets significantly more complicated for the reversed conversion. As there exist infinitely many spectra for one RGB value, several techniques were proposed to convert the tristimulus to the spectral domain — commonly called the *spectral upsampling*. For those who may be interested in the details of the current development to the spectral upsampling, refer to the article by Jakob and Hanika [13] which proposes a solution that is capable of converting full sRGB gamut with zero error.

Obviously, it is also possible to simply map RGB values to their measured data. However, this requires a lot of manual work as the same "color" (reflective spectrum) might have to be measured for various lighting conditions and mapped accordingly.

There exist several reasons to integrate the spectral upsampling, mainly because the spectral values are a lot harder to obtain and to use. You need a specific device (spectrometer) that would measure the color values under a specific light and then use regularly distributed samples from it as an input. Because of the reproducibility of the RGB color space and its legacy usage (lots of existing textures are already defined in RGB), it is a lot more convenient to input the values of textures as RGB values, convert them internally to the spectral domain and convert them back to the desired color space for the output image.

## 2. Appearance Computations

So far, we've covered the fundamental basics of the light and the rendering process to be able to comprehend the more advanced techniques practiced in the computer graphics. As we've mentioned before, our primary goal is to evaluate the computational accuracy of several specific appearance sensations. Even though they are quite common in every day life, their integration to the modern renderers is, to this date, rare. In this chapter, we discuss these phenomena individually — their manifestations in the nature, the physics behind them and finally, their computations in the rendering process.

### 2.1 Reflectance

The reflective surfaces are a surprisingly common sighting. As the perfectly diffuse materials basically do not exist in the nature, a large set of the materials that surround us are considered glossy. In subsection 1.2.2, we explained the bidirectional reflectance distribution function that defines the reflective properties of a material.

#### 2.1.1 Fresnel equations

It is necessary to know the basics of the geometry optics to be able to properly define a reflectance model. First of all, the *Snell's law* [27]

$$\eta_i \sin \theta_i = \eta_t \sin \theta_t \quad (2.1)$$

states that the incoming angle  $\theta_i$  (angle between the surface normal and the incoming direction) times the *index of refraction* of the entering medium  $\eta_i$  must be equal to their transmitted counterparts. In other words, knowing the indices of refraction of the entering and the leaving media and the incoming direction, we can compute the transmitted direction.

The index of refraction (IOR) varies from material to material (e.g. IOR of glass is  $\sim 1.5$ ) and it essentially describes the ratio between the speed of light in the vacuum and speed of light in the current medium:

$$n = \frac{c}{v} \quad (2.2)$$

, where  $n$  is the IOR,  $c$  is the speed of light in the vacuum and  $v$  is the phase velocity of light in the current medium

However, this gives us only the direction of the refracted light. In most cases, it is also necessary to know the ratio between the amount of reflected and refracted light. Depending on the polarization of the light (further explained in section 2.2), the *Fresnel equations* [27] take the two following forms:

$$r_s = \frac{\eta_t \cos \theta_i - \eta_i \cos \theta_t}{\eta_t \cos \theta_i + \eta_i \cos \theta_t}$$
$$r_p = \frac{\eta_i \cos \theta_i - \eta_t \cos \theta_t}{\eta_i \cos \theta_i + \eta_t \cos \theta_t}$$

From these, we can compute the *Fresnel reflectance* for an unpolarized light:

$$F_r = \frac{1}{2}(r_s^2 + r_p^2) \quad (2.3)$$

The transmitted energy is equal to  $1 - F_r$  accordingly to the energy conservation law.

Note that the previous computations describe only *dielectrics* — materials that do not conduct electricity and are capable of transmitting light, such as glass, water, diamond, etc. The second large group, *conductors*, consists of all materials with opaque surfaces such as metals. Actually, conductors also transmit light, however, due to their physical properties, it is quickly absorbed. There exists a third group called *semiconductors* which are very rarely considered in the physically based rendering and therefore we skip them. A comparison between a dielectric and a conductor is shown in Figure 2.1.



Figure 2.1: A preview of a dielectric (diamond, left) a conductor (aluminium, right) rendered in Mitsuba2 [18]

While this is a simple matter of computing the Fresnel equations, the practice is usually more complicated. Most of the commonly seen materials are not perfectly smooth but at least slightly rough, either on purpose or due to the manufacturing errors.

### 2.1.2 Microfacet theory

With that in mind, the *microfacet theory* was introduced by Cook and Torrance [6] to address this aspect and provide a theoretical representation of the rough surfaces.

The main idea is that a rough surface consists of *microfacets* — a collection of very small surfaces distributed statistically throughout the whole underlying *macrosurface*. The aggregate behavior of the computed values for each of these microfacets determines the final scattering. An example of such distribution is shown in Figure 2.2.

As the microfacet computations are local, we need to consider the possibility that they might obscure each other. Three main aspects are accounted for:

**Masking** Microfacet is not visible from the viewer

**Shadowing** Microfacet is not reachable from the light source

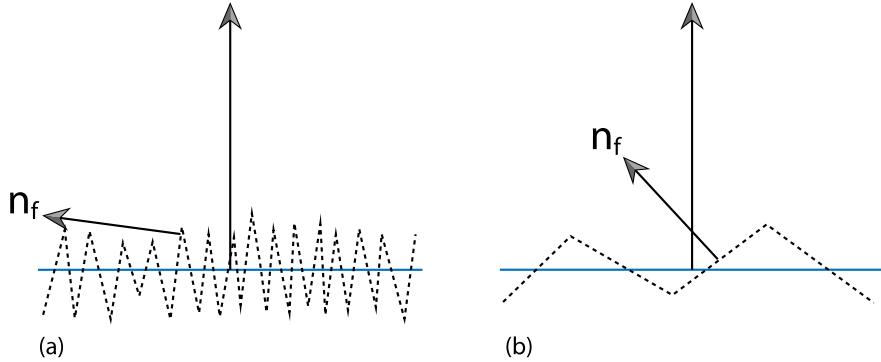


Figure 2.2: A demonstration of a very rough (left) and a relatively smooth (right) microfacet distribution [27]

### Interrecflection Bounces between the microfacets

Many variations to the Cook-Torrance model have been developed, such as its predecessor Torrance-Sparrow [31] or Oren-Nayar [25] for diffuse reflectance.

In this thesis, we focus on the microfacet distribution functions as they are ultimately the deciding factor of the rough surface look. A nice comparison of the three commonly used microfacet distributions — *Phong*, *Beckmann* and *GGX* — along with their distribution functions, masking functions and sampling equations can be found in the article by Walter et al. [33]. As the exact formulations of those three methods are not a necessity for this thesis, we provide only a brief overview for each of them and a comparison between the GGX and the Beckmann of the same roughness in Figure 2.3.

**Phong** Even though the Phong distribution is purely empirical (not physically based), it is still quite popular choice for the microfacet distribution as it is simple to implement and provides sufficient results.

**Beckmann** The Beckmann distribution [2] is already physically based and for a long time has been considered the best solution to the rough surfaces as it is based on the Gaussian roughness. However, with the parameters set appropriately, it still provides the results very similar to the Phong distribution.

**GGX** The GGX distribution [33] was introduced as an improvement over the Beckmann’s solution for some cases. It maintains stronger tails, thus better shadowing and is based on the measured data of the real rough materials.

## 2.2 Polarization

Similarly to all kinds of the electromagnetic radiation, the light also propagates through space as a wave. The oscillation direction of this wave neither defines nor modifies the color of the light but it makes the light behave differently upon an interaction with certain materials. Figure 2.4 explains the different directions of a wave.

In the light’s natural state (sun, common light bulb), the directions of its oscillation are arbitrary — such light is called *unpolarized*. The *polarized* light

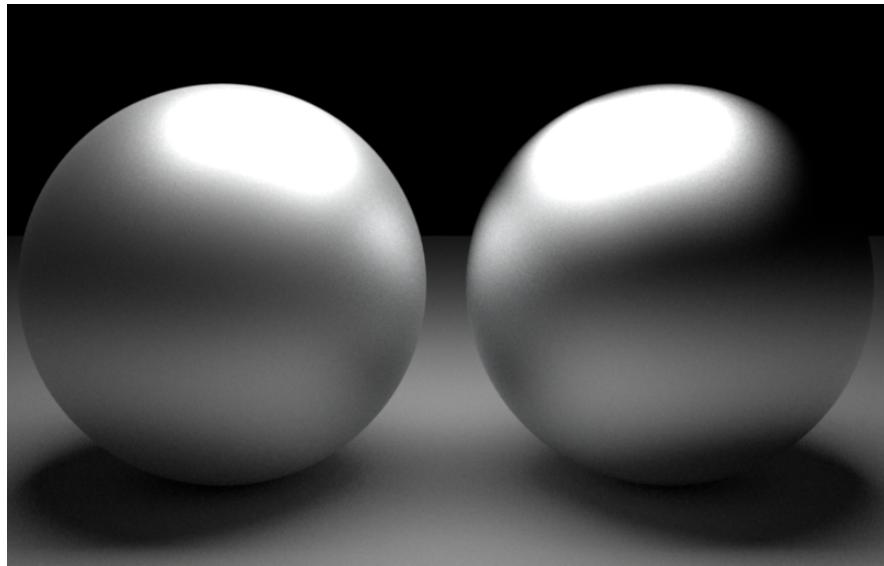


Figure 2.3: A rough aluminium sphere with the GGX distribution (left) compared to its Beckmann equivalent (right) rendered in Mitsuba2

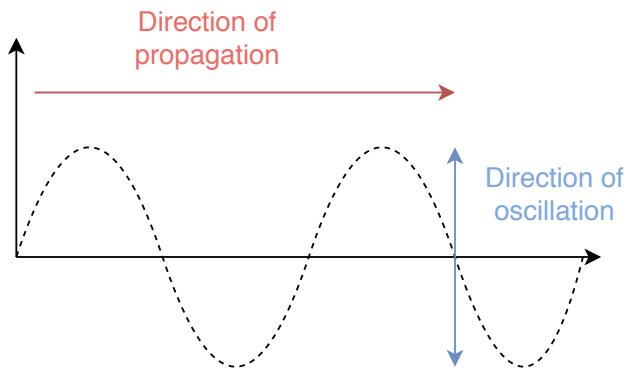


Figure 2.4: Demonstration of the direction of oscillation and the direction of propagation

maintains a restricted direction of oscillation and it is a result of a *polarization* process. Note that the light is often only partially polarized as the restriction of the direction does not have to be perfect and allows some variations.

In reality, each individual photon is in fact polarized — by default, it keeps the same restricted direction of oscillation until surface interaction. The difference is that the photons of a polarized light are all polarized in the same manner while the photons of an unpolarized light are polarized randomly.

Depending on the shape of their electric fields, we distinguish three types of polarization which are demonstrated in Figure 2.5.

To create a polarized light, a dielectric object may be placed in the direction of propagation of an unpolarized light. Due to the optical properties of the dielectrics, the reflected and the transmitted light will be polarized proportionally to the angle of the incidence. The angle at which the reflected light is perfectly polarized is called the *Brewster's angle* [4]. It is computed by the following

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<sup>1</sup><http://hyperphysics.phy-astr.gsu.edu/hbase/phyopt/polclas.html>

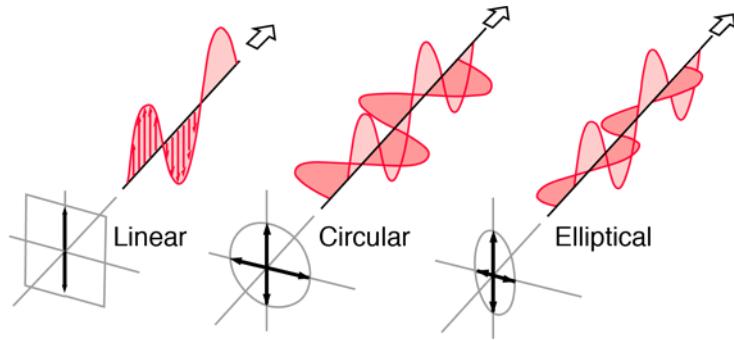


Figure 2.5: An illustrative demonstration of the different types of polarization <sup>1</sup>

formula:

$$\theta = \arctan\left(\frac{n_2}{n_1}\right) \quad (2.4)$$

, where  $n_1$  is the IOR of the exterior and  $n_2$  of the transmitted medium.

In the simplest case of a dielectric plane, we distinguish two types of linearly polarized light depending on the relative orientation of their polarization to the incident plane. The reflected light is called *p-polarized* as its oscillation is parallel to the plane of incidence. The transmitted light is called *s-polarized* as its oscillation is perpendicular (from the German word) to the plane of incidence. Both are shown in Figure 2.6.

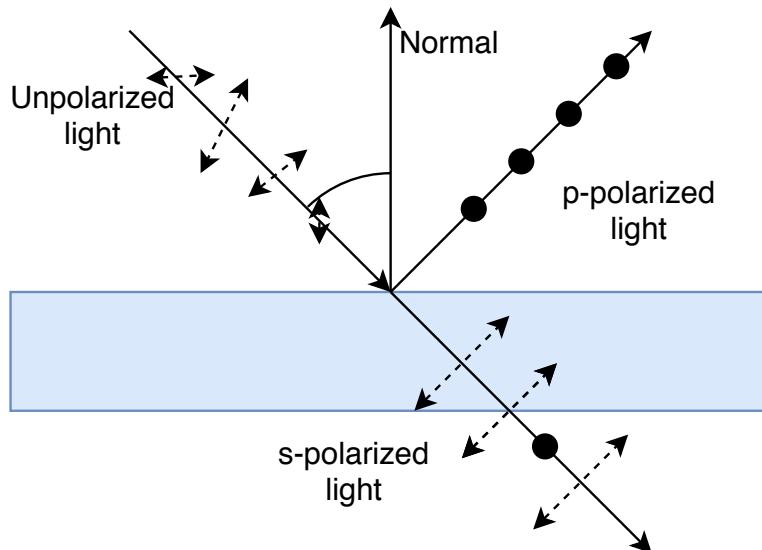


Figure 2.6: Perfectly p-polarized reflected light and partially s-polarized refracted light from a dielectric interface at the Brewster's angle

The principle of Brewster's angle is used in a material called the *linear polarizer*. As the name suggests, it polarizes the light, restricting its direction of oscillation accordingly to the specifics of the polarizer. If the light that passes through the polarizer is of the opposite (perpendicular) direction to the polarizer's transmission orientation, it won't let it through and no light is visible. Figure 2.7 illustrates the effects of a polarizer.

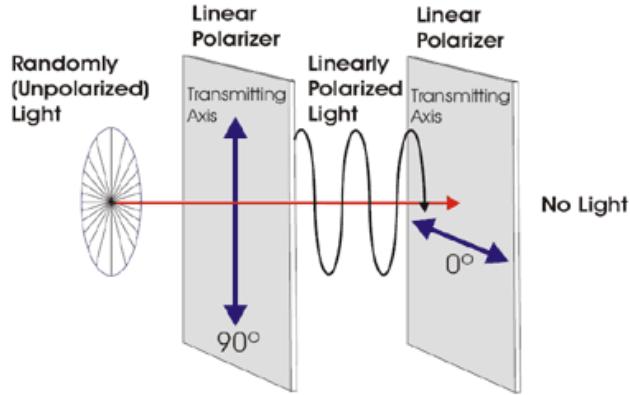


Figure 2.7: Unpolarized light passes through a vertical polarizer → linearly vertically polarized light passes through a horizontal polarizer → no light<sup>2</sup>

This property is frequently incorporated in the sunglasses or camera filters to reduce the glare of the sun reflected from a horizontal surface. The reflected p-polarized light goes through a polarizer with a perpendicularly oriented transmission axis which consequently eliminates the incoming light. The effect of a polarizing filter is shown in Figure 2.8.



Figure 2.8: Polarizing filter by Nikon<sup>3</sup>

The information about polarization we cover in this section is sufficient for the purposes of this thesis so we do not need to go into further detail. If the reader wishes to learn more, please refer to a scientific literature, for example the *Polarized light in optics and spectroscopy* [16]

### 2.2.1 Polarization in rendering

The integration of the polarization in the rendering process is quite rare as only a few scenarios display the effects of the polarization and one must implement

<sup>2</sup><https://www.apioptics.com/about-api/resources/visible-light-linear-polarizer/>

<sup>3</sup><https://www.nikonusa.com/en/learn-and-explore/a/tips-and-techniques/polarizing-filters-add-power-to-pictures.html>

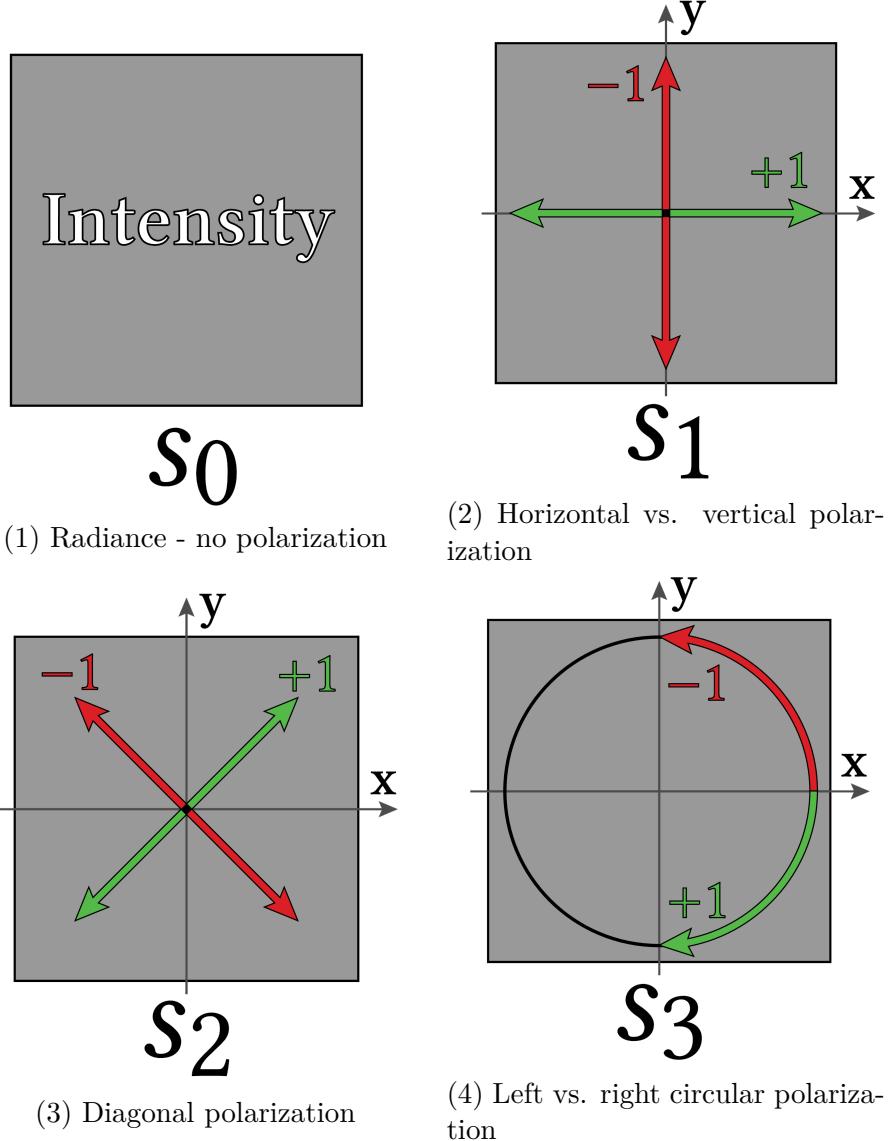


Figure 2.9: Different information carried by the Stokes vector

quite complex behavior of the radiation waves to the spectral rendering. However, renderers such as Mitsuba2 or ART fully track the polarization state of light when needed. The implementation covered by this section is already in Mitsuba2 [18].

The polarization state is represented by *Stokes vector* — a 4-dimensional quantity that fully parameterizes the elliptical shape of the light’s electric field for each wavelength separately. The information stored in the Stokes vectors is explained in Figure 2.9.

As we have the polarization states properly represented and we can track them throughout the rendering process, the next step is to determine the affects to these states upon a surface interaction. A transformation between the incoming state and the outgoing state is represented by the *Mueller matrix*  $M \in \mathbb{R}^{4 \times 4}$ . Due to the adjustments to all interactions in the light transport, we also generalize the BSDF  $f_r(\lambda, \omega_i, \omega_o)$  to the polarized pBSDF  $M(\lambda, \omega_i, \omega_o)$ .

If the reader is curious about the complications this implementation brings and their solutions, he may want to look into the documentation of Mitsuba2 by

Nimier-David et al. [21]. Nevertheless, they are not crucial for the purposes of this thesis and we purposely skip them.

## 2.3 Dispersion

Generally, the IOR of a dielectric at least slightly varies for different wavelengths (e.g. glass has IOR between 1.5 and 1.6). This causes that the polychromatic light is split into its spectral components upon an intersection with such materials. As each wavelength is slightly shifted, a rainbow effect can be perceived — this phenomenon is called *dispersion*. In nature, it can be frequently seen when light passes through liquids (e.g. sun through rain). To artificially reproduce the spectral dispersion, an object having a shape of a triangular prism, commonly called dispersive prism 2.10, can be used.

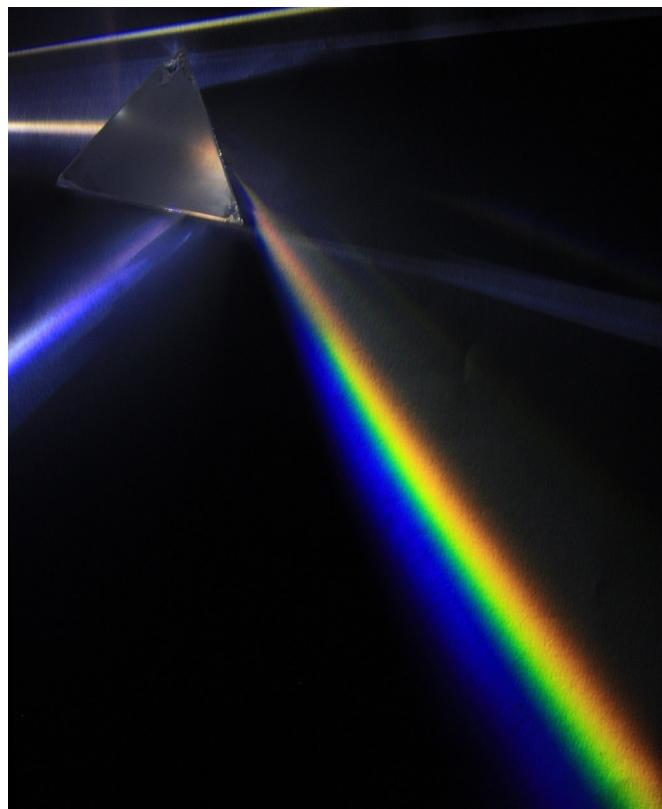


Figure 2.10: Photograph of a dispersive prism<sup>4</sup>

In the computer graphics, even though it is possible to simulate the dispersion in the tristimulus rendering, it is insufficient and the obvious choice would be to use the spectral rendering as it already contains most of the information about the tracking of the wavelengths.

Then, it is necessary to properly represent the varying and not always monotonic IOR — for that reasons, *Sellmeier approximation* [7] is widely used. Then, the renderer must be capable of tracking the possibly dispersed monochromatic rays upon a surface interaction of a single polychromatic ray, i.e. create extra samples that were unnecessary before.

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<sup>4</sup>[https://en.wikipedia.org/wiki/Dispersive\\_prism](https://en.wikipedia.org/wiki/Dispersive_prism)

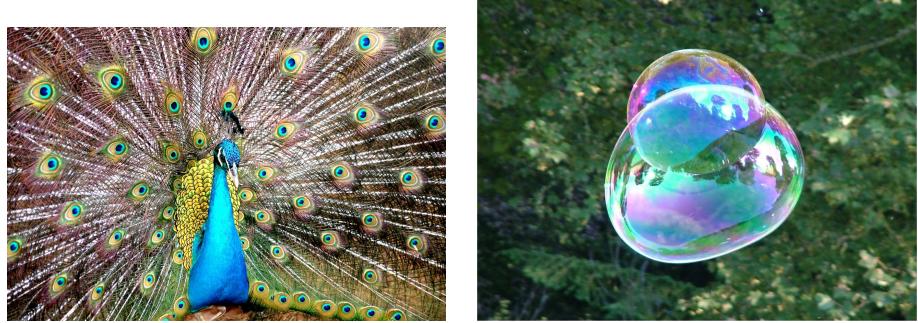


Figure 2.11: Structural iridescence of the peacock feathers (left) and the thin-film light interference in a soap bubble (right)<sup>5</sup>

## 2.4 Iridescence

It is quite common that some objects in the nature exhibit an interesting behavior where the hue of their surface gradually changes with the viewing angle and the illumination angle, such as butterfly wings, soap bubbles, oil etc. This phenomenon is called *iridescence* or *goniochromism* and is caused by a large amount of interferences between the light waves and their consequent scattering depending on their wavelength which produces a rapid change in colors [3].

We distinguish two main types of the iridescence:

**Microscopic structures** Reflections from structures of a size similar to a light wavelength (e.g. peacock feathers)

**Thin film** Light interaction with a thin film of a size similar to a light wavelength (e.g. soap bubble)

An example of both can be seen in Figure 2.11.

In this thesis, we focus on the thin-film interference as it is nicely described as a physical process and it is already incorporated in Mitsuba [3]. From now on, by iridescence we mean the thin-film interference until told otherwise.

First, look at the light interactions inside the membrane of a soap bubble in Figure 2.12. The light strikes at the surface of the film, based on the angle it can be either reflected or transmitted. The transmitted light very quickly strikes the bottom boundary of the soap bubble (as it is very thin) and again can be reflected and/or refracted. As the film is a few hundreds of nanometers thick, this repeats with a great frequency and, as you can see, the light transmitted from the upper boundary can easily interfere with the light reflected from the lower boundary.

An obvious observation is that the iridescence is also dependent on the thickness of the interacting layer - as the thickness increases, the transmission of the light takes longer time which consequently causes a lot less interferences. The difference between two variously thick films is displayed in Figure 2.13.

### 2.4.1 Iridescence in rendering

Based on the publication by Belcour and Barla [3], we overview the computational process of the iridescence caused by a thin film on top of a rough material.

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<sup>5</sup><https://en.wikipedia.org/wiki/Iridescence>

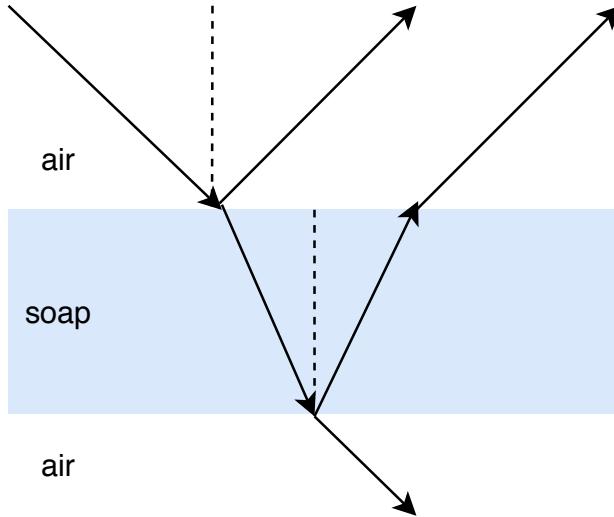


Figure 2.12: A cross-section of a light interaction with a soap bubble

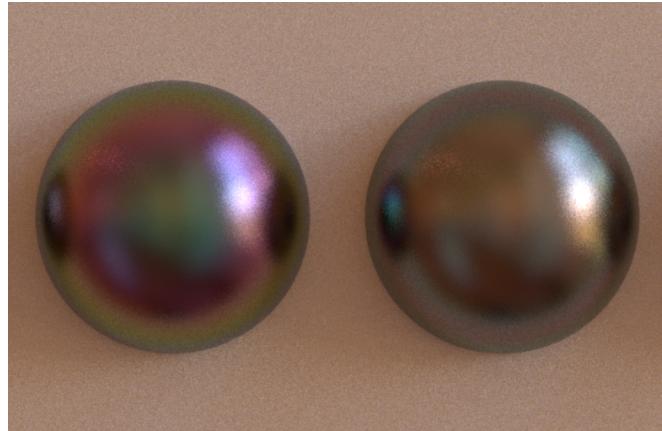


Figure 2.13: Two identical rough conductors with differently thick film layers on top of them: 550nm (left) vs. 1500nm (right) rendered in Mitsuba2

We purposely avoid the exact formulations of the equations as these would be unnecessarily complicated to explain and it is sufficient to comprehend the basics in order to evaluate the correctness of the computation. For more details, the interested reader is referred to the Belcour and Barla [3].

Essentially, this procedure computes an iridescence term of the thin film layer that is plugged into BSDF of a rough conducting base:

1. Compute the reflected and the transmitted values of the Fresnel equations for the IOR of the film and the IOR of the exterior
2. Compute the optical paths differences between the primary and the secondary light paths
3. Evaluate the Fresnel phase shift
4. Determine the term by using the Airy summation for the parallel and perpendicular polarization of all consecutive reflections and refractions

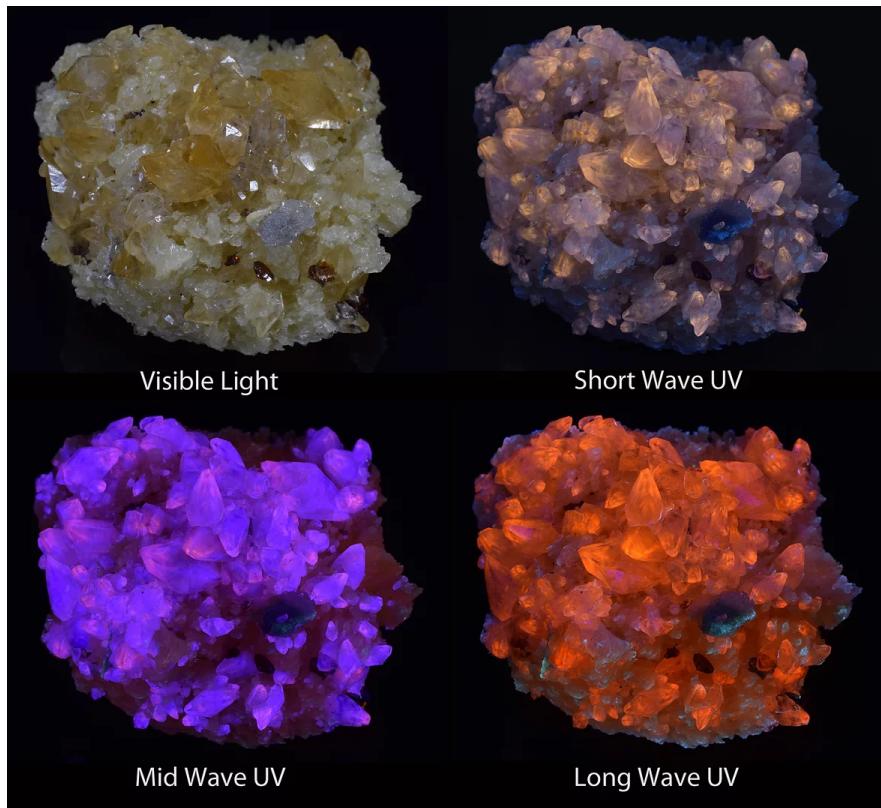


Figure 2.14: Calcite under different lights<sup>6</sup>

## 2.5 Fluorescence

Curiously, certain materials or substances change their colors with no apparent respect to the illumination color. This behavior is called *fluorescence* and it can be quite commonly observed in the nature in various minerals but also in the living organisms such as fish or arachnids.

The explanation behind this phenomenon is that the molecules of such substances absorb electromagnetic radiation of specific wavelengths and emit back different, usually larger wavelengths. The most eye-catching fluorescence is caused by the absorption of the ultraviolet light which is invisible to the human eye as the fluorescent material seems to change its color drastically for no apparent reason. An example of a fluorescent calcite is shown in Figure 2.14

Please note that there is a difference between fluorescence, luminescence and phosphorescence:

**Luminescence** Natural production of light caused by chemical reactions (no absorption)

**Phosphorescence** Absorbs light, emitting radiation has an exponential decay  
— emission is visible for some time after the light source is gone

**Fluorescence** Absorbs light, emission stops almost instantaneously after the light source is gone

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<sup>6</sup><https://www.naturesrainbows.com/single-post/2017/11/01/Fluorescent-Multi-Wave-Calcite-from-the-Elmwood-Mine>

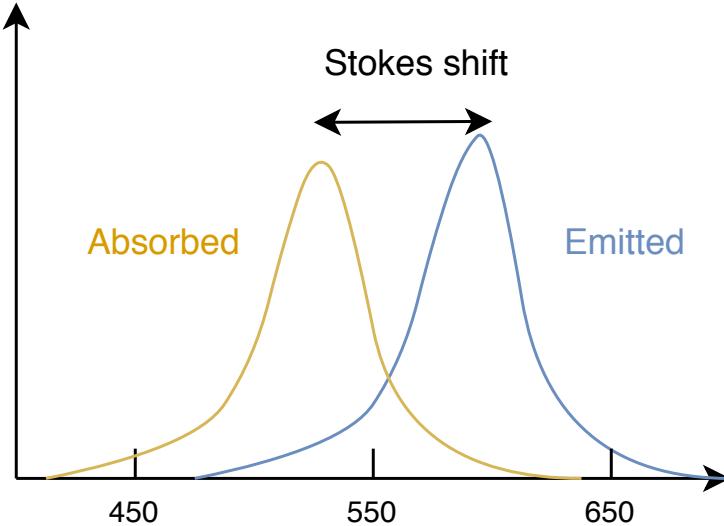


Figure 2.15: Illustration of the Stokes shift

### 2.5.1 Fluorescence in rendering

As we are dealing with the wavelengths of a light spectrum, the appropriate decision is to extend the spectral rendering to include fluorescence. Once again, we refer the interested reader to the article by Mojžík et al. [19] for the implementation details as, for the purposes of this thesis, we are only covering the fundamental ideas.

As we've mentioned before, we are in fact shifting the wavelengths of the absorbed spectrum to emit a new one — this is called *Stokes shift* (shown in Figure 2.15) and it can be described by *fluorescence response*  $\Phi(\lambda_i, \lambda_o)$ .

The discrete form of a fluorescence response can be represented by *re-radiation matrix* which contains incoming wavelengths on its vertical axis and their corresponding outgoing wavelengths on its horizontal axis. The probability of the shift follows Kasha's rule — the spectral distribution of the emitted light should not change, only the intensity of emission spectrum does.

A generalization of the BRDF that includes re-radiation was introduced by Hullin et al. [12] called *Bi-spectral Bidirectional Reflectance and Re-radiation distribution function (bi-spectral BRRDF)*:

$$f_r((\omega_i, \lambda_i), (\omega_o, \lambda_o)) = \frac{d^2 L(\omega_o, \lambda_o)}{L(\omega_i, \lambda_i) d\omega_i d\lambda_i} \quad (2.5)$$

and the corresponding *bi-spectral rendering equation*:

$$L(\omega_o, \lambda_o) = \int_{\Lambda} \int_{\Omega} L(\omega_i, \lambda_i) f_r((\omega_i, \lambda_i), (\omega_o, \lambda_o)) d\omega_i d\lambda_i \quad (2.6)$$

Along with polarization, dispersion, iridescence and reflectance, fluorescence is the last appearance phenomenon that we are investigating in this thesis. With all of them covered and properly explained, we may now look into the evaluation process that we propose to determine their accuracy.

### 3. Benchmark

The main aim of this thesis is to methodically examine the appearance phenomena that are frequently appearing in our day-to-day life but for some reason are still rarely implemented in the modern renderers. However, in the past decade, the interest in the physically realistic renders has grown significantly and the implementations for these phenomena have been introduced. As they are still being consistently improved and integrated into the conventional rendering systems, it is absolutely necessary to have a testing suite which would properly evaluate their accuracy.

We propose a testing suite that contains a minimum number of test scenes which maximally exercise these implementations and an equivalent number of the reference images that, to our best knowledge, we consider to be the ground truth. These are encapsulated in an automated workflow, which runs the tests with a single command and shows the results in form of a website. The suite also contains data such as code snippets that should simplify the replication process of these implementations so that they can be easily integrated into any standard renderer.

The benchmark follows a few basic principles:

**Easy to use** The benchmark should provide a user-friendly environment that is comprehensible for an average developer or tester of the rendering features. Therefore, the whole suite is written in Python3 as it is currently one of the most popular scripting languages, it does not need to be compiled and is cross-platform. It also provides CLI command options that are invoke-able via python command.

**Modularity** Each part of the benchmark should be adjustable without the need to heavily modify the other parts. For example, if a new CLI option is to be added, you only need to change the `/src/arg_parser.py` file.

**Extensibility** It should be simple enough to extend the capabilities of the benchmark, such as adding new scenes, test case scenarios or even renderers. For example, you don't have to modify any code if you want to add a new scene — there are structures prepared for this scenario which simply need to be filled.

**Simplicity** The scenes are straightforward, containing only basic and portable geometry, light sources and cameras. This brings two large advantages — it is fairly easy to replicate them for different renderers and they are simple enough to understand the purpose of each element they contain. Along with the thorough comments, anyone with the basic knowledge acquired in the previous chapters of this thesis should comprehend their meaning.

**Standalone** The benchmark should contain all the data that the potential user would need to properly run or generally use the testing suite. For example, the geometry that is included in the scenes can be found in the `/data/common/` folder.

## 3.1 Framework

First of all, we take a look into the framework of the benchmark suite and its structure. The file organization is demonstrated in Figure 3.1 and the following sections describe each major subsection of it.

### 3.1.1 Code

An automated workflow simplifies the evaluation process and ensures that the user is working with the benchmark correctly. The suite consists of several files to accommodate the principle of modularity:

**/data/configuration.json** Contains information about all scenes, test case scenarios and renderers in a single JSON structure. In case the user wants to add a new scene, a renderer or a test case scenario, he fills this structure instead of writing new code. A part of the file along with explanatory comments is shown in Figure 3.2.

**/src/arg\_parser.py** Parses the CLI arguments and the `settings.json` file and fills its variables accordingly.

**/src/configurator.py** Takes the `/data/configuration.json` file, parses it and prepares structures for both benchmark script and the results viewing website. In case the `configuration.json` file is incorrect, it stops the benchmark or simply warns the user to fix it.

**/src/normalizer.py** Normalizes the names of the resulting images after the benchmark ends as each renderer might have unique naming conventions. It is used only in corner cases.

**/src/visualizer.py** Runs an HTTP server which is required by JERI (shown in subsection 3.1.6) to upload EXR images and opens the website with the results.

**benchmark.py** A script that is intended to be directly invoked by the user. Runs other helper scripts mentioned above, his purpose is to actually call the rendering executable for each of the scenes found in `/data/cases/` accordingly to their configurations.

**visualize.py** A script that is intended to be directly invoked by the user. It serves as a wrapper around `/src/visualizer.py`.

The choice for Python3 is therefore obvious — it is a modern, fast, well-known scripting language that is perfectly suitable for our purposes as there is no need for high performance or structurally complicated solutions. Thanks to that, we don't have to force the user to compile the project and the benchmark is immediately ready to use.

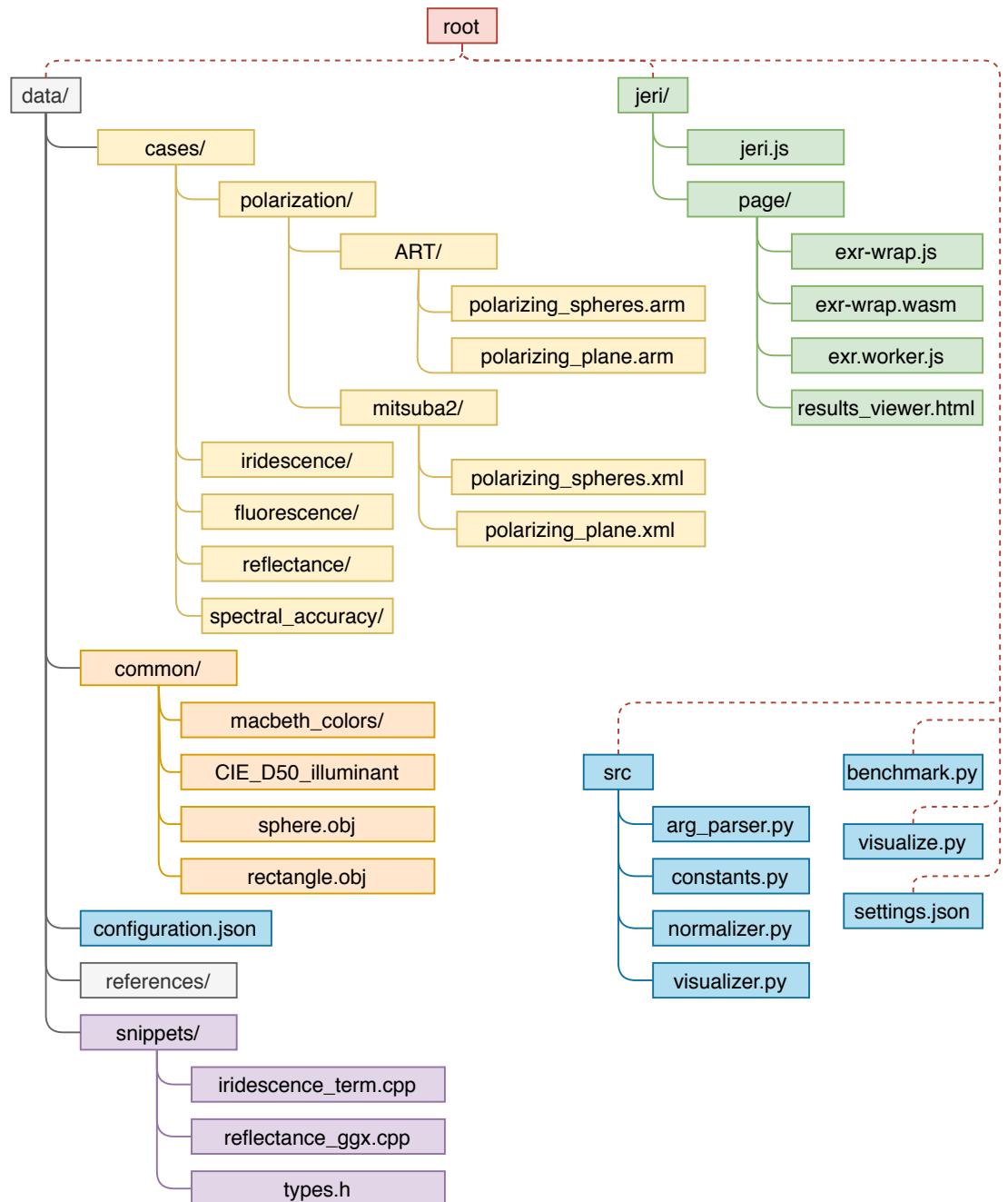


Figure 3.1: File organization of the benchmark

```
{
  "renderers" : [      // supported renderers
    {
      "name" : "mitsuba2",    // name, used in settings
      "format" : "xml",       // scene description format
      "global_params" : ["-t","8"] // parameters for all scenes
    },
    {
      "name" : "ART",
      "format" : "arm",
      "global_params" : ["-j","8"]
    }
  ],
  "root" : [ // root of all scenes
    {
      "case" : "reflectance", // name of test case scenario
      "scenes": [
        {
          "name" : "ggx_copper_50", // name of the scene and the output file
          "file" : "ggx_copper",    // file with scene description
          "renderers" : [           // renderers that support this scene
            {
              "name" : "mitsuba2", // name of the supported renderer
              "params" : ["-m", "scalar_spectral", "-Drotate=50"]
              | // specific parameters for this scene
            },
            {
              "name" : "ART",
              "params" : ["-DROTATE=-50","-wp","d65"]
            }
          ]
        }
      ]
    }
  ]
}
```

Figure 3.2: Part of the configuration file

### 3.1.2 Cases

The folder `/data/cases/` contains the actual scene descriptions along with their configurations. They follow the structure:

`/data/cases/<case name>/<renderer>/scene`

The benchmark script browses this structure to find each scene specified in `configuration.json`. The scenes is explained in great detail in section 3.4.

### 3.1.3 Common data

The folder `/data/common/` contains the information and values that are used during the rendering process. The built-in definitions of the geometry or the illumination might be unique for each renderer so it is convenient to have such information in a unified form. The folder contains:

**macbeth\_colors/** Spectral values for all Macbeth colors — 24 patch version<sup>1</sup> as defined in ART

**CIE\_D50\_illuminant** Spectral values for CIE D50 illuminant [23] rescaled for Mitsuba2

**rectangle.obj** Square mesh with the length of the side equal to 2

**sphere.obj** Unit sphere mesh

### 3.1.4 Reference images

The folder `/data/references/` provides the reference images for each tested scene. Most of these are rendered in Mitsuba2 except for the fluorescent ones which are rendered in ART as the fluorescence is not yet supported by Mitsuba2.

We decided to use the EXR format for the reference images, mostly because we wanted to grant the user as much information as possible for which the typical image formats such as PNG are insufficient. Also, EXR can be considered a standard for the HDR image viewing.

The file `polarizing_spheres.exr` is somewhat unique as it contains four different images in four channels. Our visualizer is adjusted to support multi-channel EXRs but in case the user wants to check it manually, he needs a viewer that supports this format.

### 3.1.5 Code snippets

Some of the evaluated computations at least partially contribute to the material BSDF, hence it is possible to express them in a generalized form that is easily integrable into any conventional renderer. We decided to provide the code snippets written in C++ (stored in the folder `/data/snippets/`) so that any future user might implement them into his own renderer. The folder contains:

**iridescence\_term.cpp** Computation of the iridescence term along with the helper functions, inspired by the code created by Belcour and Barla [3]

---

<sup>1</sup>[https://xritephoto.com/ph\\_product\\_overview.aspx?id=1192&catid=28](https://xritephoto.com/ph_product_overview.aspx?id=1192&catid=28)

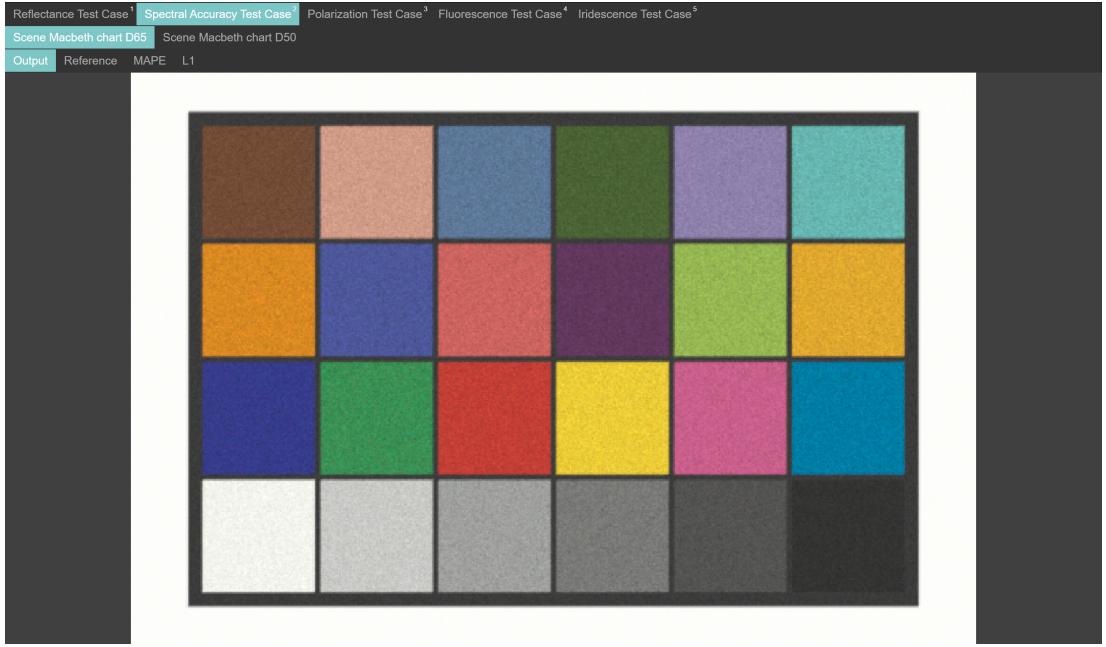


Figure 3.3: Results website

**reflectance\_ggx.cpp** Contains the methods for sampling, evaluating and masking according to the GGX reflectance definition [33]

**types.h** Structures used in the snippets mentioned above

### 3.1.6 JERI

For the user’s convenience, we decided to integrate an EXR visualizer. As it is an addition, we use an existing one instead of creating our own.

*JERI* (Javascript Extended-Range Image) is an EXR viewer written in JavaScript and developed by Disney Research [8]. It is simple to use and to integrate and provides many features over the images such as zoom, change of exposure and automatic error maps.

We use JERI to display the results of the whole benchmark on a single website. The user may look at the results, the reference images and even at their differences (compared by L1 and SSIM error maps). A screenshot of the results website is shown in Figure 3.3.

Please note that the difference images are supposed to be a helper tool for the user rather than an absolute metric of the correctness. The user is encouraged to use his own difference images or to asses their inconsistencies in a different way.

## 3.2 Supported Spectral Renderers

In the current state, the benchmark supports two renderers — *Mitsuba2* and *ART*. Both are physically-based, research-oriented rendering systems, capable of representing the light in the spectral domain and tracking the polarization states. These features make them suitable candidates for our purposes as we are evaluating the visual correctness of the physically-described appearance phenomena,

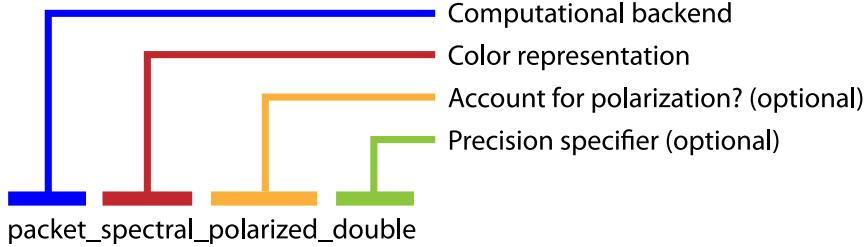


Figure 3.4: Different variants of Mitsuba2

including spectral accuracy and polarization. The two following sections contain overviews of these renderers.

### 3.2.1 Mitsuba2

Mitsuba2 has been released only recently (paper was published in November 2019 [21]) as a successor to a well-known, research oriented renderer Mitsuba 0.6. Rather than an upgrade, Mitsuba2 is a complete overhaul of its predecessor, incorporating the latest trends in programming. It is very well documented by Mitsuba [18] and Nimier-David et al. [21] and can be downloaded/cloned from <https://github.com/mitsuba-renderer/mitsuba2>.

It is written in C++17 and designed to be modular — it contains a large number of various plugins where each adds a new functionality to the Mitsuba2 rendering process, such as:

**Materials** BSDFs for rough/smooth dielectrics, conductors, plastic, etc.

**Light sources** Uniform, spot, point, area, environment

**Shapes** Imported obj, ply but also built-in geometry such as spheres

**Integrators** Direct illumination, path tracer, stokes integrator, etc.

and many more.

Mitsuba2 is capable of running in several modes — from RGB CPU rendering to differentiable GPU spectral rendering that tracks polarization. Multiple options can be arbitrarily combined which is illustratively shown in Figure 3.4. The important part is that the renderer is retargatable which means that the user may specify the rendering mode without recompiling the project. Thanks to the template metaprogramming that C++ offers, Mitsuba2 uses the appropriate internal representation of its data. For example, in RGB rendering, the color is described by an array of 3 floats, each representing red, green and blue color respectively. In spectral rendering, the array contains 4 floats where each represents the spectral power of a specific wavelength and a stochastic approach is used to sample these wavelengths.

Mitsuba2 also provides an extensive API for Python so that almost all functions may be used from within a code.

Anyone can contribute to the project via a pull request as it is open source.

### 3.2.2 ART

Advanced Rendering Toolking, or shortly ART, is physically-based, research oriented rendering framework that has been developed by the Computer Graphics Group on Charles University in Prague, Faculty of Mathematics and Physics. In the past, there have been important contributions by people at the Institute of Computer Graphics in Vienna. However, as ART is currently at version 2.0.3, most of their work has not been ported from versions 0.x/1.x. ART can be download/cloned from `git://cgg.mff.cuni.cz/ART.git` and this section is largely based on its documentation [22].

ART is written in Objective-C and therefore compilable on the majority of the modern operating systems. The scenes are written in a custom language that supports procedural modeling of the scene objects, such as loops and conditions. Also, ART generates custom spectral images as the immediate results of the rendering process which contain a lot more information about the wavelengths and the polarization states than standard EXRs. These, however, are not displayable and need to be tonemapped (included in the project) afterwards.

On top of the standard features that most conventional renderers offer, such as BSDFs, light sources, camera, path tracing, etc., ART implements several rare, or even unique ones:

**Spectral rendering** Uses Hero wavelengths spectral sampling

**Fluorescence** Supports fluorescent materials and volumes

**Polarization** Capable of tracking full polarization state of the light

The whole project includes multiple tools such as polarization visualizer or tonemapper which offers several options for adjusting and converting the spectral images.

As ART is an open source project under GNU v3.0 license, anyone can download and use it.

## 3.3 Methodology

Before we proceed to the test case scenarios, we should describe the general procedure of adding a new scene to the benchmark. Several steps were followed to design a scene so that it properly examines all essential aspects of a phenomenon while keeping the complexity of the scenes and the amount of them as low as possible:

**Natural phenomenon** We've studied the behavior of the phenomenon in the nature, i.e. under what circumstances it is perceivable, how does it look like, how to reproduce it, etc. We've also learned the physical models that are behind each of the tested phenomena as their physical properties are properly described.

**Computer graphics research** To test a specific appearance computation, it already has to be developed and embedded inside a rendering system. Therefore, we've looked for the publications and research articles that would show

the algorithms used for these computations, the structures and the internal representations of the physical quantities. Furthermore, as we test two open source renderers, we could also study exact implementations that are actually evaluated in the rendering process as these give us all the in-depth information we need.

**Choice of aspects** Based on the research, we've decided what aspects of the phenomena are we going to test. This heavily depends on the specific computation but generally, it is fairly simple to deduce the potential troubles with an algorithm from the scientific publications. They often include measurements and shortcomings of their implementations which greatly simplifies the deductions of the to-be-tested parts. Overall, we take into consideration such computational aspects that are reproducible, easily comprehensible and most importantly, clearly visible in the final image as the actual assessment is done solely from the reference images.

**Visualization of aspects** As soon as we've decided on the aspects that are to be exercised, we've designed the scenes that should visualize them. However, we can never fully test all possible combinations as there are, in fact, infinitely many. Therefore, our aim has been to form a minimum number of scenes while maximizing the coverage. This has been actually quite simple — many of the phenomena exhibit similar behavior under various circumstances and so they can often be categorized. For example, thicker dielectric layers always exhibit lesser iridescent effects so it does not make sense to try it on different base materials. These categories are then pretty straightforward to describe.

**Manual evaluation** After the scenes are done and they are rendered in the reference renderer, we have manually evaluated each of them based on their physical models and the research papers. This process is supposed to be easy as the scenes were created in a manner that they very clearly display what they were designed for.

## 3.4 Scenes

This section documents all the test case scenarios and the test scenes that we use in our evaluation process. We look into the important objects in the scenes, their meaning and we provide justifications for our decisions.

Each scene follows the same basic principle — the geometry is supposed to be as simple as possible. The reference images might not be conventionally eye-pleasing because we are aiming for individual aspects of the specific features that should confirm the correctness of their computations. Also, the number of samples for each scene is generally low, as more samples simply make the picture prettier but do not change (after certain threshold) the final color.

### 3.4.1 GGX Reflectance

While we mostly focus on the appearance phenomena caused by the spectral rendering, we include the reflectance of rough surfaces to our benchmark, purely

because it is still a largely discussed topic. Specifically, we look into the implementations of the GGX microfacet distribution which, in most cases, is considered to be the state of the art for rough surfaces. The whole evaluation is based on the publication by Walter et al. [33].

This test case scenario consists of five scenes:

The first four scenes are done accordingly to the data measured by Walter et al. [33]. They are variations of the same scene — a single rough copper plane under an area light illuminant. Depending on its rotation, various reflected light density is visible throughout the plane, from the strongly illuminated tails at the bottom to the more sparsely distributed direct illumination at the top.

We provide four different rotations — by 50, 60, 70 and 80 degrees rotated around the x-axis. We believe that such granularity is necessary to be completely sure that none of the viewing/illumination angles breaks the implementation. The copper material provides a visible contrast to the dark background as it is more colorful than other metals such as aluminum or silver. This makes the differences clearly visible even to the naked eye. The roughness is set to 0.2 which can be expressed as considerably rough — less roughness is meaningless as the differences may not be that visible and more roughness could create unrealistically rough surface that could be hard to differentiate from a diffuse one. While we could simply put all four planes in one image, we decided to separate them as we wanted to make sure that only one light illuminates one plane at a time from the very spot for each plane. All four scenes are shown in Figure 3.5

While the previous four scenes tested rough conducting surfaces, the fifth scene demonstrates a rough dielectric — it consists of a single equally rough dielectric sphere under an area light illuminant. We decided to go for a volumetric object instead of the plane for the dielectrics because a dielectric is at least partially transparent and the colors of a plane would predominantly merge with the background. A sphere, however, has its own volume, forming a thick layer in front of the background. Furthermore, testing the very same cases for the dielectrics does not make much sense as we are not really testing the material but the microfacet distribution. A sphere also provides various illumination angles where we can potentially spot the inconsistencies between them. This scene converges pretty slowly for such simple geometry because the original GGX implementation needed a quite large number of samples (256 in our case) to render a plausible result. An improvement has been introduced [11] that does not change the distribution but greatly decreases variance. As the article [33] mostly compares their GGX approach to the Beckmann distribution, we demonstrate our scene rendered for both distributions in Figure 3.6

### 3.4.2 Spectral accuracy

As the direct representation of the spectral colors is not possible on our screens, we need to make sure that the final image contains colors that actually correspond to the measured data. Distinct renderers may internally represent their color values in a very different manner which might effect the ultimate color that we see.

For these purposes, we use a well-defined set of colors introduced by McCamy et al. [17] called *Macbeth chart* or simply *Macbeth colors*. Its basic variant consists of 24 color patches divided in a 4x6 grid, each representing a color that can

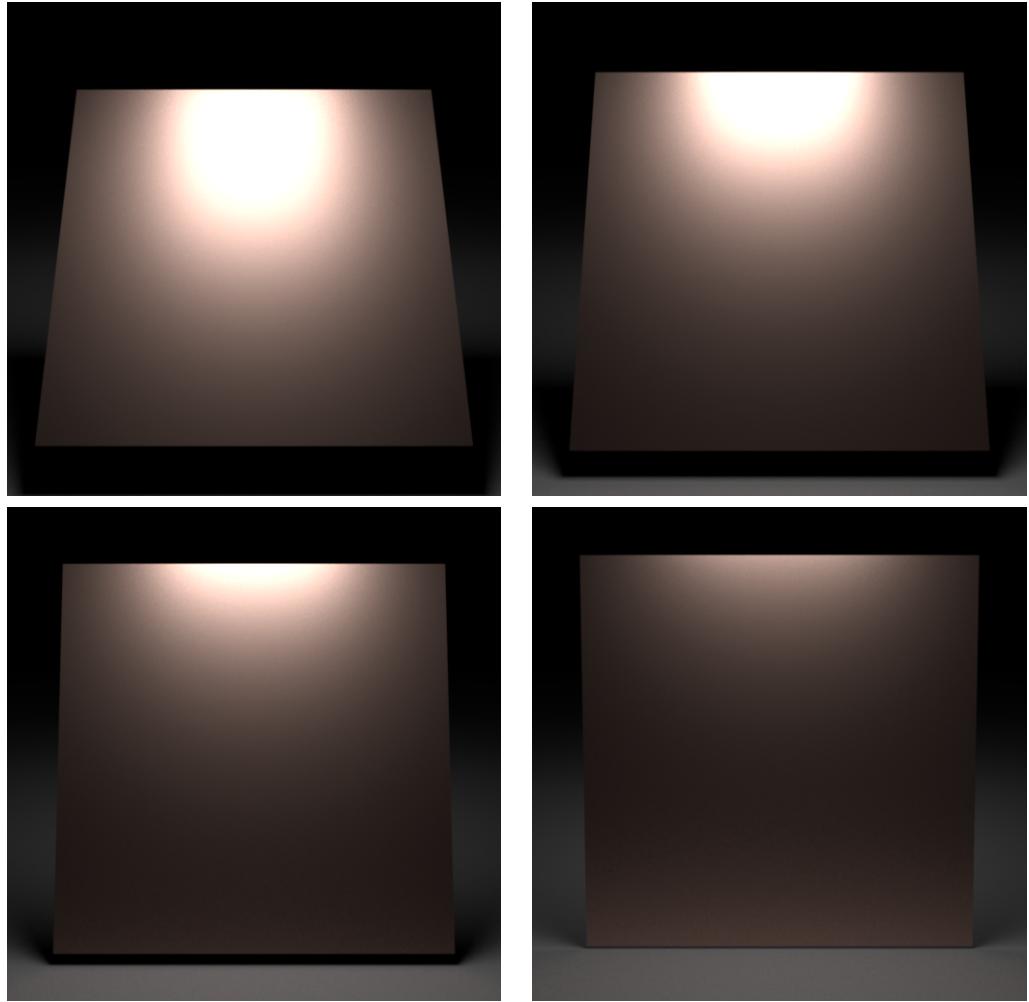


Figure 3.5: Four test scenes consisting of a copper plane with GGX distribution

be normally found in nature — human skin, flowers, greyscale range, etc. It is primarily used for color calibration therefore it is designed to be stable and invariant under different lighting conditions.

We introduce two scenes that evaluate the correctness of the spectral colors — both contain a Macbeth chart illuminated by two different standard CIE illuminants, D50 and D65 [24]. As both illuminants and Macbeth colors have exact definitions, they are easily integrated into any renderer that supports spectral color representation. Another advantage is that we know their corresponding RGB values so the results can be easily checked against them.

We assume that the white point of the renderer is CIE D65 (whitepoint of sRGB) for both scenes. Thus, the scene illuminated by the D50 illuminant should have an orange-ish overlay and the scene illuminated by the D65 illuminant should have completely white background.

Both scenes are shown in Figure 3.7. Once the renderer passes this test, we can assume that the representation of the spectral colors is indeed correct. Even though this may seem trivial, testing multiple different materials, colors or even illuminants is simply a variation of our tests with the only difference that we need to evaluate the correctness manually as we do not have the color definitions beforehand.

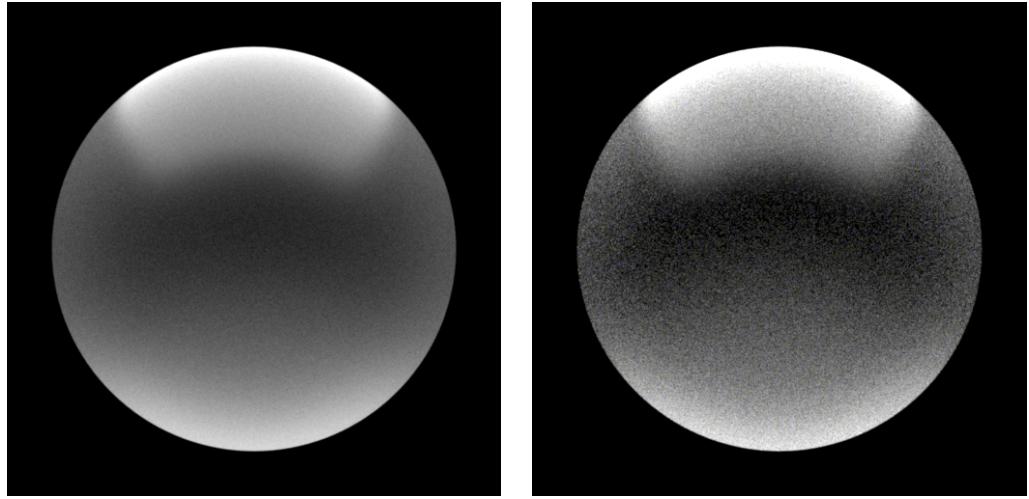


Figure 3.6: The test scene consisting of a dielectric sphere with GGX distribution (left) compared to its Beckmann equivalent (right)

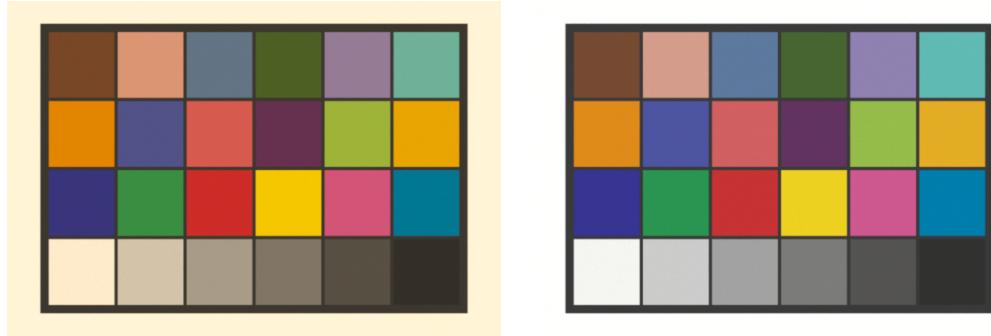


Figure 3.7: The test scenes containing a Macbeth chart under CIE D50 illuminant (left) and under CIE D65 illuminant (right)

### 3.4.3 Polarization

The polarization cannot be described by a BSDF as GGX or iridescence. It requires full tracking of the polarization states during the rendering process and ideally a tool that would display these states. Fortunately for us, both ART and Mitsuba2 provide a way to display the results of the Stokes vector as well as polarization filters that are ideal for these kinds of experiments.

The first two scenes use the Brewster's angle (explained in section 2.2) — they contain a perfectly reflective dielectric plane (IOR of glass = 1.52) that is illuminated by a single area light under the Brewster's angle. By the definition, the light reflected from the plane is perfectly p-polarized. The difference between the two scenes is in the linear polarizer situated in front of the camera — in case the transmission axis is horizontally oriented (parallel to the reflected light), the reflection of the light source is clearly visible without any attenuation. However, if the transmission axis is vertically oriented (perpendicular to the reflected light), there is no reflection of the light source on the plane as the polarizer won't simply let it through. Both scenes are shown in Figure 3.8.

The third scene is a lot more specific as it heavily depends on the renderer to be capable of representing the polarization via Stokes vectors and visualizing

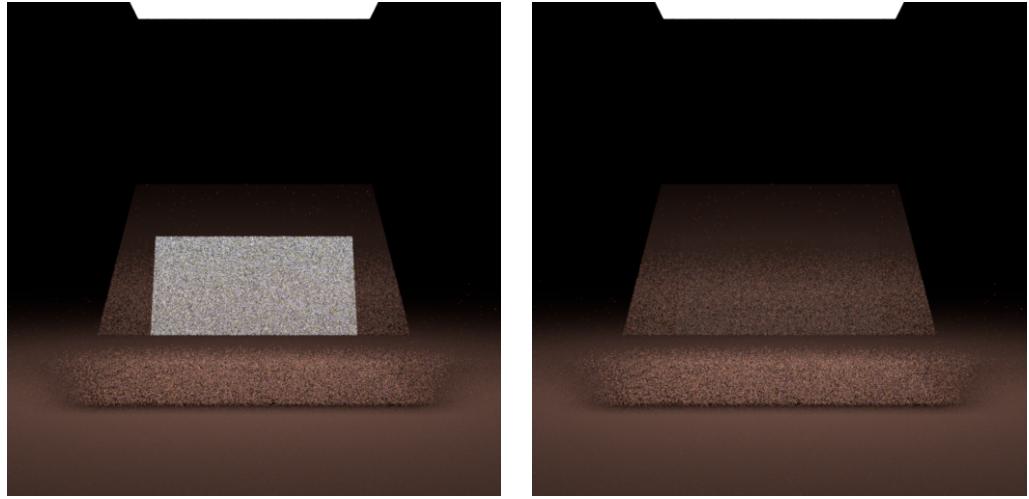


Figure 3.8: The test scenes with a visible polarized (left) and without a visible polarized light (right)

their components. This scene contains two smaller dielectric spheres which are in front of a larger smooth conducting sphere illuminated by a constant daylight. Thanks to the constant surrounding light, lots of transmissions and reflection are happening from the dielectric spheres which consequently polarizes the light.

Note that to ensure physical plausibility, the scenes are monochrome at 550nm wavelength. In ART, it is possible to extract the single wavelength information image from its custom spectral image format. In Mitsuba2, we used a workaround by specifying only the 550nm values of all colors inside the scene (light and floor) and by running the rendering process in the monochrome mode.

Both Mitsuba2 and ART output their results as four distinct images, each representing a different element of the Stokes vector (the complication with the compatibility of the outputs and its solution is explained in subsection 3.6.3). All four images are shown in Figure 3.9. Pay attention to the fourth image, which demonstrates that the circular polarization is also happening with the reflections of the dielectric spheres on the conducting one.

### 3.4.4 Fluorescence

Fluorescence is one of the four phenomena that are possible thanks to the spectral rendering. As it can be perceived only under rare circumstances, its implementation has been purposely avoided in the vast majority of the renderers. However, as the physical realism begins to be a must-have in the commercial world, the interest in its integration grows.

We provide four different test scenes that exercise the fluorescent materials and illuminants and their properties accordingly to their natural behavior. In this case, only ART scenes are provided as Mitsuba2 does not support the feature.

The test scenes take inspiration from the implementation of fluorescence by Možík et al. [19]. They all consist of a single yellow-based sphere whereas its properties are essentially various combinations of the absorption spectrum, emission spectrum and the surrounding constant illumination.

**D50, 370nm absorbtion, 650nm emission 3.10** The sphere absorbs 370nm

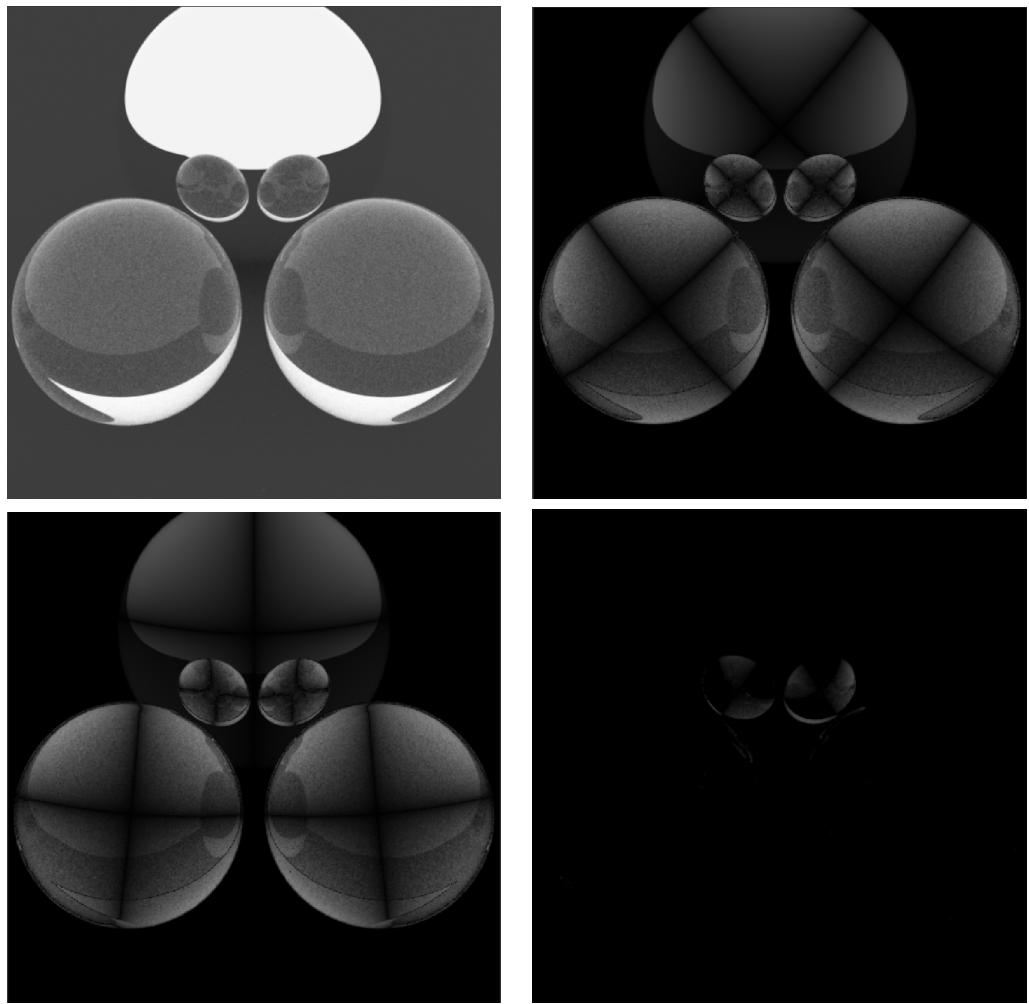


Figure 3.9: The four Stokes vector outputs of a test scene containing polarizing spheres

wavelengths and re-emits 650nm while it is placed under the CIE D50 horizon light illuminant. As you can see, the sphere displays a yellow to orange color which is a combination of the yellow base and the emitting red color — 650nm wavelength is perceived as red. The reason that the sphere is not completely red is due to the lower spectral power of the D50 illuminant around 370 nanometers wavelengths.



Figure 3.10

**450nm illuminant, 450nm absorbtion, 650 emission 3.11** The sphere absorbs 450nm wavelengths and re-emits 650nm while it is placed under a monochrome light emitting 450nm wavelengths only (perceived as blue). Intuitively, as the absorbing spectrum and the illuminating spectrum collide, the sphere should emit a brightly red color.

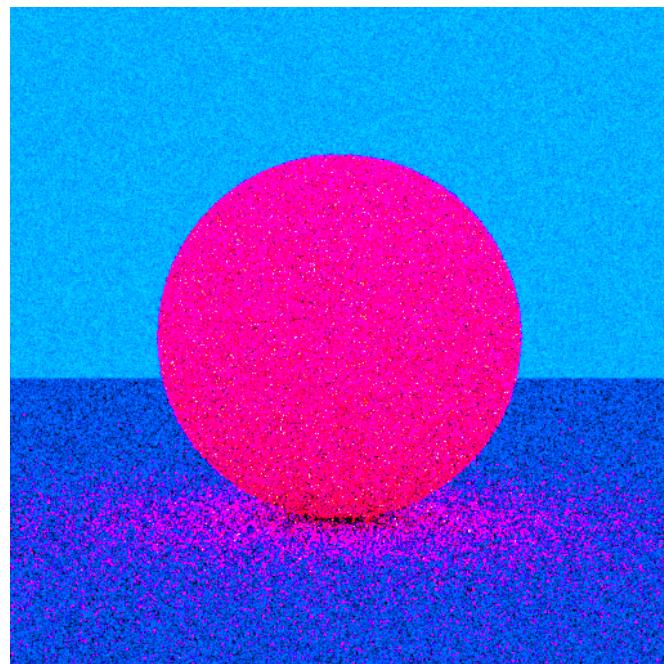


Figure 3.11

**450nm illuminant, 370nm absorption, 650 emission 3.12** The sphere absorbs 370nm wavelengths and re-emits 650nm while it is placed under a

monochrome light emitting 450nm wavelengths only (perceived as blue). In this case, the two spectral domains do not collide at all. Therefore, the sphere appears to be black due to the missing emission and its opaque surface as there is simply no light to absorb and consequently nothing to emit. Note that a dielectric would be completely transparent but we do not test this case as it does not concern fluorescence directly but rather the material itself.

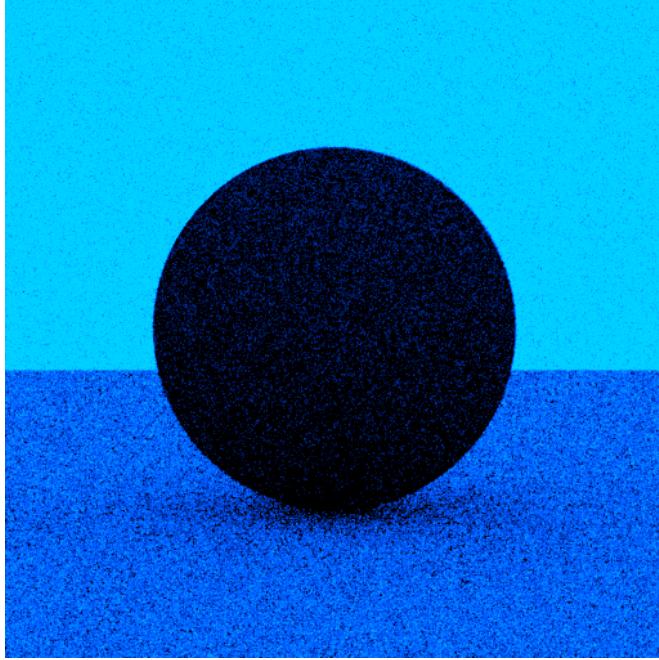


Figure 3.12

Many more combinations testing different emitting/absorbing spectral domains and illuminants are possible, however, we believe that they would only be variations of the four test case scenarios mentioned above — normal light with a fluorescent sphere, monochrome light with a sphere absorbing different wavelengths and monochrome light with a compatible sphere. We do not test fluorescent illuminants on purpose as these, from the technical point of view, have nothing to do with fluorescent effects but they are using fluorescent materials inside them.

### 3.4.5 Iridescence

The evaluation of the iridescence showed some complications as neither Mitsuba2 nor ART natively support the iridescent effects. The only implementation we found was for Mitsuba version 0.6 introduced by Belcour and Barla [3].

We've reimplemented the plugin to Mitsuba2 and used the Mitsuba 0.6 implementation as a reference. The results of the tested scenes have been identical so we consider the Mitsuba2 images as the ground truth.

The implementation simulates the iridescence caused by the light interference in a very thin dielectric film on top of a rough conducting base. There are three parameters that can be set for this iridescent BSDF — the IOR of the exterior,

the IOR of the film and the height of the film. Unfortunately, the final colors of the iridescent effects change rapidly with every slight variation of the parameters of both the conducting base and the dielectric film. Despite that, there are some consistent changes with the gradual increase of the film height and the film IOR which we are exposing in our test scenes.

Each scene consists of four spheres surrounded by a constant daylight to see the colors as brightly as possible. These spheres have a very low roughness coefficient ( $\alpha = 0.1$ ) so that the rough surface does not distort the effect.

**Film height 3.13** As we've explained in section 2.4, the increasing thickness of the film reduces the visibility of the iridescent effect as there is less light interference. All spheres in this scene have identical base and film IOR —  $\eta_{base} = 1.9, \kappa_{base} = 1.5, \eta_{film} = 1.33$ . The first two spheres with the film height equal to 300nm and 550nm are displaying equally visible iridescent effects. The difference is, of course, in their colors as the light interference is largely varying. The film height of the third sphere is quite high, 1500nm, which clearly diminishes the iridescent effect, dominantly displaying its base color. For the reference, the fourth sphere has no film layer on top of it, displaying only the base.

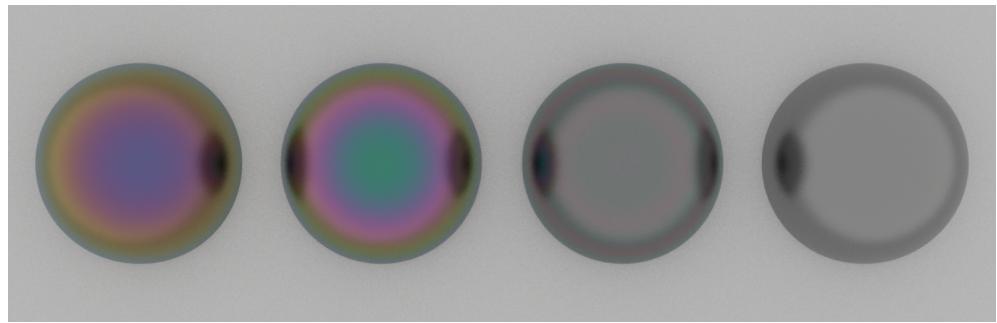


Figure 3.13

**Film IOR 3.14** Another apparent change happens upon the adjustments to the film IOR. With the decreasing IOR of the film, more color fringes can be spotted on the sphere. From the definition of IOR (essentially, how much faster light travels in the vacuum than in the current medium), we can deduce that the faster the light travels through the medium, the interferences happen at higher rate and therefore we can see more colors. The spheres in this scene have identical base and the film height —  $\eta_{base} = 1.9, \kappa_{base} = 1.5, film\_height = 550nm$ . The film IORs  $\eta_{film}$  are equal to 1.2, 1.5, 1.8 and 2.8, where each consecutive sphere displays one less color fringe than the previous one (from 5 to 2). Please note that the counted amount of the color fringes is not an absolute measure but rather a rough approximation done by naked eye — there are a lot more colors in-between gradually transitioning between the fringes and the user may have counted them differently.

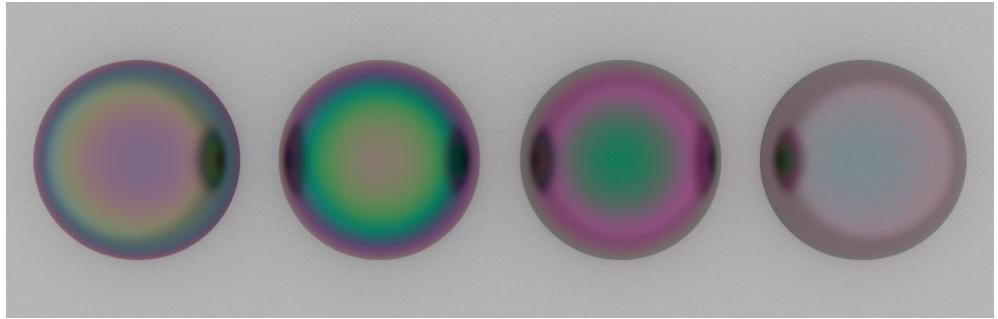


Figure 3.14

**Materials 3.15** The last scene demonstrates a direct comparison between two well-defined materials (their properties,  $\eta_{base}$  and  $\kappa_{base}$  provided by Mitsuba) — copper and mercury — and their iridescent equivalents with  $\eta_{film} = 1.33$ ,  $film_{height} = 550nm$ . Both materials emit the same iridescent effect simply put on a different color base.

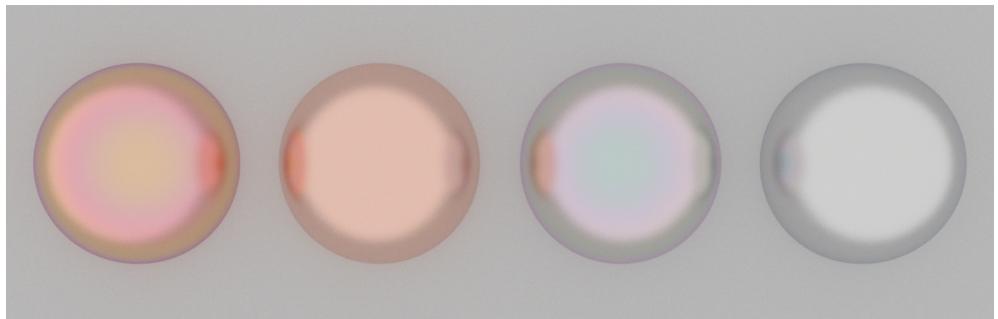


Figure 3.15

Due to the custom implementation of this plugin for Mitsuba2, we do not evaluate ART as it does not support iridescence at all.

### 3.4.6 Dispersion

Unfortunately, we do not provide any test scenes for dispersion. Mitsuba2 simply does not support the feature which is also explicitly stated in their documentation. ART supports dispersion but we've encountered some issues with the darker colors of the dispersive materials and so we cannot declare the images displaying dispersion generated by ART as the ground truth.

## 3.5 Use cases

In the following sections, we demonstrate the basic use cases of our testing suite.

### 3.5.1 Use case Regress test

A user who recently changed an essential but not directly tested part of the renderer (e.g. sampling strategy) wishes to confirm that he did not break any other

functionality. He downloads our benchmark and inserts his latest executable. Then, he runs all test case scenarios and compares the results with the reference images. Refer to the user guide for more information about the usage of the benchmark A.

### 3.5.2 Use case New feature

A user wishes to implement one of the tested features to a rendering system that is not yet evaluated for that specific scenario but generally supported by the benchmark (e.g. GGX microfacet distribution to ART). He wishes to check the correctness of its implementation so he follows these steps:

1. If provided, find the code snippets that are attached to the benchmark suite and integrate them to the renderer.
2. Duplicate the scenes prepared for other renderers that test the feature and store them in a new `/data/cases/feature/renderer/` folder. This step should be straightforward as the objects inside the scenes are fairly basic and commented.
3. For each affected scene, add a JSON snippet to `configuration.json` file containing the newly supported renderer's name and its parameters (look at Figure 3.2).
4. Insert the latest executable to the benchmark and run it.

The benchmark automatically detects the new configuration. Either from the reference images or from the difference images, the user may now run the evaluation to assess the accuracy of the newly implemented feature.

### 3.5.3 Use case New scenario

A user who wishes to add a completely new test case scenario must follow these few steps:

1. Add a new folder to the benchmark suite `/data/cases/new_scenario/`
2. Add JSON snippet for the new scenario to the `configuration.json` file

From now on, the benchmark automatically evaluates this feature as well. If the user wants to provide the reference images, these need to be stored in the `/data/references` folder.

### 3.5.4 Use case Improved feature

A user who improved a specific feature and wishes to confirm his assumptions may use the benchmark in a standard way.

The scene descriptions may be considered as templates used to create the reference images. In case some structural changes were done inside the renderer, such as variable names, the scenes can be easily adjusted to comply with the new renderer.

If he finds out that the results indeed improved, he may replace the existing scene descriptions, as well as the reference images, for the better, adjusted ones.

### 3.5.5 Use case New renderer

A user who wishes to add support for a new renderer into the benchmark suite must follow these steps::

1. Create a new folder with the name of the renderer for each test case scenario in the `/data/cases/`
2. Rewrite the template scenes from other renderers to his own scene format
3. Store these scenes in the newly created folders accordingly
4. Add the renderer's name to the array containing all supported renderers at the beginning of the `configuration.json` file
5. For each scene, add a JSON snippet to the `configuration.json` file containing the newly supported renderer's name and its parameters.
6. Insert the latest executable to the benchmark and run it.

## 3.6 Open source contributions

During our work on the benchmark, we have done several noteworthy contributions to three open source projects. Note that these extensions can be considered as byproducts and definitely not the main aim of this thesis — therefore, they are not yet in a state that can be used for a pull request as this process requires a significant amount of time.

### 3.6.1 GGX for ART

The use case described in subsection 3.5.2 actually happened to us. We've decided to add the GGX distribution to the ART renderer and, coincidentally, it correlated with the mentioned scenario. We had the scenes and the reference images prepared for Mitsuba2, we simply replicated them for ART and implemented the GGX. Then, as mentioned in the use case, we iterated the benchmark and the adjustments in the code over and over until the results were satisfactory.

The implementation can be found in the attachments of the thesis in section B.3.

### 3.6.2 Iridescence for Mitsuba2

Mitsuba does not have a native support for the iridescence but an external plugin has been developed to simulate the iridescent effects of a thin film dielectric layer on top of a rough conductor base. Unfortunately, it was created for Mitsuba version 0.6 and, as Mitsuba2 is fairly new, there has not been an effort to rework the plugin, thus we took the initiative and re-implemented it.

Along with the publication done by Belcour and Barla [3], they released a supplementary plugin for Mitsuba 0.6 to demonstrate their results.

There were some major changes to the spectral sampling strategy and the overall object structure done in Mitsuba2 that had to be adapted in the new, re-implemented version of the plugin.

The correctness of the rework has been confirmed in a similar manner to the normal evaluation workflow. We prepared the test case scenario scenes for the iridescence, rendered them for both Mitsuba2 and Mitsuba 0.6 and considered the latter version to be the ground truth. The implementation can be found on <https://github.com/marcel1hruska/mitsuba2> or in the attachments of this thesis B.2.

### 3.6.3 Multi-channel EXR support for JERI

While designing the polarization test scenes, we've encountered a compatibility issue between the Stokes vector output format of Mitsuba2 and ART. While ART stores the Stokes vector values into distinct EXR images, Mitsuba2 creates a single multi-channel EXR where each channel contains the Stokes vector information. As you can imagine, such visualization of the rendering results requires a custom solution.

First of all, we've added a general support for multi-channel EXR images to the JERI framework as there is currently no way to visualize them. It works as follows:

1. If the EXR image contains multiple channels, store them in a structure called `otherChannels` which maps the channel name with its contents.
2. The user may specify the channel to display in the viewer data.
3. If the specified channel is in the map, display the wanted contents.

Secondly, we created a highly custom wrapper over the first addition to resolve the incompatibility between the outputs. By default, we assume that the results are stored in four distinct images (similarly to ART), e.g. the file named `sphere.s0.exr` means that it is the output of the first element in the Stokes vector (the radiance). We test whether such file really exists — if not, we assume from the name of the file that the user actually wants the channel S0 of the file named `sphere.exr` so we attempt to find it instead of the former one.

This behavior is transparent to the user. If no version of the file exists, the JERI simply displays nothing.

This addition is a part of the compiled JavaScript code and not the TypeScript source. It can be found in the benchmarks' files `/jeri/exr-worker.js` and `/jeri/jeri.js`, our code is marked with comments `multichannel` `custom support`.

# 4. Results

This chapter overviews the results of the evaluation of ART via direct comparison with Mitsuba2. As we've noted before, the fluorescence is exclusive to ART, iridescence to Mitsuba2 and therefore we are not evaluating these as there is no other renderer to compare them with.

Unfortunately, we do not provide any measures that would assess the accuracy of the techniques in an absolute manner, such as accuracy percentage or correctness threshold. Such metric would require an extensive research in the image validation which is out of scope of this thesis. For anyone interested, the verification of the rendering algorithms is discussed in the article by Ulbricht et al. [32].

## 4.1 Error maps

The only assessment we provide is via difference images. Even though these do not exactly state whether the computation is correct or not, they might help to expose some inaccuracies.

The difference images shown in the following sections are created by L1 and SSIM error maps, both integrated in the JERI framework and consequently in our benchmark.

### 4.1.1 L1

*Least absolute deviations*, also known as L1 loss function, computes the absolute difference between the given data and the predicted function:

$$L1 = \sum_{i=1}^n |y_i - f(x_i)| \quad (4.1)$$

Generally, it is largely used in the statistical optimizations to find a function that behaves similarly to the given data, minimizing their differences.

In our case, the error map is actually an image that shows the direct differences between the color values for each pixel. This is considered by us to be the absolute basic as it might expose the color inaccuracies that are barely visible to the naked eye.

### 4.1.2 SSIM

*Structural similarity index measure* (SSIM) is a lot more advanced method, specifically developed by Wang et al. [34] to measure the loss of data between a reference image and its processed (usually compressed) equivalent. As it is a perceptual metric comparing two images capturing the same scene, we include it in our benchmark.

However, this technique cannot be considered as an absolute metric of correctness either as we often do not let the images to converge completely because of the performance reasons. It is only useful to look at the artifacts exposed by SSIM or to manually increase the number of samples for each scene.

## 4.2 GGX

As the GGX implementation for ART was developed by us, it was necessary that we evaluate its correctness. In this section, we discuss the copper plane scene (rotated by 60 degrees) and the glass sphere scene, their implementations in Mitsuba2 and ART and their difference images. All of these images are displayed in Figure 4.1 and Figure 4.2.

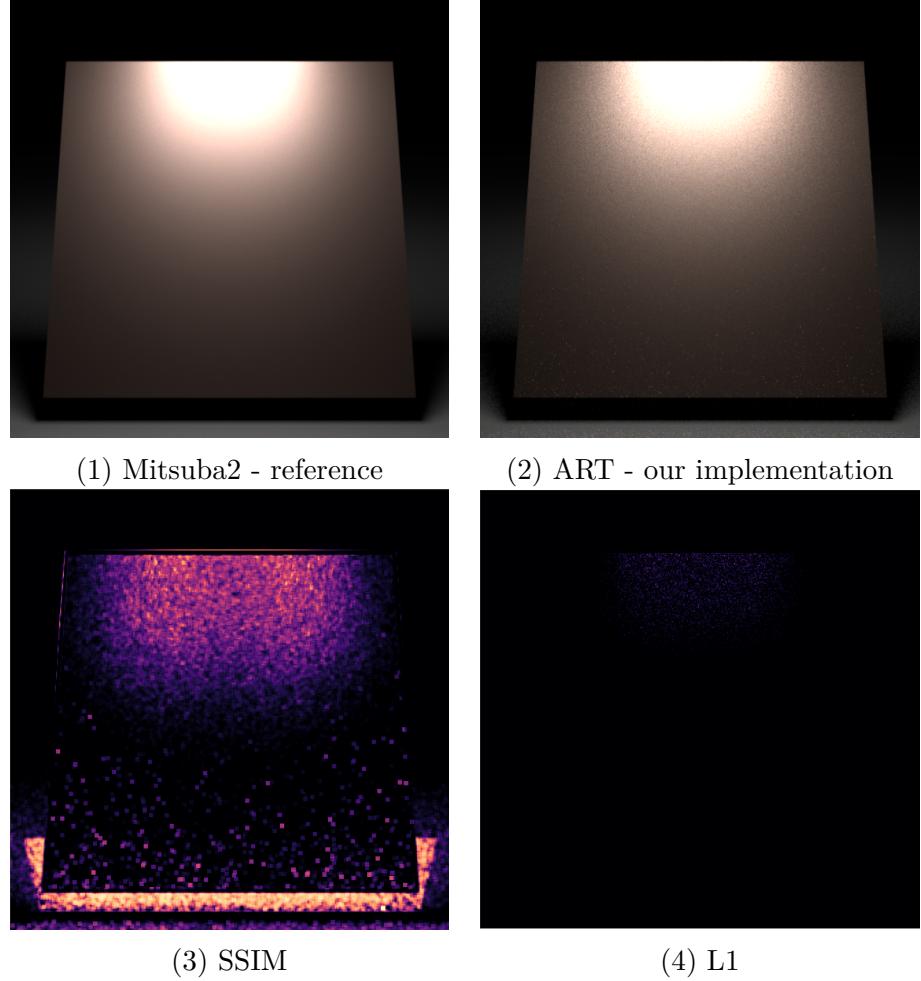


Figure 4.1: Comparisons between the reference image and the ART implementation of the GGX copper plane (rotated by 60 degrees) scene

We should note that Mitsuba2 actually implements the improved variant of GGX developed by Heitz [11] which significantly reduces variance. We implemented the original, basic variant [33] which logically creates lots of noise for the same amount of samples. Consequently, this diminishes the usability of the SSIM method as the result simply could not converge to the correct state. This is true especially for the glass sphere as there are lot more reflections under various angles than on a simple plane.

However, the L1 function nicely shows that our implementation should be correct. In case of the plane, we see only few dots, signaling that some samples might have brighter colors. In case of the sphere, we can see a larger groups of the dots at the bottom, which is probably caused by the general rough surface model and not the microfacet distribution, as the colors match.

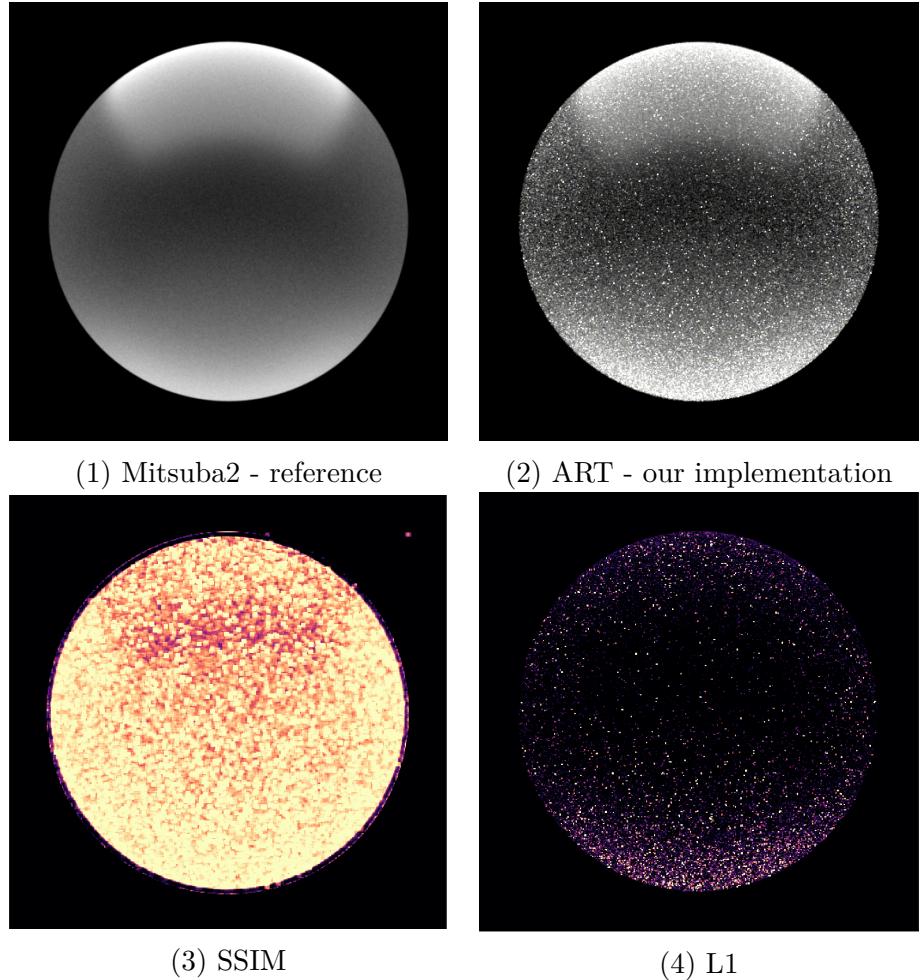


Figure 4.2: Comparisons between the reference image and the ART implementation of the GGX glass scene

### 4.3 Spectral Accuracy

The spectral accuracy is significantly harder to quantify and measure as there are lots of mechanisms inside a rendering system that might influence the final colors. Both testing scenes for the spectral accuracy are compared in Figure 4.3 and Figure 4.4.

On the first sight, the two images are extremely similar — the spectral definitions for the Macbeth colors and the illuminants are the very same for both renderers which is backed by the L1 comparisons. But as we look on the SSIM, there are slight variations in different color patches. The Macbeth blue and black are accurate but for some reason the others, especially yellow and yellow-green are slightly more saturated in the reference images. This might indicate a potential flow in the stochastic approach of spectral sampling in Mitsuba2 but as the differences are barely there, we do not consider the results to be incorrect. Note that the two SSIM images are almost identical which shows that the relative comparison under the same lighting conditions matches.

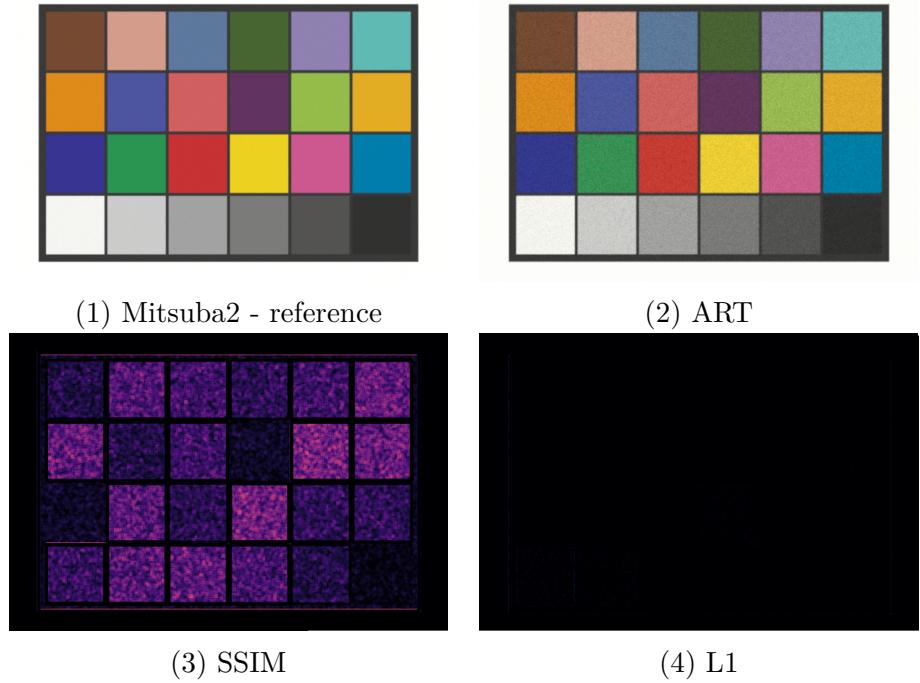


Figure 4.3: Comparisons between the reference image and the ART implementation of the Macbeth chart D65 scene

## 4.4 Polarization

Unfortunately for us, it is quite complicated to directly compare the outputs of the Stokes vectors as the final format heavily depends on the renderer. To make the matters as simple as possible, the scene is monochrome and tracks a single-wavelength light only. We compare the visualization of the horizontal/vertical polarization stored in the Stokes vector in Figure 4.5.

The second discussed scene is shown in Figure 4.6 and demonstrates the polarizing plane scene with a visible reflection.

The polarizing plane scene might seem to be more attenuated in case of ART, especially under the plane. However, this does not concern us as the purpose of the scene is to expose the behavior of the unpolarized light upon the interaction with a surface under a Brewster's angle rather than the material's transmission properties. Neither of the difference images show obvious inconsistencies but both are suggesting that the reflection of the light on the plane is at least partially off.

After the simplifications done to the polarizing spheres scene, we can see that the results are almost identical. But, there is a visible difference in the vertical polarization on the spheres (properly exposed by SSIM) and we could conclude that Mitsuba2 does not track completely unpolarized light properly. However, bear in mind that the images we compare are not direct results of the rendering process but rather a byproduct of a specific structure inside it so it might simply be a color output inconsistency rather than a polarization tracking flaw.

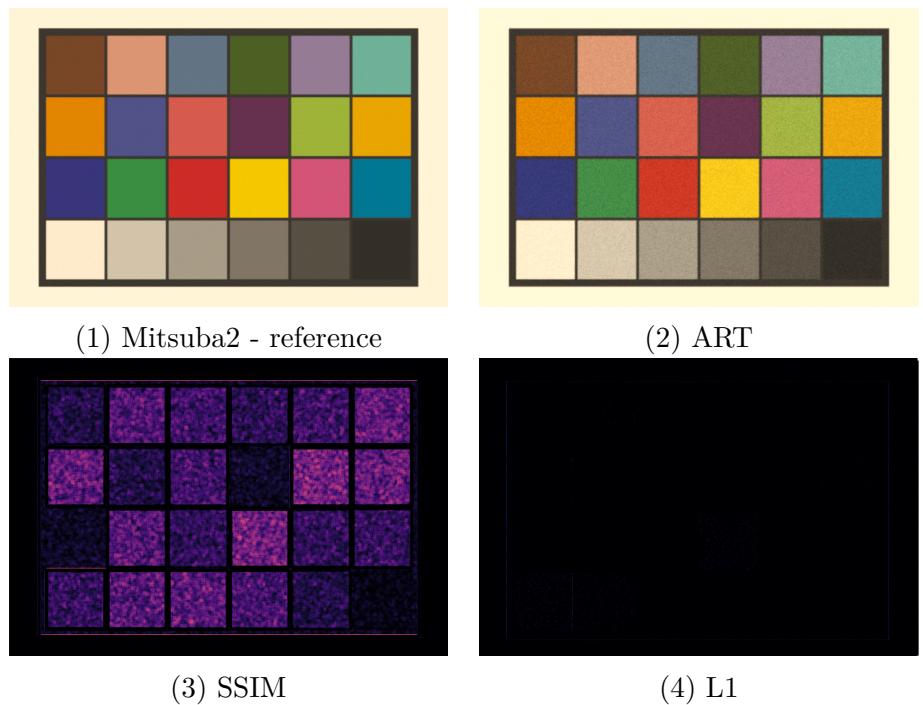
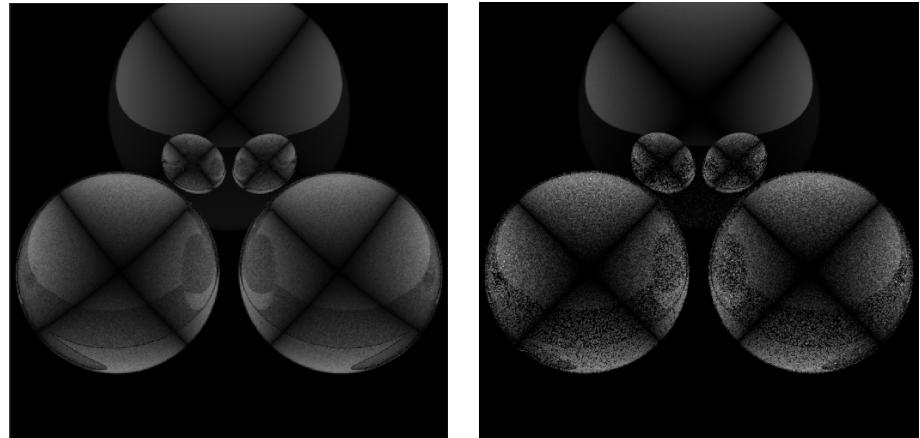
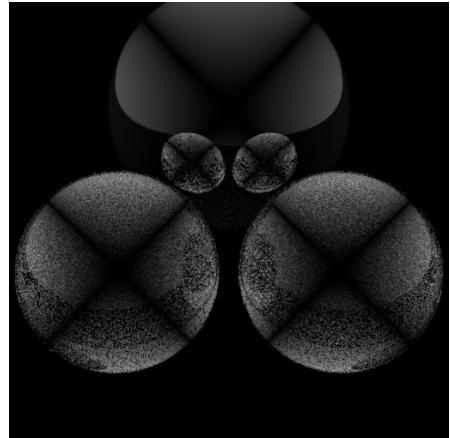


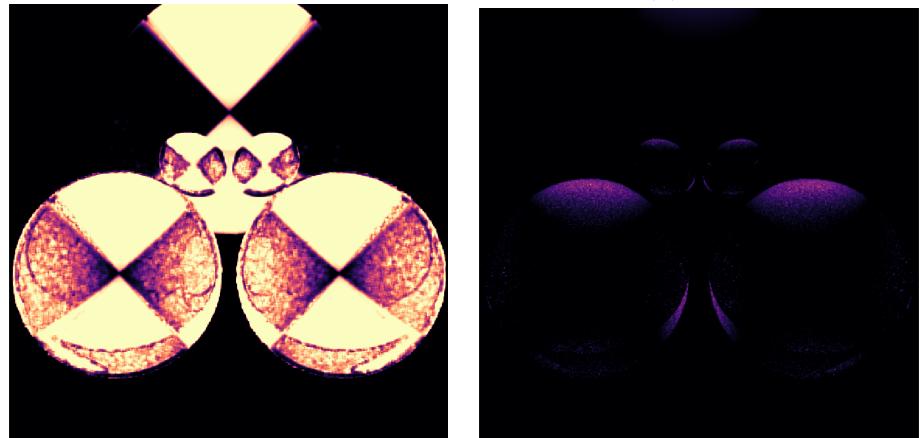
Figure 4.4: Comparisons between the reference image and the ART implementation of the Macbeth chart D50 scene



(1) Mitsuba2 - reference



(2) ART



(3) SSIM

(4) L1

Figure 4.5: Comparisons between the reference image and the ART implementation of the second Stokes vector element of the polarizing spheres scene

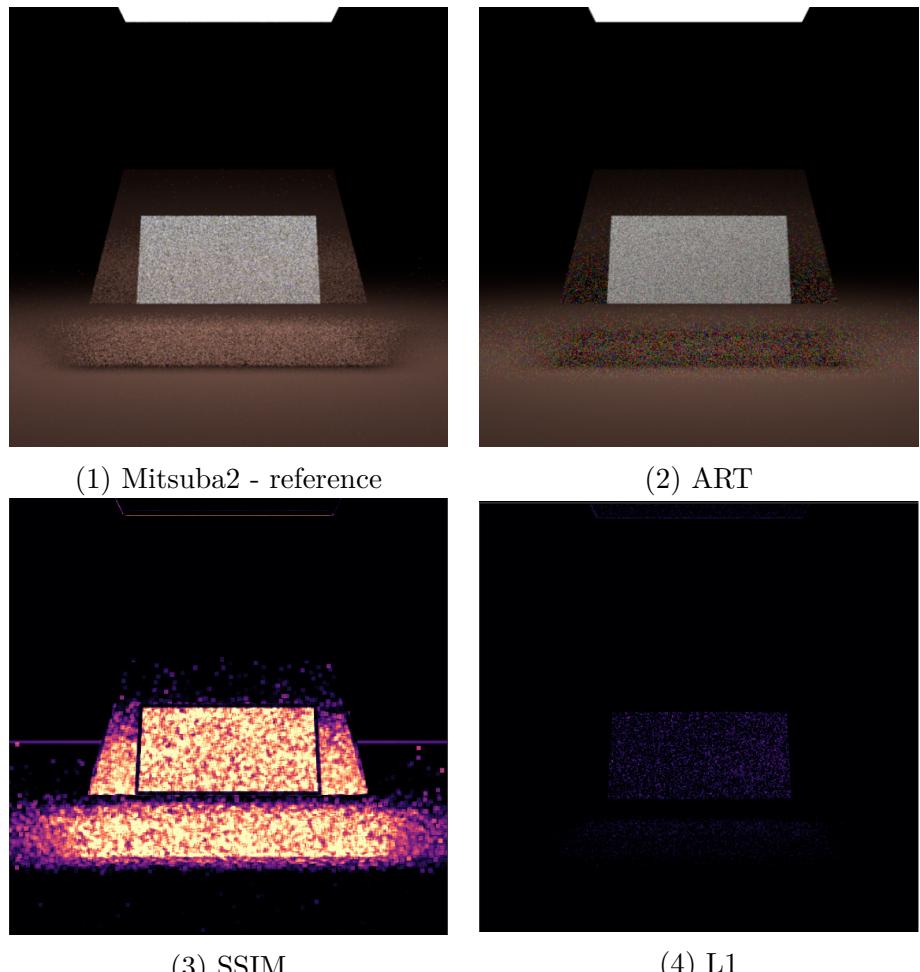


Figure 4.6: Comparisons between the reference image and the ART implementation of the polarizing plane (filter rotated by 90 degrees) scene

# Conclusion

Both ART and Mitsuba2 display several unique properties and implementation details such as custom spectral sampling techniques. Despite that, we've shown that it is possible to methodically test the appearance computations of the distinct renderers. As the models behind each of the tested phenomenon is properly defined and we can also describe their natural behavior, it is possible to expose some of the exact aspects of their computations (e.g Brewster's angle in polarization) and to evaluate their functionality.

The straightforward descriptions of the scenes, their basic geometry along with the in-depth documentation should be enough to comprehend their purposes in the evaluation process. As an addition, the benchmark contains code snippets, unified geometry and spectral values for various colors that are used in the scenes which should help a potential user to extend the benchmark or their own rendering systems coherently and correctly.

Even though we do not include an absolute metric that would explicitly determine the correctness of individual computations, the reference images along with the difference images provide enough information for a reasonably skilled user to assess the accuracy by himself.

## 4.5 Future Work

Despite the various functionalities that the benchmark has, there are several possible extensions that we consider interesting or useful but that were not essential for the purposes of this thesis so we purposely avoided them. Providing more time, these would be a fine asset to the benchmark, further extending its capabilities and effectiveness.

**Enhanced results** Right now, the results visualizer consists of a very basic UI where the user may look at the images and interact with them. We would like to add several features, e.g. performance counter, comments explaining each scene, highlights of the scene, etc.

**Dispersion** As the dispersion is the only phenomenon that we've talked about but haven't evaluated, it would be appropriate to add it to the benchmark as soon as the implementation for Mitsuba2 and/or ART is fully functional.

**More renderers** The addition of multiple renderers heavily depends on the supported features of the specific renderer and on the interest of its developers. However, if we find a renderer that supports at least a majority of the features that we evaluate, we would gladly include it in the renderer.

**Common scene format** Including more renderers would be significantly simplified by describing the scenes in a common scene format (e.g. Universal Scene Description by Pixar Animation Studios [28]). This approach would, of course, need a conversion tool from the universal format to the renderer-specific one.

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# A. User Guide

The user may clone/download the benchmark from the github repository [https://github.com/marcel1hruska/render\\_benchmark](https://github.com/marcel1hruska/render_benchmark) or he can find it in the attachments of thesis B.1. As the whole benchmark is written in Python3, there is no need to compile the project.

Firstly, it is necessary to modify `settings.json` file in the root directory of the benchmark suite — the user must specify the path to the renderer executable and the name of the renderer (currently, the only viable options are `mitsuba2` and `ART`).

After that, the benchmark can be run with a simple invocation of the `benchmark.py` python file. Note that the script must be invoked from within the root directory of the benchmark suite! For example, you can do so by issuing the following commands inside Ubuntu bash:

```
cd /render_benchmark  
python3 ./benchmark.py
```

The benchmark accepts several parameters:

- help (-h)** Prints the help message
- scene (-s) <scene\_name>** Test only the scene called `scene_name`
- case (-c) <test\_case\_name>** Test only the test case called `test_case_name`
- renderer (-r) [ART/mitsuba2 ]** Specify the name of the renderer
- exec (-e) <path>** Specify the path to the renderer executable
- log (-l)** Write all outputs to the log file (in the outputs folder) instead of the console
- visualize (-v)** Visualize the outputs immediately after the benchmark ends

For the user's convenience, all of these options may be specified in the `settings.json` file but the ones issued in the CLI take higher priority and override them.

As soon as the benchmark ends, the user may find the rendered EXR images in a folder named `outputs-yyymdd-hhmmss` where the `yyymdd-hhmmss` is substituted for the date and then time when the command was issued.

Then, the user may invoke a second script, `visualize.py`, that opens a website with the results of the last benchmark run along with the reference images and their difference images. This script must also be run from within the root directory of the benchmark suite. It accepts only two parameters:

- help (-h)** Prints the help message
- outputs (-o) <output\_folder\_name>** Specify the name of the `outputs-yyymdd-hhmmss` folder that is to be visualized. If omitted, the latest folder is picked.

# B. Attachments

All attachments are listed below and can be found in the `attachments` folder.

## B.1 Benchmark

Contains the whole testing suite, can also be downloaded from [https://github.com/marcel1hruska/render\\_benchmark](https://github.com/marcel1hruska/render_benchmark). If the user downloaded the thesis from github, he needs to do so recursively as the `render_benchmark` is a submodule.

## B.2 Iridescence for Mitsuba2

Contains the iridescence implementation for Mitsuba2. The folder can be simply copied over the Mitsuba2 code version 2.1.0. Note that only the `iridescence.h` and `iridescence.cpp` files contain our code — the rest of the code mostly belongs to Mitsuba2 and only small parts that activate the iridescent effects were created by us.

To make matters more simple, we created a forked repository on <https://github.com/marcel1hruska/mitsuba2>. The user needs to checkout the `iridescence` branch and compile it accordingly to the Mitsuba2 documentation [18].

## B.3 GGX for ART

Contains the GGX implementation for ART. The folder can be simply copied over the ART code version 2.0.3. Note that the files also include the implementation of the Blinn microfacet distribution which belongs to ART and is not a part of this thesis.

Unfortunately, we do not have a fork of the repository as the origin is not on github.