

# Idempotent theorems and matrices

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**Abstract.** These expository notes move at a leisurely pace through background material needed to appreciate the Cohen idempotent theorem and its quantitative version, due to Green and Sanders. We introduce Schur multipliers and investigate a conjectured analogue in this setting.

## 1. Topological preliminaries

A *topology* on a set  $S$  is a collection of subsets of  $S$  that contains the empty set and is closed under the formation of unions and finite intersections. If  $S$  has a topology defined on it, then  $S$  is said to be a *topological space*, and the members of its topology are called *open sets*. The complements of open sets are called *closed sets*.

**Proposition 1.1.** *A set  $A$  is open if and only if for every  $x \in A$ , there is an open set  $U_x$  with  $x \in U_x$  and  $U_x \subseteq A$ .*

*Proof.* If  $A$  is open, then for any  $x \in A$  we can simply take  $U = A$ . Conversely, if for every point  $x \in A$  there is some open  $U_x \subseteq A$  with  $x \in U_x$ , then  $A = \bigcup_{x \in A} U_x$ , so  $A$  is open, being a union of open sets. ■

The largest open set contained in a set  $A$  is the *interior* of  $A$ , and the smallest closed set containing  $A$  is its *closure*, denoted  $\overline{A}$ . If  $A\overline{A}$  is the whole set  $S$ , then we say  $A$  is *dense* in  $S$ , and if some countable set is dense in  $S$ , then  $S$  is a *separable* space.

A set  $A$  is said to be a *neighbourhood* of a point  $p$  if  $p$  belongs to the interior of  $A$ . The space  $S$  is called *Hausdorff* if for all points  $x, y \in S$  with  $x \neq y$ , there is some open neighbourhood  $U$  of  $x$  and open neighbourhood  $V$  of  $y$  such that  $U \cap V = \emptyset$ .

If the singleton set  $\{p\}$  is open, then  $p$  is called an *isolated point* of  $S$ , and if  $p$  is isolated for all points  $p \in S$ , then  $S$  is a *discrete space*. It is easy to see that every subset of a discrete space is open.

**Limit points of nets.** A relation  $\preceq$  on a set  $I$  is a *preorder* if it is reflexive and transitive. A set  $I$  is said to be *directed* if there exists a preorder  $\preceq$  on it such that any two elements have an upper bound; that is, for all  $i, j \in I$ , there exists  $k \in I$  such that  $i \preceq k$  and  $j \preceq k$ .

Let  $I$  be a directed set and  $S$  any set. A function  $f : I \rightarrow S$  is called a *net*. We usually index the elements of the net by elements of  $I$ ; writing  $x_i = f(i)$

for all  $i \in I$ , the net  $f$  can be expressed as  $(x_i)_{i \in I}$ . When  $I = \mathbf{N}$  under the usual ordering  $\leq$ , we recover the usual definition of a *sequence* of points in  $S$ . We say that  $(x_i)_{i \in I}$  is *eventually* in  $A$  if there exists some  $j \in I$  such that for all  $i \succeq j$ ,  $x_i \in A$ . A point  $x \in S$  is called a *limit point* of  $(x_i)_{i \in I}$  if for every open neighbourhood  $U$  of  $x$ , the net  $(x_i)_{i \in I}$  is eventually in  $U$ . In this case we write  $x_i \rightarrow x$ .

**Proposition 1.2.** *If  $S$  is a Hausdorff space, then every net  $(x_i)_{i \in I}$  valued in  $S$  has at most one limit point.*

*Proof.* Let  $S$  be a topological space and suppose there is a net  $(x_i)_{i \in I}$  with two distinct limit points  $x$  and  $y$ . Let  $U$  be an open neighbourhood of  $x$  and  $V$  an open neighbourhood of  $Y$ . By definition of limit point, there is some  $i \in I$  such that  $x_k \in U$  for all  $k \succeq i$ , and similarly there is some  $j \in I$  such that  $x_i \in V$  for all  $k \succeq j$ . But since  $I$  is a directed set, there must exist some  $k \in I$  with  $k \succeq i$  and  $k \succeq j$ , and we find that  $x_k \in U \cap V$ . Since  $U$  and  $V$  were arbitrary, we conclude that  $S$  is not Hausdorff. ■

In light of the proposition, so long as the ambient space is Hausdorff it makes sense to write  $\lim_{i \in I} x_i = x$  whenever the limit point of  $(x_i)_{i \in I}$  is  $x$ . We may also choose to write  $x_i \rightarrow x$ .

We now introduce a common choice of directed set that features in some of the proofs below.

**Proposition 1.3.** *Let  $x$  be a point in a topological space  $S$  and let  $N(x)$  be the set of all open neighbourhoods of  $x$ . Define an order on  $N(x)$  by declaring  $U \preceq V$  if and only if  $U \supseteq V$ . Then the order  $\preceq$  is directed, and  $x$  is a limit point of any net  $(x_U)_{U \in N(x)}$  satisfying  $x_U \in U$  for all  $U \in N(x)$ .*

*Proof.* The set  $N(x)$  is clearly directed, since for every  $U, V \in N(x)$ ,  $U \supseteq U \cap V$  and  $V \supseteq U \cap V$ . Now let  $(x_U)_{U \in N(x)}$  be any net with  $x_U \in U$  for all  $U \in N(x)$ . Pick any open set  $V$  containing  $x$ . This set  $V$  is a member of  $N(x)$ , and for any  $U \in N(x)$  with  $U \succeq V$ , we must have  $x_U \in U \subseteq V$ . ■

One can characterise closed sets in terms of limit points of nets.

**Proposition 1.4.** *A set  $A$  is closed if and only if for every net  $(x_i)_{i \in I}$  satisfying  $x_i \in A$  for all  $i \in I$ , every limit point  $x$  of  $(x_i)_{i \in I}$  must be in  $A$ .*

*Proof.* Suppose that  $A$  is closed and let  $(x_i)_{i \in I}$  be a net with limit point  $x$  and  $x_i \in A$  for all  $i \in I$ . For a contradiction, suppose that  $x \notin A$ . Then  $x \in A^c$ , which is open. By the definition of limit point, there exists  $j$  such that  $x_i \in A^c$  for all  $j \succeq i$ . This contradicts the assumption that  $x$  is a limit point of  $(x_i)_{i \in I}$ .

Now we assume the second condition and prove that  $A^c$  is open. Let  $N(x)$  be the set of all open neighbourhoods of  $x$ . Towards a contradiction, assume that there is some  $x \in A^c$  such that for all  $U \in N(x)$ , we have  $U \cap A \neq \emptyset$ . So for all  $U \in N(x)$ , we may pick  $x_U \in U \cap A$ , and the net  $(x_U)_{U \in N(x)}$  has  $x$  as its limit point, by Proposition 1.3. But  $x_U \in A$  for all  $U \in N(x)$ , so by our assumption, we must have  $x \in A$ . This contradiction shows that any  $x \in A^c$  must have some

open neighbourhood  $U$  that is contained in  $A^c$ . Hence by Proposition 1.1,  $A$  is closed.  $\blacksquare$

**Cluster points and subnets.** A point  $x$  is a *cluster point* of the net  $(x_i)_{i \in I}$  if for every open neighbourhood  $U$  of  $x$  and any  $i \in I$ , there is some  $j \succeq i$  with  $x_j \in U$ . Next, consider two directed sets  $I$  and  $J$ . A map  $\phi : J \rightarrow I$  is said to be *cofinal* if for every  $i \in I$  there is  $j \in J$  such that for all  $j' \succeq j$ ,  $\phi(j') \succeq i$ . Now if  $(x_i)_{i \in I}$  is a net (with elements in some topological space  $X$ ) and  $\phi : J \rightarrow I$  is cofinal, then the composition  $x \circ \phi : J \rightarrow X$  is a net; we say that  $x \circ \phi$  is a *subnet* of the original net. The following proposition relates subnets to cluster points.

**Proposition 1.5.** *A point  $x$  is a cluster point of the net  $(x_i)_{i \in I}$  if and only if there is a subnet of  $(x_i)_{i \in I}$  converging to  $x$ .*

*Proof.* Suppose there is a subnet of  $(x_i)_{i \in I}$  converging to  $x$ . That is, there is a directed set  $J$  and a cofinal map  $\phi : J \rightarrow I$  such that, setting  $y_j = x_{\phi(j)}$  for all  $j \in J$ , the subnet  $(y_j)_{j \in J}$  of  $(x_i)_{i \in I}$  converges to  $x$ . Let  $U$  be an open neighbourhood of  $x$  and let  $i \in I$ . There is some  $j_0 \in J$  such that  $j \succeq j_0$  implies that  $y_j \in U$ . Since  $\phi$  is cofinal, there is  $j_1 \in J$  such that for all  $j \succeq j_1$ ,  $\phi(j) \succeq i$ . Now since  $J$  is directed, there is some  $j' \in J$  with  $j' \succeq j_0$  and  $j' \succeq j_1$ . For this choice of  $j'$  we have  $\phi(j') \succeq i$  and  $x_{\phi(j')} = y_{j'} \in U$ . Hence  $x$  is a cluster point of  $(x_i)_{i \in I}$ .

Conversely, suppose that  $x$  is a cluster point of the net  $(x_i)_{i \in I}$ . Let  $N(x)$  be the set of all neighbourhoods of  $x$  as in Proposition 1.3. We define

$$J = \{(U, i) : U \in N(x), x_i \in U\}$$

and impose the preorder  $(U, i) \preceq (U', i')$  if and only if  $U \supseteq U'$  and  $i \preceq i'$ . For any  $(U_0, i_0)$  and  $(U_1, i_1)$  in  $J$ , we can pick  $i' \in I$  such that  $i \succeq i_0$  and  $i \succeq i_1$ . Since  $x$  is a cluster point, there is some  $i^* \succeq i$  such that  $x_{i^*} \in U_0 \cap U_1$ , and we see that  $(U_0, i_0) \preceq (U_0 \cap U_1, i^*)$  and  $(U_1, i_1) \preceq (U_0 \cap U_1, i^*)$ . This shows that  $J$  is a directed set. We define the map  $\phi : J \rightarrow I$  by sending  $(U, i) \mapsto i$ . This is certainly cofinal, by our choice of preorder on  $J$ . To show that  $(x_{\phi(j)})_{j \in J}$  converges to  $x$ , let  $V$  be any neighbourhood of  $x$ . Since  $x$  is a cluster point, there is some  $i \in I$  with  $x_i \in V$ ; consider the element  $j = (V, i) \in J$ . For any  $j' = (V', i') \in J$  with  $(V', i') \succeq (V, i)$ , we have  $V' \subseteq V$  and  $x_{i'} \in V'$ , so  $x_{\phi(j')} = x_{i'} \in V$ .  $\blacksquare$

**Compactness.** A set  $A$  is *compact* if every open cover of  $A$  has a finite subcover. If every point of  $S$  has some compact neighbourhood, then  $S$  is called *locally compact*.

## 2. The structure of locally compact abelian groups

A topological abelian group is an abelian group  $G$  endowed with the topology of a Hausdorff space, such that the map from  $G \times G$  to  $G$  sending  $(x, y) \mapsto x - y$  is continuous. (The topology on  $G \times G$  is taken to be the product topology).

If, in addition,  $G$  is also locally compact, then  $G$  is said to be a *locally compact abelian* group.

The following proposition shows that by passing to closures, we can always assume subgroups to be closed.

**Proposition 2.1.** *Let  $G$  be a locally compact abelian group and  $H$  a subgroup of  $G$ . Then the closure  $\overline{H}$  of  $H$  is also a subgroup of  $G$ .*

*Proof.* Let  $x, y \in \overline{H}$  and let  $Z$  be any neighbourhood of  $x - y$ . Since multiplication is continuous (and by the definition of the product topology), there is an open neighbourhood  $X$  of  $x$  and  $Y$  of  $y$  such that  $X - Y \subseteq Z$ . By the definition of closure, there are points  $a \in X \cap H$  and  $b \in Y \cap H$ . The point  $a - b$  is in  $H$  because  $H$  is a subgroup, and thus  $a - b \in Z \cap H$ . ■

Let  $G$  be a locally compact abelian group. The set of all measures  $\mu$  on  $G$  forms a topological semigroup under the convolution operation

$$\mu * \nu(A) = \int_G \chi_A(x + y) d\mu(x) d\nu(y),$$

where  $\chi_A$  denotes the characteristic function of  $A$ .

## References