NPRG045 Project Specification: OPythn

Marcel Goh

March 8, 2019

Marcel Goh

1 Target

The OPythn project aims to implement a working subset of the Python programming language by means of a bytecode compiler and interpreter, written in OCaml. Users will be able to interact with OPythn via a top-level read-eval-print loop or compile OPythn source code to bytecode. OPythn will run on Unix operating systems, including macOS and Ubuntu.

2 Language

The core of OPythn is designed to be lightweight and minimal. Basic types and operations, control structures, and elementary data structures are included, while more complex Python constructions, such as anonymous functions, list comprehensions, generators, and coroutines are omitted.

2.1 Features

OPythn inherits the following features directly from Python:

- Primitive types int, float, str, and bool
- Arithmetic and boolean operators
- Control structures if, for, and while
- Lists and dictionaries
- Named functions
- Classes and objects

To round out OPythn's standard library, other core functions will be defined in OPythn and included in the standard OPythn installation. These mainly consist of basic operations on mathematical objects, lists, and strings.

2.2 Lexical Conventions

The following are OPythn keywords and cannot be used in ordinary names:

int	float	str	bool	def	return
True	False	None	and	or	not
if	elif	else	for	in	while
break	continue	class	is	del	import
in	from	as			

The rules that the lexer uses to recognise identifiers, numbers, and special characters are defined by the following regular expressions:

```
ENDMARKER: '\Z'
NAME: '[^\d\W]\w*'
NEWLINE: '\n'
NUMBER: '[+-]?((\d+\.\d*|\d*\.\d+)'
STRING: '(\"[^\n\"\]\")|(\'[^\n\'\\]\')'
```

2.3 Grammar

This is the complete OPythn grammar specification:

```
# Start symbols
single_input: NEWLINE | simple_stmt | compound_stmt NEWLINE
file_input: (NEWLINE | stmt)* ENDMARKER
funcdef: 'def' NAME parameters ':' suite
classdef: 'class' NAME ['(' [arglist] ')'] ':' suite
parameters: '(' [arglist] ')'
vararglist: arg (',' arg)*
arg: NAME
stmt: simple_stmt | compound_stmt
simple_stmt: small_stmt (';' small_stmt)* [';'] NEWLINE
small_stmt: (expr_stmt | del_stmt | flow_stmt | import_stmt)
expr_stmt:
compound_stmt: if_stmt | while_stmt | for_stmt | funcdef |
    classdef
# Control structures
if_stmt: 'if' test ':' suite ('elif' test ':' suite)*
    ['else' ':' suite]
while_stmt: 'while' test ':' suite ['else' ':' suite]
for_stmt: 'for' exprlist 'in' testlist ':' suite
    ['else' ':' suite]
# Tests and expressions
test: or_test ['if' or_test 'else' test]
or_test: and_test ('or' and_test)*
and_test: not_test ('and' not_test)*
not_test: 'not' not_test | comparison
comparison: expr (comp_op expr)*
comp_op: '<'|'>'|'=='|'>='|'<='|'!='|'in'|'not' 'in'|
   'is'|'is' 'not'
expr: xor_expr ('|' xor_expr)*
xor_expr: and_expr ('^' and_expr)*
and_expr: shift_expr ('&' shift_expr)*
shift_expr: arith_expr (('<<'|'>>') arith_expr)*
arith_expr: term (('+'|'-') term)*
term: factor (('*'|'/'|'%'|'//') factor)*
factor: ('+'|'-'|'~') factor | power
power: atom_expr ['**' factor]
atom_expr: atom trailer*
atom: (NAME | NUMBER | STRING+ | '...' | 'None' |
    'True' | 'False')
trailer: '(' [arglist] ')' | '[' subscript ']' | . NAME
subscriptlist: subscript (',' subscript)* [',']
```

Marcel Goh 3

3 Implementation

Both the OPythn bytecode compiler and interpreter will be implemented in OCaml. This section gives a rough overview of the process by which OPythn source code is handled and executed.

3.1 Input/Output

The OPythn program can be run directly in a Unix terminal via the command opythn. When run without arguments, this command launches a read-eval-print loop into which the user can enter commands. In interactive mode, the interpreter evaluates a valid command as soon as it is received:

```
OPythn (Interactive), version 0.0.0
:? for help, :q to quit
]=> print("Hello, world!")
Hello, world!
```

Alternatively, OPythn source code can be defined in a separate .opy file and provided to the interpreter as a program argument. In this, mode, OPythn immediately compiles and interprets the source code directly.

3.2 Lexical Analysis

Upon reading source code, the OPythn front-end passes the data as a string to a series of functions that lex the code into tokens. The lexer will be created with the help of ocamllex, a program that generates a deterministic finite automaton in OCaml. This resulting lexer matches regular expressions in the string to convert chunks of characters into the correct tokens.

3.3 Parsing

The tokens produced by the lexer is then fed into the parser, which will be implemented according to the grammar rules outlined in Section 2.3 and using ocamlyacc, an OCaml parser generator. The result will be an abstract syntax tree that represents the structure and semantics of the OPythn program.

3.4 Bytecode

OPythn evaluates an abstract syntax tree by generating intermediary bytecode, which is evaluated by a virtual stack machine, as in many conventional Python implementations. As an example of what this looks like, consider this simple algorithm for integer exponentiation:

```
x = int(input("Enter_the_multiplicand:_"))
y = int(input("Enter_the_multiplier:_"))

acc = 0
while y > 0:
    if y % 2 == 0:
        x *= 2
        y //= 2
    else:
        acc += x
        y -= 1
print("The_product_is:_", acc)
```

The disassembled output produced by the CPython interpreter looks like this:

```
0 LOAD_NAME
                             0 (int)
 2 LOAD_NAME
                             1 (input)
 4 LOAD_CONST
                             0 ('Enter the multiplicand: ')
 6 CALL_FUNCTION
                             1
 8 CALL_FUNCTION
                             1
10 STORE_NAME
                             2 (x)
                             0 (int)
12 LOAD_NAME
14 LOAD_NAME
                             1 (input)
16 LOAD_CONST
                             1 ('Enter the multiplier: ')
18 CALL_FUNCTION
                             1
20 CALL_FUNCTION
                             1
22 STORE_NAME
                             3 (y)
24 LOAD_CONST
                             2 (0)
26 STORE_NAME
                             4 (acc)
28 SETUP_LOOP
                            58 (to 88)
30 LOAD_NAME
                             3 (y)
32 LOAD_CONST
                             2 (0)
34 COMPARE_OP
                             4 (>)
36 POP_JUMP_IF_FALSE
                            86
38 LOAD_NAME
                             3 (y)
40 LOAD_CONST
                             3 (2)
42 BINARY_MODULO
44 LOAD_CONST
                             2 (0)
46 COMPARE_OP
                             2 (==)
48 POP_JUMP_IF_FALSE
                            68
50 LOAD_NAME
                             2 (x)
52 LOAD_CONST
                             3 (2)
54 INPLACE_MULTIPLY
```

Marcel Goh 5

```
56 STORE_NAME
                               2 (x)
 58 LOAD_NAME
                               3 (y)
 60 LOAD_CONST
                               3 (2)
62 INPLACE_FLOOR_DIVIDE
64 STORE_NAME
                              3(y)
66 JUMP_ABSOLUTE
                              30
68 LOAD_NAME
                              4 (acc)
70 LOAD_NAME
                              2 (x)
72 INPLACE_ADD
74 STORE_NAME
                              4 (acc)
76 LOAD_NAME
                               3 (y)
78 LOAD_CONST
                               4 (1)
80 INPLACE_SUBTRACT
82 STORE_NAME
                              3 (y)
 84 JUMP_ABSOLUTE
                              30
86 POP_BLOCK
88 LOAD_NAME
                              5 (print)
                               5 ('The product is: ')
90 LOAD_CONST
92 LOAD_NAME
                              4 (acc)
94 CALL_FUNCTION
96 POP_TOP
98 LOAD_CONST
                              6 (None)
100 RETURN_VALUE
```

OPythn compiled source will look largely the same, but will not be converted into bitstrings. Instead, an instr datatype will be used to capture the same information as a single two-byte bytecode instruction.

```
type instr =
   LOAD_NAME of int
| LOAD_CONST of int
(* ... *)
| RETURN_VALUE
```

The advantage of this representation is that the interpreter can easily pattern-match on instruction tags. When expressed in this way, the above bytecode would be represented as the following instrarray.

```
[| LOAD_NAME 0;
  LOAD_NAME 1;
  LOAD_CONST 0;
  CALL_FUNCTION 1;
  CALL_FUNCTION 1;
  STORE_NAME 2;
  (* ... *)
  RETURN_VALUE |]
```

References

[1] Guido van Rossum. The Python Language Reference. Python Software Foundation, 2019.