# The Neural Network Objects

Johannes Steffens<sup>1</sup>, Marcel Kunze, Helmut Schmücker Institut für Experimentalphysik 1, Ruhr-Universität Bochum

Neural Network Objects (NNO) is a C++ class library that implements the most popular conventional neural networks together with novel <u>incremental models</u> that have been invented at Bochum University. The package is publicly available and has proven versatile in a broad range of applications over the past years. Recently NNO has been completely revised in order to take full advantage of the <u>ROOT framework</u> for data management and graphics. The <u>Rho Analysis Framework</u> is distributed with all the example programs and training files mentioned in this document.

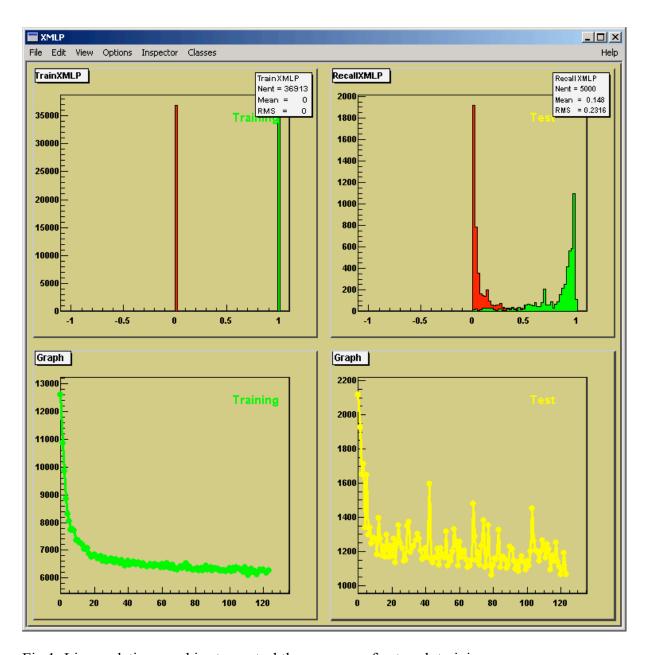


Fig.1: Live updating graphics to control the progress of network training

-

<sup>&</sup>lt;sup>1</sup> Now director of software development at Eyematic Interfaces Inc., LA

The example shows the network output for training and test sample (upper row) and the corresponding error function as the training cycles commence.

## Architecture

At the time being the package comprises

| Supervised Training Models          | Multi-Layer Perceptron (TMLP, TXMLP) Fisher Discriminant (TFD) Supervised Growing Cell Structure (TSGCS) Supervised Growing Neural Gas (TSGNG) Neural Network Kernel (TNNK) |
|-------------------------------------|---|
| <b>Unsupervised Training Models</b> | Learning Vector Quantisation (TLVQ) Growing Cell Structure (TGCS) Growing Neural Gas (TGNG)   |

The design foresees that all models are derived from the same abstract base class *VNeuralNet*. The common base class enforces a unique interface to data management, training and recall cycles and graphics operations at one central place. *VSupervisedNet* and *VUnsupervisedNet* both inherit from *VNeuralNet* and take care of the different learning paradigms. In addition specific implementations of the networks can utilize a plotter to produce a live updating graphics window to control the training progress: The abstract *VNeuralNetPlotter* interface allows to plug in a graphics engine, like the default **TSimpleNeuralNetPlotter**.

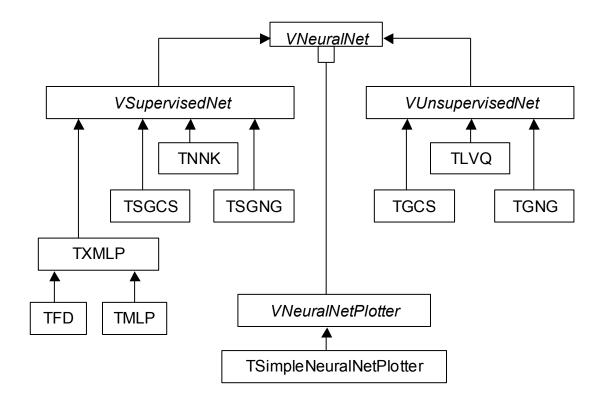


Fig.2: NNO class hierarchy

All networks implement the *VNeuralNet* interface and use a *VNeuralNetPlotter* to show their training progress.

## *Implementation*

The *VNeuralNet* abstract interface defines the following contract for the implementation of specific neural network models:

```
// Abstract interface for all networks
virtual void AllocNet() = 0;
virtual void InitNet() = 0;
virtual void WriteText() = 0;
virtual void WriteBinary() = 0;
virtual void ReadText() = 0;
virtual void ReadBinary() = 0;
virtual Double_t* Recall(NNO_INTYPE* in,NNO_OUTTYPE* out=0) = 0;
virtual Double_t Train(NNO_INTYPE* in,NNO_OUTTYPE* out=0) = 0;
```

AllocNet acquires resources and is executed during network construction. InitNet sets up the network weight matrix. WriteText persists the network as an ASCII file, WriteBinary produces a binary file. The corresponding reading versions are able to regenerate a network in the state it was at the time when it was saved. The Recall method takes an input vector as parameter and returns the corresponding network output. The Train function takes a pair of input/output vectors, performs a Recall, modifies the weight matrix to better adapt the input probability density function and returns the squared error of the sample.

Besides the abstract interface, concrete methods have been implemented to support the execution of training cycles and to set training parameters:

```
// Training and testing
Double_t TrainEpoch(TDataServe *server, Int_t nEpoch=1);
Double_t TestEpoch(TDataServe *server);
void BalanceSamples(Bool_t yesNo = kTRUE);
virtual void SetMomentumTerm(Double_t f);
virtual void SetFlatSpotElimination(Double_t f);
```

**TDataServe** is a mini database to support management of input/output vector relations. It allows to partition datasets into training and test samples, retrieve arbitrary samples and shuffle a data set prior to a new training cycle. *TrainEpoch* and *TestEpoch* are functions to train and test networks with a complete set of vectors out of a **TDataServe** object. The *BalanceSamples* option allows to have equal training statistics for good and bad samples, independent of the number of vectors per sample. The application of a momentum term might lead to faster convergence in some applications by noting the direction of gradient descent, the flat spot elimination might improve training progress in regions where the derivatives of the error matrix are near zero

Each network implementation has to implement the abstract interface mentioned above. As an example for the integration of an independent neural network implementation into the context of NNO we have managed to support J.P. Ernenwein's Neural Network Kernel: The *TNNK* interface yields seamless access to the Neural Network Kernel in the scope of NNO.

#### *NetworkTrainer*

Network training requires to identify pairs of input vectors and output vectors out of a dataset of good and bad samples to describe the problem at hand. It usually takes a certain amount of time to select suiting quantities and assemble corresponding training and test files prior to network training and write a corresponding training program or macro. However, it turns out that ROOT is performing enough to allow for interactive training of large networks with large data samples out of arbitrary ROOT files in one go. In that spirit a *NetworkTrainer* program has been written on the basis of the NNO package. *NetworkTrainer* assists to

- Assemble training and testing data sets out of ROOT trees
- Define the network architecture
- Define a training schedule
- Persist networks
- Generate C++ code to perform network recall

At the time being *NetworkTrainer* reads an ASCII steering file when it launches (a GUI is in preparation). The steering file knows the following directives:

| Parameter | Type I = input O = output H = hidden C = cells | Description                                    |
|-----------|--|--|
| fisher    | vector<br>(I O)                                | Multi-layer perceptron (0 hidden layer)        |
| mlp       | vector<br>(I H O)                              | Multi-layer perceptron (1 hidden layer)        |
| xmlp      | vector<br>(I H H O)                            | Multi-layer perceptron (2 hidden layers)       |
| tnnk      | vector<br>(I H H O)                            | Multi-layer perceptron (Neural Network Kernel) |
| sgng      | vector<br>(I C O)                              | Supervised growing neural gas                  |
| sgcs      | vector<br>(I C O)                              | Supervised growing cell structures             |
| gng       | vector<br>(I C)                                | Growing neural gas                             |
| gcs       | vector<br>(I C)                                | Growing cell structures                        |
| lvq       | vector<br>(I C)                                | Learning vector quantization                   |
| start     | int  | First training epoch                           |
| stop      | int  | Last training epoch                            |
| epoch     | int  | Number of training samples per epoch           |

| test        | int    | Number of test samples per epoch                                 |
|-------------|--------|--|
| networkpath | string | Directory to save the trained networks                           |
| datapath    | string | Directory to look up data files                                  |
| file        | string | ROOT training file containing good and bad samples               |
| pro         | string | ROOT training file containing good samples (1D output only)      |
| con         | string | ROOT training file containing bad samples (1D output only)       |
| tree        | string | ROOT tree that acts as source to assemble the vectors            |
| cut         | string | ROOT TFormula for preselection of samples                        |
| input       | string | Input vector, ROOT TFormulae (separated by colon)                |
| output      | string | Output vector, ROOT TFormulae (separated by colon)               |
| transfer    | string | Transfer function (TR FERMI,TR LINEAR,TR LINEAR BEND,TR SIGMOID) |
| momentum    | float  | Momentum term  |
| scale       | float  | Global scale factor to apply to input layer                      |
| inscale     | vector | Scale factors to apply to input layer                            |
| outscale    | vector | Scale factors to apply to output layer                           |
| autoscale   | bool   | Determine scale factors to apply to input layer                  |
| plot        | bool   | Produce graphics output (1D output only)                         |
| balance     | bool   | Enforce presentation of equal number of good and bad samples     |

A sample steering file for training of a selector to separate different charged particles in a typical HEP experiment could look like the following:

```
# Training of PIDSelectors with NNO
#define the network topology
xmlp 7 15 10 1
transfer TR FERMI
momentum 0.\overline{2}
balance true
plots true
test 10000
start 1
stop 200
#define the data source
datapath ../Data
networkpath ../Networks
file PidTuple1.root
file PidTuple2.root
#set up the input layer (use branch names)
tree PidTuple
cut mom>0.5&&dch>0&&dch<10000
input mom:acos(theta):svt:emc:drc:dch:ifr:ifrExp:ifrAdd
autoscale true
#set up the output layer (use branch names)
```

```
#Particles pid = {electron=1, muon, pion, kaon, proton}
output abs(pid) == 3
#end of file
```

The example above reads two input files, assembles a data server using all samples surviving the cut and runs for 200 training epochs with a 7-15-10-1 multi-layer perceptron using all available samples. In the course of the training after each epoch a persistent network file NNOxxxx.TXMLP is saved into the Networks directory, where xxxx denotes the epoch number. At the end, NetworkTrainer produces a template recall function that can be plugged into another program that wants to make use of a network. For the above example the file RecallTXMLP.cpp looks like is shown below for illustration purposes:

```
// TXMLP network trained with NNO NetworkTrainer at Fri Apr 27
// Input parameters mom:acos(theta):svt:emc:drc:dch:ifr:ifrExp:ifrAdd
// Output parameters abs(pid) == 3
// Training files:
//../Data/PidTuple1.root
//../Data/PidTuple2.root
#include "RhoNNO/TXMLP.h"
Double t* Recall(Double t *invec)
     static TXMLP net("TXMLP.net");
     Float t x[7];
     x[0]
              = 0.76594 *
                              invec[0]; // mom
                              invec[1]; // acos(theta)
               = 2.21056 *
     x[1]
              = 0.20365 *
= 2.2859 *
                              invec[2]; // svt
     x[2]
              = 2.2859 *
                              invec[3]; // emc
     x[3]
                              invec[4]; // drc
     x[4]
              = 1.75435 *
              = 0.00165 *
                              invec[5]; // dch
     x[5]
                              invec[6]; // ifr
     x[6]
              = 0.85728 *
     return net.Recall(x);
```

## How to get and build RhoNNO

Rho resides in Google code. If you wish to install and build, you have to check out and build the corresponding packages:

Then check out the RhoNNO sources and start the build:

```
> git clone https://code.google.com/p/rhonno/
> cd rhoframework/RhoNNO
> make -f GNUmakefile.Linux
```

Build and run the applications

- > make -f GNUmakefile.Linux strain
- > \$RHO/bin/strain
- > make -f GNUmakefile.Linux NetworkTrainer
- > \$RHO/bin/NetworkTrainer pid.nno

Prior to start you should install the most recent production version of ROOT from root.cern.ch and set the ROOTSYS environment variable correspondingly. In addition you have to add \$ROOTSYS/lib and \$RHO/lib to your LD\_LIBRARY\_PATH in order to resolve the shared libs.

A Windows distribution is available upon request.

## References

Bernd Fritzke: Vektorbasierte Neuronale Netze, Habilitationsschrift, 1998