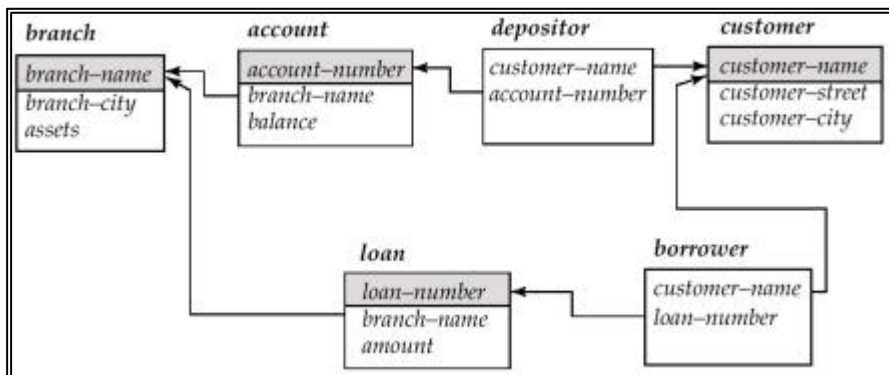


IF3111 - SQL

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Schema Used in Examples



Basic Structure

- SQL is based on set and relational operations with certain modifications and enhancements
- A typical SQL query has the form:

select A_1, A_2, \dots, A_n
from r_1, r_2, \dots, r_m
where P

- A_i s represent attributes
- r_i s represent relations
- P is a predicate.

This query is equivalent to the relational algebra expression.

$$\Pi_{A_1, A_2, \dots, A_n}(\sigma_P(r_1 \times r_2 \times \dots \times r_m))$$



The result of a SQL query is a relation.

The SELECT Clause

- The **select** clause list the attributes desired in the result
 - corresponds to the projection operation of the relational algebra
- E.g. find the names of all branches in the *loan* relation

```
select branch-name
from loan
```
- In the “pure” relational algebra syntax:
$$\Pi_{\text{branch-name}}(\text{loan})$$
- SQL allows duplicates in both relations and query results.
 - To force the elimination of duplicates, use the keyword **distinct** after **select**.

```
select distinct branch-name
from loan
```
 - The keyword **all** specifies that duplicates not be removed (default).

```
select all branch-name
from loan
```



NOTE: SQL does not permit the '-' character in names,

Use, e.g., *branch_name* instead of *branch-name* in a real implementation.

We use '-' since it looks nicer!

The SELECT Clause (Cont.)

- An asterisk in the select clause denotes "all attributes"

```
select *  
from loan
```

- The **select** clause can contain arithmetic expressions involving the operation, +, −, *, and /, and operating on constants or attributes of tuples.

- The query:

```
select loan-number, branch-name, amount * 100  
from loan
```

would return a relation which is the same as the *loan* relations, except that the attribute *amount* is multiplied by 100.

The WHERE Clause

- The **where** clause specifies conditions that must be satisfied
 - corresponds to the selection predicate of the relational algebra.
- To find all loan number for loans made at the Perryridge branch with loan amounts greater than \$1200.

```
select loan-number
from loan
where branch-name = 'Perryridge' and amount > 1200
```

- Comparison results can be combined using the logical connectives **and**, **or**, and **not**.
- Comparisons can be applied to results of arithmetic expressions.
- SQL includes a **between** comparison operator
 - E.g. Find the loan number of those loans with loan amounts between \$90,000 and \$100,000 (that is, $\geq \$90,000$ and $\leq \$100,000$)

```
select loan-number
from loan
where amount between 90000 and 100000
```



The FROM Clause

- The **from** clause lists the relations involved in the query
 - corresponds to the Cartesian product operation of the relational algebra.
- Find the Cartesian product *borrower x loan*
select *
from *borrower, loan*
- Find the name, loan number and loan amount of all customers having a loan at the Perryridge branch.

The Rename Operation and Tuple Variables

- SQL allows renaming relations and attributes using **as** clause
old-name as new-name
 - Find the name, loan number and loan amount of all customers;
rename the column name *loan-number* as *loan-id*.
select *customer-name, borrower.loan-number as loan-id, amount*
from *borrower, loan*
where *borrower.loan-number = loan.loan-number*
- Tuple variables are defined in **from** clause via **as** clause.
 - Find the customer names and their loan numbers for all customers
having a loan at some branch.
select *customer-name, T.loan-number, S.amount*
from *borrower as T, loan as S*
where *T.loan-number = S.loan-number*
 - Find the names of all branches that have greater assets than some
branch located in Brooklyn.
select distinct *T.branch-name*
from *branch as T, branch as S*
where *T.assets > S.assets and S.branch-city = 'Brooklyn'*



String Operations

- SQL includes a string-matching operator for comparisons on character strings. Patterns are described using two special characters:
 - percent (%). The % character matches any substring.
 - underscore (_). The _ character matches any character.
- Find the names of all customers whose street includes the substring "Main".

```
select customer-name  
from customer  
where customer-street like '%Main%'
```

- Match the name "Main%"

```
like 'Main\%' escape '\'
```

SQL supports a variety of string operations such as

concatenation (using "||")

converting from upper to lower case (and vice versa)

finding string length, extracting substrings, etc.

Ordering the Display of Tuples

- List in alphabetic order the names of all customers having a loan in Perryridge branch

```
select distinct customer-name  
from borrower, loan  
where borrower.loan-number = loan.loan-number  
       and branch-name = 'Perryridge'  
order by customer-name
```

- We may specify **desc** for descending order or **asc** for ascending order, for each attribute; ascending order is the default.
 - E.g. **order by** customer-name **desc**

Duplicates

- In relations with duplicates, SQL can define how many copies of tuples appear in the result.
- *Multiset* versions of some of the relational algebra operators – given multiset relations r_1 and r_2 :
 1. **$\sigma_q(r_1)$** : If there are c_1 copies of tuple t_1 in r_1 , and t_1 satisfies selections σ_q , then there are c_1 copies of t_1 in $\sigma_q(r_1)$.
 2. **$\pi_A(r)$** : For each copy of tuple t_1 in r_1 , there is a copy of tuple $\pi_A(t_1)$ in $\pi_A(r_1)$ where $\pi_A(t_1)$ denotes the projection of the single tuple t_1 .
 3. **$r_1 \times r_2$** : If there are c_1 copies of tuple t_1 in r_1 and c_2 copies of tuple t_2 in r_2 , there are $c_1 \times c_2$ copies of the tuple t_1, t_2 in $r_1 \times r_2$
- SQL duplicate semantics:

select A_1, A_2, \dots, A_n
from r_1, r_2, \dots, r_m
where P

is equivalent to the *multiset* version of the expression:

$$\pi_{A_1, A_2, \dots, A_n}(\sigma_P(r_1 \times r_2 \times \dots \times r_m))$$



Example:

Suppose multiset relations r_1 (A, B) and r_2 (C) are as follows:

$$r_1 = \{(1, a) (2, a)\} \quad r_2 = \{(2), (3), (3)\}$$

Set Operations

- The set operations **union**, **intersect**, and **except** operate on relations and correspond to the relational algebra operations \cup , \cap , $-$.

- Each of the above operations automatically eliminates duplicates; to retain all duplicates use the corresponding multiset versions **union all**, **intersect all** and **except all**.

Suppose a tuple occurs m times in r and n times in s , then, it occurs:

- $m + n$ times in r **union all** s
 - $\min(m, n)$ times in r **intersect all** s
 - $\max(0, m - n)$ times in r **except all** s
- Find all customers who have a loan, an account, or both.

```
(select customer-name from depositor)  
union (select customer-name from borrower)
```
- Find all customers who have both a loan and an account.
- Find all customers who have an account but no loan.

Aggregate Functions

- These functions operate on the multiset of values of a column of a relation, and return a value

avg: average value

min: minimum value

max: maximum value

sum: sum of values

count: number of values

- Find the average account balance at the Perryridge branch.

select avg (*balance*)

from *account*

where *branch-name* = 'Perryridge'

- Find the number of tuples in the *customer* relation.

select count (*)

from *customer*

- Find the number of depositors in the bank.

Aggregate Functions – Group By & Having

- Find the number of depositors for each branch.

```
select branch-name, count (distinct customer-name)
from depositor, account
where depositor.account-number = account.account-number
group by branch-name
```

- Find the names of all branches where the average account balance is more than \$1,200.

```
select branch-name, avg (balance)
from account
group by branch-name
having avg (balance) > 1200
```



Attributes in **select** clause outside of aggregate functions must appear in **group by** list

Predicates in the **having** clause are applied after the formation of groups whereas predicates in the **where** clause are applied before forming groups

Null Values

- It is possible for tuples to have a null value, denoted by *null*, for some of their attributes
- *null* signifies an unknown value or that a value does not exist.
- The predicate **is null** can be used to check for null values.
 - E.g. Find all loan number with null values for *amount*.
select *loan-number*
from *loan*
where *amount* **is null**
- The result of any arithmetic expression involving *null* is *null*
 - E.g. 5 + null returns null
- Aggregate functions simply ignore nulls
 - Total all loan amounts
select **sum** (*amount*)
from *loan*
 - Above statement ignores null amounts
 - result is null if there is no non-null amount, that is the
 - All aggregate operations except **count(*)** ignore tuples with null values on the aggregated attributes.

Null Values and Three Valued Logic

- Any comparison with *null* returns *unknown*
 - E.g. $5 < \text{null}$ or $\text{null} < > \text{null}$ or $\text{null} = \text{null}$
- Three-valued logic using the truth value *unknown*:
 - OR: $(\text{unknown} \text{ or } \text{true}) =$, $(\text{unknown} \text{ or } \text{false}) =$
 $(\text{unknown} \text{ or } \text{unknown}) =$
 - AND: $(\text{true} \text{ and } \text{unknown}) =$, $(\text{false} \text{ and } \text{unknown}) =$,
 $(\text{unknown} \text{ and } \text{unknown}) =$
 - NOT: $(\text{not } \text{unknown}) =$
 - “*P* is **unknown**” evaluates to true if predicate *P* evaluates to *unknown*
- Result of **where** clause predicate is treated as *false* if it evaluates to *unknown*

Nested Subqueries

- SQL provides a mechanism for the nesting of subqueries.
- A subquery is a **select-from-where** expression that is nested within another query.
- A common use of subqueries is to perform tests for **set membership**, set comparisons, and set cardinality.
- Find all customers who have both an account and a loan at the bank.

```
select distinct customer-name
from borrower
where customer-name in (select customer-name
                        from depositor)
```

- Find all customers who have a loan at the bank but do not have an account at the bank

```
select distinct customer-name
from borrower
where customer-name not in (select customer-name
                           from depositor)
```

Nested Subqueries - Set Comparison

- $F < \text{comp} > \text{some } r \Leftrightarrow \exists t \in r \text{ s.t. } (F < \text{comp} > t)$

Where $< \text{comp} >$ can be:

$<, \leq, >, =, \neq$

$$(5 < \text{some} \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline 6 \\ \hline \end{array}) = \text{true}$$

(read: 5 < some tuple in the relation)

$$(5 < \text{some} \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline \end{array}) = \text{false}$$

$$(5 = \text{some} \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline \end{array}) = \text{true}$$

$$(5 \neq \text{some} \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline \end{array}) = \text{true (since } 0 \neq 5)$$

- $F < \text{comp} > \text{all } r \Leftrightarrow \forall t \in r (F < \text{comp} > t)$

$$(5 < \text{all} \begin{array}{|c|} \hline 0 \\ \hline 5 \\ \hline 6 \\ \hline \end{array}) = \text{false}$$

$$(5 < \text{all} \begin{array}{|c|} \hline 6 \\ \hline 10 \\ \hline \end{array}) = \text{true}$$

$$(5 = \text{all} \begin{array}{|c|} \hline 4 \\ \hline 5 \\ \hline \end{array}) = \text{false}$$

$$(5 \neq \text{all} \begin{array}{|c|} \hline 4 \\ \hline 6 \\ \hline \end{array}) = \text{true (since } 5 \neq 4 \text{ and } 5 \neq 6)$$

$(= \text{some}) \equiv \text{in}$

However, $(\neq \text{some}) \not\equiv \text{not in}$

$(\neq \text{all}) \equiv \text{not in}$

However, $(= \text{all}) \not\equiv \text{in}$



Example Query

- Find all branches that have greater assets than some branch located in Brooklyn.

```
select distinct T.branch-name  
from branch as T, branch as S  
where T.assets > S.assets and  
      S.branch-city = 'Brooklyn'
```

OR

```
select branch-name  
from branch  
where assets > some (select assets  
                    from branch  
                    where branch-city = 'Brooklyn')
```

- Find the names of all branches that have greater assets than all branches located in Brooklyn.

Nested Subqueries - Test for Empty Relations

- The **exists** construct returns the value **true** if the argument subquery is nonempty.
 - **exists** $r \Leftrightarrow r \neq \emptyset$
 - **not exists** $r \Leftrightarrow r = \emptyset$
- Find all customers who have an account at all branches located in Brooklyn.

```
select distinct S.customer-name
from depositoras S
where not exists (
    (select branch-name
     from branch
     where branch-city = 'Brooklyn')
  except
  (select R.branch-name
   from depositor as T, account as R
   where T.account-number = R.account-number and
         S.customer-name = T.customer-name))
```



Note that $X - Y = \emptyset \Leftrightarrow X \subseteq Y$

Cannot write this query using = **all** and its variants

Views

- Provide a mechanism to hide certain data from the view of certain users. To create a view we use the command:

```
create view v as <query expression>
```

where:

- <query expression> is any legal expression
- The view name is represented by *v*

- A view consisting of branches and their customers

```
create view all-customer as
```

```
  (select branch-name, customer-name
```

```
    from depositor, account
```

```
    where depositor.account-number = account.account-number)
```

```
union  (select branch-name, customer-name
```

```
      from borrower, loan
```

```
      where borrower.loan-number = loan.loan-number)
```

- Find all customers of the Perryridge branch

```
select customer-name
```

```
from all-customer
```

```
where branch-name = 'Perryridge'
```

Derived Relations

- Find the average account balance of those branches where the average account balance is greater than \$1200.

```
select branch-name, avg-balance
from (select branch-name, avg (balance)
      from account
      group by branch-name)
as result (branch-name, avg-balance)
where avg-balance > 1200
```

Note that we do not need to use the **having** clause, since we compute the temporary (view) relation *result* in the **from** clause, and the attributes of *result* can be used directly in the **where** clause.

Modification of the Database – Deletion

- Delete all account records at the Perryridge branch

```
delete from account  
where branch-name = 'Perryridge'
```

- Delete all accounts at every branch located in Needham city.

```
delete from account  
where branch-name in (select branch-name  
                        from branch  
                        where branch-city = 'Needham')
```

```
delete from depositor  
where account-number in  
      (select account-number  
       from branch, account  
       where branch-city = 'Needham'  
       and branch.branch-name = account.branch-name)
```

- Delete the record of all accounts with balances below the average at the bank.

```
delete from account  
where balance < (select avg (balance)  
                from account)
```



Problem: as we delete tuples from *deposit*, the average balance changes

Solution used in SQL:

1. First, compute **avg** balance and find all tuples to delete
2. Next, delete all tuples found above (without recomputing **avg** or retesting the tuples)

Modification of the Database – Insertion

- Add a new tuple to *account*
insert into *account*
values ('A-9732', 'Perryridge', 1200)
or equivalently
insert into *account* (*branch-name*, *balance*, *account-number*)
values ('Perryridge', 1200, 'A-9732')
- Add a new tuple to *account* with *balance* set to null
insert into *account*
values ('A-777', 'Perryridge', null)
- Provide as a gift for all loan customers of the Perryridge branch, a \$200 savings account. Let the loan number serve as the account number for the new savings account
insert into *account* **select** *loan-number*, *branch-name*, 200
from *loan*
where *branch-name* = 'Perryridge'
insert into *depositor* **select** *customer-name*, *loan-number*
from *loan*, *borrower*
where *branch-name* = 'Perryridge'
and *loan.account-number* = *borrower.account-number*



The select from where statement is fully evaluated before any of its results are inserted into the relation (otherwise queries like

insert into *table1* **select** * **from** *table1*

would cause problems

Modification of the Database – Updates

- Increase all accounts with balances over \$10,000 by 6%, all other accounts receive 5%.

```
update account  
  set balance = balance * 1.06  
  where balance > 10000
```

```
update account  
  set balance = balance * 1.05  
  where balance ≤ 10000
```

The order is important

- Update of a View
 - Create a view of all loan data in *loan* relation, hiding the *amount* attribute

```
create view branch-loan as  
  select branch-name, loan-number  
  from loan
```

- Add a new tuple to *branch-loan*

```
insert into branch-loan  
  values ('Perryridge', 'L-307')
```

This insertion must be represented by the insertion of the tuple
(*'L-307'*, *'Perryridge'*, *null*)

into the *loan* relation



Updates on more complex views are difficult or impossible to translate, and hence are disallowed.

Most SQL implementations allow updates only on simple views (without aggregates) defined on a single relation

Joined Relations

- Join operations take two relations and return as a result another relation.
- These additional operations are typically used as subquery expressions in the **from** clause
- Join condition – defines which tuples in the two relations match, and what attributes are present in the result of the join.
- Join type – defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated.

Join Types
inner join
left outer join
right outer join
full outer join

Join Conditions
natural
on <predicate>
using (A_1, A_2, \dots, A_n)

Joined Relations – Datasets for Examples

- Relation *loan*

<i>loan-number</i>	<i>branch-name</i>	<i>amount</i>
L-170	Downtown	3000
L-230	Redwood	4000
L-260	Perryridge	1700

- Relation *borrower*

<i>customer-name</i>	<i>loan-number</i>
Jones	L-170
Smith	L-230
Hayes	L-155

- Note: borrower information missing for L-260 and loan information missing for L-155

Joined Relations – Examples

- **loan inner join borrower on**
loan.loan-number = borrower.loan-number

<i>loan-number</i>	<i>branch-name</i>	<i>amount</i>	<i>customer-name</i>	<i>loan-number</i>
L-170	Downtown	3000	Jones	L-170
L-230	Redwood	4000	Smith	L-230

- **loan left outer join borrower on**
loan.loan-number = borrower.loan-number

<i>loan-number</i>	<i>branch-name</i>	<i>amount</i>	<i>customer-name</i>	<i>loan-number</i>
L-170	Downtown	3000	Jones	L-170
L-230	Redwood	4000	Smith	L-230
L-260	Perryridge	1700	<i>null</i>	<i>null</i>



Joined Relations – Examples

- *loan natural inner join borrower*

<i>loan-number</i>	<i>branch-name</i>	<i>amount</i>	<i>customer-name</i>
L-170	Downtown	3000	Jones
L-230	Redwood	4000	Smith

- *loan natural right outer join borrower*

<i>loan-number</i>	<i>branch-name</i>	<i>amount</i>	<i>customer-name</i>
L-170	Downtown	3000	Jones
L-230	Redwood	4000	Smith
L-155	null	null	Hayes

Joined Relations – Examples

- *loan* **full outer join** *borrower* **using** (*loan-number*)

<i>loan-number</i>	<i>branch-name</i>	<i>amount</i>	<i>customer-name</i>
L-170	Downtown	3000	Jones
L-230	Redwood	4000	Smith
L-260	Perryridge	1700	<i>null</i>
L-155	<i>null</i>	<i>null</i>	Hayes

- Find all customers who have either an account or a loan (but not both) at the bank.

select *customer-name*
from (*depositor* **natural full outer join** *borrower*)
where *account-number* **is** *null* **or** *loan-number* **is** *null*

Data Definition Language (DDL)

Allows the specification of not only a set of relations but also information about each relation, including:

- The schema for each relation.
- The domain of values associated with each attribute.
- Integrity constraints
- The set of indices to be maintained for each relations.
- Security and authorization information for each relation.
- The physical storage structure of each relation on disk.

Domain Types in SQL

- **char(*n*)**. Fixed length character string, with user-specified length *n*.
- **varchar(*n*)**. Variable length character strings, with user-specified maximum length *n*.
- **int**. Integer (a finite subset of the integers that is machine-dependent).
- **smallint**. Small integer (a machine-dependent subset of the integer domain type).
- **numeric(*p,d*)**. Fixed point number, with user-specified precision of *p* digits, with *n* digits to the right of decimal point.
- **real, double precision**. Floating point and double-precision floating point numbers, with machine-dependent precision.
- **float(*n*)**. Floating point number, with user-specified precision of at least *n* digits.
- Null values are allowed in all the domain types. Declaring an attribute to be **not null** prohibits null values for that attribute.
- **create domain** construct in SQL-92 creates user-defined domain types
create domain *person-name* **char**(20) **not null**

Date/Time Types in SQL

- **date.** Dates, containing a (4 digit) year, month and date
 - E.g. **date** '2001-7-27'
- **time.** Time of day, in hours, minutes and seconds.
 - E.g. **time** '09:00:30' **time** '09:00:30.75'
- **timestamp:** date plus time of day
 - E.g. **timestamp** '2001-7-27 09:00:30.75'
- **Interval:** period of time
 - E.g. Interval '1' day
 - Subtracting a date/time/timestamp value from another gives an interval value
 - Interval values can be added to date/time/timestamp values



Can extract values of individual fields from date/time/timestamp

E.g. **extract (year from r.starttime)**

Can cast string types to date/time/timestamp

E.g. **cast <string-valued-expression> as date**

Create Table Construct

- An SQL relation is defined using the **create table** command:

```
create table  $r$  ( $A_1 D_1, A_2 D_2, \dots, A_n D_n,$   
                (integrity-constraint1),  
                ...,  
                (integrity-constraintk))
```

- r is the name of the relation
 - each A_i is an attribute name in the schema of relation r
 - D_i is the data type of values in the domain of attribute A_i
- Example:

```
create table branch  
  (branch-name char(15) not null,  
   branch-city char(30),  
   assets integer)
```

Integrity Constraints in Create Table

- **not null**
- **primary key** (A_1, \dots, A_n)
- **check** (P), where P is a predicate

Example: Declare *branch-name* as the primary key for *branch* and ensure that the values of *assets* are non-negative.

```
create table branch
  (branch-name char(15),
   branch-city char(30)
   assets integer,
   primary key (branch-name),
   check (assets >= 0))
```

primary key declaration on an attribute automatically ensures **not null** in SQL-92 onwards, needs to be explicitly stated in SQL-89

Drop and Alter Table Constructs

- The **drop table** command deletes all information about the dropped relation from the database.
- The **alter table** command is used to add attributes to an existing relation.

alter table r add $A D$

where A is the name of the attribute to be added to relation r and D is the domain of A .

- All tuples in the relation are assigned *null* as the value for the new attribute.

- The **alter table** command can also be used to drop attributes of a relation

alter table r drop A

where A is the name of an attribute of relation r

- Dropping of attributes not supported by many databases