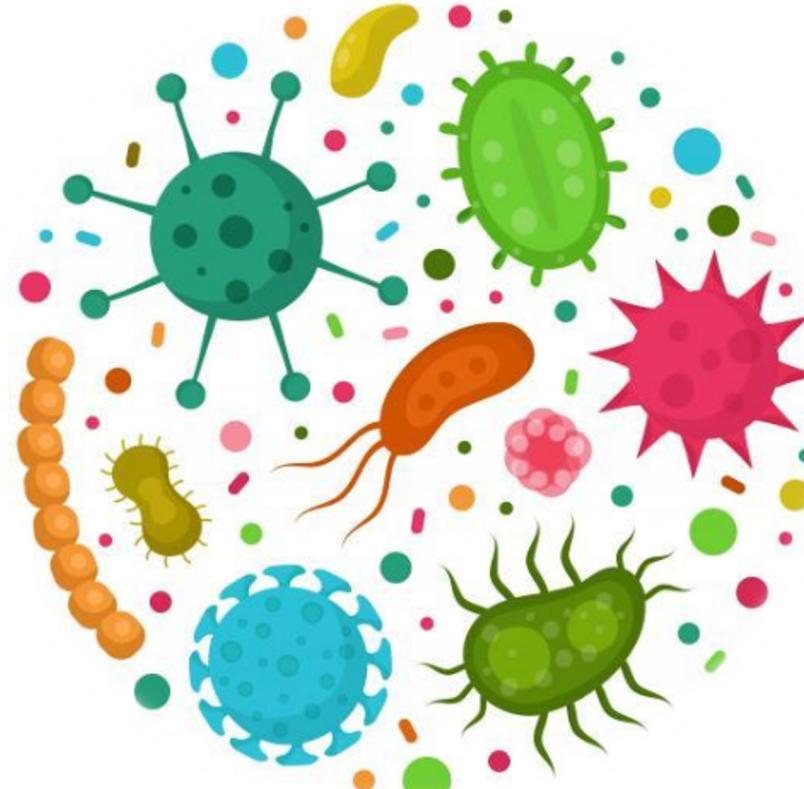


# $\alpha$ -diversity

j3  
– 01.10.25 –

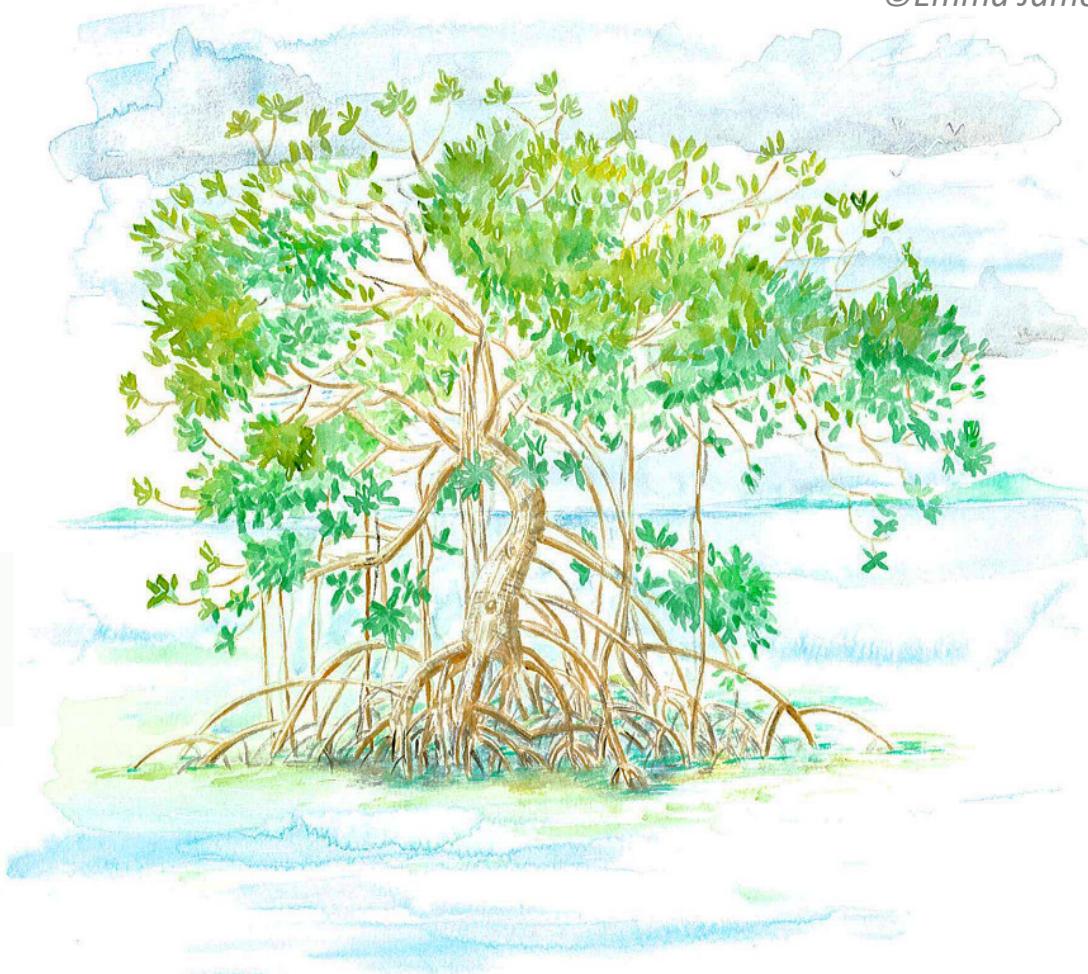


ANF METABIODIV

Bio-informatique & Sciences de l'Environnement : Exploration de la Diversité Taxonomique des Ecosystèmes par Metabarcoding

# What is Biodiversity?

The **variety** and **variability** among living organisms on site, ecosystem and their **interactions** between them



Diversity can be used to describe variation in several forms:

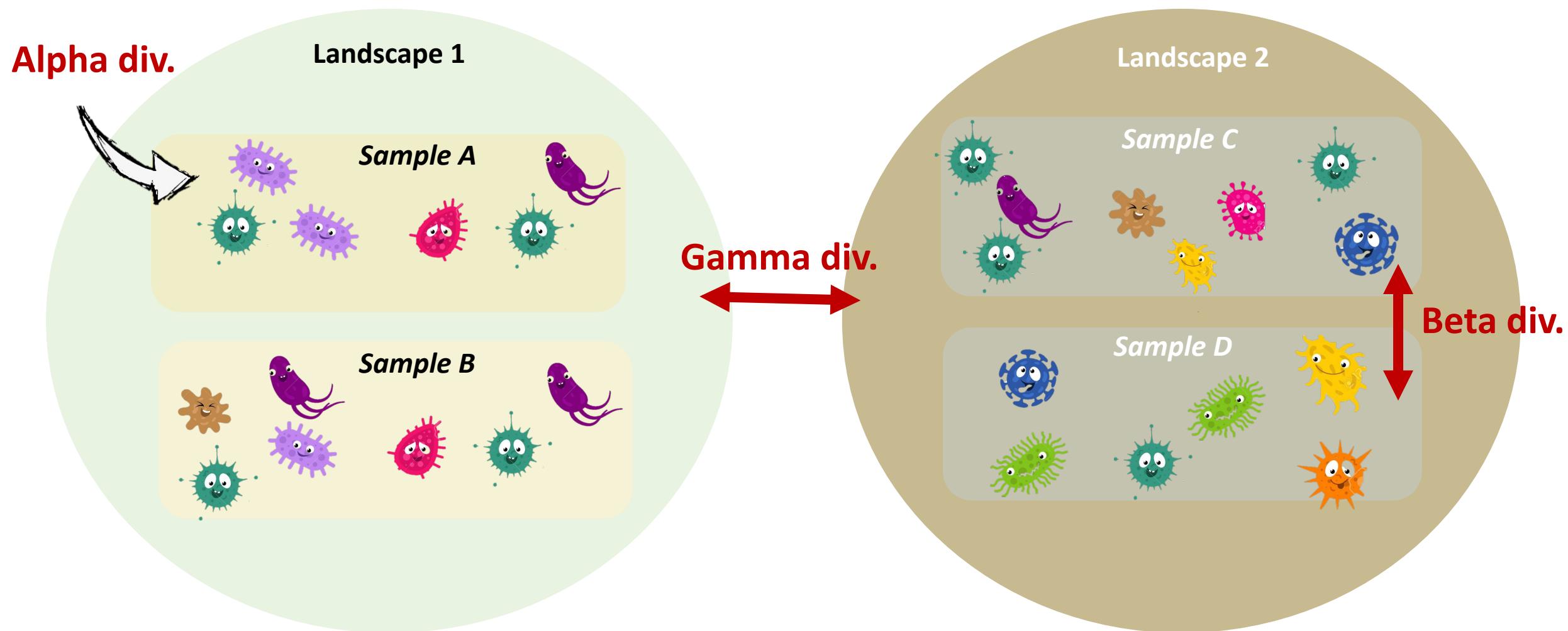
- **Genetic**
- **Taxonomic**
- **Functional group** (e.g. nitrogen-fixing)

# Why measuring Biodiversity?



## Alpha vs Beta vs Gamma Diversity (Whittaker, 1972)

Alpha, beta and gamma diversity are three types of biodiversity measures described over a special scale



# $\alpha$ -Biodiversity ?

$\alpha$ -diversity is local diversity, measured within a closed system  
→ The diversity within an habitat of fixed size

$\alpha$ -biodiversity has two components

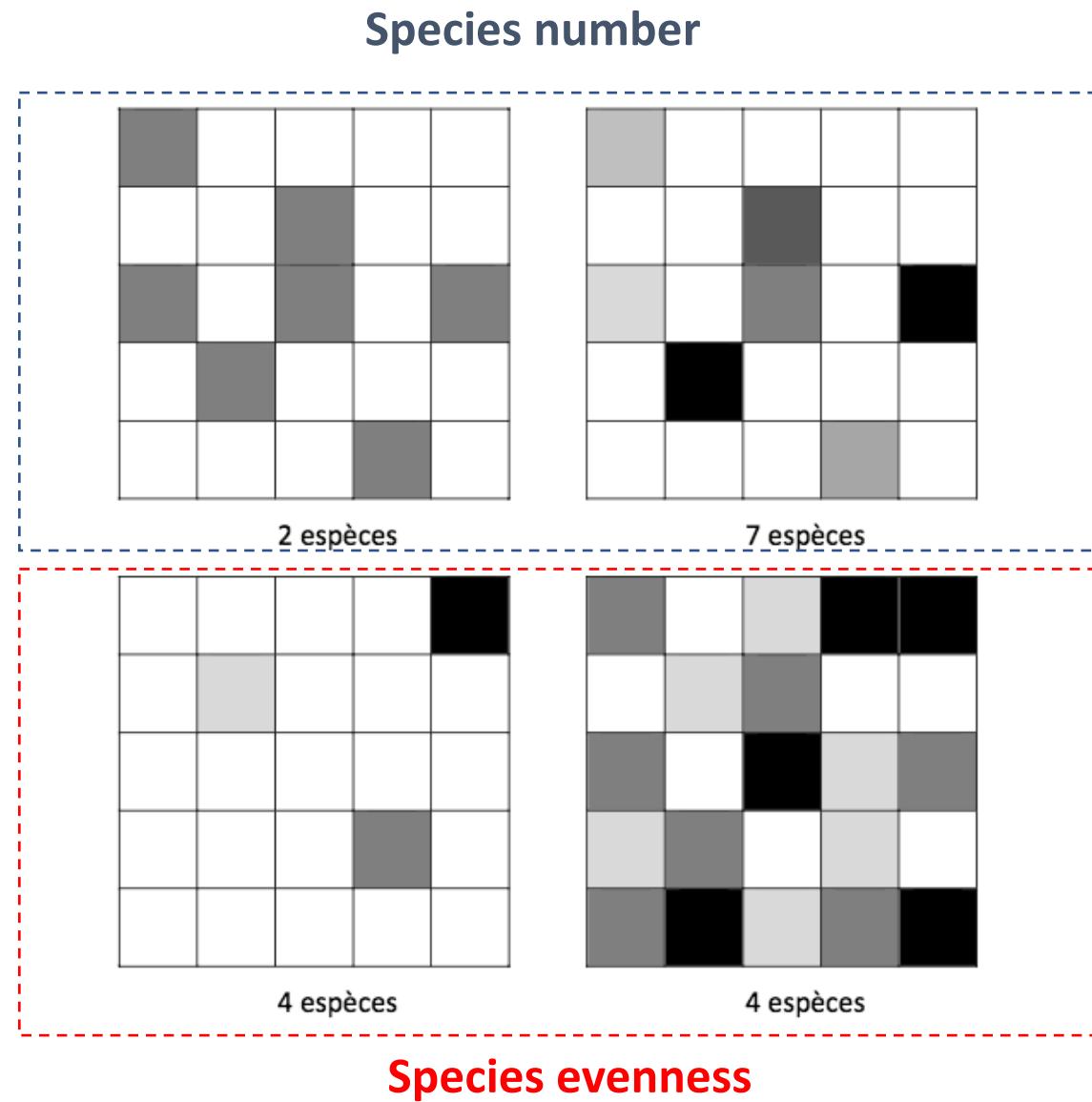
- Richness
- Evenness

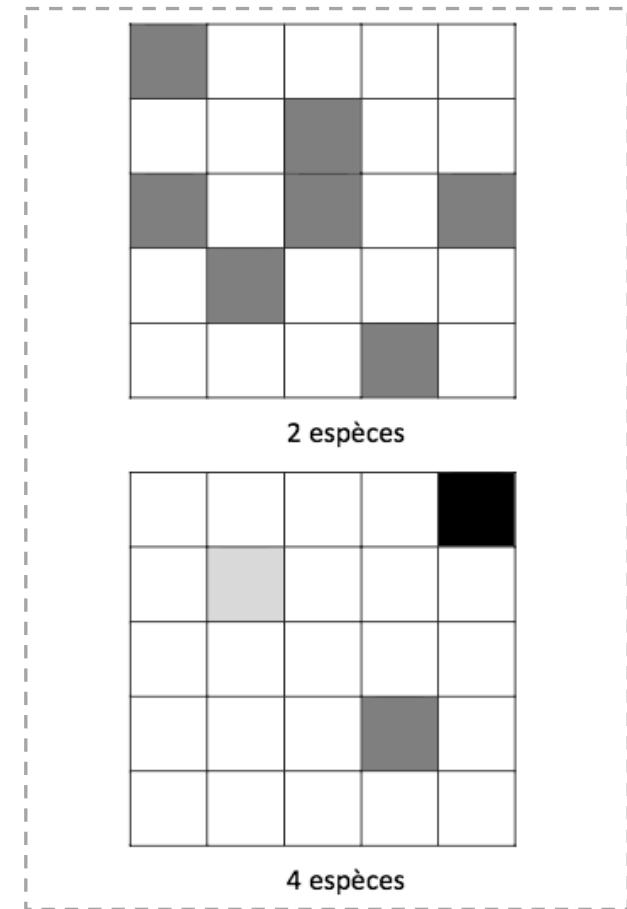
And another one...

- Disparity (A. Stirling)

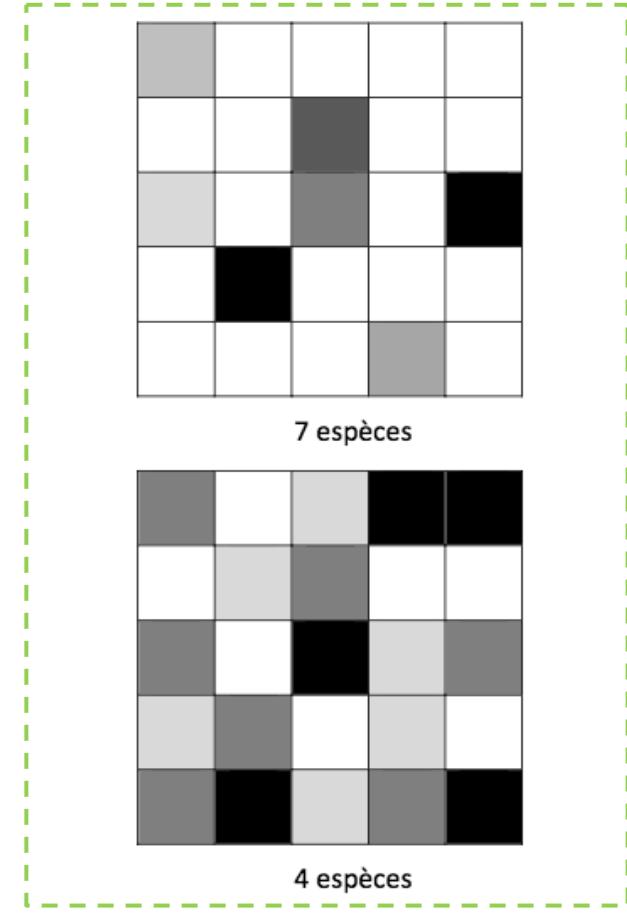
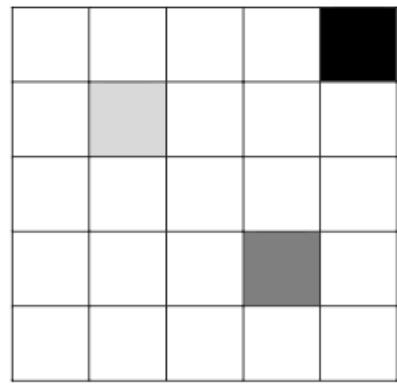
→ Follow the evolution of populations over time, but also to compare them between the stations studied

# Components of Diversity





?



?

Two components are necessary for describing diversity

- Richness
- Evenness

## Definition

**Specific Richness (S)** = The measurement of the **number of species** present in a location/studied system

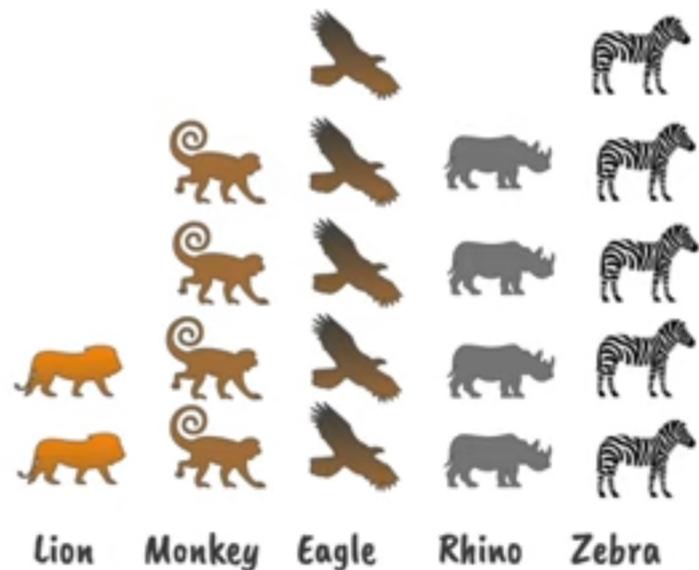
- The more species present, the richer sample is
- Gives equal weight to species which have many/few individuals

**Evenness (equitability)** = **Relative population of each species**

- Species represented by many individuals or by few ones do not give the same contribution

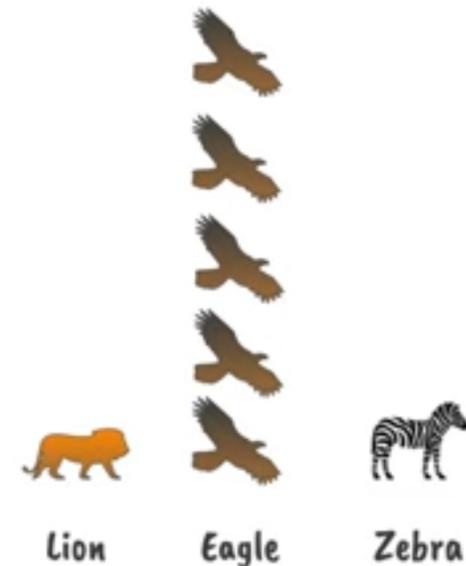
Evenness index is independent of Richness!!

## Higher biodiversity



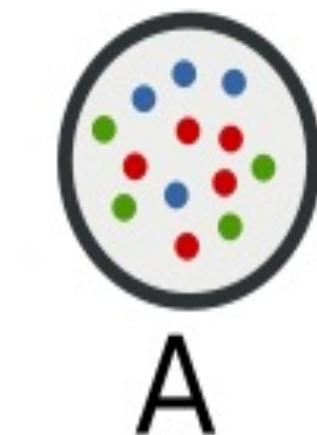
Wide range of species = High richness  
Relatively **equal** proportion = high evenness

## Lower biodiversity

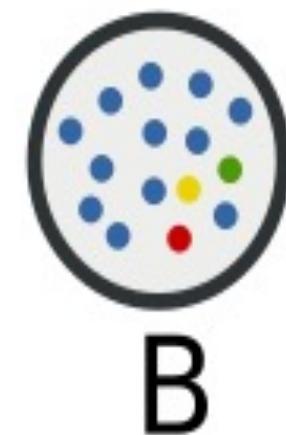


Few species = low richness  
Unequal proportion = low evenness

Which one is more diversified ?



3 espèces



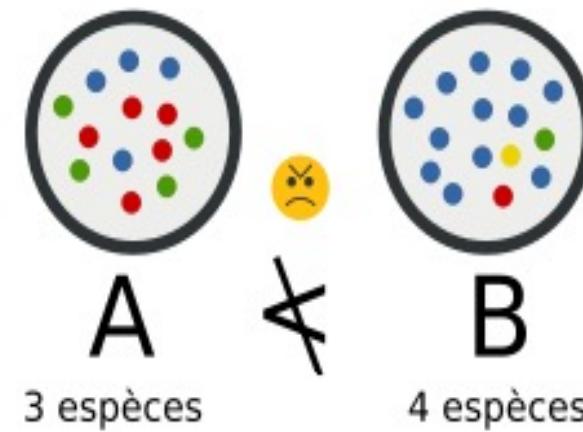
4 espèces



# Difficult to judge by only looking the numbers !!



Use  $\alpha$ -diversity indices to quantify the biodiversity of an habitat/sample



# Neutral Diversity Indices (=Taxonomic)

## Why neutral?

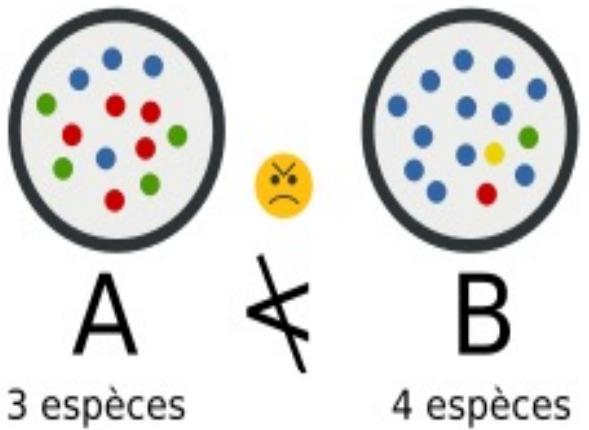
- Species are considered as equidistant (= do not consider species relatedness)

**Common indices are Shannon, Simpson and specific richness**

- **Subjected to estimation bias because of species not sampling**
  - Suppose that the delineation of « species » is clear!  
→ It's not!
    - Species concept definition (phylogenetic, biological, ecological niche)
    - Methodological issues

## Shannon-Weaver Index: Combine Richness & Evenness

$$H(X) = H_2(X) = - \sum_{i=1}^n P_i \log_2 P_i. \quad P_i : \text{relative abundance of the species } i$$

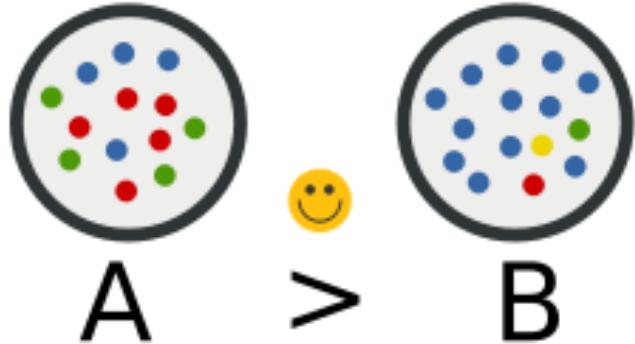


- A: consists of 3 species, of which 4 green, 5 red & 4 blue

The Shannon index will be :

$$-\left(\frac{4}{13} \log\left(\frac{4}{13}\right) + \frac{5}{13} \log\left(\frac{5}{13}\right) + \frac{4}{13} \log\left(\frac{4}{13}\right)\right) = 1.09$$

Finally, after estimating Shannon for B sample ...



Shannon = 1.09

Shannon = 0.72

- B consist of 4 species, of which 1 green, 1 red, 1 yellow & 11 blue

Shannon value: Influenced by richness

**H** is generally between **1.5 - 4**

H gets higher when :

- There are **more species**
- There is **greater evenness**

**There's no upper limit to the index**

The maximum : All species have the same number of individuals (perfect evenness)

**H max = log(S)**

100 species :  $\log(100) = 4.6$

1000 species :  $\log(1000) = 6.9$

# Equitability Pielou Index... with Shannon Scores

**Shannon is dependent on species richness!**

→ Pielou index is independent of species richness

$$\text{Pielou index} = \frac{\text{Shannon Index } (H)}{\log(S)}$$

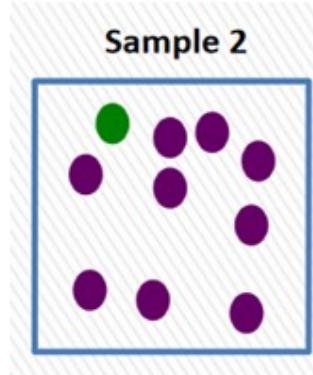
S is Species Richness

Pielou index is a way to measure how the species are evenly distributed in a community

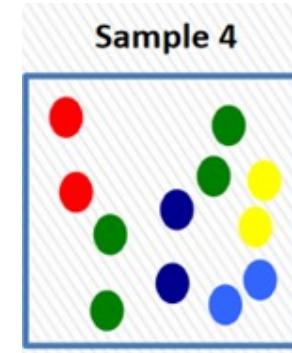
Range 0 to 1 (max equitability, habitat diversity increase)

# Simpson's Index of Diversity

Idea : Indicates the taxa dominance and gives the probability of two individuals that belong to the same taxa being randomly chosen



A value of 0.8 ...  
2 sequences randomly selected  
have 80% chance to belong to the same ASV!



Simpson index = D

$$D = \sum_{i=1}^S p_i^2$$

P = proportion of the species

Gini-Simpson = 1 - D

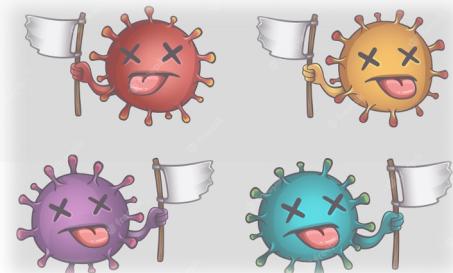
$$E = 1 - \sum_{i=1}^S p_i^2$$

- Influenced by highly abundant Taxa
- Greater weight on evenness
- Range 0 to 1 (high)



## Low sp. Diversity :

- Few successful species in the habitat
  - Env stressful, few niches, few organisms well adapted to env
  - Any change in env may have serious effect on ecosystem



# High sp. Diversity :

- Great number of successful species, more stable ecosystem
  - Env is less likely to be hostile
  - Complex food
  - Env change is less likely to damage the whole ecosystem



# Diversity Estimators

- Chao1 & ACE are non-parametric estimators of taxa richness
- Sampling at infinity
- Good sampling gives you a total number of ASV/OTU observed not far from the Chao1 / ACE value (predicted for the sampled environment)

**Chao1=  $S_{obs}$  + Adjustment (linked to the rare)**



Chao1 adjustment

$$\frac{F_1(F_1 - 1)}{2(F_2 - 1)}, \quad \begin{array}{l} \text{Singletons} = F_1 \\ \text{Doubletons} = F_2 \end{array}$$

Idea : Rare taxa bring most information about the number of missing taxa

→ Issue : DADA2 remove singletons !!!

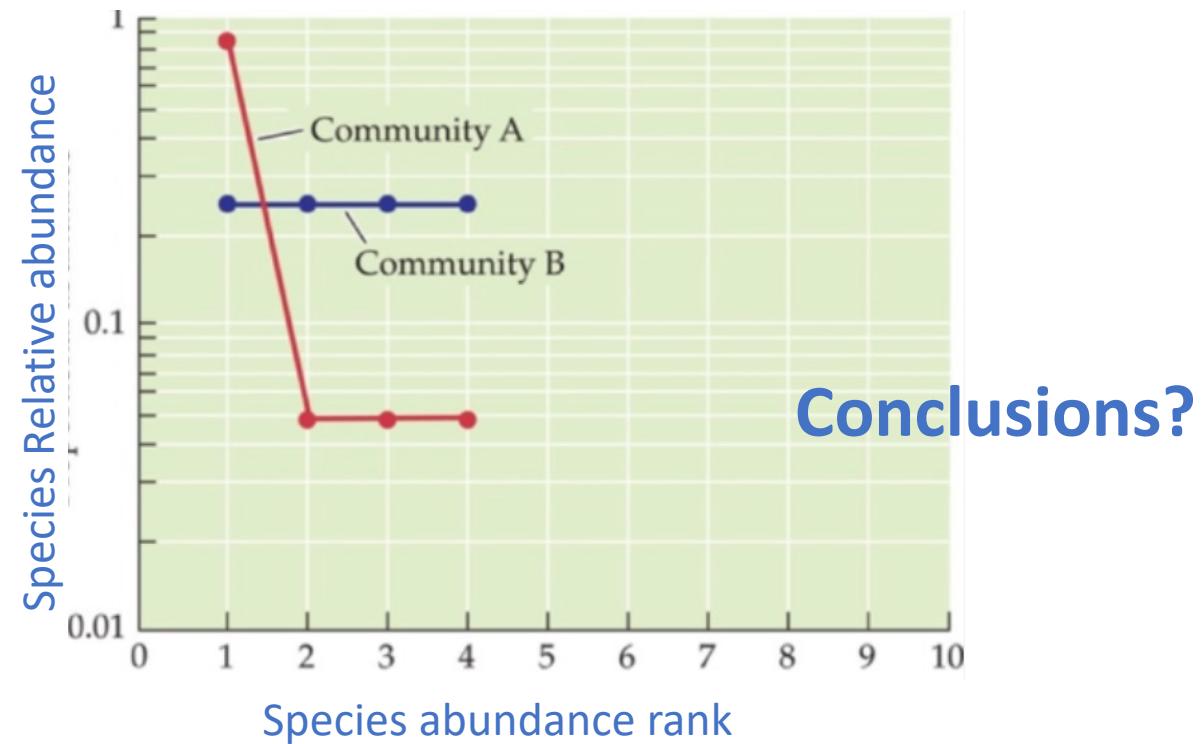


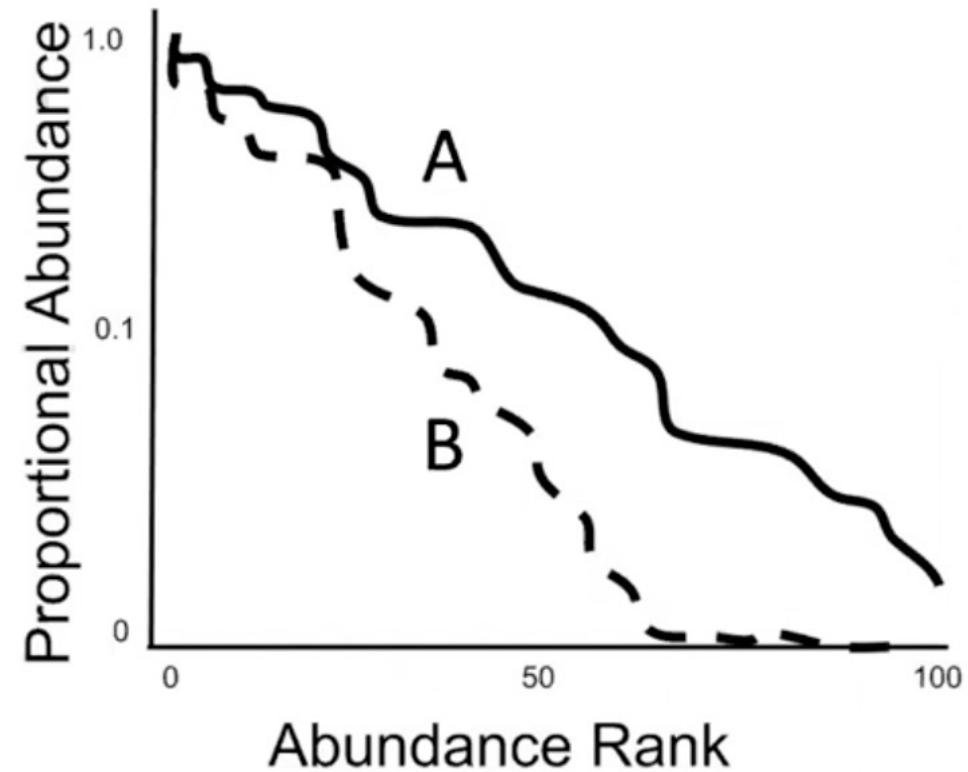


# Rank Abundance Curves

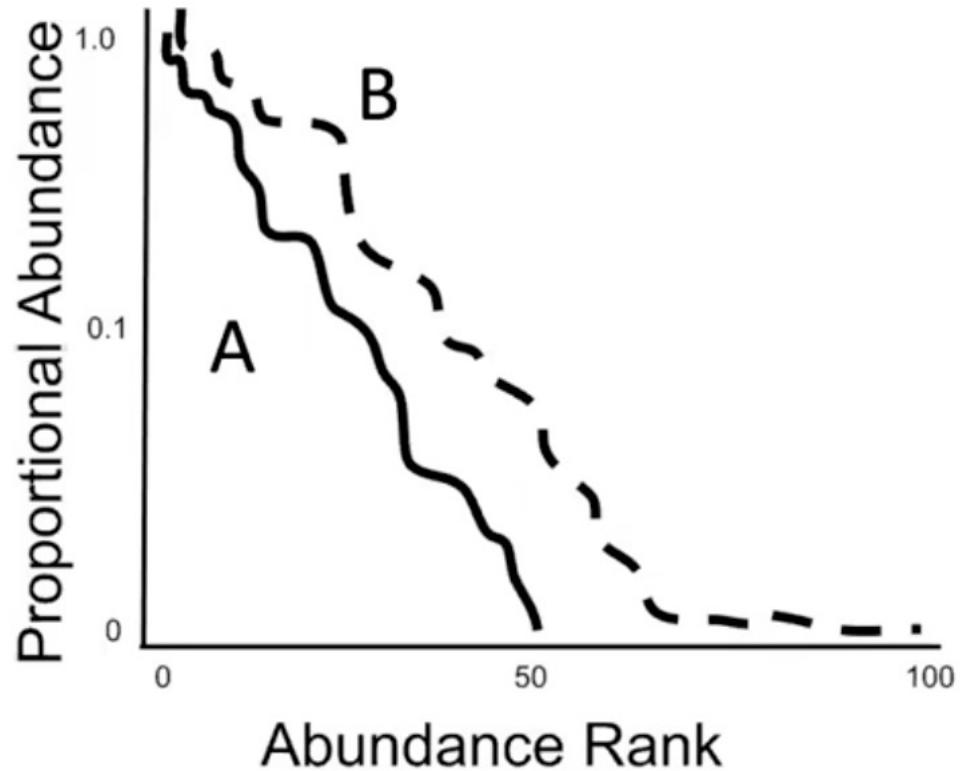
Plot relative abundance of species against their rank in abundance

Dominance and diversity... graphically





- Which one has the greatest evenness?

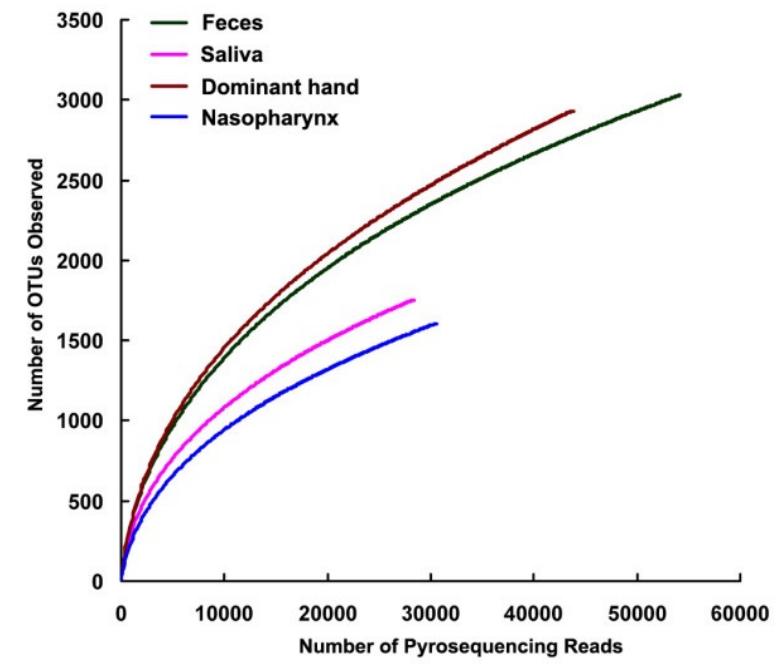
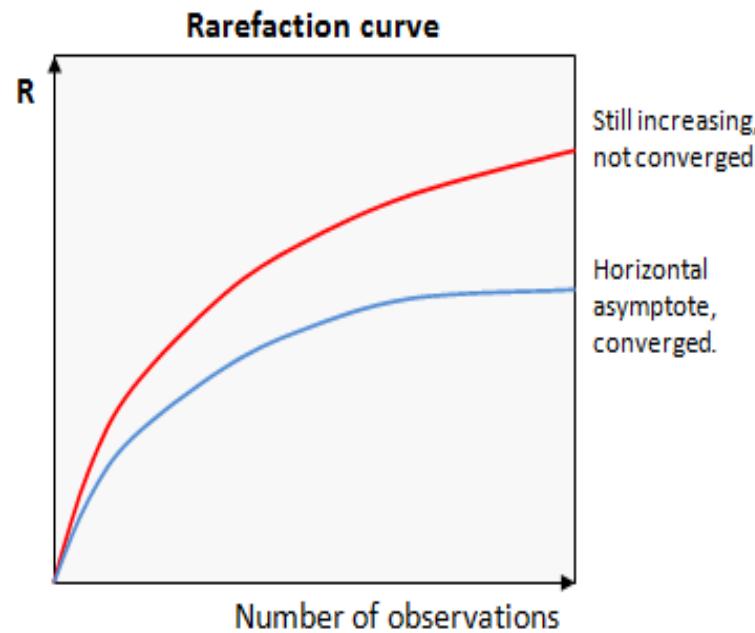


Which one has :

- Greatest evenness?
- Greatest richness?

# Rarefaction Curves

« Is the sequencing effort performed (sequencing depth) for a sample (s) sufficient for the number of species observed ? »



→ Reach the asymptote ???

Asymptote means that sequencing more (depth), will not increase your number of OTU/ASVs observed



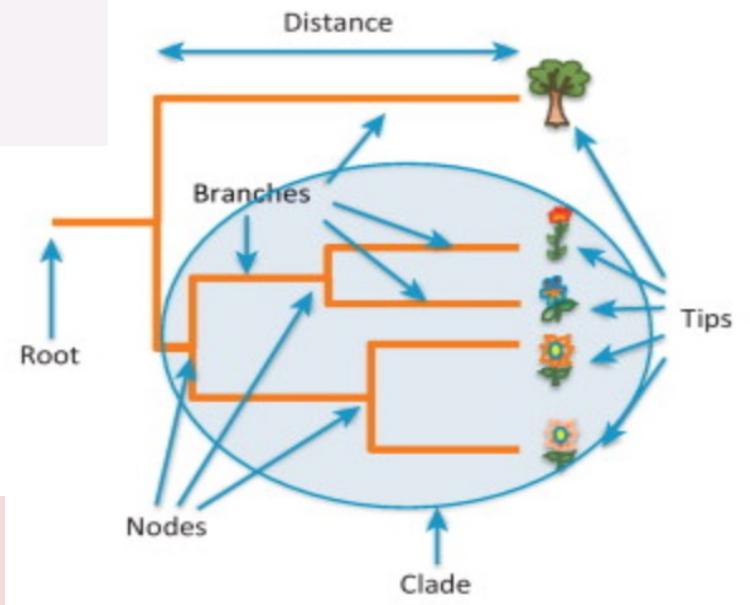
# Phylogenetic Indices

**Phylogenetic Diversity (PD) measure of the evolutionary history  
within a set of species :**

- Relatedness, speciation, events ...

→ describes a fundamental aspect of biodiversity

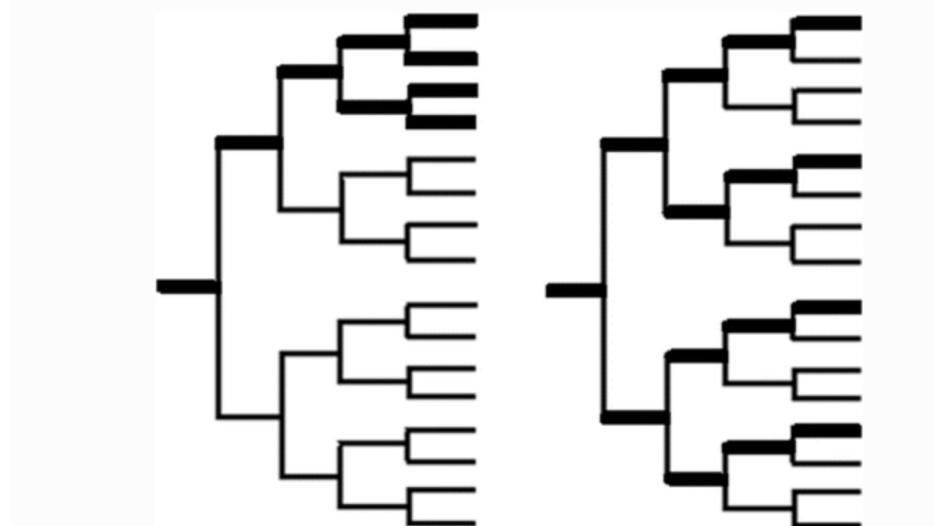
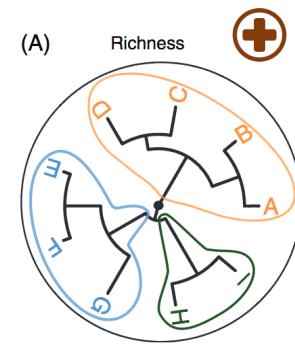
A better predictor of ecosystem function  
than species richness & evenness



# Richness = How much ?

- **PD<sub>faith</sub>** (Faith's Phylogenetic Diversity)

→ As the minimal total length of all the branches required to span a given set of taxa to the root (Related to SR)

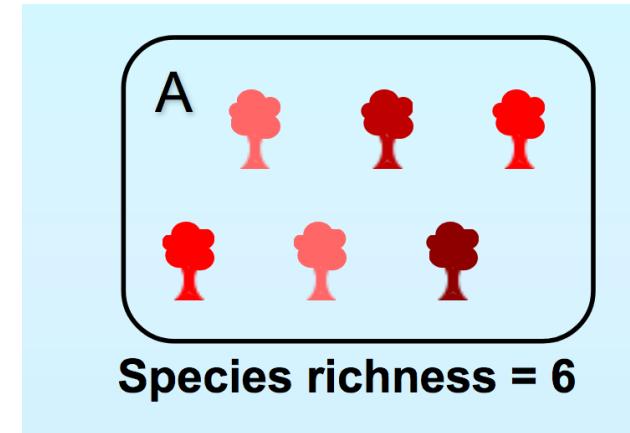


**Low PD**

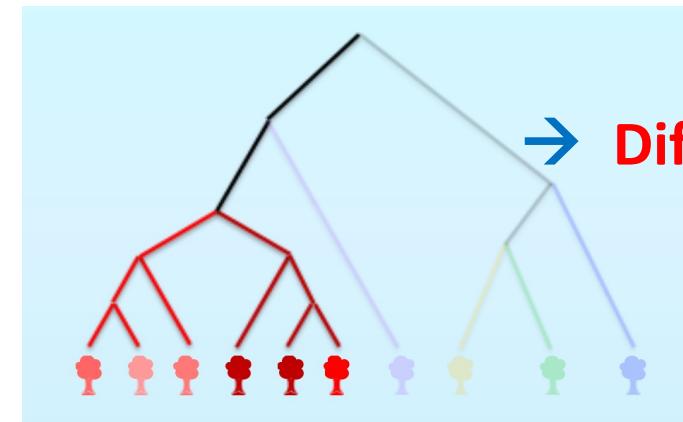
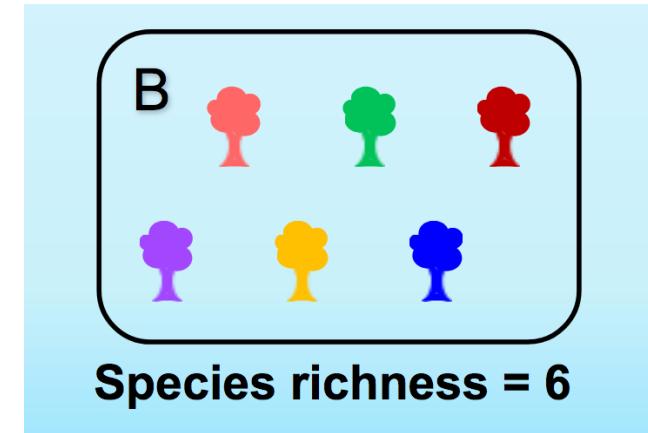
**Assemblage of taxa with  
more recent evolutionary  
history**

**High PD**

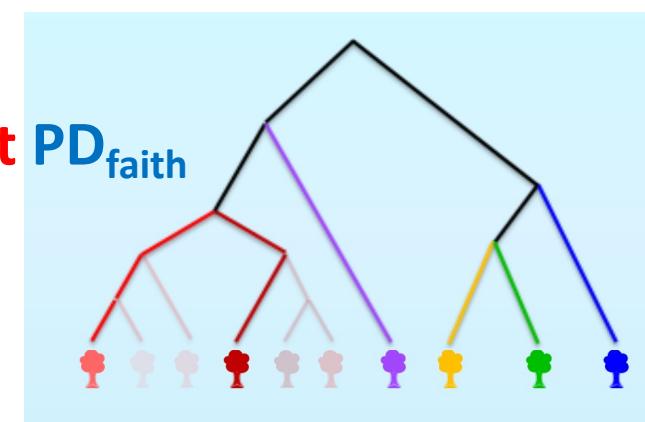
**Communities with more  
evolutionary divergent taxa and  
older history**



Same SR



EnvA : Sum up branch lengths



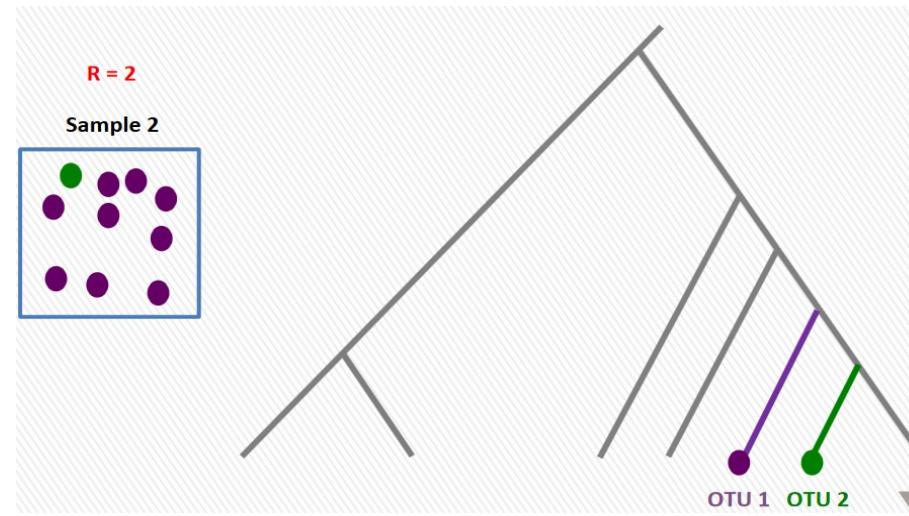
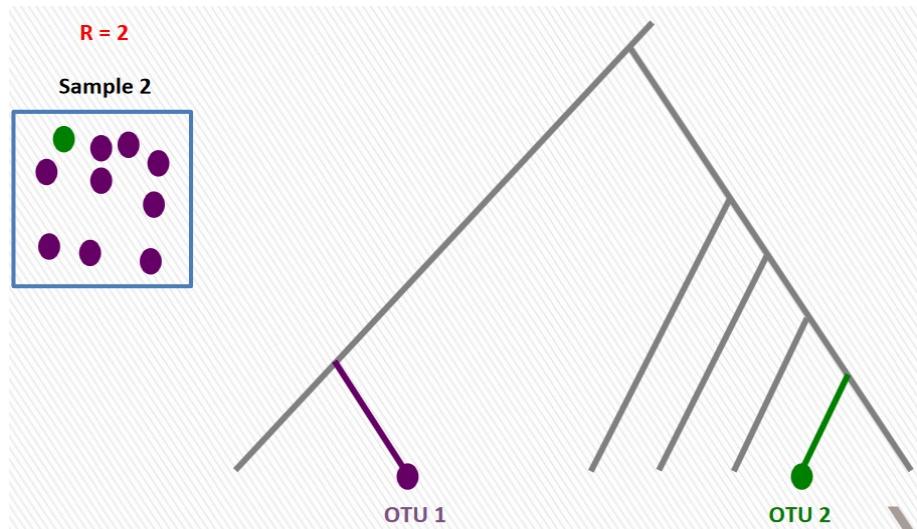
EnvB : Sum up branch lengths



If not using phylogenetic indices : lost of this information !



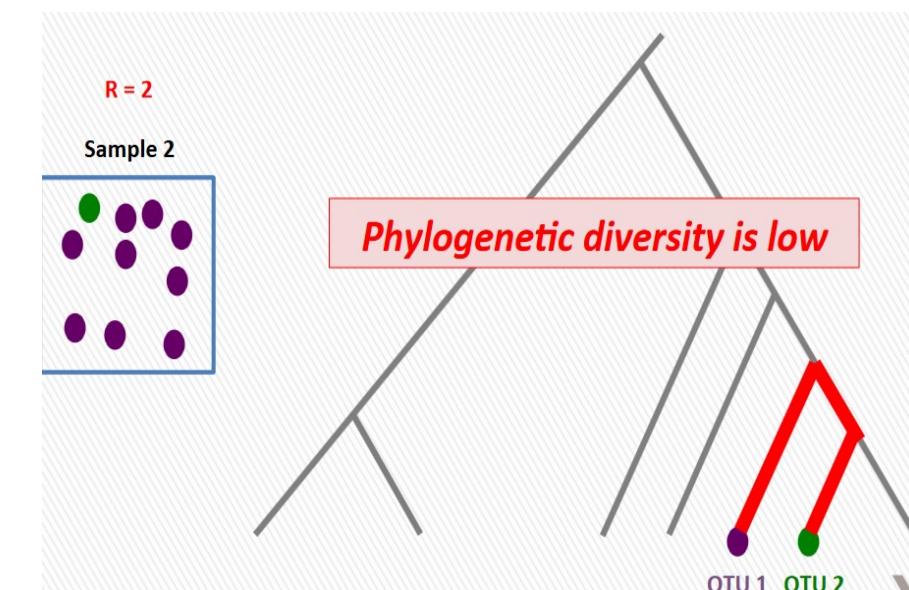
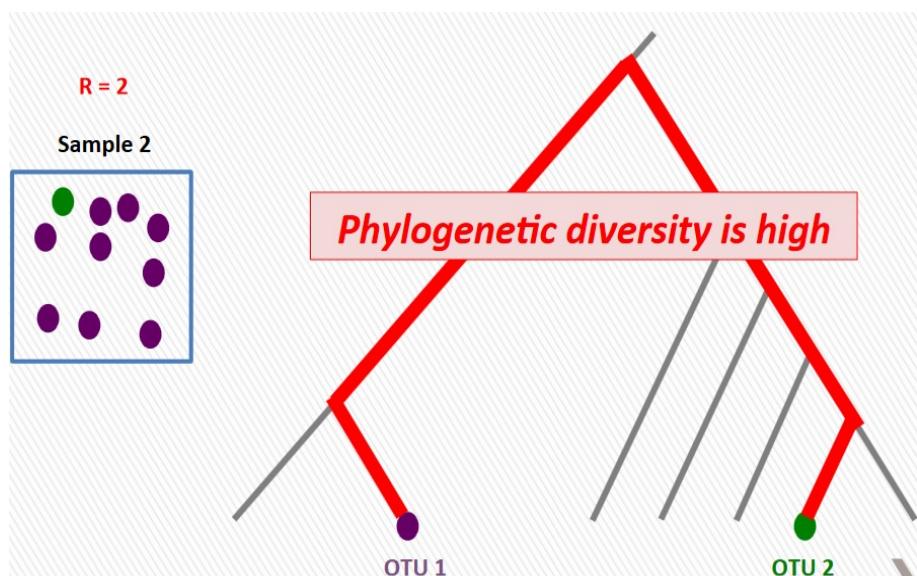
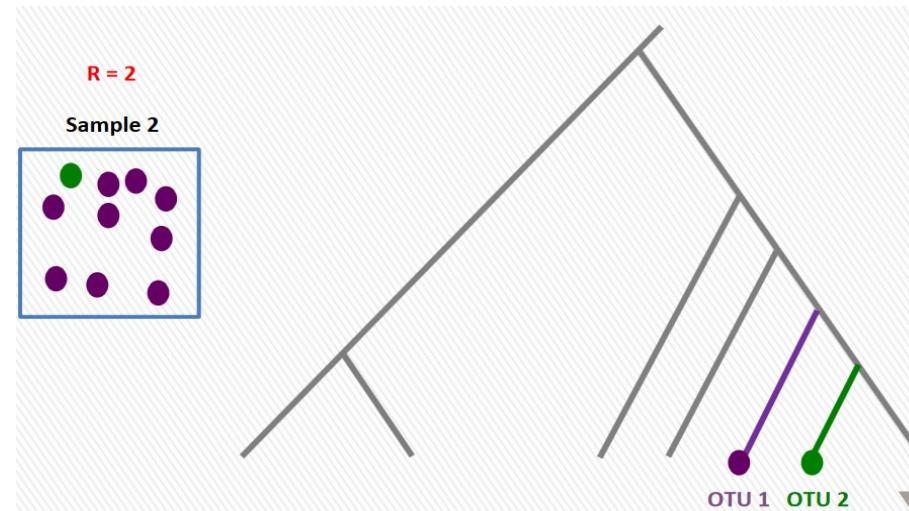
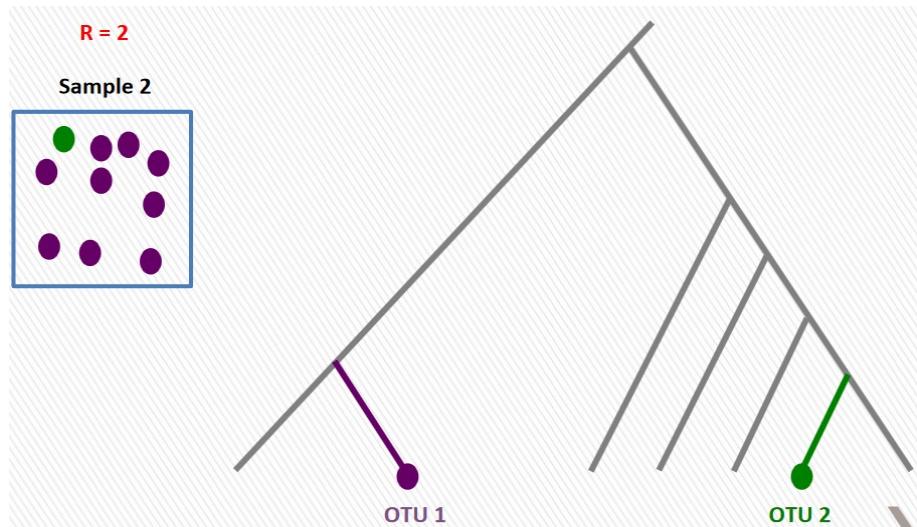
Same SR & same evenness = Same Shannon/simpson



Same phylogenetic diversity ???



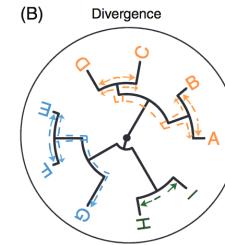
## Same SR & same evenness = Same Shannon/simpson



BUT different PD<sub>faith</sub>!!!!



# Divergence : Quantify the phylogenetic difference...



**Why PD is a proxy of functional diversity, niche/community dissimilarity :**

- Closely related species tend to have similar functions/traits (similar habitat requirement)
- Distant related species tend to have greater complementary functions

**Meaning for the community assemblage :**

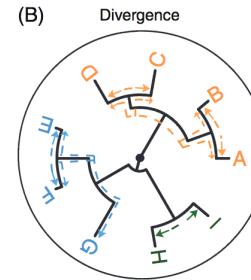
Dominance of **closely related** species → **Clustering pattern**

Dominance of **distant related** species → **Overdispersion pattern**

Given species richness,  
does the **phylogenetic diversity** in **AN** assemblage  
is greater or less than that expected?



## Divergence : How different?



Two commonly used metrics were used to quantify:  
the Net Relatedness Index (NRI) and Nearest Taxon Index (NTI)

Highlight phylogenetic structure of assemblages **at different evolutionary depths**

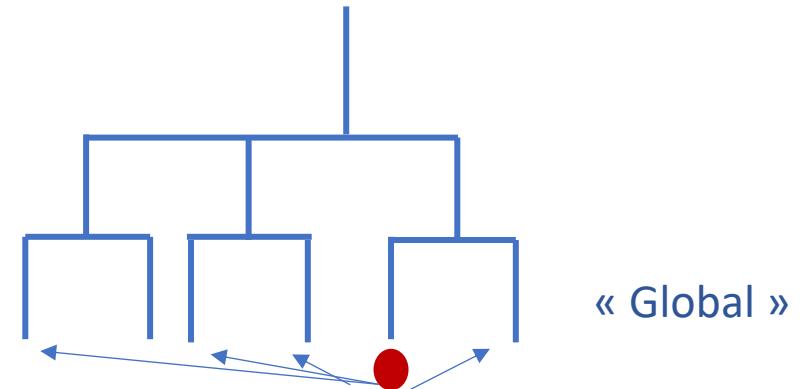


## NRI : Net Relatedness Index

- Based on the **Mean Phylogenetic Distances (MPD)** in each community.

→ **Average phylogenetic distance** of species (**to every other species**)

→ « Basal measure » : Clade representation. **Strongly influenced by the ‘basal’ structure** of the phylogenetic tree

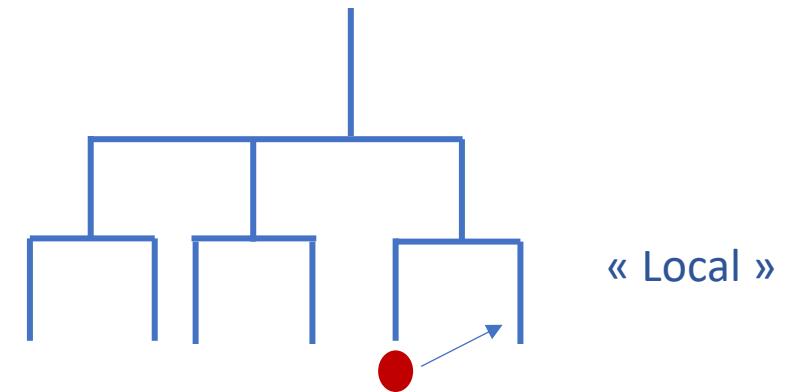




## NTI : Nearest Taxonomy Index

- Based on **MNTD** the Mean Nearest phylogenetic Neighbor Distance  
→ **Average phylogenetic distance to the nearest neighbour**

→ **Reflect Phylogenetic structure of the tree tips**



So get NRI /NTI values .. And so what ????

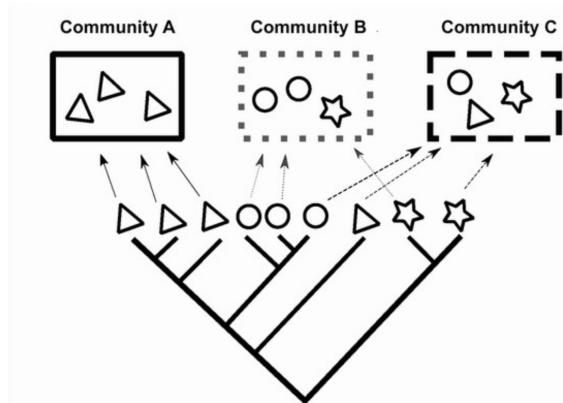


## The « Null model » : Phylogeny randomization...

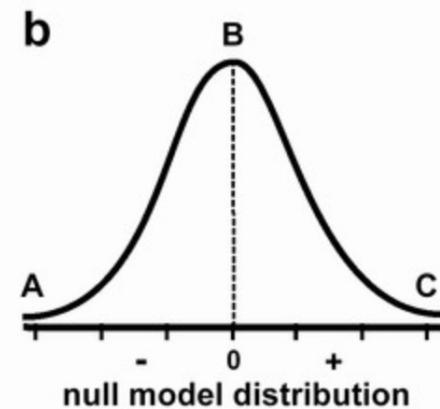
Need a **reference** for comparison → Absence/overdispersion/Clustering!

→ A distribution « Null Model » based on random taxa positions within tree

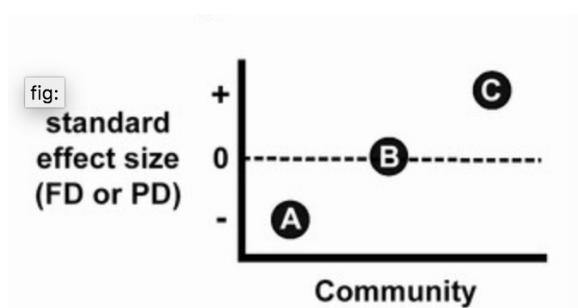
→ Is the measure for a specific community is more or less expected by chance ?



Randomization



NTI and NRI are Z scores!!



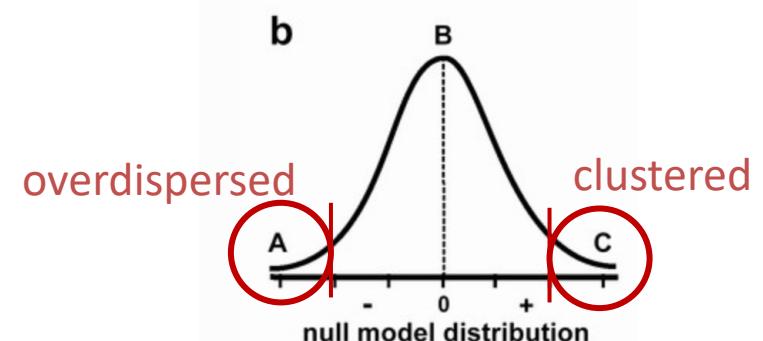
SES for your values



## Interpretation

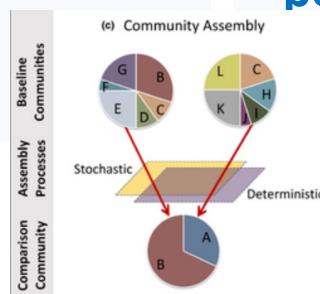
- A negative NRI/NTI value indicates an **overdispersed phylogeny** where taxa are less related to each other than expected by chance  
→ Significance  $< -1.96$

- Positive NRI/NTI values indicate a **clustered phylogeny** where taxa are more related to each other than expected by chance  
→ Significance  $> 1.96$



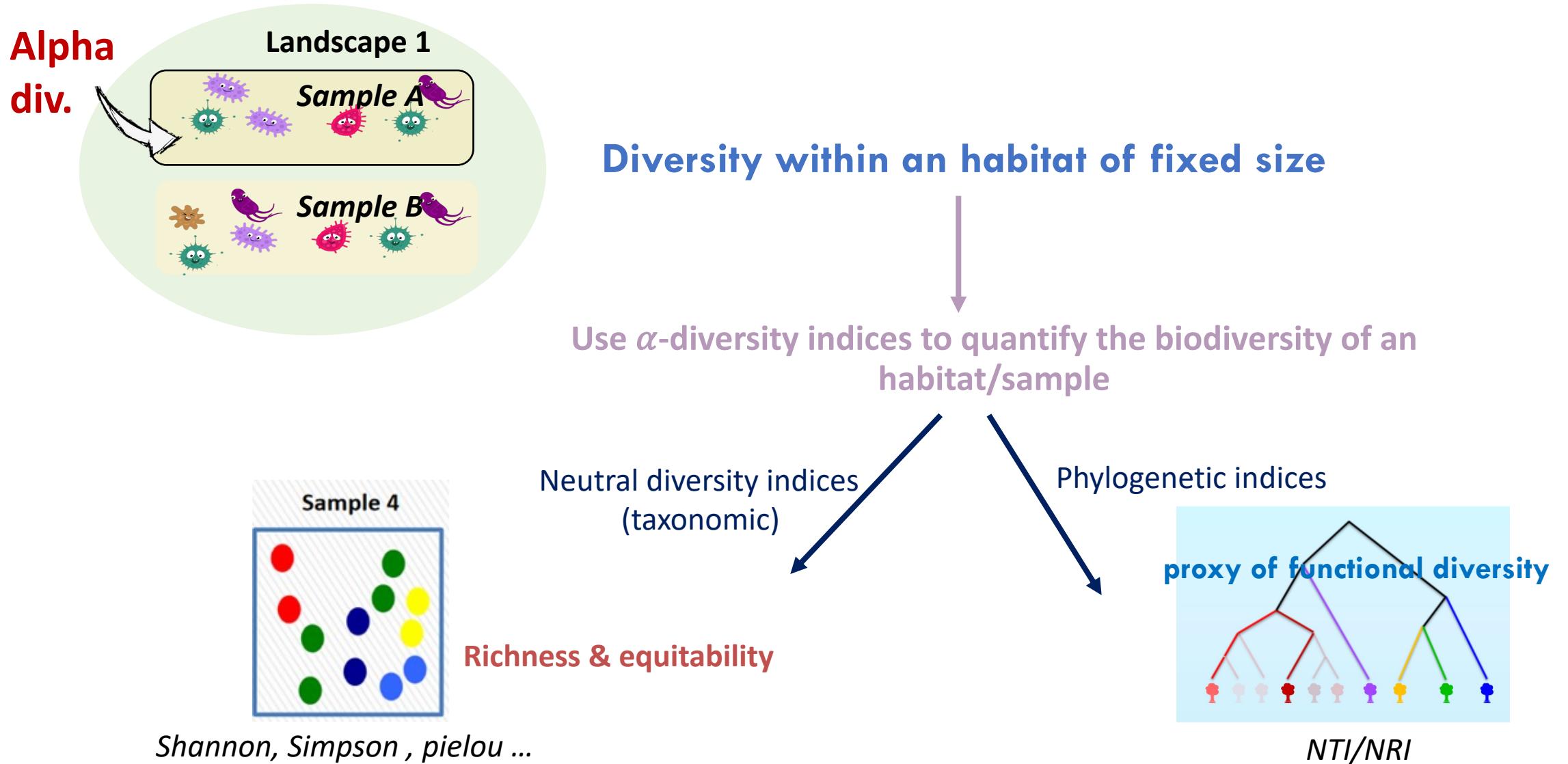
# Community Assembly : Spatial and Temporal processes

- Niche-driven = Deterministic
  - Selection
    - Biotic interactions (taxa interactions)
    - Environmental filtering (=Abiotic conditions, physico-chemical)
  - Neutral = Stochastic process
  - Unpredictable
    - Random proliferation, dispersal
    - Random birth-death events
- Ecological drift (loss diversity, small pop)



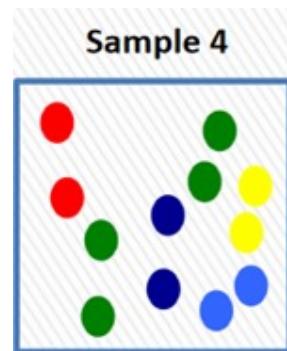
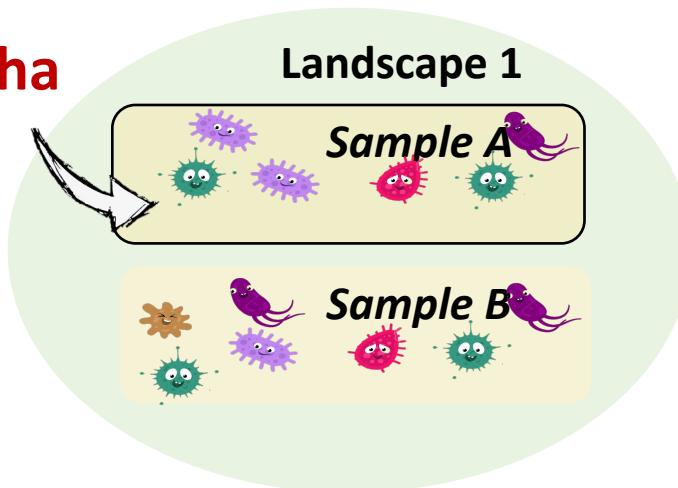
→ See  $\beta$  NTI/NRI

# Sum up ...



## Sum up ...

Alpha  
div.



Shannon, Simpson , pielou ...

| Be aware of the biases/limitations associated with the  
| indices you use when interpreting your results !!

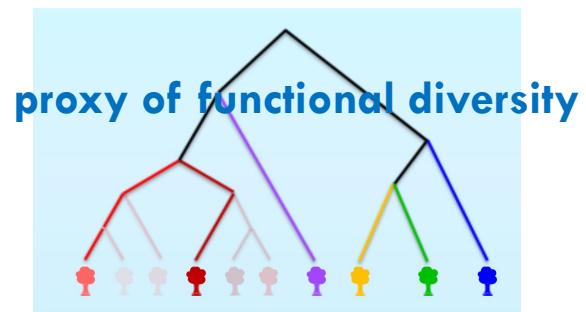
Diversity within an habitat of fixed size

Use  $\alpha$ -diversity indices to quantify the biodiversity of an  
habitat/sample

Neutral diversity indices  
(taxonomic)

Phylogenetic indices

Richness & equitability



NTI/NRI

# MetaData

