



Robotics 2

Detection and isolation of robot actuator faults

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Fault diagnosis problems - 1

- in the diagnosis of faults possibly affecting a (nonlinear) dynamic system various problems can be formulated
- **Fault Detection**
 - recognize that the malfunctioning of the (controlled) system is due to the occurrence of a fault (or not proper behavior) affecting some physical or functional component of the system
- **Fault Isolation**
 - discriminate which particular fault f has occurred out of a (large) class of potential ones, by distinguishing it from any other and from effects due to disturbances possibly acting on the system
- **Fault Identification**
 - determine the time profile of the isolated fault f
- **Fault Accommodation**
 - modify the control law so as to compensate for the effects of the detected and isolated fault (possibly also identified)



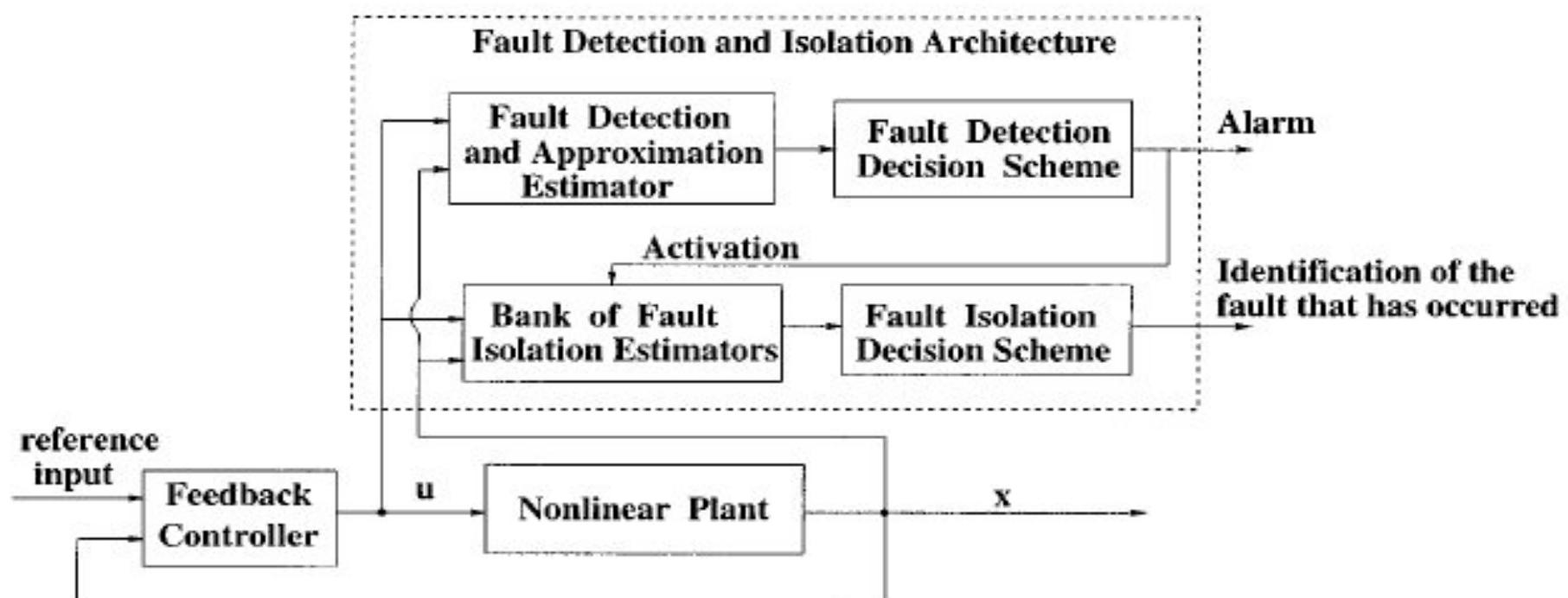
Fault diagnosis problems - 2

- FDI solution (**simultaneous** detection and isolation)
 - definition of an auxiliary dynamic system (**Residual Generator**) whose **output** will depend only on the presence of the fault f to be detected and isolated (and **not** on any other fault or disturbance) and will converge asymptotically to zero when $f \equiv 0$ (**stability**)
 - in case of many potential faults, each component of the **vector r of residuals** will depend on one and only one associated fault f_i (possibly reproducing approximately its time behavior)
 - many of the FDI schemes are **model-based**: they use a nominal (fault- and disturbance-free) dynamic model of the system
- Fault Tolerant Control
 - **passive**: control scheme that is intrinsically robust to uncertainties and/or faults (typically having only moderate/limited effects)
 - **active**: control scheme involving a reconfiguration after FDI (with guaranteed performance for the faulted system)



Typical FDI architecture

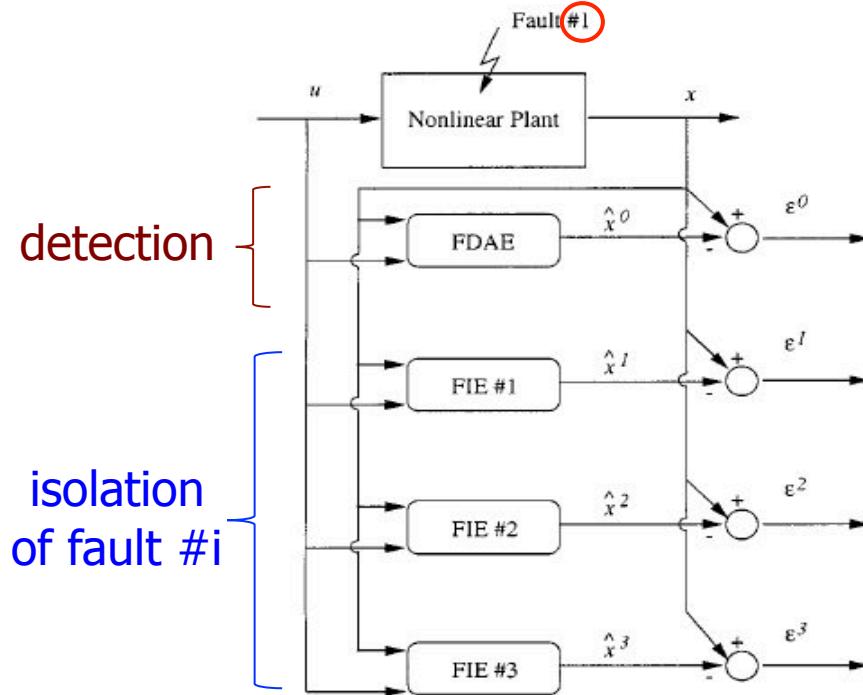
- bank of $n+1$ (model-based) estimators
 - 1 for **detection** of a faulty condition
 - n for **isolation** of the specific (in general, **modeled**) fault



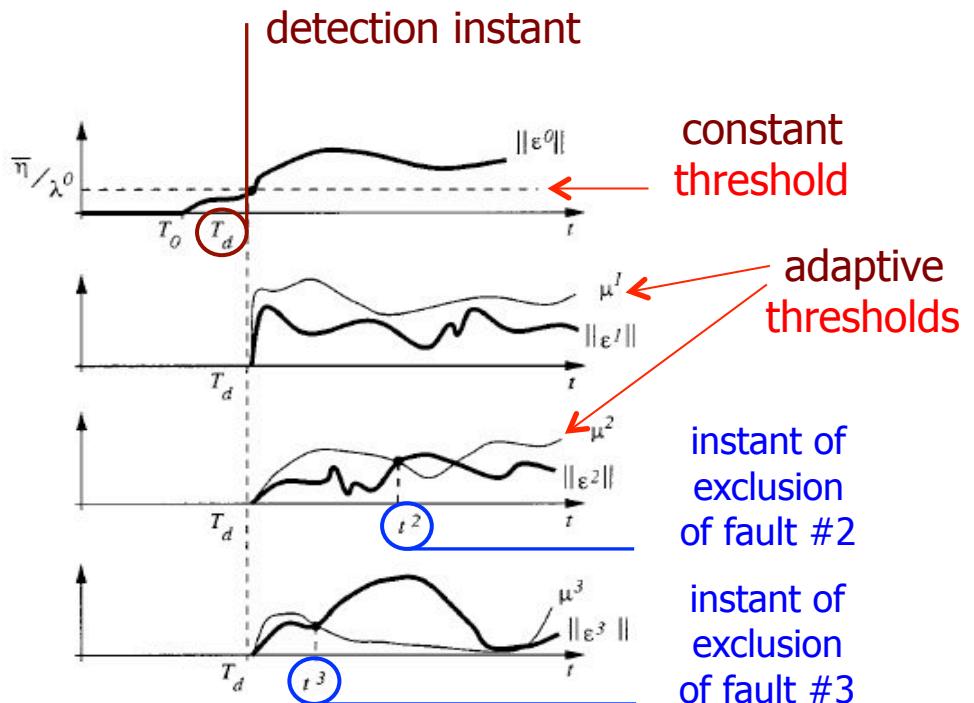


Some terminology

- fault types
 - instantaneous (abrupt), incipient (slow), intermittent, concurrent
- thresholds for detection/isolation (also adaptive)
 - delay times (w.r.t. the instant T_0 of fault start) vs. false alarms

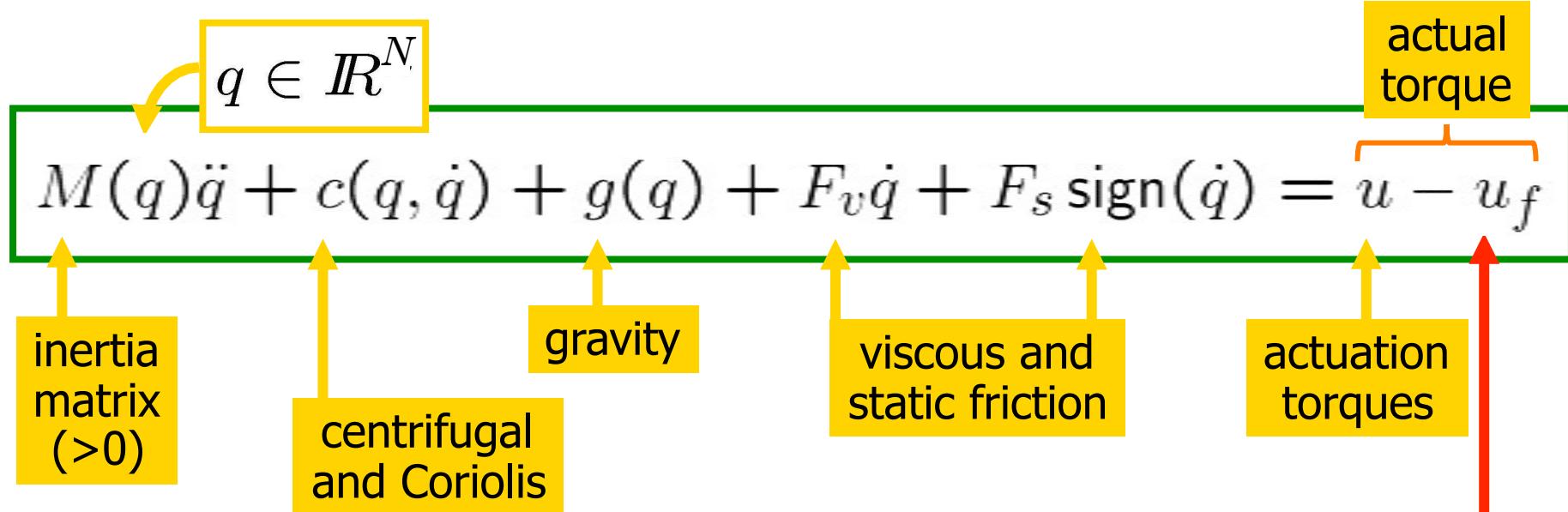


isolation
of fault #i





Actuator faults in robots



vector of actuation faults (even concurrent on more axes)

- total fault $(u_{f,i} = u_i)$
- partial fault $(u_{f,i} = \varepsilon u_i)$
- saturation $(u_{f,i} = u_i - u_{i,\max})$
- bias $(u_{f,i} = b_i)$
- block
- ... any type!



Working assumptions

- only the commanded input torque u is available
 - obviously not u_f ...
 - a measure of the **full state** (q, \dot{q}) is available
 - can be relaxed: in practice, with an **estimate** of joint velocities
 - no further sensors are anyway necessary ("sensorless")
- the **robot dynamic model** is known
 - in the absence of faults, and neglecting disturbances
 - no pre-specified **model or type of faults** is needed
- no dependence on/request of a **specific input** $u(t)$
 - can be anything (open loop, linear or nonlinear feedback)
- no dependence on/request of a **specific motion** $q_d(t)$



Generalized moments

$$p = M(q)\dot{q}$$

with associated dynamic equation

$$\dot{p} = u - u_f - \alpha(q, \dot{q})$$

exploiting the structure
of centrifugal and
Coriolis terms

decoupled components
relative to the single fault inputs

$$\alpha_i = -\frac{1}{2}\dot{q}^T \frac{\partial M(q)}{\partial q_i} \dot{q} + g_i(q) + F_{v,i}\dot{q}_i + F_{s,i}\text{sign}(\dot{q}_i)$$

scalar expressions, for $i = 1, \dots, N$



FDI solution

- definition of a **vector of residuals**

$$r = K \left[\int (u - \alpha - r) dt - p \right]$$

$K > 0$
diagonal

- no need of computing joint accelerations nor to invert the robot inertia matrix $M(q)$
- in ideal conditions of perfect model knowledge

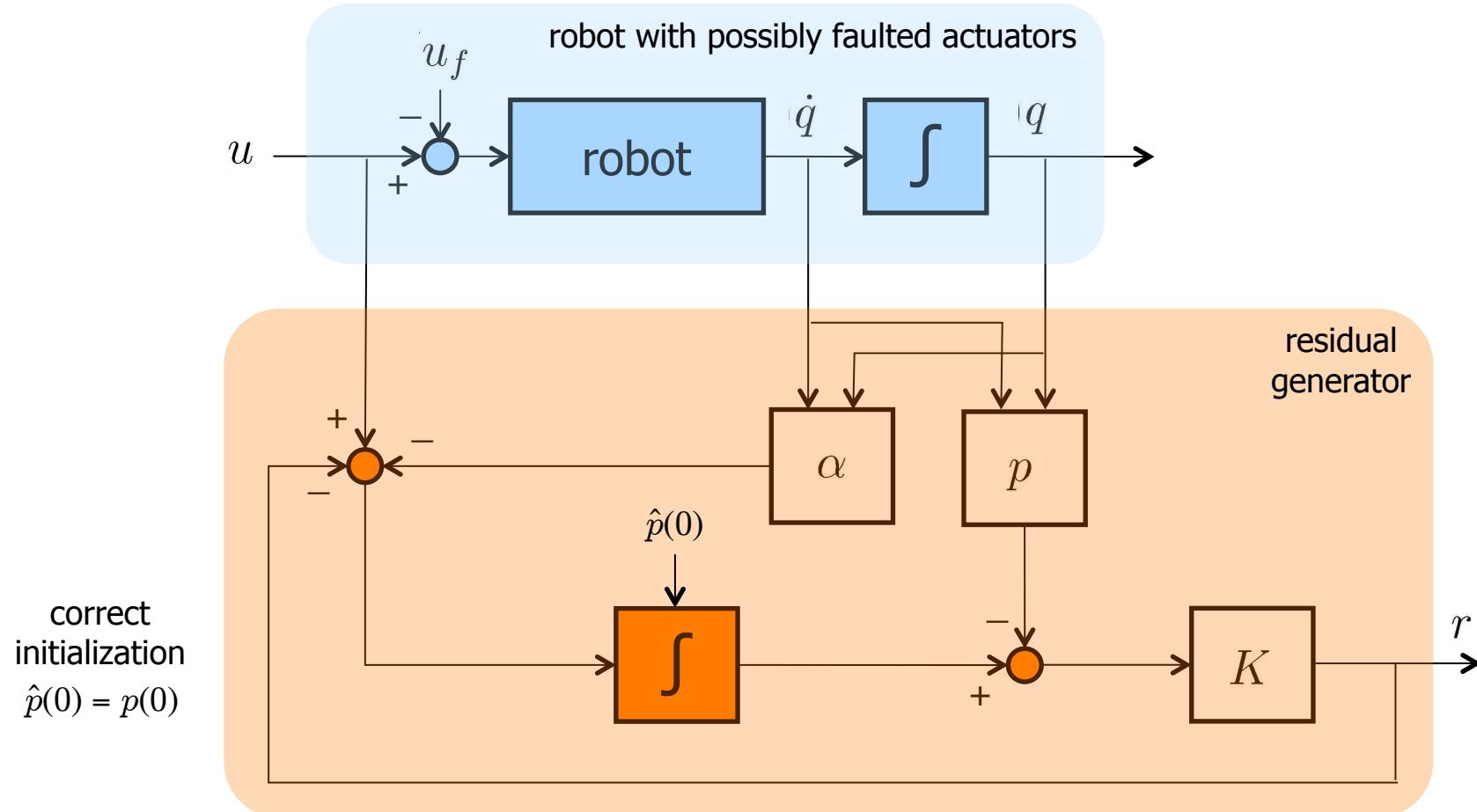
$$\dot{r} = -K r + K u_f$$

N decoupled stable
1st order filters,
with unitary gains

for sufficiently large K , r reproduces u_f



Block diagram of the residual generator



$$r = K \left[\int (u - \alpha - r) dt - p \right]$$



Residual generator as a “disturbance observer”

$$\begin{aligned}\dot{\hat{p}} &= u - \alpha(q, \dot{q}) + K(p - \hat{p}) \\ r &= K(\hat{p} - p)\end{aligned}$$

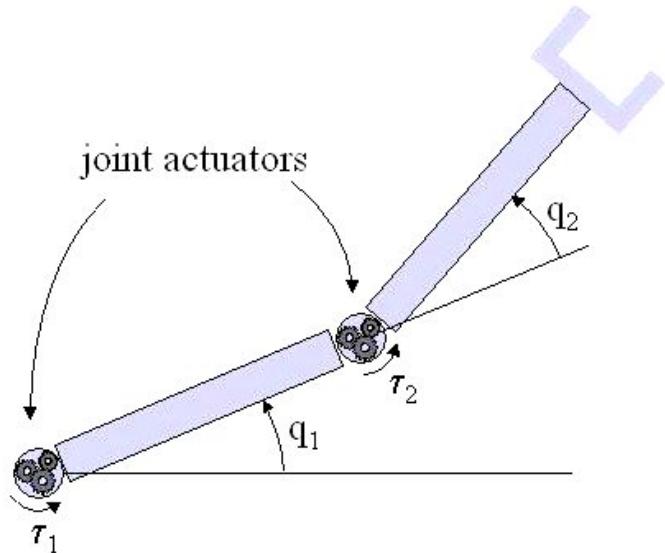


dynamic observer of the unknown actuation faults
(= external disturbances)
with **linear** error dynamics



A worked-out example

- planar 2R robot under gravity



dynamic model (without friction)

$$\begin{aligned}
 M(q)\ddot{q} + c(q, \dot{q}) + g(q) &= u - u_f \\
 \begin{bmatrix} a_1 + 2a_2c_2 & a_3 + a_2c_2 \\ a_3 + a_2c_2 & a_3 \end{bmatrix} \begin{bmatrix} \ddot{q}_1 \\ \ddot{q}_2 \end{bmatrix} + \begin{bmatrix} -a_2\dot{q}_2(\dot{q}_2 + 2\dot{q}_1)s_2 \\ a_2\dot{q}_1^2s_2 \end{bmatrix} \\
 + \begin{bmatrix} a_4c_1 + a_5c_{12} \\ a_5c_{12} \end{bmatrix} &= \begin{bmatrix} u_1 - u_{f,1} \\ u_2 - u_{f,2} \end{bmatrix}
 \end{aligned}$$

computation of the residual vector

$$r = K \left[\int (u - \alpha - r) dt - p \right]$$

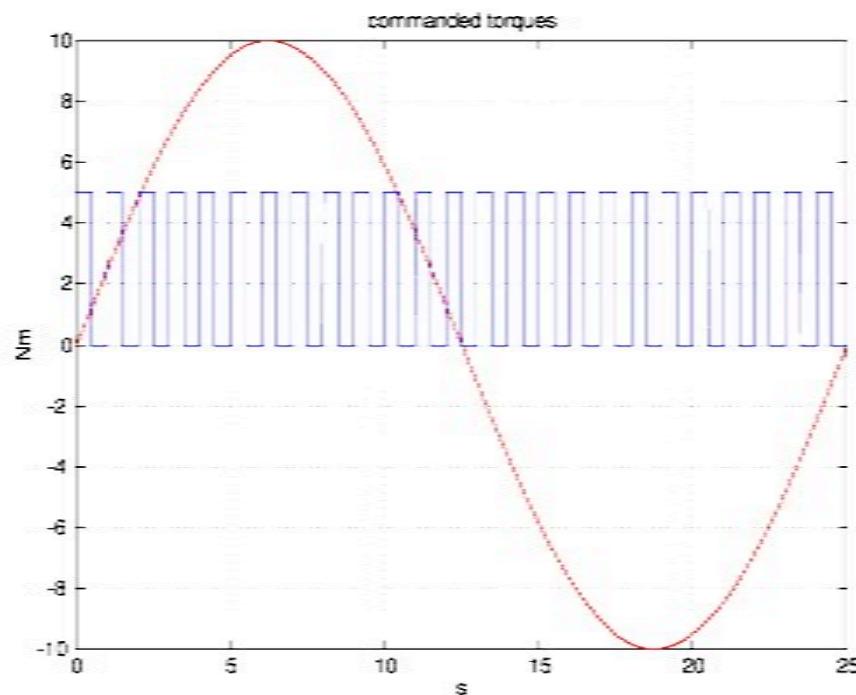
$$p = M(q)\dot{q}$$

$$\begin{aligned}
 \alpha_1 &= g_1(q) = a_4c_1 + a_5c_{12} \\
 \alpha_2 &= -\frac{1}{2}\dot{q}^T \frac{\partial M(q)}{\partial q_2} \dot{q} + g_2(q) \\
 &= a_2\dot{q}_1(\dot{q}_1 + \dot{q}_2)s_2 + a_5c_{12}
 \end{aligned}$$

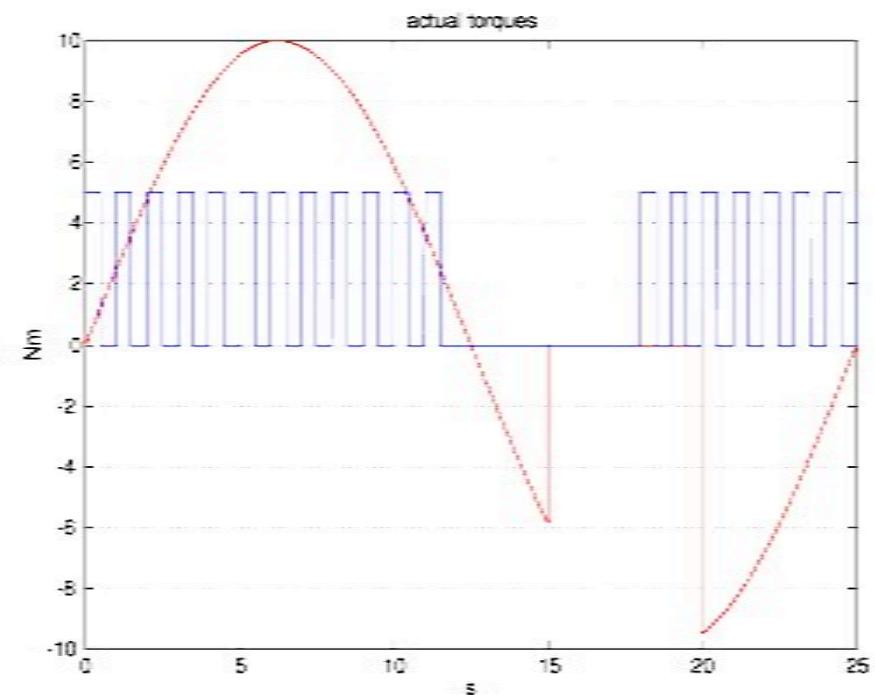


Faults on both actuators (total, intermittent, concurrent)

commanded torques

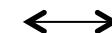


actual (faulted) torques



— = first joint

— = second joint

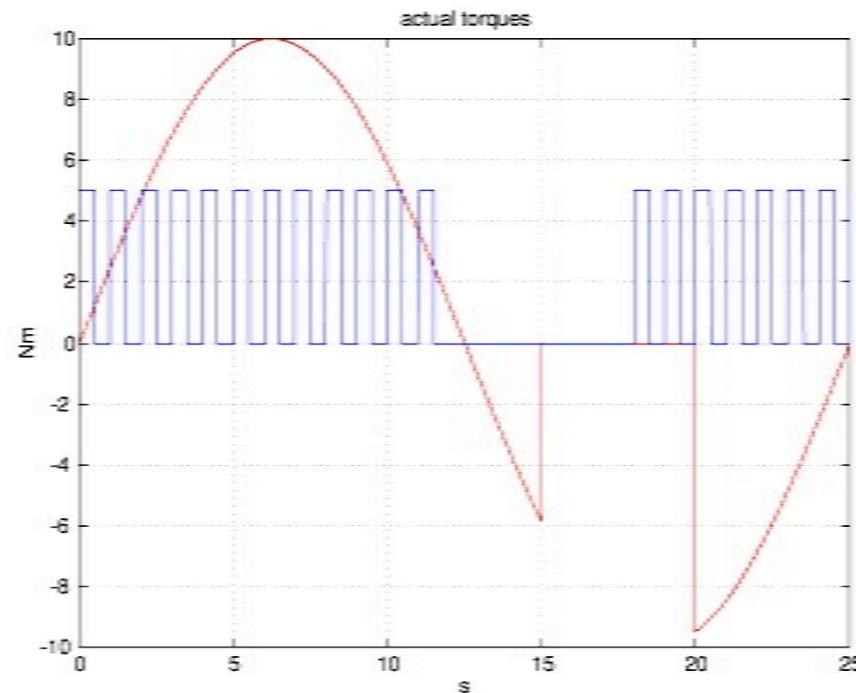


time interval of
fault **concurrence**



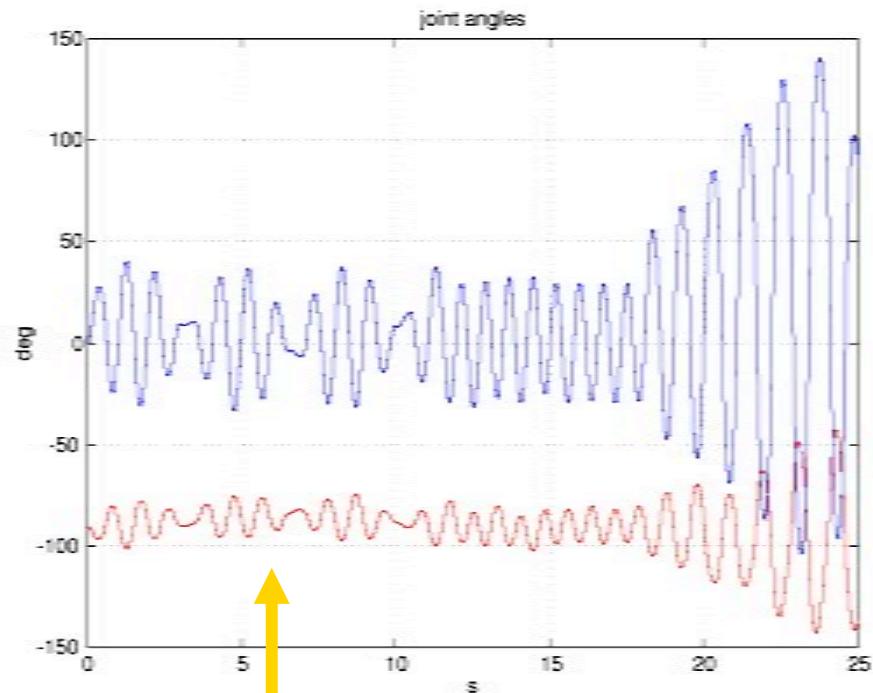
Simulation – 1

actual torques



— = first joint
— = second joint

(measured) joint positions

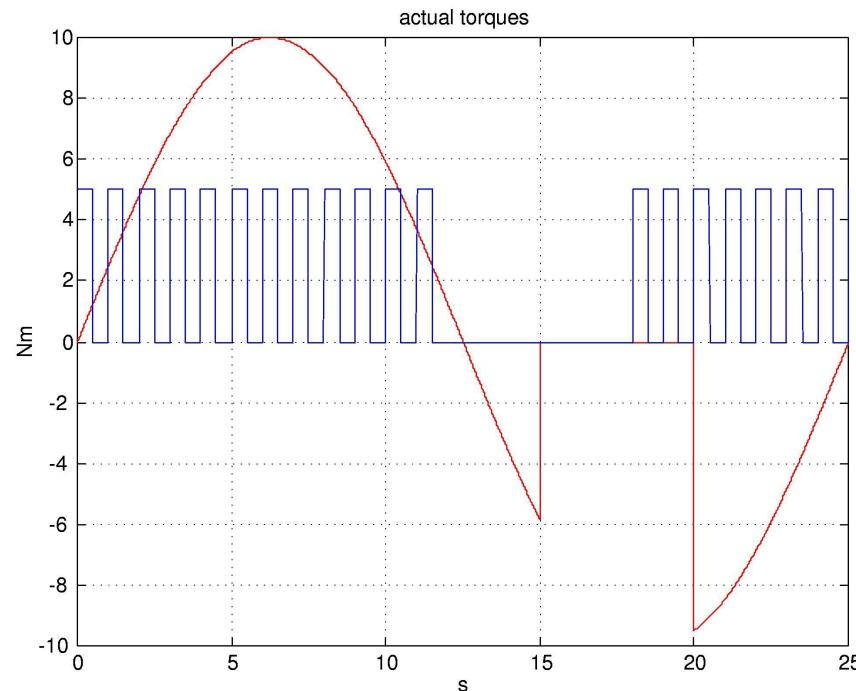


no clear evidence of the faults in the dynamic evolution of the system!

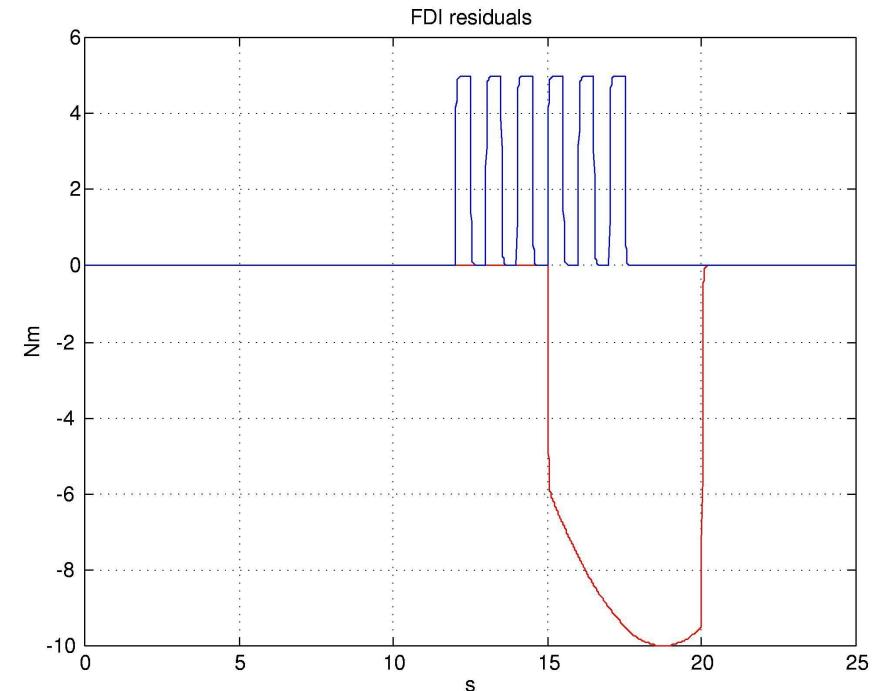


Simulation – 2

actual torques



residuals



— = first joint
— = second joint

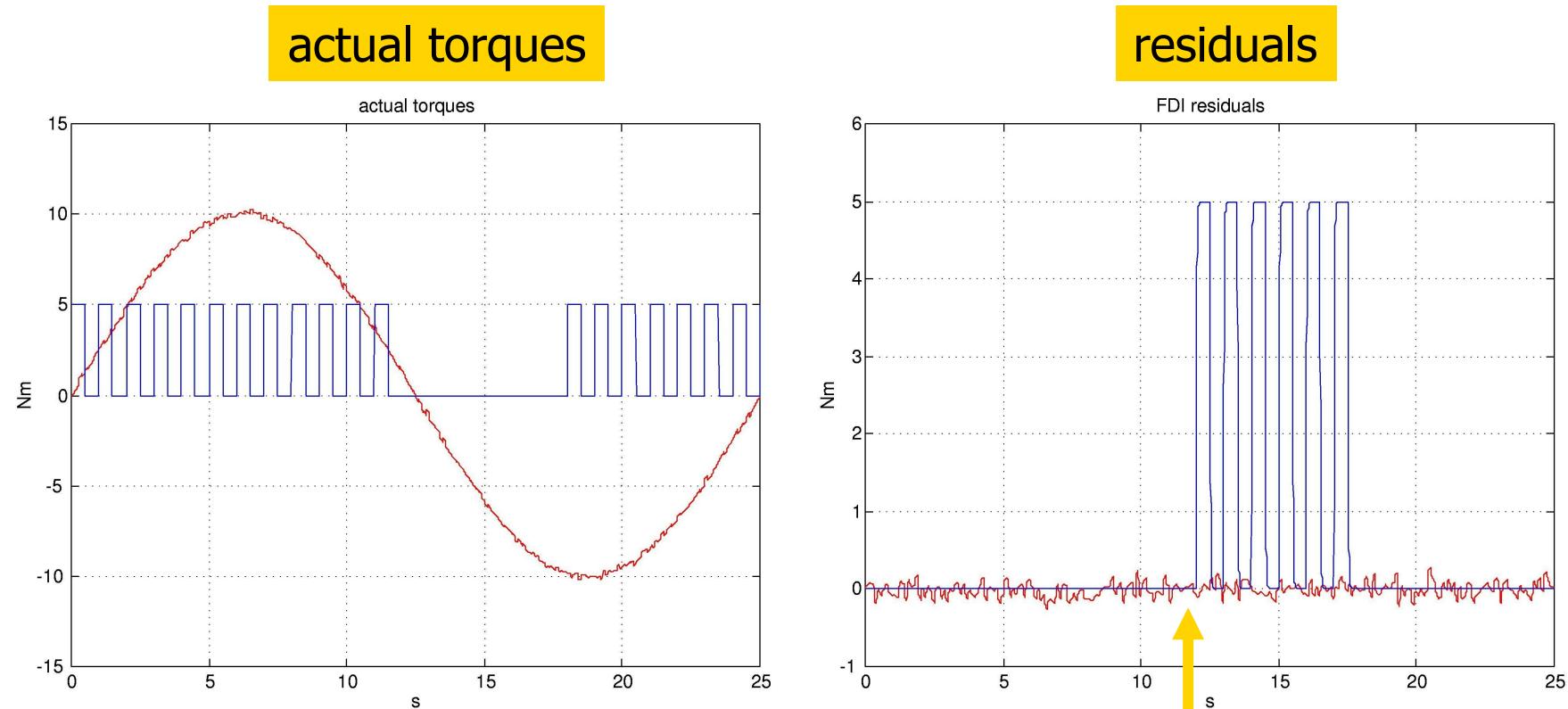
$$K = \text{diag}\{50, 50\}$$

residuals reconstruct the
“missing” part of the torques!



Simulation – 3

(total fault on second actuator, added noise on first channel)



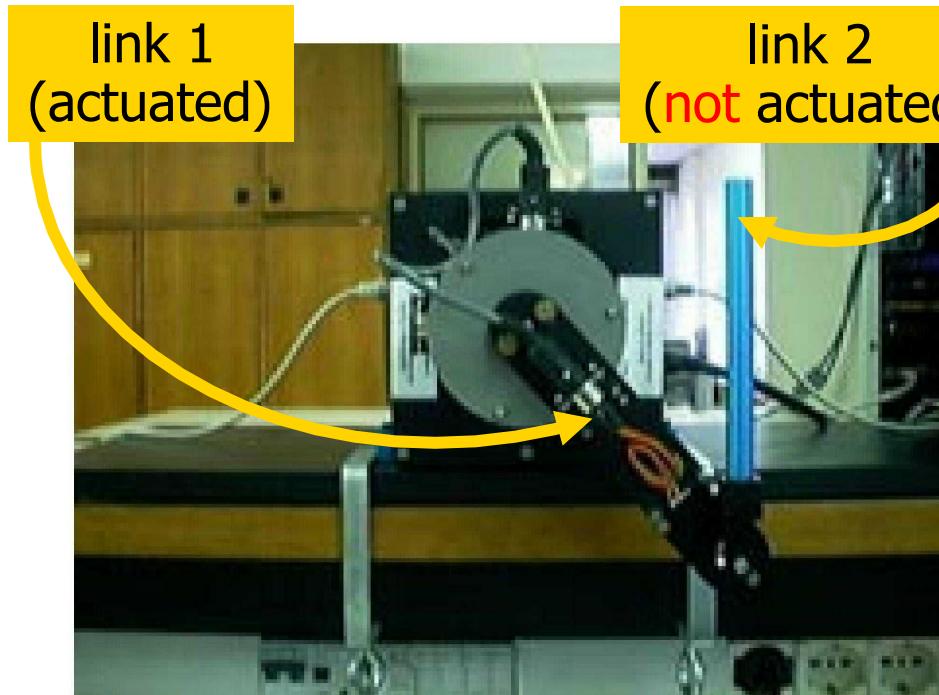
— = first joint
— = second joint

residual r_1 is not affected by the fault of the second motor, while residual r_2 is not affected by the disturbance on the first channel (decoupling properties)

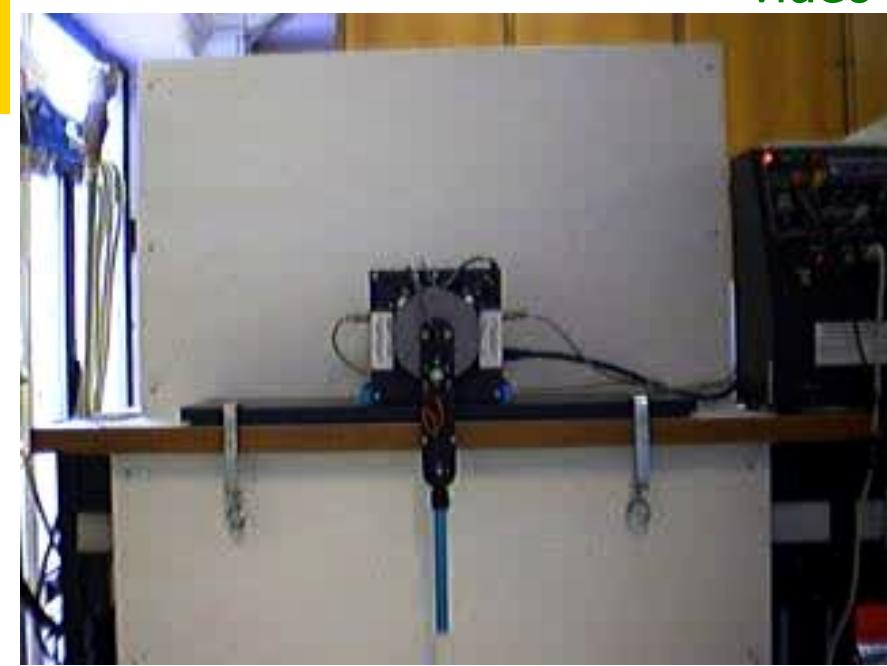


Experimental setup

Quanser Pendubot



with encoders on both joints



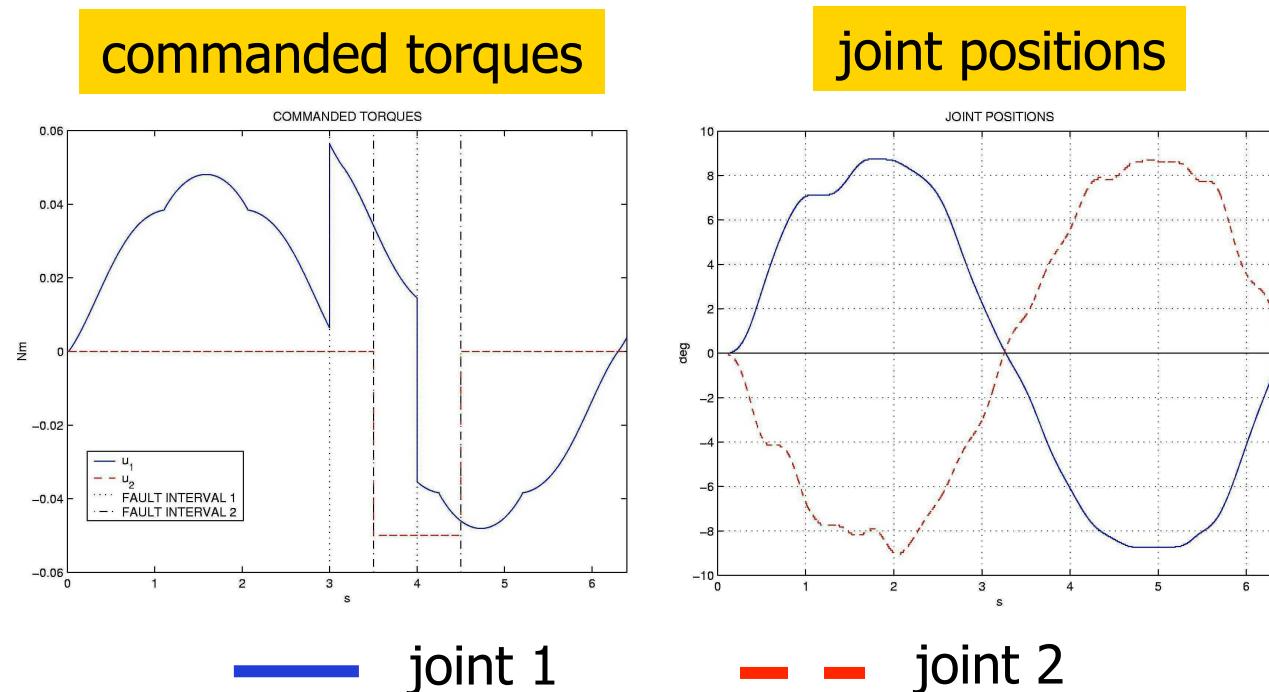
nonlinear control for swing-up

sampling time $T_c = 1$ msec, residual gains $K_i = 50$,
practical thresholds of fault detection $\approx 10^{-2} \div 10^{-3}$ Nm



Experiment 1

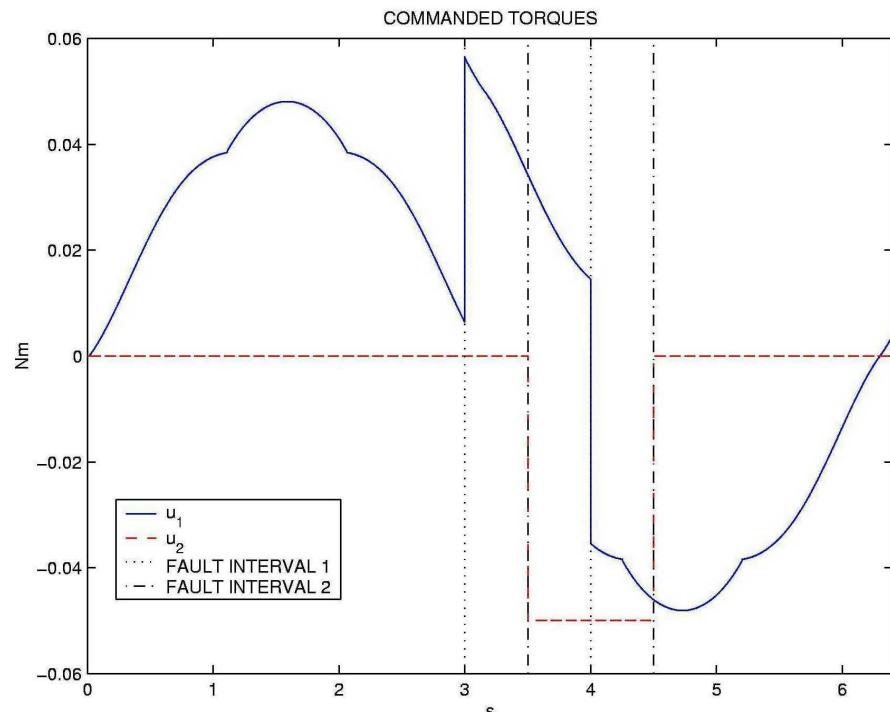
- motor 1 driven by a sinusoidal voltage of period 2π sec (open loop)
- bias fault on u_1 for $t \in [3\div4]$ sec
- total fault on second joint for $t \in [3.5\div4.5]$ sec (a constant torque is requested by the user, but the motor is not there ...)
- fault concurrency for $t \in [3.5\div4]$ sec



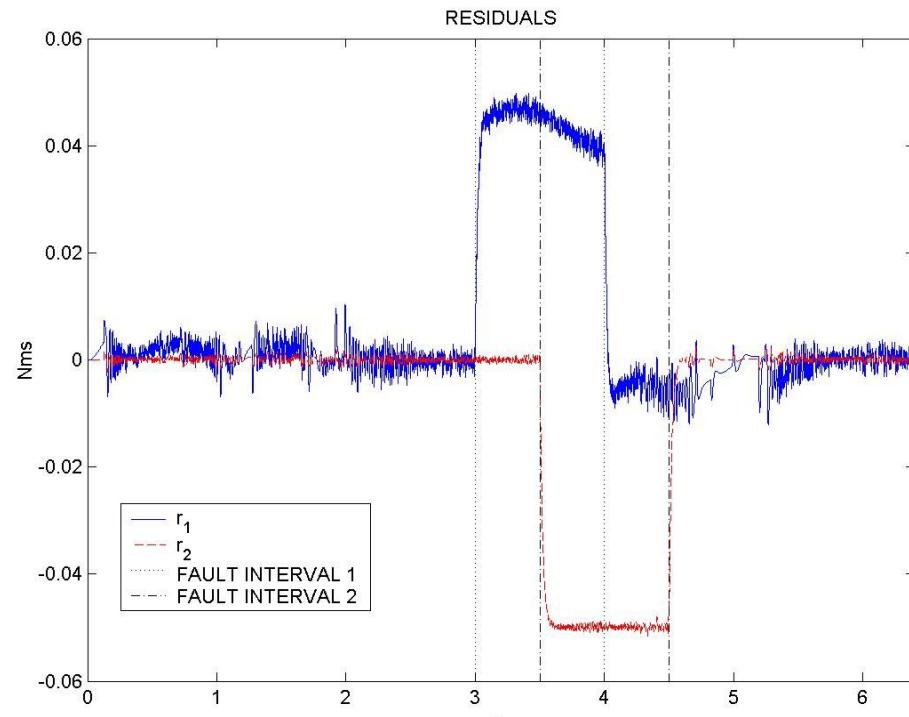


Experiment 1 - FDI

commanded torques



residuals



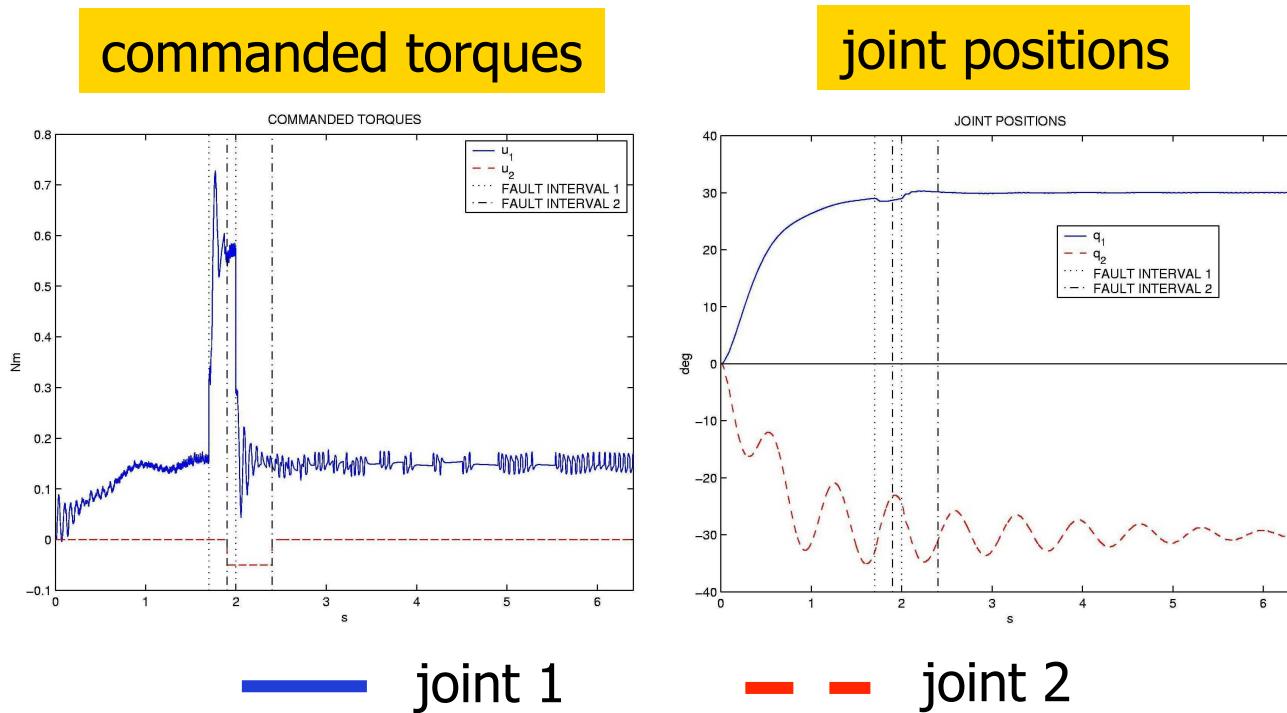
— joint 1

— joint 2



Experiment 2

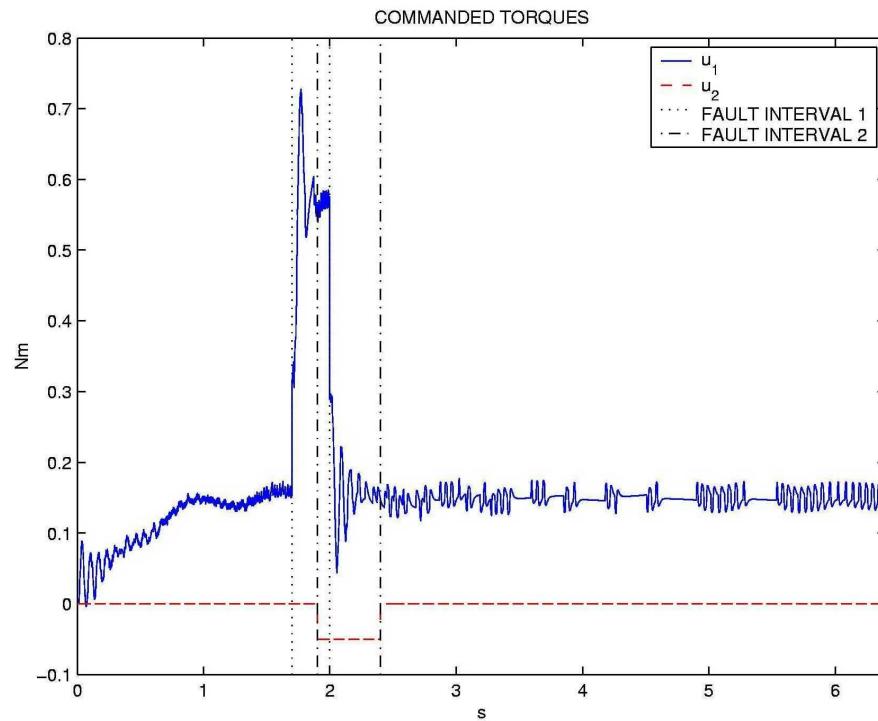
- position regulation of the first joint at $q_{d1} = 30^\circ$ (PID control)
- 50% power loss on motor 1 for $t \in [1.7 \div 2]$ sec
- total fault on joint 2 for $t \in [1.9 \div 2.4]$ sec (as before)
- fault concurrency for $t \in [1.7 \div 1.9]$ sec



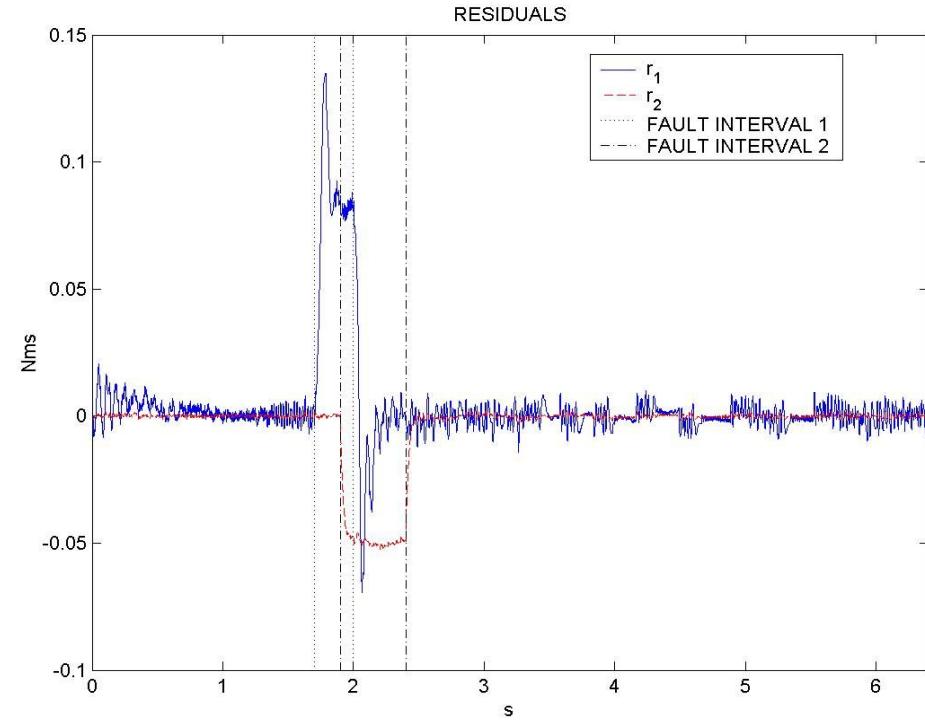


Experiment 2 - FDI

commanded torques



residuals



— joint 1

— joint 2



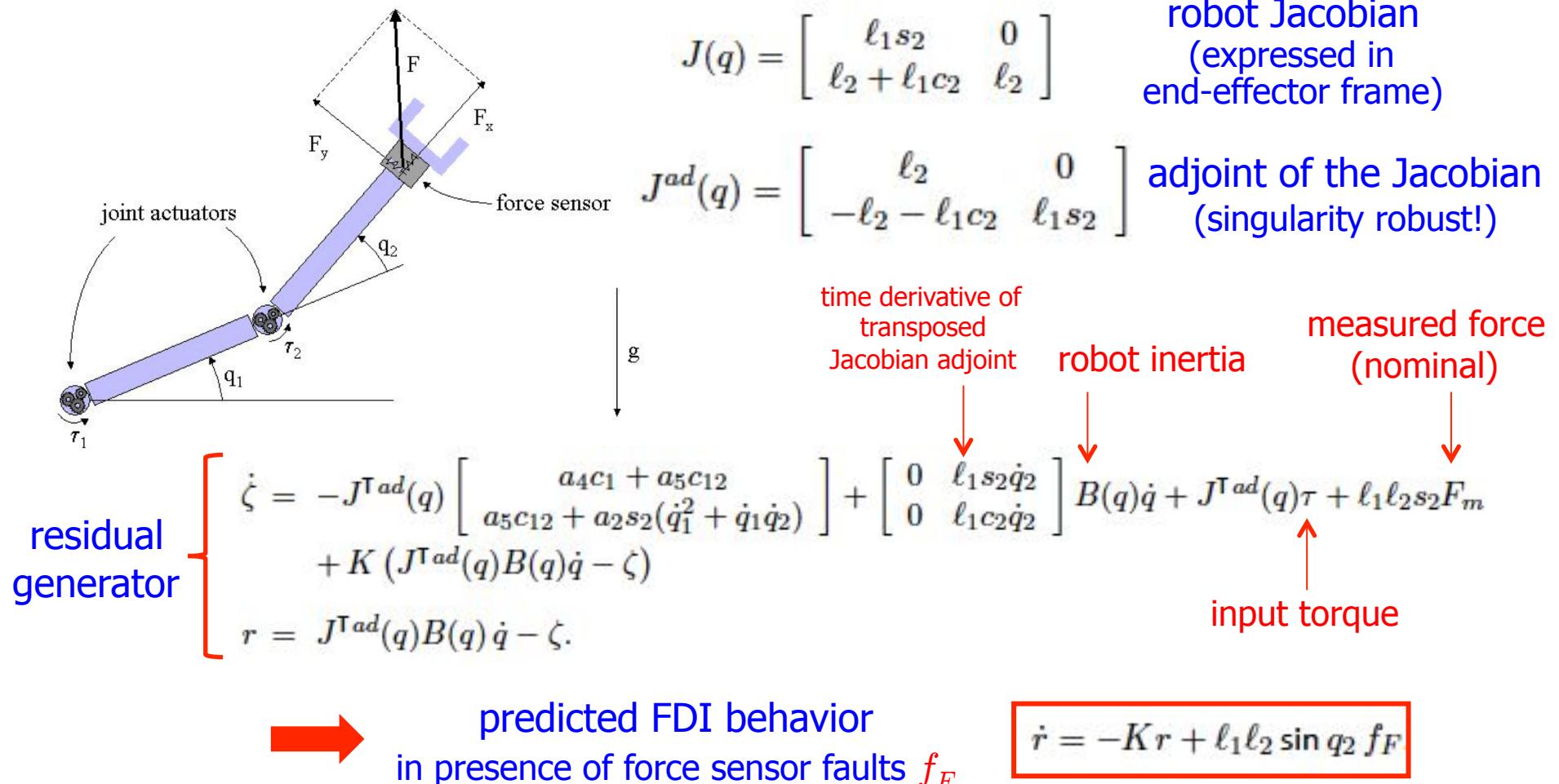
Extensions

- the FDI method based on generalized momentum is easily extended to presence of **flexible transmissions** (elastic joints), **actuator dynamics**, ...
- the scheme can be made **adaptive**, so as to handle parametric uncertainties in the robot dynamic model
- the method can be modified for detection and isolation of significant classes of **sensor faults** (e.g., faults in the wrist force/torque sensor)
 - applies to all faults that instantaneously affect robot **acceleration** or **torque** (i.e., occurring at this same differential level)
- assuming **non-concurrency** (at most a single fault occurs at the same time) for a set of faults, relaxed FDI conditions have been derived
 - of interest when the necessary conditions for multiple FDI are violated
 - involves processing of **continuous** residuals + **discrete** logic for isolation
- the same FDI-type approach has been applied also for **compensation** of **unmodeled friction** (treated as a “permanent fault” on the system)
- combination of **model-** and **signal-based** approaches to FDI



Isolation of F/T sensor faults

- planar 2R robot with force sensor mounted on the end-effector

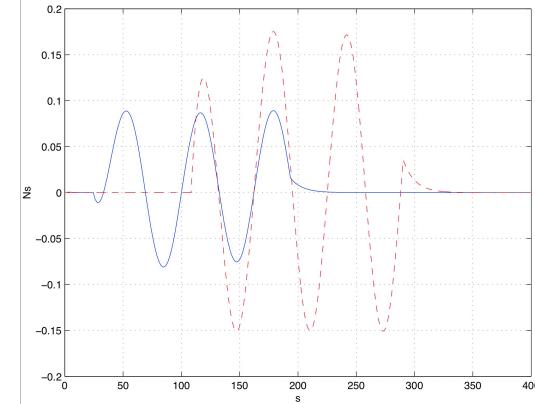
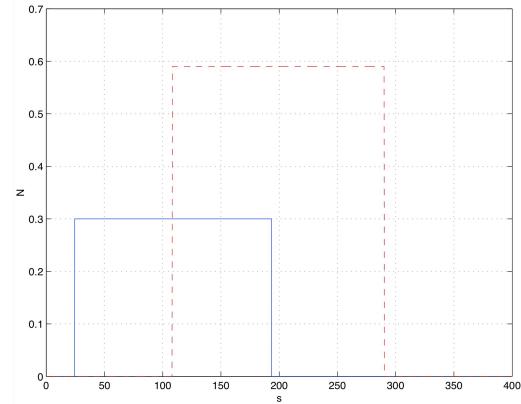




Isolation of F/T sensor faults

- simulation on the 2R robot

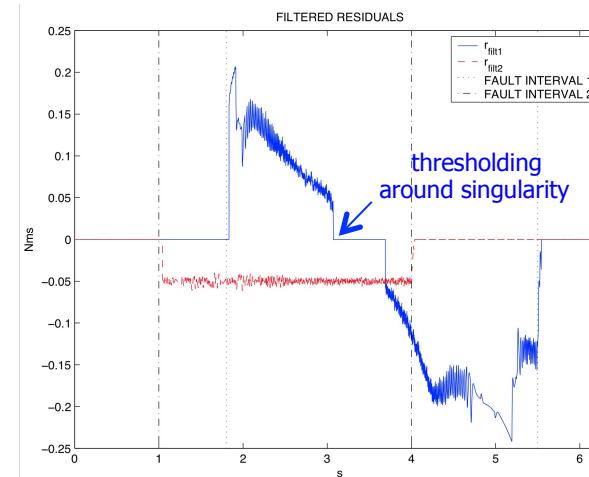
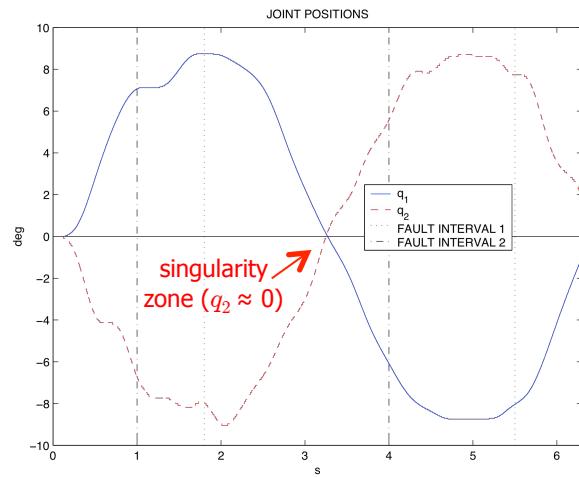
bias faults
on the two
components
of force sensor
measurement



FDI residual
components

- experiment on the Pendubot (no force sensor and no contact!)

evolution of
joint variables



(filtered) residuals
for bias (non-zero)
measurement faults
emulated on sensor:
-1N on F_x in [1.8,5.5]
0.05N on F_y in [1,4]



Isolation of non-concurrent faults

- faults of the actuators **AND** faults of the force sensor components (possibly occurring **simultaneously**) **CANNOT** be detected and isolated
 - for a mechanical system with N dofs, the **max # of faults allowing FDI** is N !
- assuming instead non-concurrency, e.g., of the 4 faults in the 2R robot

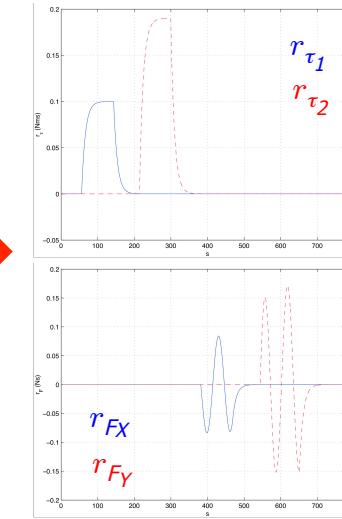
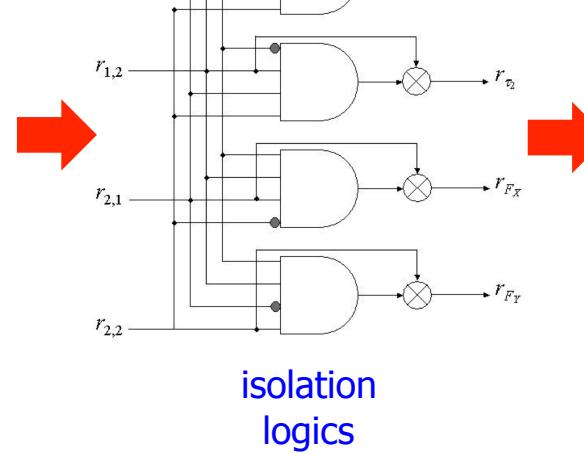
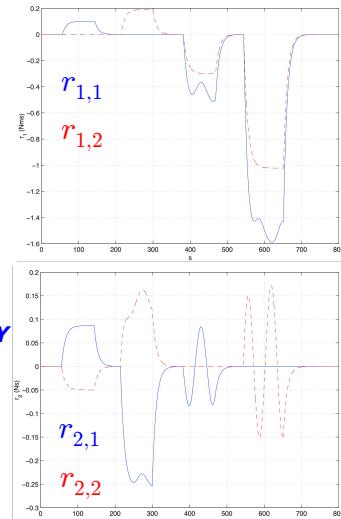
dependence of residuals on considered faults

| residual fault | $r_{1,1}$ | $r_{1,2}$ | $r_{2,1}$ | $r_{2,2}$ |
|----------------|-----------|-----------|-----------|-----------|
| f_{τ_1} | 1 | 0 | 1 | 1 |
| f_{τ_2} | 0 | 1 | 1 | 1 |
| f_{F_X} | 1 | 1 | 1 | 0 |
| f_{F_Y} | 1 | 1 | 0 | 1 |

isolation matrix

| $r_{2,1} \ r_{2,2}$ $r_{1,1} \ r_{1,2}$ | 11 | 10 | 01 | 00 |
|--|--------------|-----------|-----------|----------|
| 10 | f_{τ_1} | NA | NA | NA |
| 01 | f_{τ_2} | NA | NA | NA |
| 11 | NC | f_{F_X} | f_{F_Y} | NA |
| 00 | NA | NA | NA | no fault |

time sequence of non-concurrent faults:
 $f_{\tau_1} \rightarrow f_{\tau_2} \rightarrow f_{F_X} \rightarrow f_{F_Y}$

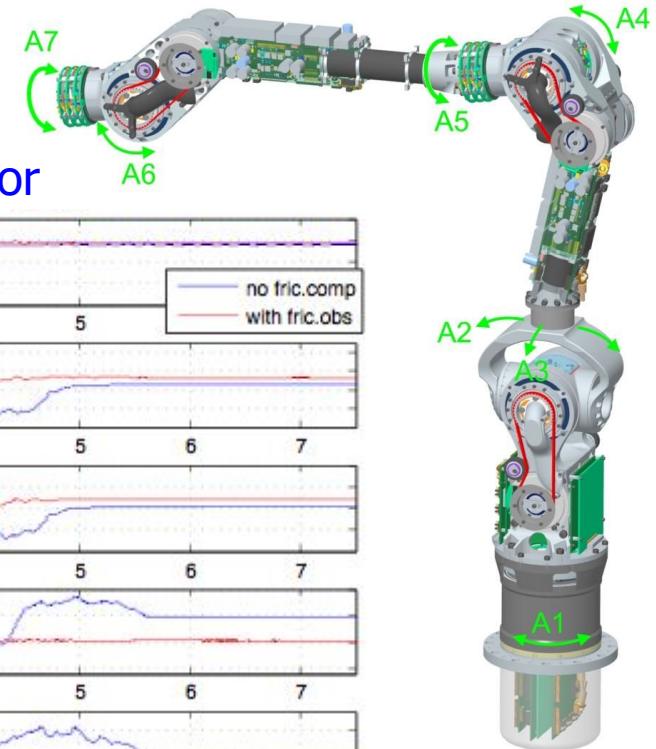
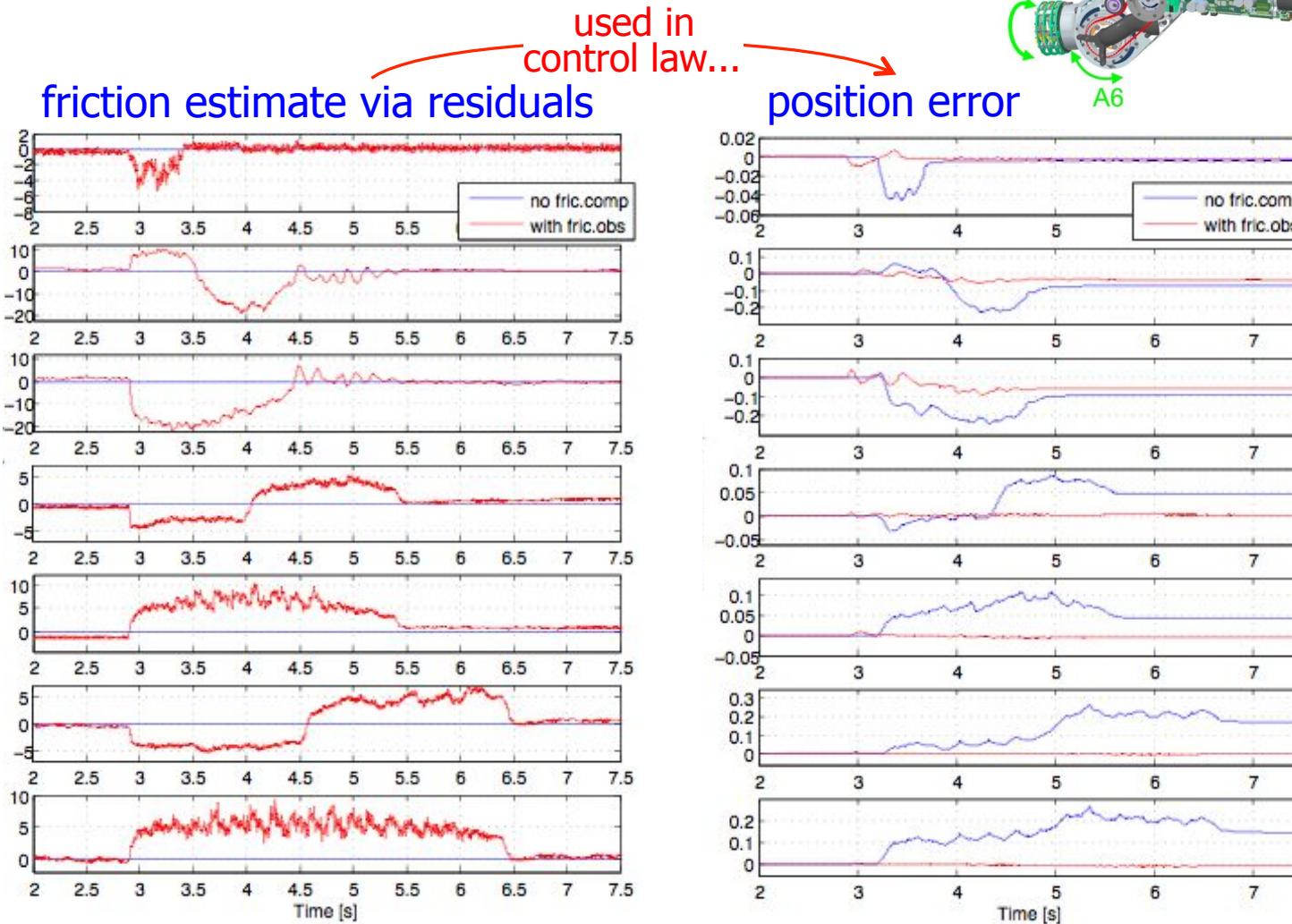


hybrid residuals allowing isolation of faults



Experiments on friction compensation

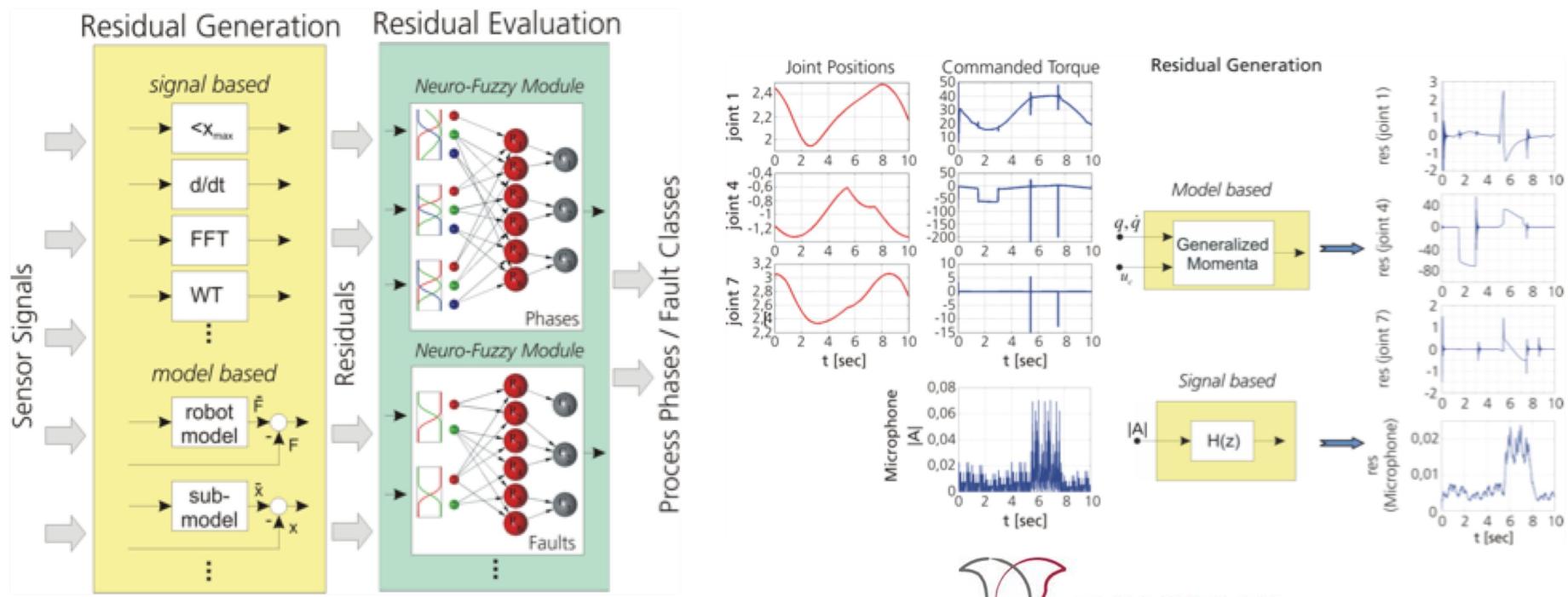
- results on the DLR 7R medical robot





Model- and signal-based FDI

- detection and isolation features can be enhanced by combining multiple sensor inputs and different approaches
 - model-based (exact, but requires accurate models)
 - signal-based (approximate, but without special requirements)
- so as to obtain the “best of both worlds”





Bibliography

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