Nonlinear control and aerospace applications

Rotations

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Outline

- Introduction
- 2 Reference frames
- Oirection cosine matrices
- 4 Euler angles
- 6 Rotation matrices
- 6 Euler's rotation theorem
- Quaternions
- 8 Changes of representation

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Introduction

more technical

- Controlling the orientation (or attitude) of a spacecraft (or aircraft) is fundamental.
 - Spacecrafts and space stations orbiting around a planet, or during interplanetary navigation,
 - ★ must capture the solar energy through panels,
 - ★ need a communication link between on-board antennas and Earth stations/receivers or relay satellites.
 - Scientific satellites and space vehicles carry payloads to be pointed toward either celestial objects or Earth targets (e.g., the Hubble).
- Depending on the mission objectives, the orientation must be known and controlled with respect to
 - a region on Earth (Earth pointing satellites) or
 - a celestial frame (inertial pointing satellites).

Introduction

- A spacecraft can be described as a rigid body, which moves with respect to some inertial frame.
- The body movement is given by a combination of a translation and a rotation.
- The **objective** here is to build the *mathematical tools* for properly describing the *rotational motion* of a rigid body.
 - This is fundamental to obtain the equations of motion of a spacecraft, which in turn are fundamental for spacecraft attitude control.
- Four rotation representations will be considered:
 - Direction cosine matrices
 - ② Euler angles
 - Angle-axis
 - Quaternions (Euler parameters).

Introduction

Notation

- Scalars: $a, b, A, B \in \mathbb{R}$.
- Column vectors:

$$\mathbf{r} = (r_1, \dots, r_n) = [r_1 \dots r_n]^T = \begin{bmatrix} r_1 \\ \vdots \\ r_n \end{bmatrix} \in \mathbb{R}^{n \times 1}.$$

- Row vectors: $\mathbf{r}^T = [r_1 \ldots r_n] \in \mathbb{R}^{1 \times n}$.
- Matrices: $\mathbf{M} \in \mathbb{R}^{n \times m}$.
- Products:

$$\mathbf{r} \cdot \mathbf{p} = \mathbf{r}^T \mathbf{p} = \sum_{i=1}^n r_i p_i$$
 dot product

$$\mathbf{r} imes \mathbf{p} = \left[egin{array}{ll} r_2 p_3 - r_3 p_2 \\ r_3 p_1 - r_1 p_3 \\ r_1 p_2 - r_2 p_1 \end{array}
ight]$$
 cross product.

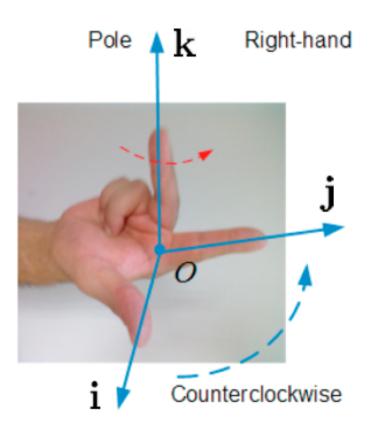
• Vector ℓ_2 (Euclidean) norm:

$$|\mathbf{r}| = ||\mathbf{r}|| = ||\mathbf{r}||_2 = \sqrt{\mathbf{r} \cdot \mathbf{r}} = \sqrt{\mathbf{r}^T \mathbf{r}} = \sqrt{\sum_{i=1}^n r_i^2} = r$$

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Definition

An orthogonal frame of reference $\mathcal{R} = \{O, \mathbf{i}, \mathbf{j}, \mathbf{k}\}$ (or Cartesian coordinate system) is formed by an origin O and a set of three unit vectors $\{\mathbf{i}, \mathbf{j}, \mathbf{k}\}$ with origin in O, that are mutually orthogonal.



- Three main kinds of RFs (reference frames) can be distinguished:
 - Body frames:
 - ★ Origin and axes are defined by points of a rigid body, either a spacecraft or a planet.
 - ★ Typically, the body Center of Mass (CoM) is taken as the origin.
 - 2 Trajectory frames: make with the body
 - ★ A trajectory is the path of CoM of a body through the space (typically, an orbit).
 - ★ The body CoM is taken as the origin.
 - ★ The axes are aligned with three instantaneous directions of the trajectory.
 - Celestial frames:
 - ★ Origin and axes are defined by points and directions in the solar system or in the universe.

Vector representations

- Consider a reference frame $F = \{O, i, j, k\}$.
- A vector $\mathbf{r} \in \mathbb{R}^3$ can be written as a linear combination of the unit vectors of F as

$$\mathbf{r} = x\mathbf{i} + y\mathbf{j} + z\mathbf{k} \leftarrow \mathsf{physical} \ \mathsf{vector}$$

where x, y, z are the coordinates.

• The vector can also be represented as

$$\mathbf{r} = \begin{bmatrix} x \\ y \\ z \end{bmatrix}$$
 \(\tag{coordinate vector.} \)

Vector representations

$$\mathbf{r}=\vec{r}=x\mathbf{i}+y\mathbf{j}+z\mathbf{k} \ \leftarrow \text{physical vector}$$
 defined in an obslite reference from the coordinate vector desort depend on the \mathbf{r}
$$\mathbf{r}=\begin{bmatrix}x\\y\\z\end{bmatrix} \ \leftarrow \text{coordinate vector.}$$
 representation in a system

- The physical vector is an "abstract" object. We can think about it as a vector defined in an "absolute" reference frame.
- The coordinate vector is the representation of the physical vector in a given RF \Rightarrow the coordinate vector depends on the RF.
- The physical vector is often denoted with \vec{r} . For simplicity, we use the same symbol $\bf r$ for both the physical and the coordinate vector.

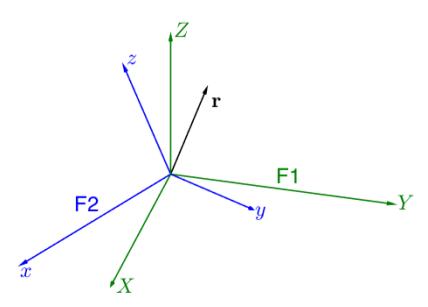
Vector representations: example

- Consider two RFs:
 - ► $F1={O, \mathbf{I}, \mathbf{J}, \mathbf{K}}$, with axes X, Y, Z.
 - ightharpoonup F2={O, \mathbf{i} , \mathbf{j} , \mathbf{k} }, with axes x, y, z.
- The physical vector

$$\mathbf{r} = -0.45\mathbf{I} + 0.04\mathbf{J} + 0.19\mathbf{K} = -0.4\mathbf{i} - 0.24\mathbf{j} + 0.16\mathbf{k}$$

has two different representations (coordinate vectors):

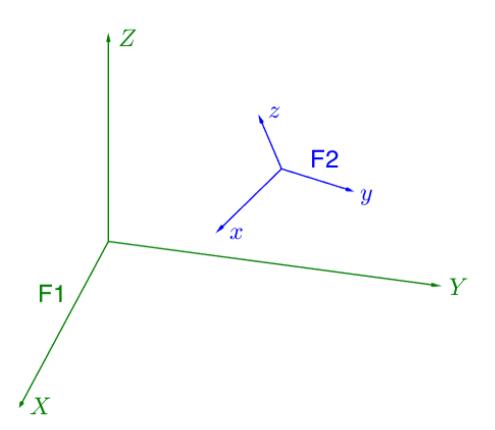
$$\begin{bmatrix} -0.45 \ 0.04 \ 0.19 \end{bmatrix}^T$$
 and $\begin{bmatrix} -0.4 \ -0.24 \ 0.16 \end{bmatrix}^T$.



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Consider two RFs:

F1= $\{O_1, \mathbf{I}, \mathbf{J}, \mathbf{K}\}$, with axes X, Y, Z. F2= $\{O_2, \mathbf{i}, \mathbf{j}, \mathbf{k}\}$, with axes x, y, z.



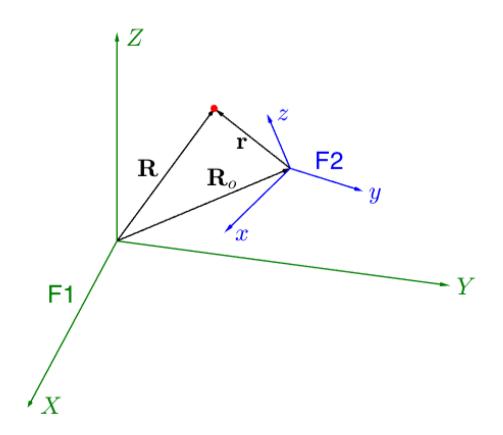
• Consider a particle with position $\mathbf{R} = \mathbf{R} + \mathbf{r}$ position in the second $\mathbf{R} = \mathbf{R} + \mathbf{r}$ reference frame $\mathbf{R} = X\mathbf{I} \perp V\mathbf{I} + \mathbf{r}$

$$\mathbf{R} = X\mathbf{I} + Y\mathbf{J} + Z\mathbf{K}$$

$$\mathbf{R}_o = X_o\mathbf{I} + Y_o\mathbf{J} + Z_o\mathbf{K}$$

$$\mathbf{r} = x\mathbf{i} + y\mathbf{j} + z\mathbf{k}$$

position of the particle in F1 position of the origin of F2 position of the particle in F2.



• What is the relation between the coordinates X, Y an Z and the coordinates x, y and z? To answer, consider that:

because the fraction
$$X = \mathbf{R} \cdot \mathbf{I} = (\mathbf{R}_o + \mathbf{r}) \cdot \mathbf{I} = X_o + x\mathbf{I} \cdot \mathbf{i} + y\mathbf{I} \cdot \mathbf{j} + z\mathbf{I} \cdot \mathbf{k}$$

The over of $Y = \mathbf{R} \cdot \mathbf{J} = (\mathbf{R}_o + \mathbf{r}) \cdot \mathbf{J} = Y_o + x\mathbf{J} \cdot \mathbf{i} + y\mathbf{J} \cdot \mathbf{j} + z\mathbf{J} \cdot \mathbf{k}$

The over of $Y = \mathbf{R} \cdot \mathbf{J} = (\mathbf{R}_o + \mathbf{r}) \cdot \mathbf{J} = Y_o + x\mathbf{J} \cdot \mathbf{i} + y\mathbf{J} \cdot \mathbf{j} + z\mathbf{J} \cdot \mathbf{k}$

The over of $Y = \mathbf{R} \cdot \mathbf{J} = (\mathbf{R}_o + \mathbf{r}) \cdot \mathbf{K} = Z_o + x\mathbf{K} \cdot \mathbf{i} + y\mathbf{K} \cdot \mathbf{j} + z\mathbf{K} \cdot \mathbf{k}$.

• In matrix form:

$$\begin{bmatrix} X \\ Y \\ Z \end{bmatrix} = \begin{bmatrix} X_o \\ Y_o \\ Z_o \end{bmatrix} + \mathbf{T} \begin{bmatrix} x \\ y \\ z \end{bmatrix}, \quad \mathbf{T} \doteq \begin{bmatrix} \mathbf{I} \cdot \mathbf{i} & \mathbf{I} \cdot \mathbf{j} & \mathbf{I} \cdot \mathbf{k} \\ \mathbf{J} \cdot \mathbf{i} & \mathbf{J} \cdot \mathbf{j} & \mathbf{J} \cdot \mathbf{k} \\ \mathbf{K} \cdot \mathbf{i} & \mathbf{K} \cdot \mathbf{j} & \mathbf{K} \cdot \mathbf{k} \end{bmatrix}.$$

The dot products $\mathbf{I} \cdot \mathbf{i}$, $\mathbf{I} \cdot \mathbf{j}$, ..., are the 9 *direction cosines* representing the orientation of each axis of one frame wrt each axis of the other.

T is the direction cosine matrix (DCM).

Products of the unit vectors of the bearnes

The DCM can be expressed as

$$\mathbf{T} \doteq \begin{bmatrix} \mathbf{I} \cdot \mathbf{i} & \mathbf{I} \cdot \mathbf{j} & \mathbf{I} \cdot \mathbf{k} \\ \mathbf{J} \cdot \mathbf{i} & \mathbf{J} \cdot \mathbf{j} & \mathbf{J} \cdot \mathbf{k} \\ \mathbf{K} \cdot \mathbf{i} & \mathbf{K} \cdot \mathbf{j} & \mathbf{K} \cdot \mathbf{k} \end{bmatrix} = \begin{bmatrix} T_{11} & T_{12} & T_{13} \\ T_{21} & T_{22} & T_{23} \\ T_{31} & T_{32} & T_{33} \end{bmatrix} = [T_{ij}].$$

 Translations and rotations can be treated independently. Thus, in the following, we assume

$${f R}_o=0.$$
 The systems have the same origin the vector [$rac{t_o}{t_o}$] goes to ϕ

Then,

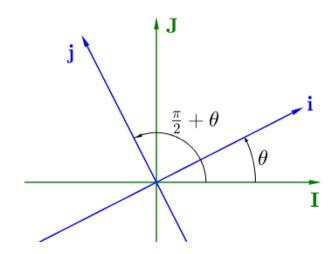
$$\left[egin{array}{c} X \ Y \ Z \end{array}
ight] = \mathbf{T} \left[egin{array}{c} x \ y \ z \end{array}
ight].$$
 Real atrial time of the two systems

This shows that T is a **transformation**, giving the coordinates in F1 from the coordinates in F2.

• In two dimensions (e.g., with z = Z = 0) the direction cosines are

$$\mathbf{I} \cdot \mathbf{i} = \cos \theta = \mathbf{J} \cdot \mathbf{j}$$
$$\mathbf{I} \cdot \mathbf{j} = \cos(\frac{\pi}{2} + \theta) = -\sin \theta = -\mathbf{J} \cdot \mathbf{i}$$

where θ is the rotation angle.



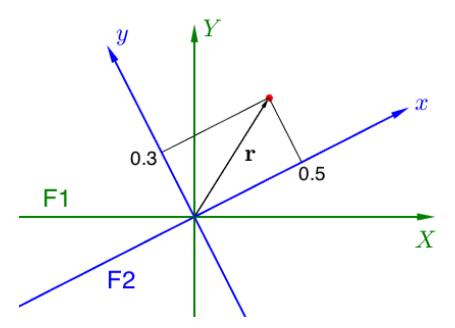
It follows that

$$\left[\begin{array}{c} X \\ Y \end{array}\right] = \left[\begin{array}{cc} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{array}\right] \left[\begin{array}{c} x \\ y \end{array}\right].$$

Example: 2D coordinate transformation

- Suppose that F2 is rotated wrt F1 of an angle $\theta = 0.15\,\pi$ rad.
- Consider a particle with position in F2 given by

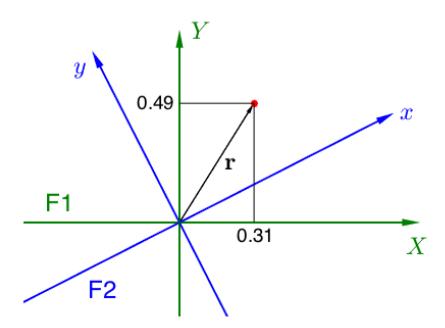
$$\mathbf{r} = 0.5 \,\mathbf{i} + 0.3 \,\mathbf{j} = \begin{bmatrix} 0.5 \\ 0.3 \end{bmatrix}.$$



Example: 2D coordinate transformation

 The particle position in F1 is obtained through the following transformation:

$$\mathbf{R} = \begin{bmatrix} X \\ Y \end{bmatrix} = \mathbf{Tr} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} 0.5 \\ 0.3 \end{bmatrix}$$
$$= \begin{bmatrix} 0.891 & -0.454 \\ 0.454 & 0.891 \end{bmatrix} \begin{bmatrix} 0.5 \\ 0.3 \end{bmatrix} = \begin{bmatrix} 0.3093 \\ 0.4943 \end{bmatrix}$$



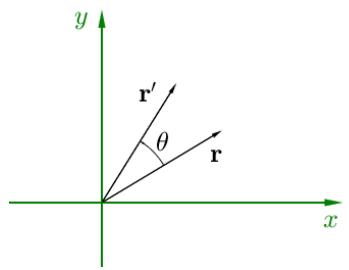
Example: 2D vector rotation

• Another interpretation of the DCM is the following: Consider a vector \mathbf{r} in a reference frame with axes x, y:

$$\mathbf{r} = 0.5\,\mathbf{i} + 0.3\,\mathbf{j} = \left| \begin{array}{c} 0.5 \\ 0.3 \end{array} \right|.$$

• Applying the DCM, we obtain a vector \mathbf{r}' rotated of an angle θ in the same reference frame:

$$\mathbf{r}' = \mathbf{Tr} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} 0.5 \\ 0.3 \end{bmatrix} = \begin{bmatrix} 0.3093 \\ 0.4943 \end{bmatrix}.$$



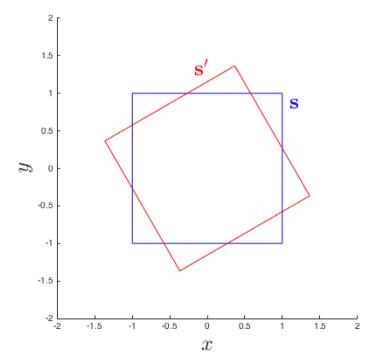
Example: 2D rotation of a square

• A square can be represented by the following matrix:

$$\mathbf{S} = \begin{bmatrix} 1 & -1 & -1 & 1 \\ 1 & -1 & -1 \end{bmatrix} \leftarrow \text{the columns} \\ \text{are the verices.}$$

• Applying the DCM with $\theta = \pi/6$ rad:

$$\mathbf{S}' = \mathbf{TS} = \begin{bmatrix} 0.366 & -1.366 & -0.366 & 1.366 \\ 1.366 & 0.366 & -1.366 & -0.366 \end{bmatrix} \leftarrow \begin{array}{c} \text{rotated} \\ \text{square.} \end{array}$$



Discussion

- A DCM T has 2 interpretations:
 - **1** Transformation of coordinates $F2 \rightarrow F1$.
 - **Rotation** of vectors in a given fixed frame. In the case of vectors that are frame axes, $F1 \rightarrow F2$.
- The following terminology is often used:
 - ▶ transformation ↔ alias
 - ▶ rotation ↔ alibi.
- Both interpretations are important.
- Any time that an DCM is used, it is necessary to understand which interpretation is being used.

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C-> 60 5-3 5/m

• In three dimensions, we define the *elementary rotation matrices*:

$$\mathbf{T}_{1}(\phi) \doteq \begin{bmatrix} 1 & 0 & 0 \\ 0 & c\phi & -s\phi \\ 0 & s\phi & c\phi \end{bmatrix} \quad \begin{array}{c} \text{token from le previous 2-0 motivities} \\ \text{rotation about } X \text{ (or } x) \\ \text{of an angle } \phi \end{array}$$

$$\mathbf{T}_2(\theta) \doteq \begin{bmatrix} c\theta & 0 & s\theta \\ 0 & 1 & 0 \\ -s\theta & 0 & c\theta \end{bmatrix}$$

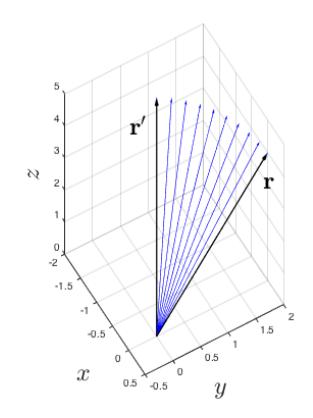
rotation about Y (or y) of an angle θ

$$\mathbf{T}_{3}(\psi) \doteq \begin{bmatrix} c\psi & -s\psi & 0 \\ s\psi & c\psi & 0 \\ 0 & 0 & 1 \end{bmatrix}$$
 rotation about Z (or z) of an angle ψ .

- Any rotation can be expressed as a product of T_1 , T_2 and T_3 .
- ullet ψ , θ and ϕ are called the *Euler angles*.

Example: 3D elementary rotation of a vector

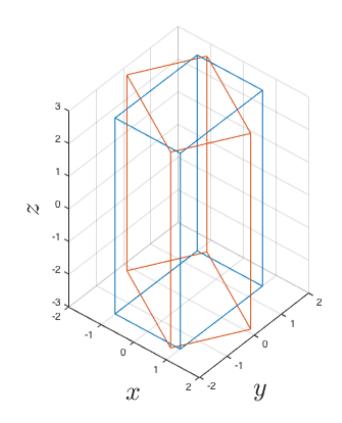
- Consider the vector $\mathbf{r} = 0\mathbf{i} + 2\mathbf{j} + 4\mathbf{k}$.
- The matrix $\mathbf{T}_3(\pi/3)$ rotates any vector about the z axis of an angle $\pi/3$ rad = 60^o .
- The rotated vector is $\mathbf{r}' = \mathbf{T}_3(\pi/3)\mathbf{r} = -1.73\mathbf{i} + 1\mathbf{j} + 4\mathbf{k}$



2 component

Example: 3D elementary rotation of an hyper-rectangle

- An hyper-rectangle can be represented by a matrix whose columns are the vertices (blue).
- Applying $T_3(\pi/3)$ to this matrix, we obtain an hyper-rectangle rotated about the z axis of an angle $\pi/3$ rad = 60^o (red).



 There exist 12 possible combinations of the 3 elementary rotations (with non-sequentially repeated indexes), which can be grouped as follows:

```
▶ 6 Tait-Bryan rotations: index one all deflocat

★ 123 \rightarrow \mathbf{T}_1(\phi)\mathbf{T}_2(\theta)\mathbf{T}_3(\psi);

★ 321 \rightarrow \mathbf{T}_3(\psi)\mathbf{T}_2(\theta)\mathbf{T}_1(\phi);

★ ...

▶ 6 proper Euler rotations: two indexes one tupested

★ 313 \rightarrow \mathbf{T}_3(\phi)\mathbf{T}_1(\theta)\mathbf{T}_3(\psi);

★ 323 \rightarrow \mathbf{T}_3(\psi)\mathbf{T}_2(\theta)\mathbf{T}_3(\phi);

★ ...
```

• Commonly used: Tait-Bryan 123 and 321; proper Euler 313.

• Tait-Bryan 123:

$$\left[\begin{array}{c} X \\ Y \\ Z \end{array}\right] = \mathbf{T}_{123} \left[\begin{array}{c} x \\ y \\ z \end{array}\right]$$

where $\mathbf{T}_{123}(\phi, \theta, \psi) \doteq \mathbf{T}_1(\phi)\mathbf{T}_2(\theta)\mathbf{T}_3(\psi)$ is the rotation matrix (or attitude matrix) given by

$$\mathbf{T}_{123} = \begin{bmatrix} c\theta c\psi & -c\theta s\psi & s\theta \\ c\phi s\psi + s\phi s\theta c\psi & c\phi c\psi - s\phi s\theta s\psi & -s\phi c\theta \\ s\phi s\psi - c\phi s\theta c\psi & s\phi c\psi + c\phi s\theta s\psi & c\phi c\theta \end{bmatrix}.$$

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• Tait-Bryan 321:

$$\left[\begin{array}{c} X \\ Y \\ Z \end{array}\right] = \mathbf{T}_{321} \left[\begin{array}{c} x \\ y \\ z \end{array}\right]$$

where $\mathbf{T}_{321}(\psi, \theta, \phi) \doteq \mathbf{T}_3(\psi)\mathbf{T}_2(\theta)\mathbf{T}_1(\phi)$ is the rotation matrix

$$\mathbf{T}_{321} = \begin{bmatrix} c\theta c\psi & -c\phi s\psi + s\phi s\theta c\psi & s\phi s\psi + c\phi s\theta c\psi \\ c\theta s\psi & c\phi c\psi + s\phi s\theta s\psi & -s\phi c\psi + c\phi s\theta s\psi \\ -s\theta & s\phi c\theta & c\phi c\theta \end{bmatrix}.$$

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• Proper Euler 313:

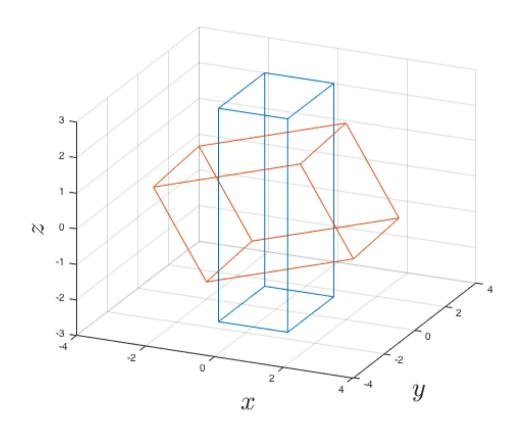
$$\left[\begin{array}{c} X \\ Y \\ Z \end{array}\right] = \mathbf{T}_{313} \left[\begin{array}{c} x \\ y \\ z \end{array}\right]$$

where $\mathbf{T}_{313}(\phi, \theta, \psi) \doteq \mathbf{T}_3(\phi)\mathbf{T}_1(\theta)\mathbf{T}_3(\psi)$ is the rotation matrix

$$\mathbf{T}_{313} = \begin{bmatrix} c\phi c\psi - s\phi c\theta s\psi & -c\phi s\psi - s\phi c\theta c\psi & s\phi s\theta \\ s\phi c\psi + c\phi c\theta s\psi & -s\phi s\psi + c\phi c\theta c\psi & -c\phi s\theta \\ s\theta s\psi & s\theta c\psi & c\theta \end{bmatrix}.$$

Example: 3D generic rotation of an hyper-rectangle

- An hyper-rectangle can be represented by a matrix whose columns are the vertices (blue).
- Applying $\mathbf{T}_1(\pi/4)\mathbf{T}_2(\pi/3)\mathbf{T}_3(\pi/3)$ to this matrix, we obtain the rotated hyper-rectangle shown in the figure (red).



Extrinsic and intrinsic rotations

- Let T_{\diamond} denote a rotation matrix, where \diamond stands for any combination of 1, 2 and 3 with non-sequentially repeated numbers.
- Given a rotation matrix T_{\diamond} , two RFs can be defined:
 - ightharpoonup Fixed frame, with axes (X, Y, Z).
 - ightharpoonup Rotating frame, with axes (x, y, z).
- Consider for example the matrix $\mathbf{T}_{123} = \mathbf{T}_1(\phi)\mathbf{T}_2(\theta)\mathbf{T}_3(\psi)$. The rotating frame is constructed as follows:
 - ▶ Beginning: (x, y, z) = (X, Y, Z)
 - ▶ T_1 : rotation about the x-axis $\rightarrow (x, y', z')$
 - ▶ T_2 : rotation about the y'-axis $\to (x', y', z'')$
 - ▶ T_3 : rotation about the z''-axis $\to (x'', y'', z'')$.

Extrinsic and intrinsic rotations

- Any rotation has two interpretations:
 - **Extrinsic rotation**: about the axes of the fixed frame.
 - Intrinsic rotation: about the axes of the rotating frame. who of the
- An extrinsic rotation corresponds to an intrinsic rotation of the same angles but with inverted order of the elementary rotations.
- For example, the matrix $\mathbf{T}_{123} = \mathbf{T}_1(\phi)\mathbf{T}_2(\theta)\mathbf{T}_3(\psi)$ corresponds to

- extrinsic rotation: intrinsic rotation:

 1) a rotation by ψ about X;
 2) a rotation by θ about Y;
 2) a rotation by θ about X.

 2) a rotation by θ about X.

 3) a rotation by ψ about ψ :

 3) a rotation by ψ about ψ :
- An extrinsic rotation is denoted with Z-Y-X, an intrinsic rotation with x - y' - z'', where y' and z'' are the rotated axes.

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Rotation matrices

Properties

- T_⋄ are *linear transformations* (⋄ stands for any combination of 1, 2 and 3 with non-sequentially repeated numbers).
- T_{\diamond} are orthogonal matrices, that is, square matrices with real entries whose columns are orthonormal vectors.
- Main property:

$$\mathbf{T}_{\diamond}^{-1} = \mathbf{T}_{\diamond}^{T}, \qquad \mathbf{T}_{\diamond}^{T} \mathbf{T}_{\diamond} = \mathbf{T}_{\diamond} \mathbf{T}_{\diamond}^{T} = \mathbf{I}.$$

- Orthogonal transformations preserve
 - the lengths of vectors;
 - the angles between vectors.

Properties

- Orthogonal matrices have all the eigenvalues (either complex or real) with absolute value equal to 1. 3D rotation matrices have one eigenvalue equal to 1.
- Orthogonal matrices have the determinant equal to 1 or -1. Rotation matrices have the determinant equal to 1.
- For null angles, T_{\diamond} become identity matrices.
 - ▶ It follows for example that $\mathbf{T}_{123} = \mathbf{T}_3(\psi)$ for $\theta = \phi = 0$.
- The matrix product is non-commutative ⇒ the rotation composition depends on the order.
- T_{\diamond} has 9 elements (3 × 3 matrix), which depend on 3 angles only;
 - ▶ the minimum number of parameters required to describe a rotation is 3.

Singularities

• Consider for example the Tait–Bryan 123 rotation with $\theta = \frac{\pi}{2}$:

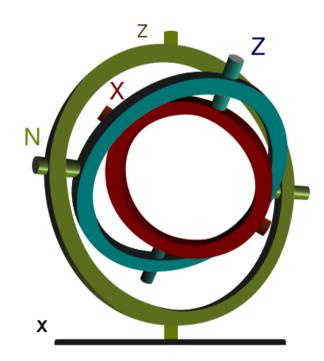
$$\mathbf{T}_{123}\left(\phi, \frac{\pi}{2}, \psi\right) = \begin{bmatrix} 0 & 0 & 1 \\ c\phi s\psi + c\psi s\phi & c\phi c\psi - s\psi s\phi & 0 \\ s\phi s\psi - c\psi c\phi & c\phi s\psi + c\psi s\phi & 0 \end{bmatrix}$$

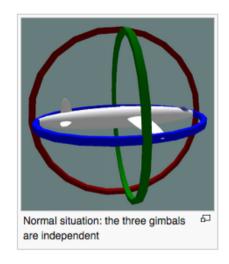
$$= \begin{bmatrix} 0 & 0 & 1 \\ \sin(\phi + \psi) & \cos(\phi + \psi) & 0 \\ -\cos(\phi + \psi) & \sin(\phi + \psi) & 0 \end{bmatrix}.$$

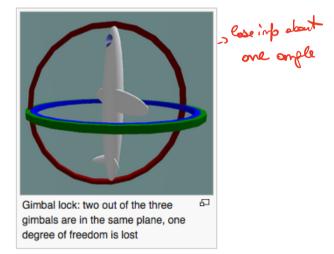
- ullet Only the sum of ϕ and ψ can be determined from the DCM.
 - One angle is undermined.
- This phenomenon is known as the gimbal lock, and corresponds to a loss of a degree of freedom.

Singularities

Three-gimbal system: used on boats and inertial platforms.







Singularities

- Critical situations:
 - ▶ Tait—Bryan rotations: $\cos \theta = 0$;
 - **proper Euler** rotations: $\sin \theta = 0$.
- In these situations, a degeneracy at the poles occurs:
 - \blacktriangleright it is not possible to determine ϕ and ψ from the DCM;
 - only the sum or the difference of ϕ and ψ can be determined.
- The singularity corresponds to a loss of a degree of freedom.
- We will see that
 - singularities appear in the kinematic equations;
 - gimbal lock can be overcome using non-minimal representations.

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Euler's rotation theorem

Theorem

Any movement of a rigid body where one point is fixed is equivalent to a rotation about an axis passing through the fixed point. The axis of rotation is the eigenvector u (eigenaxis) corresponding to the eigenvalue 1 of the rotation matrix.

Proof. From linear algebra, a rotation matrix T has one eigenvalue equal to 1. The corresponding eigenvector **u** is unchanged by the rotation:

This means that ${f u}$ has the same components in the original and rotated reference frames. It follows that ${\bf u}$ is the axis of rotation.

- From this theorem, it follows that any rotation can be described by 2 quantities, involving 4 variables:
 - a rotation angle (1 variable)
 a rotation axis (3 variables)

 ← angle-axis representation.



Euler's rotation theorem Example

Consider the rotation matrix

$$\mathbf{T}_{123} \left(\frac{\pi}{6}, \frac{\pi}{3}, \frac{\pi}{4} \right) = \begin{bmatrix} \frac{\sqrt{2}}{4} & -\frac{\sqrt{2}}{4} & \frac{\sqrt{3}}{2} \\ \frac{3\sqrt{2}\sqrt{3}}{8} & \frac{\sqrt{2}\sqrt{3}}{8} & -\frac{1}{4} \\ -\frac{\sqrt{2}}{8} & \frac{5\sqrt{2}}{8} & \frac{\sqrt{3}}{4} \end{bmatrix}.$$

• The eigenvalues are $\{0.0464 + 0.9989i, 0.0464 - 0.9989i, 1\}$. The corresponding eigenvectors are

$$\begin{bmatrix} -0.25 + 0.53 \, \mathrm{i} \\ 0.60 \\ -0.27 - 0.47 \, \mathrm{i} \end{bmatrix} \begin{pmatrix} -0.25 - 0.53 \, \mathrm{i} \\ 0.60 \\ -0.27 + 0.47 \, \mathrm{i} \end{pmatrix} \begin{pmatrix} 0.57 \\ 0.52 \\ 0.64 \end{pmatrix}.$$

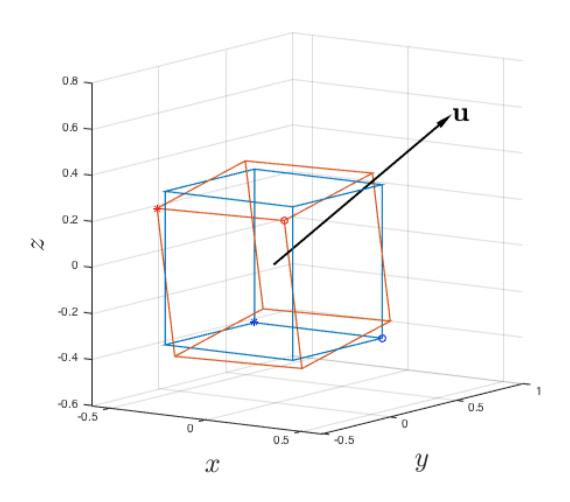
• The last column $\mathbf{u} = [0.57 \ 0.52 \ 0.64]^T$ is a vector representing the axis of rotation (eigenaxis).



Euler's rotation theorem

Example

• The result of $\mathbf{T}_{123}\left(\frac{\pi}{6}, \frac{\pi}{3}, \frac{\pi}{4}\right)$ applied to a cube is shown in the figure. The axis of rotation is $\mathbf{u} = [0.57 \ 0.52 \ 0.64]^T$.



Euler parameters

• Based on the Euler's theorem, the following 4 variables (called the Euler parameters) can be used to describe a rotation of an angle β about an axis defined by a unit vector $\mathbf{u} = u_1 \mathbf{i} + u_2 \mathbf{j} + u_3 \mathbf{k}$:

$$q_0 \doteq \cos \frac{\beta}{2}$$
 components of the $q_1 \doteq u_1 \sin \frac{\beta}{2}$ rotation exis $q_2 \doteq u_2 \sin \frac{\beta}{2}$ $q_3 \doteq u_3 \sin \frac{\beta}{2}$.

Note that only 3 of these 4 parameters are independent:

$$\sqrt{\sum_{i=0}^{3} q_i^2} = 1.$$

• As we'll see next, the vector $\mathfrak{q} = (q_0, q_1, q_2, q_3)$ is a quaternion.



- 1 Introduction
- 2 Reference frames
- Oirection cosine matrices
- 4 Euler angles
- 6 Rotation matrices
- 6 Euler's rotation theorem
- Quaternions
- 8 Changes of representation

- Quaternions are mathematical objects introduced by the Irish mathematician Hamilton that are
 - a generalization of complex numbers to a 3D-space;
 - efficient rotation operators.
- The Euler parameters form a *quaternion*.
- Advantages wrt Euler angles/rotation matrices:
 - more efficient from a computational point of view;
 - less sensitive to rounding errors;
 - gimbal lock avoided.

set of elements of the space such that any element is a lineare combilation

Definition

Quaternions are elements of a 4D linear vector space with basis $\{1, \mathbf{i}, \mathbf{j}, \mathbf{k}\}$, where the basis vectors satisfy the following multiplication rules:

$$\mathbf{i}^2 = \mathbf{j}^2 = \mathbf{k}^2 = \mathbf{i} \otimes \mathbf{j} \otimes \mathbf{k} = -1$$

$$\mathbf{i} \otimes \mathbf{j} = -\mathbf{j} \otimes \mathbf{i} = \mathbf{k}$$

$$\mathbf{j} \otimes \mathbf{k} = -\mathbf{k} \otimes \mathbf{j} = \mathbf{i}$$

$$\mathbf{k} \otimes \mathbf{i} = -\mathbf{i} \otimes \mathbf{k} = \mathbf{j}.$$

• The following notations are equivalent to indicate a quaternion q:

$$\mathbf{q} = q_0 + \mathbf{q}$$

$$= q_0 + q_1 \mathbf{i} + q_2 \mathbf{j} + q_3 \mathbf{k}$$

$$= \cos \frac{\beta}{2} + \mathbf{u} \sin \frac{\beta}{2} \quad \text{from the premitors}$$

$$= e^{\mathbf{u} \frac{\beta}{2}}$$

$$= \left(\cos \frac{\beta}{2}, u_1 \sin \frac{\beta}{2}, u_2 \sin \frac{\beta}{2}, u_3 \sin \frac{\beta}{2}\right)$$

$$= (q_0, q_1, q_2, q_3)$$

$$= (q_0, \mathbf{q}) = \begin{bmatrix} q_0 \\ \mathbf{q} \end{bmatrix} = \begin{bmatrix} \cos \frac{\beta}{2} \\ \mathbf{u} \sin \frac{\beta}{2} \end{bmatrix}$$

- $ightharpoonup q_0$ is the *real part*,
- q is the imaginary (or vector) part.
- A quaternion with null real part is said pure.



Algebra

- There exists the *null element*, that is $\mathfrak{O} = (0, \mathbf{0})$.
- The complex conjugate of a quaternion $q = q_0 + q$ is

$$\mathfrak{q}^* \doteq q_0 - \mathbf{q} = (q_0, -\mathbf{q}) = \cos \frac{\beta}{2} \bigcirc \mathbf{u} \sin \frac{\beta}{2} = e^{\bigcirc \mathbf{u} \frac{\beta}{2}}.$$

• The *norm* of a quaternion is

$$|\mathfrak{q}| = ||\mathfrak{q}|| = ||\mathfrak{q}||_2 = |\mathfrak{q}^*| = \sqrt{\mathfrak{q} \cdot \mathfrak{q}^*} = \sqrt{\sum_{i=0}^3 q_i^2}.$$

The reciprocal of a quaternion q is

$$\mathfrak{q}^{-1} = \mathfrak{q}^*/|\mathfrak{q}|$$
 $\mathfrak{q}^{-1} = \mathfrak{q}^*$ for a unit quaternion.

Algebra

- Sum: $\mathfrak{q} + \mathfrak{p} = q_0 + p_0 + \mathbf{q} + \mathbf{p}$.
- Dot product: $\mathfrak{q} \cdot \mathfrak{p} = \sum_{i=0}^{3} q_i p_i$.
- Quaternion product (Hamilton product):

$$\mathbf{q} \otimes \mathbf{p} = (q_0 + \mathbf{q}) \otimes (p_0 + \mathbf{p}) = \dots$$
$$= (q_0 p_0 - \mathbf{q} \cdot \mathbf{p}) + (q_0 \mathbf{p} + p_0 \mathbf{q} + \mathbf{q} \times \mathbf{p})$$

$$\mathbf{q} \cdot \mathbf{p} = \sum_{i=1}^{3} q_i p_i$$

dot product

$$\mathbf{q} \times \mathbf{p} = \begin{bmatrix} q_2 p_3 - q_3 p_2 \\ q_3 p_1 - q_1 p_3 \\ q_1 p_2 - q_2 p_1 \end{bmatrix} \quad \text{cross product.}$$

- ▶ The *identity element* is $\mathfrak{I} \doteq (1, \mathbf{0})$: $\mathfrak{q} \otimes \mathfrak{I} = \mathfrak{q}$, $\mathfrak{I} \otimes \mathfrak{q} = \mathfrak{q}$.
- ► The quaternion product is associative, non-commutative.



Algebra

The cross product can also be written as
$$\mathbf{q} \times \mathbf{p} = \begin{bmatrix} 0 & -q_3 & q_2 \\ q_3 & 0 & -q_1 \\ -q_2 & q_1 & 0 \end{bmatrix} \begin{bmatrix} p_1 \\ p_2 \\ p_3 \end{bmatrix}, \quad \mathbf{q} \times \dot{\mathbf{p}} = \begin{bmatrix} 0 & -q_3 & q_2 \\ q_3 & 0 & -q_1 \\ -q_2 & q_1 & 0 \end{bmatrix}.$$

The quaternion product can also be computed as

$$\mathbf{q} \otimes \mathbf{p} = (q_0 p_0 - \mathbf{q} \cdot \mathbf{p}) + (q_0 \mathbf{p} + p_0 \mathbf{q} + \mathbf{q} \times \mathbf{p})$$

$$= \begin{bmatrix} q_0 & -\mathbf{q}^T \\ \mathbf{q} & q_0 \mathbf{I} + \mathbf{q} \times \end{bmatrix} \begin{bmatrix} p_0 \\ \mathbf{p} \end{bmatrix}$$

$$= \begin{bmatrix} p_0 & -\mathbf{p}^T \\ \mathbf{p} & q_0 \mathbf{I} - \mathbf{p} \times \end{bmatrix} \begin{bmatrix} q_0 \\ \mathbf{q} \end{bmatrix}.$$

Rotations

- Let a 3D vector $\mathbf{r} = (x, y, z)$ be given.
- Consider a rotation of **r** about an axis $\mathbf{u} = (u_1, u_2, u_3)$ of an angle β :

restation
$$\mathbf{r}' = \mathbf{T}(\beta, \mathbf{Q})\mathbf{r}$$
 original water

• Both \mathbf{r} and \mathbf{r}' can be seen as the vector parts of quaternions with null real part, given by $(0, \mathbf{r})$ and $(0, \mathbf{r}')$.

Theorem

Define the unit quaternion

$$\mathfrak{q} \doteq \left(\cos\frac{\beta}{2}, u_1 \sin\frac{\beta}{2}, u_2 \sin\frac{\beta}{2}, u_3 \sin\frac{\beta}{2}\right).$$

The rotated vector \mathbf{r}' can be computed as follows:

$$(0, \mathbf{r}') = \mathfrak{q} \otimes (0, \mathbf{r}) \otimes \mathfrak{q}^*.$$

Rotations

Rotation composition: Given a rotation composition

$$\mathbf{T} = \mathbf{T}_1 \mathbf{T}_2 \dots \mathbf{T}_n$$
, motive product

the quaternion corresponding to the rotation ${f T}$ is

$$\mathfrak{q}=\mathfrak{q}_1\otimes\mathfrak{q}_2\otimes\cdots\otimes\mathfrak{q}_n$$

where q_i is the quaternion corresponding to the rotation T_i .

• **Inverse rotation**: Given a rotation defined by a unit quaternion q, the inverse rotation is defined by the quaternion

$$\mathfrak{q}^{-1} = \mathfrak{q}^*$$
.

Rotations

• Elementary rotations:

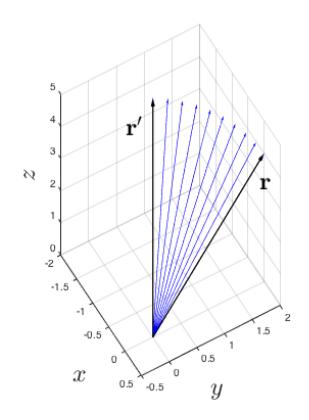
$$\mathbf{T}_{1}(\phi) \quad \leftrightarrow \quad \mathfrak{q}_{1}(\phi) = \left(\cos\frac{\phi}{2}, \sin\frac{\phi}{2}, 0, 0\right)$$
$$\mathbf{T}_{2}(\theta) \quad \leftrightarrow \quad \mathfrak{q}_{2}(\theta) = \left(\cos\frac{\theta}{2}, 0, \sin\frac{\theta}{2}, 0\right)$$

$$\mathbf{T}_3(\psi) \leftrightarrow \mathfrak{q}_3(\psi) = \left(\cos\frac{\psi}{2}, 0, 0, \sin\frac{\psi}{2}\right).$$

These are called the *elementary quaternions*.

Example: 3D elementary rotation of a vector

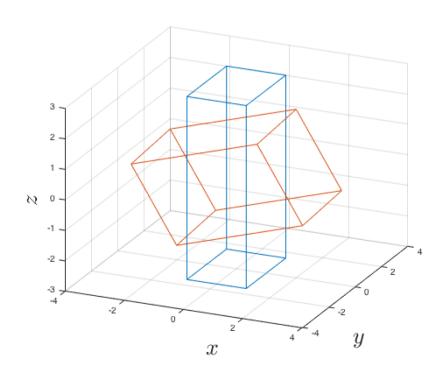
- Consider the vector $\mathbf{r} = 0\mathbf{i} + 2\mathbf{j} + 4\mathbf{k}$.
- The quaternion $\mathfrak{q}_3(\pi/3)$ rotates any vector about the z axis of an angle $\pi/3$ rad = 60^o .
- The rotated vector is computed as $(0, \mathbf{r}') = \mathfrak{q}_3 \otimes (0, \mathbf{r}) \otimes \mathfrak{q}_3^*$, giving $\mathbf{r}' = -1.73\mathbf{i} + 1\mathbf{j} + 4\mathbf{k}$.



Same result as that obtained with the rotation matrix $T_3(\pi/3)$.

Example: 3D generic rotation of an hyper-rectangle

- An hyper-rectangle can be represented by a matrix whose columns are the vertices (blue).
- Applying $\mathfrak{q}_1(\pi/4) \otimes \mathfrak{q}_2(\pi/3) \otimes \mathfrak{q}_3(\pi/3)$ to each column of this matrix, we obtain the rotated hyper-rectangle shown in the figure (red).



Same result as that obtained with the rotation matrix $\mathbf{T}_1(\pi/4)\mathbf{T}_2(\pi/3)\mathbf{T}_3(\pi/3)$.

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- 2 Reference frames
- 3 Direction cosine matrices
- 4 Euler angles
- 6 Rotation matrices
- 6 Euler's rotation theorem
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- Four different rotation representations have been introduced:
 - O Direction cosine matrix (DCM)

$$\mathbf{T} = [T_{ij}] = \begin{bmatrix} T_{11} & T_{12} & T_{13} \\ T_{21} & T_{22} & T_{23} \\ T_{31} & T_{32} & T_{33} \end{bmatrix}.$$

- **2** Euler angles (ϕ, θ, ψ) :
 - ★ Tayt-Brian angles 321;
 - ★ proper Euler angles 313.
- **3** Angle-axis (β, \mathbf{u}) .
- **4** Quaternions (Euler parameters) $\mathfrak{q} = (q_0, \mathbf{q})$.
- In the following, we will see how to change representation.

$DCM \leftrightarrow Euler angles$

- Euler angles \rightarrow DCM: trivial.
- DCM \rightarrow Tayt-Brian angles $321 \ (-\frac{\pi}{2} \le \theta \le \frac{\pi}{2})$:

$$\phi = \operatorname{atan2} (T_{32}, T_{33})$$

$$\theta = \operatorname{atan2} (-T_{31}, s\phi T_{32} + c\phi T_{33})$$

$$\psi = \operatorname{atan2} (-c\phi T_{12} + s\phi T_{13}, c\phi T_{22} - s\phi T_{23}).$$

• DCM \rightarrow Proper Euler angles 313 ($\theta \neq 0$):

$$\phi = \operatorname{atan2} (T_{13}, -T_{23})$$

$$\theta = \operatorname{atan2} (s\phi T_{13} - c\phi T_{23}, T_{33})$$

$$\psi = \operatorname{atan2} (-c\phi T_{12} - s\phi T_{22}, c\phi T_{11} + s\phi T_{21}).$$

• atan2(x,y) = four quadrant inverse tangent of <math>y/x (see the next slide).



• Function atan2(x, y):

$$\operatorname{atan2}(y,x) = \begin{cases} \arctan(\frac{y}{x}) & \text{if } x > 0, \\ \arctan(\frac{y}{x}) + \pi & \text{if } x < 0 \text{ and } y \geq 0, \\ \arctan(\frac{y}{x}) - \pi & \text{if } x < 0 \text{ and } y < 0, \\ +\frac{\pi}{2} & \text{if } x = 0 \text{ and } y > 0, \\ -\frac{\pi}{2} & \text{if } x = 0 \text{ and } y < 0, \\ \operatorname{undefined} & \text{if } x = 0 \text{ and } y = 0. \end{cases}$$

• In Matlab, atan2(0,0) = 0.

DCM↔ angle-axis

• Angle-axis \rightarrow DCM:

$$\mathbf{T} = \begin{bmatrix} u_1^2(1-c\beta) + c\beta & u_1u_2(1-c\beta) - u_3s\beta & u_1u_3(1-c\beta) + u_2s\beta \\ u_1u_2(1-c\beta) + u_3s\beta & u_2^2(1-c\beta) + c\beta & u_2u_3(1-c\beta) - u_1s\beta \\ u_1u_3(1-c\beta) - u_2s\beta & u_2u_3(1-c\beta) + u_1s\beta & u_3^2(1-c\beta) + c\beta \end{bmatrix}.$$

• DCM \rightarrow angle-axis $(s\beta \neq 0)$:

$$\beta = \arccos\left(\frac{T_{11} + T_{22} + T_{33} - 1}{2}\right)$$

$$\mathbf{u} = \frac{1}{2s\beta} \left| \begin{array}{c} T_{32} - T_{23} \\ T_{13} - T_{31} \\ T_{21} - T_{12} \end{array} \right|.$$

$\mathsf{DCM} \leftrightarrow \mathsf{quaternions}$

• Quaternions \rightarrow DCM:

$$\mathbf{T} = \begin{bmatrix} q_0^2 + q_1^2 - q_2^2 - q_3^2 & 2(q_1q_2 - q_0q_3) & 2(q_1q_3 + q_0q_2) \\ 2(q_1q_2 + q_0q_3) & q_0^2 - q_1^2 + q_2^2 - q_3^2 & 2(q_2q_3 - q_0q_1) \\ 2(q_1q_3 - q_0q_2) & 2(q_2q_3 + q_0q_1) & q_0^2 - q_1^2 - q_2^2 + q_3^2 \end{bmatrix}.$$

• DCM \rightarrow quaternions $(q_0 \neq 0)$:

$$q_0 = \frac{1}{2}\sqrt{T_{11} + T_{22} + T_{33} + 1}$$

$$\mathbf{q} = rac{1}{4q_0} \left[egin{array}{c} T_{32} - T_{23} \\ T_{13} - T_{31} \\ T_{21} - T_{12} \end{array}
ight].$$

If $q_0 = 0$, more complicated formulas can be used.

ullet No singularities occur: ${f q}$ can always be computed from ${f T}$ (gimbal lock avoided).



Quaternions \leftrightarrow Euler angles

- ullet Euler angles o quaternions: elementary quaternions.
- ullet Quaternions o Euler angles: pass through the DCM.

Quaternions \leftrightarrow angle-axis

- Angle-axis \rightarrow quaternions: trivial.
- Quaternion \rightarrow angle-axis ($\beta \neq 2k\pi, k = 0, 1, \ldots$):

$$\beta = 2 \arccos q_0$$
 $u_i = q_i / \sin \frac{\beta}{2}$.

• Euler angles $313 \to \text{DCM}$. Consider the 313 Euler angles $\left(\frac{\pi}{8}, \frac{\pi}{4}, \frac{\pi}{3}\right)$. The corresponding DCM is

$$\mathbf{T} = \mathbf{T}_3 \left(\frac{\pi}{8}\right) \mathbf{T}_1 \left(\frac{\pi}{4}\right) \mathbf{T}_3 \left(\frac{\pi}{3}\right) = \begin{bmatrix} 0.227 & -0.935 & 0.270 \\ 0.757 & -0.005 & -0.653 \\ 0.612 & 0.353 & 0.707 \end{bmatrix}.$$

Note that numerical approximations may be critical.

• DCM \rightarrow Euler angles 313:

$$\phi = \operatorname{atan2} (T_{13}, -T_{23}) = \frac{\pi}{8}$$

$$\theta = \operatorname{atan2} (s\phi T_{13} - c\phi T_{23}, T_{33}) = \frac{\pi}{4}$$

$$\psi = \operatorname{atan2} (-c\phi T_{12} - s\phi T_{22}, c\phi T_{11} + s\phi T_{21}) = \frac{\pi}{3}.$$



• Euler angles \rightarrow quaternions. Consider the 313 Euler angles $\left(\frac{\pi}{8}, \frac{\pi}{4}, \frac{\pi}{3}\right)$. The corresponding elementary quaternions are

$$\mathfrak{q}_3(\frac{\pi}{8}) = \left(\cos\frac{\pi}{16}, 0, 0, \sin\frac{\pi}{16}\right) = (0.981, 0, 0, 0.195)
\mathfrak{q}_1(\frac{\pi}{4}) = \left(\cos\frac{\pi}{8}, \sin\frac{\pi}{8}, 0, 0\right) = (0.924, 0.383, 0, 0)
\mathfrak{q}_3(\frac{\pi}{3}) = \left(\cos\frac{\pi}{6}, 0, 0, \sin\frac{\pi}{6}\right) = (0.866, 0, 0, 0.5).$$

The total rotation quaternion is

$$\mathfrak{q} = \mathfrak{q}_3\left(\frac{\pi}{8}\right) \otimes \mathfrak{q}_1\left(\frac{\pi}{4}\right) \otimes \mathfrak{q}_3\left(\frac{\pi}{3}\right) = \begin{pmatrix} 0.695, & 0.362, & -0.123, & 0.609 \end{pmatrix}.$$

DCM→ quaternions. Consider the DCM

$$\mathbf{T} = \begin{bmatrix} 0.227 & -0.935 & 0.270 \\ 0.757 & -0.005 & -0.653 \\ 0.612 & 0.353 & 0.707 \end{bmatrix}.$$

The corresponding quaternion is $q = (q_0, \mathbf{q})$, where

$$q_0 = \frac{1}{2}\sqrt{T_{11} + T_{22} + T_{33} + 1} = 0.695$$

$$\mathbf{q} = \frac{1}{4q_0} \begin{bmatrix} T_{32} - T_{23} \\ T_{13} - T_{31} \\ T_{21} - T_{12} \end{bmatrix} = \begin{bmatrix} 0.362 \\ -0.123 \\ 0.609 \end{bmatrix}.$$

ullet Quaternions o DCM. Consider the quaternion

$$\mathfrak{q} = (0.695, 0.362, -0.123, 0.609).$$

The corresponding DCM is

$$\mathbf{T} = \begin{bmatrix} 0.227 & -0.935 & 0.270 \\ 0.757 & -0.005 & -0.653 \\ 0.612 & 0.353 & 0.707 \end{bmatrix}.$$

• Quaternions \rightarrow DCM \rightarrow Euler angles 313:

$$\phi = \operatorname{atan2} (T_{13}, -T_{23}) = \frac{\pi}{8}$$

$$\theta = \operatorname{atan2} (s\phi T_{13} - c\phi T_{23}, T_{33}) = \frac{\pi}{4}$$

$$\psi = \operatorname{atan2} (-c\phi T_{12} - s\phi T_{22}, c\phi T_{11} + s\phi T_{21}) = \frac{\pi}{3}.$$