

A Grand-Unified Model for Cosmology

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Based on 1609.05849, with

J. Ellis (King's College/CERN)

D.V. Nanopoulos (TAMU/HARC/Athens)

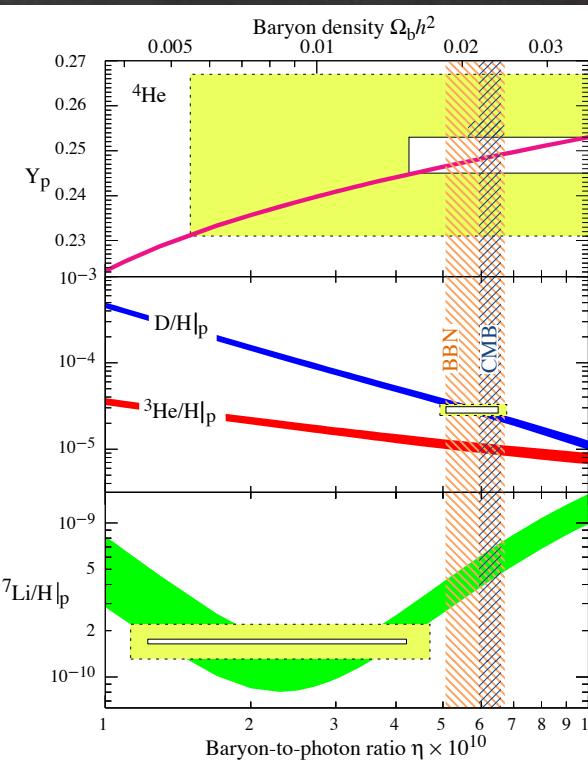
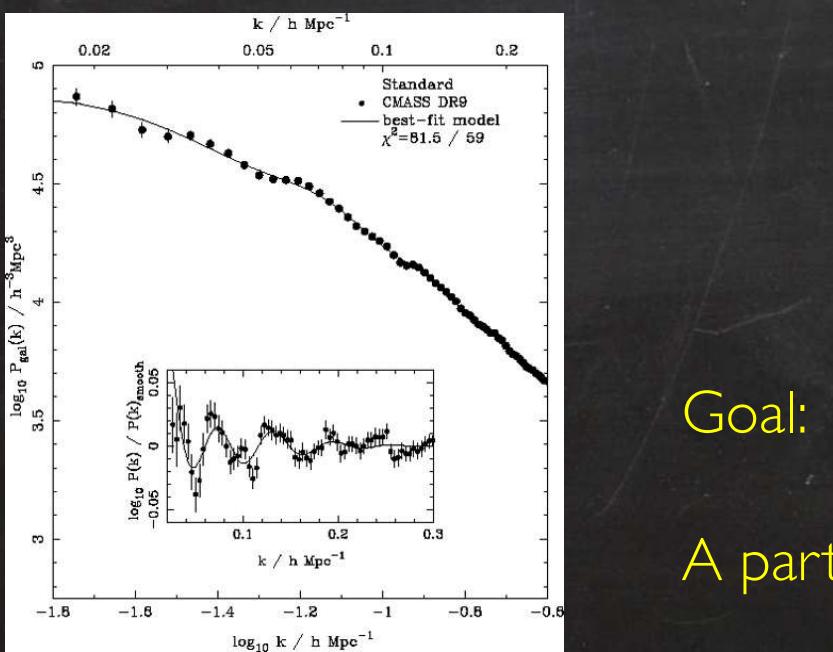
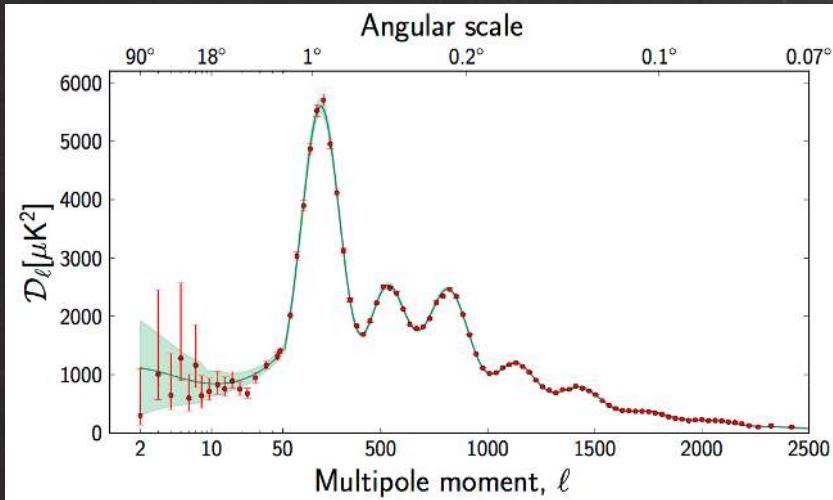
K.A. Olive (U. Minnesota)

N. Nagata (U.Tokyo)



RICE

Cosmology is now a quantitative science:



Goal:

A particle physics basis for cosmology (inflation)

- Initial conditions?
(inflation)
- Matter-antimatter
asymmetry?
- Dark matter?
- Neutrinos?
- Phase transitions?
- ...

INTRODUCTION

Why Inflation?

Cosmological inflation is the early period of accelerated expansion, $a \sim e^{Ht}$

It provides a solution to the problem of initial conditions in standard cosmology:

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It provides a solution to the problem of initial conditions in standard cosmology:

- The Flatness Problem

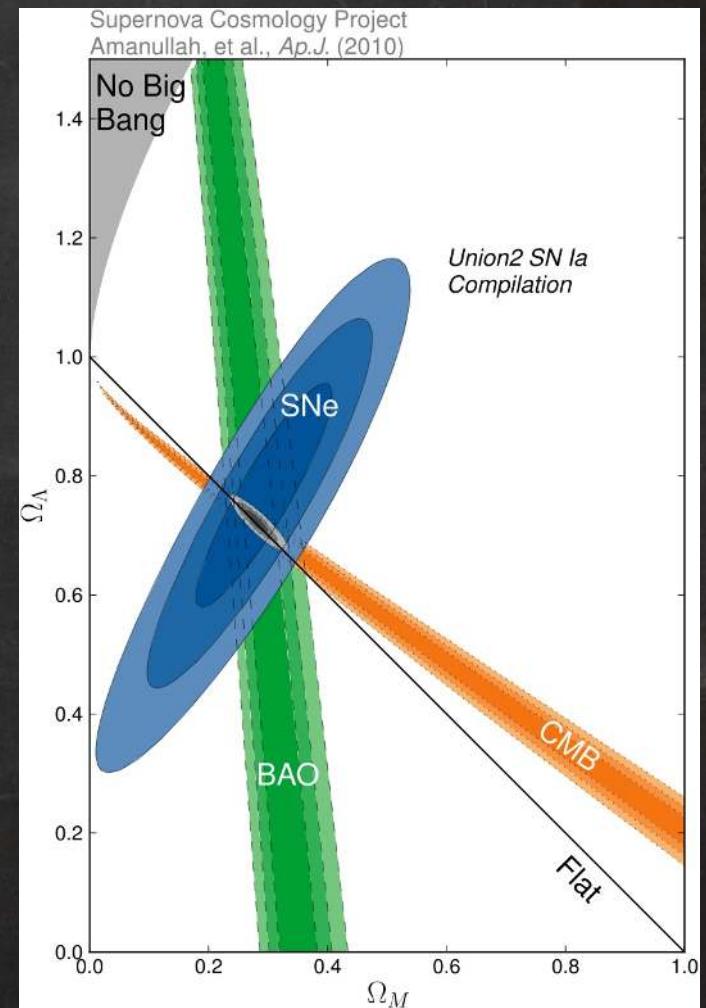
For a density $\rho_c = 3H^2 M_P^2$, $k = 0$

$\Omega = \rho/\rho_c = 1.000 \pm 0.005$ (Planck, 2015)

But the condition $\Omega \approx 1$ is unstable!

$$|\Omega - 1|_{\text{GUT}} < 10^{-57}$$

Inflation $\Rightarrow |\Omega - 1| \propto e^{-2Ht}$



Why Inflation?

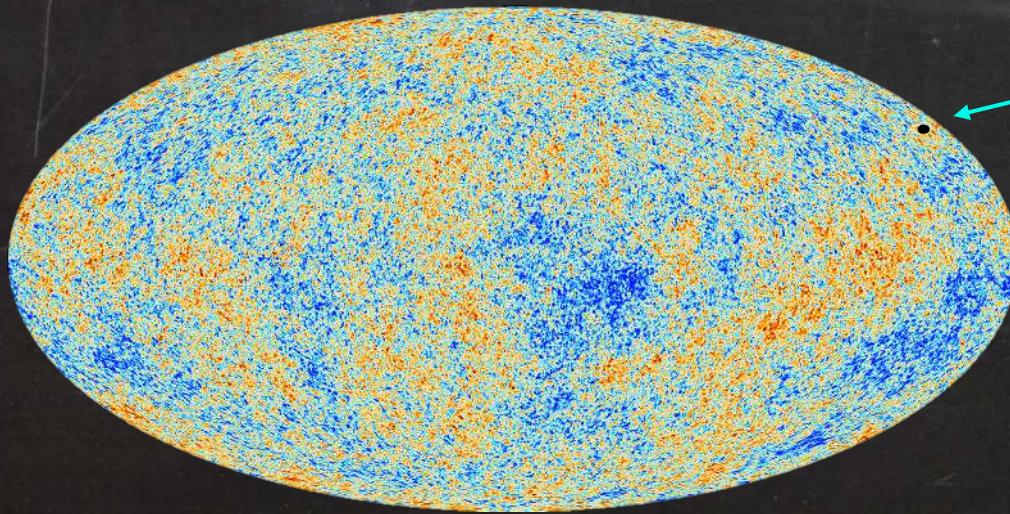
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- The Flatness Problem
- The Horizon Problem

If the Universe is dominated by matter or radiation, the particle horizon is

$$L_p = a(t) \int_0^t \frac{dt'}{a(t')} \sim H^{-1}$$



Size of particle horizon at recombination

$$\text{But } \frac{\Delta T}{T} \sim 10^{-5}$$

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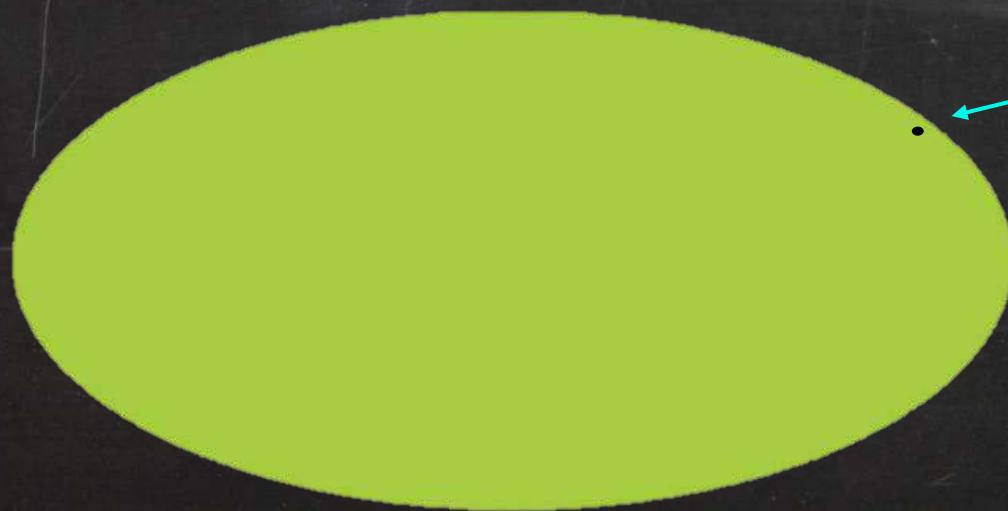
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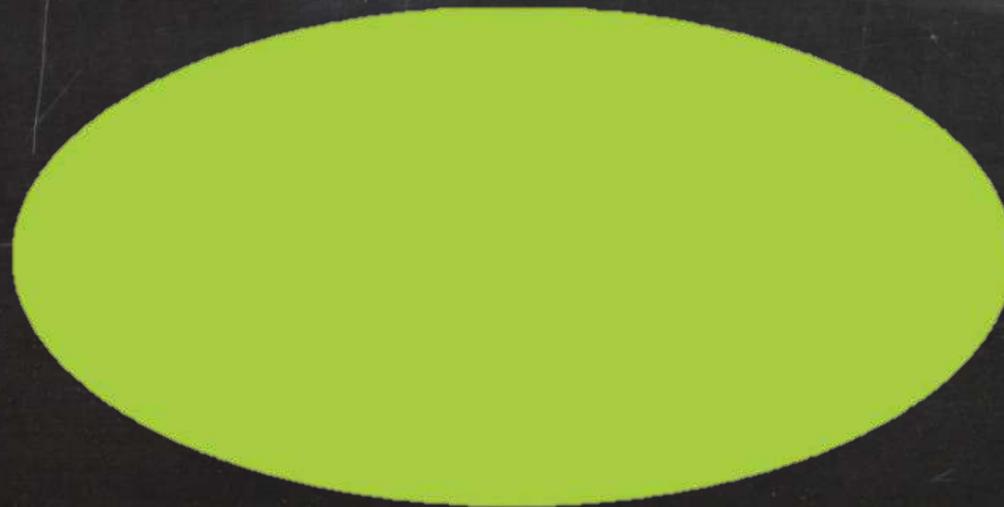
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Inflation



$$L_p(t) = H^{-1}(e^{Ht} - 1) \quad \checkmark$$

Why Inflation?

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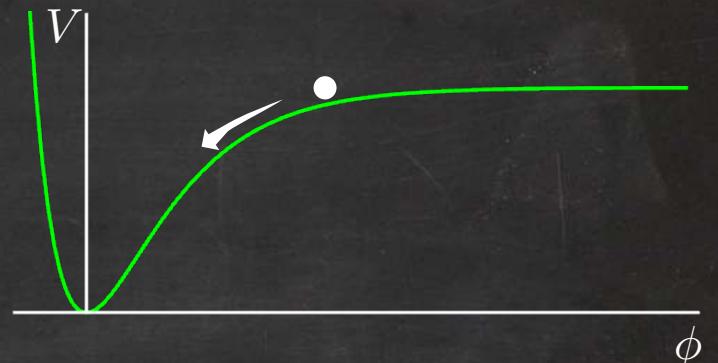
- The Flatness Problem
- The Horizon Problem
- Small Scale Inhomogeneities

There is a plethora of structure on smaller scales (stars, galaxies, clusters,...)

Quantum fluctuations during inflation are stretched into macroscopic scales; they are "frozen in". At the later stages of radiation and matter domination, these fluctuations set the initial conditions for structure formation

A scalar field slowly rolling in its potential

$$\mathcal{S} = \int d^4x \sqrt{-g} \left[\frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) \right]$$



$$\Rightarrow \begin{cases} \ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0 \\ H^2 = \frac{1}{3M_P^2} \left[\frac{1}{2}\dot{\phi}^2 + V(\phi) \right] \end{cases} \Rightarrow \ln \left(\frac{a_f}{a_i} \right) \gg 1$$

Easy to realize:

$$V(\phi) \sim \begin{cases} \phi & \checkmark \\ \phi^2 & \checkmark \\ \phi^4 & \checkmark \\ \vdots & \\ e^\phi & \times \end{cases}$$

The power spectrum of perturbations

$$\phi(t, x_i) = \phi(t) + \delta\phi(t, x_i)$$

$$ds^2 = (1 + 2\Phi)dt^2 - 2a(t)B_idx^i dt - a^2(t)[(1 + 2\Psi)\delta_{ij} + 2E_{ij}]dx^i dx^j$$

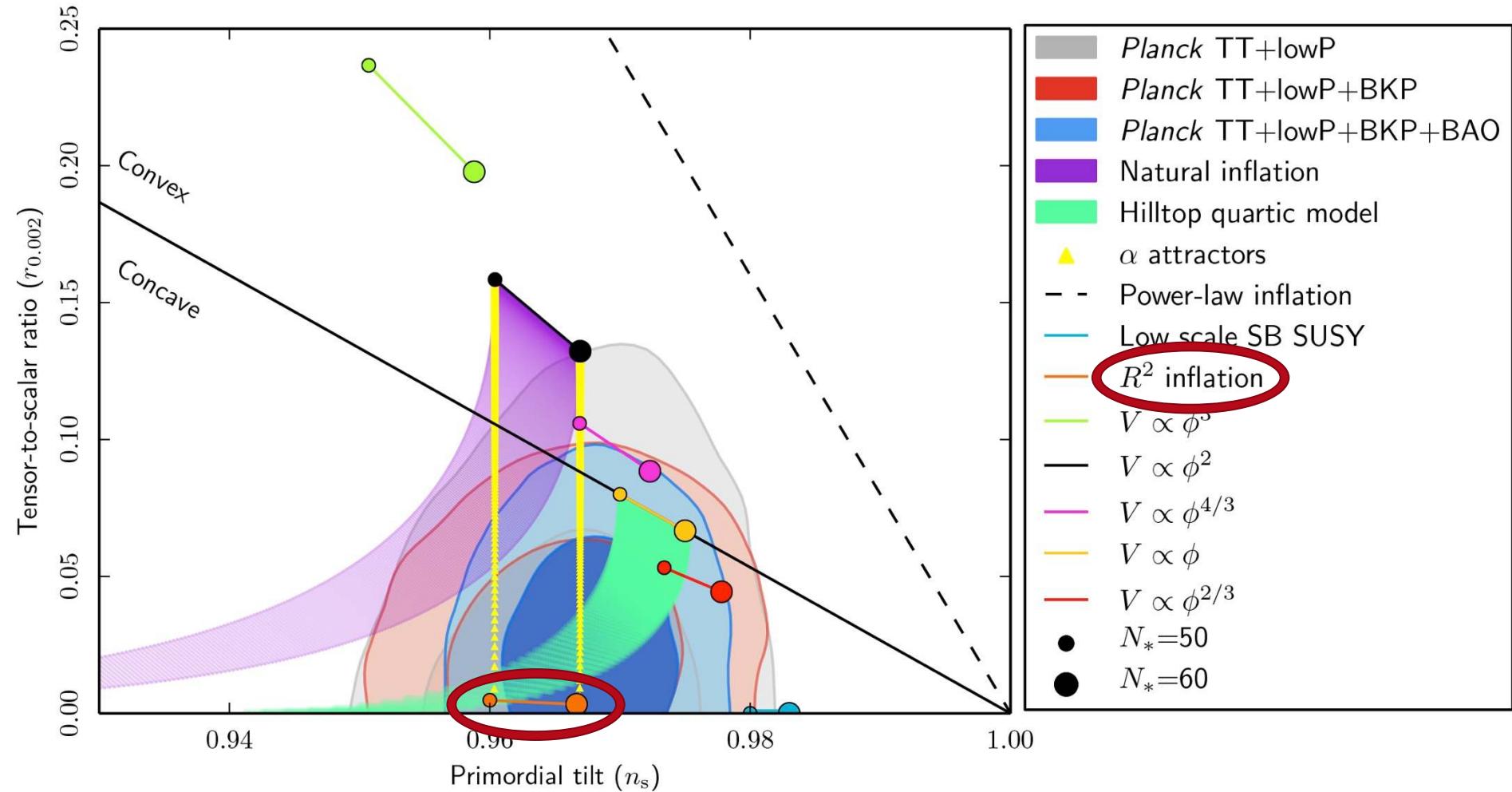
- Scalar

$$\langle \mathcal{R}(k)\mathcal{R}(k') \rangle = \frac{2\pi^2}{k^3} \mathcal{P}_{\mathcal{R}} \delta(k - k') \quad \longrightarrow \quad \mathcal{P}_{\mathcal{R}} = \underbrace{\frac{H^4}{4\pi^2 \dot{\phi}^2}}_{A_S} \left(\frac{k}{aH} \right)^{n_s - 1}$$

- Tensor

$$\sum_{\gamma=+,\times} \langle h_{\mathbf{k},\gamma} \bar{h}_{\mathbf{k}',\gamma} \rangle = \frac{2\pi^2}{k^3} \mathcal{P}_{\mathcal{T}} \delta(k - k') \quad \longrightarrow \quad \mathcal{P}_{\mathcal{T}} = \underbrace{\frac{2}{\pi^2} H^2}_{A_T} \left(\frac{k}{aH} \right)^{n_T}$$

$$\ln(10^{10} A_S) = 3.094 \pm 0.034, \quad n_s = 1 + \frac{d \log \mathcal{P}_{\mathcal{R}}}{d \log k} \quad r = \frac{\mathcal{P}_T}{\mathcal{P}_{\mathcal{R}}}$$



$$S = \frac{1}{2} \int d^4x \sqrt{-g} \left(-R + \frac{R^2}{6m^2} \right) \quad (\text{Starobinsky, 1980})$$



“change of variables” (conformal transformation)

$$= \int d^4x \sqrt{-\tilde{g}} \left[-\frac{\tilde{R}}{2} + \frac{1}{2}(\partial_\mu \phi')^2 - \frac{3}{4}m^2 \left(1 - e^{-\sqrt{2/3}\phi'} \right)^2 \right]$$



Starobinsky potential

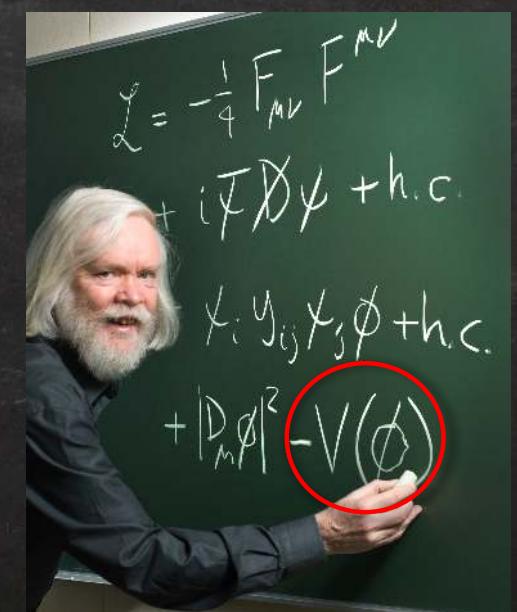
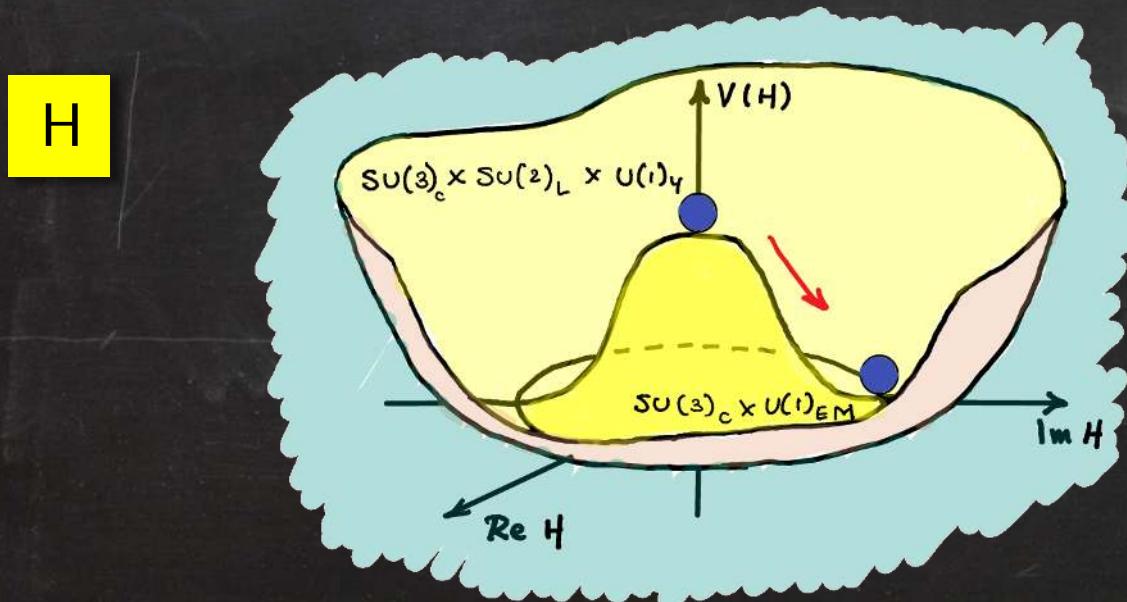
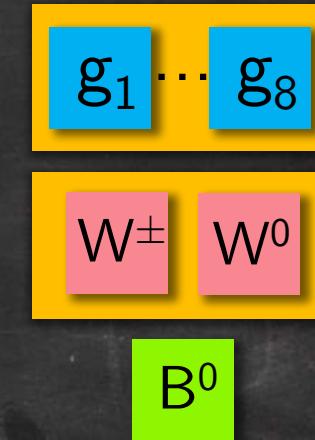
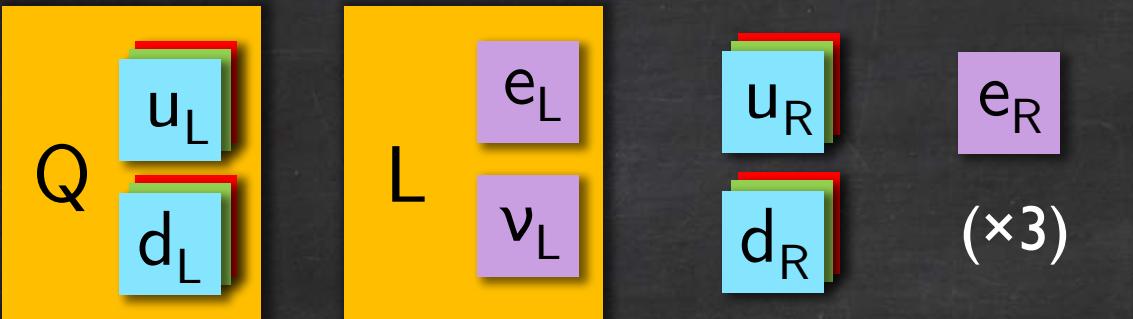
$$n_s \approx 0.961 - 0.968$$

$$m \simeq 10^{-5} M_P$$

$$r \approx 0.0030 - 0.0042$$

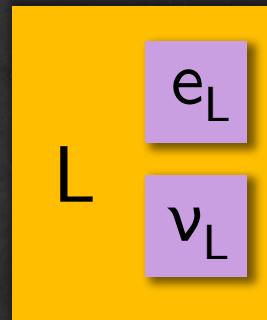
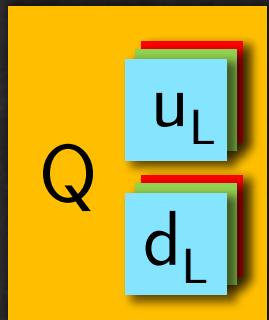
The Standard Model

$$SU(3)_C \times SU(2)_L \times U(1)_Y$$

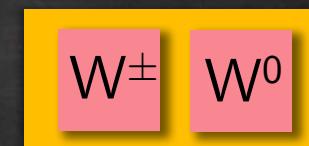


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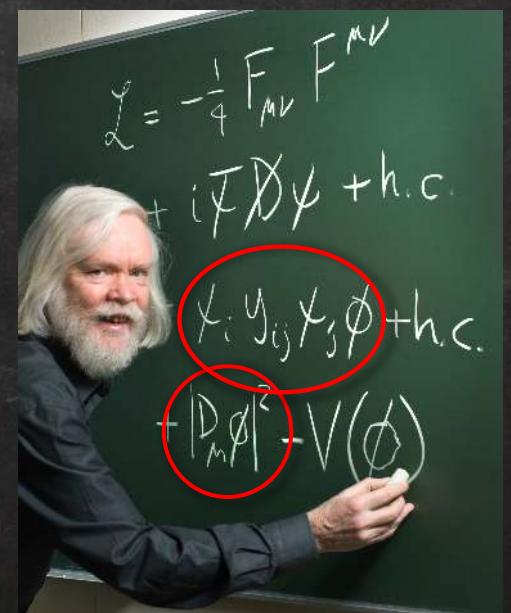
e_R
(×3)



B⁰

H

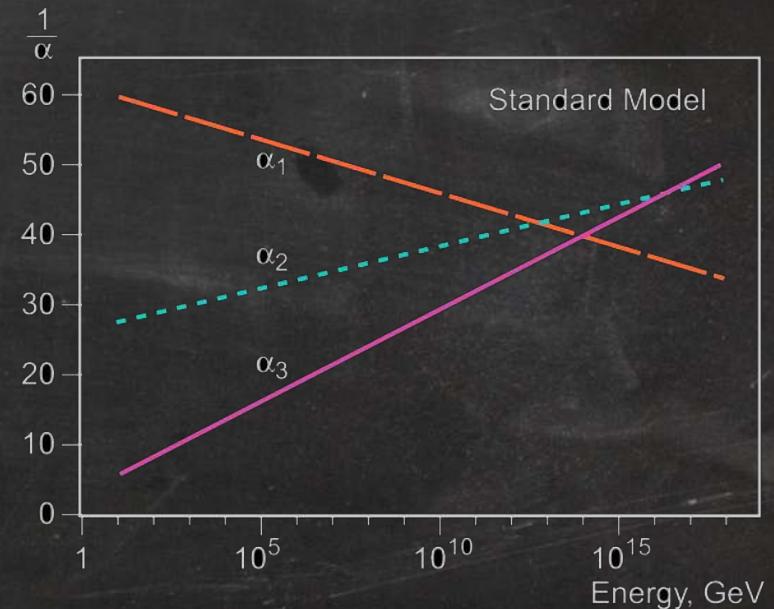
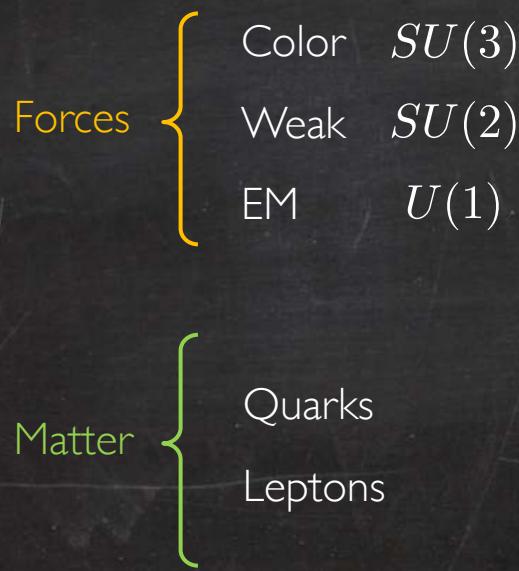
$$-\mathcal{L}_{\text{mass}} \sim \underbrace{y \langle H \rangle}_{m_\psi} (\bar{\psi}_L \psi_R + \bar{\psi}_R \psi_L) + \underbrace{g^2 \langle H \rangle}_{m_A^2} A_\mu A^\mu$$



Grand Unification

The Standard Model provides a consistent picture of the dynamics and symmetries of the strong, weak and EM interactions. However...

- No understanding of discrete nature of electric charge
- Unification is partial, with seemingly unrelated interaction strengths

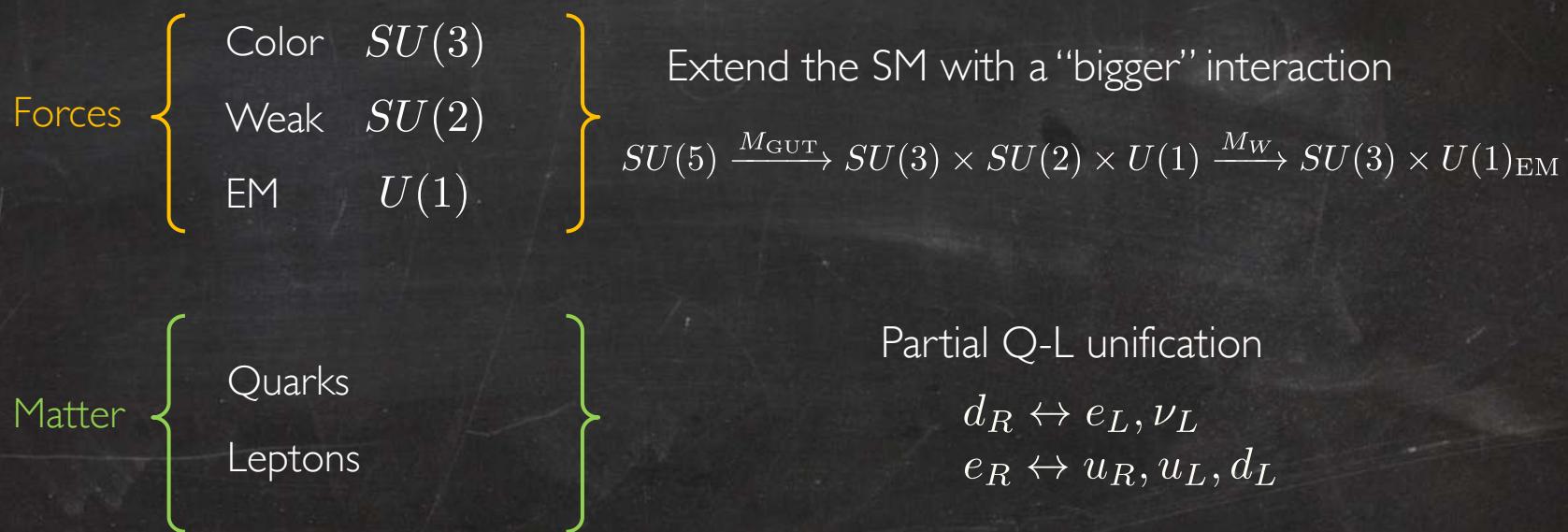


- Mysterious technical coincidences (anomaly cancellation,...)

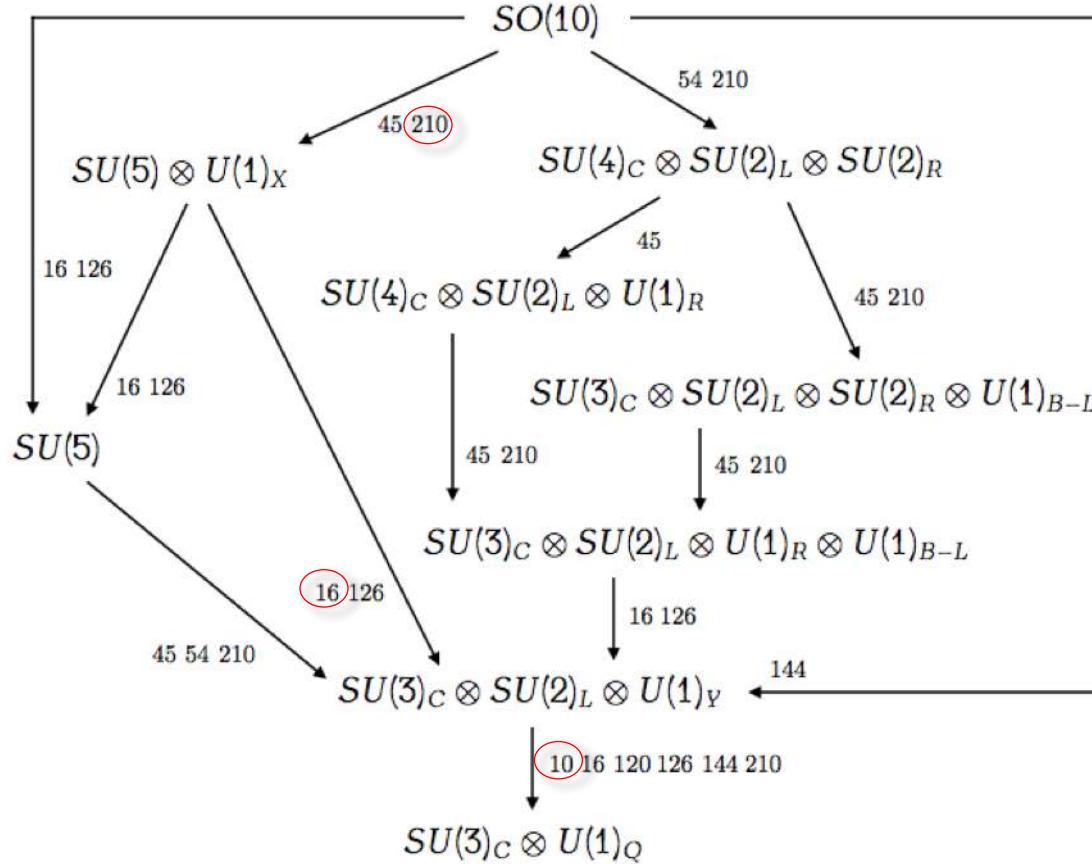
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Gauge and Matter Unification: $SO(10)$ 

(from JHEP03210)

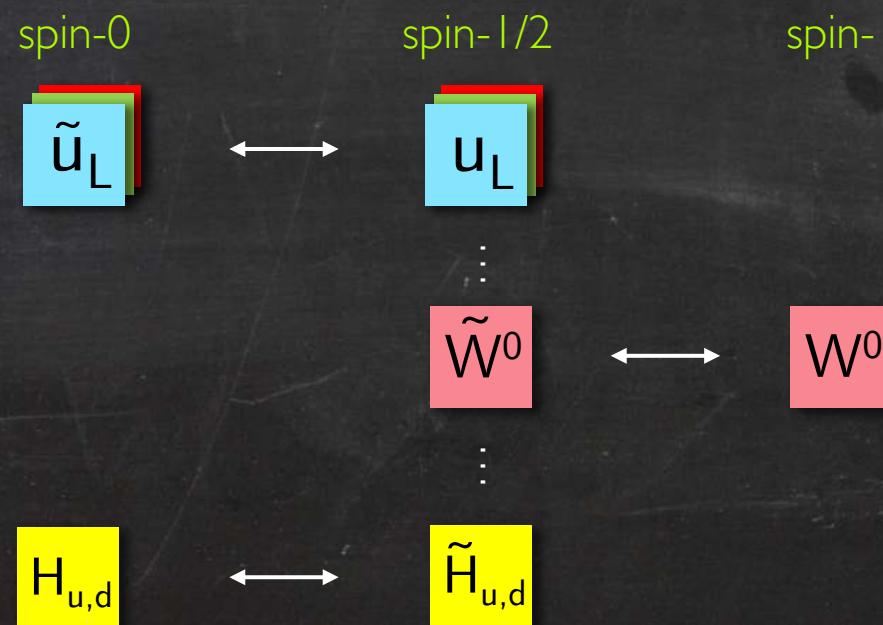
Spinor **16** : $\psi \supset (Q, u^c, d^c, L, \nu^c, e^c)$ (right-handed neutrino)

Why Supersymmetry?

It is the spacetime symmetry that relates bosons and fermions. If it is realized in nature, it must be broken.

$$Q|\text{Boson}\rangle = |\text{Fermion}\rangle, \quad Q|\text{Fermion}\rangle = |\text{Boson}\rangle$$

Lorentz invariance: particles \longleftrightarrow antiparticles
Supersymmetry: particles \longleftrightarrow sparticles

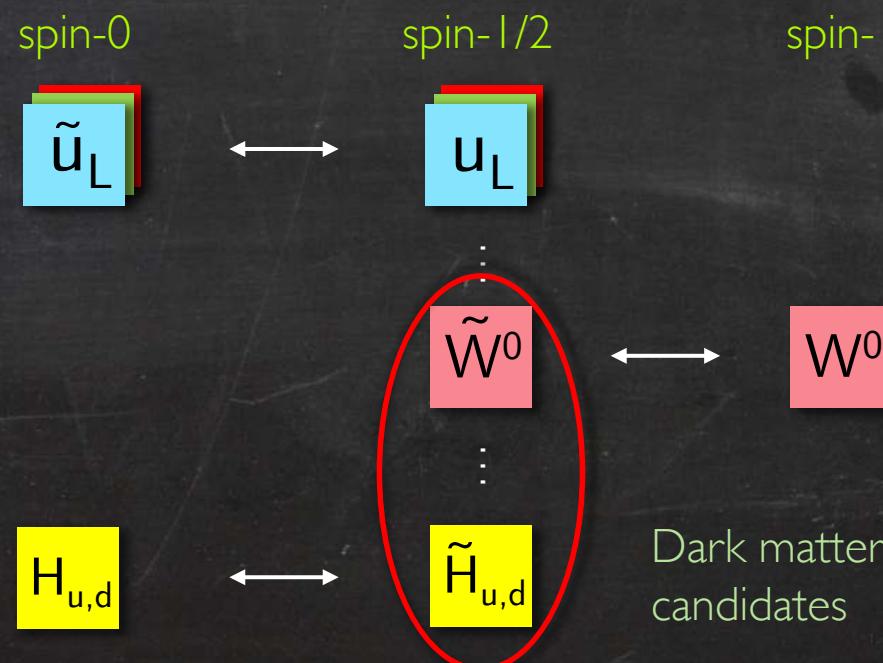


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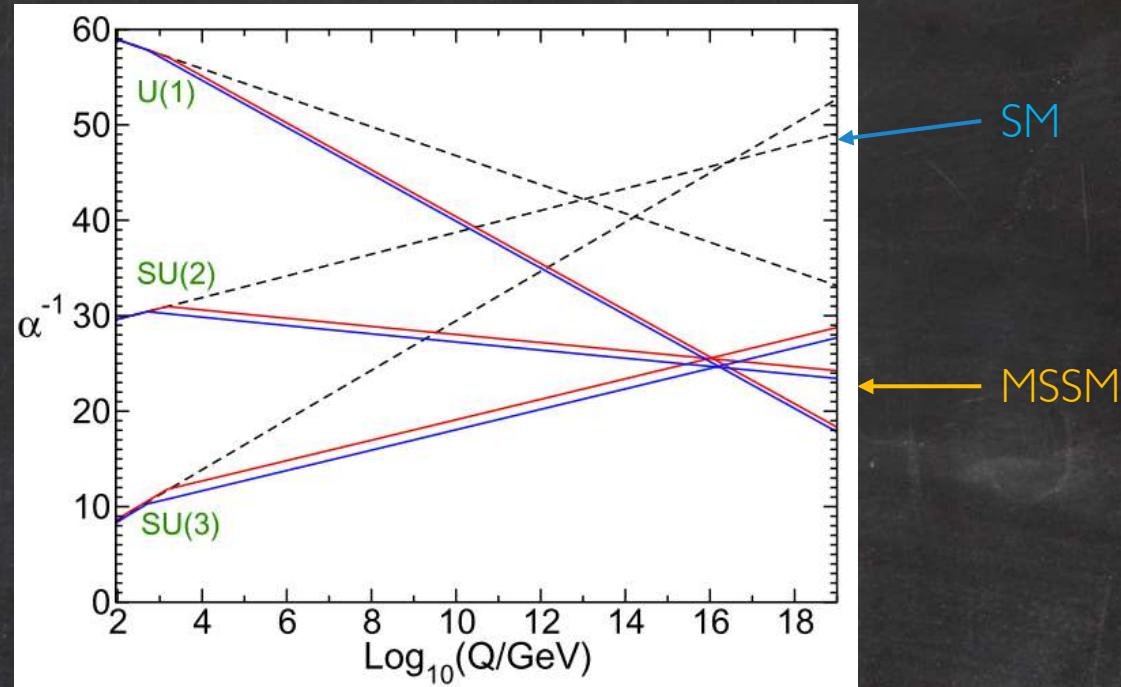
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SUSY allows gauge coupling unification



Radiative corrections are under control



$$\Delta m_H^2 \sim$$

$$-\Lambda^2$$

$$+\Lambda^2$$

When supersymmetry is promoted to a local symmetry, it automatically contains GR

\Rightarrow SUPERGRAVITY

$$\text{spin-2 graviton} \quad e_\mu^a \quad \longleftrightarrow \quad \psi_\mu \quad \text{spin-3/2 gravitino}$$

Blessing: all couplings determined by only two functions

$$K(\Phi, \bar{\Phi})$$

Kähler potential

$$W(\Phi)$$

superpotential

Curse: inflation is difficult to realize

$$V = -e^K \left[(K^{-1})_i^j (K^i W + W^i) (K_j \bar{W} + \bar{W}_j) - 3|W|^2 \right]$$

$$\sim \underbrace{e^{\phi^2}}_{\text{too steep!}} \underbrace{[\lambda_0 + \lambda_1 \phi + \cdots + \lambda_8 \phi^8]}_{O(1) \text{ "holes" with } V_0 \neq 0}$$

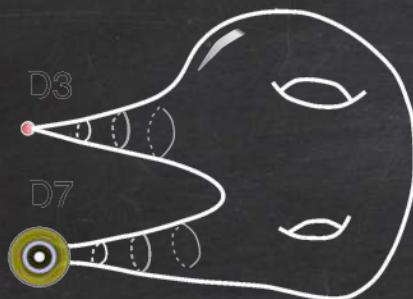
NO-SCALE SO(10) INFLATION

Model wish-list:

- ✓ Planck-compatible inflation
- ✓ The right amount of reheating
- ✓ GUT and SM breaking
- ✓ Neutrino masses
- ✓ Doublet-triplet splitting
- ✓ Baryogenesis
- ✓ Proton decay
- ✓ Dark matter

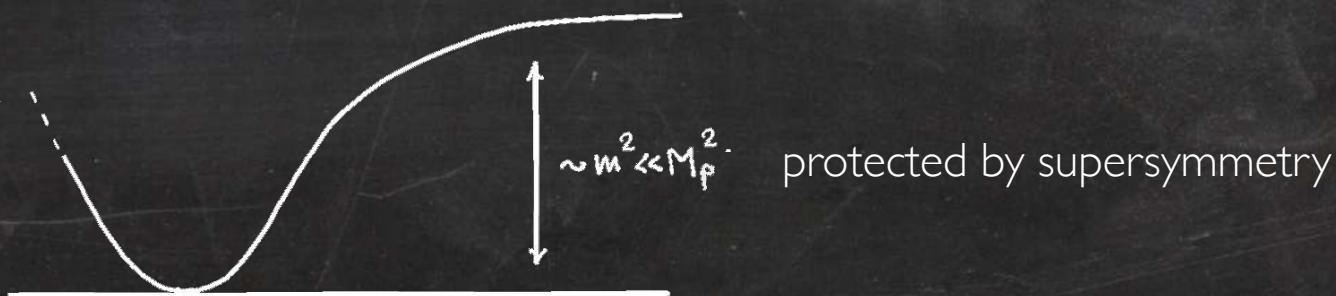
No-scale supergravity naturally contains R^2 -inflation

- A very symmetric theory; the EFT of the compactified string



$$K = -3 \underbrace{\ln (T + \bar{T} - |\phi|^2/3 + \dots)}_{\text{SU}(N,1) / \text{SU}(N) \times \text{U}(1)} + \dots$$

- The scalar potential is naturally flat; flatness can be lifted by mass parameters that break the underlying symmetry



- Supersymmetry is broken through radiative corrections

- Cecotti (1987):

$$K = -3 \ln \left(T + \bar{T} - \frac{|\phi|^2}{3} \right)$$

$$W = \sqrt{3}m\phi(T - 1/2)$$

$$\text{Re } T = \frac{1}{2}e^{\sqrt{2/3}x}$$

- Ellis, Nanopoulos, Olive (2013):

$$K = -3 \ln \left(T + \bar{T} - \frac{|\phi|^2}{3} \right)$$

$$W = m \left(\frac{\phi^2}{2} - \frac{\phi^3}{3\sqrt{3}} \right)$$

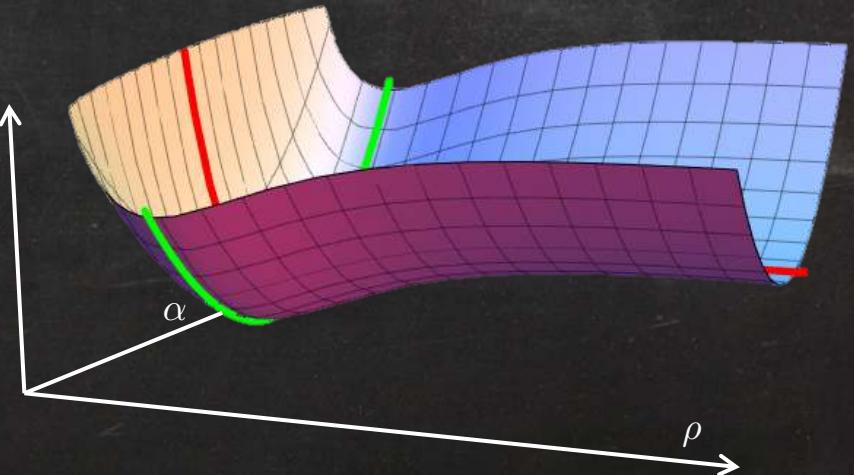
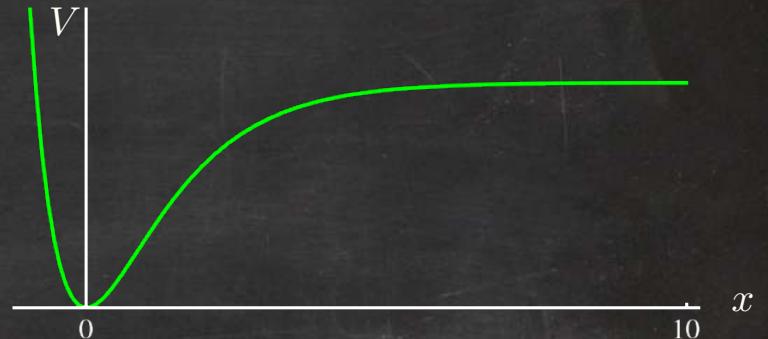
$$\phi = \sqrt{3} \tanh(x/\sqrt{6})$$

- Ellis, MG, Nanopoulos, Olive (2014):

$$K = -3 \ln(T + \bar{T}) + \frac{|\varphi|^2}{(T + \bar{T})^3}$$

$$W = \sqrt{3}m\varphi(T - 1/2)$$

$$T = \frac{1}{2}e^{-\sqrt{2/3}\rho} + \frac{i}{\sqrt{6}}\alpha$$



Inflaton: a $\text{SO}(10)$ singlet S ($\times 3$)

16	matter:	$\psi \supset (Q, u^c, d^c, L, \nu^c, e^c)$	
210	Higgs:	$\Sigma \supset (p, a, \omega, \dots)$	$SO(10) \longrightarrow SU(5) \times U(1)$
16 16	Higgs:	$\Phi \supset (\phi_R, \dots)$	$SU(5) \times U(1) \longrightarrow SU(3) \times SU(2) \times U(1)$
10	Higgs:	$H \supset (H_u, H_d, \dots)$	$SU(3) \times SU(2) \times U(1) \longrightarrow SU(3) \times U(1)_{\text{EM}}$
1	'Higgs':	T	break SUSY

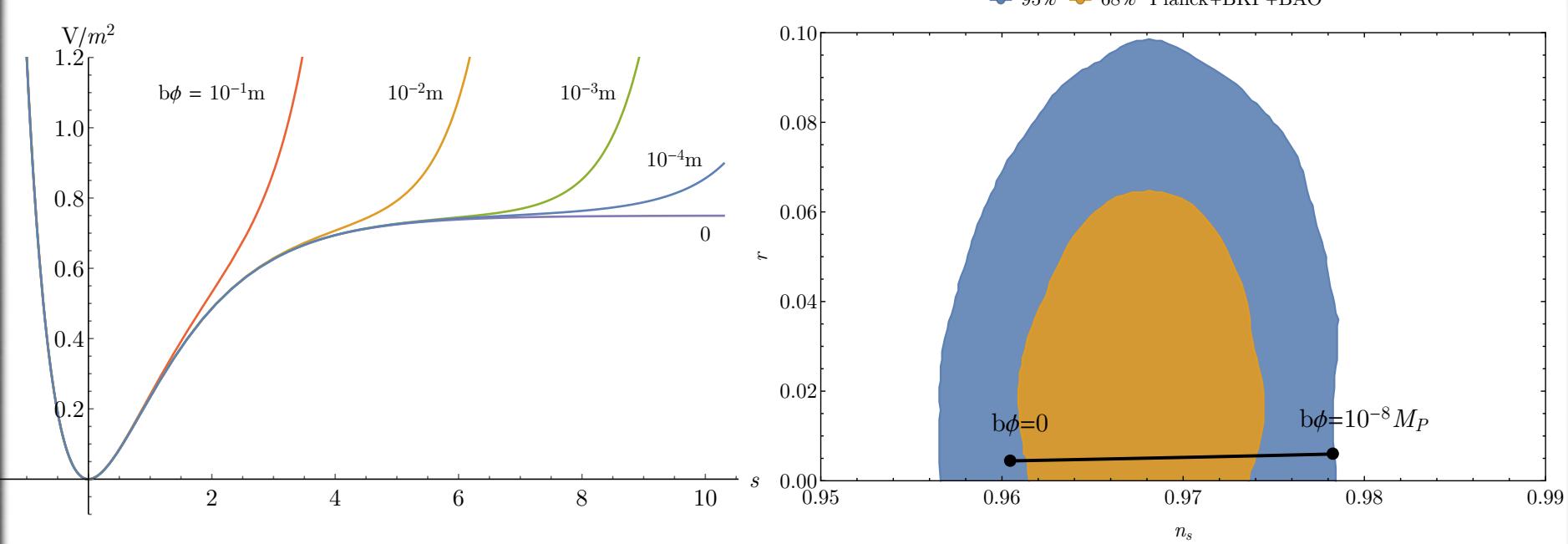
$$K = -3 \ln \left[T + T^* - \frac{1}{3} \left(S^* S + H^\dagger H + \psi^\dagger \psi + \Phi^\dagger \Phi + \bar{\Phi}^\dagger \bar{\Phi} + \frac{1}{4!} \Sigma^\dagger \Sigma \right) \right]$$

$$W = \frac{m}{2} S^2 - \frac{\lambda}{3} S^3 + b S \bar{\Phi} \psi + m_\Phi \bar{\Phi} \Phi + \frac{\eta}{4!} \bar{\Phi} \Phi \Sigma + \frac{m_\Sigma}{4!} \Sigma^2 + \frac{\Lambda}{4!} \Sigma^3$$

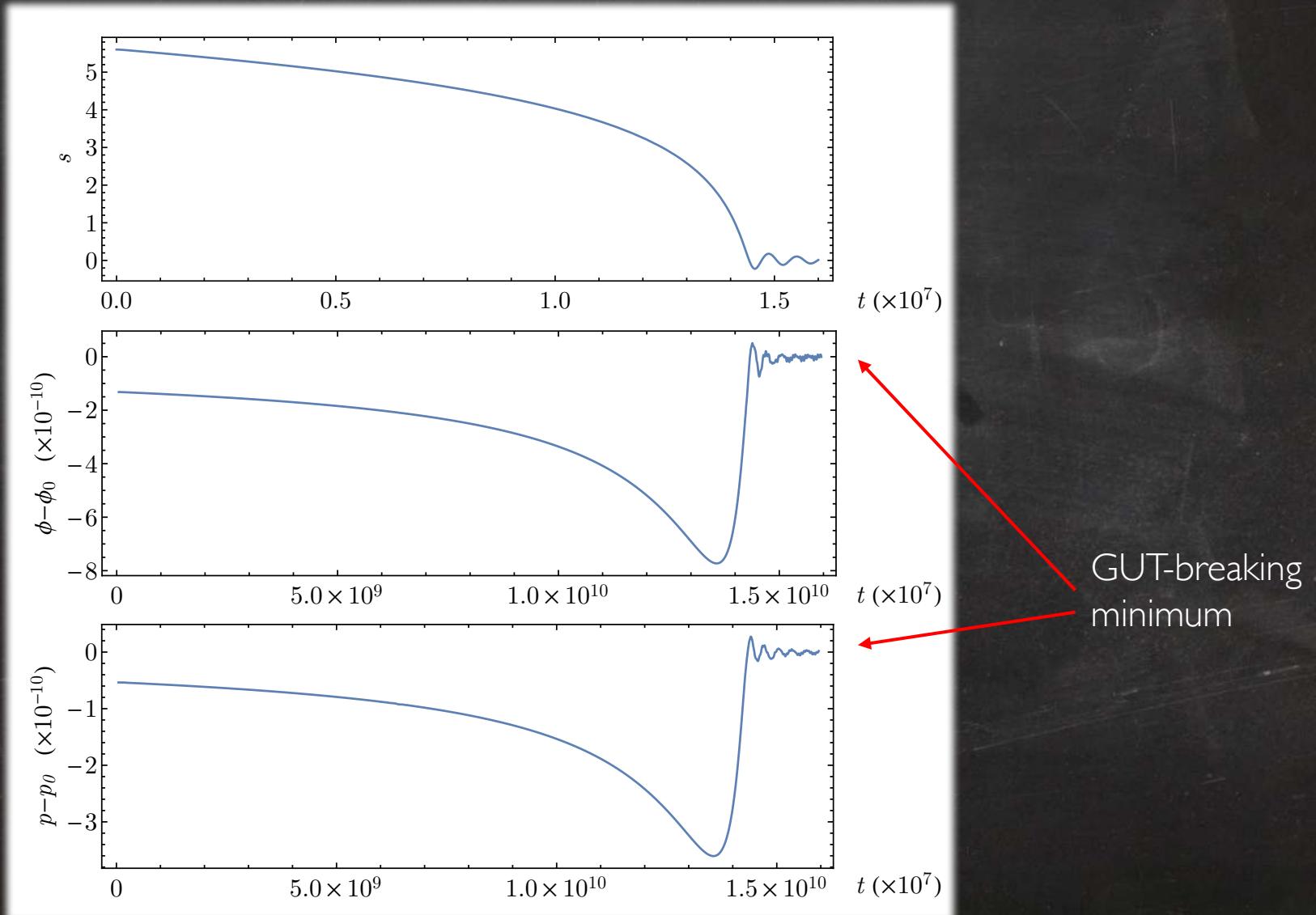
$$+ y H \psi \bar{\psi} + m_H H^2 + H(\alpha \Phi \bar{\Phi} + \bar{\alpha} \bar{\Phi} \bar{\Phi}) + \text{constrained}$$

Deformation of the inflationary potential

$$V \simeq \frac{3}{4}m^2 \left(1 - e^{-\sqrt{2/3}s}\right)^2 + \frac{3}{4}|b\phi|^2 \sinh^2(\sqrt{2/3}s)$$



Time evolution of scalar fields:



Neutrino masses

$$\mathcal{L}_{\text{mass}} = - \begin{pmatrix} \bar{\nu}_L & \bar{\nu}_R^c & \tilde{S} \end{pmatrix} \begin{pmatrix} 0 & -m_u & 0 \\ -m_u & 0 & -b\phi \\ 0 & -b\phi & m \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \\ \tilde{S} \end{pmatrix} \quad m_u \ll b\phi \ll m$$

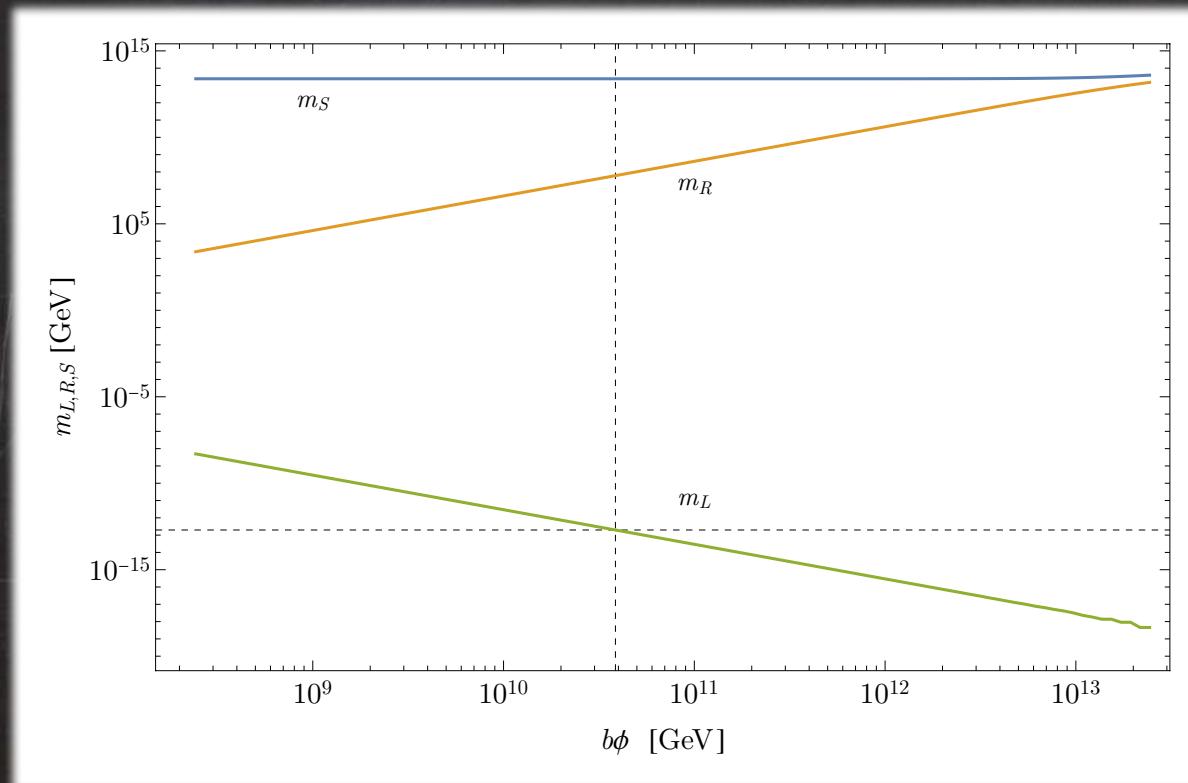
$$\Rightarrow \mathcal{M}_{\text{diag}} \simeq \begin{pmatrix} m \left(\frac{m_u}{b\phi} \right)^2 & 0 & 0 \\ 0 & -\frac{(b\phi)^2}{m} & 0 \\ 0 & 0 & m \end{pmatrix}$$



Neutrino masses

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$m_u \ll b\phi \ll m$



$$\sum m_\nu < 0.12 \text{ eV}$$

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$$n_s \simeq -\frac{2}{N_*} + \frac{8}{3} \left(\frac{m}{m_\nu} \right) \left(\frac{m_u}{m} \right)^2 N_*^2 + \dots$$

$$r \simeq \frac{12}{N_*^2} + \frac{32}{3} \left(\frac{m}{m_\nu} \right) \left(\frac{m_u}{m} \right)^2 N_* + \dots$$

Neutrino physics can probe the unification and inflationary scales!

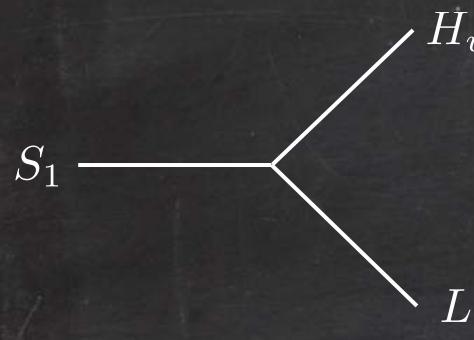
Reheating, Dark Matter and Baryogenesis

When inflation ends, the Universe must be re-populated ($\rho \sim e^{-3Ht}$)

$$S_1 \longrightarrow \begin{array}{l} H_u \\ L \end{array}$$
$$T_R \simeq 10^{15} \text{ GeV} \times \left| b \left(\mathcal{U}_{21} - \frac{y\phi}{m} \right) \right| \left(\frac{g_*}{915/4} \right)^{-1/4} \left(\frac{m}{10^{-5} M_P} \right)^{1/2}$$

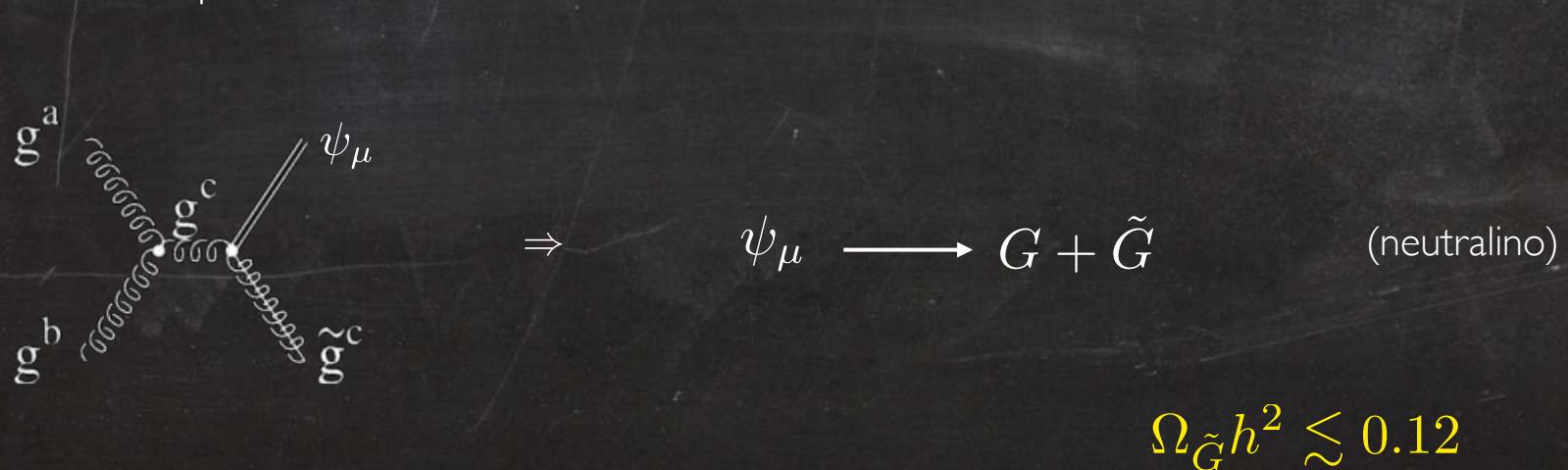
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Dark matter production:

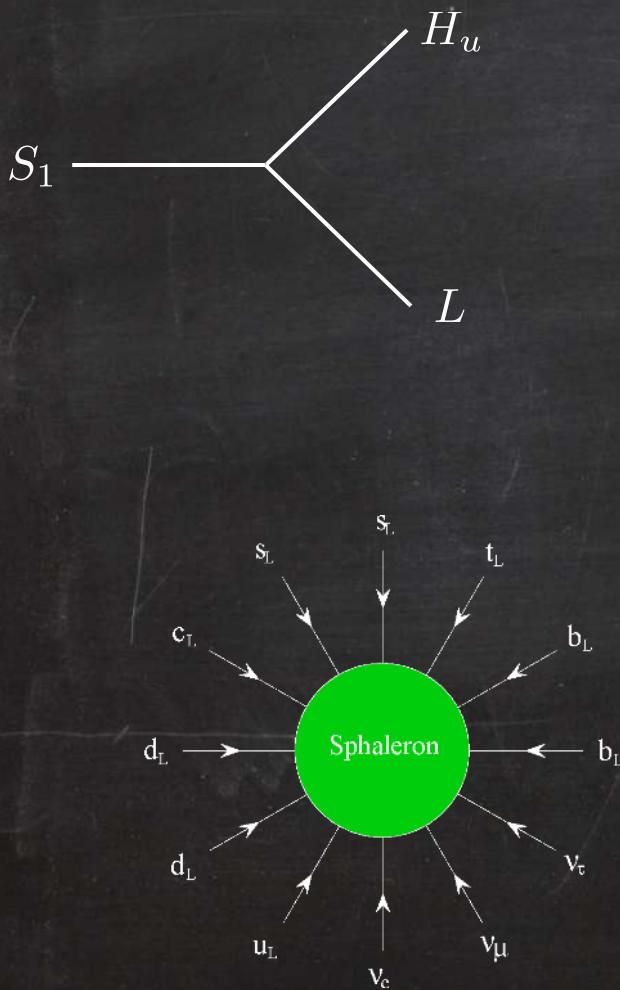


$$\psi_\mu \longrightarrow G + \tilde{G} \quad (\text{neutralino})$$

$$\Omega_{\tilde{G}} h^2 \lesssim 0.12$$

Reheating, Dark Matter and Baryogenesis

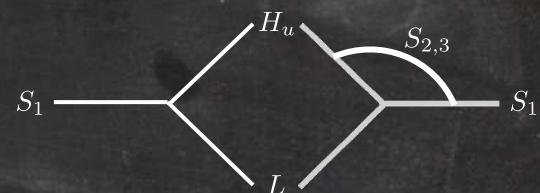
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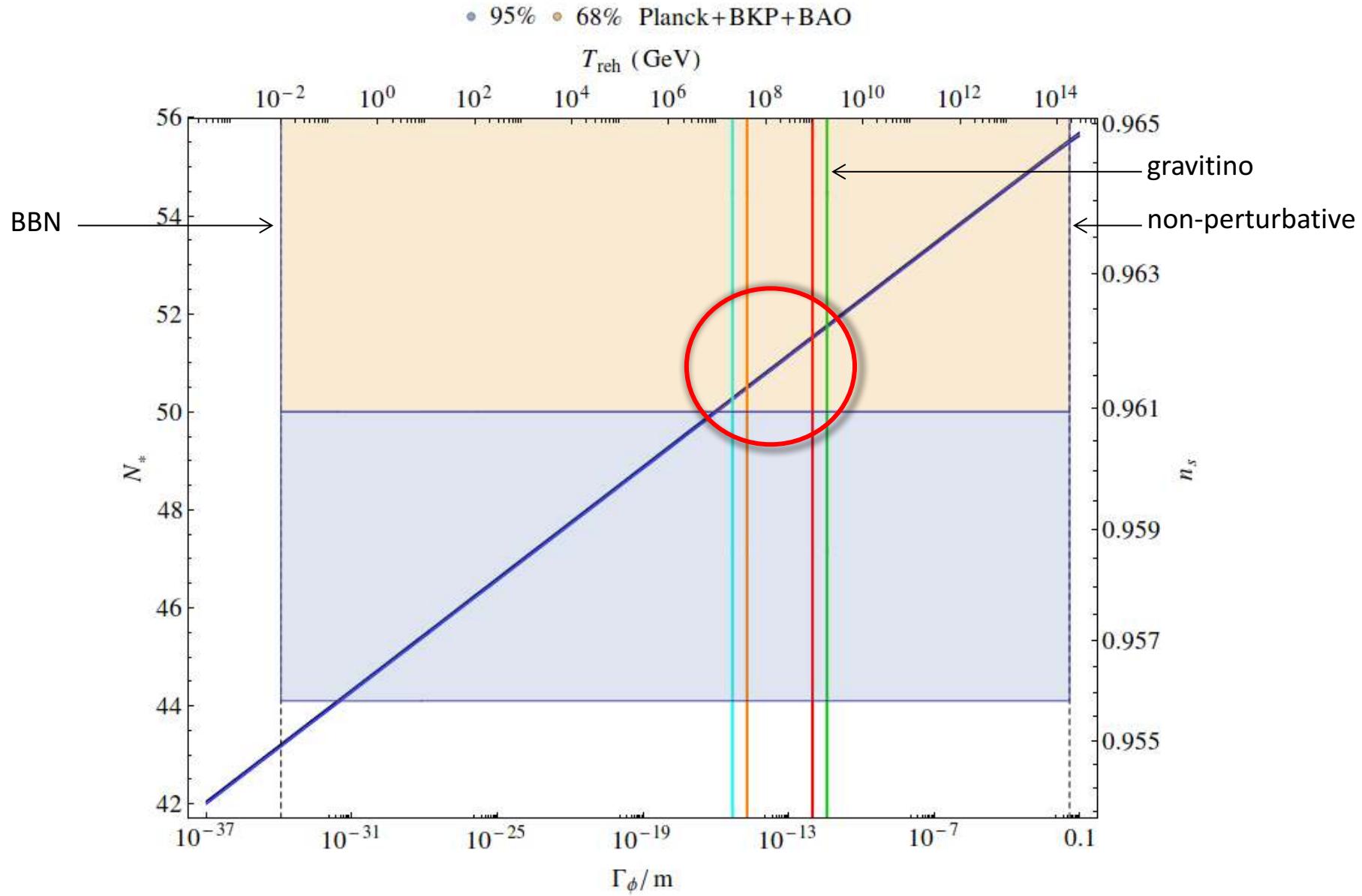
lepton-number asymmetric!

$$\frac{n_L}{s} \sim \epsilon \frac{T_R}{m}$$

$$\epsilon \sim$$



L-asymmetry converted to B-asymmetry
through non-perturbative processes



CONCLUSION

- Embedding of R^2 inflation in a supersymmetry GUT model
- GUT symmetry broken automatically during/after inflation
- Natural (?) initial conditions
- Fermion masses: unification of b and τ Yukawa couplings; double neutrino see-saw
- Gravitino (over)production bound consistent with first generation coupling
- Broken R-parity, but LSP is long-lived
- Successful leptogenesis
- High SUSY breaking scale needed to satisfy proton decay bounds
- Rapid inflaton decay favored by data; no-scale reheating lies in the favored range.

The future

- Flipped $SU(5) \times U(1)$ (EGNNO)
- A statistical description of the reheating epoch



M. Amin

H. Xie
(Wisconsin)

J. Shen



O.Wen

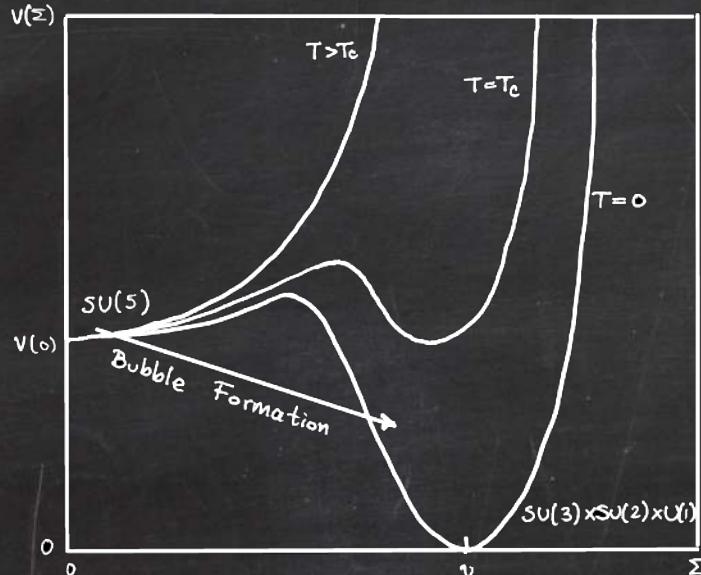


S. Carlsten

Thank you!

GUT + Inflation?

- Inflation is possible in GUT theories (*old inflation*)



But lacks “graceful exit”,

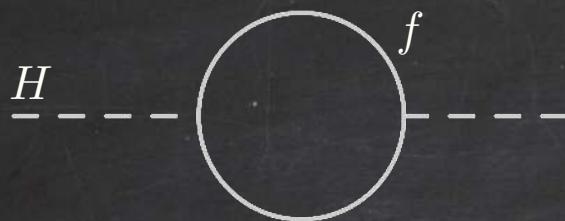
- Nucleation of bubbles doesn't generate radiation
- Collisions can, but for the amount of inflation needed, no collisions happen.



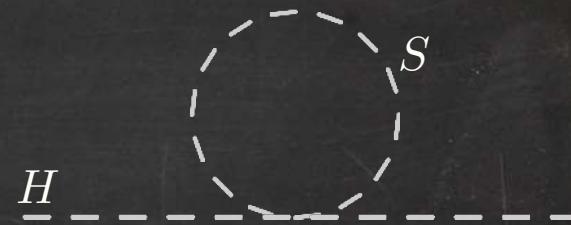
- Not impossible (*Higgs inflation*) (Bezrukov, Shaposhnikov)

$$S = \int d^4x \sqrt{g} \left(-\frac{R}{2} + \xi H^\dagger H R + \mathcal{L}_{\text{SM}} \right) \quad \Rightarrow \quad V(\chi) = \frac{\lambda}{4\xi^2} \left(1 + e^{-2\chi/\sqrt{6}} \right)^{-2}$$

- Radiative corrections (hierarchy problem)

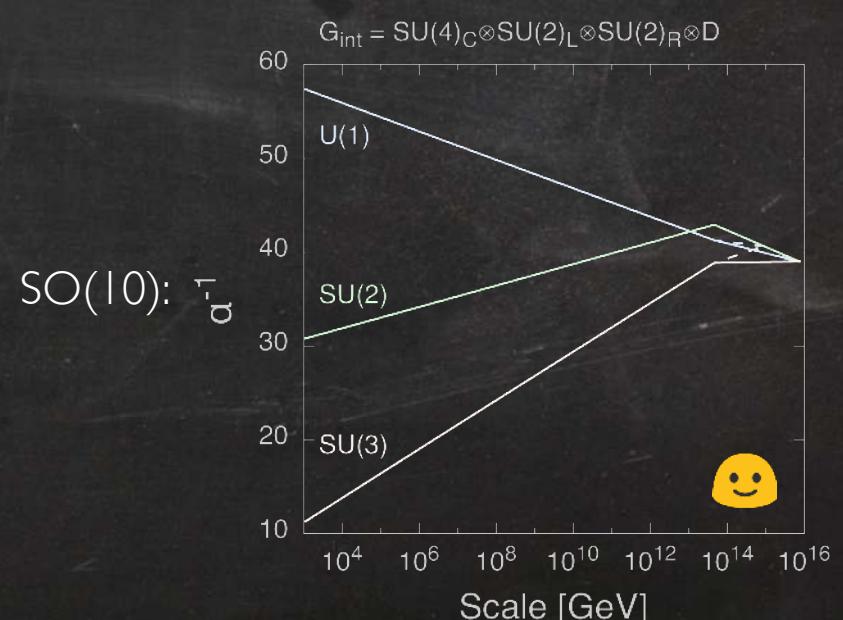
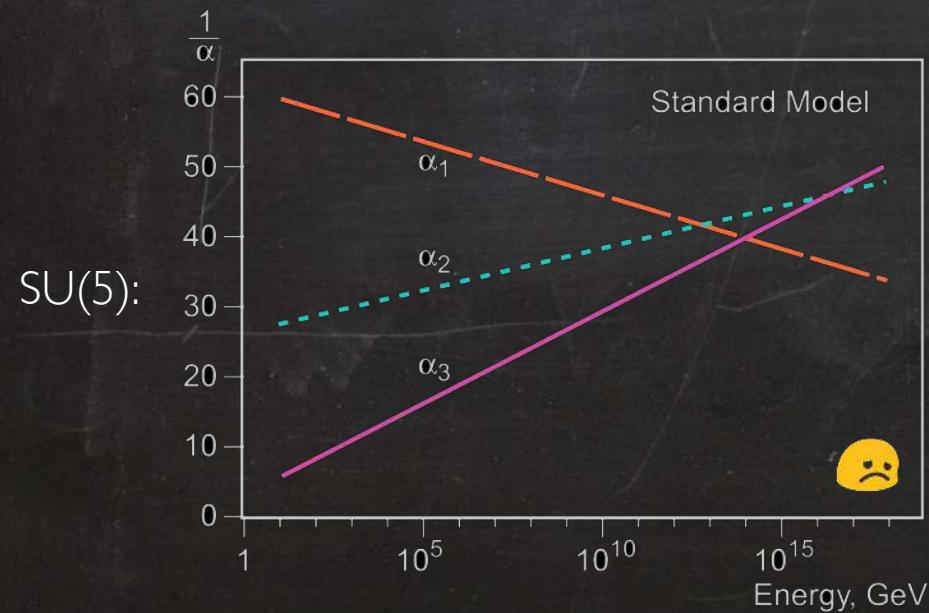


$$\Delta m_H^2 = \frac{\lambda_f^2}{8\pi^2} \left[-\Lambda^2 + 6m_f^2 \ln \left(\frac{\Lambda}{m_f} \right) \right]$$



$$\Delta m_H^2 = \frac{\lambda_S^2}{16\pi^2} \left[\Lambda^2 - 2m_S^2 \ln \left(\frac{\Lambda}{m_S} \right) \right]$$

- Matching of unification scales is not automatic



(from 1502.06929)

The other goodies

3) Doublet-triplet splitting

$$W_\mu = (\bar{H}_L, \phi_L) \begin{pmatrix} m_H & -\bar{\alpha}\phi \\ -\alpha\phi & m_\Phi + \eta(p - 3a) \end{pmatrix} \begin{pmatrix} H_L \\ \bar{\phi}_L \end{pmatrix}$$

$$\Rightarrow \mu_{1,2} = \frac{1}{2} \left[m_H + 2\eta(p + 3\omega) \mp \sqrt{[m_H + 2\eta(p + 3\omega)]^2 - 4\Delta} \right],$$

$$\Delta = 2\eta m_H(p + 3\omega) - \alpha\bar{\alpha}\phi^2 \quad \text{No significant fine tuning unless } x \simeq 0, 1/3, \pm i$$

4) Proton decay

$$\text{Color-triplet mediated } p \rightarrow K^+ \bar{\nu} \sim \begin{cases} \tan \beta \simeq m_t/m_b & M_{\text{SUSY}} \gtrsim 10 \text{ TeV} \\ m_{H-\text{triplet}}^{-2} & \end{cases}$$

Gauge mediated

$$\tau(p \rightarrow e^+ \pi^0) \simeq 5 \times 10^{34} \times \left(\frac{1/25}{\alpha_{\text{GUT}}} \right)^4 \left(\frac{M_X}{10^{16} \text{ GeV}} \right)^4 \left(\frac{3}{A_R} \right)^2 \text{ years}$$

How to realize WZ ($\phi^2 + \phi^3$) no-scale inflation within $\text{SO}(10)$?

I) Right-handed sneutrino.

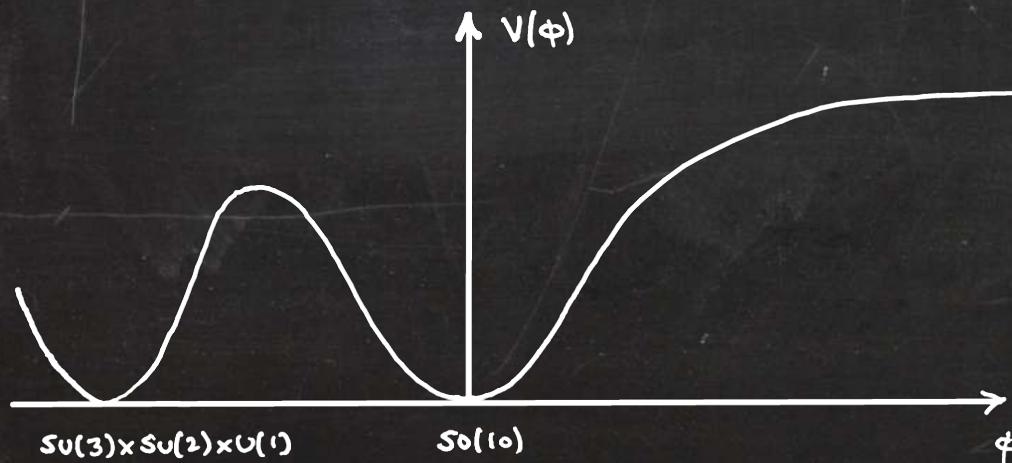
Spinor **16** : $\psi \supset (Q, u^c, d^c, L, \nu^c, e^c)$

Can't write **16**², **16**³
gauge-invariant interactions



2) Singlet components of Higgs fields.

Quadratic and cubic terms possible for **210**, **126** $\text{SO}(10)$ -breaking Higgs
(Garg, Mohanty 2015). But...



- $M_{\text{GUT}} \gg M_{\text{inf}}$
- Too much (or too little) reheating



Why Inflation?

Cosmological inflation is the early period of accelerated expansion, $a \sim e^{Ht}$

It provides a solution to the problem of initial conditions in standard cosmology:

- The Flatness Problem
- The Horizon Problem
- Small Scale Inhomogeneities
- The Relics Problem

Heavy and stable relics can be produced in the early universe
following phase transitions (monopoles, strings, domain walls,...)

$$\begin{array}{ccc} 1 \text{ monopole} & \longrightarrow & \Omega_M \sim 10^{13} \\ \text{per horizon} & & \end{array}$$

$$\text{Inflation} \Rightarrow \rho \sim e^{-3Ht} \quad \checkmark$$