

Imatge Sintètica

Ray Tracing for Realistic Image Synthesis

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Lecture 1 - Introduction and Mathematical Background

2017/2018

Class Outline

Lecture 1 - Introduction and Mathematical Background

Course Presentation

Introduction

- The Fundamentals of Ray Tracing
- Ray Tracing Applications

Background

- Frames, Points, Vectors and Normals
- Matrices and Transformations
- Images

Course Presentation

- ▶ Workshop (Taller)
- ▶ Objectives
 - ▶ Develop your own ray tracer in C++
 - ▶ Groups of 2 or 3 students
- ▶ Requirements
 - ▶ Knowledge of imperative programming
 - ▶ Preferable: notions of object oriented programming
- ▶ Evaluation
 - ▶ 5 assignments (50 %)
 - ▶ Final project and presentation (50 %)
- ▶ Emails: use [IS1718] in the beginning of the title

Course Presentation

- ▶ 5 Assignments
 - ▶ Each of them corresponding to the content of a theory class
- ▶ 2 deadlines to deliver the assignments
 - ▶ First deadline: assignments 1 and 2
 - ▶ 2nd May 2018
 - ▶ Just before the third theory class
 - ▶ Second deadline: assignments 3, 4 and 5
 - ▶ 25th May 2018
 - ▶ One week after the fifth theory class
- ▶ 1 deadline to deliver the final project and its presentation
 - ▶ 12th June 2018
 - ▶ Presentations will be made in the last session(s) depending on the number of groups
- ▶ All deliverables are made per group in *Aula Global*

Section 2

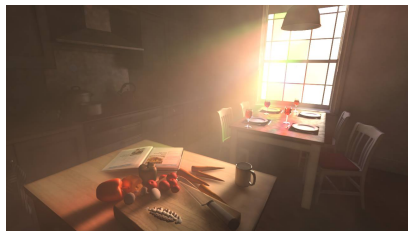
Introduction

Subsection 1

The Fundamentals of Ray Tracing

Objective

- ▶ Compute realistic images from a model of a virtual scene
- ▶ Should look like a real photo of virtual scene



Requirements

- ▶ A full description of the virtual scene
 - ▶ Objects (geometry and materials) + light sources
- ▶ A virtual camera

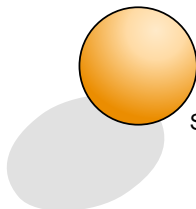
Camera



Light Source

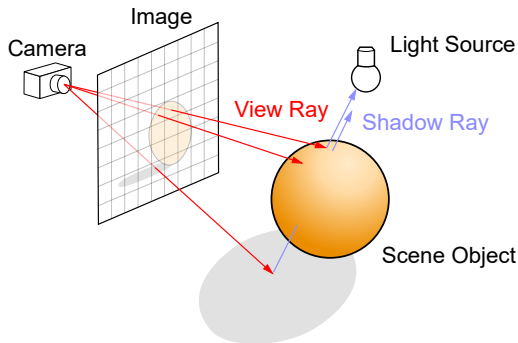


Scene Object



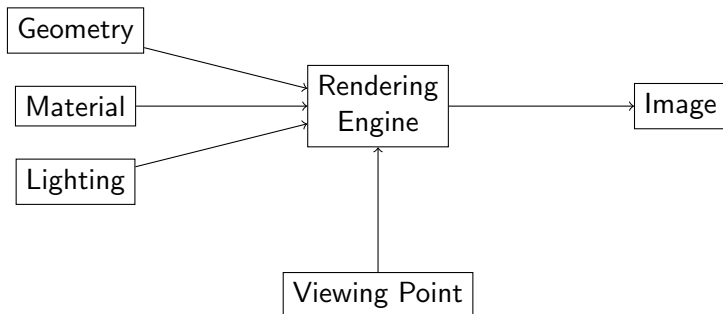
The Ray Tracing Principle

- ▶ Use rays to compute the light that enters the virtual camera
 - ▶ Simulate the light propagation from light sources
 - ▶ Model the light interaction with the scene materials



Rendering Engine Model

- Objective: representation and visualization of virtual objects



Subsection 2

Ray Tracing Applications

Ray Tracing Applications - Architecture



Ray Tracing Applications - Architecture



[Image from Andries van Dam]

Ray Tracing Applications - Architecture



[Image from CastleView3D]

Ray Tracing Applications - Films

- ▶ Real time ray tracing!
 - ▶ Mostly specular reflections and textures (fast to compute)



[Image from NVidia]

Ray Tracing Applications - Films



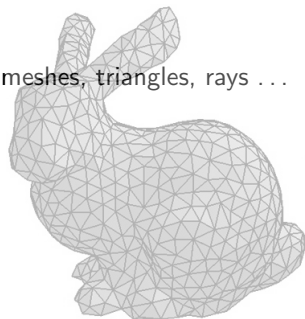
[Image from Pixar]

Section 3

Background

Motivation

- ▶ Ray Tracing requires accurate representation and manipulation of 3D entities
- ▶ Examples of 3D entities
 - ▶ Points, vectors, normals at surfaces, meshes, triangles, rays ...
- ▶ Examples of manipulations
 - ▶ Translation, scale, rotation...



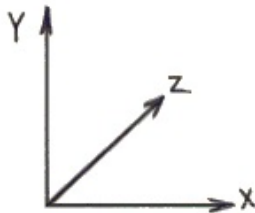
[Image from Geowave Library]

Subsection 1

Frames, Points, Vectors and Normals

3D Frame

- ▶ To represent points and vectors in a 3D space we need a 3D frame
- ▶ A 3D frame is a coordinate system represented by an origin and three base vectors
- ▶ World frame
 - ▶ Specifies the world space coordinates
 - ▶ Origin $(x, y, z) = (0, 0, 0)$
 - ▶ Base vectors $(1, 0, 0)$, $(0, 1, 0)$ and $(0, 0, 1)$
- ▶ In this course we will use a *left-handed* coordinate system



Left hand

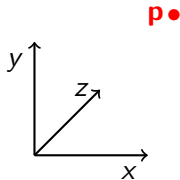
[Image from George H. Otto]

3D Point

- ▶ A 3D point \mathbf{p} represents a *location* in the 3D space
- ▶ Defined by three coordinates

$$\mathbf{p} = (x, y, z)^T \in \mathcal{R}^3$$

- ▶ Represented as a column vector (hence the transpose \top)



3D Vector

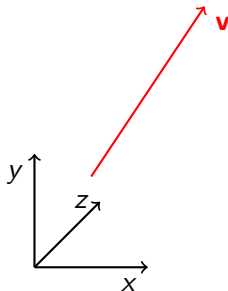
- ▶ A 3D vector \mathbf{v} represents a *direction* in the 3D space
- ▶ Defined by three coordinates

$$\mathbf{v} = (x, y, z)^T \in \mathbb{R}^3$$

- ▶ Has a *magnitude* given by

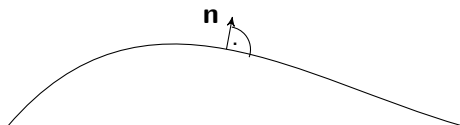
$$\|\mathbf{v}\| = \sqrt{x^2 + y^2 + z^2}$$

- ▶ \mathbf{v} is said to be normalized if $\|\mathbf{v}\| = 1$



Normal

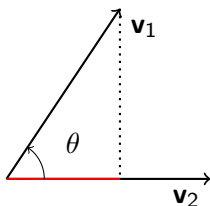
- ▶ Special case of a 3D vector
- ▶ Represents a 3D vector which is *perpendicular to a surface*
 - ▶ this property should be preserved by eventual transformations
- ▶ Attention! A normal vector might not be normalized!
 - ▶ meaning that $\|\mathbf{n}\|$ might be $\neq 1$



Dot Product

- ▶ Let $\mathbf{v}_1 = (x_1, y_1, z_1)^T$ and $\mathbf{v}_2 = (x_2, y_2, z_2)^T$
- ▶ The *dot product* between \mathbf{v}_1 and \mathbf{v}_2 is given by:

$$\begin{aligned}\mathbf{v}_1 \cdot \mathbf{v}_2 &= \|\mathbf{v}_1\| \|\mathbf{v}_2\| \cos(\theta) \\ &= x_1 x_2 + y_1 y_2 + z_1 z_2 \\ &= \mathbf{v}_1^T \mathbf{v}_2\end{aligned}$$



- ▶ If \mathbf{v}_1 and \mathbf{v}_2 are normalized ($\|\mathbf{v}_1\| = \|\mathbf{v}_2\| = 1$), then:

$$\mathbf{v}_1 \cdot \mathbf{v}_2 = \cos(\theta)$$

- ▶ Fast alternative to avoid calling the cos function (costly)

Cross Product

- ▶ Let $\mathbf{v}_1 = (x_1, y_1, z_1)^T$ and $\mathbf{v}_2 = (x_2, y_2, z_2)^T$
- ▶ The *cross product* between \mathbf{v}_1 and \mathbf{v}_2 yields a third vector \mathbf{v}_3 :

$$\mathbf{v}_1 \times \mathbf{v}_2 = \mathbf{v}_3 = (x_3, y_3, z_3)^T,$$

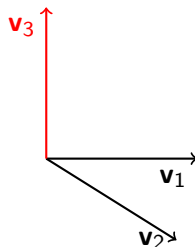
where:

$$x_3 = y_1 z_2 - z_1 y_2$$

$$y_3 = z_1 x_2 - x_1 z_2$$

$$z_3 = x_1 y_2 - y_1 x_2$$

- ▶ How to arrive to the above expression?
- ▶ Some properties:
 - ▶ $\mathbf{v}_1 \times \mathbf{v}_2 = -\mathbf{v}_2 \times \mathbf{v}_1$
 - ▶ $\|\mathbf{v} \times \mathbf{v}\| = 0$ (Attention here!)



Subsection 2

Matrices and Transformations

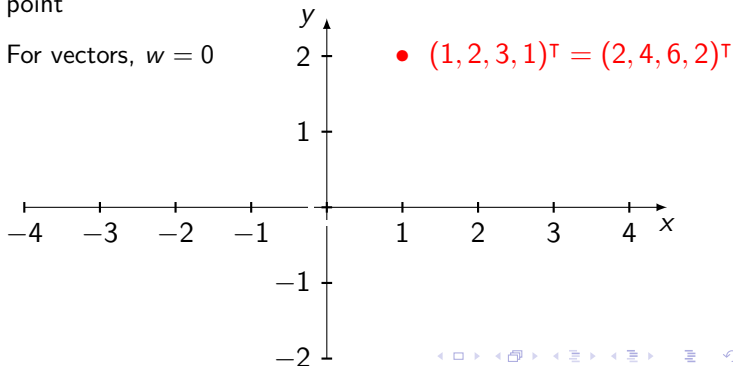
Homogeneous Coordinates

- ▶ *Homogeneous coordinates* allow distinguishing between points and vectors with the same (x, y, z) coordinates
- ▶ Consist of adding a 4th coordinate w to each 3D point/vector

- ▶ $\mathbf{p} = (x, y, z, w)^T$ with $w \neq 0$ represents a point at $(\frac{x}{w}, \frac{y}{w}, \frac{z}{w})^T$

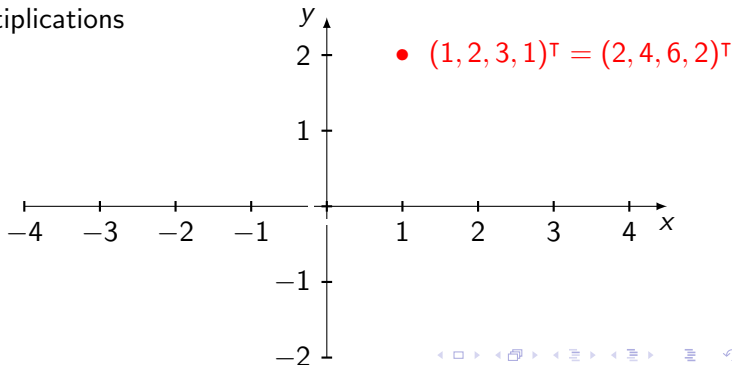
- ▶ $(1, 2, 3, 1)^T$, $(2, 4, 6, 2)^T$ and $(3, 6, 9, 3)^T$ represent the same point

- ▶ For vectors, $w = 0$

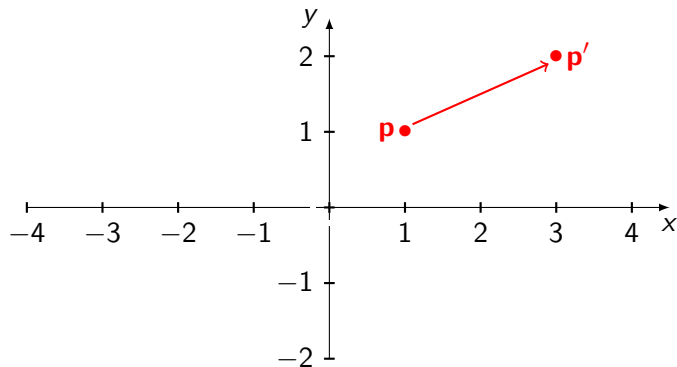


Homogeneous Coordinates

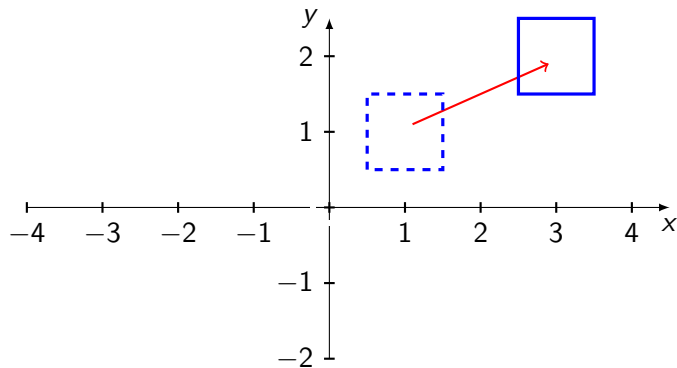
- ▶ Homogeneous coordinates allow us to represent transformations as 4×4 matrices
 - ▶ With this approach, we can represent different transforms using a single matrix
 - ▶ Example: rotation (3×3) and translation (column vector)
- ▶ Vectors and points are then transformed using matrix/vector multiplications



Translation



Translation



Translation

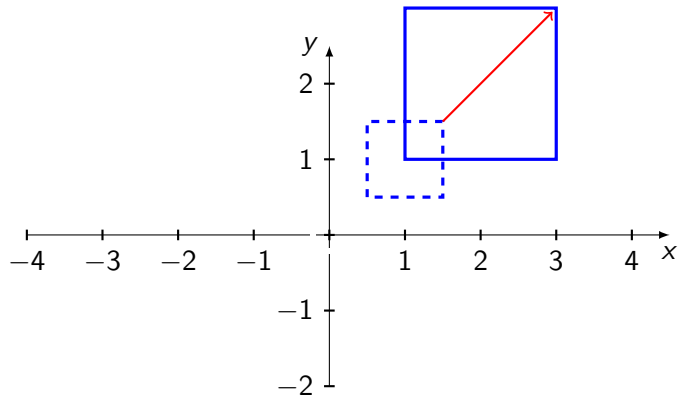
- ▶ A *translation* matrix \mathbf{T} has the form
$$\begin{pmatrix} 1 & 0 & 0 & \Delta_x \\ 0 & 1 & 0 & \Delta_y \\ 0 & 0 & 1 & \Delta_z \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- ▶ Multiplying \mathbf{T} by a point $\mathbf{p} = (x, y, z, 1)^T$ yields \mathbf{p}'

$$\mathbf{p}' = \begin{pmatrix} 1 & 0 & 0 & \Delta_x \\ 0 & 1 & 0 & \Delta_y \\ 0 & 0 & 1 & \Delta_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} x + \Delta_x \\ y + \Delta_y \\ z + \Delta_z \\ 1 \end{pmatrix}$$

- ▶ Multiplying \mathbf{T} by a vector $\mathbf{v} = (x, y, z, 0)^T$ leaves \mathbf{v} unchanged
 - ▶ Indeed, translating a vector should not affect its value since it represents a direction

Scale



Scale

- A *scaling* matrix **S** has the form
- $$\begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$
- Multiplying **S** by a point $\mathbf{p} = (x, y, z, 1)^T$ yields \mathbf{p}'

$$\mathbf{p}' = \begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} s_x x \\ s_y y \\ s_z z \\ 1 \end{pmatrix}$$

- Multiplying **S** by a vector $\mathbf{v} = (x, y, z, 0)^T$ yields

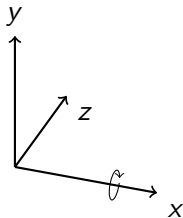
$$\mathbf{v}' = \mathbf{S} \mathbf{v} = (s_x x, s_y y, s_z z, 0)^T$$

Rotation Around the **x**-axis

- ▶ A *rotation* matrix $\mathbf{R}_x(\theta)$ around **x** axis has the form

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos(\theta) & -\sin(\theta) & 0 \\ 0 & \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix},$$

where θ gives the angle of rotation (clock-wise)



Rotation Around the x -axis

- ▶ Multiplying $\mathbf{R}_x(\theta)$ by a point or vector given by $(x, y, z, w)^T$, yields

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos(\theta) & -\sin(\theta) & 0 \\ 0 & \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ w \end{pmatrix} = \begin{pmatrix} x \\ y \cos(\theta) - z \sin(\theta) \\ y \sin(\theta) + z \cos(\theta) \\ w \end{pmatrix}$$

- ▶ x coordinate is unchanged

Rotation Around the **y**-axis and the **z**-axis

- Similar process to that of the rotation around the **x**-axis

$$\mathbf{R}_y(\theta) = \begin{pmatrix} \cos(\theta) & 0 & \sin(\theta) & 0 \\ 0 & 1 & 0 & 0 \\ -\sin(\theta) & 0 & \cos(\theta) & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\mathbf{R}_z(\theta) = \begin{pmatrix} \cos(\theta) & -\sin(\theta) & 0 & 0 \\ \sin(\theta) & \cos(\theta) & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Transforming Normals

- ▶ Let \mathbf{n} be a normalized vector perpendicular to \mathbf{v} (such that $\mathbf{n} \cdot \mathbf{v} = 0$), and \mathbf{S} be a scaling matrix, such that

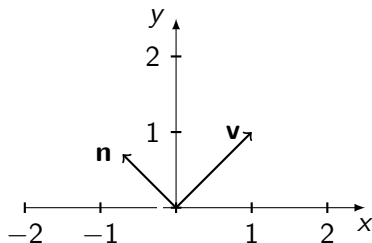
$$\mathbf{v} = \begin{pmatrix} 1 \\ 1 \\ 0 \\ 0 \end{pmatrix} \quad \mathbf{n} = \begin{pmatrix} -1/\sqrt{2} \\ 1/\sqrt{2} \\ 0 \\ 0 \end{pmatrix} \quad \mathbf{S} = \begin{pmatrix} 2 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- ▶ Suppose we transform \mathbf{v} using \mathbf{S} yielding:

$$\mathbf{v}' = \mathbf{S} \mathbf{v} = (2, 1, 0, 0)^T$$

- ▶ **Objective:** if $\mathbf{n} \perp \mathbf{v}$ then $\mathbf{n}' \perp \mathbf{v}'$

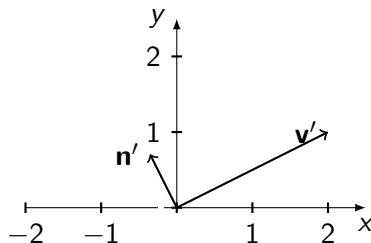
Transforming Normals



Before Transform

$$\mathbf{v} = (1, 1, 0, 0)$$

$$\mathbf{n} = \left(-\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}, 0, 0\right)$$



After Transform

$$\mathbf{v}' = (2, 1, 0, 0)$$

$$\mathbf{n}' = (?, ?, ?, 0)$$

Transforming Normals

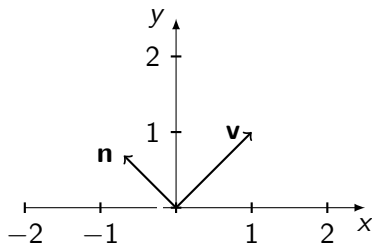
- What happens if we transform \mathbf{n} directly using \mathbf{S} ?

$$\mathbf{n}' = \mathbf{S} \mathbf{n} = \begin{pmatrix} 2 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} -1/\sqrt{2} \\ 1/\sqrt{2} \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} -2/\sqrt{2} \\ 1/\sqrt{2} \\ 0 \\ 0 \end{pmatrix}$$

- Is \mathbf{n}' perpendicular to \mathbf{v}' ?

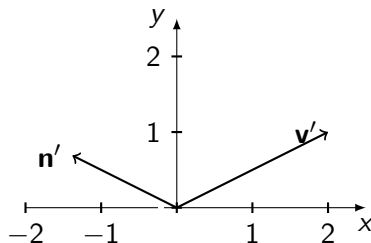
$$\mathbf{n}' \cdot \mathbf{v}' = (\mathbf{S} \mathbf{n}) \cdot (\mathbf{S} \mathbf{v}) = \begin{pmatrix} -2/\sqrt{2} \\ 1/\sqrt{2} \\ 0 \\ 0 \end{pmatrix} \cdot \begin{pmatrix} 2 \\ 1 \\ 0 \\ 0 \end{pmatrix} = -3/\sqrt{2} \neq 0 \quad \text{NO...}$$

Transforming Normals



Before Transform

$$\mathbf{v} = (1, 1, 0, 0)$$
$$\mathbf{n} = \left(-\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}, 0, 0\right)$$



After Transform

$$\mathbf{v}' = (2, 1, 0, 0)$$
$$\mathbf{n}' = \left(-\frac{2}{\sqrt{2}}, \frac{1}{\sqrt{2}}, 0, 0\right)$$

Transforming Normals

- ▶ Recall the **objective**: if $\mathbf{n} \perp \mathbf{v}$ then $\mathbf{n}' \perp \mathbf{v}'$
- ▶ Expressing our objective as an equation

$$\begin{aligned}\mathbf{n} \cdot \mathbf{v} &= \mathbf{n}' \cdot (\mathbf{S} \mathbf{v}) \Leftrightarrow \mathbf{n}^T \mathbf{v} = (\mathbf{n}')^T \mathbf{S} \mathbf{v} \\ \Leftrightarrow \mathbf{n}^T &= (\mathbf{n}')^T \mathbf{S} \\ \Leftrightarrow \mathbf{n} &= \mathbf{S}^T \mathbf{n}' \\ \Leftrightarrow \mathbf{n}' &= (\mathbf{S}^T)^{-1} \mathbf{n}\end{aligned}$$

- ▶ Given the above equality, if $\mathbf{n} \cdot \mathbf{v} = 0$ then $\mathbf{n}' \cdot \mathbf{v}' = 0$

Transforming Normals

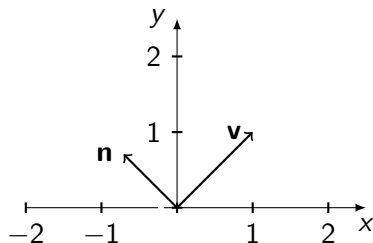
- ▶ Coming back to our example:

$$\mathbf{n}' = (\mathbf{S}^T)^{-1} \mathbf{n} = \begin{pmatrix} 1/2 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} -1/\sqrt{2} \\ 1/\sqrt{2} \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} -1/(2\sqrt{2}) \\ 1/\sqrt{2} \\ 0 \\ 0 \end{pmatrix}$$

- ▶ Is \mathbf{n}' perpendicular to \mathbf{v}' ?

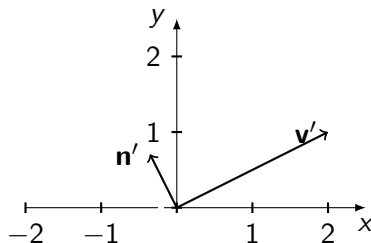
$$\mathbf{n}' \cdot \mathbf{v}' = \begin{pmatrix} -1/(2\sqrt{2}) \\ 1/\sqrt{2} \\ 0 \\ 0 \end{pmatrix} \cdot \begin{pmatrix} 2 \\ 1 \\ 0 \\ 0 \end{pmatrix} = -\frac{2}{2\sqrt{2}} + \frac{1}{\sqrt{2}} = 0 \quad \text{YES 😊}$$

Transforming Normals



Before Transform

$$\mathbf{v} = (1, 1, 0, 0)$$
$$\mathbf{n} = \left(-\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}, 0, 0\right)$$



After Transform

$$\mathbf{v}' = (2, 1, 0, 0)$$
$$\mathbf{n}' = \left(-\frac{1}{2\sqrt{2}}, \frac{1}{\sqrt{2}}, 0, 0\right)$$

Subsection 3

Images

What is an Image

- ▶ An image is a 2D matrix with size given by its resolution (*width* \times *height*)
- ▶ Each element of this matrix is called pixel (picture element)
- ▶ Each pixel has an RGB value (red, green and blue)

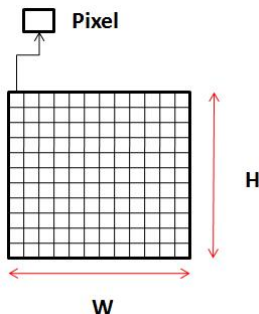
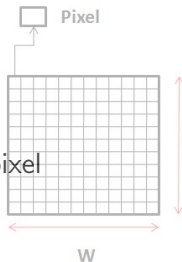


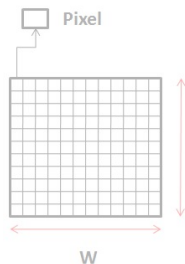
Image-Related Coordinate Spaces

- ▶ A pixel of an image can be accessed by using the pixel coordinates (x, y)
 - ▶ Such a space is usually called *image space*
 - ▶ $(x, y) \in [0, \text{Width} - 1] \times [0, \text{Height} - 1]$
- ▶ To be able to refer to different zones of a pixel (and not only to the pixel as a whole) it is useful to express the pixel location in normalized device coordinates (NDC)
 - ▶ (x, y) coordinates vary between $(0, 0)$ and $(1, 1)$
 - ▶ NDC space $((x, y) \in [0, 1]^2)$



Painting an Image in Red - Example

```
for (line = 0, line ≤ height - 1, line++)  
{  
    for (col = 0, col ≤ width - 1, col++)  
    {  
        image[lin][col] = (1, 0, 0);  
    }  
}
```



Lecture Summary

- ▶ We have seen:
 - ▶ 3D points, 3D vectors and normals
 - ▶ Simple vector/vector operations (dot and cross products)
 - ▶ Common 3D transformations
 - ▶ How to transform points, vectors and normals
 - ▶ The notion of image
- ▶ This gives us the basic tools to learn ray tracing!

Next Classes at a Glance

- ▶ Next Seminar and Practical Class
 - ▶ Consolidate notions learned in this Lecture (Assignment 1)
- ▶ Next Lecture: learn the basics of a simple ray tracer
 - ▶ Projective camera models (orthographic and perspective)
 - ▶ Formalize the notion of ray
 - ▶ Intersect rays with spheres
 - ▶ How to generate rays from camera
 - ▶ First ray tracing image