#### TM: exercise

Provide a TM, M, that recognizes all strings of 0s whose length is a power of 2, i.e., it decides the language  $L = \{0^{2^n} : n \ge 0\}$ . Below is an implementation-level description for the TM:

M="On input string w:

- 1. Sweep left to right across the tape, crossing off every other 0.
- 2. If in stage 1 the tape contained a single 0, accept.
- 3. If in stage 1 the tape contained more than a single 0 and the number of 0s is odd, reject.
- 4. Return the head to the left-hand of the tape.
- 5. Go to stage 1."

#### TM Example: Adder

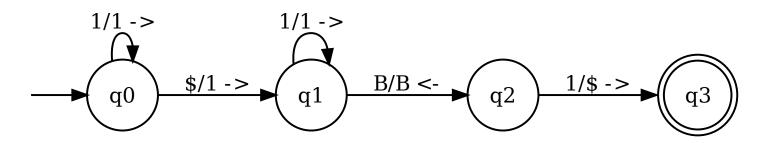
Given two positive integers x > 0 and y > 0, design a TM that computes x + y.

#### Assumptions:

- $\widehat{x}$  denotes the unary notation for x, i.e., if x is 3, then  $\widehat{x}$  is 111.
- $\widehat{x}$  and  $\widehat{y}$  are placed on the tape separated by a single \\$.
- After the computation,  $\widehat{x+y}$  will be on the tape followed by a single \$.
- The expression below represents an accepting computation of x + y.

$$q_0\widehat{x}\$\widehat{y} \stackrel{*}{\vdash} \widehat{x+y}\$q_fB$$

# TM Example: an Adder (cont.)

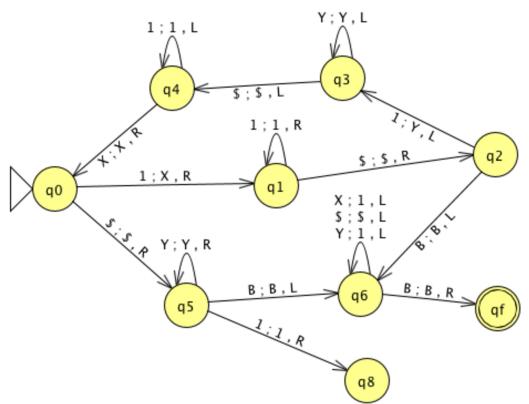


#### Below is the computation of 1\$11:

$$q_01\$11B \vdash 1q_0\$11B \vdash 11q_111B \vdash 111q_11B \vdash 1111q_1B \vdash 1111q_21B \vdash 1111\$q_3B$$

# TM Example: a Comparer

A TM that, given two positive integers x and y, input in the format  $\widehat{x} \$ \widehat{y}$  as in the previous TM, halts on a final state if  $x \ge y$ , or halts on a non-final state if x < y. In both cases (and for this example specifically) the TM should eventually position the head on the first symbol of the input string.

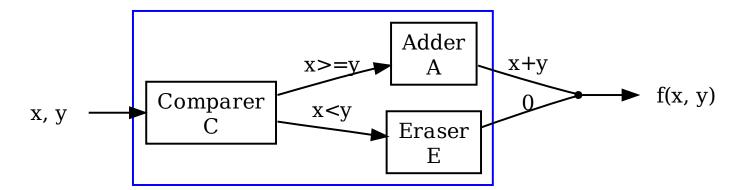


# TM Example: an elaborate function

Combining TMs to perform elaborate tasks. E.g., computing the mathematical function

$$f(x,y) = \begin{cases} x+y & \text{if } x \ge y \\ 0 & \text{if } x < y \end{cases}$$

We use our previous TMs as subroutines.



#### TM tricks: alternative models for TMs

- A TM with the ability to stay put, i.e., keep its head on the same cell in a move. E.g:
  - $\delta(q,a)=(p,a,StayPut)$ , i.e.,  $\alpha qa\gamma \vdash \alpha pa\gamma$
- This feature would not render the TM more powerful, as we could represent a "stay put" transition with two transitions (left, right). E.g.
  - $\delta(q,a)=(r,a,R)$  and  $\delta(r,x)=(p,x,L), \forall x\in \Sigma$
  - **Solution** Steps:  $\alpha qa\gamma$  ⊢  $\alpha ar\gamma$  ⊢  $\alpha pa\gamma$
- This small example holds the key to the analysis we will do next.
  - We will introduce a repertoire of TM variants.
  - We will show their equivalence by simulating one by the other.

#### TM tricks: alternative models for TMs

- TM programming techniques: storage in the state, multiple tape tracks, subroutines, ...
- Extensions: multiple tapes, non-determinism, ...
- Restrictions: semi-infinite tape, multiple stacks, counters,...

All these tricks, however, produce models that are equivalent: they accept the recursively enumerable languages (Church- Turing thesis, 1936).

# TM Multiple tracks (TM-mt): example

- Suppose symbols of  $\Gamma$  are pairs [A, X], where X is the "real" symbol, and A is either B (blank) or \*.
  - Input symbol a is identified with [B, a].
  - The blank is [B, B].
- Here's a program to find the \*, assuming it is somewhere to the left of the present position.

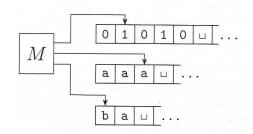
$$\delta(q, [B, X]) = (q, [B, X], L)$$
  
 $\delta(q, [*, X]) = (p, [B, X], R)$ 

Notice that TM-mt  $\equiv$  classical TM; we can encode each pair of symbols [A, X] of MT-mt as an element of  $\Gamma$  of the classical TM.

#### Restricted TMs (rTMs)

- Now lets replace the tape of a TM with a semi-infinite tape (infinite only to the right). There are no cells to the left of the initial head position.
- Although apparently simpler, rTMs are as powerful as a classical TMs.
- The proof is based on the fact that we can simulate a TM using an rTM with two tracks.
  - The upper track represents the cells of the original TM that are at or to the right of the head.
  - The lower Track represents the cells to the left of the head, in reverse order.

# Multitape TMs (mtTMs)



- A mtTM has some finite number of tapes k, with a head for each tape.
- The input symbols are placed in the first tape. All other cells of the other tapes are initially empty.
- A move of a mtTM depends on the state and the symbol scanned by each head. On each tape a new symbol is written, and each head makes a L,R move.

$$\delta: Q \times \Gamma^k \to Q \times \Gamma^k \times \{L, R\}^k$$

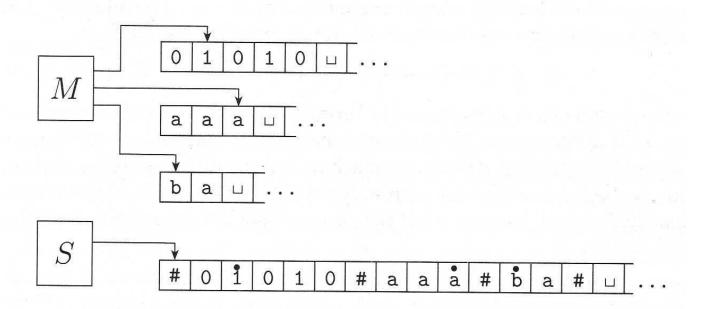
**E.g.**: 
$$\delta(q_i, a_1, \dots, a_k) = (q_j, b_1, \dots, b_k, L, R, \dots, L)$$

#### Theorem: Every mtTM has an equiv. TM

**Proof:** Let M be a multi-tape TM. The TM S simulates M as follows:

- S uses a new symbol # as a delimiter to separate the contents of each tape.
- S uses a tape symbol (the one with a dot above) to mark the place where the head on that tape would be.

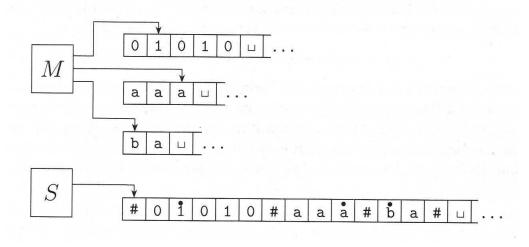
Think of these as "virtual" tapes and heads.



# Simulation of a mtTM by a TM

S = "On input  $w = a_1 a_2 \cdots a_n$ :

- First S puts its tape into the format that represents all the k tapes of a mtTM M:  $\#a_1 a_2 \cdots a_n \#B \#B \# \cdots \#B$
- To simulate a single move, S scans its tape from the first # to the last #, which marks the right-hand end, to determine the symbols under the virtual heads.

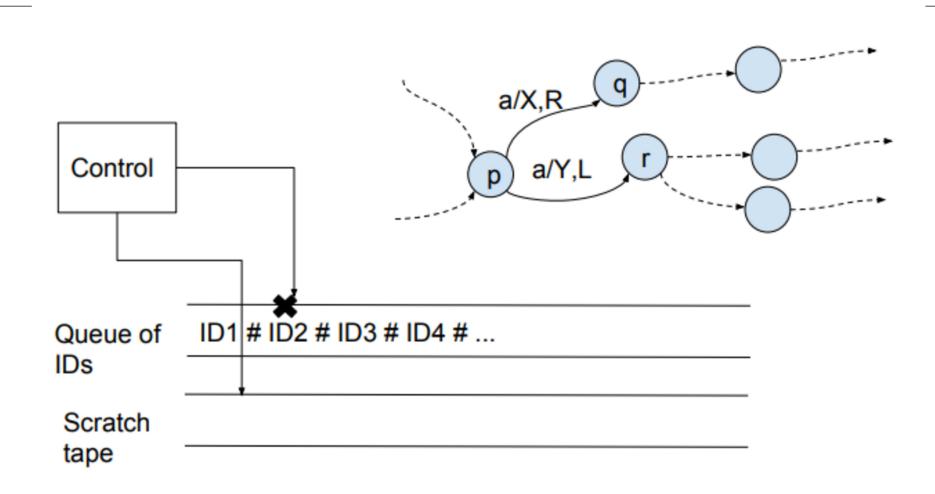


Then S makes a second pass to update the tapes according to the way that M's transition function dictates.

#### Nondeterministic TMs (nTMs)

- As you may expect, at any point in a computation a nTM may proceed according to several possibilities.
- E.g., a transition in a nTM:  $\delta(q, X) = \{(q_1, Y_1, D_1), (q_2, Y_2, D_2), \cdots, (q_k, Y_k, D_k)\}$
- We can simulate a nTM with a deterministic TM, D, as follows:
  - Have D simulate all possible branches of N's nondeterministic computation (putting IDs on a queue, rather than a stack).
    - View N's computation of w as a tree; each node of the tree is an ID; D traverses the tree in a breadth first search.
  - If D ever finds an accept state, it accepts; otherwise D's simulation will not terminate.

# Nondeterministic TMs (nTMs)



# **Opening a Parenthesis**

Is a push-down automaton with two stacks equivalent to a turing machine? (proof idea on the doc camera/board)

Closing the parenthesis

#### TMs and Real Computers

Now let's compare TMs and the common sort of computer we use daily.

- Although these models appear different, both accept the same languages—the recursively enumerable languages.
- Next we shall informally prove that L(TMs) = L(ModernComputers), and this claim will be divided in two parts:
  - A computer can simulate a Turing Machine.
  - A Turing machine can simulate a modern computer.

# Simulating a TM by a computer

We could write a program that acts like a TM.

- The input symbols: could be implemented as character strings.
- The finite control: we could use a table and a couple of helper functions to implement the finite number of states and transitions rules.
- The infinite tape....: we can postulate an "infinite" cloud-based file storage space.
  - The program that simulates the finite control also mounts the cloud drive that holds the region of the TM tape around the tape head.
  - There are two file folders, one storing the data to the left of this region, and one storing the data to the right of it.

# Simulating a computer by a TM

Simulation is at the level of *stored instructions* and *words of memory*.

- The TM has an assortment of tapes for: memory locations, and their contents; the instruction counter; memory address; computer input file; and scratch.
- Instruction cycle simulation:
  - 1. Find the word indicated by the instruction counter on the memory tape.
  - 2. Examine the instruction code, and get the contents of any memory words mentioned in the instruction, using the scratch tape.
  - 3. Perform the instruction, changing any words' values as needed, and adding new address-value pairs to the memory tape, if needed.

# **Summary**

- We have seen some variants of the TM model and have shown that they are equivalent in power.
- There are many other models, all share the essential feature of TMs, viz. unrestricted access to unlimited memory, distinguishing them from FA and PDA.
- Remarkably, all models turned out to be equivalent in power.

#### Summary (cont.)

- Since we can simulate a deterministic TM in Java and also in Fortran, the two languages can represent exactly the same class of algorithms.
  - So do all other (Turing complete) programming languages.
- This equivalence phenomenon has an important philosophical corollary:
  - Even though there are many different computational models, the class of algorithms that they describe is unique.

# Our progress so far

In our exploration of theories of computation we studied descriptors & recognizers for different classes of languages:

- RE & FA: regular languages; simple machines with single-state-based memory
- CFG & PDA: context-free languages; more advanced machines with a stack-based memory
- ? & TM: recursively enumerable languages; even more advanced machines, with a tape-based unlimited memory with unrestricted access.
  - We learned that TMs can do exactly what computers can do.
- But what are the descriptors for the languages TMs recognize?

# The definition of Algorithm

- Informally, an algorithm is a collection of simple instructions for carrying out some task.
- Ancient mathematical literature contains descriptions of algorithms for a variety of tasks, e.g., greatest common divisors, aka Euclidian Algorithm (circa 300BC).
- The notion of algorithm was precisely defined only in the 1936 papers of Alonzo Church and Alan Turing.
- The Church-Turing thesis:

- Our study now has reached a turning point:
  - We continue to speak of TM.
  - But our real focus is on algorithms.

# How we'll carry out this exploration

- From now on, TMs will be used as a model for the definition of algorithms.
- We'll skip over loads of TM theory.
- Instead, we'll focus on "high-level programming" of TMs and the problems they cannot solve.

# On describing TM algorithms

- What is the right level of detail to give when specifying a TM?
- There are three possibilities:
  - Formal (low-level) description: we specify  $(Q, \Sigma, \Gamma, \delta, q_0, F)$ ; or provide a complete state diagram.
  - Implementation description: we use English prose to describe the way the TM moves its head and stores data on its tape. We don't provide details about states or transition function.
  - High-level description: we use structured English prose to describe an algorithm, ignoring the TM implementation model entirely.

# **Example**

An implementation-level description for a TM that decides the language:

$$L = \{w : 0^n 1^n, n \ge 1\}$$

M= "On input w

- 1. Cross off a 0 with an X and scan to the right until a 1 occurs, then cross it off with a Y. Shuttle between the 0s and 1s crossing off one of each until reading a Y.
- 2. Move right until reading either a blank or 1.
- 3. If symbol read is a blank, accept; otherwise reject."

#### **Another example**

A high-level description for a TM that decides the language:

$$L = \{w : w \text{ is not } 0^n 1^n\}$$

M = "On input w

- 1. Run the TM of previous example on w.
- 2. If it rejects, accept; otherwise reject."

#### A new format and notation for TMs

- The input to a TM is always a string
- The encoding of an object O as a string representation will be denoted as  $\langle O \rangle$
- If there are several objects  $O_1, O_2, \cdots, O_k$ , then we'll denote the encoded string as  $\langle O_1, O_2, \cdots, O_k \rangle$ .
- If \(\lambda A\rangle\) is the input, then we assume the TM first verifies whether the input properly encodes the object, rejecting it if it doesn't.

Let's see an example.

# New notation for TMs: example

Let A be the language consisting of all strings representing undirected graphs that are connected (every node can be reached from every other node), formally:

$$A = \{\langle G \rangle : G \text{ is a connected undirected graph}\}$$

A possible encoding for a graph using s-expressions

$$G=$$
  $3$   $2$   $(G)=$  "  $(4\ 3\ 2\ 1)$   $((1\ 2)\ (3\ 1)\ (1\ 4)$  ) "

#### Example (cnt.)

The following is a high-level description of a TM that decides  $A = \{\langle G \rangle : G \text{ is a connected undirected graph}\}$  E.g.:  $\langle G \rangle =$  " (4 3 2 1) ((1 2) (3 1) (1 4))" M = "On input  $\langle G \rangle$ :

- 1. Select the first node of G and mark it.
- 2. Repeat the following step until no new nodes are marked.
  - 2.1 For each node in *G*, mark it if it is attached by an edge to a node that is already marked.
- 3. Scan all nodes of *G* to determine whether they all are marked. If they are, *accept*; otherwise *reject*."

# Un)decidability: exploring the unsolvable

- The notion of algorithmic solvability is not foreign to us.
- Why study unsolvability?
  - if a problem is algorithmically unsolvable, then it has to be simplified or altered before a solution is attempted.
  - a glimpse into the unsolvable can stimulate our imagination and help us gain a better footing on computation.
- Initially we'll see some problems that are decidable by algorithms.
- Then we'll study a problem involving TMs that no TM can solve (i.e., there is no decidable algorithm for it).
- Later we'll learn how prove that a problem is unsolvable.

# Decidable languages/problems

Let's start by analysing the acceptance problem for DFAs: whether a given DFA accepts a given string.

This problem can be expressed as a language.

$$A_{DFA} = \{\langle D, w \rangle : D \text{ is a DFA that accepts } w\}$$

- Therefore, we'll formulate computational problems as tests of membership in a language.
  - the language is decidable if-and-only-if the computational problem is decidable

# Theorem 1: $A_{DFA}$ is a decidable language

Proof idea: we present a TM M that decides  $A_{DFA}$ :

M = "On input  $\langle D, w \rangle$ , where D is a DFA, and w a string:

- 1. Simulate D on input w.
- 2. If the simulation ends in an accept state, *accept*; otherwise *reject*."

#### M's operational details:

- M checks if  $\langle D, w \rangle$  properly encodes a DFA, and w properly encodes a string.
- ullet M simulates D directly, e.g.:

$$\delta_M(p,a) = (q,a,\rightarrow)$$
 simulates  $\delta_D(p,a) = q$ 

# Thrm 2: $A_{NFA}$ is a decidable language

 $A_{NFA} = \{\langle D, w \rangle : D \text{ is an NFA that accepts } w\}$ 

Proof idea: we present a TM N that decides  $A_{NFA}$ :

N= "On input  $\langle D,w\rangle$ , where D is an NFA and w a string.

- 1. Convert D to a DFA C.
- 2. Run M (our TM from Thrm. 1) on  $\langle C, w \rangle$ .
- 3. If *M* accepts, *accept*; otherwise *reject*."

#### Thrm 3: $A_{RE}$ is a decidable language

 $A_{RE} = \{\langle R, w \rangle : R \text{ is a regular expression that generates } w\}$ 

Proof idea: similar to proof of Thrm 2.

# Thrm 4: $A_{CFG}$ is a decidable language

 $A_{CFG} = \{\langle G, w \rangle : G \text{ is an CFG that generates the string } w\}$ 

#### Proof idea:

- We can't have the TM simulate derivations until it generates w; the TM may never halt! (not a decider).
- Converting G to a NPDA, then have a TM simulate it won't do, for similar reasons.
- The solution is to ensure the TM tries only finitely many derivations.
- In effect, if G is in CNF, any derivation of a string of length n will have 2n-1 steps.
- Therefore, checking only the derivations with at most 2n-1 steps would do.

#### **Proof of Thrm 4 (cont.)**

Below is the description for the TM S that decides  $A_{CFG}$ .

S = "On input  $\langle G, w \rangle$ , where G is a CFG and w a string:

- 1. Convert G to CNF.
- 2. List all derivations with 2n-1 steps, where n=|w|.
- 3. If any of these derivations generate w, accept; otherwise reject."

#### The emptiness problem for CFGs

Given the language

$$E_{CFG} = \{\langle G \rangle : G \text{ is a CFG and } L(G) = \emptyset \}$$

Showing that  $E_{CFG}$  is a decidable language by determining whether the start symbol is 'generating'.

R = "On input  $\langle G \rangle$ , where G is a CFG.

- 1. Mark all terminal symbols in G.
- 2. Repeat until no new variables get marked:
  - 2.1. Mark any variable A where G has a rule  $A \to U_1U_2 \cdots U_k$  and each symbol  $U_1, U_2, \cdots, U_k$  has been marked
- 3. If the start symbol is not marked, accept; otherwise reject.

#### Thrm 5: CFLs are decidable by TMs

Proof idea: Let A be a context-free language (CFL). Our objective is to prove that A is decidable.

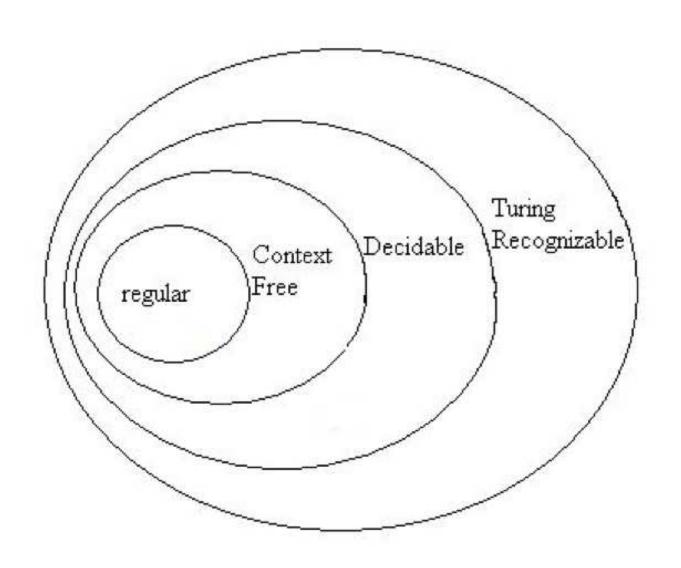
- a bad idea is to convert a PDA for A directly into a TM
- Since a PDA may be nondeterministic, branches of the execution may never end, thus the TM could not be a decider.

Let G be a grammar for A. We build G into the following TM that decides A.

```
M_G = "On input \langle w \rangle:
```

- 1. Run TM S (from Thrm 4) on  $\langle G, w \rangle$ .
- 2. if S accepts, then accept; otherwise reject."

# The hierarchy of languages



# Beyond Turing recognizable languages

Our first goal in this exploration of undecidable problems is to prove that there is a specific problem that a TM cannot decide.

- What kind of unsolvable problems are these? Are they esoteric, dwelling only within the realm of Theoretical Comp Sci?
- In fact, they can be quite earthly, e.g.:
  - Given a program P, write a program that decides whether P prints ``Hello World!''
- We'll start by presenting our first theorem that establishes the undecidability of a specific language.