



**SPRACE**

*Overview*

Observation of a pseudoscalar excess at the top quark pair  
production threshold

**THE CMS COLLABORATION**

*SPRACE Physics Meetings - 02/06/2025*

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# Introduction

- Analysis based on **138 fb<sup>-1</sup> of pp** collisions at  $\sqrt{s} = 13$  TeV
  - LHC Run 2 (2016–2018)
- **Top quark**: heaviest known elementary particle,  $m_t \sim 172.5$  GeV
- **Unique property**: decays before hadronizing  $\rightarrow$  spin transferred to decay products
- **Nonrelativistic QCD** (NRQCD) predicts possible *enhancements in  $t\bar{t}$*  production near threshold due to bound states
- **This work** investigates the existence of a CP-odd pseudoscalar ‘toponium’ state, denoted  $\eta_t$ 
  - A  $\chi_t$  (CP-even) are also possible
- **Observable**: enhancement in  $m_{t\bar{t}}$  and spin correlation via lepton angles

# Introduction

- The “toponium” is a hypothetical **bound state of  $t\bar{t}$**
- $\eta_t$ :  $^1S_0^{[1]}$  CP-odd (pseudoscalar) state, expected around  $m_{t\bar{t}} \sim 343$  GeV (where  $2m_t$  - binding energy)
- $\chi_t$ :  $^3P_0^{[1]}$  CP-even (scalar) state, suppressed due to velocity factors
- $\eta_t$  can be formed through **gluon-gluon** initial states;
- Manifests as **event excess** and **spin correlation anomalies**
- ATLAS conclusions (Ref. [23]) are not directly comparable to the ones reported on the paper, nor do they refute or confirm the findings reported.

# 2 Method

## 2.1 The CMS detector and event reconstruction *(skip)*

## 2.2 Data and simulated event samples

- Events selected in the dilepton ( $e/\mu$ ) final state with  $\geq 2$  jets (1 b-tagged minimum)
- They use of kinematic reconstruction to infer  $t\bar{t}$  invariant mass ( $m_{t\bar{t}}$ ) and lepton angular variables

## 2 Event selection

- **Final state:** Dilepton ( $e^+e^-$ ,  $\mu^+\mu^-$ ,  $e\mu$ ) +  $\geq 2$  jets

### **Key selections:**

- 2 opposite-sign leptons,  $p_t > 20$  GeV ( $1\ell > 25$  GeV)
- $\geq 2$  jets (1 b-tagged),  $p_T^{miss} > 40$  GeV ( $ee/\mu\mu$ )
- Z veto:  $|m_{\ell\ell} - 91 \text{ GeV}| > 15 \text{ GeV}$
- $m_{\ell\ell} > 20 \text{ GeV}$

- **Kinematic reconstruction:**

- Kinematic fit assumes two leptonic  $W$  decays from  $t\bar{t}$
- Constrained fit to  $W$  and top masses
- Smearing (100 iterations) recovers resolution and real solutions
- Reconstructed  $m_{t\bar{t}}$  resolution  $\sim 15\%$  near threshold

# Signal and Background

- **Signal:**  $\eta_t \rightarrow WbWb$  (off-shell tops allowed)
  - Modeled with MADGRAPH5 aMC@NLO (LO), PYTHIA8 (parton shower)
  - Mass: 343 GeV ( $m_t \sim 172.5$  GeV), Width: 2.8 GeV
- **Backgrounds:**
  - Nonresonant  $t\bar{t}$ : POWHEG v2 + PYTHIA8 (FO pQCD at NNLO+NNLL)
  - Single top (tX): POWHEG and MADGRAPH
  - Drell-Yan: POWHEG + PHOTOS
  - Dibosons: PYTHIA (NNLO/NLO norms)
  - $t\bar{t}V$ , multijet: negligible or modeled as appropriate
  - Multiple generator setups tested: HERWIG, bb4l, FxFx to verify robustness
- **Theoretical corrections:**
  - Higher-order QCD (NNLO) and EW (NLO) corrections applied
  - PDF set: NNPDF3.1



# Statistical Analysis Methodology

## Fit Method:

- Binned profile likelihood fit using **COMBINE** tool
- 3D histogram:  $(m_{tt}, c_{hel}, c_{han})$

## Uncertainties included as nuisance parameters:

- Experimental:  **$e/\mu$  ID, b-tagging, pileup, JEC/JER, trigger**
- Luminosity ( $\pm 1.6\%$ )
- Theory: PDF,  $\alpha_s$ , top mass,  $\mu_F/\mu_R$  scales, parton shower/hadronization, ISR/FSR
- Cross-section norm. uncertainties for all backgrounds

## Control:

- Postfit deviations of nuisance parameters from their prefit values (**Pulls**) and postfit reduction in uncertainty (**constraints**) evaluated
- Alternative generators (HERWIG, MADGRAPH, POWHEG-res) used for cross-checks

# Statistical Analysis Methodology

**Purpose:** Discriminate between different spin/CP hypotheses

## Definitions:

- $c_{hel}$  : Scalar product of lepton unit vectors in respective top rest frames
- $c_{han}$  : Same as  $c_{hel}$  but with one spin axis inverted

## Predictions:

| State                    | $c_{hel}$ Slope | $c_{han}$ Slope |
|--------------------------|-----------------|-----------------|
| $\eta_t$ (pseudoscalar)  | +1              | +1/3            |
| $\chi_t$ (scalar)        | -1/3            | -1              |
| SM ( $t\bar{t}$ FO pQCD) | $\sim 0.3$      | $\sim 0$        |

**Analysis uses 3D template fit:**  $(m_{t\bar{t}}, c_{hel}, c_{han})$

### 3 Results

- **Excess observed** near  $m_{tt} \approx 343$  GeV with distinctive spin correlations.
- **Best fit cross section:**

$$\sigma(\eta_t) = 8.8 \pm 0.5 (\text{stat})^{+1.1}_{-1.3} (\text{syst}) \text{ pb} = 8.8^{+1.2}_{-1.4} \text{ pb}.$$

- Compatible with NRQCD predictions: 6.4 pb estimated
- Significance of excess:  $> 5\sigma$
- **No significant  $\chi_t$  contribution found.**

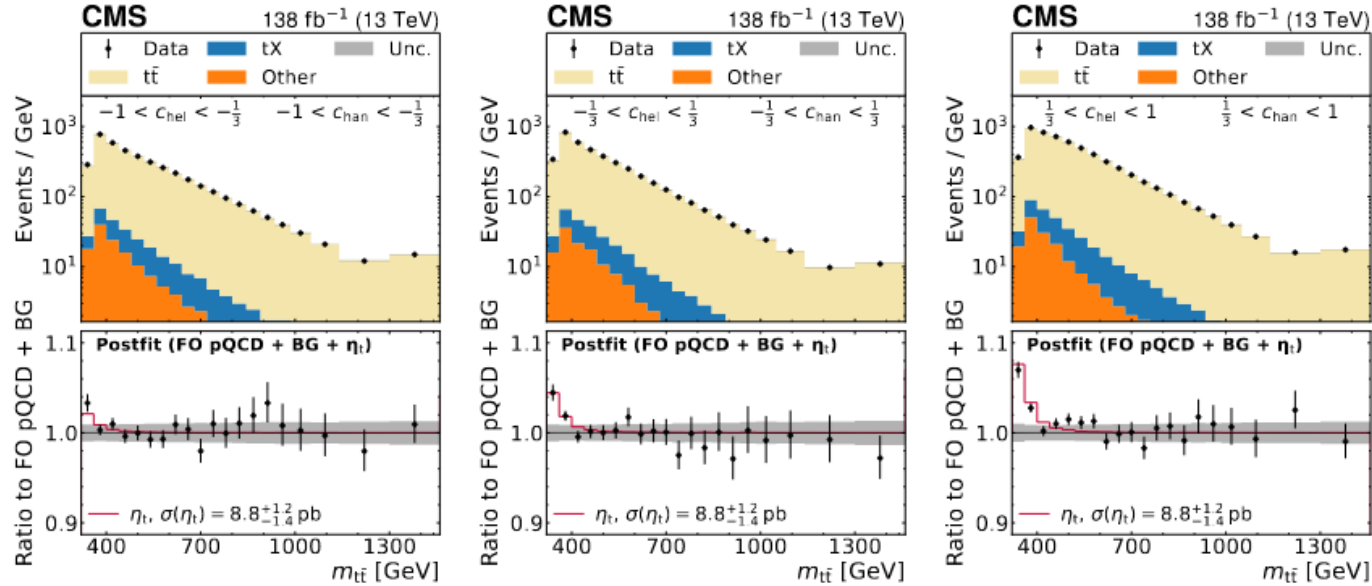


Figure 1: Observed (points with statistical error bars) and predicted (stacked colored histograms)  $m_{t\bar{t}}$  distribution in three out of nine  $(c_{\text{hel}}, c_{\text{han}})$  bins. In the upper panels, the  $t\bar{t}$  histogram shows the FO pQCD prediction after the fit to the data that includes the  $\eta_t$  signal model (whose contribution is not drawn), and the shown event rates are divided by the bin width. The lower panels display the ratio of the data to the FO pQCD + background prediction, with  $\eta_t$  signal overlaid at its best fit  $\eta_t$  cross section (red line). The gray band indicates the postfit uncertainty. The first and last  $m_{t\bar{t}}$  bins include all events with reconstructed  $m_{t\bar{t}}$  below 360 and above 1300 GeV, respectively, and the drawn bin width is used for the normalization in these bins.

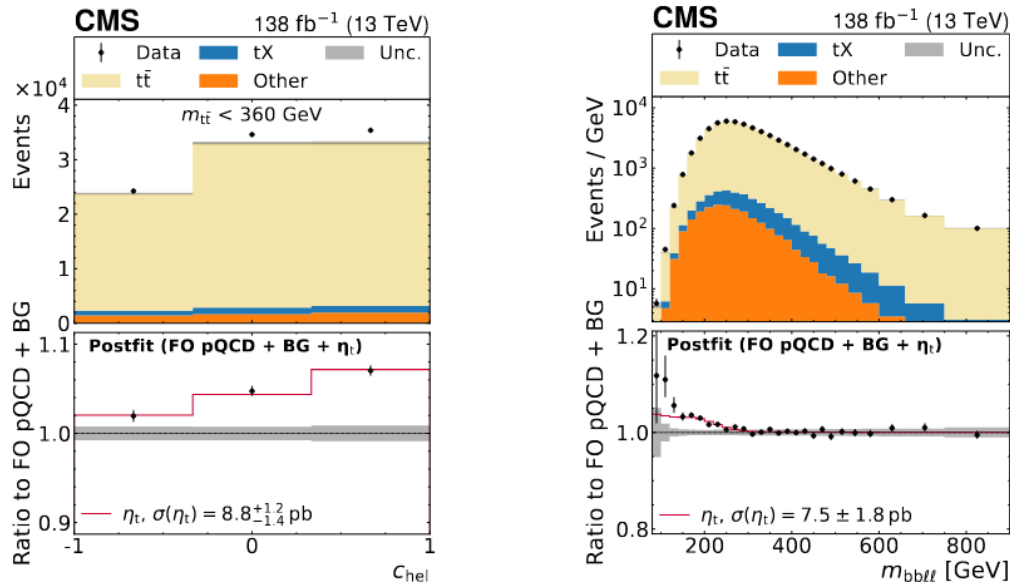


Figure 2: Observed (points with statistical error bars) and predicted (stacked colored histograms) distributions. Left:  $c_{hel}$  for  $m_{t\bar{t}} < 360$  GeV and integrated over  $c_{han}$ , from the nominal fit using  $m_{t\bar{t}}$ . Right:  $m_{bb\ell\ell}$  integrated over  $c_{hel}$  and  $c_{han}$ , from the alternative fit using  $m_{bb\ell\ell}$  instead of  $m_{t\bar{t}}$ , which is discussed in Section 4.1. In the upper panels, the  $t\bar{t}$  histogram shows the FO pQCD prediction after the fit to the data that includes the  $\eta_t$  signal model (whose contribution is not drawn). On the right, the shown event rates are divided by the bin width. The lower panels display the ratio of the data to the FO pQCD + background prediction, with  $\eta_t$  signal overlaid at its best fit  $\eta_t$  cross section (red line). The gray band indicates the postfit uncertainty. The first and last  $m_{bb\ell\ell}$  bins include all events with reconstructed  $m_{bb\ell\ell}$  below 100 and above 750 GeV, respectively, and the drawn bin width is used for the normalization in these bins.

# 3 Results

- $c_{hel}$  distribution for  $m_{tt} < 360$  GeV shows a steeper slope than SM
- $c_{han}$  further supports **anticorrelated spin hypothesis**
- Data favors  $\eta_t$  over  $\chi_t$  or SM-only explanations
- Control plot comparison:
  - $\eta_t$  model aligns well with observed enhancement
  - $\chi_t$  model does not improve fit quality

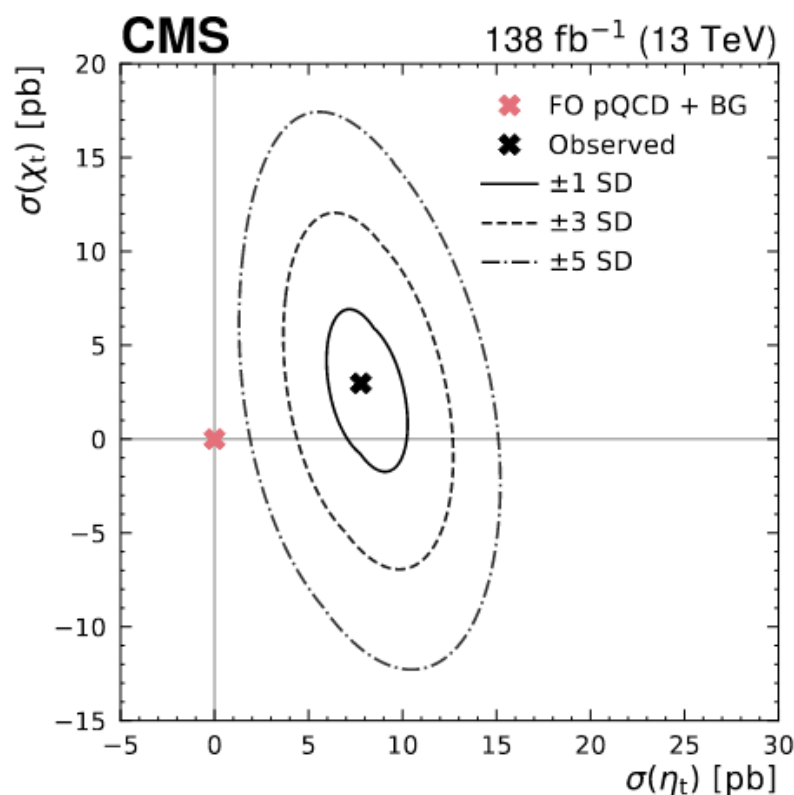


Figure 3: Best fit value (cross) and allowed regions at one (solid line), three (dashed line), and five (dotted-dashed line) SDs for the cross section of  $\eta_t$  and  $\chi_t$  production, as observed in data (black). The FO pQCD + background expectation of zero  $\eta_t$  and  $\chi_t$  contributions is denoted by a pink star. Negative cross section values refer to a reduction of the  $t\bar{t}$  production cross sections with respect to the FO pQCD + background prediction around the threshold.

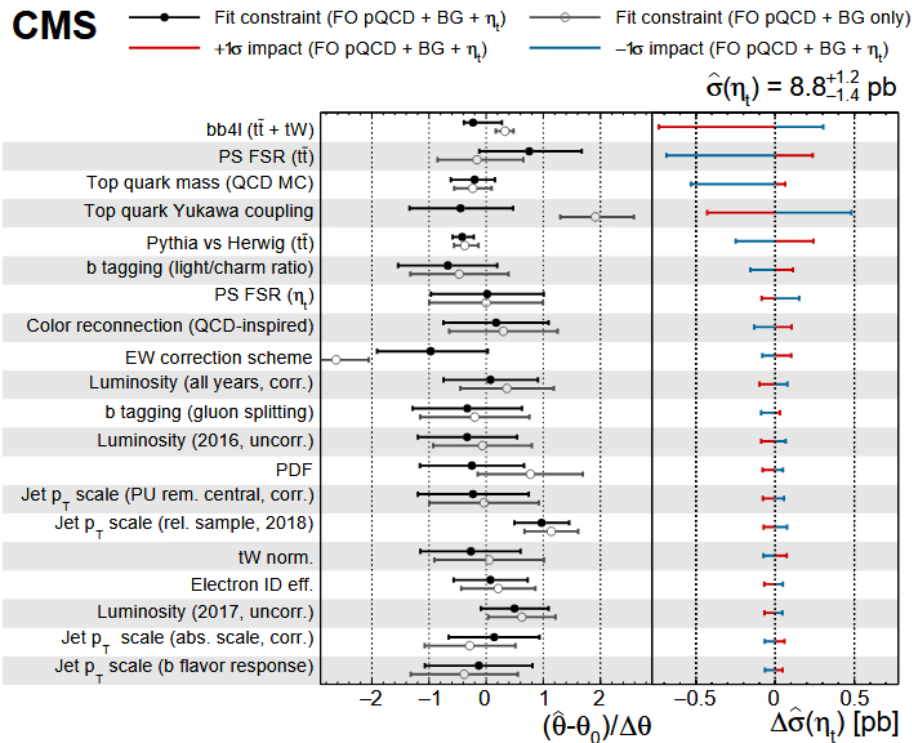


Figure 5: For the nuisance parameters listed in the left column, the pulls  $(\hat{\theta} - \theta_0) / \Delta\theta$  (middle column), where  $\hat{\theta}$  and  $\theta_0$  are the postfit and prefit values of the nuisance parameters and  $\Delta\theta$  is the prefit uncertainty, are shown for the FO pQCD + background +  $\eta_t$  (black filled circles) and FO pQCD + background (gray empty circles) fit, as well as the impacts  $\Delta\hat{\sigma}(\eta_t)$  (right column) for the FO pQCD + background +  $\eta_t$  fit. The impact  $\Delta\hat{\sigma}(\eta_t)$  for a nuisance parameter  $\theta$  is calculated by varying  $\theta$  by  $\pm 1$  SD and evaluating the shift in  $\sigma(\eta_t)$ . The nuisance parameters are ordered by the maximum of their  $\pm 1$  SD impacts in the FO pQCD + background +  $\eta_t$  fit.



# 4 Discussion - Tests

- **Test 1:** Replace  $m_{tt}$  with  $m_{bb\ell\ell}$ 
  - Any assumption on the value of  $m_t$  and is less model-dependent  
→  $\sigma(\eta_t) = 7.5 \pm 1.8 \text{ pb}$
- **Test 2:** Jet  $p_T$  scale shifted  $\pm 1\sigma$  in pseudo-data  
→ Negligible effect on  $\eta_t$  cross section

Table 1: Results for  $\sigma(\eta_t)$  obtained with different simulated event samples for the FO pQCD  $t\bar{t}(+tW)$  prediction. Nuisance parameters encoding the difference between different generators are not included in these results. The nominal result, i.e., POWHEG v2  $h\nu q$  + PYTHIA including these nuisance parameters, is shown for comparison.

| FO pQCD generator setup         | $\sigma(\eta_t)$ [pb] |
|---------------------------------|-----------------------|
| POWHEG v2 $h\nu q$ + PYTHIA     | $8.7 \pm 1.1$         |
| POWHEG v2 $h\nu q$ + HERWIG     | $8.6 \pm 1.1$         |
| MADGRAPH5_aMC@NLO FxFx + PYTHIA | $9.8 \pm 1.3$         |
| POWHEG vRES bb41 + PYTHIA       | $6.6 \pm 1.4$         |
| Nominal result                  | $8.8^{+1.2}_{-1.4}$   |

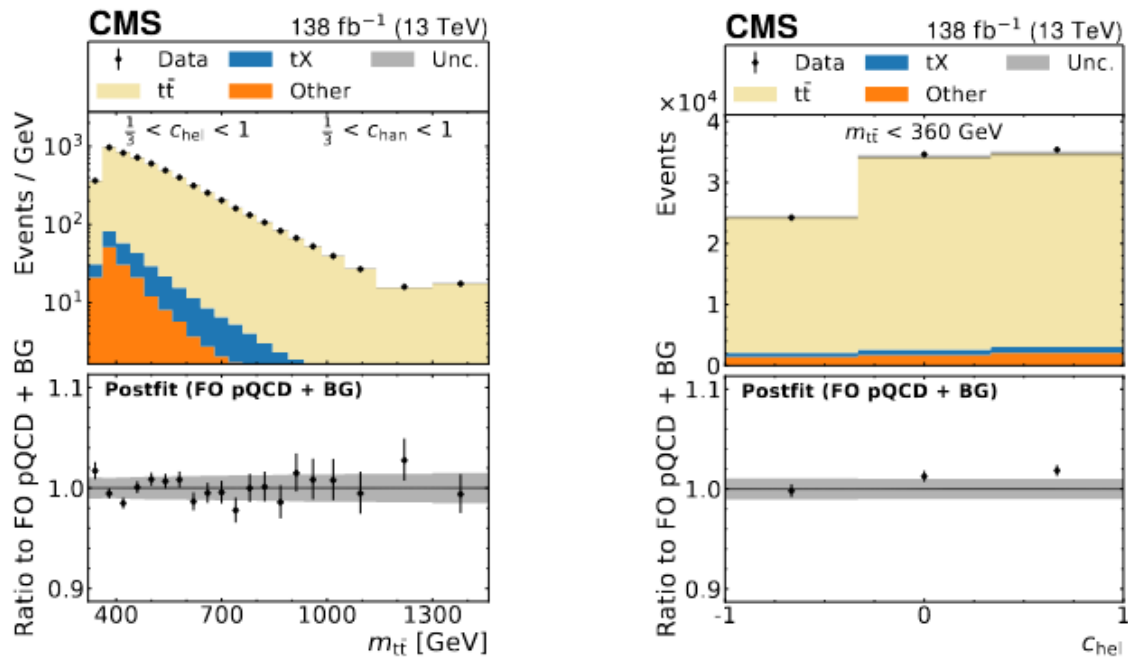


Figure 4: Observed (points with statistical error bars) and predicted (stacked colored histograms)  $m_{t\bar{t}}$  distribution in the  $(c_{hel}, c_{han})$  bin with the highest expected  $\eta_t$  contribution (left) and  $c_{hel}$  distribution for  $m_{t\bar{t}} < 360$  GeV and integrated over  $c_{han}$  (right). In the upper panels, the histograms account only for the FO pQCD + background prediction, and are shown after the background-only fit to the data. On the left, the shown event rates are divided by the bin width. The lower panels display the ratio of the data to the FO pQCD + background prediction. The gray band indicates the postfit uncertainty. The binning is the same as in Figs. 1 and 2 (left).

# Interpretation

- Results compatible with **NRQCD toponium formation** ( $\eta_t$  state)
- Confirms earlier mild hints in previous analysis with 2016 dataset
- Strongest direct evidence yet for QCD binding effects at  $t\bar{t}$  threshold
- **Alternative explanations:**
  - BSM pseudo-scalar states (e.g. in 2HDMs) not ruled out
  - Companion paper (Ref. [20]) explores generic scalar/pseudoscalar resonance search

# 5 Summary

- First observation ( $> 5\sigma$ ) of a **pseudoscalar excess in  $t\bar{t}$  threshold region**
- Kinematic + angular evidence supports  $\eta_t$  production
- Compatible with **NRQCD** expectations
- They reinforce top quark as a unique probe of fundamental interactions
- They suggest theoretical refinements in NRQCD modeling;
- And to extend searches to broader  $m_{t\bar{t}}$  ranges