

Does a system do what its supposed to do?

SPEC (specification of behavior you want)

↳ written in natural language / logic

BEHAVIOR : sequence of actions

Are sequence of actions good enough to capture all aspects of behavior?

Model Checking

↳ check systems w/  $10^{600}$  states

Design of Vending Machine

$$\Sigma = \{\$1, COF, TEA, \overline{COF}, \overline{TEA}\} \text{ (actions = alphabet)}$$

↳ \$1 = put in a dollar

↳ COF = button of coffee

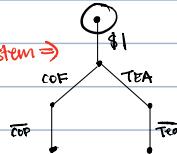
↳  $\overline{COF}$  = dispense coffee

\* actions may be rejected, unlike DFA

\* systems may be indeterminate

↳ do action, & next state is not fixed, like NFA

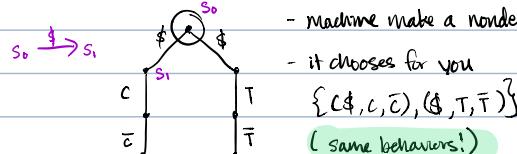
Interactive system  $\Rightarrow$   
(determinate)



2 possible behaviors:  $\{(\$, c, \bar{c}), (\$, T, \bar{T})\}$

↳ w/o any money, those behaviors are rejected

Indeterminate Machine: (same state may lead to 2 actions)



- machine make a nondeterministic choice
- it chooses for you

$\{(\$, c, \bar{c}), (\$, T, \bar{T})\}$

(same behaviors!)

Sequences do not capture all aspects of behavior. We need to capture branching.

Definition of Bisimulation

An equivalence relation on states " ~ "

ex.  $s \sim t$  means you can't tell whether you start from  $s$  or from  $t$

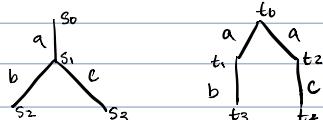
↳ ex can look @ start states of vending machine \*

$s \sim t$  (bisimilar)

$$\begin{aligned} \forall a \text{ (ACTION)} \quad & s \xrightarrow{a} s' \quad \exists t' \text{ s.t. } t \xrightarrow{a} t' \text{ and } s' \sim t' \\ & \& \forall a \quad t \xrightarrow{a} t' \quad \exists s'' \text{ s.t. } s \xrightarrow{a} s'' \text{ and } s'' \sim t' \end{aligned}$$

- every state is bisimilar to itself
  - bisimulation is co-inductive
  - ↳ start from the dead states
- $s \sim t$  are similar (behave same)  
if for any action on  $s$  to  $s'$   
I should be able to match it  
w/ the same action of  $t$   
& reach  $t'$   $\Rightarrow s \sim t$  are  
still related.

ex. vending machine



Found: \*  $s_0 \sim s_3 \sim t_3 \sim t_4$  :: dead states; last states & can't do anything

\*  $s_1 \not\sim t_1$ , NO  $s_1 \xrightarrow{?} t_1$ ,  $s_1 \xrightarrow{?} t_2$

↳  $s_1 \xrightarrow{?} s_3$  & not possible for  $t_1$  (i.e. only  $t_1 \xrightarrow{?} t_3$ )

\*  $s_0 \not\sim t_0$ , NO

$s_0 \xrightarrow{a} s_1$ ,  $t_0 \xrightarrow{a} t_1$  BUT  $s_1 \not\sim t_1$ ,

then,  $t_0 \xrightarrow{a} t_2$  BUT  $s_1 \not\sim t_2$

∴ matches for only one action but not continuous matching

15/10/2018

LINGUISTICS

- how can we define languages?

- other languages that cannot be defined

ex. regular languages

↳ defined by regex

↳ recognized by finite automata (recognize strings)

↳ pumping lemma to prove L is NOT regular

g

For linguistics, the goal is to generate strings by Grammar

Context-Free Grammar (Chomsky) (CFG)

Definition of CFG:

- consists of 4 things

① a set of symbols ( $\Sigma$  or  $T$ ) called terminals

② another set of symbols (disjoint w/ ①) called non-terminals ( $V$ )  $\Rightarrow V \cap T = \emptyset$

③ a special non-terminal  $S \in V$  : the start symbol

④ a finite set of rules for productions

↳ Forms of production: (w/ a nonterminal, a rule allows you to write to the string  $\alpha$ )

$$A \in V \quad A \xrightarrow{\text{rule}} \alpha \quad \alpha \in (V \cup T)^*$$

↳ string can have some variables & terminal symbols  $\Rightarrow$  can't be rewritten

↳ can only have T on the left side

Example:

$$T = \{a, b\} \quad V = \{S\}$$

$$S \rightarrow E \quad (\text{take start symbol & erase it})$$

$$S \rightarrow aSb \quad (\text{to mixed string})$$

\* example strings in the language

$$S \rightarrow aSb \rightarrow a \underset{\substack{\text{a} \& b \\ \text{remains}}}{\cancel{S}} b \rightarrow aa \underset{\substack{\text{a} \& b \\ \text{remains}}}{\cancel{aSb}} bb \rightarrow aaabbba$$

used ( $S \rightarrow E$ )

rewrote of S, ignoring context ( $\therefore$  context free)

$\therefore L(G) = \{a^n b^n \mid n \geq 0\}$

All regular languages are context free

\*  $a^n b^n c^n$  is not CFG!

## Language of Arithmetic Expressions

$$T = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, +, *, (), ,\}$$

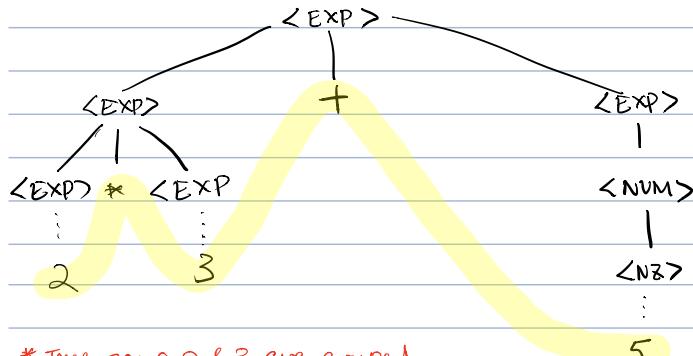
$\ast \langle \text{NUM} \rangle \rightarrow 0 \mid 1 \mid \dots \mid 9 \mid \epsilon$  :  $\langle \text{NUM} \rangle$  can be 0 or a nonzero number (2 rules, separated w/ '|')

$$\ast \langle \text{N3} \rangle \rightarrow 1 \mid 2 \mid \dots \mid 9 \mid \epsilon$$
 :  $\langle \text{N3} \rangle$  has 10 diff rules ( $\langle \text{n} \rangle, 2 \langle \text{n} \rangle, \dots, 9 \langle \text{n} \rangle, \epsilon$ )
$$\ast \langle \text{N} \rangle \rightarrow 0 \mid 1 \mid \dots \mid 9 \mid \epsilon$$

$$\ast \langle \text{EXP} \rangle \rightarrow \langle \text{EXP} \rangle + \langle \text{EXP} \rangle \mid \langle \text{EXP} \rangle * \langle \text{EXP} \rangle \mid (\langle \text{EXP} \rangle) \mid \langle \text{NUM} \rangle$$

Sequences don't tell the story!

$$\text{ex. } 2 * 3 + 5 = 11 \quad \therefore \text{BEDMAS} \quad (\text{more in prof notes})$$



\* Tree says 2 & 3 are grouped more tightly & 5 is more loosely bound.

↳ math grammar is ambiguous

↳ math expressions itself don't tell us BEDMAS

( $\therefore$  there's the underlying function of trees)

## Ambiguous Grammar

Definition: Grammar is ambiguous if there are 2 distinct parse trees for the same string

↳ property of Grammar, NOT language

\* but there are languages where it's impossible to design ambiguous grammar

↳ called inherently-ambiguous languages ( $\Leftarrow$  NOT for us this class)

17/10/2018

Recap: CFG & CFL (a language that can be defined by a CFG)

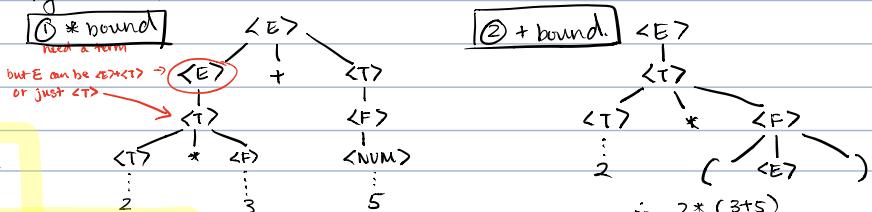
- no unique grammar for a language

- ex.  $2 * 3 + 5$

want this part  
to be grouped more closely

-  $V = \{\langle E \rangle, \langle T \rangle, \langle F \rangle\}$  not showing  $\langle N \rangle$  etc.

Start  $\langle E \rangle$  term factor



$\langle E \rangle \rightarrow \langle E \rangle + \langle T \rangle \mid \langle T \rangle$   $\Rightarrow$  an expression can be ① an exp plus a term or ② just a term

$\langle T \rangle \rightarrow \langle T \rangle * \langle F \rangle \mid \langle F \rangle$   $\Rightarrow$  terms can have products; all left rewrites

$\langle F \rangle \rightarrow \langle E \rangle \mid \langle \text{NUM} \rangle$   $\Rightarrow$  factors can be an expression or a number

\* but not the same string ( $\therefore$  e. has  $( )$ )

Tree structure is important for meaning!

ex.  $\{a^n b^{2n} \mid n \geq 0\}$  NOT REGULAR  
 $S \rightarrow aSbb \mid E$

ex.  $(( )) ( ) ( )$  How do you define a balanced sequence?

- ex in HTML  $\Rightarrow$  Every prefix has greater or equal # of left parentheses "(" as right ")"
- CFG is good for matching tags

ex.  $L = \{x \in \Sigma^* \mid x \text{ has as many } a's \text{ as } b's\}$

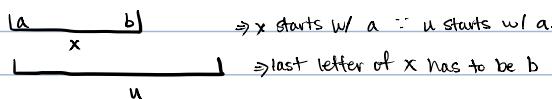
$$d(x) = \#_b(x) - \#_a(x) \Rightarrow \text{define function } d(x) = (\# \text{ of } b's \text{ in } x) - (\# \text{ of } a's \text{ in } x)$$

$$L = \{x \mid d(x) = 0\}$$

Suppose  $u \in L$  &  $u$  starts w/ an  $a$

$$u = aw \quad d(w) = 1 \quad (w \text{ has at least one } b \text{ more than } a)$$

Let  $x$  be the shortest prefix s.t.  $d(x) = 0$



$$x = avb$$

$$u = avby$$

$\therefore (avb) \& u$  has an equal # of  $a's$  &  $b's$

$\therefore y$  also has an equal # of  $a's$  &  $b's$

$\therefore v, y \in L$

$$\therefore S \rightarrow aSbS \mid bSaS \mid E, \quad \text{OR} \quad S \rightarrow aSb \mid bSa \mid SS,$$

↳ are these 2 grammars equivalent?

Need to prove 2 things:

- ① Any word generated is in  $L$       } proof in prof notes
- ② Every word in  $L$  can be generated      }

### Chomsky Normal Form

$\Rightarrow$  Every CFG has a grammar in which the rules are of a very restricted form.

$$N \rightarrow a \quad (\text{one non-terminal goes to one terminal})$$

$$A \rightarrow BC \quad (\text{one non-terminal goes to two non-terminals})$$

The only non-terminal allowed to go  $E$  is the start terminal.

$$S \rightarrow E \mid aSa \mid bSb \mid a \mid b$$

single word palindromes

If the word starts w/ an  $a$  or  $b$ , it also needs to end in  $a$  or  $b$

$$\Rightarrow ① S \rightarrow aSb \mid bSa \mid SS \mid E$$

Claim: Any word generated has  $\#_a(w) = \#_b(w)$

$\Rightarrow$  pairs  $aSb$  &  $bSa$  says every  $a$  comes w/  $b$  & every  $b$  comes w/  $a$ . (PROVED)

Any word w/ equal # of  $a's$  &  $b's$  can be generated.

Proof: By induction on the # of  $a's$

Base: use  $E$  string (i.e. w/ farest # of  $a's$ )  $\therefore a\# = b\# = 0$

Inductive Step: Assume any string w/ up to  $n$   $a's$  can be generated & consider string w/  $n+1$   $a's$

ex.  $awb$   $S \rightarrow aSb \rightarrow \dots awb$  by inductive hypothesis  
 $\hookrightarrow$  if whole string has  $a\# = b\#$ , then so does  $w$ .  
 $\therefore$  if whole string has  $(n+1)$   $a's$ , then  $w$  has  $n$   $a's$

Suppose  $w$  begins & ends w/ a (same letter)

$$w = aw^i a \quad w^i \text{ has 2 more } b's \text{ than } a's$$

$$w^i = \underbrace{w_1 w_2 \dots w_n}_{(i \text{ extra } b)} \quad \left. \begin{array}{l} \text{balanced} \\ \text{balanced} \end{array} \right\} \Rightarrow w = \underbrace{aw_1}_{(i \text{ extra } b)}, \underbrace{w_2 a}_{(i \text{ extra } b)}$$

$$\therefore S \rightarrow aw_1, \quad S \rightarrow w_2 a$$

$$\therefore S \rightarrow SS \rightarrow \dots aw_1 w_2 a$$

\* If you have a function like  $d$  which can only change by  $\pm 1$  at each step & it starts out -ve & ends up +ve

It must hit zero

19/10/2018

- Recognizing context-free languages (Pushdown Automata)

↳ CFL described through CFG

- ex. Regular language

- describe through regex

- recognize w/ DFA/NFA/NFA+ $\epsilon$

### Pushdown Automata

- It's a stack machine

- composed of a DFA + 1 Stack

ex. How do we recognize  $L = \{a^n b^n \mid n \geq 0\}$ ?

- nonregular language (via pumping lemma)

- can't used finite automaton b/c they can't do unbounded counting

$\hookrightarrow$  by stacks are unbounded (~infinite memory)

\* CFL = Recognized by PDA

$\Rightarrow$  default PDA is nondeterministic

Definition: PDA

Q: set of states

$\Gamma$ : stack alphabet (usually includes the  $\Sigma$  + some extra symbols)

$\Sigma$ : input alphabet

$\hookrightarrow$  not actually reading these symbols, used to keep track of things on the stack

$s_0$ : start state

$F \subseteq Q$ : a family of accept states

$$\Sigma_\epsilon = \sum \cup \{\epsilon\}$$

$$\Gamma_\epsilon = \Gamma \cup \{\epsilon\}$$

$f: Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow P_{fin} (Q \times \Gamma_\epsilon)$  : transition function

- looks @ state ( $Q$ ) & input alphabet ( $\Sigma$ ) & top of stack ( $\Gamma$ )

- the move is nondeterministic ( $\rightarrow P_{fin}$ ) [i.e. a finite set of things that can happen / outcomes]

↳ these outcomes corresponds to a new state & new thing on stack ( $Q \times \Gamma$ )

↳  $\wp$  stands for power set (finite); can be  $\epsilon$

### Functions of PDA

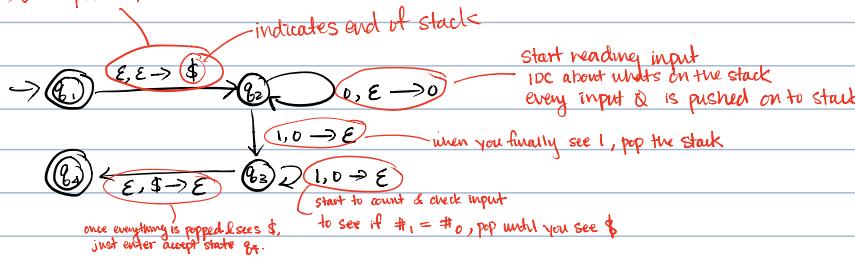
- look @ input & top of stack & pop stack, push new symbol & change state  
& does not have to do all these functions (i.e. can just only change state)

- notion of acceptance: some path may lead to accept state, & just accept

Example PDA:  $L = \{0^n 1^n 1^n \mid n \geq 0\}$

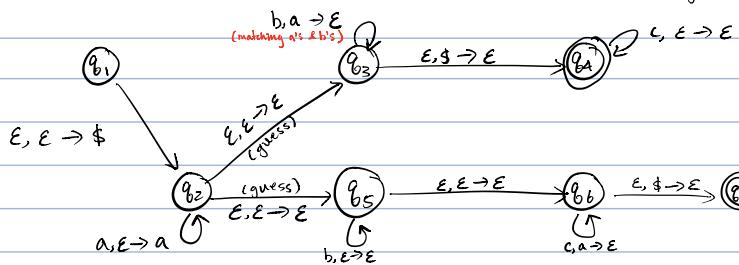
don't look @ input & existing stack  
→ just push  $\$$  on stack

This machine is deterministic



Ex.  $L = \{a^i b^j c^k \mid i, j, k \geq 0 \text{ & } i=j \text{ OR } i=k\}$

Now design a CFL



22/10/2018

Important Recap for PDA: (more detailed in prof notes)

PDA: 2 Distinct Notions of Recognition/Acceptance

① Acceptance only happens @ the end of the input

① Accept by having accept states

⇒ have to reach the end of string & see if its at an accept state

② Accept by empty stack; @ end of string, is stack empty?

② A PDA cannot decide to jump when there are moves possible

② There are NO accept states

③ When there are choices, PDA can elect any choice it wants ⇒ includes  $\epsilon$

\* PDAs are equivalent to CFLs (PDA  $\leftrightarrow$  CFL)

⇒ If  $L$  can be recognised by a PDA, then there is a CFG for the  $L$

⇒ If its a CFL, it can be recognised by a PDA

\* DPDA  $\longleftrightarrow$  DCFL (deterministic CFLs)

⇒ used to write parsing algorithms

### DPDA Definition

$f: Q \times \Sigma \times \Gamma \longrightarrow (Q \times \Gamma) \text{ OR } \emptyset$

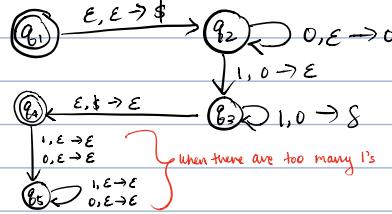
(unlike PDAs, there can be at most ONE outcome)

For every  $q \in Q$ ,  $a \in \Sigma$ ,  $x \in \Gamma$  exactly one of

$$\begin{cases} f(q, a, x) \\ f(q, a, \epsilon) \\ f(q, \epsilon, x) \\ f(q, \epsilon, \epsilon) \end{cases}$$

is non-empty

DPDA for  $L = \{a^n b^n \mid n \geq 0\}$



\* In the PDA of  $L$ , there were no transitions coming out of  $q_4$

### Context Sensitive Languages (NOT STUDIED)

⇒ can only use rule, if within a certain context

... to then do a transition

ex. intersection of 2 CFLs → a CFL?

$$\{a^n b^n c^m \mid m, n \geq 0\} \cap \{a^m b^n c^n \mid n, m \geq 0\}$$

$$\Rightarrow \{a^n b^n c^n \mid n \geq 0\} \text{ NOT CFL}$$

### Decoration of Arrows

$$a, b \longrightarrow c$$

MEANS: see  $a$  in the input &  $b$  on top of stack

replace  $b$  w/  $c$  on the stack

\*  $a, b, c$  can be  $\epsilon$

↳ if  $a = \epsilon$ : don't look @ input

↳ if  $b = \epsilon$ : just push  $c$

↳ if  $c = \epsilon$ : just pop  $b$

### Theorem:

① Every CFL is recognized by some PDA

② Every language recognized by a PDA is a CFL

COR The intersection of a CFL & a regular language

is a CFL

- ∵ CFL is recognized by PDA

- & regular language is recognized by a DFA w/ no stack

∴ You can run both in parallel

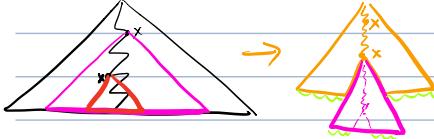
The intersection of 2 CFLs may not be context free / CFL



## Pumping Lemma for CFL (Important for Final Exam)

The machine (PDA) is not a finite memory machine, but we need it to apply pumping lemma

\* There are finite # of variables / NT in CFL **STEPS** - make parse tree (shortest one if ambiguous)



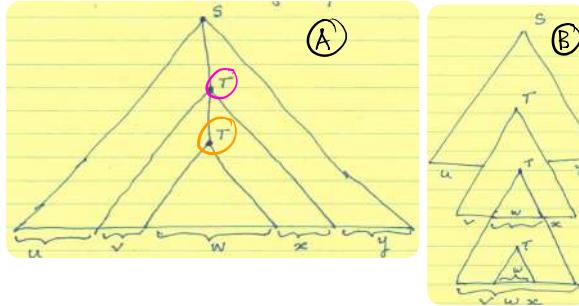
\* ∵ pumping is happening in 2 diff places  
2 diff strings are getting pumped together

- somewhere along the way, it'll hit a NT twice (i.e. x)
- ↳ each NT generates a portion of the string
- we're going to cut off lower x branch
- insert the higher x tree into the pt removed
- can see repetition in 2 places

## 26/10/2018

### Pumping Lemma for CFL (more in prof notes)

⇒ there are finite # of trees of a given height (∴ of finite # NT & rules)



**(A)** this whole string is generated from start symbol S

- going down tree & hit first T, see it generates 'vw'
- 2nd T generates w
- ★ both v & x cannot be empty, one of them can but NOT BOTH

**(B)** - once I do surgery, there are 2 v's & x's; string =  $uv^2wv^2y$

$$\therefore \exists i \geq 0 \quad uv^i w v^i y \in L$$

forall CFLs  $L \exists p \geq 0$   
 ∀  $s \in L \quad |s| \geq p$   
 $\exists u, v, w, x, y \in \Sigma^*$  s.t.  
 •  $s = uvwxy$   
 •  $|vwx| \neq 0$   
 •  $|vwx| \leq p$   
 $\forall i \geq 0 \quad uv^i w v^i y \in L$

Fix  $L$  some language  
 ∀  $p \geq 0$   
 $\exists s \in L \quad |s| \geq p$   
 $\forall u, v, w, x, y \in \Sigma^*$   
 $s = uvwxy$   
 $|vwx| > 0$   
 $|vwx| \leq p$   
 $\forall i \geq 0 \quad uv^i w v^i y \in L$

Then  $L$  is not context-free

$\Sigma = \{a\}$  very special

More examples Regular = CFL

Ex.  $\{0^i 1^j \mid j = i^2\}$  Not CFL

$A \rightarrow p$

$J = 0^p 1^{i^2}$

$A = u, v, w, x, y. \quad uvwxy = 0^p 1^{i^2}$

$|vwx| > 0 \quad |vwx| \leq p$

cases: a)  $vwx \rightarrow$  all zeros / pick  $i \neq 1$

b)  $vwx \rightarrow$  all ones / pick  $i \neq 1$

c)  $v$  or  $x$  overlaps the boundary / pick  $i = 2$   
 $0's \& 1's$  out of order

d)  $v$  is all 0's,  $x$  all 1's

If either  $|v|=0$  or  $|x|=0$ , just pick  $i=2$ .

e) suppose  $|v| \neq 0, |x| \neq 0 \quad |v|=m, |x|=g$

$m > 0, g > 0$

pick  $i=2 \Rightarrow$  New string is  $0^{p+m} 1^{p^2+g}$

for every CFL  $L$ , ∃ some num  $> 0$

if you take any string in the language, the length of string  $\geq p$

then its possible to break the string into 5 pieces

↳ individual pieces may not be in string but concat of 5 is

$|vwx| \leq p \Rightarrow v \& x$  can't be too far apart

ex. show  $L = \{a^n b^n a^n \mid n \geq 0\}$  is not CF

IN PROF NOTES

(more examples)

ex.  $L = \{a^{i+j} b^{j+k} c^{i+k} \mid i, j, k \geq 0\}$  ★ final question

He went through all exs in prof notes

## 29/10/2018

Question: is it possible that  $(p+m)^2 = p^2 + q^2$ ?

$$(p+m)^2 = p^2 + m^2 + 2pm$$

$$2pm > p \quad (\because m > 0, \therefore 2m > 1 \therefore 2pm > p)$$

$$\therefore 2pm + m^2 > p > q$$

$$\therefore p^2 + 2pm + m^2 > p^2 + q^2 \Rightarrow \text{can't be equal & out of } L$$

Ex.  $\{a^p \mid p \text{ prime}\} \rightarrow$  do directly using CFL pumping.

Ex.  $L = \{a^i b^j c^k \mid i < j < k, i, j, k \geq 0\}$

$A \rightarrow p \quad I \rightarrow a^p b^{p+1} c^{p+2} \quad [uvwxy; |vwx| > 0; |vwx| \leq p]$

case: a) if  $v$  or  $x$  straddle boundary, pick  $i=2$ , symbol out of order

b) suppose  $v = a^k x = b^j \quad k, j > 0$

choose  $i=2 \Rightarrow a^{p+k} b^{p+1+j} c^{p+2}$

c)  $v = a^k, x = \epsilon$

choose  $i=2, \mid k \mid \geq 1$

$a^{p+k} b^{p+1} c^{p+2}$

↳ condition is violated

↳ violation

d) similar cases when  $v$  consists of  $b^i$ 's

\* NEXT study limits of computability

$\delta \times$  consists of c's [pump down]

- complement of CFL is not necessarily CFL
- DCFL has complement that is also DCFL  
 $\hookrightarrow$  10
- regular  $\cap$  CFL = CFL

31/10/2018

An algorithm is of precise specification in terms of basic steps & there should be termination proof

⇒ Turing Machine,  $\lambda$ -calculus, combinatory logic, primitive recursive functions

\* Goal: To build one machine & read software

### Turing Machines

Q: finite set of states

q<sub>a</sub>: special accept state } always only one of these

$\Sigma$ : input alphabet  $\Rightarrow \{\sqcup\} \notin \Sigma$

q<sub>r</sub>: special reject state }  $\Rightarrow$  Both q<sub>a</sub> & q<sub>r</sub> are stepping states (once reached, no transition/ no leaving these states)

$\Gamma$ : contains  $\Sigma \cup \{\sqcup\}$  (blank symbol)

Tape Alphabet (finite # symbols)

↳ machine can never halt (go back & forth)

It's a finite state machine (FSM) + "tape"

Tape: is semi-infinite (has starting point, no end pt)

- is divided into cells:  $\boxed{1} \boxed{1} \boxed{1} \boxed{1} \boxed{1} \boxed{1} \boxed{1} \boxed{1} \boxed{1}$

- write a tape alpha in a cell (can't put real #'s; not finite)

Has a read head; reads one symbol / cell at a step

↳ has a transition state:  $S: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$   $\Rightarrow$  A transition can only depend on a finite amount of info (i.e. one symbol, memory etc.)

↳ looks @ current state & sees current symbol

move

responds: ① change state

Input is always a finite word!!

↳ only can see only one symbol

② new symbol in cell (avenue)

@ a time

③ move: L/R

### Halting Problem

⇒ There is no algorithm that can take a "description" & the input of the program & decide whether the program halts on the input (UNIVERSAL THEOREM)

### Proof of Halting Problem

S → program (suppose S is a program)  $\Rightarrow$  Assume H exists:

#S → code of program S

S(x): run program S on input x

S(x)↓: terminates

S(x)↑: diverges/runs forever

"p" P(x) := if H(x, x) then loop; else halt

P(#P) does it halt?

$\Rightarrow$  if H halts then H(#P, #P)  $\rightarrow$  T

but then we end up looping  $\otimes$

$\Rightarrow$  perhaps P(#P) does not halt

H(#P, #P)  $\rightarrow$  else halt  $\rightarrow$  F  $\otimes$  Also CONTRA

$\therefore$  H exists cannot be true

Halting problem  
H takes #S  
& input x  
& answers either  
halts or no halt

### Models of Computation

\* A Turing Machine w/ extra tape is very useful! (each tape w/ their own head)

↳ same power as an ordinary TM

\* Adding non determinism does not increase the expressive power

\* What if I had 2D tape?  $\rightarrow$  same power as TM

### Better machines:

⇒ Random Access Machines (RAM) = TM = TM variants =  $\lambda$ -calculus = Java = C/C++/C# ...

= FSM + 2 stacks = 2-counter machines = while programs

2/11/2018

TM example in prof notes (CFG tho)

What is a language accepted by a TM?

language of TM  $\Rightarrow \{w \in \Sigma^* | M \text{ halts on } w \text{ & accepts}\}$

↳ If a word is not in L(M), then the TM may reject or fail to halt

↳ Turing recognizable = computably enumerable

If L can be recognized by a TM.

⇒ Turing decidable = decidable = computable (a set is decidable)

= is a subclass of turing recognizable

↳ The TM will always halt & give a yes/no answer

↳ all FCL are decidable

↳ always one output, can have multiple inputs



→  $f \rightarrow g \rightarrow$  } equivalent abstraction example  
→  $gof \rightarrow$

↳ can get  
yes/no  
not always NO

⇒ A computational device is abstracted as a function (i.e. looking only @ inputs) & output, not what's happening in blackbox)

↳ however, we really need partial functions

If  $f$  is a partial function

$f(x)=y$  is one possible result

OR  $f(x)$  may not be defined

$\text{dom}(f) = \{x | f(x) \text{ is defined}\}$  ⇒ domain of  $f$  are the possible inputs that may produce an answer

$\text{range}(f) = \{y | \exists x \text{ s.t. } f(x)=y\}$  ⇒ range = possible outputs

$\text{dom}(f) = X \Rightarrow \text{total functions}$  (special case of partial functions)

⇒ If  $\text{dom}(f) = X$ , then  $f$  is TOTAL

## Data types & Coding

\* Input may be ints, str or anything ⇒ DOES NOT MATTER

↳ any type can be encoded in other types.

\* 2 integers can be coded up as 1

ex.  $(n, m) \rightarrow 2^n 3^m$  (if I have a pair of ints, I can represent it as  $2^n 3^m$ )  
any pair is uniquely encoded

ex.  $(n, m, p) \rightarrow ((n, m), p) = 2^{2^n} 3^m p$

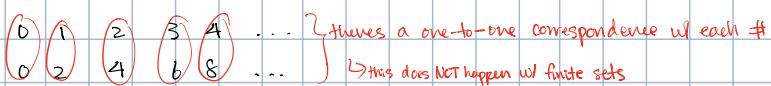
∴ Any finite sequence can be coded this way!

$\mathbb{N} \times \mathbb{N} \leftrightarrow \mathbb{N}$  (bijection)

## Technical Definition of Infinity ( $\infty$ )

\*  $X$  is  $\infty$  if it is bijective with a proper subset of itself

ex.  $\mathbb{N}$  & even  $\mathbb{N}$



\* A set is countable if it's bijective with  $\mathbb{N}$

\* Fact: there is no bijection b/w  $\mathbb{N}$  &  $2^\mathbb{N}$

↳ If I look @ sets of all subsets of  $\mathbb{N}$ , it's strictly bigger than  $\mathbb{N}$

↳ proof by diagonalization

\* Fact: no bijection w/  $\mathbb{N}$  &  $\mathbb{R}$

no bijection w/  $\mathbb{R}$  & real value functions

\* Fact: a countable union of countable sets is still countable

↳ have infinite many sets, # of sets is countable, each set is  $\infty$ , & the union of these sets are still countable

The space of functions are NOT countable

\* Fact: There are countably many TMs ⇒ algorithms ⇒ computable functions

## 5/11/2018

Recap:  $f$  = partial function ⇒ so might not even halt in finite time

A function is computable if there's an algorithm to compute it

A set is decidable if there is an alg A which always halts &

answer Y/N to the membership query

↳ an algorithm that can answer whether an element belongs to that set

↳ has to be an always terminating alg that gives Y/N

↳ # of alg is countable; each alg is written w/ finite string & # of diff finite string is countable

⇒ decidable = computable, language = set, computable function, decidable set

↳ = Turing Decidable (i.e. there is a TM that answers the ques) = generic algorithms (ex. Java)

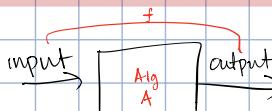
Turing Recognizable = Computationally Enumerable (CE) (with an input)

↳ means a TM will list all the members of a CE set in no particular order. If an element is in such a set, you'll see it eventually. If its not in the set, you might wait forever

↳ a Semi-decidable problem: can prove yes but not no

↳ The halting problem is a CE problem. Given a description of TM & input & ask: does this TM halt w/ this input? It's CE or semi-decidable

↳ decision procedure: run simulator & hope it eventually stops



↳ if we don't look inside, we have a purely functional view ( $f$ )

↳ if we look inside, we see algorithm A

(Algorithm A computes the function  $f$ )

(Function  $f$  is defined by algorithm A)

Theorem (More detailed in prof notes)

An set  $X \subseteq \mathbb{N}$  is decidable (i.e.  $\exists$  alg that answers Y/N) iff  $\exists f$  a total (i.e. always halts) computable function whose range is  $X$ .

Proof Suppose  $X$  is the range of a TCF  $f$  with algorithm A (A always halts  $\therefore$  total). There is a decision procedure for membership in  $X$ : (A is computing function  $f$ ) Given  $n$ , run A on  $0, 1, 2, 3, \dots$  look @ outputs from A. If one of these outputs is  $n$ , we say "YES" &  $n \in X$ . If we get output  $m$ , where  $m > n$ , then we know  $n \notin X$  ( $\because f$  is nondecreasing).

Look @ output  $\Rightarrow$  Suppose  $X$  is decidable, so B is an algorithm to decide it. B must always halt.

Output nth element on the list

What does B decides  $X$  mean?

$\Rightarrow$  give a # to B and ask if this # is in  $X$ , B will stop & give you answer (Y/N)

Now define f as follows:

Run B on  $0, 1, 2, \dots$  every time we get a # in  $X$ , add to list.

When we have  $n$  elements on list, we output it. Defined to be  $f(n)$  (nth element is output)  $\hookrightarrow \therefore$  range of  $f = X$

Suppose  $X \subseteq \mathbb{N}$ , we define an indicator function / characteristic function

$$I_X(n) = \begin{cases} 1 & \text{if } n \in X \\ 0 & \text{if } n \notin X \end{cases}$$

A set is computable if its indicator is computable

Semi-characteristic Function of  $X$

$$S_X(n) = \begin{cases} 1 & \text{if } n \in X \\ \text{undefined} & \text{if } n \notin X \end{cases}$$

Theorem: A set  $X \subseteq \mathbb{N}$  is CE (iff) any one of the following equivalent conditions hold.

- $X$  is the domain of a computable  $f^n$  (not necessarily TOTAL) (There's some computable function whose domain is  $X$ )
- $\exists x$  is computable (There's a specific computable function, whose domain is  $X$ )
- $X$  is the range of a computable  $f^n$  (print output rather than input)

Proof: Clearly (ii)  $\Rightarrow$  (i)

Claim: CE  $\Rightarrow$  (ii) & CE  $\Rightarrow$  (i)

CE means I have an alg (not halting) that outputs members of  $X$ , not need increasing order (random order)

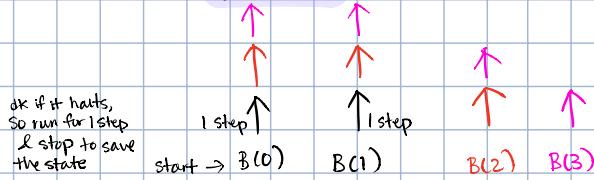
Given an enumerator, want to know if  $S_X(n)=1$  (is  $n$  in set  $X$ )

$\hookrightarrow$  just wait for the enumerator

FINAL (i)  $\Rightarrow$  CE Suppose we have an alg B to compute  $f$  &  $\text{dom}(f) = X$

$\hookrightarrow$  we can't just run B on  $0, 1, 2, \dots$  b/c B may not halt on some of its inputs

Use DOWNTAILING



Any given one of these may run forever but if any  $B(n)$  halts, we will eventually find out. We advance computation on increasingly large # of inputs.

If B halts on any input, we print value & continue

Theorem The union & intersection of 2 CE sets is CE. (HW QUES)

Union: To see this, run the enumerators for  $X, Y$  in parallel. If its in either  $X$  or  $Y$ , output value.

$\hookrightarrow$  for finite unions

\* infinite CE sets

Post Theorem:

- If  $X$  is computable, it is CE
- If  $X$  is CE &  $\bar{X}$  is also CE, then  $X$  is decidable

$\hookrightarrow$  proof: run enumerators of  $X$  &  $\bar{X}$  in parallel & will definitely get Y/N answer

\* Remember pairs of numbers can be coded as a single #

$\mathbb{N} \times \mathbb{N} \leftrightarrow \mathbb{N}$  (This map is total computable)

$\langle n, m \rangle \in \mathbb{N}$  encode 2 #s  $n, m$  into one #  $\langle n, m \rangle$

**Post Theorem 2:** A set  $X \subseteq \mathbb{N}$  is CE iff  $\exists$  a computable set  $Y \subseteq \mathbb{N} \times \mathbb{N}$  s.t.  
 $\forall x \in X \quad x \in X \Leftrightarrow \exists y \in \mathbb{N} \text{ such that } (x, y) \in Y$

**Proof:** If we have such a  $Y$ , we can see that  $X$  is CE (since  $Y$  is decidable)

Suppose  $X$  is CE, how do we construct  $Y$ ?  $Y$  has to be total computable

Take  $Y = \{(x, n) \mid A \text{ enumerates } x \text{ in } n \text{ steps}\}$  Suppose  $A$  is the enumerator for  $X$

We can count how long  $A$  runs.

↳ Do you produce  $X$  in  $n$  steps?

Yes, then code up  $(x, n)$  & put in  $Y \Rightarrow$  Decidable! "Can it halt in  $n$  steps?"

$\therefore \forall x \in X \Leftrightarrow \exists n \in \mathbb{N} \quad (x, n) \in Y$

↳ decidable + quantifier  $\Rightarrow$  CE

11/8/2028

Reduction:

- reduce hard problems to the halting problem

↳ If I solve this problem, I've ~~would~~ solved the halting problem

P reduces to Q : if solve Q, can solve P : Q is harder than P

( $P \leq Q$ )

ex. want to prove that Q is undecidable, so take a problem 1K is undecidable (e.g. halting problem)

① Take an instance of halting problem

② Modify to create an instance of Q

③ Assume Alg for Q exists, & can solve Q

④  $\therefore$  able to solve halting problem.

But know halting p is impossible  $\Rightarrow$  alg of Q is impossible & Q DNE

\* always start by assuming that Q exists

88.6 psych.

82 A-



55 → 91.8

3.2

0.11764706

3.0

EIM, set of all TM descriptions such that it rejects every word

\* write a TM program

① TM program ignores input

② Simulate M on w

③ If it halts, accept input

$\therefore L(M') = \emptyset$  if  $M(w) \in \uparrow$  (if M doesn't halt, you don't accept anything)

$L(M') = \Sigma^*$  if  $M(w) \downarrow$  (if M halts on w, you accept everything)

CE  $\rightarrow$  HP, AP, not in class of computable prob, EMPTY

coCE  $\rightarrow$  able to give no. If y answer, may never halt

(complementarity) ↳ EMPTY (run any input /# on empty ... it'll return no.)

↳ dovetailing

- HP, AP

If prob is both CE & co-CE = decidable

Halting problem recap:

Assume  $H(\#s, x)$  is the program for the halting problem.

Assume  $H$  exists

Now we define program  $P(x) := \text{if } H(x, x) \text{ then loop; else halt}$

Assume  $P$  is a valid program, then according to the halting prob,  $P$  should halt

$\hookrightarrow P(\#P)$  halts then  $H(\#P, \#P)$  is true & will loop (contradiction)

Now assume  $P$  is an invalid program, so it shouldn't halt

$\hookrightarrow P(\#P)$  does not halt, then  $H(\#P, \#P)$  goes to else halt (contradiction)

$\therefore H$  cannot exist.

Language accepted by a TM:  $L(M) = \{w \in \Sigma^* \mid M \text{ halts on } w \text{ & accepts}\}$

Turing recognizable/computably enumerable: if a language of the TM accepts for if a certain string is within that language, but not necessarily reject if that string is not in  $L$ .

Turing decidable/decidable: a subset of enumerable, where TM can give a yes & no answer of whether a string is in the  $L$  recognised by the TM.

$\therefore$  an enumerable  $L$  is not necessarily decidable

a decidable set is enumerable

$\text{dom}(f) = \text{the # of inputs that produce an output} \subseteq X$  ( $X$  is all possible inputs).  $\therefore$  not all inputs produce an output

$\text{range}(f) = \text{possible outputs}$

$f$  is Total if  $\text{dom}(f) = X$  [all possible inputs produce an output]

ex. enumerable language that is not decidable

$A_M = \{\langle M, x \rangle \mid M \text{ accepts } x\}$

$H_M = \{\langle M, x \rangle \mid M \text{ halts on } x\}$

$\hookrightarrow H_M$  is enumerable but not decidable.

An  $\infty$  set  $x \in \mathbb{N}$  is decidable iff  $\exists$  total function  $f$  where  $\text{range}(f) = X$   
non-decreasing

A set  $X$  is CE if one holds:

①  $\exists$  a computable function w/  $\text{domain}(f) = X$

②  $\exists x$  is computable

①  $\Rightarrow$  ②  $\Rightarrow$  ③  $\Rightarrow$  ① ..

③  $\exists$  a computable function  $f$  w/  $\text{range}(f) = X$

proof ③  $\Rightarrow$  ①

We want to find an output of  $f$  that is in  $X$ . But since the function is not specified as non-decreasing & computable only in the sense of whether for an input  $\exists$  an output for  $f$ ... it may take forever to actually find an output that's in  $X$

proof ②  $\Rightarrow$  ③ : theorem of decidability.

proof ①  $\Rightarrow$  CE:

- have alg A to compute  $f$  w/  $\text{dom}(f) = X$

- run A on  $\mathbb{N}$  (i.e. 0, 1, 2...) but it may take forever for B to find a # that is in  $X$ ,  $\therefore$  may never halt

$\therefore$  Use dovetailing on countable infinite set  $\mathbb{N}$

The ① union & ② intersection of 2 CE sets is CE.

Assume 2 CE sets  $x \& y$

Alg A computes computable function  $f_1$ , where  $\text{dom}(f_1) = x$  &  $\text{range}(f_1) = X$

Alg B computes computable  $f_2$ , where  $\text{dom}(f_2) = y$  &  $\text{range}(f_2) = Y$

Run in parallel { Run A on 0, 1, 2... (i.e.  $\mathbb{N}$ ), but make take forever to find # of  $X$

Run B

use dovetailing  $\Rightarrow$  CE

XNY

$\text{dom}(x) \cap \text{dom}(y)$

Post Theorem:

- ① if  $x$  is computable, it's CE
- ② if  $x$  is CE &  $\bar{x}$  &  $(\bar{x})$  then  $x$  is decidable
- ③  $\forall x \ x \in X \Leftrightarrow \exists n \in \mathbb{N} (x_n) \in Y$   
 $x$  is CE;  $y$  is computable.

$P \leq Q \Rightarrow P$  reduces to  $Q \Rightarrow Q$  is harder than  $P$

claim: I have a alg to solve  $Q$

Solution: make  $P$  be of sth unsolvable (ex. halting)

① Take an instance of  $P$

② modify to create instance of  $Q$

③ then w/ supposed solution of  $Q$  can solve problem

BUT we know  $P$  doesn't exist!

Nov 12

$$L = \{wwl \mid w \in \Sigma^*\}$$

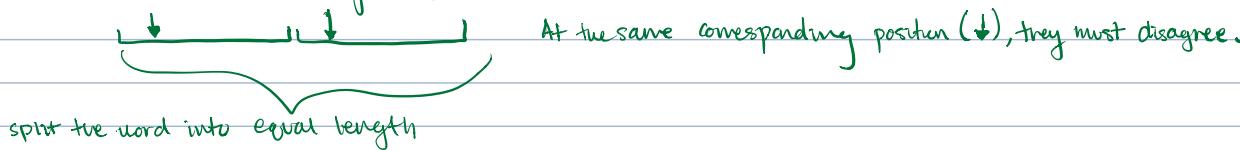
$L$  is a CFL & have grammar for  $L$

How to recognize  $L$  w/ a PDA? (Yes, by one that can make guesses)

Sketch of how the PDA works.

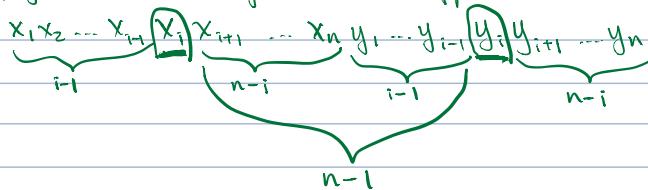
If the input has odd length, it's definitely in  $L$

Consider an even length word. To be net in  $L$ :



or guess a place where the mismatch occurs  
guess the middle.

$x_i y_j$ : is where disagreement happens



stack  $x_i$  to  $x_{i-1}$ , remembers  $x_i$

pop the stack as it reads  $x_{i+1} x_n y_1 y_2 ... y_{j-1}$   
length  $n-1 > i-1$

As soon as stack is empty, switch to stacking the letters again  
then @  $y_j$  it compares the letter w/  $x_i$ .

Now it reads the rest & pop the stack.

If the stack is empty @ the end, we know all the guesses have been remediated.

To see if  $w$  is in  $L'$  (complement of  $L$ ) when the word is even. It is trivial the word is in  $L'$  when word is of odd length.

The word should be able to split into 2 halves of equal length.

We chose a letter within the first half  $x_i$ . We get the same letter positioned at  $i$  in the second half:  $y_i$

If this word  $w$  is in  $L'$ , then  $x_i \neq y_i$

We do this by reading the word and putting the letters in a stack until we reach  $xi$  and remember its location. Once we reached  $xi$  (i.e. the number we want to compare with the other half of the word), we start popping letters off the stack for each letter we read. The stack will obviously eventually get empty. Once it's empty, we continue to stack letters until we reach  $yi$ . We now compare  $xi$  and  $yi$  and see if they're mismatched. If they are, we now have to confirm that they are at the right locations (i.e.  $i$ ). So we continue reading the word and pop. The stack should be empty at the end.

# Computability Theory Review

All functions in computability theory are partial functions

**Computable:** a function is computable if there is an algorithm to compute it

## PARTIAL FUNCTIONS

We chose to have **N** natural numbers as our data type (it can be anything actually)

- Its just prettier and in increasing order if we use N
- So a partial function  $f$  takes a natural number as an argument and MAY produce an outcome that is natural
  - If  $f$  produces an outcome  $m$  with argument  $n$ , then function  $f$  is **defined**
    - $f(n) = m$
- **Domain** of function: set of all values where partial function  $f$  is **defined**
- **Range** of function: set of values that  $f$  can return
- $g$  extends  $f$  if:
  - **Dom( $g$ ) = dom( $f$ )**, for all arguments in  $\text{dom}(f)$ :  $f(n) = g(n)$
  - $g$  can be defined for arguments where  $f$  is not!
- *An empty function is a **computable** function because it is nowhere defined*

## COMPUTABLE AND COMPUTABLY ENUMERABLE SETS

Suppose set  $X$  is a subset of  $N$ , and  $X$  has a total characteristic function

- $1x(n)$ : 1 if  $n$  is in  $x$ , 0 if  $n$  is not in  $x$

A set is **decidable/computable** if its total characteristic function is computable

- Computable sets have a **decision procedure**: a way which always terminates with a definite answer
  - Ex. Prime numbers is computable (have alg that takes input and decide whether is prime or not)
    - Since Y/N answer = always terminate
- $\langle M, x \rangle$  means the encoding of information of a TM and an input string
  - Sets where a  $M$  accepts  $x$  or  $M$  halts on  $x$  are NOT COMPUTABLE
- An **INFINITE** set  $X$ , of natural numbers is computable if and only if it is the range of some **total non-decreasing** computable function  $f$ . **Expected to halt**
  - Proof:
    - Assume  $X$  is infinite, so range of  $f$  is infinite
    - Suppose we have  $X$  and  $A$  an algorithm that computes it
    - So decision procedure for  $X$ :
      - Is a given  $x$  in  $X$ ?
      - Run  $A$  successively on 0, 1, 2, ... and look at outputs
      - If the output is  $x$ , we stop and say yes  $x$  is in  $X$
      - If output  $<x,$  we continue to next input
      - If output  $>x$ , we stop and  $x$  is not in  $X$
    - Now suppose  $X$  is computable and  $B$  algorithm decides whether  $x$  is in  $X$
    - Define  $f$ : given  $n$  as the input for  $f$ , run  $B$  successively on 0, 1, 2, ...
      - Every time we find a number in  $X$ , we store it in a list and count how many numbers we have seen
      - When we hit the  $n$ th number, return this output
      - Thus,  $f$  is computable and non-decreasing and has **range = X**

A set  $X$  is **computably enumerable (CE)** if there is an algorithm  $A$  that lists all the members of  $X$ , and only the numbers of  $X$ , in some arbitrary order. (Not like computable sets where they're ordered in non-decreasing order)

- **Not expected to halt**, halts only if its a FINITE set (bc it has to list all the elements in  $X$ )
- A set is CE if there is an algorithm for:
  - If an element is in set, algorithm is guaranteed to terminate and **say element is in set**
  - If element is not in set, it may terminate or loop forever
- If we wait long enough, we will get a YES for every element in the set
  - But elements will output in **arbitrary order**, so unsure of waiting time and presence

Set  $X$  is CE IIF any of the conditions hold

1.  $X$  is the domain of a computable function
  2. The **semi-characteristic** function of  $X$  is computable ( $Sx(n) = \{1| \text{ if } n \text{ is in } X; \text{ undefined} | \text{ if } n \text{ is not in } X\}$ )
  3.  $X$  is the range of computable function
- (2) immediately implies (1)

CE implies (2) Proof:

- Suppose A is an enumerating algorithm A for X
- To compute  $S_x(n)$ , a semi-characteristic function:
  - Run A and inspect list as it comes out, checking for n
  - If n appear on list, output 1
  - If n does not appear, we may run forever

(1)[and so (2)] implies CE Proof:

- Suppose B algorithm compute f and  $\text{dom}(f) = X$
- We CANNOT run B on 0, 1, 2... and output any numbers for which it terminates
  - So use **dovetailing**: for every phase we run the computation longer and include more arguments in our simulation
  - If B terminates on an input, we print it and continue
  - If n is in  $\text{dom}(f)$ , B will run on long enough on n and n will get enumerated

• X is CE

(3) implies X is CE Proof:

- If we run dovetailing to print outputs that B terminates (instead of inputs)

CE implies (3) Proof: (???)

- Know  $S_x(n)$  is computable by some algorithm C
- Define a partial function g:
  - $G(n) = \{n \mid \text{if } C \text{ terminates on } n; \text{undefined otherwise}\}$
- Clearly g is computable and range is X

**Theorem:** The union and intersection of 2 (hence any finite number of) CE sets is CE

Proof: suppose X and Y are 2 CE sets with enumerating algorithms A and B respectively. Run A and B in parallel, and if an item appears on the list, enumerate it.

**Post's Theorem:**

1. If X is computable, it is also CE
2. If X is CE and its complement  $X'$  is also CE, then X is computable

Proof (1):

Proof (2):

- Assume A enumerates X & B enumeratees  $X'$
- To show that X is computable, we need a decision procedure
  - Run A and B both in parallel and soon, one of them will enumerate n
    - We know whether n is in X or not
  - This will have to terminate bc n is in X or not (i.e.  $X'$ ) and will terminate in finite time

**Projection functions:**  $\text{Pi}_1(\langle n, m \rangle) = n$  and  $\text{Pi}_2(\langle n, m \rangle) = m$

**Theorem:** set X is CE IIF there is a computable set Y of pairs of natural numbers such that

$$\forall x, x \in X \Leftrightarrow \exists y \in \mathbf{N} (\langle x, y \rangle \in Y).$$

Proof: if Y is computable or CE, we can enumerate its element and use pi1 to extract the first component

- If such Y exists, then X is CE
- If X is CE, take enumerating algorithm A, and construct Y as: **Y = { $\langle x, n \rangle \mid A \text{ enumerates } x \text{ within } n \text{ steps}$ }**
- Its is obvious that Y is decidable bc we put a time blunt on the algorithm run time
- If x is in X, then a enumerates x after some number of steps so the theorem above is true.

# Notes of Reductions

Overview:

- What does "P reduces to Q" mean?
- 2 notions of reduction: (1) many-one reduction and (2) Turing reduction

"P reduces to Q" = if you can solve problem Q, then you can solve problem P =  $P \leq Q$

- Suggests that Q is more difficult than P, so if u solve Q, you can solve P
  - So if P is undecidable, then we know Q is undecidable

## Relations are transitive

$H \leq Q$ , show Q is undecidable

### HP = halting problem

- Knowing that Q is undecidable we can write another reduction:  $Q \leq R$ , so R is also undecidable

Working with fixed alphabet  $\Sigma$  and considering strings in  $\Sigma^*$

- We can now also reduce languages
  - A reduced to B
    - Is the word in B, then word is also in A
- **Definition:** A language A is mapping reducible to B, written  $A \leq_m B$ , if there is a total computable function  $f : \Sigma^* \rightarrow \Sigma^*$  such that  $x$  is in A IIF  $f(x)$  is in B. Function f is called the **reduction**
  - Works only to guarantee membership in A only if there is membership in B
  - Membership in A does NOT guarantee membership in B
  - If we wish to find "is w in B?"
    - Need to find an  $x$  such that  $f(x) = w$ ; not guaranteed to find an  $x$

**Theorem:** if  $A \leq_m B$  and B is decidable, then A is also decidable. If A is undecidable, then B is undecidable

**Theorem:** if  $A \leq_m B$  and B is CE, then A is CE. If A is not CE, then B is not CE.

Proof: Suppose B is CE, then there is an algorithm  $\text{isinB}$  where input  $x$  will halt and say TRUE if  $x$  is in B.

- May loop forever if  $x$  is not in B
- Algorithm  $\text{isinA}$ :
  - Read input  $x$
  - Apply  $f$  to  $x$  to produce  $y$
  - Run  $\text{isinB}$  on  $y$
  - Output whatever the previous line produces
- Line 2 must terminate because  $f$  is total
- If  $x$  is in A, then reduction is correct, then  $f(x) = y$  is in B.
- $\text{isinB}$  is guaranteed to terminate, then we know that  $\text{isinA}$  terminates and say true if  $x$  is in A
- If  $x$  is not in A, then  $f(x)$  will not be in B and line 3 will run forever.
- A is CE, if A is not CE, then B cannot be CE (controposing)

A set is **co-CE** if its complement is CE

- So complement of HP is a co-CE set:  $\overline{H}_{\text{TM}} \stackrel{\text{def}}{=} \{(M, x) \mid M(x) \uparrow\}$
- Use mapping reduces to show that sets are not CE by showing reductions from this set.
- **Observation:** If  $A \leq_m B$  then  $A' \leq_m B'$
- **Corollary:** if  $A \leq_m B$  and A is CE but not decidable, then B is not co-CE
- Proof: if B is co-CE, then  $B'$  is also CE, and the mapping reduction will be written as:
  - $A' \leq_m B'$ , so  $A'$  is also CE
  - If A is CE and  $A'$  is also CE, then A is decidable.
    - Contradicts assumption that A is undecidable

Example:

Language of TM that accepts nothing:  $EMPTM \stackrel{\text{def}}{=} \{\langle M \rangle \mid L(M) = \emptyset\}$ .

## This is UNDECIDABLE

Proof: by showing that  $ATM \leq_m EMPTM'$

- Define reduction function that is **total and computable**:  $f : \Sigma^* \rightarrow \Sigma^*$
- try to solve: given encoding  $\langle M, w \rangle$ , accept IIF M accepts w
  - Reduction function will work with any string, not just strings that are encodings of acceptance problems
  - We will map any string that is not of the right form to the string fubar
  - The string is certainly not part of EMPTM
- We will define a new TM  $M'$ 
  - Input  $x$
  - Simulate  $M$  on  $w$

- If  $M$  accepts  $w$  accept  $x$
- If  $M$  accepts  $w$ ,  $M'$  will accept every input and if  $M$  does not accept  $w$ , then  $M'$  will not accept anything
- 
- WE DO NOT RUN  $M'$ 
  - We write code of  $M'$  and feed  $\langle M' \rangle$  to the decision algorithm for  $\text{EMPTM}'$

Halting problem: Im defining a language that consists of words or numbers. These words are encoding a description of machine and description of an input. This word is in the language, if that machine halts on that input.

HTM is the halting language:  $\text{HTM} = \{\langle M, w \rangle \mid M \text{ halts on } w\}$

- Language of all TM descriptions and input descriptions such that that machine halts on that word
- Not important whether they accept or reject; care about HALT
- It might halt & accept or halt & reject. All we know is that it halts

ATM is a set of words that encode the description of machine and input where the machine ACCEPTS and halts on that input.

Ex. Reduction of HTM < ATM

- Assuming that we have solution to ATM, then there should be an algorithm for HTM
- We assume that HTM exists
- will simulate an instance of the halting problem.
- Input is  $\langle M, w \rangle$
- Algorithm will be slightly modified.
  - We will create a new machine  $M'$ : on input  $x$ , it simulates  $M$  with input  $x$ . If  $M$  halts on  $X$ , then  $M'$  accepts  $X$ .
- Output  $\langle M', x \rangle$  and input into the solution  $u$  supposedly had for ATM
  - So does  $M'$  accept  $w$ : if yes, then  $M$  halted on  $w$
  - We have answer to halting problem.
- BUT we know HTM doesn't work, so ATM doesn't work.
- So since HTM is undecidable, then ATM is also undecidable

HTM < ETM

ETM: only has description of a TM and no input. Does this accept anything at all

- So the language of ETM is empty; such that it rejects everything

Proof:

Assume we have an algorithm for the HTM

- We feed in input  $\langle M, w \rangle$  to the algorithm for HTM
- We define a new TM:  $M'$ 
  - Ignore input
  - Simulate  $M$  on  $w$ .
  - If simulates halts, then  $M'$  accepts that input.
- Output is  $\langle M' \rangle$  and feed into alg for ETM.
  - Alg for ETM
    - The language of  $M'$  TM is empty, if  $M$  doesn't halt on  $w$ 
      - If  $M$  halts, then  $M'$  will accept that input
    - If the language of TM  $M'$  is not empty, then  $M$  halted on  $w$ .

**So HTM is undecidable, so ETM is also undecidable**

**Try ATM < ETM**

CE = HTM and ATM and EMPTY complement = are not decidable as they can only give yes answer  
Co-CE = ETM, complement of HTM and ATM = are not decidable as they can only give NO answer

Is this language empty, if you run dovetailing and halts on something. Find its not empty and say NO. **No to what????** Is the language empty? Its easy to answer no, if we just run into one that accepts. But to see if its empty, the yes answer may take forever to run through all of the inputs.

If algorithms are both CE and co-CE (I.e. have both a yes and no answer), they are DECIDABLE

## Mapping reduction

Suppose we have 2 languages and L1 *mapping reduces* to L2

If there is a total computable function (always halt) f.

If there is any word w in  $\Sigma^*$ , whether w is in L1 is equivalent to asking if  $f(w)$  is in L2  
f is called the mapping reduction.

So if X is not in L1, then  $f(X)$  is not in L2

Implies complement of L1 and L2

## Rice's theorem

TM = Program = algorithm etc.

The set of all programs is the same as the set of all TM's

**Extensional property of a program:** a property of a function it computes

- Describing input and output behaviour of function
- So all sorting algorithms are all the same

*Two programs are extensionally equal if they implement the SAME FUNCTION*

$P_1 \sim P_2$  if they compute the same function - PROGRAMS

$M_1 \sim M_2$  if  $L(M_1) = L(M_2)$  : TM (do they recognize the same language)

- Language is a extensional property

An extensional property Q is a family of CE sets or a predicate on TM's or on programs s.t.

**If  $Q(M_1) \& M_1 \sim M_2$ , then  $Q(M_2)$**

If  $M_1$  satisfies the property Q and  $M_1$  and  $M_2$  are extensionally equal, then  $M_2$  also satisfies the property Q

You can have TRIVIAL properties:

Q: false = property that nothing satisfies

Q: true = everything satisfies

*Trivial properties are decidable*

**RICE:** Every non trivial extensional property of TM's is undecidable.

### Proof:

Let Q be a non-trivial property of TM's or CE sets or programs. Assume Q is extensional

Assume  $L(M) = 0$ , then  $Q(M)$  does not hold.

Since Q is non trivial, there is some  $M_0$  such that  $Q(M_0)$  holds and hence  $L(M_0) \neq 0$

ATM  $\langle m \mid L_Q = \{ \langle M \rangle \mid Q(M) \text{ holds} \} \}$

A language with FOR loops only can express terminating algorithms

A typed high-order functional program language without recursion can only express terminating programs.

Any such language will also fail to express a properly terminating program

Suppose we have such a program, and we enumerate all programs in HALT

List of halting programs:  $P_1, P_2, \dots, P_n$

We define a new program  $P(n) = P_n(n) + 1$

On input n, it runs program  $P_n$  on input n and add 1

So  $P$  cannot be on the list! And  $P$  is always halting.

So you say give me a language that only give you terminating programs but also gives me all terminating programs...this is not possible.

You can only have (1) I want all the halting programs, but some programs may not halt

(2) ??put more restrictions so everything terminates. But you cant write shit???

- Allows you to reduce some reductions of a halting problem and show undecidability result with CFLs

- Many CFLs are undecidable

Given  $\langle M, w \rangle$ . Is this accepted?

Given  $M, w$ , we can effectively construct a CFL or equivalent PDA such that it generates or recognizes the complement of the set of valid computations.

We can do this without knowing if  $M$  accepts  $w$ .

What is a valid computation?

- Important components of TM: state, tape and head position(which cell you are looking at in the tape)
- A configuration of a TM is a description of the state, the tape, and the head position.
- Suppose table contains **abbaab**. Suppose we are looking at the 2nd b and is in state q
- So just before the where the head is looking at, I write down the name of the state: **abqbaab**
  - The q tells u that its looking at the cell to its right
  - Abqbaab is the encoding of looking at the 2nd in state q
- How do we show moves?
  - We put a #: not part of table alphabet
  - # separates configurations
  - $\delta(q, b) = (q', a, R)$   $\leftarrow$  transition rule
  - Machine is in state q and looking at c with b inside
  - Move to state  $q'$ , replace b with a and move to right

abbaab state q  
encoding /: abqbaab  
configuration  
} abqbaab # aba q' aab # ----  
shows transition

A valid computation is a description of all the steps form the start until the machine accepts.

Accept = accept in finite many steps.

How do we know the machine accepts the word? IDK, there might be no valid computations.

- we can use pda if something is NOT a valid computation

Define computation:

Start config:  $\#q_0\alpha_1\dots\alpha_n\#$  [start state and some symbols until #]

A valid comp:  $\#x_0\#x_1\#\dots\#x_n\#$

- $x_0$  must be START config
- $x_n$  must be an accept config
- $x_m$  must follow from  $x_i$  according to the transition rule of  $M$

\* For a non deterministic  $M$ , there may be many valid comps.

$\text{VALCOMPS}(M, w) \leftarrow$  not a CFL

If  $M$  does not halt/accept on  $w$ , then  $\text{VALCOMPS}(M, w) = \emptyset$

Want to show  $\text{VALCOMPS}(M, w)$  is a CFL.

- $\text{VALCOMPS}(M, w) = \Sigma^*$
- Given  $G$ ,  $L(G) = \Sigma^*$  must be undecidable

$L = \{ww \mid w \in \Sigma^*\}$  not a CFL.

$L$  is CFL

If some sequence  $z \in \text{VALCOMPS}(M, w)$ , then 5 things must hold.

- $z$  must begin & end w/ #, #  
& b/w every pair, we must have a non-empty string

ex.  $z = \#x_0\#x_1\#\dots\#x_n\#$

- Each  $x_i$  must contain exactly one letter from  $Q$ .

$Q$  is a description of the states. Position of  $q$  lets you know where head is.

- $x_0$  must be start config

- $x_n$  must be accept config.

⑤ for each  $i$ , the diff b/w  $\alpha_i$  &  $\alpha_{i+1}$  must be according to rules of  $M$

① - ④ can be checked w/ DFA

⑤ check w/ PDA

\* The diff b/w  $\alpha_i$  &  $\alpha_{i+1}$  should be confined to 3 consecutive symbols.

↳ the possible values of 3-symbol sequences can be remembered in finite memory

ex.  $\delta(q, a) = (p, b, \leftarrow)$

config:  $abag\underset{\uparrow}{q}abb\alpha$

①  $\delta(q, a)$ : currently  $q$  is looking at

② first change  $a$  to  $b$

$abag\underset{\uparrow}{b}bb\alpha$

③ move head left

abaqabba  $\rightarrow$  abpaabbba  $\Rightarrow$  2 pairs of 3 symbol sequences are consistent if they follow the rules of  $M$

\* parts changed.

Its PDA guesses a pair  $(\alpha_i, \alpha_{i+1})$  where the rules of  $M$  are violated and it guesses a 3 symbol sequence where the violation occurs.

$\dots \# w_1 \underline{xxx} w_2 \# w_1' \underline{yyy} w_2' \#$

Stacks  $w_1$ , memorizes  $xxx$ , ignores  $w_2$ , after  $\#$ , starts popping stack with  $w_1'$  and compare  $yyy$   
If find that  $yyy$  and  $xxx$  are wrong, then not in VALCOMPS

VALCOMPS 2 need 2 PDA to check  $z \in \text{VALCOMPS2}(M, w)$

VALCOMPS2( $M, w$ ) i

$$\text{VALCOMPS2}(M, w) = L(G_1) \cap L(G_2)$$

VALCOMPS2  $\neq \emptyset$  then  $M$  accepts  $w$ .

①  $L(G) = \Sigma^*$  undecidable

$$\neg \text{ATM} \leq_m (L(G) = \Sigma^*)$$

$$\text{ATM} \leq (L(G_1) \cap L(G_2) = \emptyset)$$

②  $L(G_1) \cap L(G_2) = \emptyset$  undecidable.



$$\text{PCP} = \left\{ \left[ \begin{smallmatrix} * & t_1 \\ * & b_1 * \end{smallmatrix} \right], \left[ \begin{smallmatrix} * & t_1 \\ b_1 & * \end{smallmatrix} \right], \dots, \left[ \begin{smallmatrix} * & t_1 \\ b_n & * \end{smallmatrix} \right] \right\}$$

↑  
have to start w/ this one

This PCP is equivalent to MPCP

$$\therefore \text{ATM} \leq_m \text{PCP}$$

↳ undecidable & CE

If there is a match, you will eventually find it.

### ATM <<sub>m</sub> PCP <<sub>M</sub> AMBIG

AMBIG: give a grammar and ask if there is a alg to find whether this is ambiguous?

HTM <<sub>m</sub> ATM (BOTH CE)

ATM <<sub>m</sub> HTM (BOTH CE)

Are all ce problems reducible to each other? NO

All ce problems can be solved if you can solve ATM or HTM

## Syntax of a first-order language

- Syntax: proper notations and formulas
- We have a set of constants: a, b, c, ...
- We have a set of variables: x, y, z, ...
- Both these sets of countable
- A set of function symbols: f, g, h, ...
- A set of predicate symbols: P, Q, R, ...
- Functions and predicates come with arities (type information: each function takes a fixed number of args etc.)

## TERMS: defined by induction

1. Any constant is a term
2. Any variable is a term
3. If f is a function symbol of arity n, and  $t_1, \dots, t_n$  are terms, then  $f(t_1, \dots, t_n)$  is a term

Example first order language: Arithmetic

- Constants: 0, 1, 2, 3, ...
- Variables: x, y, z, ...
- Function symbols: +, \*, succ (number after)
  - + has arity 2, \* has arity 2, and succ has arity 1
- Predicate symbols: =, <
- Example terms we can build:
  - $(3+0)$  and  $*(+3,0,x)$  and  $\text{succ}(7)$
  - $(3+0)$        $(3+0)*X$
- Terms describe what we are talking about (nouns)
- Formulas are what we say about terms:
- If P is a predicate of arity n and  $t_1, \dots, t_n$  are terms. Then  $P(t_1, \dots, t_n)$  is a formula.
- If  $\phi_1, \phi_2$  are formulas

- If  $\phi$  is a formula, and  $x_i$  is a variable then

Example:  $\text{Plus}(0,3) < 2$  is a formula

- $4 = 5$  is a formula
- $3 - 3 = 0$  is a formula

## SEMANTICS

Interpretation:

D = a set called the domain of interpretation

All constant symbols are interpreted as elements of D

$$N = \{0, 1, 2, 3, 4, \dots\}$$

$\overset{1}{0}, \overset{1}{1}, \overset{1}{2}, \overset{1}{3}, \overset{1}{4}, \dots \}$

$0, 1, 2, 3, 4$

The zero symbol means value zero etc.

succ is interpreted as the f<sup>n</sup>  
 $x \mapsto x+1$

PLUS is interpreted as +

TIMES is interpreted as \*

All function symbols of arity n are interpreted as functions:

$$D^n \longrightarrow D$$

Expecting function to take in n arguments and producing something new

$$\leq = \{(0,0), (0,1), (0,2), \dots, (1,0), (1,1), (1,2), (1,3), \dots, (2,0), (2,1), (2,2), (2,3), \dots\}$$

All predicate symbols of arity n are interpreted as subsets of  $D^n$ .

A formula is valid if it is true in all interpretations

EX

$\exists n \forall m \ n \leq m \rightarrow \text{true with}$   
 $D = \mathbb{N} \text{ & } \leq \text{ usual interp.}$

NOT true is  $D = \mathbb{Z}$  (integers)

There is no smallest integer

NOT VALID

## PROOF

We can define axioms and rule of inference and proofs

A formula which can be proved is called a theorem

**Soundness:** any theorem is valid

Different proof systems have different power.

Proof systems may not be able to show ALL true things.

- If we have a proof system s.t. every valid formula is a theorem .
- Then the system is called **complete**. (If it shows all true things)

FOL has COMPLETE

ARITHMETIC has NO COMPLETE PROOF SYSTEM.

a formula is provable = there is a proof of a formula

- A proof is a finite object
- A proof can be checked mechanically by terminating algorithm
- A formula that is true in an interpretation is called **satisfiable**.
- A formula true in every interpretation is said to be **valid**.

○

○  $\forall x \ P(x) \Rightarrow P(x)$  Validity is undecidable

- A formula is **invalid** if there is at least one interpretation in which it is not true.
- A formula is **unsatisfiable** if there is no interpretation in which it is true.
  - Doesn't matter how you interpret it, it is be false

**VALIDITY IS UNDECIDABLE BUT IS CE**

- We have a complete proof system for FOL
- Any valid formula has a proof
- Soundness is anything that you prove is valid
- Completeness, anything that is valid, there is a proof for it.

Want to check for validity: generate proofs and see if one is a proof for this formula

If a formula is valid, bc of completeness theory, it must have a proof.

- You will find it.

- Guaranteed to say yes if its valid.

PCP  $\leq_m$  VALIDITY (dont need to know details of proof)

- Have to take an example or instance of PCP and show how to transform it into a formula, in such a way that the original PCP has a solution IIF the formula I produce is valid