Numerical computation of the Stokes constant for the breakdown of homoclinic orbits to  $L_3$  in the RPC3BP

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This document is devoted to summarize the information necessary to compute the Stokes constant obtained in [BGG22] (see https://arxiv.org/abs/2107.09942).

## 1 Inner equation

We consider the following Hamiltonian given in [BGG22, Proposition 2.5] in

$$\mathcal{H}(U, W, X, Y) = W + XY + \mathcal{K}(U, W, X, Y), \tag{1.1}$$

with

$$\mathcal{K}(U, W, X, Y) = -\frac{3}{4}U^{\frac{2}{3}}W^{2} - \frac{1}{3U^{\frac{2}{3}}}\left(\frac{1}{\sqrt{1 + \mathcal{J}(U, W, X, Y)}} - 1\right)$$
(1.2)

and

$$\mathcal{J}(U, W, X, Y) = \frac{4W^2}{9U^{\frac{2}{3}}} - \frac{16W}{27U^{\frac{4}{3}}} + \frac{16}{81U^2} + \frac{4(X+Y)}{9U} \left(W - \frac{2}{3U^{\frac{2}{3}}}\right) - \frac{4i(X-Y)}{3U^{\frac{2}{3}}} - \frac{X^2 + Y^2}{3U^{\frac{4}{3}}} + \frac{10XY}{9U^{\frac{4}{3}}}.$$
(1.3)

We study two special solutions of the inner equation given by the Hamiltonian  $\mathcal{H}$  in (1.1). We introduce Z = (W, X, Y) and the matrix

$$\mathcal{A} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & i & 0 \\ 0 & 0 & -i \end{pmatrix}. \tag{1.4}$$

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Then, the equation associated to the Hamiltonian  $\mathcal{H}$  can be written as

$$\begin{cases}
\dot{U} = 1 + g(U, Z), \\
\dot{Z} = \mathcal{A}Z + f(U, Z),
\end{cases}$$
(1.5)

where  $f = (-\partial_U \mathcal{K}, i\partial_Y \mathcal{K}, -i\partial_X \mathcal{K})^T$  and  $g = \partial_W \mathcal{K}$ .

We look for solutions of this equation parametrized as graphs with respect to U, namely we look for functions

$$Z_0^{\diamond}(U) = (W_0^{\diamond}(U), X_0^{\diamond}(U), Y_0^{\diamond}(U))^T, \quad \text{for } \diamond = \mathbf{u}, \mathbf{s},$$

satisfying the invariance condition given by (1.5), that is

$$\partial_U Z_0^{\diamond} = \mathcal{A} Z_0^{\diamond} + \mathcal{R}[Z_0^{\diamond}], \quad \text{for } \diamond = \mathbf{u}, \mathbf{s},$$
 (1.6)

where

$$\mathcal{R}[\varphi](U) = \frac{f(U,\varphi) - g(U,\varphi)\mathcal{A}\varphi}{1 + g(U,\varphi)}.$$
(1.7)

In order to "select" the solutions we are interested in (see [BGG22, Section 2.4]), we look for  $Z_0^{\diamond}$  satisfying the asymptotic conditions

$$\lim_{\operatorname{Re} U \to -\infty} Z_0^{\mathrm{u}}(U) = 0, \qquad \lim_{\operatorname{Re} U \to +\infty} Z_0^{\mathrm{s}}(U) = 0. \tag{1.8}$$

In fact, for a fixed  $\beta_0 \in (0, \frac{\pi}{2})$ , we look for functions  $Z_0^{\rm u}$  and  $Z_0^{\rm s}$  satisfying (1.6), (1.8) defined in the domains

$$\mathcal{D}_{\kappa}^{\mathbf{u}} = \{ U \in \mathbb{C} : |\operatorname{Im} U| \ge \tan \beta_0 \operatorname{Re} U + \kappa \}, \qquad \mathcal{D}_{\kappa}^{\mathbf{s}} = -\mathcal{D}_{\kappa}^{\mathbf{u}}, \tag{1.9}$$

respectively, for some  $\kappa > 0$  big enough (see Figure 1).

We analyze the the difference  $\Delta Z_0 = Z_0^{\mathrm{u}} - Z_0^{\mathrm{s}}$  in the overlapping domain

$$\mathcal{E}_{\kappa} = \mathcal{D}^{\mathbf{u}}_{\kappa} \cap \mathcal{D}^{\mathbf{s}}_{\kappa} \cap \{ U \in \mathbb{C} : \operatorname{Im} U < 0 \}. \tag{1.10}$$

The proof of next theorem can be found in [BGG22, Theorem 2.7].

**Theorem 1.1.** There exist  $\kappa_0, b_1 > 0$  such that for any  $\kappa \geq \kappa_0$ , the equation (1.6) has analytic solutions  $Z_0^{\diamond}(U) = (W_0^{\diamond}(U), X_0^{\diamond}(U), Y_0^{\diamond}(U))^T$ , for  $U \in \mathcal{D}_{\kappa}^{\diamond}$ ,  $\diamond = u, s$ , satisfying

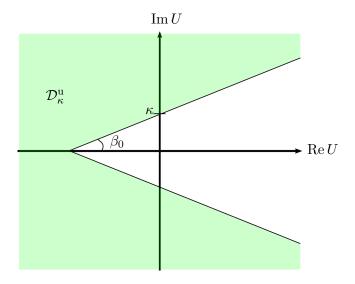
$$|U^{\frac{8}{3}}W_0^{\diamond}(U)| \le b_1, \qquad |U^{\frac{4}{3}}X_0^{\diamond}(U)| \le b_1, \qquad |U^{\frac{4}{3}}Y_0^{\diamond}(U)| \le b_1.$$
 (1.11)

In addition, there exist  $\Theta \in \mathbb{C}$  and  $b_2 > 0$  independent of  $\kappa$ , and a function  $\chi = (\chi_1, \chi_2, \chi_3)^T$  such that

$$\Delta Z_0(U) = Z_0^{\mathbf{u}}(U) - Z_0^{\mathbf{s}}(U) = \Theta e^{-iU} \Big( (0, 0, 1)^T + \chi(U) \Big), \tag{1.12}$$

and, for  $U \in \mathcal{E}_{\kappa}$ ,

$$|U^{\frac{7}{3}}\chi_1(U)| \le b_2, \qquad |U^2\chi_2(U)| \le b_2, \qquad |U\chi_3(U)| \le b_2.$$



**Figure 1:** The inner domain,  $\mathcal{D}_{\kappa}^{\mathrm{u}}$ , for the unstable case.

## 2 Numerical computation $\Theta$

Theorem 1.1 implies that  $\Theta = \lim_{\mathrm{Im} U \to -\infty} \Delta Y_0(U) e^{iU}$ . In particular,  $\Theta$  corresponds to a Stokes constant that depends on the full jet of the Hamiltonian and, as a result, it does not have a closed formula.

However, we can obtain a numerical approximation of the constant  $\Theta$ . Indeed, for  $\rho > \kappa_0$ , we can define

$$\Theta_{\rho} = |\Delta Y_0(-i\rho)| e^{\rho}, \tag{2.1}$$

which, for  $\rho$  big enough, satisfies  $\Theta_{\rho} \approx |\Theta|$ .

To compute  $\Delta Y_0(-i\rho) = Y_0^{\mathrm{u}}(-i\rho) - Y_0^{\mathrm{s}}(-i\rho)$ , we first look for good approximations of  $Z_0^{\mathrm{u}}(U)$  for  $\mathrm{Re}\,U \ll -1$  and of  $Z_0^{\mathrm{s}}(U)$  for  $\mathrm{Re}\,U \gg 1$ , as power series in  $U^{-\frac{1}{3}}$ . One can easily check that  $Z_0^{\mathrm{u}}(U)$  as  $\mathrm{Re}\,U \to -\infty$  and  $Z_0^{\mathrm{s}}(U)$  as  $\mathrm{Re}\,U \to +\infty$  have the same asymptotics expansion:

$$\begin{split} W_0^{\diamond}(U) &= \frac{4}{243\,U^{\frac{8}{3}}} - \frac{172}{2187\,U^{\frac{14}{3}}} + \mathcal{O}\left(U^{-\frac{20}{3}}\right), \\ X_0^{\diamond}(U) &= -\frac{2i}{9\,U^{\frac{4}{3}}} + \frac{28}{81\,U^{\frac{7}{3}}} + \frac{20i}{27\,U^{\frac{10}{3}}} - \frac{16424}{6561\,U^{\frac{13}{3}}} + \mathcal{O}\left(U^{-\frac{16}{3}}\right), \\ Y_0^{\diamond}(U) &= \frac{2i}{9\,U^{\frac{4}{3}}} + \frac{28}{81\,U^{\frac{7}{3}}} - \frac{20i}{27\,U^{\frac{10}{3}}} - \frac{16424}{6561\,U^{\frac{13}{3}}} + \mathcal{O}\left(U^{-\frac{16}{3}}\right). \end{split}$$

We use these expressions to set up the initial conditions for the numerical integration for computing  $\Delta Y_0(-i\rho)$ . We take as initial points the value of the truncated power series at order  $U^{-\frac{13}{3}}$  at  $U=1000-i\rho$  (for  $\diamond=s$ ) and  $U=-1000-i\rho$  (for  $\diamond=u$ ). (See Table 1). We perform the numerical integration for different values of  $\rho \leq 23$  and an integration solver with tolerance  $10^{-12}$ .

$\rho$	$ \Delta Y_0(-i\rho) $	$e^{ ho}$	$\Theta_{ ho}$
13	$3.7 \cdot 10^{-6}$	$4.4 \cdot 10^{5}$	1.6373
14	$1.4 \cdot 10^{-6}$	$1.2 \cdot 10^{6}$	1.6361
15	$5.0 \cdot 10^{-7}$	$3.3 \cdot 10^{6}$	1.6351
16	$1.8 \cdot 10^{-7}$	$8.9 \cdot 10^{6}$	1.6341
17	$3.7 \cdot 10^{-8}$	$2.4 \cdot 10^7$	1.6333
18	$6.8 \cdot 10^{-8}$	$6.6 \cdot 10^{7}$	1.6326
19	$9.1 \cdot 10^{-9}$	$1.8 \cdot 10^{8}$	1.6320
20	$3.4 \cdot 10^{-9}$	$4.9 \cdot 10^{8}$	1.6315
21	$1.2 \cdot 10^{-9}$	$1.3 \cdot 10^9$	1.6312
22	$4.6 \cdot 10^{-10}$	$3.6 \cdot 10^9$	1.6313
23	$1.7 \cdot 10^{-10}$	$9.7 \cdot 10^9$	1.6323

**Table 1:** Computation of  $\Theta_{\rho}$ , as defined in (2.1), for different values of  $\rho \leq 23$ .

Table 1 shows that the constant  $\Theta$  is approximately 1.63 which indicates that it is not zero. We expect that, by means of a computer assisted proof, it would be possible to obtain rigorous estimates and verify that  $|\Theta| \neq 0$ .

## References

[BGG22] I. Baldomá, M. Giralt, and M. Guardia. Breakdown of homoclinic orbits to L3 in the RPC3BP (I). Complex singularities and the inner equation. To be published in Advances in Mathematics, 2022.