

# Introductory concepts

## 1.1. Ordered complex and canonical form

**Definition 1.1** ( $\mathbb{R}$ -Filtered complex).

**Definition 1.2** (Complex with ordered generators). Let  $\{C_k\}_{k=0}^\infty$  be a chain complex, with some basis  $\{e_k^i\}$ . Then we say that  $\{C_k\}_{k=0}^\infty$  has ordered generators when we fix the order  $e_k^i < e_l^j$  if  $k < l$  or  $k = l$  and  $i < j$ .

**Remark.** An ordered complex is naturally filtrated.

**Definition 1.3** (Canonical form). Let  $\{C_k\}_{k=0}^\infty$  be a chain complex, with some basis  $\{e_k^i\}$ . Then we say that  $\{C_k\}_{k=0}^\infty$  is in its simplest form if,  $\partial e_k^i$  is either 0 or another generator.

**Remark.** The canonical form is equivalent to saying that we can find a basis  $S$  of  $\{C_k\}_{k=0}^\infty$  so that  $S$  can be separated into:

1.  $S_H$ : Generators of the homology of the complex.
2.  $S_{birth}$ : Births, that is, elements whose boundary is 0, but get killed in homology by an element of higher degree.
3.  $S_{death}$ : Deaths, elements whose boundary is another generator.

That is:

$$S = S_{birth} \sqcup S_{death} \sqcup S_H$$

**Theorem 1.** Every chain complex with ordered generators can be reduced to one in canonical form by an upper-triangular change of basis.

Furthermore, the canonical form is unique.

*Proof.* First we prove that we prove the first statement. Let  $C_{k=0}^\infty$  and a basis  $\{e_i^j\}_{i,j}$  with  $e_i^j \in C_i, \forall i$ .

Let  $i+1$  be the smallest natural so that  $C_{\leq n}$  is not in canonical form. Obviously,  $i+1 > 0$ , since  $\partial|_{C_0} = 0$ .

Let  $e_{i+1}^j$  be the generator with smallest  $j$  so that  $\partial e_{i+1}^j$  is neither 0 nor another generator. Clearly,  $i+j > 0$ , since  $\partial|_{C_0} = 0$ .

Let  $\partial e_{i+1}^j = \sum \alpha_k e_k^{j-1}$ , then on the LHS, we have terms which are exact (that is, on  $S_{death}$ ), and those which are not. We move all the exact terms in the LHS of the form  $e_k^{j-1} = \partial e_q^j$ , with  $q \leq i$ .

$$\partial \left( e_{i+1}^j - \sum_{q=1}^i e_q^j \alpha_{k(q)} \right) = \sum \beta_k e_k^{j-1}$$

Here we separate two cases, if the LHS is 0, then we simply define

$$f_{i+1}^j = e_{i+1}^j - \sum_{q=1}^i e_q^j \alpha_{k(q)}$$

In this case, clearly the linear map  $Ae_{i+1}^j = f_{i+1}^j$  and the identity on the rest has an upper triangular matrix and  $\partial f_{i+1}^j = 0$ .

In the case we can find  $\beta_{k_0} \neq 0$  we pick such  $k_0$  so it is maximal. Then:

$$\partial \frac{1}{\beta_{k_0}} \left( e_{i+1}^j - \sum_{q=1}^i e_q^j \alpha_{k(q)} \right) = e_{k_0}^{j-1} + \sum_{k < k_0} \frac{\beta_k}{\beta_{k_0}} e_k^{j-1}$$

In this case, we define:

$$f_{i+1}^j = \frac{1}{\beta_{k_0}} \left( e_{i+1}^j - \sum_{q=1}^i e_q^j \alpha_{k(q)} \right); \quad f_{k_0}^{j-1} = e_{k_0}^{j-1} + \sum_{k < k_0} \frac{\beta_k}{\beta_{k_0}} e_k^{j-1}$$

Then clearly  $\partial f_{i+1}^j = f_{k_0}^{j-1}$  and the obvious change of variable has the desired form. This violates our minimality condition, therefore the first statement is proved.

Now we prove the uniqueness part: Suppose we have two bases in canonical form  $\{e_i^j\}$  and  $\{f_i^j\}$ . Then choose  $i$  and  $j$  so that for all  $p < j$  and any  $m$  or  $p = j$  and  $m < i$  then  $e_i^j = f_i^j$ .

We can find coefficients  $\alpha_k$  and  $\beta_k$  so that:

$$f_i^j = \sum_{k=1}^i \alpha_k e_k^j, \quad \partial f_i^j = f_l^{j-1} = \sum_{n=1}^l \beta_n e_n^j$$

Hence

$$\partial e_i^j = \sum_{n=1}^l e_n^{j-1} \frac{\beta_n}{\alpha_i} - \sum_{k=1}^l \partial e_k^{j-1} \frac{\alpha_k}{\alpha_i}$$

This proves the uniqueness of the canonical form since:  $\partial e_i^j = e_m^{j-1}, m > l$  and  $e_m^{j-1} \neq \partial a_k^j, \forall k \neq i$

*QED*

**Definition 1.4** (Cech Complex). Let  $X \subset \mathbb{R}^n$  be a discrete subset. Then, for  $d > 0$ , we define:

$$X_d = \{x \in \mathbb{R}^n : d(x, X) \leq d\}$$

**Definition 1.5** (Vietoris-Rips Complex).

## 1.2. Motivation

Canonical form=Persistence diagrams