

For calendar year 2022, or tax year beginning 01-01-2022 , and ending 12-31-2022

Name of foundation THE ROCKEFELLER FOUNDATION		A Employer identification number 13-1659629
Number and street (or P.O. box number if mail is not delivered to street address) 420 FIFTH AVENUE	Room/suite	B Telephone number (see instructions) (212) 852-8361
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100182702		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ <u>6,105,561,455</u>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	9,037,945	9,037,945		
	4 Dividends and interest from securities	32,129,210	77,914,570		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	293,329,234			
	b Gross sales price for all assets on line 6a <u>6,335,876,000</u>				
	7 Capital gain net income (from Part IV, line 2)		30,791,545		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	1,227,059	-64,870,361	0	
	12 Total. Add lines 1 through 11	335,723,448	52,873,699	0	
	13 Compensation of officers, directors, trustees, etc.	6,109,836	1,785,554	0	4,324,283
	14 Other employee salaries and wages	46,172,690	5,097,689	0	43,721,171
	15 Pension plans, employee benefits	15,351,929	1,032,139	0	15,338,887
	16a Legal fees (attach schedule)	4,067,743	296,698	0	3,748,812
	b Accounting fees (attach schedule)	483,791	214,763	0	269,028
	c Other professional fees (attach schedule)	51,405,861	5,151,353	0	46,321,921
	17 Interest	17,584,762	0	0	17,444,000
	18 Taxes (attach schedule) (see instructions)	2,117,239	127,466	0	0
	19 Depreciation (attach schedule) and depletion	2,385,687	245,519	0	
	20 Occupancy	7,932,912	141,295	0	7,791,617
	21 Travel, conferences, and meetings	10,005,386	219,598	0	9,785,505
	22 Printing and publications	317,408	10,994	0	306,414
	23 Other expenses (attach schedule)	14,857,385	21,312	0	14,826,089
	24 Total operating and administrative expenses. Add lines 13 through 23	178,792,629	14,344,380	0	163,877,727
	25 Contributions, gifts, grants paid	173,808,384			199,168,884
	26 Total expenses and disbursements. Add lines 24 and 25	352,601,013	14,344,380	0	363,046,611
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-16,877,565			
	b Net investment income (if negative, enter -0-)		38,529,319		
				0	

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		5,079,451	4,466,281	4,466,281
	2	Savings and temporary cash investments		943,541,340	718,189,880	718,189,880
	3	Accounts receivable ▶ <u>288,496</u>				
		Less: allowance for doubtful accounts ▶ _____			288,496	288,496
	4	Pledges receivable ▶ _____				
		Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____				
		Less: allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges			406,368	406,368
	10a	Investments—U.S. and state government obligations (attach schedule)		90,362,908	98,188,012	98,188,012
	b	Investments—corporate stock (attach schedule)		479,410,651	294,605,386	294,605,386
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment: basis ▶ _____				
	Less: accumulated depreciation (attach schedule) ▶ _____					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)		5,848,100,633	4,795,429,127	4,795,429,127	
14	Land, buildings, and equipment: basis ▶ <u>98,769,869</u>					
	Less: accumulated depreciation (attach schedule) ▶ <u>23,378,180</u>		11,728,933	75,391,689	75,391,689	
15	Other assets (describe ▶ _____)		89,221,949	118,596,216	118,596,216	
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		7,467,445,865	6,105,561,455	6,105,561,455	
Liabilities	17	Accounts payable and accrued expenses		12,396,747	10,315,102	
	18	Grants payable		74,656,330	51,207,930	
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)		695,256,163	695,419,908	
	22	Other liabilities (describe ▶ _____)		67,311,065	77,028,171	
	23	Total liabilities (add lines 17 through 22).		849,620,305	833,971,111	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/>					
	and complete lines 24, 25, 29 and 30.					
	24	Net assets without donor restrictions		6,617,825,560	5,271,590,344	
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/>					
	and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances (see instructions)		6,617,825,560	5,271,590,344	
30	Total liabilities and net assets/fund balances (see instructions)		7,467,445,865	6,105,561,455		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	6,617,825,560
2	Enter amount from Part I, line 27a	2	-16,877,565
3	Other increases not included in line 2 (itemize) ▶ _____	3	36,663,316
4	Add lines 1, 2, and 3	4	6,637,611,311
5	Decreases not included in line 2 (itemize) ▶ _____	5	1,366,020,967
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	5,271,590,344