

For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation THE ROCKEFELLER FOUNDATION		A Employer identification number 13-1659629	
Number and street (or P.O. box number if mail is not delivered to street address) 420 FIFTH AVENUE		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100182702		B Telephone number (see instructions) (212) 852-8361	
G Check all that apply: <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 7,117,904,789		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	11,539			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	916,144	916,144		
	4 Dividends and interest from securities	16,198,406	26,953,811		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	341,670,958			
	b Gross sales price for all assets on line 6a	492,086,795			
	7 Capital gain net income (from Part IV, line 2)		98,500,063		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	-2,733,403	437,055		
	12 Total. Add lines 1 through 11	356,063,644	126,807,073		
	13 Compensation of officers, directors, trustees, etc.	4,119,227	1,243,782		2,875,446
	14 Other employee salaries and wages	33,798,097	4,408,707		28,715,435
	15 Pension plans, employee benefits	10,848,559	962,100		11,732,475
	16a Legal fees (attach schedule)	3,005,616	162,003		3,579,939
	b Accounting fees (attach schedule)	529,420	235,400		236,020
	c Other professional fees (attach schedule)	37,310,910	4,819,351		32,207,356
	17 Interest	332,901	2,127,858		332,901
	18 Taxes (attach schedule) (see instructions)	653,416	2,228,907		0
	19 Depreciation (attach schedule) and depletion	2,790,352	252,516		
	20 Occupancy	3,282,507	113,257		3,169,250
	21 Travel, conferences, and meetings	2,010,511	44,724		1,965,283
	22 Printing and publications	367,586	13,681		353,906
	23 Other expenses (attach schedule)	11,322,353	37,607,477		8,265,146
	24 Total operating and administrative expenses. Add lines 13 through 23	110,371,455	54,219,763		93,433,157
	25 Contributions, gifts, grants paid	175,229,304			175,106,160
	26 Total expenses and disbursements. Add lines 24 and 25	285,600,759	54,219,763		268,539,317
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	70,462,885			
	b Net investment income (if negative, enter -0-)		72,587,310		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	33,978,439	4,479,551	4,479,551
	2 Savings and temporary cash investments	242,539,094	1,001,176,980	1,001,176,980
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	33,044,574	74,746,724	74,746,724
	b Investments—corporate stock (attach schedule)	11,348,183	14,654,975	14,654,975
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	4,537,017,372	5,945,823,288	5,945,823,288
	14 Land, buildings, and equipment: basis ▶ _____ 24,151,994 Less: accumulated depreciation (attach schedule) ▶ _____ 19,145,031	9,467,788	5,006,963	5,006,963
15 Other assets (describe ▶ _____)	62,512,002	72,016,308	72,016,308	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	4,929,907,452	7,117,904,789	7,117,904,789	
Liabilities	17 Accounts payable and accrued expenses	9,557,398	10,016,939	
	18 Grants payable	72,837,268	73,460,414	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	51,974,786	753,386,722	
	23 Total liabilities (add lines 17 through 22)	134,369,452	836,864,075	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	4,795,538,000	6,281,040,714	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	4,795,538,000	6,281,040,714	
30 Total liabilities and net assets/fund balances (see instructions) .	4,929,907,452	7,117,904,789		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	4,795,538,000
2 Enter amount from Part I, line 27a	2	70,462,885
3 Other increases not included in line 2 (itemize) ▶ _____	3	1,427,464,380
4 Add lines 1, 2, and 3	4	6,293,465,265
5 Decreases not included in line 2 (itemize) ▶ _____	5	12,424,551
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	6,281,040,714