







For calendar year 2021, or tax year beginning 01-01-2021 , and ending 12-31-2021

Name of foundation THE ROCKEFELLER FOUNDATION		A Employer identification number 13-1659629
Number and street (or P.O. box number if mail is not delivered to street address) 420 FIFTH AVENUE	Room/suite	B Telephone number (see instructions) (212) 852-8361
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100182702		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ <u>7,467,445,865</u>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	230,647	230,647		
	4 Dividends and interest from securities	19,458,689	76,406,499		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	682,905,777			
	b Gross sales price for all assets on line 6a <u>4,446,348,551</u>				
	7 Capital gain net income (from Part IV, line 2)		413,872,625		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 1,628,378	-35,623,866	0	
	12 Total. Add lines 1 through 11	704,223,491	454,885,905	0	
	13 Compensation of officers, directors, trustees, etc.	5,067,754	1,644,715	0	3,423,039
	14 Other employee salaries and wages	38,785,226	4,548,952	0	33,785,622
	15 Pension plans, employee benefits	12,932,114	969,309	0	12,557,926
	16a Legal fees (attach schedule)	 3,520,864	865,924	0	2,626,008
	b Accounting fees (attach schedule)	 549,080	277,139	0	271,941
	c Other professional fees (attach schedule)	 58,381,173	5,149,775	0	51,584,221
	17 Interest	21,267,384	0	0	16,836,003
	18 Taxes (attach schedule) (see instructions)	 6,814,562	27,201	0	0
	19 Depreciation (attach schedule) and depletion	1,847,462	263,176	0	
	20 Occupancy	5,017,122	82,426	0	4,934,696
	21 Travel, conferences, and meetings	2,862,866	29,325	0	2,833,393
	22 Printing and publications	371,257	21,986	0	349,270
	23 Other expenses (attach schedule)	 13,085,089	24,152	0	13,155,618
	24 Total operating and administrative expenses. Add lines 13 through 23	170,501,953	13,904,080	0	142,357,737
	25 Contributions, gifts, grants paid	442,087,308			440,890,677
	26 Total expenses and disbursements. Add lines 24 and 25	612,589,261	13,904,080	0	583,248,414
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	91,634,230			
	b Net investment income (if negative, enter -0-)		440,981,825		
c Adjusted net income (if negative, enter -0-)				0	

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		4,479,551	5,079,451	5,079,451
	2	Savings and temporary cash investments		1,001,176,980	943,541,340	943,541,340
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)		74,746,724	90,362,908	90,362,908
	b	Investments—corporate stock (attach schedule)		14,654,975	479,410,651	479,410,651
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)		5,945,823,288	5,848,100,633	5,848,100,633
	14	Land, buildings, and equipment: basis ▶ <u>32,721,426</u> Less: accumulated depreciation (attach schedule) ▶ <u>20,992,493</u>		5,006,963	11,728,933	11,728,933
Liabilities	15	Other assets (describe ▶ _____)		72,016,308	89,221,949	89,221,949
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		7,117,904,789	7,467,445,865	7,467,445,865
	17	Accounts payable and accrued expenses		5,179,226	12,396,747	
	18	Grants payable		73,460,414	74,656,330	
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)		695,092,417	695,256,163	
	22	Other liabilities (describe ▶ _____)		63,132,018	67,311,065	
Net Assets or Fund Balances	23	Total liabilities (add lines 17 through 22).		836,864,075	849,620,305	
		Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.				
	24	Net assets without donor restrictions		6,281,040,714	6,617,825,560	
	25	Net assets with donor restrictions				
		Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances (see instructions)		6,281,040,714	6,617,825,560	
	30	Total liabilities and net assets/fund balances (see instructions) .		7,117,904,789	7,467,445,865	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	6,281,040,714
2	Enter amount from Part I, line 27a	2	91,634,230
3	Other increases not included in line 2 (itemize) ▶ _____	3	248,816,667
4	Add lines 1, 2, and 3	4	6,621,491,611
5	Decreases not included in line 2 (itemize) ▶ _____	5	3,666,051
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	6,617,825,560