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# Compiler Design

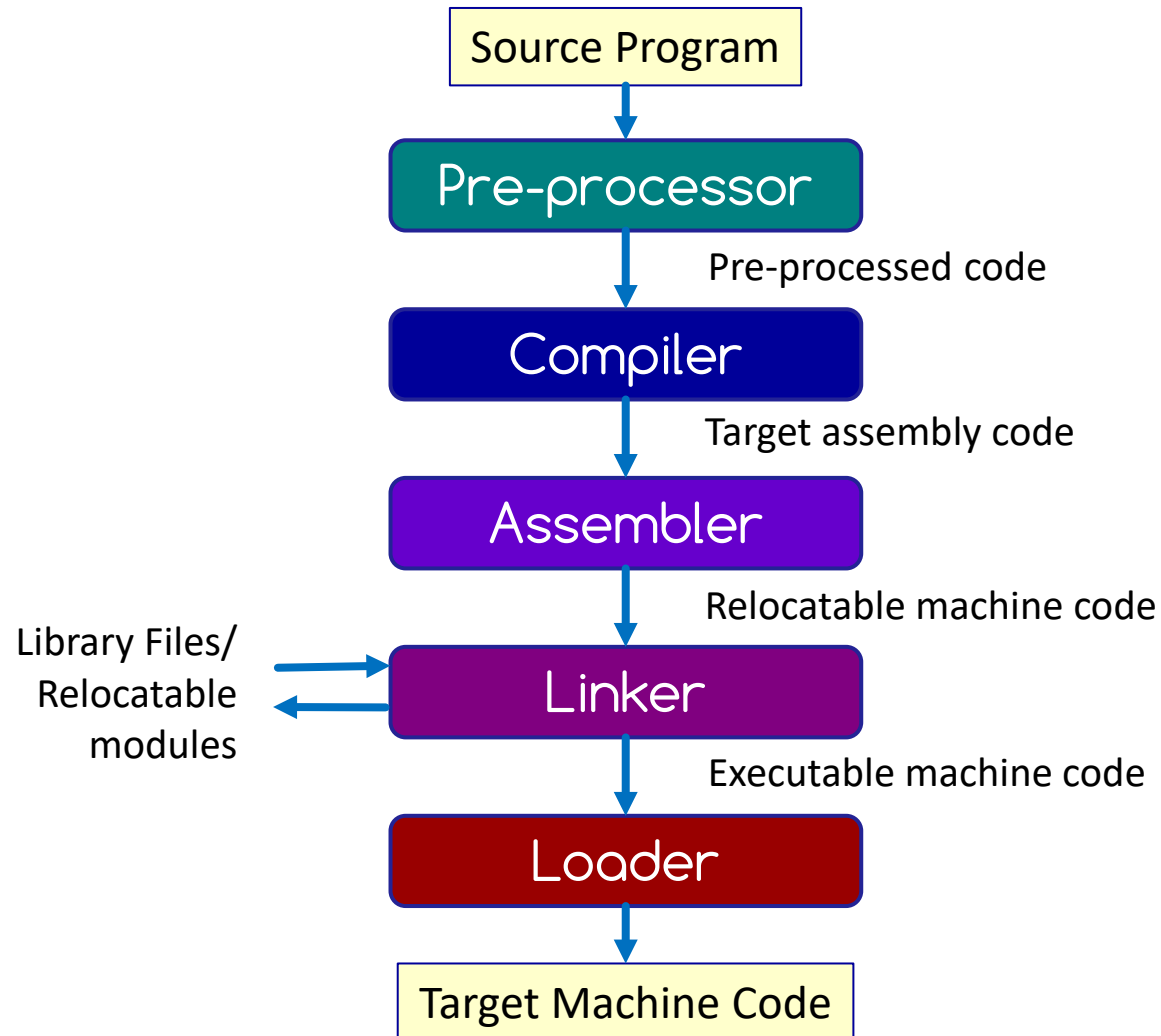
## Lecture 2: Lexical Analysis

Sahar Selim

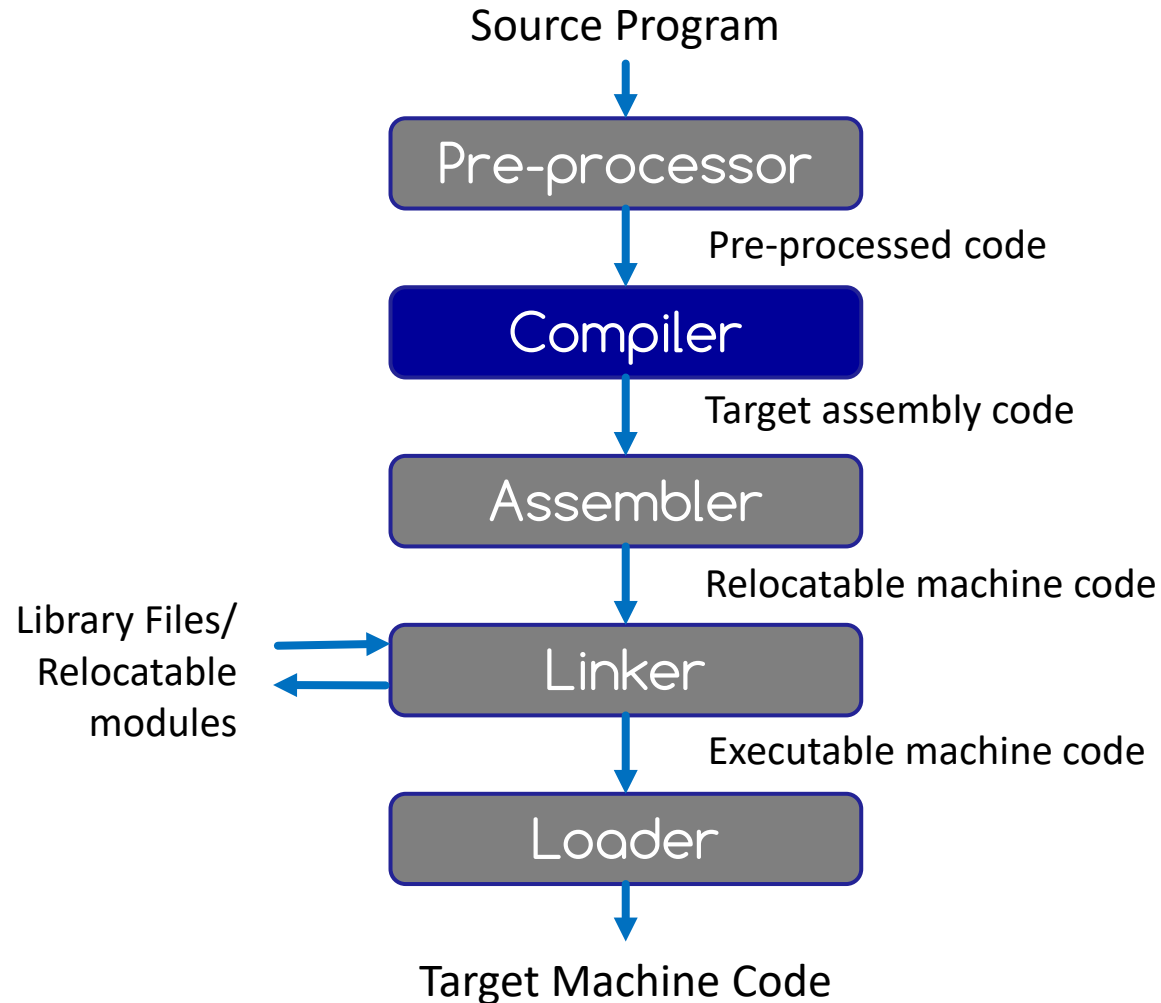
# Agenda

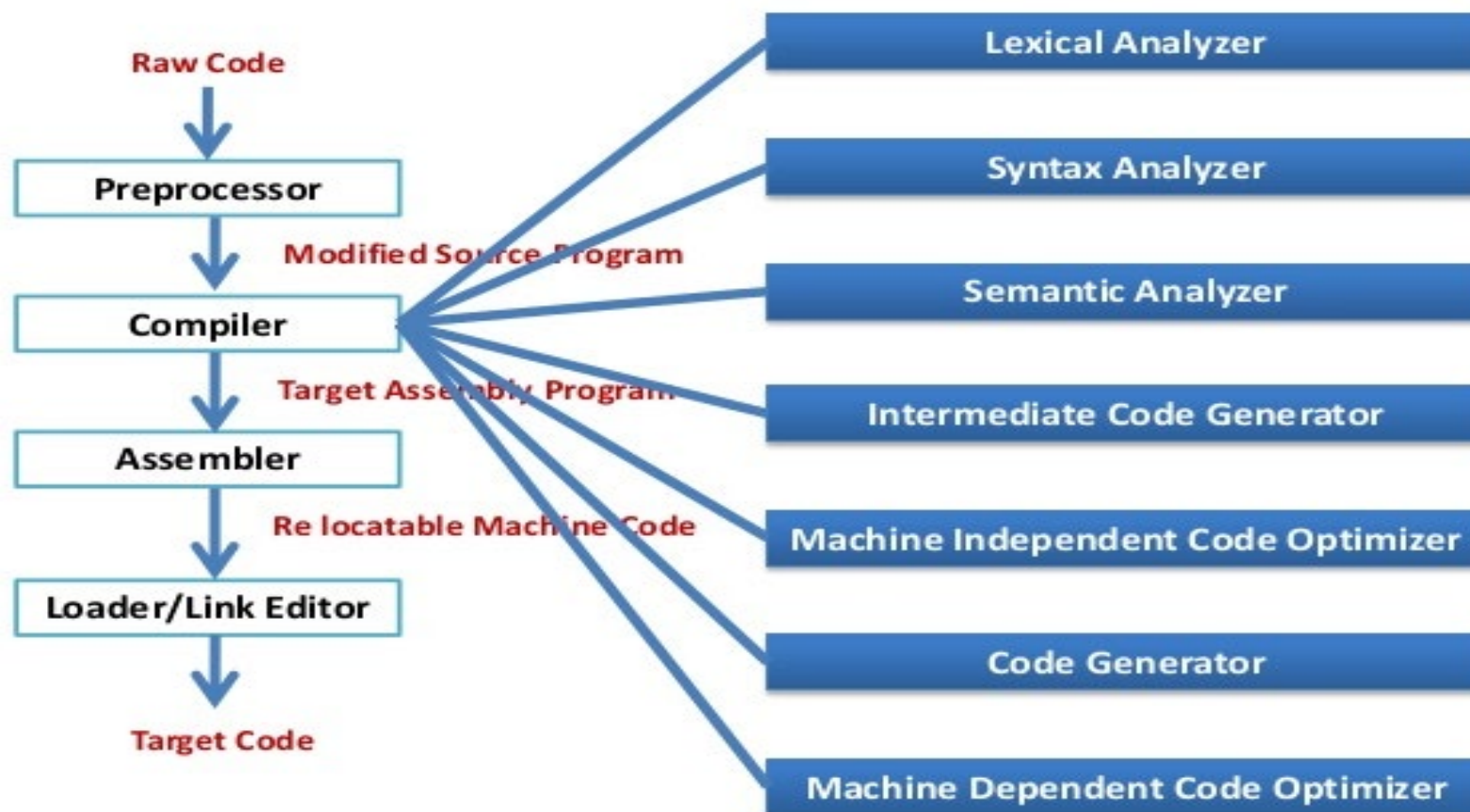
- ▶ Phases of a Compiler
- ▶ Lexical Analysis Phase
  - ▶ Scanning Process
  - ▶ Regular Expressions
  - ▶ Finite Automata

# Language Processing System



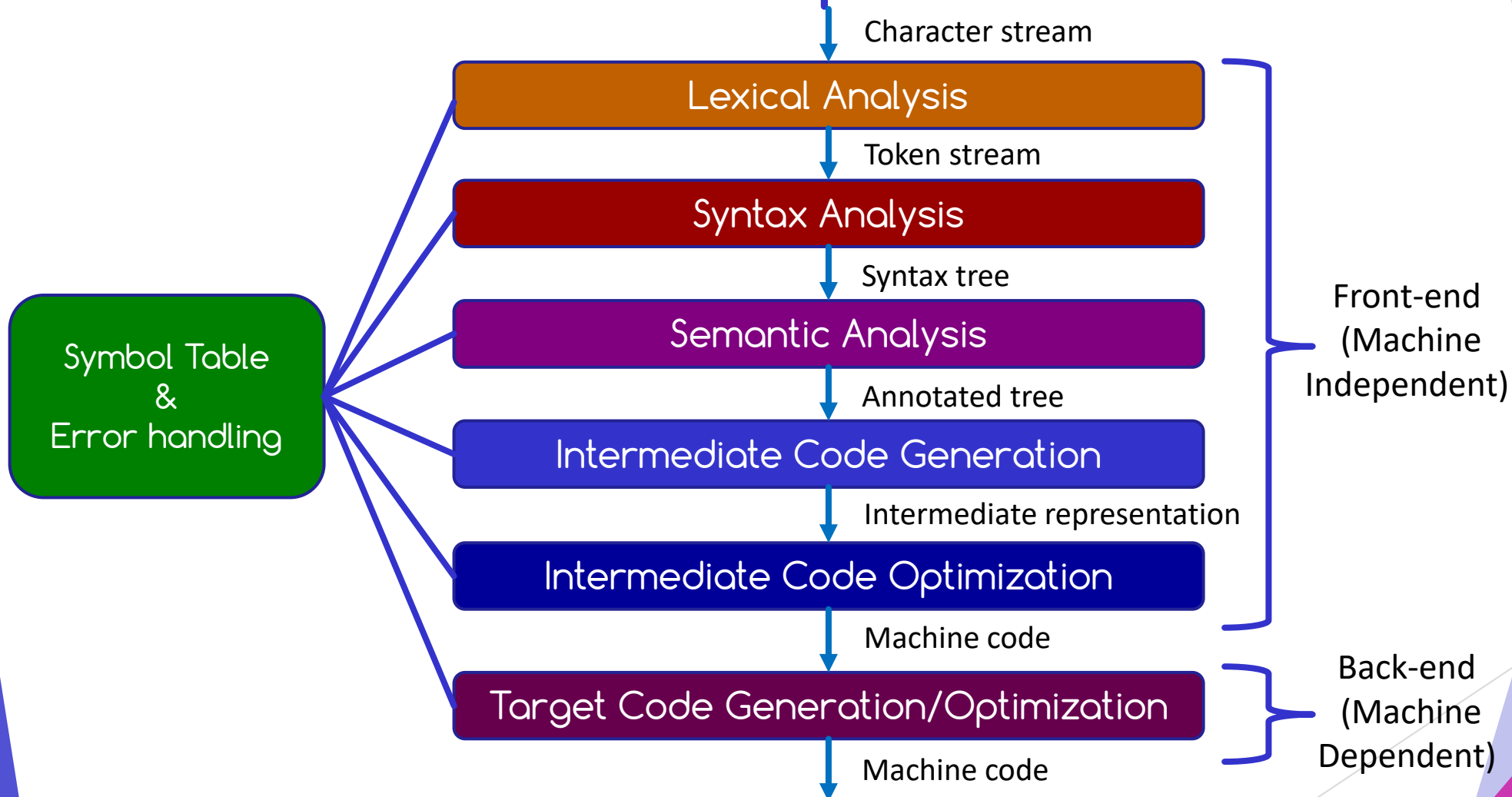
# Language Processing System





## Phases of a Compiler

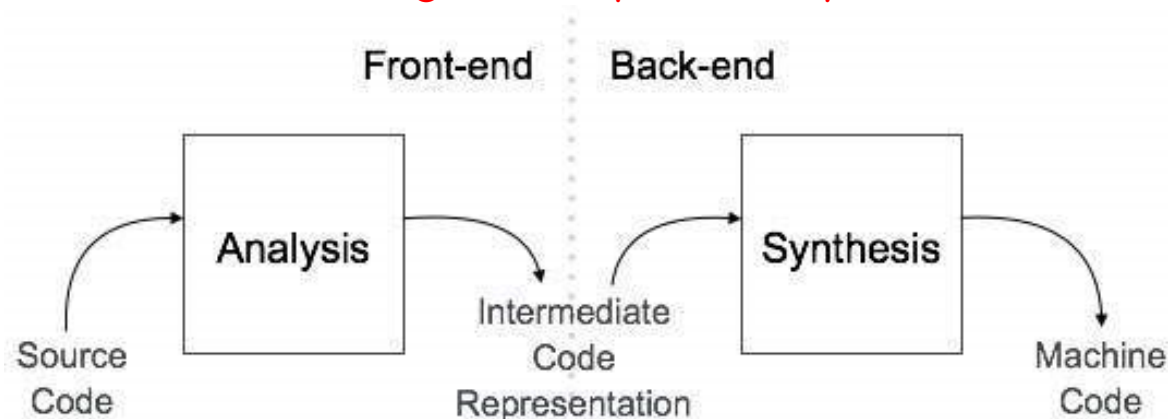
# Phases of a Compiler



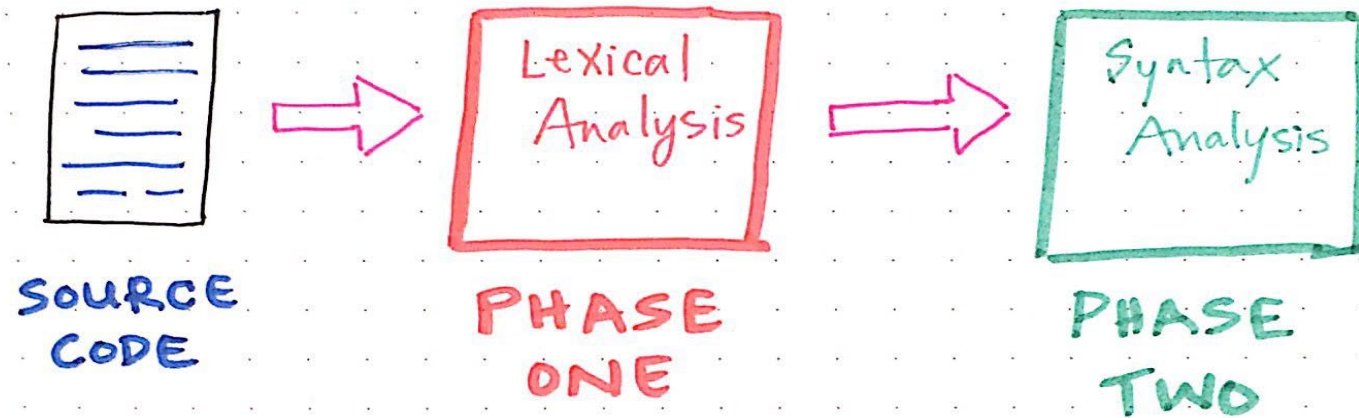
# Analysis and Synthesis



- ▶ The **analysis** part of the compiler analyzes the **source program** to compute its properties
  - ▶ Lexical analysis, syntax analysis and semantics analysis, as well as optimization
  - ▶ More mathematical and better understood
- ▶ The **synthesis** part of the compiler produces the **translated codes**
  - ▶ Code generation, as well as optimization
  - ▶ More specialized
- ▶ The two parts can be **changed independently** of the other



→ Before any code from a source program, written in any language, can be **parsed**, it must first be scanned, split up, and grouped in certain ways. This is the first phase of the compilation process, called **lexical analysis**.

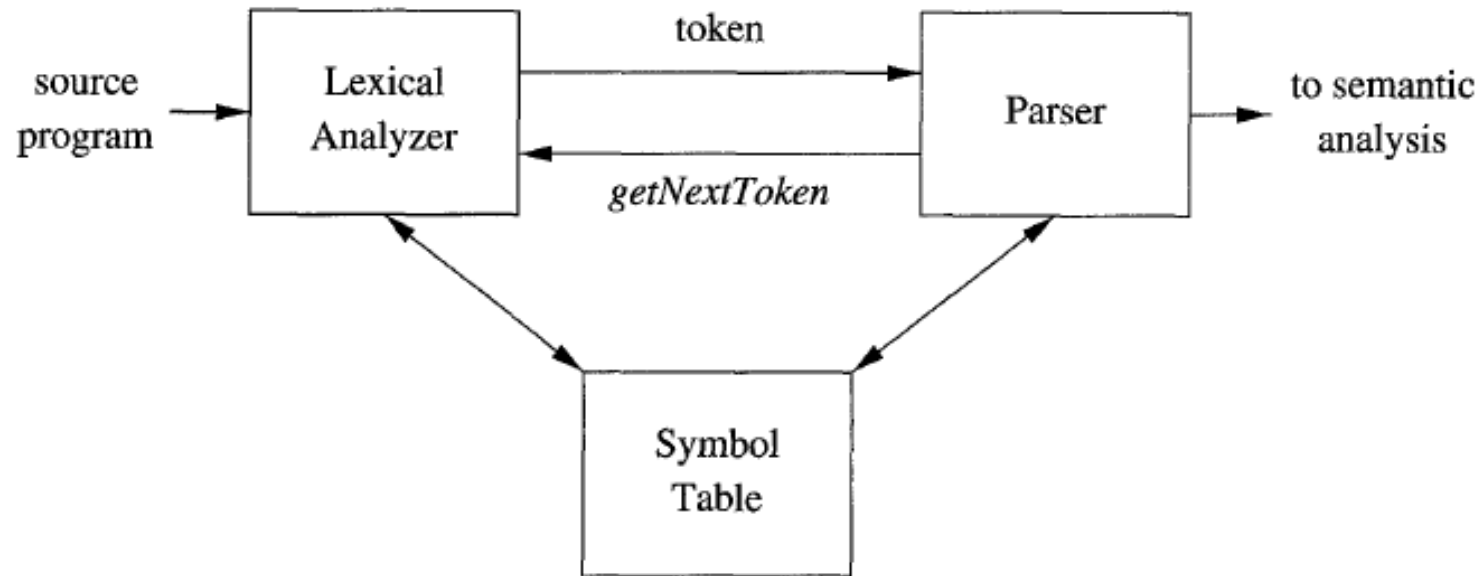


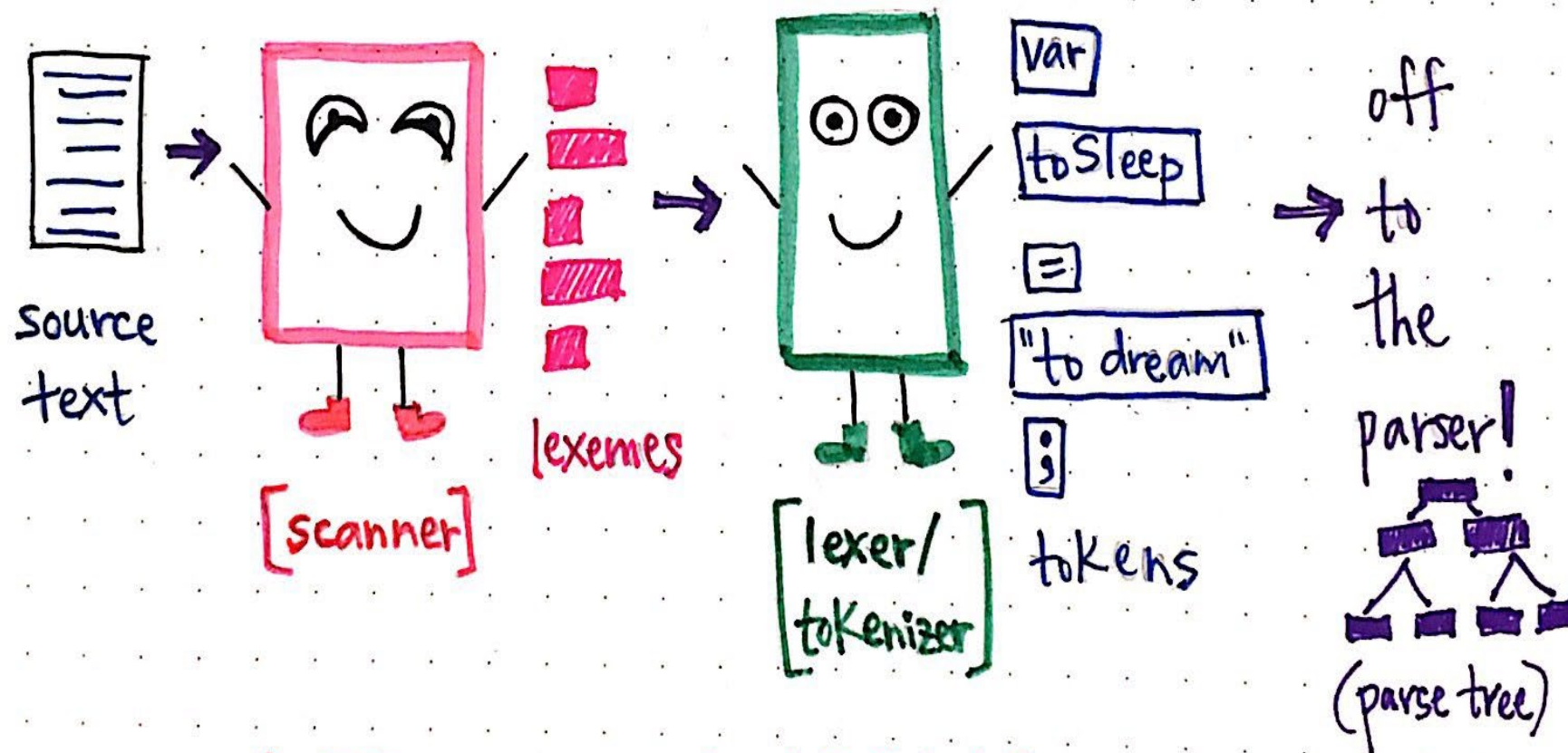
## Lexical Analysis



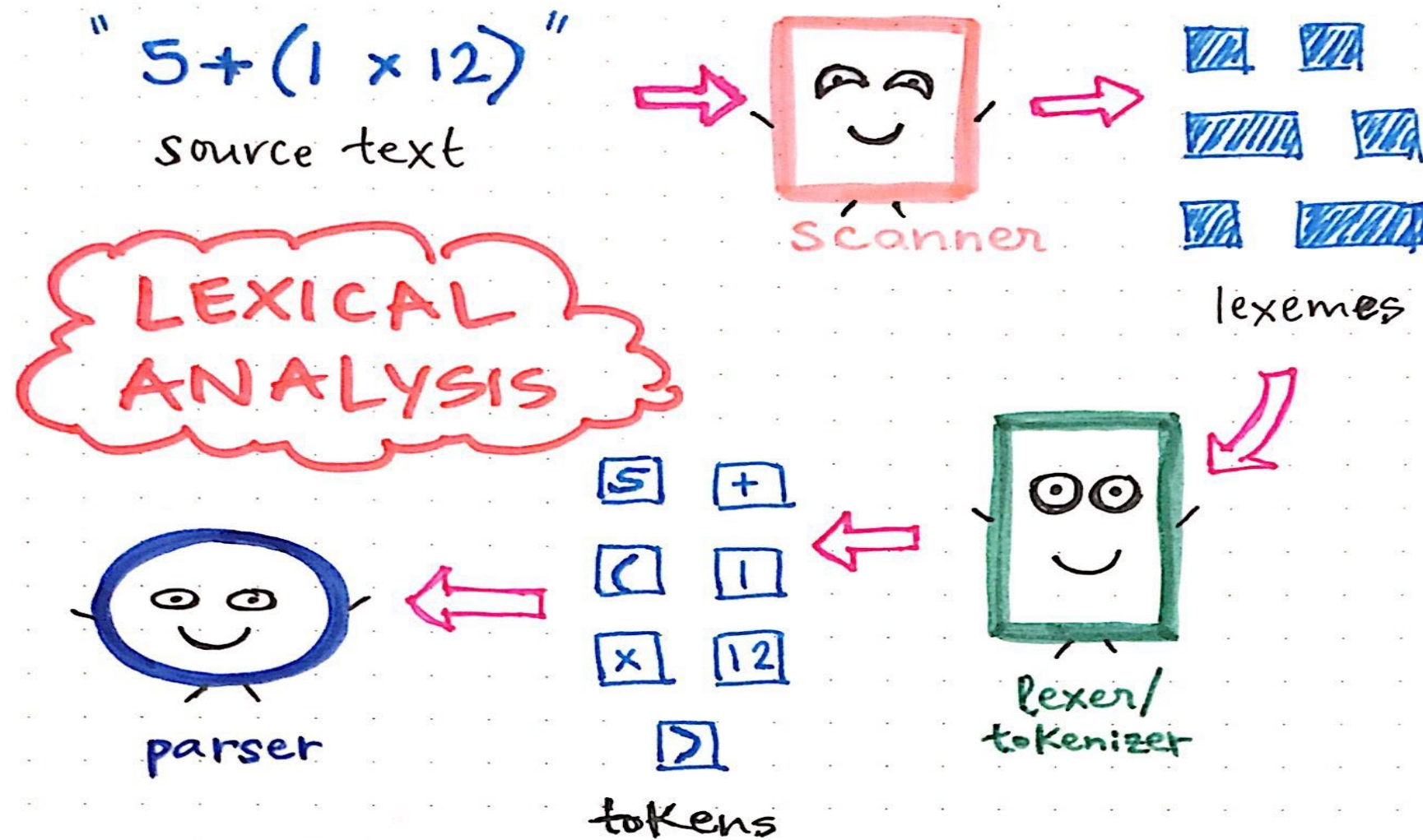
# Lexical Analysis

- Provide the interface between the source program and the parser





## LEXICAL ANALYSIS



# Goals of the lexical analysis

- ▶ Divide the character stream into meaningful sequences called **lexemes**.
- ▶ Label each lexeme with a **token** (like constants, and reserved words) that is passed to the parser (syntax analysis)
- ▶ Remove non-significant blanks and comments
- ▶ Optional: **update the symbol tables** with all identifiers (and numbers)

# The Lexical Analysis Terminology



## ► LEXEME

- is a *sequence of characters* in the source program that matches the pattern for a token and is identified by the lexical analyzer as an instance of that token.
- Identifiers: x, count, name, etc...

## ► TOKEN

- A *token* is a *category* of lexemes
- It consists of a *token name* and an *optional attribute value*
- Examples: <Identifier>, <number>, <keyword> etc.

## ► PATTERN

- The rules which characterize the set of strings for a token
- Defined using regular expression

# The Lexical Analysis Terminology



Token	Sample Lexemes	Informal description of pattern
if	if	if
While	While	while
Relation	<, <=, =, <>, >, >=	< or <= or = or <> or > or >=
Id	count, sun, i, j, pi, D2	Letter followed by letters and digits
Num	0, 12, 3.1416, 6.02E23	Any numeric constant

Classifies pattern

Actual values

1. Stored in the symbol table
2. Returned to the parser

# The Categories of Tokens

## ▶ RESERVED WORDS

- ▶ Such as IF and THEN, which represent the strings of characters “if” and “then”

## ▶ SPECIAL SYMBOLS

- ▶ Such as PLUS and MINUS, which represent the characters “+” and “-”

## ▶ OTHER TOKENS

- ▶ Such as **NUM** and **ID**, which represent numbers and identifiers



# Example

- ▶ **Lexical analysis** collects sequences of characters into meaningful units called *tokens*
- ▶ An example: `a[index]=4+2`

a	identifier
[	left bracket
index	identifier
]	right bracket
=	assignment
4	number
+	plus sign
2	number

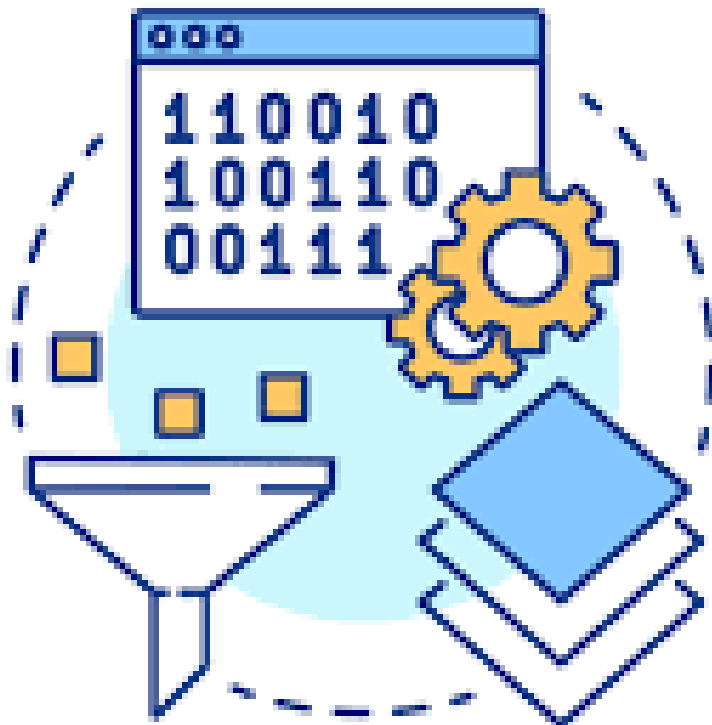


# Relationship between Tokens and its String

- ▶ The string is called STRING VALUE or LEXEME of token
- ▶ Some tokens have only one lexeme, such as reserved words
  - ▶ Example: (Token) IF → (Lexeme) if
- ▶ Some tokens may have infinitely many lexemes, such as the token ID
  - ▶ Example: (Token) ID → (Lexeme) num1

# Relationship between Tokens and its String

- ▶ Any value associated to a token is called **attribute** of a token
  - ▶ String value is an example of an attribute.
  - ▶ A NUM token may have a *string value* such as “32767” and *actual value* 32767
  - ▶ A PLUS token has the string value “+” as well as arithmetic operation +
- ▶ The token can be viewed as the **collection of all of its attributes**
  - ▶ Only need to compute as many attributes as necessary to allow further processing
  - ▶ The numeric value of a NUM token need not compute immediately

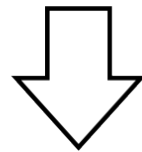


## Lexical Analysis Phase: Scanning & Tokenizing

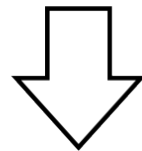
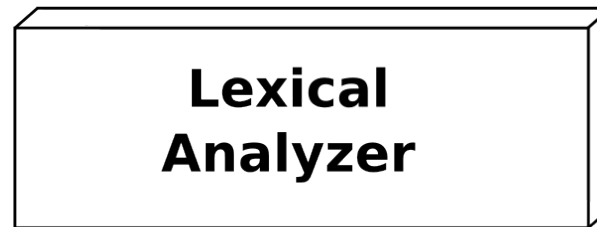
# Scanning and Tokenizing



i f (   x   >   3 . 1



*Character Stream*



*Token Stream*

KEYWORD	BRACKET	IDENTIFIER	OPERATOR	NUMBER
"if"	" ("	"x"	">"	"3.1"

# Some Practical Issues of the Scanner

- ▶ One structured data type to collect all the attributes of a token, called a **token record**

```
struct TokenRecord
{
    TokenType tokenval; //the enum keywords
    char *stringval;
    int numval;
};
```

# Example

$x = y + 10$

Lexeme	Token
x	identifier
=	Assignment operator
y	identifier
+	Addition operator
10	Number

# Example

NU

```
while (ip < z)
    ++ip;
```

(Keith Schwarz)

# Example

NU

w	h	i	l	e		(	i	p		<		z	)	\n	\t	+	+	i	p	;
---	---	---	---	---	--	---	---	---	--	---	--	---	---	----	----	---	---	---	---	---

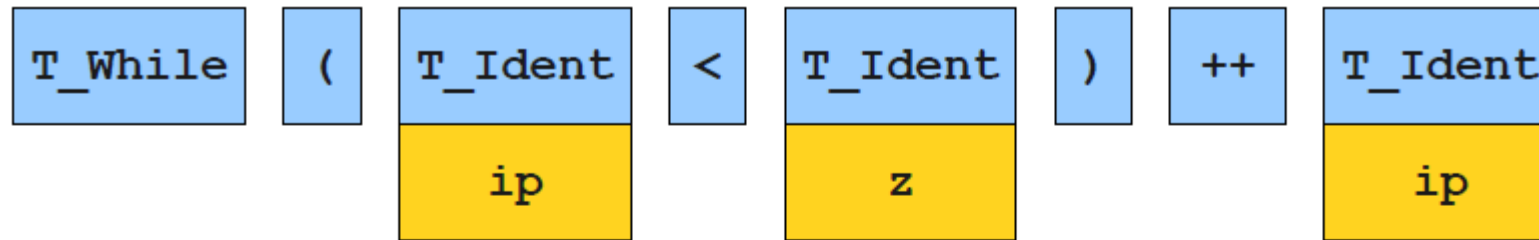
```
while (ip < z)
    ++ip;
```

(Keith Schwarz)



# Example

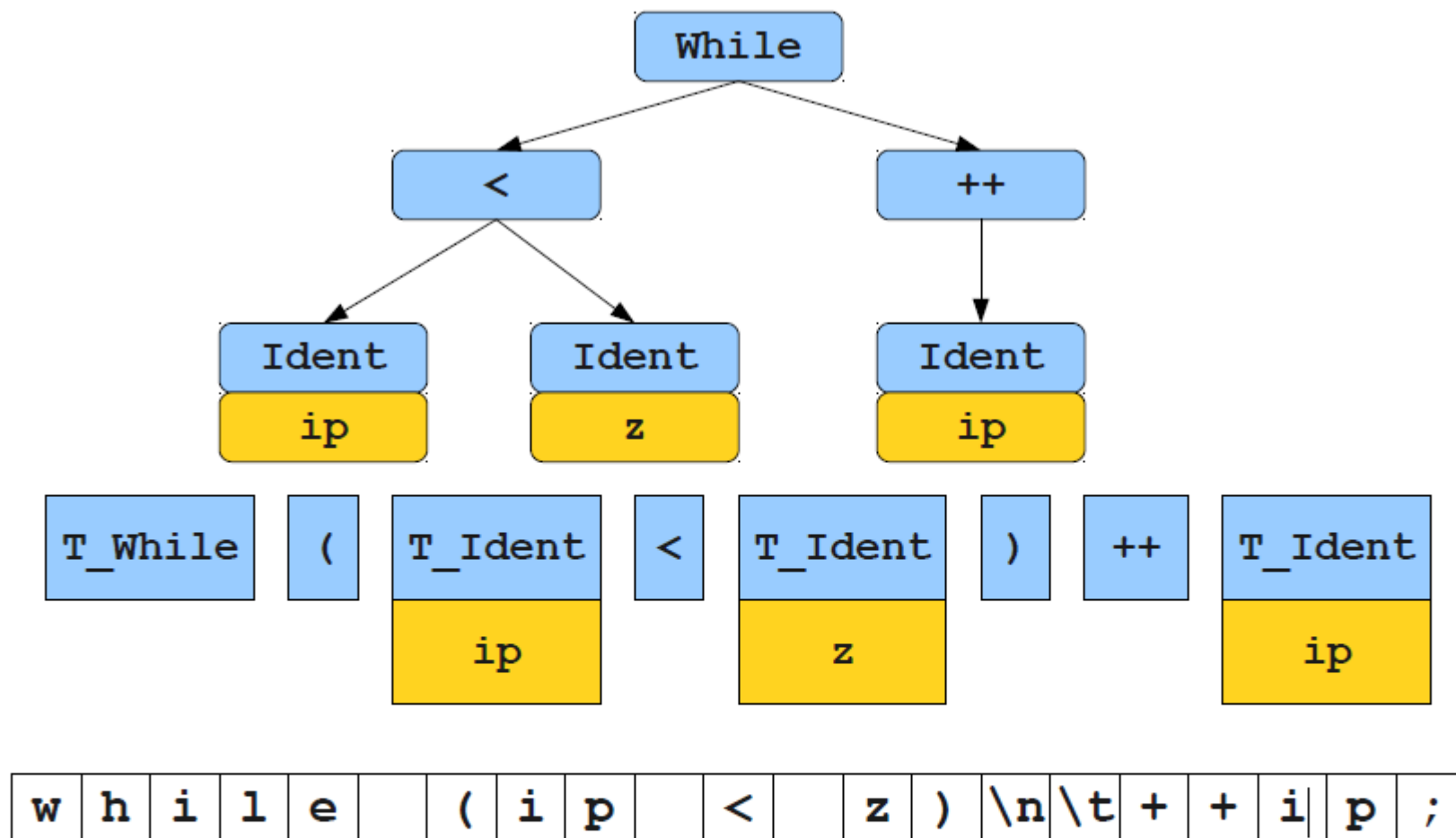
NU



w	h	i	l	e		(	i	p		<		z	)	\n	\t	+	+	i	p	;
---	---	---	---	---	--	---	---	---	--	---	--	---	---	----	----	---	---	---	---	---

```
while (ip < z)
    ++ip;
```

(Keith Schwarz)



```
while (ip < z)
    ++ip;
```

(Keith Schwarz)

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

The piece of the original program  
from which we made the token is  
called a **lexeme**.

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

T\_While

The piece of the original program from which we made the token is called a **lexeme**.

This is called a **token**. You can think of it as an enumerated type representing what logical entity we read out of the source code.

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

**T\_while**

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

**T\_while**

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

**T\_While**

Sometimes we will discard a lexeme rather than storing it for later use. Here, we ignore whitespace, since it has no bearing on the meaning of the program.



# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

T\_while

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

T\_while

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

T\_while

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

T_while	(
---------	---

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

T_while	(
---------	---

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

T_while	(
---------	---

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

T\_while

(

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

T\_while

(



# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---

T\_while

(

# Scanning a Source File



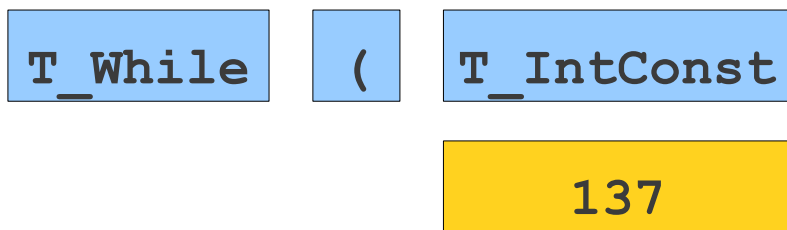
w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
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T_while	(	T_IntConst
		137

# Scanning a Source File



w	h	i	l	e		(	1	3	7		<		i	)	\n	\t	+	+	i	;
---	---	---	---	---	--	---	---	---	---	--	---	--	---	---	----	----	---	---	---	---



Some tokens can have **attributes** that store extra information about the token. Here we store which integer is represented.



## 5 MINUTES BREAK



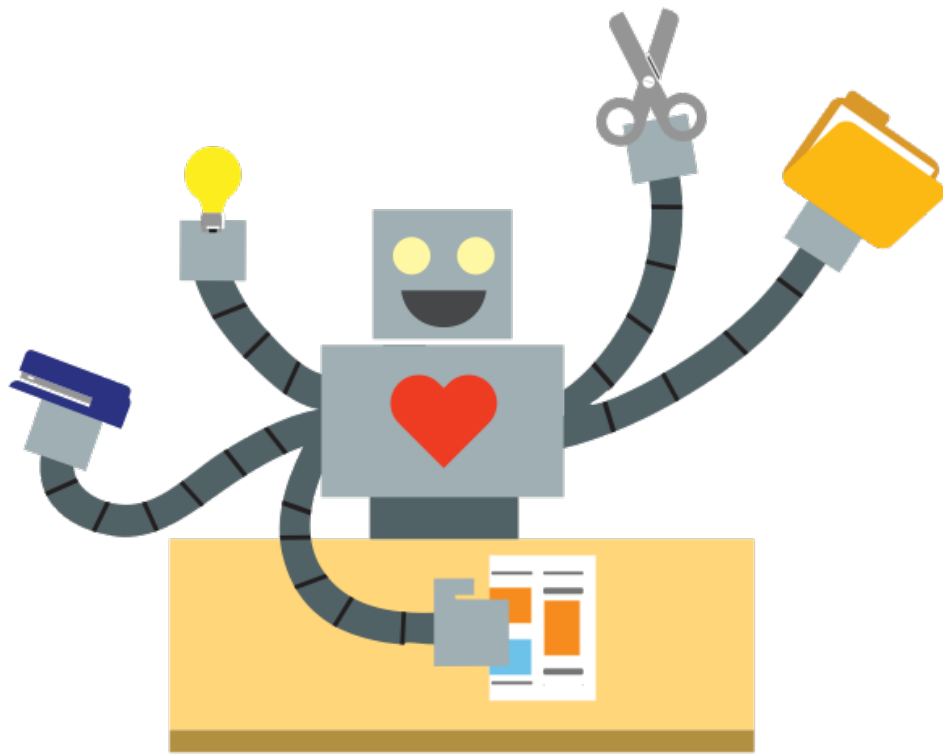
# NU

How do we describe which set of lexemes is associated with each token type

# Formal Languages



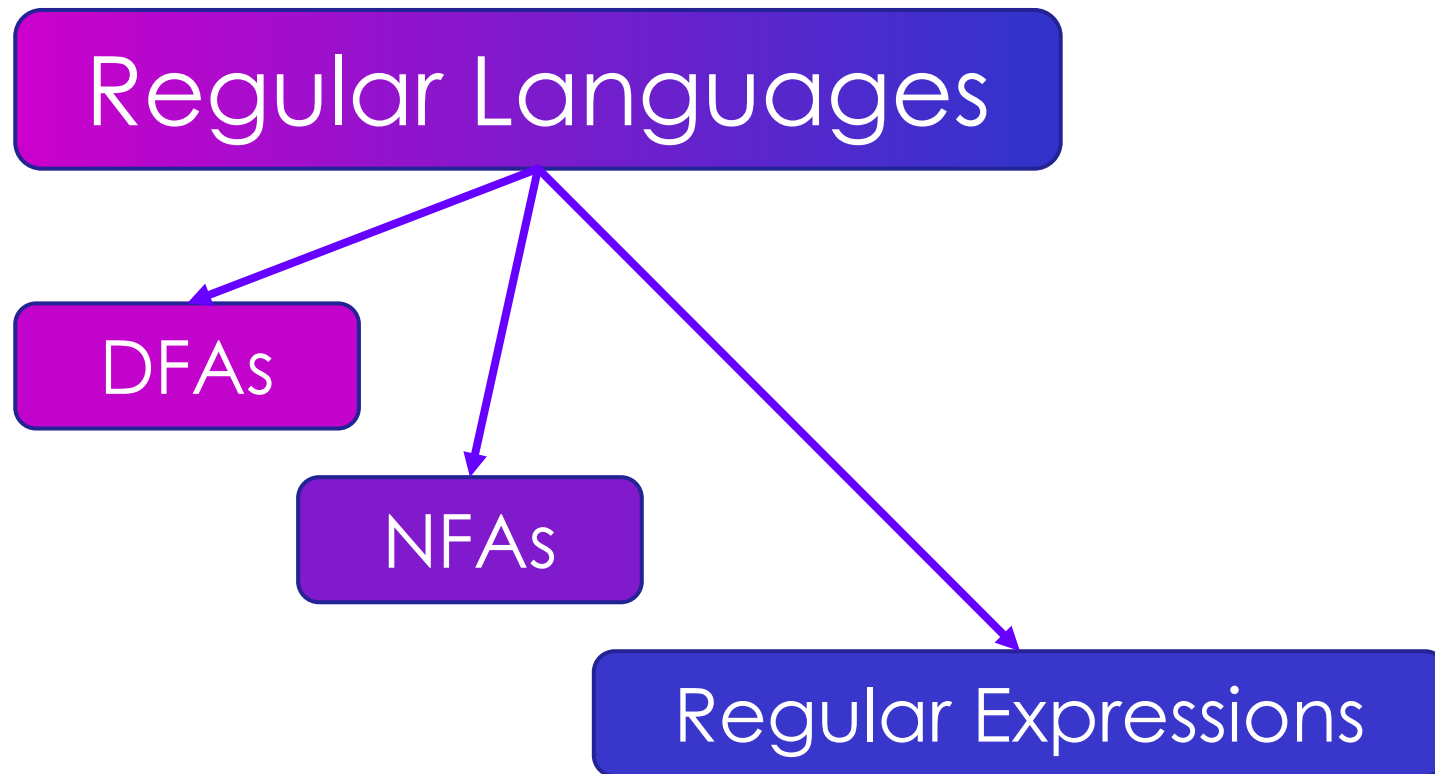
- ▶ A formal language is a set of strings.
- ▶ Many infinite languages have finite descriptions:
  - a) Define the language using a regular expression
  - b) Define the language using an automaton
  - c) Define the language using a grammar
- ▶ We can use these compact descriptions of the language to define sets of strings.



## Regular Expressions



# Standard Representations of Regular Languages



# Regular expressions in practice

- ▶ **Compilers:** first phase of compiling transforms Strings to Tokens keywords, operators, identifiers, literals
- ▶ One regular expression for each token type

# Regular Expression

- ▶ Regular Expressions are used for representing certain sets of strings in an **algebraic fashion**.
- ▶ **Regular expressions** describe **regular languages**
  - ▶  $L(r)$ : language of regular expression  $r$
- ▶ Example:  $(a + b \cdot c)^*$  describes the language

$$\{a, bc\}^* = \{\lambda, a, bc, aa, abc, bca, \dots\}$$

# Regular Operations

- ▶ Let  $A$  and  $B$  be languages. We define the regular operations union, concatenation, and star as follows:
- ▶ **Union:**  $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$ .
- ▶ **Concatenation:**  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$
- ▶ **Star:**  $A^* = \{x_1x_2 \dots x_k \mid k \geq 0 \text{ and each } x_i \in A\}$

# Regular Expressions

## Conventions:

- ▶  $\Sigma$  is shorthand for  $(0 \cup 1)$  if  $\Sigma = \{0, 1\}$
- ▶ Parentheses may be omitted
- ▶ **Precedence:**
  1. Star
  2. Concatenation
  3. Union
- ▶  $R^+$  is shorthand for  $RR^*$  (one or more)
- ▶  $R^k$  is shorthand for  $R$  concatenated with itself  $k$  times
- ▶ Circle indicated concatenation may be omitted

1.  $R = a$ , where  $a \in \Sigma$
2.  $R = \varepsilon$
3.  $R = \emptyset$
4.  $R = (R_1 \cup R_2)$
5.  $R = (R_1 \circ R_2)$
6.  $(R_1^*)$

# Regular Expressions

- ▶ Any terminal symbol i.e. symbols  $\epsilon \in \Sigma$  including  $\epsilon$  and  $\emptyset$  are regular expressions.

$a, b, c, \dots, \epsilon, \emptyset$

- ▶ The union of two regular expressions is also a regular expression.

$R_1, R_2 \rightarrow (R_1 \cup R_2)$

# Regular Expressions

- ▶ The concatenation of two regular expressions is also a regular expression.

$$R_1, R_2 \rightarrow (R_1 \circ R_2)$$

- ▶ The iteration (or Closure) of a regular expression is also a regular expression.

$$R \rightarrow R^* \rightarrow a^* = \varepsilon, a, aa, aaa, ..$$

- ▶ The regular expression over  $\Sigma$  are precisely those obtained recursively by the application of the above rules once or several times.

# From RegEx to Languages



The language described by a **regular expression**  $L(R)$ :

- ▶  $L(a) = \{a\}$  (for all  $a$  in  $\Sigma$ )
- ▶  $L(\epsilon) = \{\epsilon\}$
- ▶  $L(\emptyset) = \emptyset$
- ▶  $L(R_1 \cup R_2) = \{w \mid w \text{ in } L(R_1) \text{ or } w \text{ in } L(R_2)\}$
- ▶  $L(R_1 \circ R_2) = \{w_1 w_2 \mid w_1 \text{ in } L(R_1) \text{ and } w_2 \text{ in } L(R_2)\}$
- ▶  $L(R^*) = L(R)^*$



# Languages of Regular Expressions

- ▶  $L(r)$ : language of regular expression  $r$
- ▶ Example

$$L((a + b \cdot c)^*) = \{\lambda, a, bc, aa, abc, bca, \dots\}$$

# Continue ...

► For regular expressions  $r_1$  and  $r_2$

$$L(r_1 + r_2) = L(r_1) \cup L(r_2)$$

$$L(r_1 \cdot r_2) = L(r_1) L(r_2)$$

$$L(r_1^*) = (L(r_1))^*$$

$$L((r_1)) = L(r_1)$$

# Example

► Regular expression:  $(a + b) \cdot a^*$

$$\begin{aligned} L((a + b) \cdot a^*) &= L((a + b)) L(a^*) \\ &= L(a + b) L(a^*) \\ &= (L(a) \cup L(b)) (L(a))^* \\ &= (\{a\} \cup \{b\}) (\{a\})^* \\ &= \{a, b\} \{\lambda, a, aa, aaa, \dots\} \\ &= \{a, aa, aaa, \dots, b, ba, baa, \dots\} \end{aligned}$$

# Example

- Define the language of the given regular expression

$$r = (aa)^*(bb)^*b$$

$$L(r) = \{a^{2n}b^{2m}b : n, m \geq 0\}$$

# Example

- Define the language of the given regular expression

$$r = (0 + 1)^* 00 (0 + 1)^*$$

$$L(r) = \{ \text{all strings containing substring } 00 \}$$



# Review Questions

# What Tokens are Useful Here?



```
for (int k = 0; k < myArray[5]; ++k) {  
    cout << k << endl;  
}
```

# What Tokens are Useful Here?



```
for (int k = 0; k < myArray[5]; ++k) {  
    cout << k << endl;  
}
```

```
for  
int  
<<  
=  
(  
)  
++  
  
{  
}  
;  
<  
[  
]
```



# What Tokens are Useful Here?



```
for (int k = 0; k < myArray[5]; ++k) {  
    cout << k << endl;  
}
```

```
for      {  
int      }  
<<      ;  
=        <  
(        [  
)        ]  
++
```

Identifier  
IntegerConstant

# Q2



# NU

- ▶ Suppose the only characters are **0** and **1**.
- ▶ Define a regular expression for strings containing **00** as a substring.

# Q2 Solution

- ▶ Suppose the only characters are **0** and **1**.
- ▶ Define a regular expression for strings containing **00** as a substring.

$(0|1)^* 00(0|1)^*$

11011100101

0000

11111011110011111

# Q3



# NU

- ▶ Suppose the only characters are **0** and **1**.
- ▶ Write a regular expression for strings of length exactly four.

## Q3 Solution

- ▶ Suppose the only characters are **0** and **1**.
- ▶ Write a regular expression for strings of length exactly four.

**(0|1)(0|1)(0|1)(0|1)**

# Q3 Solution

- ▶ Suppose the only characters are **0** and **1**.
- ▶ Write a regular expression for strings of length exactly four.

**(0|1)(0|1)(0|1)(0|1)**

**0000**

**1010**

**1111**

**1000**

# Q3 Solution

- ▶ Suppose the only characters are **0** and **1**.
- ▶ Write a regular expression for strings of length exactly four.

**(0|1)(0|1)(0|1)(0|1)**

**0000**  
**1010**  
**1111**  
**1000**

## Q3 (Another Solution)

- ▶ Suppose the only characters are 0 and 1.
- ▶ Write a regular expression for strings of length exactly four.

*Another Solution*

**(0|1){4}**

0000

1010

1111

1000





# Q4



# NU

- ▶ Suppose our alphabet is **a**, **@**, and **.**
- ▶ Write a regular expression for email address format.

# Q4 Solution

- ▶ Suppose our alphabet is **a**, **@**, and **.**
- ▶ Write a regular expression for email address format.

$aa^*(.aa^*)^* @ aa^*.aa^*(.aa^*)^*$

$a^+ (.a^+)^* @ a^+.a^+ (.a^+)^*$

$a^+ (.a^+)^* @ a^+ (.a^+)^+ *$

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# Q5



Suppose that our alphabet is all ASCII characters.  
A regular expression for even numbers is

# Q5 Solution

Suppose that our alphabet is all ASCII characters.  
A regular expression for even numbers is

**(+|-)?(0|1|2|3|4|5|6|7|8|9)\*(0|2|4|6|8)**

# Q5 Solution

Suppose that our alphabet is all ASCII characters.  
A regular expression for even numbers is

**$(+|-)?(0|1|2|3|4|5|6|7|8|9)^*(0|2|4|6|8)$**

**42  
+1370  
-3248  
-9999912**

# Q5 Solution

Suppose that our alphabet is all ASCII characters.  
A regular expression for even numbers is

$(+|-)?(0|1|2|3|4|5|6|7|8|9)^*(0|2|4|6|8)$

42  
+1370  
-3248  
-9999912

# Q5 Solution

Suppose that our alphabet is all ASCII characters.  
A regular expression for even numbers is

**(+|-)?[0123456789]\*[02468]**

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# See you next lecture

