

Proof Theory of Modal Logic

Lecture 5 Beyond the S5-cube



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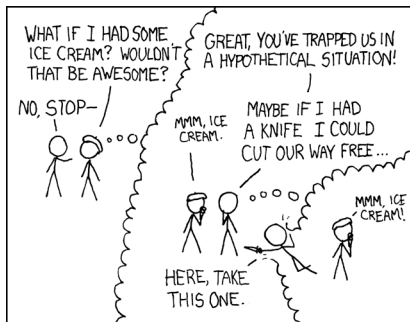
5th Tsinghua Logic Summer School
Beijing, 14 - 18 July 2025

Today's lecture: Beyond the S5 – *cube*

- ▶ Conditional logics
- ▶ Labelled proof systems for conditional logics
- ▶ Structured proof systems for conditional logics

Conditionals

If A then B



Counterfactuals

- ▶ If I had some ice cream, **then** life would be awesome.
- ▶ If kangaroos had no tails, **then** they would topple over.
- ▶ If Sam saw a lunar eclipse, **then** she would no longer believe that Earth is flat.

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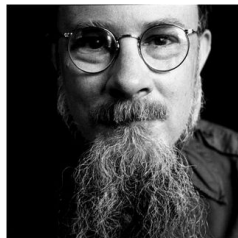
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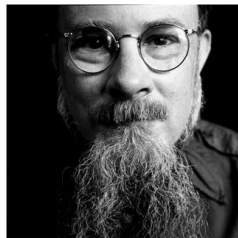
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- ▶ Possible world models [Stalnaker, 1968], [Lewis, 1973]



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- ▶ Possible world models [Stalnaker, 1968], [Lewis, 1973], [Nute, 1980], [Chellas, 1975], [Burgess, 1981], and many more!



Conditionals in a modal framework

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Modal logics

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Modal logics

$$A, B ::= p \mid \perp \mid A \vee B \mid A \wedge B \mid A \rightarrow B \mid \Box A$$

$$\neg A \quad := \quad A \rightarrow \perp$$

$$\Diamond A \quad := \quad \neg \Box \neg A$$

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Conditional logics

$$A, B ::= p \mid \perp \mid A \vee B \mid A \wedge B \mid A \rightarrow B \mid A > B$$

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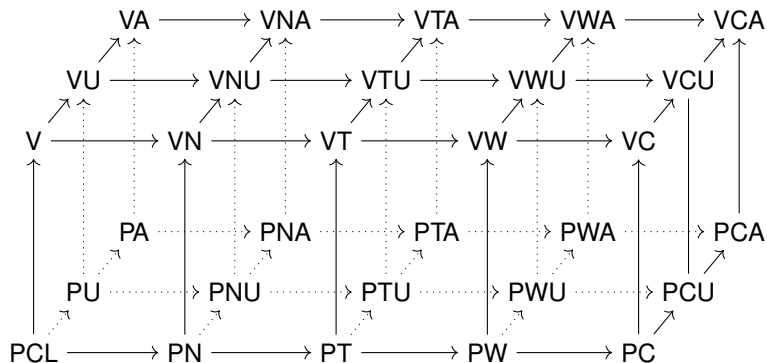
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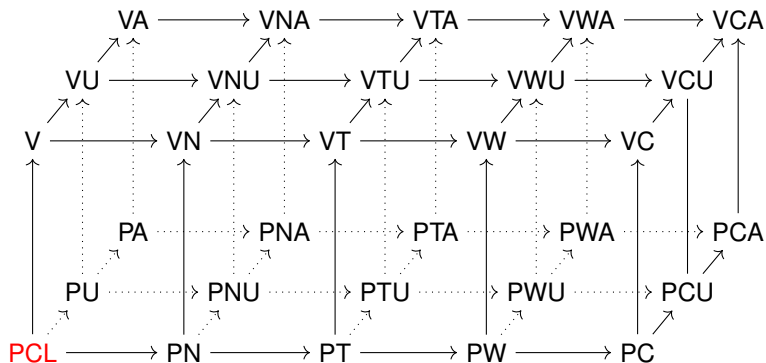
$$\Box A := \neg A > \perp$$

$$\Diamond A := \neg(A > \perp)$$

Conditional logics

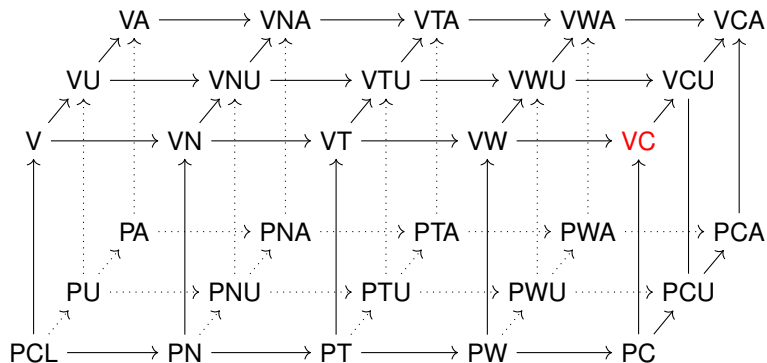


Conditional logics



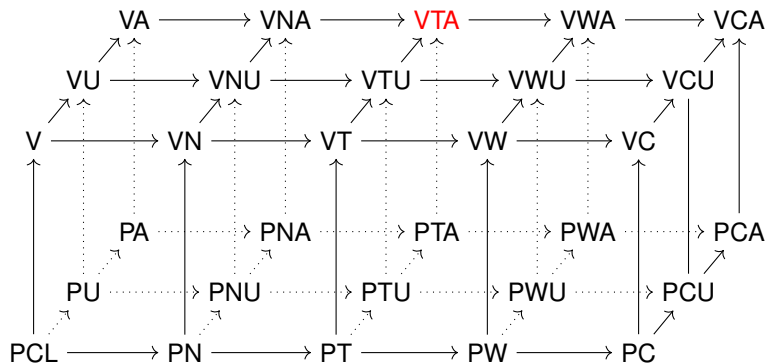
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- ▶ Conditional belief of agents [Baltag and Smets, 2006, 2008]

Axioms

PCL: classical propositional logic plus

$$\text{rcea} \quad \frac{A \leftrightarrow B}{(A > C) \leftrightarrow (B > C)}$$

$$\text{rck} \quad \frac{A \rightarrow B}{(C > A) \rightarrow (C > B)}$$

$$\text{id} \quad A > A$$

$$\text{r.and} \quad (A > B) \wedge (A > C) \rightarrow (A > (B \wedge C))$$

$$\text{cm} \quad (A > B) \wedge (A > C) \rightarrow ((A \wedge C) > B)$$

$$\text{rt} \quad (A > B) \wedge ((A \wedge B) > C) \rightarrow (A > C)$$

$$\text{or} \quad (A > C) \wedge (B > C) \rightarrow ((A \vee B) > C)$$

V: PCL plus

$$\text{cv} \quad (A > C) \wedge \neg(A > \neg B) \rightarrow ((A \wedge B) > C)$$

Extensions of **PCL** and **V**

$$\text{n} \quad \neg(\top > \perp)$$

$$\text{t} \quad A \rightarrow \neg(A > \perp)$$

$$\text{w} \quad (A > B) \rightarrow (A \rightarrow B)$$

$$\text{c} \quad (A \wedge B) \rightarrow (A > B)$$

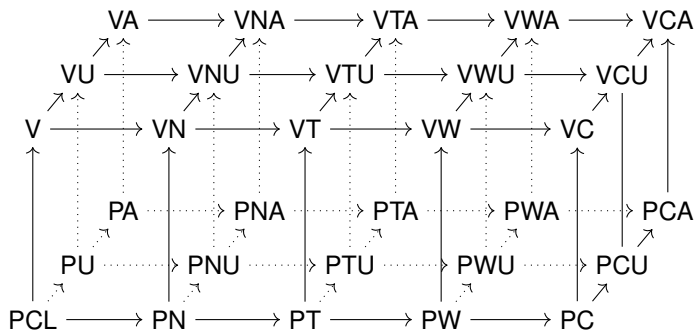
$$\text{u}_1 \quad (\neg A > \perp) \rightarrow (\neg(\neg A > \perp) > \perp)$$

$$\text{u}_2 \quad \neg(A > \perp) \rightarrow ((A > \perp) > \perp)$$

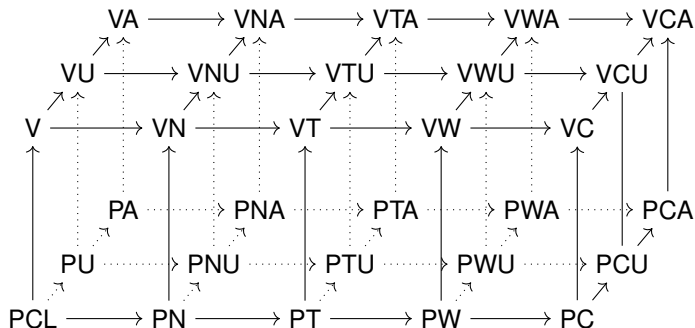
$$\text{a}_1 \quad (A > B) \rightarrow (C > (A > B))$$

$$\text{a}_2 \quad \neg(A > B) \rightarrow (C > \neg(A > B))$$

Possible-world semantics for conditionals

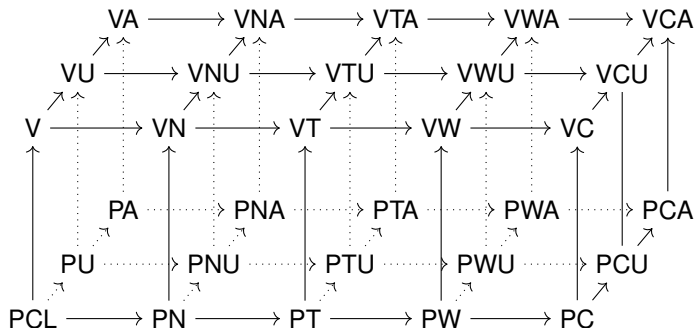


Possible-world semantics for conditionals



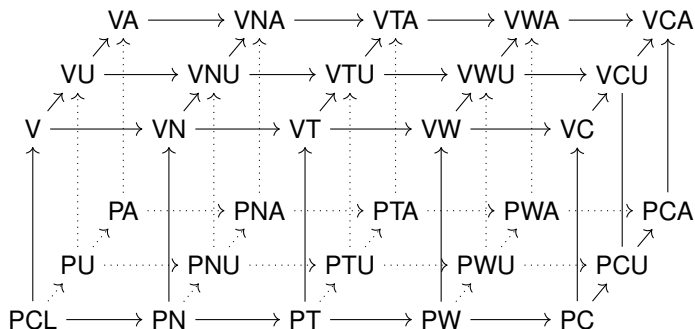
- ▶ Selection function semantics [Chellas, 1975]

Possible-world semantics for conditionals



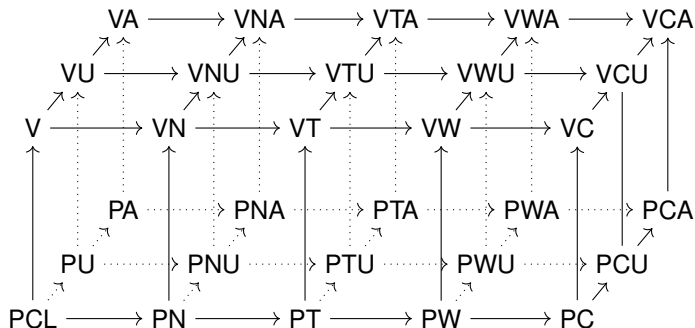
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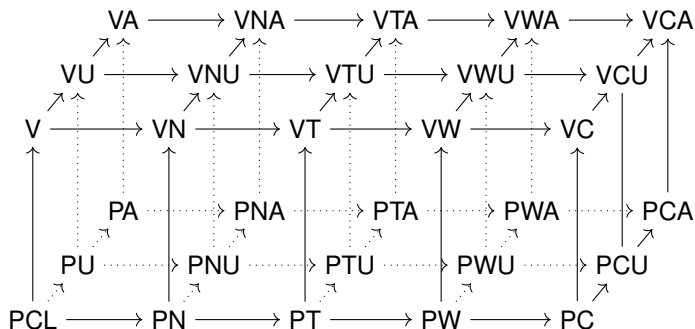
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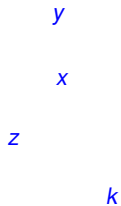
Direct proof of soundness and completeness w.r.t. the axiomatization of PCL and extensions [G, Negri, Olivetti, 2021]

Neighbourhood models for VC

$$\mathcal{M} = \langle W, N, [\![\cdot]\!] \rangle$$

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$$\mathcal{M} = \langle W, N, [\![\cdot]\!] \rangle \quad N: W \rightarrow \mathcal{P}(\mathcal{P}(W)) \text{ s.t. } \{\emptyset\} \notin N(x)$$

y

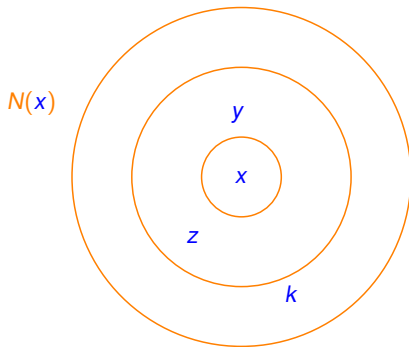
x

z

k

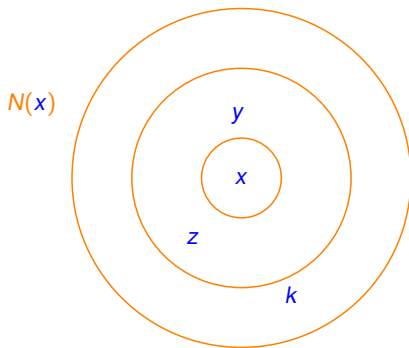
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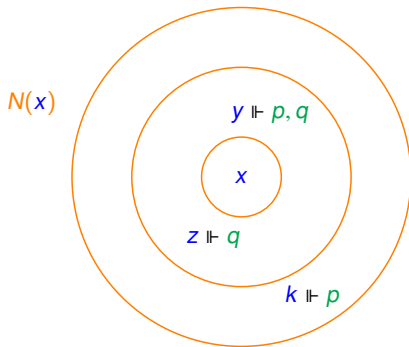
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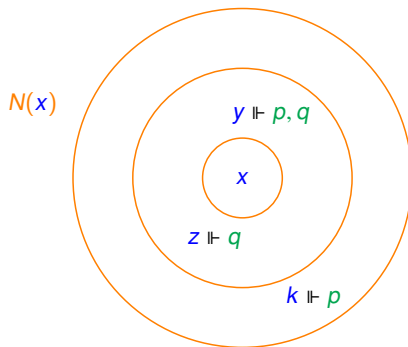
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Nesting for all x , for all $\alpha, \beta \in N(x)$, $\alpha \subseteq \beta$ or $\beta \subseteq \alpha$

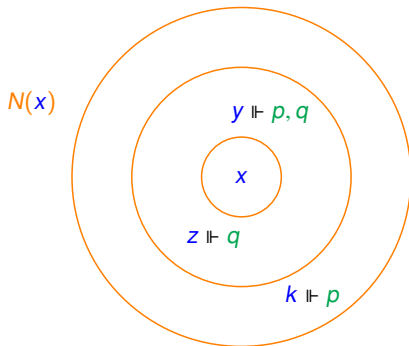


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Centering for all x , for all $\alpha \in N(x)$, $x \in \alpha$ and $\{x\} \in N(x)$

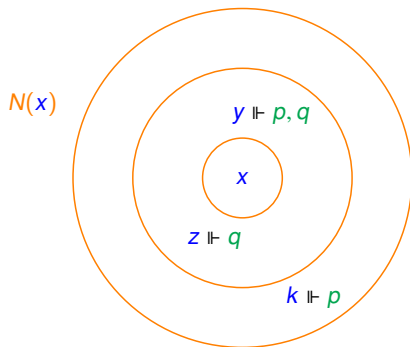


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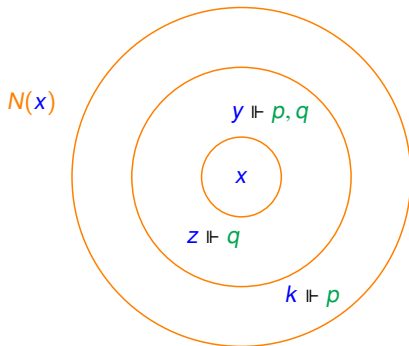
$x \Vdash p > q$ iff for all $\alpha \in N(x)$, if $\alpha \Vdash \exists p$, then
there is $\beta \in N(x)$ s.t. $\beta \subseteq \alpha$ and $\beta \Vdash \exists p$ and $\beta \Vdash \forall p \rightarrow q$

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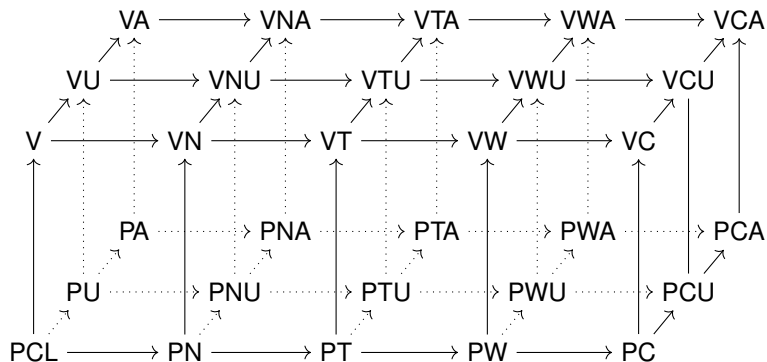


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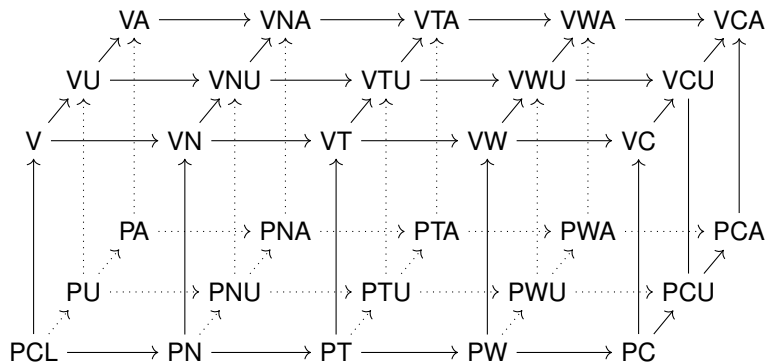
$\alpha \Vdash^\forall A \equiv \forall y \in \alpha, y \Vdash A$

$\alpha \Vdash^\exists A \equiv \exists y \in \alpha$ s. t. $y \Vdash A$

Conditional logics



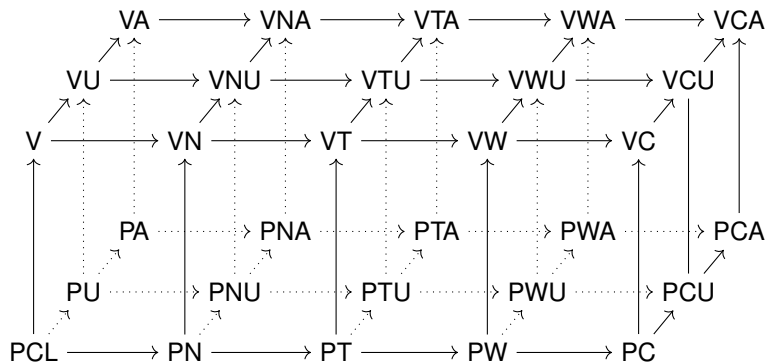
Conditional logics



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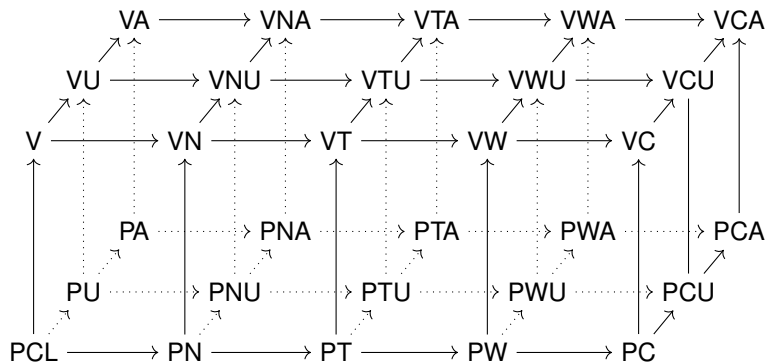
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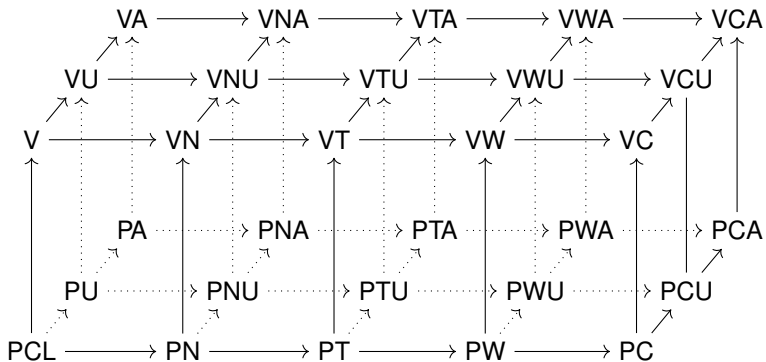
V

Conditional logics



- ★ *Normality* For all x , $N(x) \neq \emptyset$. N
- ★ *Total reflexivity* For all x , there is $\alpha \in N(x)$ such that $x \in \alpha$. T
- ★ *Weak centering* For all x , $N(x) \neq \emptyset$ and for all $\alpha \in N(x)$, $x \in \alpha$. W
- ★ *Centering* For all x , for all $\alpha \in N(x)$, $x \in \alpha$ and $\{x\} \in N(x)$. C
- ★ *Uniformity* For all x, y , $\bigcup N(y) = \bigcup N(x)$. U
- ★ *Absoluteness* For all x, y , $N(x) = N(y)$. A
- ★ *Nesting* For all x , for all $\alpha, \beta \in N(x)$, either $\alpha \subseteq \beta$ or $\beta \subseteq \alpha$. V

Labelled calculi for conditional logics



Enriching the language: modal logics

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☞ Rules for \Box

$$\Box_L \frac{xRy, \mathcal{R}, \Gamma \Rightarrow \Delta, y : A}{\mathcal{R}, \Gamma \Rightarrow \Delta, x : \Box A} \quad y! \quad \Box_R \frac{xRy, \mathcal{R}, x : \Box A, y : A, \Gamma \Rightarrow \Delta}{xRy, \mathcal{R}, x : \Box A, \Gamma \Rightarrow \Delta}$$

$x \Vdash \Box A$ iff for all y s.t. $xRy, y \Vdash A$

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$$x \Vdash \Box A \quad \text{iff} \quad \text{for all } y \text{ s.t. } xRy, y \Vdash A$$

☞ Rules for frame conditions, example: transitivity

$$\text{tr} \frac{xRz, xRy, yRz, \mathcal{R}, \Gamma \Rightarrow \Delta}{xRy, yRz, \mathcal{R}, \Gamma \Rightarrow \Delta}$$

Enriching the language: conditional logics



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$x \Vdash A > B$ iff for all $\alpha \in N(x)$, if $\alpha \Vdash^{\exists} A$, then
there is $\beta \in N(x)$ s.t. $\beta \subseteq \alpha$ and $\beta \Vdash^{\exists} A$ and $\beta \Vdash^{\forall} A \rightarrow B$

Enriching the language: conditional logics

- ☞ Countably many variables for **worlds** x, y, z, \dots
- ☞ Countably many variables for **neighbourhoods** a, b, c, \dots

☞ Relational atoms

- ▷ $x \in a \rightsquigarrow$ “ x is an element of a ”
- ▷ $a \in N(x) \rightsquigarrow$ “ a is an element of $N(x)$ ”
- ▷ $a \subseteq b \rightsquigarrow$ “ a is included in b ”

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- ▶ $x \Vdash_a A \mid B \rightsquigarrow$ “there is a $b \in N(x)$ such that $b \subseteq a$, $b \Vdash^{\exists} A$ and $b \Vdash^{\forall} A \rightarrow B$ ”

$x \Vdash A > B$ iff for all $\alpha \in N(x)$, if $\alpha \Vdash^{\exists} A$, then
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Labelled rules

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👉 Rules for $>$

$$_{>R} \frac{a \in N(x), \mathcal{R}, a \Vdash^{\exists} A, \Gamma \Rightarrow \Delta, x \Vdash_a A \mid B}{\mathcal{R}, \Gamma \Rightarrow \Delta, xA > B} \text{ (a!)}$$

$$_{>L} \frac{a \in N(x), \mathcal{R}, x : A > B, \Gamma \Rightarrow \Delta, a \Vdash^{\exists} A \quad a \in N(x), \mathcal{R}, x \Vdash_a A \mid B, x : A > B, \Gamma \Rightarrow \Delta}{a \in N(x), \mathcal{R}, x : A > B, \Gamma \Rightarrow \Delta}$$

$$_{\Vdash R} \frac{c \in N(x), c \subseteq a, \mathcal{R}, \Gamma \Rightarrow \Delta, x \Vdash_a A \mid B, c \Vdash^{\exists} A \quad c \in N(x), c \subseteq a, \mathcal{R}, \Gamma \Rightarrow \Delta, x \Vdash_a A \mid B, c \Vdash^{\forall} A \rightarrow B}{c \in N(x), c \subseteq a, \mathcal{R}, \Gamma \Rightarrow \Delta, x \Vdash_a A \mid B}$$

$$_{\Vdash L} \frac{b \in N(x), b \subseteq a, \mathcal{R}, c \Vdash^{\exists} A, c \Vdash^{\forall} A \rightarrow B, \Gamma \Rightarrow \Delta}{\mathcal{R}, x \Vdash_a A \mid B, \Gamma \Rightarrow \Delta} \text{ (a!)}$$

Labelled rules

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Rules for frame conditions: centering

C For all x , for all $\alpha \in N(x)$, $\{x\} \in N(x)$ and $x \in \alpha$

$$_C \frac{\{x\} \in N(x), \{x\} \subseteq a, a \in N(x), \mathcal{R}, \Gamma \Rightarrow \Delta}{a \in N(x), \mathcal{R}, \Gamma \Rightarrow \Delta}$$

Labelled rules

Rules for >

$$_{>R} \frac{a \in N(x), \mathcal{R}, a \Vdash^{\exists} A, \Gamma \Rightarrow \Delta, x \Vdash_a A \mid B}{\mathcal{R}, \Gamma \Rightarrow \Delta, xA > B} \text{ (a!)}$$

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$$\text{Repl}_1 \frac{y \in \{x\}, At(y), At(x), \mathcal{R}, \Gamma \Rightarrow \Delta}{y \in \{x\}, At(x), \mathcal{R}, \Gamma \Rightarrow \Delta} \quad \text{Repl}_2 \frac{y \in \{x\}, At(x), At(y), \mathcal{R}, \Gamma \Rightarrow \Delta}{y \in \{x\}, At(y), \mathcal{R}, \Gamma \Rightarrow \Delta}$$

Example

Axiom **c** $(p \wedge q) \rightarrow (p > q)$

$$\begin{array}{c}
 \text{init} \frac{}{\dots x \in \{x\}, x : p \Rightarrow \{x\} \Vdash^{\exists} p, x : p} \\
 \Vdash_R^{\exists} \frac{}{\dots x \in \{x\}, x : p \Rightarrow \{x\} \Vdash^{\exists} p} \\
 \text{Single} \frac{}{\dots x : p \Rightarrow \{x\} \Vdash^{\exists} p} \\
 \Vdash_L \frac{}{\{x\} \in a, \{x\} \subseteq a, a \in N(x), a \Vdash^{\exists} p, x : p, x : q \Rightarrow x \Vdash_a p \mid q} \\
 \text{C} \frac{}{a \in N(x), a \Vdash^{\exists} p, x : p, x : q \Rightarrow x \Vdash_a p \mid q} \\
 >_R \frac{}{x : p, x : q \Rightarrow x : p > q} \\
 \wedge_L \frac{}{x : p \wedge q \Rightarrow x : p > q} \\
 \rightarrow_R \frac{}{\Rightarrow x : (p \wedge q) \rightarrow (p > q)}
 \end{array}
 \qquad
 \begin{array}{c}
 \text{init} \frac{}{y \in \{x\}, \dots, y : q, y : p \Rightarrow y : q} \\
 \rightarrow_R \frac{}{y \in \{x\}, \dots, y : q \Rightarrow y : p \rightarrow q} \\
 \text{Repl}_1 \frac{}{y \in \{x\}, \dots, x : q \Rightarrow y : p \rightarrow q} \\
 \Vdash_R^{\exists} \frac{}{\dots x : q \Rightarrow \{x\} \Vdash^{\forall} p \rightarrow q}
 \end{array}$$

Main results [G, Negri and Olivetti, 2021]

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👉 For L any logic in the conditional lattice

Theorem (Soundness). If the sequent $\mathcal{R}, \Gamma \Rightarrow \Delta$ is provable in the labelled calculus for L , then the sequent is valid in the logic L .

Theorem (Completeness, I). If A is derivable from the axioms for L , then $\Rightarrow x : A$ is provable in the labelled calculus for L .

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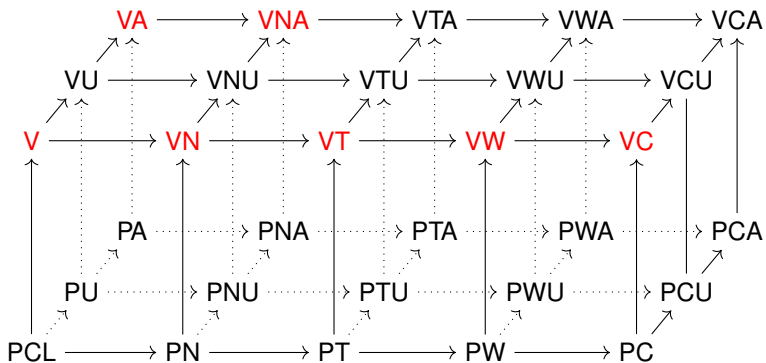
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Proof. Show that if A is not provable, we can construct a finite countermodel for it (easy). We need to show termination (difficult).

Structured proof systems for (some) Lewis' logics



Sequents with blocks

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Blocks $(\Sigma \text{ multiset of formulas})$ [Olivetti & Pozzato, 2015]

$$[\Sigma \triangleleft C] \rightsquigarrow \bigvee_{B \in \Sigma} (B \leq C)$$

Sequents with blocks

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Example $[A, B \triangleleft C] \rightsquigarrow (A \leq C) \vee (B \leq C)$

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$$\Gamma \Rightarrow \Delta, [\Sigma_1 \triangleleft C_1], \dots, [\Sigma_k \triangleleft C_k]$$

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$$\Gamma \Rightarrow \Delta, [\Sigma_1 \triangleleft C_1], \dots, [\Sigma_k \triangleleft C_k] \rightsquigarrow$$
$$\bigwedge \Gamma \rightarrow \bigvee \Delta \vee \left(\bigvee_{B \in \Sigma_1} (B \leq C_1) \right) \vee \dots \vee \left(\bigvee_{B \in \Sigma_k} (B \leq C_k) \right)$$

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Example

$$G_1, G_2 \Rightarrow D, [A, B \triangleleft C] \rightsquigarrow (G_1 \wedge G_2) \rightarrow (D \vee ((A \leq C) \vee (B \leq C)))$$

The rules

Rules for V

$$\begin{array}{c} \text{init} \frac{}{\Gamma, p \Rightarrow p, \Delta} \quad \perp_L \frac{}{\Gamma, \perp \Rightarrow \Delta} \quad \rightarrow_R \frac{\Gamma, A \Rightarrow \Delta, B}{\Gamma \Rightarrow \Delta, A \rightarrow B} \quad \rightarrow_L \frac{\Gamma, B \Rightarrow \Delta \quad \Gamma \Rightarrow \Delta, A}{\Gamma, A \rightarrow B \Rightarrow \Delta} \end{array}$$

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$$\begin{array}{c} \text{init} \frac{}{\Gamma, p \Rightarrow p, \Delta} \quad \perp_L \frac{}{\Gamma, \perp \Rightarrow \Delta} \quad \rightarrow_R \frac{\Gamma, A \Rightarrow \Delta, B}{\Gamma \Rightarrow \Delta, A \rightarrow B} \quad \rightarrow_L \frac{\Gamma, B \Rightarrow \Delta \quad \Gamma \Rightarrow \Delta, A}{\Gamma, A \rightarrow B \Rightarrow \Delta} \\[10pt] \leq_R \frac{\Gamma \Rightarrow \Delta, [A \triangleleft B]}{\Gamma \Rightarrow \Delta, A \leq B} \\[10pt] \leq_L \frac{\Gamma, A \leq B \Rightarrow \Delta, [B, \Sigma \triangleleft C] \quad \Gamma, A \leq B \Rightarrow \Delta, [\Sigma \triangleleft C], [\Sigma \triangleleft A]}{\Gamma, A \leq B \Rightarrow \Delta, [\Sigma \triangleleft C]} \end{array}$$

The rules

Rules for V

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$$\begin{array}{c} \text{init} \frac{}{\Gamma, p \Rightarrow p, \Delta} \quad \perp_L \frac{}{\Gamma, \perp \Rightarrow \Delta} \quad \rightarrow_R \frac{\Gamma, A \Rightarrow \Delta, B}{\Gamma \Rightarrow \Delta, A \rightarrow B} \quad \rightarrow_L \frac{\Gamma, B \Rightarrow \Delta \quad \Gamma \Rightarrow \Delta, A}{\Gamma, A \rightarrow B \Rightarrow \Delta} \\ \\ \leq_R \frac{\Gamma \Rightarrow \Delta, [A \triangleleft B]}{\Gamma \Rightarrow \Delta, A \leq B} \quad \text{jump} \frac{B \Rightarrow \Sigma}{\Gamma \Rightarrow \Delta, [\Sigma \triangleleft B]} \\ \\ \leq_L \frac{\Gamma, A \leq B \Rightarrow \Delta, [B, \Sigma \triangleleft C] \quad \Gamma, A \leq B \Rightarrow \Delta, [\Sigma \triangleleft C], [\Sigma \triangleleft A]}{\Gamma, A \leq B \Rightarrow \Delta, [\Sigma \triangleleft C]} \\ \\ \text{com} \frac{\Gamma \Rightarrow \Delta, [\Sigma_1, \Sigma_2 \triangleleft A], [\Sigma_2 \triangleleft B] \quad \Gamma \Rightarrow \Delta, [\Sigma_1 \triangleleft A], [\Sigma_1, \Sigma_2 \triangleleft B]}{\Gamma \Rightarrow \Delta, [\Sigma_1 \triangleleft A], [\Sigma_2 \triangleleft B]} \end{array}$$

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 \\
 \leq_R \frac{\Gamma \Rightarrow \Delta, [A \triangleleft B]}{\Gamma \Rightarrow \Delta, A \leq B} \quad \text{jump} \frac{B \Rightarrow \Sigma}{\Gamma \Rightarrow \Delta, [\Sigma \triangleleft B]} \\
 \\
 \leq_L \frac{\Gamma, A \leq B \Rightarrow \Delta, [B, \Sigma \triangleleft C] \quad \Gamma, A \leq B \Rightarrow \Delta, [\Sigma \triangleleft C], [\Sigma \triangleleft A]}{\Gamma, A \leq B \Rightarrow \Delta, [\Sigma \triangleleft C]} \\
 \\
 \text{com} \frac{\Gamma \Rightarrow \Delta, [\Sigma_1, \Sigma_2 \triangleleft A], [\Sigma_2 \triangleleft B] \quad \Gamma \Rightarrow \Delta, [\Sigma_1 \triangleleft A], [\Sigma_1, \Sigma_2 \triangleleft B]}{\Gamma \Rightarrow \Delta, [\Sigma_1 \triangleleft A], [\Sigma_2 \triangleleft B]} \\
 \\
 >_L \frac{\perp \leq A, \Gamma \Rightarrow \Delta \quad \Gamma \Rightarrow \Delta, [A \wedge \neg B \triangleleft A]}{A > B, \Gamma \Rightarrow \Delta} \quad >_R \frac{(A \wedge \neg B) \leq A, \Gamma \Rightarrow \Delta, [\perp \triangleleft A]}{\Gamma \Rightarrow \Delta, A > B}
 \end{array}$$

$$A > B := (\perp \leq A) \vee \neg((A \wedge \neg B) \leq (A \wedge B))$$

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$$\begin{array}{c}
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 \\
 \leq_R \frac{\Gamma \Rightarrow \Delta, [A \triangleleft B]}{\Gamma \Rightarrow \Delta, A \leq B} \quad \text{jump} \frac{B \Rightarrow \Sigma}{\Gamma \Rightarrow \Delta, [\Sigma \triangleleft B]} \\
 \\
 \leq_L \frac{\Gamma, A \leq B \Rightarrow \Delta, [B, \Sigma \triangleleft C] \quad \Gamma, A \leq B \Rightarrow \Delta, [\Sigma \triangleleft C], [\Sigma \triangleleft A]}{\Gamma, A \leq B \Rightarrow \Delta, [\Sigma \triangleleft C]} \\
 \\
 \text{com} \frac{\Gamma \Rightarrow \Delta, [\Sigma_1, \Sigma_2 \triangleleft A], [\Sigma_2 \triangleleft B] \quad \Gamma \Rightarrow \Delta, [\Sigma_1 \triangleleft A], [\Sigma_1, \Sigma_2 \triangleleft B]}{\Gamma \Rightarrow \Delta, [\Sigma_1 \triangleleft A], [\Sigma_2 \triangleleft B]} \\
 \\
 >_L \frac{\perp \leq A, \Gamma \Rightarrow \Delta \quad \Gamma \Rightarrow \Delta, [A \wedge \neg B \triangleleft A]}{A > B, \Gamma \Rightarrow \Delta} \quad >_R \frac{(A \wedge \neg B) \leq A, \Gamma \Rightarrow \Delta, [\perp \triangleleft A]}{\Gamma \Rightarrow \Delta, A > B}
 \end{array}$$

$$A > B := (\perp \leq A) \vee \neg((A \wedge \neg B) \leq (A \wedge B))$$

Rules for extensions: centering

$$\text{c} \frac{A, \Gamma \Rightarrow \Delta \quad \Gamma \Rightarrow \Delta, B}{A \leq B, \Gamma \Rightarrow \Delta}$$

Examples

Axiom $(A \leq B) \vee (B \leq A)$

$$\begin{array}{c}
 \text{init} \frac{}{b \Rightarrow a, b} \quad \text{jump} \frac{}{\Rightarrow a \leq b, b \leq a, [a, b \triangleleft b], [b \triangleleft a]} \quad \text{com} \frac{}{} \\
 \text{init} \frac{}{a \Rightarrow a, b} \quad \text{jump} \frac{}{\Rightarrow a \leq b, b \leq a, [a \triangleleft b], [a, b \triangleleft a]} \\
 \hline
 \Rightarrow a \leq b, b \leq a, [a \triangleleft b], [b \triangleleft a] \\
 \leq_R \frac{}{} \\
 \Rightarrow a \leq b, b \leq a, [a \triangleleft b] \\
 \leq_R \frac{}{} \\
 \Rightarrow a \leq b, b \leq a \\
 \vee_R \frac{}{} \\
 \Rightarrow (a \leq b) \vee (b \leq a)
 \end{array}$$

Axiom **c** $(p \wedge q) \rightarrow (p > q)$

$$\begin{array}{c}
 \text{init} \frac{}{p, p, q \Rightarrow [\perp \triangleleft p], q} \\
 \neg_L \frac{}{p, \neg q, p, q \Rightarrow [\perp \triangleleft p]} \\
 \wedge_L \frac{}{p \wedge \neg q, p, q \Rightarrow [\perp \triangleleft p]} \quad \text{init} \frac{}{p, q \Rightarrow [\perp \triangleleft p], p} \\
 C \frac{}{} \\
 (p \wedge \neg q) \leq p, p, q \Rightarrow [\perp \triangleleft p] \\
 >_R \frac{}{} \\
 p, q \Rightarrow p > q \\
 \wedge_L \frac{}{p \wedge q \Rightarrow p > q} \\
 \rightarrow_R \frac{}{} \\
 \Rightarrow (p \wedge q) \rightarrow (p > q)
 \end{array}$$

Main results [G Lellmann, Olivetti, Pozzato, 2016]

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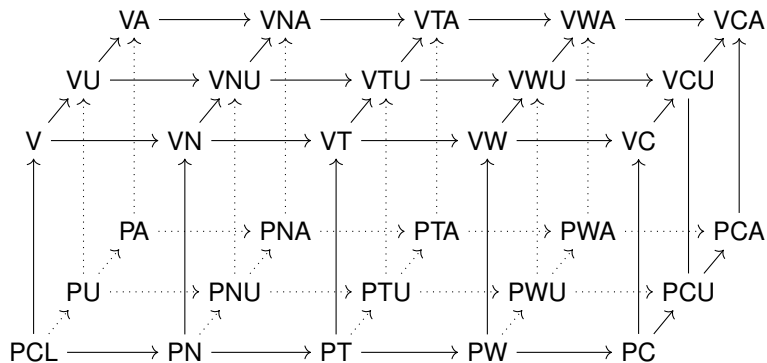
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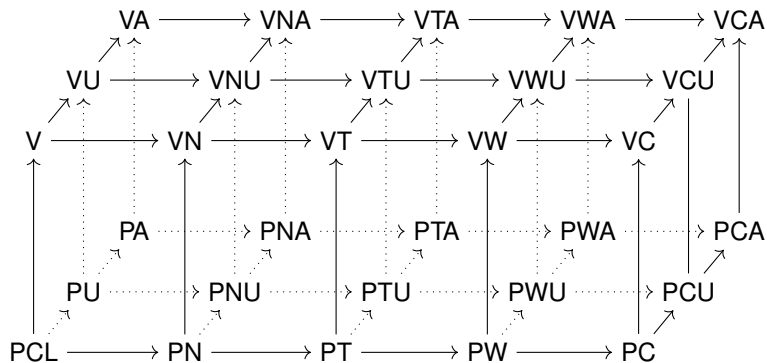
Theorem (Completeness, II). If A is valid in the class of models for L , then A is provable in the labelled calculus for L .

Proof. Show that if A is not provable, we can construct a finite countermodel for it (difficult). We need to show termination (easy).

Summing up

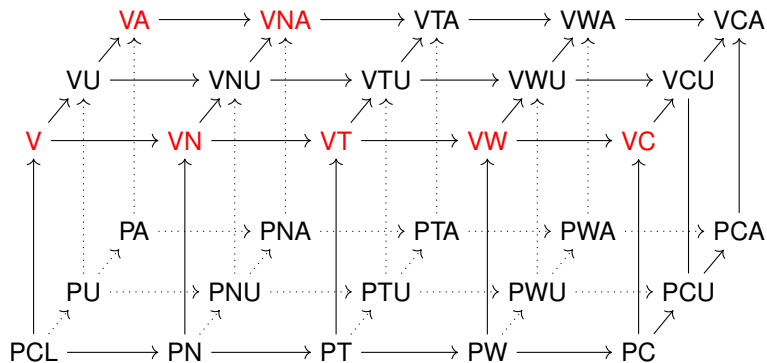


Summing up



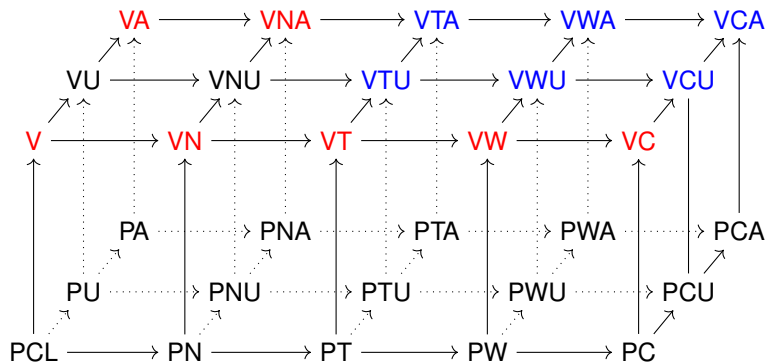
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Summing up



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Summing up

	fml. interpr.	invertible rules	analyti- city	termination proof search	counterterm. constr.	modu- larity
G3cp	yes	yes	yes	yes, easy!	yes, easy!	n/a
G3K	yes	no	yes	yes, easy!	yes, not easy	no
$NK \cup X^\diamond$	yes	yes	yes	yes	yes, easy!	45-clause
$labK \cup X$	no	yes	yes	yes, for most	yes, easy!	yes
lab, cond	no	yes	easy	difficult	easy	yes
str, cond	yes	no	difficult	easy	difficult	no