

# Word Sense Filtering Improves Embedding-Based Lexical Substitution

Anne Cocos\*, Marianna Apidianaki\*<sup>†</sup> and Chris Callison-Burch\*

\* Computer and Information Science Department, University of Pennsylvania

<sup>†</sup> LIMSI, CNRS, Université Paris-Saclay, 91403 Orsay  
{acocos, marapi, ccb}@seas.upenn.edu

## Abstract

The role of word sense disambiguation in lexical substitution has been questioned due to the high performance of vector space models which propose good substitutes without explicitly accounting for sense. We show that a filtering mechanism based on a sense inventory optimized for substitutability can improve the results of these models. Our sense inventory is constructed using a clustering method which generates paraphrase clusters that are congruent with lexical substitution annotations in a development set. The results show that lexical substitution can still benefit from senses which can improve the output of vector space paraphrase ranking models.

## 1 Introduction

Word sense has always been difficult to define and pin down (Kilgarriff, 1997; Erk et al., 2013). Recent successes of embedding-based, sense-agnostic models in various semantic tasks cast further doubt on the usefulness of word sense. Why bother to identify senses if even humans cannot agree upon their nature and number, and if simple word-embedding models yield good results without using any explicit sense representation?

Word-based models are successful in various semantic tasks even though they conflate multiple word meanings into a single representation. Based on the hypothesis that capturing polysemy could further improve their performance, several works have focused on creating sense-specific word embeddings. A common approach is to cluster the contexts in which the words appear in a corpus to induce senses, and relabel each word token with the clustered sense before learning embed-

dings (Reisinger and Mooney, 2010; Huang et al., 2012). Iacobacci et al. (2015) disambiguate the words in a corpus using a state-of-the-art WSD system and then produce continuous representations of word senses based on distributional information obtained from the annotated corpus. Moving from word to sense embeddings generally improves their performance in word and relational similarity tasks but is not beneficial in all settings. Li and Jurafsky (2015) show that although multi-sense embeddings give improved performance in tasks such as semantic similarity, semantic relation identification and part-of-speech tagging, they fail to help in others, like sentiment analysis and named entity extraction (Li and Jurafsky, 2015).

We show how a sense inventory optimized for *substitutability* can improve the rankings provided by two sense-agnostic, vector-based lexical substitution models. Lexical substitution requires systems to predict substitutes for target word instances that preserve their meaning in context (McCarthy and Navigli, 2007). We consider a sense inventory with high substitutability to be one which groups synonyms or paraphrases that are mutually-interchangeable in the same contexts. In contrast, sense inventories with low substitutability might group words linked by different types of relations. We carry out experiments with a syntactic vector-space model (Thater et al., 2011; Apidianaki, 2016) and a word-embedding model for lexical substitution (Melamud et al., 2015). Instead of using the senses to refine the vector representations as in (Faruqui et al., 2015), we use them to improve the lexical substitution rankings proposed by the models as a post-processing step. Our results show that senses can improve the performance of vector-space models in lexical substitution tasks.

## 2 A sense inventory for substitution

### 2.1 Paraphrase substitutability

The candidate substitutes used by our ranking models come from the Paraphrase Database (PPDB) XXL package (Ganitkevitch et al., 2013).<sup>1</sup> Paraphrase relations in the PPDB are defined between words and phrases which might carry different senses. Cocos and Callison-Burch (2016) used a spectral clustering algorithm to cluster PPDB XXL into senses, but the clusters contain noisy paraphrases and paraphrases linked by different types of relations (e.g. hypernyms, antonyms) which are not always substitutable. We use a slightly modified version of their method to cluster paraphrases where both the number of clusters (senses) and their contents are optimized for substitutability.

### 2.2 A measure of substitutability

We define a substitutability metric that quantifies the extent to which a sense inventory aligns with human-generated lexical substitution annotations. We then cluster PPDB paraphrases using the substitutability metric to optimize the sense clusters for substitutability.

Given a sense inventory  $C$ , we can define the senses of a target word  $t$  as a set of sense clusters,  $C(t) = \{c_1, c_2, \dots, c_k\}$ , where each cluster contains words corresponding to a single sense of  $t$ . Intuitively, if a sense inventory corresponds with substitutability, then each sense cluster  $c_i$  should have two qualities: first, words within  $c_i$  should be interchangeable with  $t$  in the same set of contexts; and second,  $c_i$  should not be missing any words that are interchangeable in those same contexts. We therefore operationalize the definition of substitutability as follows.

We begin with two lexical substitution datasets, the ‘‘Concepts in Context’’ (CoInCo) corpus (Kremer et al., 2014) and the SemEval2007 English Lexical Substitution Task dataset (McCarthy and Navigli, 2007), each consisting of sentences where content words have been manually annotated with substitutes.<sup>2</sup> We then use normalized mutual information (NMI) (Strehl and Ghosh, 2002) to

<sup>1</sup>PPDB paraphrases come into packages of different sizes (going from S to XXXL): small packages contain high-precision paraphrases while larger ones have high coverage. All are available from [paraphrase.org](http://paraphrase.org)

<sup>2</sup>CoInCo contains over 15K sentences corresponding to nearly 4K unique content words. SemEval contains 2010 sentences corresponding to 205 unique content words

Sentence	Annotated Substitutes (Count)
In this world, one’s <b>word</b> is a promise.	vow (1), utterance (1), tongue (1), speech (1)
Silverplate: code <b>word</b> for the historic mission that would end World War II.	phrase (3), term (2), verbiage(1), utterance (1), signal (1), name (1), dictate (1), designation (1), decree (1)
I think she only heard the last <b>words</b> of my speech.	bit (3), verbiage (2), part (2), vocabulary (1), terminology (1), syllable (1), phrasing (1), phrase (1), patter (1), expression (1), babble (1), anecdote (1)

Table 1: Example annotated sentences for the target word *word.N* from the CoInCo lexical substitution dataset. Numbers after each word indicate the number of annotators who made that suggestion.

quantify the level of agreement between the automatically generated sense clusters and human-suggested substitutes. NMI is an information theoretic measure of cluster quality. Given two clusterings  $U$  and  $V$  over a set of items, it measures how much each clustering reduces uncertainty about the other (Vinh et al., 2009) in terms of their mutual information  $I(U, V)$  and entropies  $H(U), H(V)$ :

$$NMI(U, V) = \frac{I(U, V)}{\sqrt{H(U)H(V)}}$$

To calculate the NMI between a sense inventory for target word  $t$  and its set of annotated substitutes, we first define the substitutes as a clustering,  $B_t = \{b_1, b_2, \dots, b_n\}$ , where  $b_i$  denotes the set of suggested substitutes for each of  $n$  sentences. Table 1, for example, gives the clustered substitutes for  $n = 3$  sentences for target word  $t = \text{word.N}$ , where  $b_1 = \{\text{vow, utterance, tongue, speech}\}$ . We then define the substitutability of the sense inventory,  $C_t$ , with respect to the annotated substitutes,  $B_t$ , as  $NMI(C_t, B_t)$ .<sup>3</sup> Given many target words, we can further aggregate the substitutability of sense inventory  $C$  over the set of targets  $T$  in  $B$  into a single substitutability score:

$$\text{substitutability}_B(C) = \sum_{t \in T} \frac{NMI(C_t, B_t)}{|T|}$$

### 2.3 Optimizing for Substitutability

Having defined a substitutability score, we now automatically generate word sense clusters from

<sup>3</sup>In calculating NMI, we ignore words that do not appear in both  $C_t$  and  $B_t$ .

the Paraphrase Database that maximize it. The idea is to use the substitutability score to choose the best number of senses for each target word which will be the number of output clusters ( $k$ ) needed by our spectral clustering algorithm.

In order to perform this optimization, we first divide the CoInCo and SemEval datasets into development and test sets as follows. For CoInCo, we find all target words that have at least 10 sentences. For each of the 327 resulting targets, we randomly divide the corresponding sentences into 60% development instances and 40% test instances. The resulting development and test sets have 4061 and 2091 sentences respectively. For SemEval, we use the provided trial set of 300 sentences for development and test set of 1710 sentences for evaluation. We cluster the target words in the remaining CoInCo data and in the SemEval data, performing all optimization using the respective development portions, and running evaluation on the test portions.

## 2.4 Spectral clustering method

### 2.4.1 Constructing the Affinity Matrix

The spectral clustering algorithm (Yu and Shi, 2003) takes as input an affinity matrix  $A \in \mathbb{R}^{n \times n}$  encoding  $n$  items to be clustered, and an integer  $k$ . It generates  $k$  non-overlapping clusters of the  $n$  items. Each entry  $a_{ij}$  in the affinity matrix denotes a similarity measurement between items  $i$  and  $j$ . Entries in  $A$  must be nonnegative and symmetric. The affinity matrix can also be thought of as describing a graph, where the  $n$  rows and columns correspond to nodes, and each entry  $a_{ij}$  gives the weight of an edge between nodes  $i$  and  $j$ . Because the matrix must be symmetric, the graph is undirected.

Given a target word  $t$ , we call its set of PPDB paraphrases  $PP(t)$ . Note that  $PP(t)$  excludes  $t$  itself. In our most basic clustering method, we cluster paraphrases for target  $t$  as follows. Given the length- $n$  set of  $t$ 's paraphrases,  $PP(t)$ , we construct the  $n \times n$  affinity matrix  $A$  where each shared-index row and column corresponds to some word  $p \in PP(t)$ . We set entries equal to the cosine similarity between the applicable words' embeddings, plus one:  $a_{ij} = \cos(v_i, v_j) + 1$  (to enforce non-negative similarities). For our implementation we use 300-dimensional part-of-speech-specific word embeddings  $v_i$  generated using the gensim word2vec package (Mikolov et al., 2013b; Mikolov et al.,

2013a; Řehůřek and Sojka, 2010).<sup>4</sup> In Figure 1a we show a set of paraphrases, linked by PPDB relations, and in Figure 1b we show the corresponding basic affinity matrix, encoding the paraphrases' distributional similarity.

In order to aid further discussion, we point out that the affinity matrix used for the basic clustering method encodes a fully-connected graph  $G = \{PP(t), E_{PP}^{ALL}\}$  with paraphrases  $PP(t)$  as nodes, and edges between every pair of words,  $E_{PP}^{ALL} = PP(t) \times PP(t)$ . As for all variations on the clustering method, the matrix entries correspond to distributional similarity.

### 2.4.2 Masking

The affinity matrix in Figure 1b ignores the graph structure inherent in PPDB, where edges connect only words that are paraphrases of one another. We experiment with enforcing the PPDB structure in the affinity matrix through a technique we call 'masking.' By masking, we mean allowing positive values in the affinity matrix only where the row and column correspond to paraphrases that appear as pairs in PPDB (Figure 1a). All entries corresponding to paraphrase pairs that are *not* connected in the PPDB graph (Figure 1a) are forced to 0.

More concretely, in the masked affinity matrix, we set each entry  $a_{ij}$  for which  $i$  and  $j$  are *not* paraphrases in PPDB to zero. The masked affinity matrix encodes the graph  $G = \{PP(t), E_{PP}^{MASK}\}$  with edges connecting only pairs of words that are in PPDB,  $E_{PP}^{MASK} = \{(p_i, p_j) \mid p_i \in P(p_j)\}$ . Figure 1c shows the masked affinity matrix corresponding to the PPDB structure in Figure 1a.

### 2.4.3 Optimizing $k$

Because spectral clustering requires the number of output clusters,  $k$ , to be specified as input, for each target word we run the clustering algorithm for a range of  $k$  between 1 and the minimum of  $(n, 20)$ . We then choose the  $k$  that maximizes the NMI of the resulting clusters with the human-annotated substitutes for that target from the applicable development dataset, as explained in Sections 2.2 and 2.3.

<sup>4</sup>The word2vec parameters we use are a context window of size 3, learning rate  $\alpha$  from 0.025 to 0.0001, minimum word count 100, sampling parameter  $1e^{-4}$ , 10 negative samples per target word, and 5 training epochs.

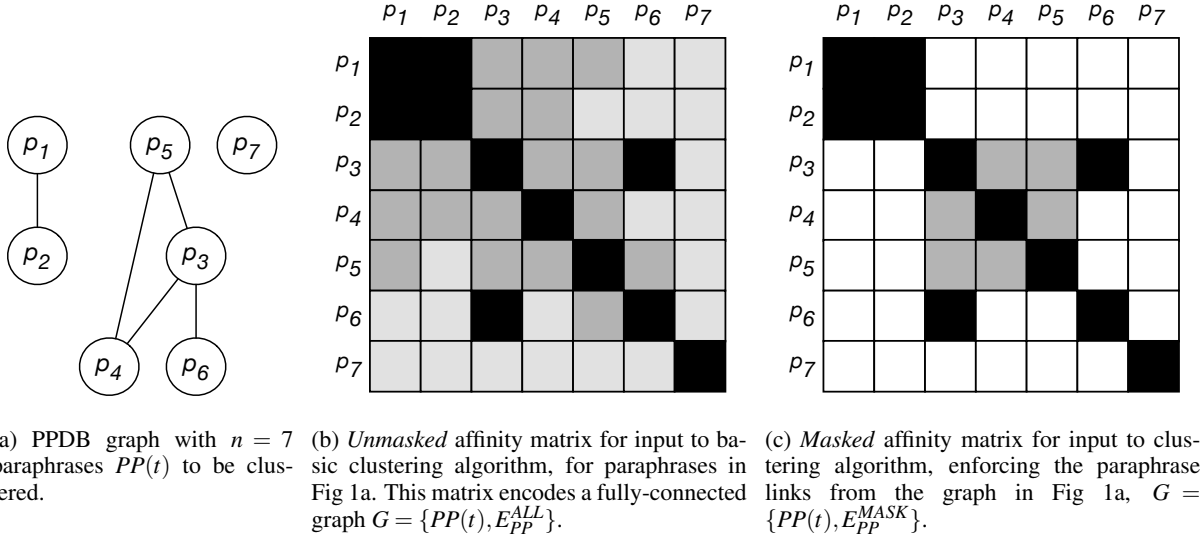


Figure 1: Unclustered PPDB graph and its corresponding affinity matrices, encoding distributional similarity, for input to the basic (1b) and masked (1c) clustering algorithms. Masking zeros-out the values of all cells corresponding to paraphrases not connected in the PPDB graph. Cell shading corresponds to the distributional similarity score between words, with darker colors representing higher measurements.

## 2.5 Method variations

In addition to using the substitutability score to choose the best number of senses for each target word, we also experiment with two variations on the basic spectral clustering method to increase the score further: filtering by a paraphrase confidence score and co-clustering with WordNet (Fellbaum, 1998).

### 2.5.1 PPDB Score Thresholding

Each paraphrase pair in the PPDB is associated with a set of scores indicating the strength of the paraphrase relationship. The recently added PPDB2.0 Score (Pavlick et al., 2015) was calculated using a supervised scoring model trained on human judgments of paraphrase quality.<sup>5</sup> Apidianaki (2016) showed that the PPDB2.0 Score itself is a good metric for ranking substitution candidates in context, outperforming some vector space models when the number of candidates is high. With this in mind, we experimented with using a PPDB2.0 Score threshold to discard noisy PPDB XXL paraphrases prior to sense clustering. Our objective was to begin the clustering process with a *clean* set of paraphrases for each target word,

<sup>5</sup>The human judgments were used to fit a regression to the features available in PPDB 1.0 plus numerous new features including cosine word embedding similarity, lexical overlap features, WordNet features and distributional similarity features.

eliminating erroneous paraphrases that might pollute the substitutable sense clusters. We implemented PPDB score thresholds in a range from 0 to 2.5.

### 2.5.2 Co-Clustering with WordNet

PPDB is large and inherently noisy. WordNet has smaller coverage but well-defined semantic structure in the form of synsets and relations. We sought a way to marry the high coverage of PPDB with the clean structure of WordNet by co-clustering the two resources, in hopes of creating a sense inventory that is both highly-substitutable and high-coverage.

The basic unit in WordNet is the *synset*, a set of lemmas sharing the same meaning. WordNet also connects synsets via relations, such as hypernymy, hyponymy, entailment, and ‘similar-to’. We denote as  $L(s)$  the set of lemmas associated with synset  $s$ . We denote as  $R(s)$  the set of synsets that are related to synset  $s$  with a hypernym, hyponym, entailment, or similar-to relationship. Finally, we denote as  $S(t)$  the set of synsets to which a word  $t$  belongs. We denote as  $S^+(t)$  the set of  $t$ ’s synsets, plus all synsets to which they are related;  $S^+(t) = S(t) \cup \bigcup_{s' \in S(t)} S(s')$ . In other words,  $S^+(t)$  includes all synsets to which  $t$  is connected by a path of length at most 2 via one of the relations encoded in  $R(s)$ .

For co-clustering, we generate the affinity ma-

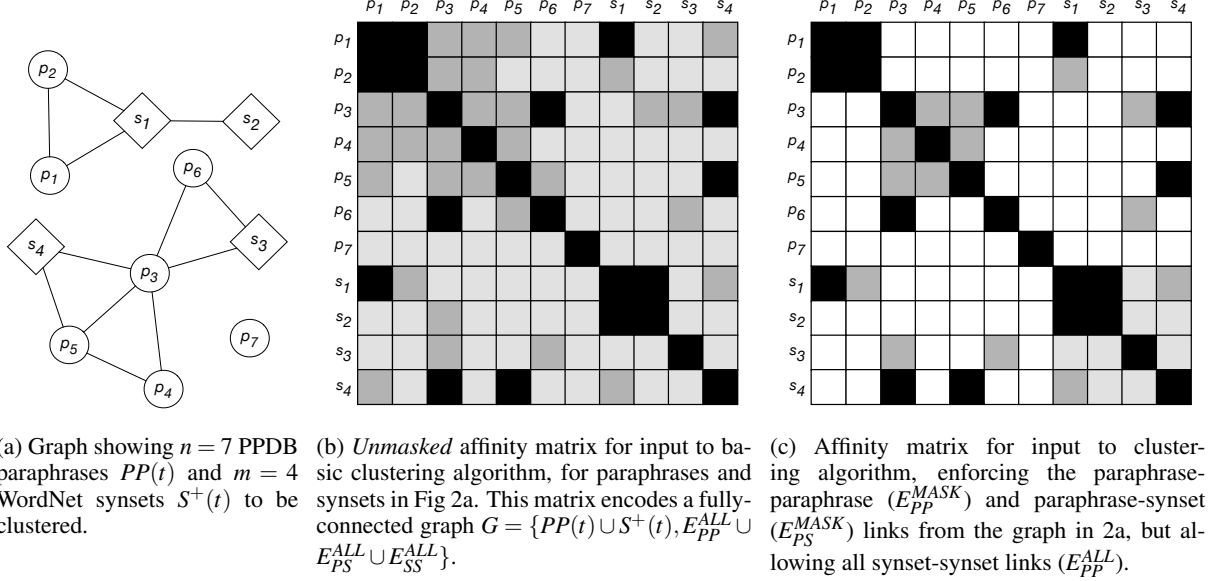


Figure 2: Paraphrase/synset graph for input to the co-clustering model, and its corresponding affinity matrices for the basic (2b) and masked (2c) clustering algorithms. Cell shading corresponds to the distributional similarity score between words/synsets.

trix for a graph with  $m + n$  nodes corresponding to the  $n$  words in  $PP(t)$  and the  $m$  synsets in  $S^+(t)$ , and edges between every pair of nodes. Because the edge weights are cosine similarity between vector embeddings, we need a way to construct an embedding for each synset in  $S^+(t)$ .<sup>6</sup> We therefore generate compositional embeddings for each synset  $s$  that are equal to the weighted average of the embeddings for the lemmas  $l \in L(s)$ , where the weights are the PPDB2.0 scores between  $t$  and  $l$ :

$$v_s = \frac{\sum_{l \in L(s)} PPDB2.0Score(t, l) \times v_l}{\sum_{l \in L(s)} PPDB2.0Score(t, l)}$$

The unmasked affinity matrix used for input to the co-clustering method, then, encodes the graph  $G = \{PP(t) \cup S^+(t), E_{PP}^{ALL} \cup E_{PS}^{ALL} \cup E_{SS}^{ALL}\}$ , where  $E_{PS}^{ALL}$  contains edges between every paraphrase and synset, and  $E_{SS}^{ALL}$  contains edges between every pair of synsets.

We also define masked versions of the co-clustering affinity matrix. In a masked affinity matrix, positive entries are only allowed for entries where the row and column correspond to entities (paraphrases or synsets) that are connected by the underlying knowledge base (PPDB or WordNet). Just as we defined masking for paraphrase-

paraphrase links ( $E_{PP}$ ) to allow only positive values corresponding to paraphrase pairs found in PPDB, here we separately define masking for paraphrase-synset ( $E_{PS}$ ) and synset-synset ( $E_{SS}$ ) based on WordNet synsets and relations. When applying the clustering algorithm, it is possible to elect to use the masked version for any or all of  $E_{PP}$ ,  $E_{PS}$ , and  $E_{SS}$ . In our experiments we try all combinations.

For the synset-synset links, we define the masked version  $E_{SS}^{MASK}$  as including only nonzero edge weights where a hypernym, hyponym, entailment or similar-to relationship connects two synsets:  $E_{SS}^{MASK} = \{(s_u, s_v) \mid s_u \in R(s_v) \text{ or } s_v \in R(s_u)\}$ . For the paraphrase-synset links, we define the masked version  $E_{PS}^{MASK}$  to include only nonzero edge weights where the paraphrase *is* a lemma in the synset, or *is a paraphrase of* a lemma in the synset (excluding the target word):  $E_{PS}^{MASK} = \{(p_i, s_u) \mid p_i \in L(s_u) \text{ or } |(P(p_i) - t) \cap L(s_u)| > 0\}$ . We need to exclude the target word when calculating the overlap because otherwise all words in  $PP(t)$  would connect to all synsets in  $S(t)$ . Figure 2 depicts the graph, unmasked and masked affinity matrices for the co-clustering method.

<sup>6</sup>We don't use the NASARI embeddings (Camacho-Collados et al., 2015) because these are available only for nouns.

### 3 Filtering Substitutes

#### 3.1 A WSD oracle

We now question whether it is possible to improve the rankings of current state-of-the-art lexical substitution systems by using the optimized sense inventory as a filter. Our general approach is to take a set of ranked substitutes generated by a vector-based model. Then, we see whether filtering the ranked substitutes to bring words belonging to the correct sense of the target to the top of the rankings would improve the overall ranking results.

Assuming that we have a WSD oracle that is able to choose the most appropriate sense for a target word in context, this corresponds to nominating substitutes from the applicable sense cluster and elevating them in the list of ranked substitutes output by the state-of-the-art lexical substitution system. If sense filtering successfully improves the quality of ranked substitutes, we can say that the sense inventory captures substitutability well.

We assess the extent to which our optimized sense inventories improve lexical substitution rankings using two sets of evaluation data. Our first test set is the test portion of the CoInCo subset defined in Section 2.3. Recall that the test portion consists of 2091 annotated sentences for 327 target words. Our second test set is the SemEval test set, filtered to include only instances having one or more human-suggested substitutes in our sense inventory vocabulary. Our evaluation portion of the SemEval data includes 1178 of the 1710 test instances in the original dataset.

#### 3.2 Ranking Models

Our approach requires a set of rankings produced by a high-quality lexical substitution model to start. We generate substitution rankings for each target/sentence pair in the test sets using a syntactic vector-space model (Thater et al., 2011; Apidianaki, 2016) and a state-of-the-art model based on word embeddings (Melamud et al., 2015).

The syntactic vector space model of Apidianaki (2016) (Syn.VSM) demonstrated an ability to correctly choose appropriate PPDB paraphrases for a target word in context. The vector features correspond to syntactic dependency triples extracted from the English Gigaword corpus<sup>7</sup> analysed with Stanford dependencies (Marneffe et al., 2006).

Syn.VSM produces a score for each (target, sentence, substitute) tuple based on the cosine similarity of the substitute’s basic vector representation with the target’s contextualized vector (Thater et al., 2011). The contextualized vector is derived from the basic meaning vector of the target word by reinforcing its dimensions that are licensed by the context of the specific instance under consideration. More specifically, the contextualized vector of a target is obtained through vector addition and contains information about the target’s direct syntactic dependents.

The second set of rankings comes from the AddCos model of Melamud et al. (2015). AddCos quantifies the fit of substitute word  $s$  for target word  $t$  in context  $C$  by measuring the semantic similarity of the substitute to the target, and the similarity of the substitute to the context:

$$AddCos(s, t, W) = \frac{|W| \cdot \cos(s, t) + \sum_{w \in W} \cos(s, w)}{2 \cdot |W|} \quad (1)$$

The vectors  $s$  and  $t$  are word embeddings of the substitute and target generated by the *skip-gram with negative sampling* model (Mikolov et al., 2013b; Mikolov et al., 2013a). The context  $W$  is the set of words appearing within a fixed-width window of the target  $t$  in a sentence (we use a window (cwin) of 1), and the embeddings  $c$  are context embeddings generated by *skip-gram*. In our implementation, we train 300-dimensional word and context embeddings over the 4B words in the Annotated Gigaword (AGiga) corpus (Napoles et al., 2012) using the gensim word2vec package (Mikolov et al., 2013b; Mikolov et al., 2013a; Řehůřek and Sojka, 2010).<sup>8</sup>

#### 3.3 Baselines

We compare the extent to which our optimized sense inventories improve lexical substitution rankings to the results of two baseline sense inventories.

- **WordNet+**: a sense inventory formed from WordNet 3.0. For each target word that appears in WordNet, we take its sense clusters to be its synsets, plus lemmas belonging to hypernyms and hyponyms of each synset.

<sup>8</sup>The word2vec training parameters we use are a context window of size 3, learning rate  $\alpha$  from 0.025 to 0.0001, minimum word count 100, sampling parameter  $1e^{-4}$ , 10 negative samples per target word, and 5 training epochs.

<sup>7</sup><http://catalog.ldc.upenn.edu/LDC2003T05>

Sense Inventory	Avg # of Words per Target	Avg # of Clusters per Target	CoInCo NMI	SemEval NMI
WordNet+	29.7	4.5	0.252	0.357
PPDBClus	132.8	13.7	0.254	0.291

Table 2: Characteristics and substitutability (NMI) of the baseline sense inventories used in our study

- **PPDBClus**: a much larger, albeit noisier, sense inventory obtained by automatically clustering words in the PPDB XXL package. To obtain this sense inventory we clustered paraphrases for all targets in the our test sets using the method outlined in Cocos and Callison-Burch (2016), with PPDB2.0 Score serving as the similarity metric.

We assess the substitutability of these sense inventories with respect to the human-annotated substitutes in the CoInCo and SemEval datasets. The size and characteristics of each sense inventory are given in Table 2. For each sense inventory, we evaluate its normalized mutual information over all the sentences in each dataset (ignoring targets that do not appear in the sense inventory and sentences for which there are less than two words overlapping with the sense inventory vocabulary). We find that the substitutability scores of WordNet and PPDBClus are similar for the CoInCo annotations, and that WordNet has higher substitutability when evaluated over the SemEval annotations.

Finally, we wish to estimate the impact of the NMI-based optimization procedure (Section 2.4.3) on the quality of the senses used for filtering.

### 3.4 Substitution metrics

Lexical substitution experiments are usually evaluated using generalized average precision (GAP) (Kishida, 2005). GAP compares a set of predicted rankings to a set of gold standard rankings. Scores range from 0 to 1; a perfect ranking, in which all high-scoring substitutes outrank low-scoring substitutes, has a score of 1. For each sentence in the CoInCo and SemEval test sets, we consider the PPDB paraphrases for the target word to be the candidates, and we set the test set annotator frequency to be the gold score. Words in PPDB that were not suggested by annotators receive a gold score of 0.001. Predicted scores are given by the two ranking models, Syn.VSM and AddCos.

### 3.5 Method

Sense filtering is intended to boost the rank of substitutes that belong to the most appropriate sense of the target given the context. We run this experiment as a two-step process.

First, given a target and sentence from each test set, we obtain the PPDB XXL paraphrases for the target word and rank them using the Syn.VSM and the AddCos models.<sup>9</sup> We calculate the overall *unfiltered* GAP score on the test set for each model as the average GAP over sentences.

Next, we evaluate the ability of a sense inventory to improve the GAP score through filtering. We implement sense filtering by adding a large number (10000) to the ranking model’s score for words *belonging to a single sense*. We assume an oracle that finds the cluster which maximally improves the GAP score using this sense filtering method. If the sense inventory corresponds well to substitutability, we should expect this filtering to improve the ranking by downgrading proposed substitutes that do not fall within the correct sense cluster.

We calculate the maximum sense-restricted GAP score for the inventories produced by each variation on our clustering model. Specifically, we try all combinations of the following parameters in our clustering model:

- **PPDB2.0 Score Threshold**: We cluster paraphrases of each target having a PPDB2.0 Score above a threshold, ranging from 0-3.0.
- **Clustering method**: We try the basic clustering – clustering Paraphrases Only – and the WordNet Co-Clustering method.
- **Masking**: When clustering paraphrases only, we can either use the PP-PP mask or allow positive similarities between all words. When co-clustering, we try all combinations of the PP-PP, PP-SYN, and SYN-SYN masks.

We also find the maximum sense-restricted GAP score for each baseline sense inventory.

## 4 Results

We report the unfiltered and best sense-filtered GAP scores achieved using the paraphrase-only

<sup>9</sup>For the SemEval test set, we rank PPDB XXL paraphrases having a PPDB2.0 Score with the target of at least 2.54.

	<i>subst<sub>CoInCo</sub></i>	Syn.VSM		AddCos (cwin=1)	
		Unfiltered GAP	Oracle GAP	Unfiltered GAP	Oracle GAP
PPDBClus	0.254	0.528	0.661	0.533	0.656
WordNet	0.252		0.655		0.651
Choose-K: # WN Synsets (avg)	0.205		0.639		0.636
Choose-K: # WN Synsets (max, no co-clustering)	0.250*		0.695*		0.690*
Choose-K: # WN Synsets (max, co-clustering)	0.241**		0.690**		0.683**
Choose-K: Optimize NMI (avg)	0.282		0.668		0.662
Choose-K: Optimize NMI (max, no co-clustering)	0.331*		0.719 *		0.714 ***
Choose K: Optimize NMI (max, co-clustering)	0.314**		0.718 ****		0.710 **

Table 3: Substitutability (NMI) of resulting sense inventories, and GAP scores of the unfiltered and best sense-filtered rankings produced by the Syn.VSM and AddCos models, for the CoInCo annotated dataset. Configurations for the best-performing sense inventories were: \* Min PPDB Score 2.0, cluster PP’s only, use PP-PP mask; \*\* Min PPDB Score 2.0, co-clustering, use PP-PP mask only; \*\*\* Min PPDB Score 1.5, cluster PP’s only, use PP-PP mask; \*\*\*\* Min PPDB Score 2.0, co-clustering, use PP-PP, Syn-Syn masks only

	<i>subst<sub>SemEval</sub></i>	Syn.VSM		AddCos (cwin=1)	
		Unfiltered GAP	Oracle GAP	Unfiltered GAP	Oracle GAP
PPDBClus	0.357	0.673	0.855	0.410	0.634
WordNet	0.291		0.774		0.595
Choose-K: Optimize NMI (avg)	0.367		0.841		0.569
Choose-K: Optimize NMI (max, no co-clustering)	0.448*		0.917*		0.626*
Choose K: Optimize NMI (max, co-clustering)	0.449**		0.906***		0.612****

Table 4: Substitutability (NMI) of resulting sense inventories, and GAP scores of the unfiltered and best sense-filtered rankings produced by the Syn.VSM and AddCos models, for the SemEval07 annotated dataset. Configurations for the best-performing sense inventories were: \* Min PPDB Score 2.31, cluster PP’s only, use PP-PP mask; \*\* Min PPDB Score 2.54, co-clustering, use PP-SYN mask only; \*\*\* Min PPDB Score 2.54, co-clustering, use PP-SYN mask only; \*\*\*\* Min PPDB Score 2.31, co-clustering, use PP-SYN mask only.

clustering method and the co-clustering method in Tables 3 and 4.

The average unfiltered GAP scores for the Syn.VSM rankings over the CoInCo and SemEval test sets are 0.528 and 0.673 respectively.<sup>10</sup> All baseline and cluster sense inventories are capable of improving these GAP scores when we use the best sense as a filter. Syntactic models generally give very good results with small paraphrase sets (Kremer et al., 2014) but their performance seems to degrade when they need to deal with larger and noisier substitute sets (Apidianaki, 2016). Our results suggest that finding the most appropriate sense of a target word in context *can* improve their lexical substitution results.

The trend in results is similar for the AddCos rankings. The average unfiltered GAP scores for the AddCos rankings over the CoInCo and SemEval test sets are 0.533 and 0.410 respectively. The GAP scores of the unfiltered AddCos rankings are much lower than after filtering with any baseline or cluster sense inventory, showing that lexical

substitution rankings based on word-embeddings can also be improved using senses.

To assess the impact of the NMI-based optimization procedure on the results, we compare the performance of two sense inventories on the CoInCo rankings: one where the number of clusters ( $k$ ) for a target word is defined through NMI optimization and another one, where  $k$  is equal to the number of synsets available for the target word in WordNet. We find that for both the Syn.VSM and AddCos ranking models, filtering using the sense inventory with the NMI-optimized  $k$  outperforms the results obtained when the inventory with  $k$  equal to the number of synsets is used.

Furthermore, we find that the NMI substitutability score is a generally good indicator of how much improvement we see in GAP score due to oracle sense filtering. We calculated the Pearson correlation of a sense inventory’s NMI with its oracle GAP score to be 0.644 (calculated over all target words in the CoInCo test set, including GAP results for both the Syn.VSM and AddCos ranking models). This suggests that NMI is a reasonable measure of substitutability.

We find that for all methods, applying a PPDB-Score Threshold prior to clustering is an effective

<sup>10</sup>The score reported by Apidianaki (2016) for the Syn.VSM model with XXL PPDB paraphrases on CoInCo was 0.56. The difference in scores is due to excluding from our clustering experiments target words that did not have at least 10 sentences in CoInCo.



way of removing noisy, non-substitutable paraphrases from the sense inventory. When we use the resulting sense inventory for filtering, this effectively elevates only high-quality paraphrases in the lexical substitution rankings. This supports the finding of Apidianaki (2016), who showed that the PPDB2.0 Score itself is an effective lexical substitution ranking metric when large and noisy paraphrase substitute sets are involved.

Finally, we discover that co-clustering with WordNet did not produce any significant improvement in NMI or GAP score over clustering paraphrases alone. This could suggest that the added structure from WordNet did not improve overall substitutability of the resulting sense inventories, or that our co-clustering method did not effectively incorporate useful structural information from WordNet.

## 5 Conclusion

We have shown that despite the high performance of word-based vector-space models, lexical substitution can still benefit from word senses. We have defined a substitutability metric and proposed a method for automatically creating sense inventories optimized for substitutability. The number of sense clusters in an optimized inventory and their contents are aligned with lexical substitution annotations in a development set. Using the best fitting cluster in each context as a filter over the rankings produced by vector-space models boosts good substitutes and improves the models' scores in a lexical substitution task.

For choosing the cluster that best fits a context, we used an oracle experiment which finds the maximum GAP score achievable by a sense by boosting the ranking model's score for words *belonging to a single sense*. The cluster that achieved the highest GAP score in each case was selected. The task of finding the most appropriate sense in context still remains. But the improvement in lexical substitution results shows that word sense induction and disambiguation can still benefit state-of-the-art word-based models for lexical substitution.

Our sense filtering mechanism can be applied to the output of any vector-space substitution model at a post-processing step. In future work, we intend to experiment with models that account for senses during embedding learning. The models of Huang et al. (2012) and Li and Jurafsky (2015)

learn multi-prototype, or sense-specific, embedding representations and are able to choose the best-fitted ones for words in context. These models have up to now been tested in several NLP tasks but have not yet been applied to lexical substitution. We will experiment with using the embeddings chosen by these models for specific word instances for ranking candidate substitutes in context. The comparison with the results presented in this paper will show whether it is preferable to account for senses before or after actual lexical substitution.

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