Formal Semantics

Yixiang Chen

East China Normal University





Language Operational Denotational Axiomatic UTP

Outline

- IMP Language
- Operational Semantics of IMP
- Denotational Semantics of IMP
- Axiomatic Semantics of IMP
- UTP



IMP Language

- IMP Language
- Operational
- Denotational
- Axiomatic
- **3** UTF



IMP Language

- Numbers N, consisting of positive and negative integers with zero, n, m range over numbers \mathbf{N} ,
- truth values T={true, fals}
- locations Loc, X, Y range over locations,
- arithmetic expression Aexp, a ranges over arithmetics expressions,
- boolean expressions **Bexp**, b ranges over boolean expressions
- commands Com, c ranges over commands

The formation rules of the whole of IMP

Aexp:

$$a ::= n \mid X \mid a_0 + a_1 \mid a_0 - a_1 \mid a_0 \times a_1$$

Bexp:

$$b ::= \mathsf{true} \mid \mathsf{false} \mid a_0 = a_1 \mid a_0 \le a_1 \mid \neg b \mid b_0 \land b_1 \mid b_0 \lor b_1$$

Com:

 $c := \mathsf{skip} \mid X := a \mid c_0; c_1 \mid \mathsf{if} \ b \ \mathsf{then} \ c_0 \ \mathsf{else} \ c_1 \mid \mathsf{while} \ b \ \mathsf{do} \ c$



Evaluation of IMP

- States: a functions $\sigma : \mathbf{Loc} \to \mathbf{N}$ from locations to numbers.
 - $\sigma(X)$ is the value, or contents, of locations X in state σ
- Σ : The set of states.

Evaluation of IMP

The evaluation of arithmetic expressions a in a state σ :

Numbers n:

$$\overline{< n, \sigma > \rightarrow n}$$

Locations:

$$\overline{\langle X, \sigma \rangle \rightarrow \sigma(X)}$$

Sum, Subtraction, Products:

$$\frac{\langle a_0, \sigma \rangle \rightarrow n_0 \quad \langle a_1, \sigma \rangle \rightarrow n_1}{\langle a_0 * a_1, \sigma \rangle \rightarrow n_0 * n_1}$$



The evaluation of boolean expressions: Evaluate boolean expressions to truth values (true, false):

Evaluation of IMP

The evaluation of boolean expressions: Evaluate boolean expressions to truth values (true, false):

Operational Semantics of IMP

- IMP Language
- Operational
- Denotational
- Axiomatic
- UTF



Operational Semantics of IMP

< while b do c, $\sigma > \rightarrow \sigma'$

IMP计算

基于上面的IMP操作语义,我们可以建立IMP语言程序的计 算概念。

Definition

IMP程序计算关系⇒是指一从集合 $Com \times \Sigma$ 到集 $eg(\mathbf{Com} \times \Sigma) \cup \Sigma$ 的关系. 设 $e, e' \in \mathbf{Com}, \sigma, \sigma' \in \Sigma$. 定 $\mathcal{Y} < c, \sigma > \Rightarrow < c', \sigma' > | \sigma', 若下面三条中之一成立$

- $\mathbf{0}$ $c=c', \sigma=\sigma'$
- ② \overline{z} \overline{z}
- ③ \overline{a} \overline{c} \overline{c} $A < c_1, \sigma > \rightarrow \sigma'', < c_2, \sigma'' > \Rightarrow < c', \sigma' > \circ$

IMP计算

Definition

IMP计算: 例子

- (1) 设程序 c_1 为 $X := (X + 1) \times (Y + Z); Y :=$ $(X \times X + Y \times Y + 2 \times X \times Y); Z := X + Y - X \times Y.$ 再设状 态 σ 为: $\sigma(X) = 8$, $\sigma(Y) = -2$, $\sigma(Z) = 3$, 计算 c_1 在状态 σ 处计 **算的结果。**
- (2) 设程序 c_2 为 $X := X \times Y$: if X < Y then Y := $(Y-X)\times (Z\times Y-X)$ else $Z:=Z\times Y+X$, 计算程序 c_2 在下 面状态σ处计算的结果:
 - $\sigma_1(X) = 6, \sigma_1(Y) = 100, \sigma_1(Z) = -10$
 - $\sigma_2(X) = 100, \sigma_2(Y) = 10, \sigma_2(Z) = -10$
 - $\sigma_3(X) = 100, \sigma_3(Y) = 100, \sigma_3(Z) = 100$

IMP计算: 例子

(3) 设程序 c_3 为X := X + Y - Z; **if** $X + 1 \le X \times Y$ **then** $X := (X + 1) \times Z$; $Y := (X + Y) \times (Z - 1)$ **else** $(Z := X \times Y - 1; X := Y \times Z)$; **while** $X \le 1000$ **do** $(Y := X + Y, Z := X \times Z; X := X + 2); <math>X := Y; Y := Z; Z := X$, 设状态 σ 为: $\sigma(X) = 2$, $\sigma(Y) = 10$, $\sigma(Z) = 6$, 计算程序 c_3 在此状态 处计算的结果。

Natural Equivalence Relation on Commands

Definition

$$C_0 \sim c_1 \text{ iff } \forall \sigma, \sigma' \in \Sigma. < c_0, \sigma > \to \sigma' \text{ iff } < c_1, \sigma > \to \sigma'.$$

Proposition

Let $w \equiv \mathbf{while} \ b \ \mathbf{do} \ c$. Then

 $w \sim \text{if } b \text{ then } c; w \text{ else skip.}$

Natural Induction on Derivation

Definition

 $\models < c, \sigma > \rightarrow \sigma$ Meaning $< c, \sigma > \rightarrow \sigma'$ is derivable from the operational semantics of commands

Proposition

Let $w \equiv \mathbf{while} \ b \ \mathbf{do} \ c$. Then

 $w \sim \text{if } b \text{ then } c; w \text{ else skip.}$

Deterministic of Execution of Commands

Theorem

Let c be a command and σ_0 a state. If $\langle c, \sigma_0 \rangle \rightarrow \sigma_1$ and $\langle c, \sigma_0 \rangle \rightarrow \sigma$ then $\sigma = \sigma_1$, for all states σ, σ_1 .

 $c := \text{skip} \mid X := a \mid c_0; c_1 \mid \text{if } b \text{ then } c_0 \text{ else } c_1 \mid \text{while } b \text{ do } c$

Denotational Semantics of IMP

- IMP Language
- Operational
- Denotational
- Axiomatic
- **3** UTP

Definition

we define the semantic function as a relation by structural induction:

$$\mathcal{A} : \mathbf{Aexp} \to \mathcal{P}(\Sigma \times \mathbf{N})$$

$$\mathcal{A}: \mathbf{Aexp} \to (\Sigma \to \mathbf{N})$$

$$\mathcal{B}$$
: Bexp $\rightarrow \mathcal{P}(\Sigma \times \mathbf{T})$

$$\mathcal{B}$$
: **Bexp** \rightarrow $(\Sigma \rightarrow \mathbf{T})$

$$\mathcal{C}$$
: Com $\rightarrow \mathcal{P}(\Sigma \times \Sigma)$

$$\mathcal{C}$$
: Com $\rightarrow (\Sigma \rightarrow \Sigma)$

Denotational Semantics of Aexp

Definition

we define the semantic function by structural induction:

$$\mathcal{A}[\![n]\!] = \{(\sigma,n) \mid \sigma \in \Sigma\}$$

$$\mathcal{A}[\![n]\!] = \{(\sigma,\sigma(X)) \mid \sigma \in \Sigma\}$$

$$\mathcal{A}[\![a_0 + a_1]\!] = \{\sigma,n_0 + n_1) \mid (\sigma,n_0) \in \mathcal{A}[\![a_0]\!] \& (\sigma,n_1) \in \mathcal{A}[\![a_1]\!] \}$$

$$\mathcal{A}[\![a_0 - a_1]\!] = \{(\sigma,n_0 - n_1) \mid (\sigma,n_0) \in \mathcal{A}[\![n_0]\!] \& (\sigma,n_1) \in \mathcal{A}[\![n_1]\!] \}$$

$$\mathcal{A}[\![a_0 \times a_1]\!] = \{(\sigma,n_0 \times n_1) \mid (\sigma,n_0) \in \mathcal{A}[\![n_0]\!] \& (\sigma,n_1) \in \mathcal{A}[\![n_1]\!] \}$$

$$a ::= n \mid X \mid a_0 + a_1 \mid a_0 - a_1 \mid a_0 \times a_1$$



Denotational Semantics of **Bexp**

Definition

$$\mathcal{B}[\![\mathbf{true}]\!] = \{(\sigma, \mathbf{true}) \mid \sigma \in \Sigma\}$$

$$\mathcal{B}[\![\mathbf{false}]\!] = \{(\sigma, \mathbf{false}) \mid \sigma \in \Sigma\}$$

$$\mathcal{B}[\![a_0 = a_1]\!] = \{(\sigma, \mathbf{true}) \mid \sigma \in \Sigma \& \mathcal{A}[\![a_0]\!] \sigma = \mathcal{A}[\![a_1]\!] \sigma\}$$

$$\cup \{(\sigma, \mathbf{false}) \mid \sigma \in \Sigma \& \mathcal{B}[\![a_0]\!] \sigma \neq \mathcal{A}[\![a_1]\!] \sigma\}$$

$$\mathcal{B}[\![a_0 \le a_1]\!] = \{(\sigma, \mathbf{true}) \mid \sigma \in \Sigma \& \mathcal{A}[\![a_0]\!] \sigma \le \mathcal{A}[\![a_1]\!] \sigma\}$$

$$\cup \{(\sigma, \mathbf{false}) \mid \sigma \in \Sigma \& \mathcal{B}[\![a_0]\!] \sigma \not\le \mathcal{A}[\![a_1]\!] \sigma\}$$

$$b ::= \text{true} \mid \text{false} \mid a_0 = a_1 \mid a_0 < a_1 \mid \neg b \mid b_0 \land b_1 \mid b_0 \lor b_1$$



Denotational Semantics of **Bexp**-contiu.

Definition

we define the semantic function by structural induction:

$$\mathcal{B}[\![\neg b]\!] = \{(\sigma, \neg_T t) \mid \sigma \in \mathcal{B}[\![b]\!]\}$$

$$\mathcal{B}[\![b_0 \land b_1]\!] = \{(\sigma, t_0 \land_T t_1) \mid \sigma \in \Sigma \& (\sigma, t_0) \in \mathcal{B}[\![b_0]\!] \& (\sigma, t_1) \in \mathcal{B}[\![b_1]\!]\}$$

$$\mathcal{B}[\![b_0 \lor b_1]\!] = \{(\sigma, t_0 \lor_T t_1) \mid \sigma \in \Sigma \& (\sigma, t_0) \in \mathcal{B}[\![b_0]\!] \& (\sigma, t_1) \in \mathcal{B}[\![b_1]\!]\}$$

$$b ::= \text{true} \mid \text{false} \mid a_0 = a_1 \mid a_0 < a_1 \mid \neg b \mid b_0 \land b_1 \mid b_0 \lor b_1$$



- $\mathcal{B}[true]\sigma = true$
- $\mathcal{B}[false]\sigma = false$

•
$$\mathcal{B}\llbracket a_0 = a_1 \rrbracket \sigma = \begin{cases} \mathbf{true} & \text{if } \mathcal{A}\llbracket a_0 \rrbracket \sigma = \mathcal{A}\llbracket a_1 \rrbracket \sigma \\ \mathbf{false} & \text{if } \mathcal{A}\llbracket a_0 \rrbracket \sigma \neq \mathcal{A}\llbracket a_1 \rrbracket \sigma \end{cases}$$

$$\bullet \ \mathcal{B}\llbracket a_0 \leq a_1 \rrbracket \sigma = \begin{cases} \mathbf{true} & \text{if } \mathcal{A}\llbracket a_0 \rrbracket \sigma \leq \mathcal{A}\llbracket a_1 \rrbracket \sigma \\ \mathbf{false} & \text{if } \mathcal{A}\llbracket a_0 \rrbracket \sigma \not\leq \mathcal{A}\llbracket a_1 \rrbracket \sigma \end{cases}$$

- $\mathcal{B}\llbracket \neg b \rrbracket \sigma = \neg_T \mathcal{B}\llbracket b \rrbracket \sigma$
- $\bullet \ \mathcal{B}\llbracket b_0 \wedge b_1 \rrbracket \sigma = \mathcal{B}\llbracket b_0 \rrbracket \sigma \wedge_T \mathcal{B}\llbracket b_1 \rrbracket \sigma$
- $\bullet \ \mathcal{B}\llbracket b_0 \vee b_1 \rrbracket \sigma = \mathcal{B}\llbracket b_0 \rrbracket \sigma \vee_T \mathcal{B}\llbracket b_1 \rrbracket \sigma$



Definition

$$\begin{split} &\mathcal{C}[\![\mathbf{skip}]\!] = \{(\sigma,\sigma) \mid \sigma \in \blacksquare\} \\ &\mathcal{C}[\![X := a]\!] = \{(\sigma,\sigma[n/X]) \mid \sigma \in \Sigma \ \& \ n = \mathcal{A}[\![a]\!]\sigma\} \\ &\mathcal{C}[\![c_0;c_1]\!] = \mathcal{C}[\![c_1]\!] \circ \mathcal{C}[\![c_0]\!] \\ &\mathcal{C}[\![\mathbf{if}\ b\ \mathbf{then}\ c_0\ \mathbf{else}\ c_1]\!] = \\ &\{(\sigma,\sigma') \mid \mathcal{B}[\![\mathbf{b}]\!]\sigma = \mathbf{true}\ \&\ (\sigma,\sigma') \in \mathcal{C}[\![\mathbf{c_0}]\!]\} \cup \\ &\{(\sigma,\sigma') \mid \mathcal{B}[\![\mathbf{b}]\!]\sigma = \mathbf{false} \& (\sigma,\sigma') \in \mathcal{C}[\![\mathbf{c_1}]\!]\} \end{split}$$

 $c := \text{skip} \mid X := a \mid c_0; c_1 \mid \text{if } b \text{ then } c_0 \text{ else } c_1 \mid \text{while } b \text{ do } c$



Definition

$$\mathcal{C}[\![\mathbf{while}\ b\ \mathbf{do}\ c]\!] = \mathrm{fix}(\Gamma)$$

where

$$\Gamma(\varphi) = \{(\sigma, \sigma') \mid \mathcal{B}[\![b]\!] \sigma = \mathbf{true} \ \& \ (\sigma, \sigma') \in \varphi \circ \mathcal{C}[\![c]\!] \}$$
$$\cup \{(\sigma, \sigma) \mid \mathcal{B}[\![b]\!] \sigma = \mathbf{false} \}$$

$$c ::= \mathsf{skip} \mid X := a \mid c_0; c_1 \mid \mathsf{if} \ b \ \mathsf{then} \ c_0 \ \mathsf{else} \ c_1 \mid \mathsf{while} \ b \ \mathsf{do} \ c$$



Theorem

$$\begin{array}{l} \textit{Let} \ \Gamma(\varphi) = \{(\sigma,\sigma') \mid \mathcal{B}[\![b]\!] \sigma = \textit{true} \ \& \ (\sigma,\sigma') \in \varphi \circ \mathcal{C}[\![c]\!] \} \\ \cup \{(\sigma,\sigma) \mid \mathcal{B}[\![b]\!] \sigma = \textit{false} \} \end{array}$$

We define θ_n as follows:

$$\theta_{0} = \emptyset$$

$$\theta_{n+1} = \{(\sigma, \sigma') \mid \mathcal{B}\llbracket b \rrbracket \sigma = \mathbf{true} \& (\sigma, \sigma') \in \theta_{n} \circ \mathcal{C}\llbracket c \rrbracket \}$$

$$\cup \{(\sigma, \sigma) \mid \mathcal{B}\llbracket b \rrbracket \sigma = \mathbf{false} \}.$$

Then

$$\operatorname{fix}(\Gamma) = \bigcup_{n \in \omega} \theta_n$$
.



Proposition

$$\begin{split} &\mathcal{C}[\![\mathbf{skip}]\!]\sigma = \sigma \\ &\mathcal{C}[\![X := a]\!]\sigma = \sigma[\mathcal{A}[\![a]\!]\sigma/X] \\ &\mathcal{C}[\![c_0; c_1]\!]\sigma = \mathcal{C}[\![c_1]\!]\mathcal{C}[\![c_0]\!]\sigma \\ &\mathcal{C}[\![\mathbf{if}\ b\ \mathbf{then}\ c_0\ \mathbf{else}\ c_1]\!]\sigma = \left\{ \begin{array}{ll} \mathcal{C}[\![c_0]\!]\sigma & \text{if}\ \mathcal{B}[\![\mathbf{b}]\!]\sigma = \mathbf{true} \\ \mathcal{C}[\![c_1]\!]\sigma & \text{if}\ \mathcal{B}[\![\mathbf{b}]\!]\sigma = \mathbf{false} \end{array} \right. \end{split}$$

 $c := \text{skip} \mid X := a \mid c_0; c_1 \mid \text{if } b \text{ then } c_0 \text{ else } c_1 \mid \text{while } b \text{ do } c$



Proposition

$$\mathcal{C}[\mathbf{while}\ b\ \mathbf{do}\ c]\sigma = \mathrm{fix}(\Gamma)\sigma$$

where

$$\Gamma(\varphi)\sigma = \left\{ \begin{array}{ll} \varphi(\mathcal{C}[\![c]\!]\sigma) & \mathcal{B}[\![b]\!]\sigma = \mathbf{true} \\ \sigma & \mathcal{B}[\![b]\!]\sigma = \mathbf{false} \end{array} \right.$$

$$\Gamma: (\Sigma \to \Sigma) \longrightarrow (\Sigma \to \Sigma)$$

$$\varphi: \Sigma \to \Sigma.$$

 $c ::= \mathsf{skip} \mid X := a \mid c_0; c_1 \mid \mathsf{if} \ b \ \mathsf{then} \ c_0 \ \mathsf{else} \ c_1 \mid \mathsf{while} \ b \ \mathsf{do} \ c$



Proposition

Let $w \equiv \text{while } b \text{ do } c$. Then

$$C[w] = C[\mathbf{if}\ b\ \mathbf{then}\ c; w\ \mathbf{else}\ \mathbf{skip}].$$

Proof: The denotation of w is a fixed point of Γ . Hence

$$\begin{split} \mathcal{C}[\![w]\!] &= & \Gamma(\mathcal{C}[\![w]\!]) \\ &= & \{(\sigma,\sigma') \mid \mathcal{B}[\![b]\!]\sigma = \mathbf{true} \ \& \ (\sigma,\sigma') \in \mathcal{C}[\![w]\!] \circ \mathcal{C}[\![c]\!] \} \cup \\ & \{(\sigma,\sigma) \mid \mathcal{B}[\![b]\!]\sigma = \mathbf{false} \} \\ &= & \{(\sigma,\sigma') \mid \mathcal{B}[\![b]\!] = \mathbf{true} \ \& \ (\sigma,\sigma') \in \mathcal{C}[\![c;w]\!] \} \cup \\ & \{(\sigma,\sigma') \mid \mathcal{B}[\![b]\!]\sigma = \mathbf{false} \ \& \ (\sigma,\sigma) \in \mathcal{C}[\![\mathbf{skip}]\!] \} \\ &= & \mathcal{C}[\![\mathbf{if} \ b \ \mathbf{then} \ c; w \ \mathbf{else} \ \mathbf{skip}]\!]. \end{split}$$

Equivalence of the Semantics

Lemma

For all $a \in Aexp$,

$$\mathcal{A}\llbracket a \rrbracket = \{ (\sigma, n) \mid < a, \sigma > \to n \}.$$

Lemma

For all $b \in \mathbf{Bexp}$,

$$\mathcal{B}\llbracket b \rrbracket = \{ (\sigma, t) \mid < b, \sigma > \to t \}.$$

Lemma

For all commands c and states σ, σ' ,

$$< c, \sigma > \rightarrow \sigma' \text{ implies } (\sigma, \sigma') \in \mathcal{C}[\![c]\!], \text{ i.e., } \mathcal{C}[\![c]\!] \sigma = \sigma'.$$



Equivalence of the Semantics

Theorem

For all commands $c \in \mathbf{Com}$

$$\mathcal{C}[\![c]\!] = \{(\sigma, \sigma') \mid < c, \sigma > \to \sigma'\}.$$

That is: $C[\![c]\!]\sigma = \sigma'$ if and only if $< c, \sigma > \to \sigma'$.

Proof: We prove the theorem by structural induction with a use of mathematical induction inside one case that for while-loops.

- (1) 设程序 c_1 为 $X := (X + 1) \times (Y + Z); Y := (X \times X + Y \times Y + 2 \times X \times Y); Z := X + Y X \times Y.$ 再设状态 σ 为: $\sigma(X) = 8, \sigma(Y) = -2, \sigma(Z) = 3$, 计算 $\mathcal{C}[\![c_1]\!]\sigma$ 。
- (2) 设程序 c_2 为 $X := X \times Y$; if $X \le Y$ then $Y := (Y X) \times (Z \times Y X)$ else $Z := Z \times Y + X$, 计算程序 c_2 在下面状态 σ 处的指称语义值 $\mathcal{C}[\![c_2]\!]\sigma$:

 - $\sigma_2(X) = 100, \sigma_2(Y) = 10, \sigma_2(Z) = -10$
 - $\sigma_3(X) = 100, \sigma_3(Y) = 100, \sigma_3(Z) = 100$

(3) 设程序
$$c_3$$
为 $X := X + Y - Z$; **if** $X + 1 \le X \times Y$ **then** $X := (X + 1) \times Z$; $Y := (X + Y) \times (Z - 1)$ **else** $(Z := X \times Y - 1; X := Y \times Z)$; **while** $X \le 1000$ **do** $(Y := X + Y, Z := X \times Z; X := X + 2); $X := Y; Y := Z; Z := X$, 设状态 σ 为: $\sigma(X) = 2$, $\sigma(Y) = 10$, $\sigma(Z) = 6$, 计算程序 c_3 在此状态处的指称语义 $\mathcal{C}[[c_3]]\sigma$ 。$

Axiomatic Semantics of IMP

- IMP Language
- Operational
- Denotational
- 2 Axiomatic
- **3** UTP



MP Language Operational Denotational Axiomatic UTP

Axiomatic Semantics of IMP

- Systematic verification of programs in IMP
- The Hoare rules: showing the partial correctness of programs
- Extending the boolean expressions to a rich language of assertions about program states

The Idea

- We consider the problem of how to prove that a program we have written in IMP does what we require of it.
- Simple example of a program to compute the sum of the first hundred numbers.
- A program in **IMP** to compute $\sum_{1 \le m \le 100} m$.

```
S:=0; N:=1; (while \neg(N=101) do S:=S+N; N:=N+1)
```

• How would we prove that this program , when it terminates, is such that the value of S is $\sum_{1 \le m \le 100} m$?

$$S := 0; N := 1;$$

 $\{S = 0 \land N = 1\}$
(**while** $\neg (N = 101)$ **do** $S := S + N; N := N + 1)$
 $\{S = \sum_{1 \le m \le 100} m\}$

- Precondition: $S = 0 \land N = 1$
- Postcondition: $S = \sum_{1 \le m \le 100} m$



Floyd-Hoare Triple

A proof system on assertion of the form

$${A}c{B}$$

where A and B are assertions and C is a command. A is precondition and B is a postcondition.





Floyd-Hoare Triple

- The precise interpretation of such a compound assertion $\{A\}c\{B\}$ is this: For all sates σ which satisfy A if the execution c from state σ terminates in state σ' then σ' satisfies B.
- $\sigma \models A$ means that the assertion A is true at state σ .

$$\forall \sigma. (\sigma \models A \& \mathcal{C}[\![c]\!] \sigma \text{ is defined }) \text{ implies that } \mathcal{C}[\![c]\!] \sigma \models B.)$$

Floyd-Hoare Triple

• Partial correctness: $\{A\}c\{B\}$ is called partial correctness assertion because they say nothing about the command c if it fails to terminate.

$$\forall \sigma. (\sigma \models A \& \mathcal{C}[\![c]\!] \sigma \text{ is defined }) \text{ implies that } \mathcal{C}[\![c]\!] \sigma \models B.)$$

• Total correctness: $\{A\}c\{B\}$ states that c terminates at state σ .

$$\forall \sigma. (\sigma \models A \text{ implies that } c \text{ terminates at state } \sigma \text{ and } \mathcal{C}\llbracket c \rrbracket \sigma \models B.)$$



The Assertion Language Assn

The Arithmetic expressions Aexpv:

$$a := n \mid X \mid i \mid a_0 + a_1 \mid a_0 - a_1 \mid a_0 \times a_1$$

where

- n ranges over numbers N
- X ranges over locations Loc
- i ranges over integer variables Intvar.
- The boolean assertions Assn

$$A :=$$
true | **false** | $a_0 = a_1 \mid a_0 \le a_1 \mid$
 $A_0 \land A_1 \mid A_0 \lor A_1 \mid \neg A \mid A_0 \Rightarrow A_1 \mid \forall i.A \mid \exists i.A$



Semantics of Assertions

Definition

An interpretation is a function which assigns an integer to each integer variable, i.e., a function

 $I: \mathbf{Intvar} \to \mathbf{N}.$

Semantics of Assertions

Definition

Define the meaning of expression $a \in \mathbf{Aexpv}$ in an interpretation I and a state σ by structural induction, as the value, , denoted by $Av[a]I\sigma$.

$$\mathcal{A}v[\![n]\!]I\sigma = n$$

$$\mathcal{A}v[\![X]\!]I\sigma = \sigma(X)$$

$$\mathcal{A}v[\![i]\!]I\sigma = I(i)$$

$$\mathcal{A}v[\![a_0 + a_1]\!]I\sigma = \mathcal{A}v[\![a_0]\!] + \mathcal{A}v[\![a_1]\!]I\sigma$$

$$\mathcal{A}v[\![a_0 - a_1]\!]I\sigma = \mathcal{A}v[\![a_0]\!] - \mathcal{A}v[\![a_1]\!]I\sigma$$

$$\mathcal{A}v[\![a_0 \times a_1]\!]I\sigma = \mathcal{A}v[\![a_0]\!] \times \mathcal{A}v[\![a_1]\!]I\sigma$$



Semantics of Assertions

Proposition

For all $a \in \mathbf{Aexp}$ (without integer variables), for all states σ and for all interpretations I,

$$\mathcal{A}\llbracket a \rrbracket \sigma = \mathcal{A} v \llbracket a \rrbracket I \sigma.$$



Notations:

• I[n/i] to mean the interpretation got from interpretation I by changing the value for inter-variable i to n, i.e.,

$$I[n/i](j) = \begin{cases} n & \text{if } j \equiv i \\ I(j) & \text{otherwise} \end{cases}$$

- $\bullet \Sigma_{\perp} = \Sigma \cup \{\bot\}$
- $\sigma \models^I A$ means state σ satisfies A in interpretation I, or equivalently, that assertion A is true at state σ .
- $\perp \models^I A$ is required.



Satisfaction relation

Definition

We define relation $\sigma \models^I A$ for all $\sigma \in \Sigma$ and $A \in \mathbf{Assn}$:

$$\sigma \models^{I} \mathbf{true} \quad \bot \models^{I} A$$

$$\sigma \models^{I} (a_{0} = a_{1}) \quad \text{if } \mathcal{A}v\llbracket a_{0} \rrbracket I\sigma = \mathcal{A}v\llbracket a_{1} \rrbracket I\sigma$$

$$\sigma \models^{I} (a_{0} \leq a_{1}) \quad \text{if } \mathcal{A}v\llbracket a_{0} \rrbracket I\sigma \leq \mathcal{A}v\llbracket a_{1} \rrbracket I\sigma$$

$$\sigma \models^{I} A \wedge B \quad \text{if } \sigma \models^{I} A \text{ and } \sigma \models^{I} B$$

$$\sigma \models^{I} A \vee B \quad \text{if } \sigma \models^{I} A \text{ or } \sigma \models^{I} B$$

$$\sigma \models^{I} \neg A \quad \text{if } \sigma \models^{I} A$$

$$\sigma \models^{I} \forall i.A \quad \text{if } \sigma \models^{I[n/i]} A \text{ for all } n \in \mathbf{N}$$

$$\sigma \models^{I} \exists i.A \quad \text{if } \sigma \models^{I[n/i]} A \text{ for some } n \in \mathbf{N}$$

Partial Correctness Assertion

Definition

A partial correctness assertion has the form

$${A}c{B}$$

where $A, b \in \mathbf{Assn}$ and $c \in \mathbf{Com}$.

Definition

Let I be an interpretation. Let $\sigma \in \Sigma_{\perp}$. The satisfaction relation between states and partial correctness assertion, with respect to I, is defined by

$$\sigma \models^{I} \{A\}c\{B\} \text{ iff } (\sigma \models^{I} A \text{ implies } \mathcal{C}\llbracket c \rrbracket \sigma \models^{I} B).$$



The Validity of Partial Correctness Assertion

Definition

We say a partial correctness assertion $\{A\}c\{B\}$ validity , denoted by

$$\models \{A\}c\{B\}$$

if for all interpretations I and all states σ

$$\sigma \models^{I} \{A\}c\{B\}.$$

Examples:

$$\models \{S=0 \land N=1\} (\text{while } \neg (N=101) \text{ do } S:=S+N; N:=N+1)$$

$$\{S=\sum_{1 \leq m \leq 100}\}$$

$$\models \{i < X\}X := X + 1\{i < X\}$$

Proof rules for Partial Correctness: Hoare logic

Skip $\{A\}$ skip $\{A\}$ Assignments $\frac{\{B[a/X]\}X := a\{B\}}{\{A\}c_0\{C\} \ \{C\}c_1\{B\}}$ $\{A\}\ c_0; c_1\ \{B\}$ Sequencing $\{A \wedge b\}c_0\{B\} \quad \{A \wedge \neg b\}c_1\{B\}$ Conditionals $\{A\}$ if b then c_0 else c_1 $\{B\}$ ${A \wedge b}c{A}$ while – loops Consequence

Proof rules for Partial Correctness: Hoare logic

- Hoare rules: proof systems
- Proofs: derivations
- Theorem: any conclusion of a derivation
- We write $\vdash \{A\}c\{B\}$ as a theorem $\{A\}c\{B\}$.

Proof rules for Partial Correctness: Hoare logic

Compute the postcondition from precondition: Given commands

$$c := (d := d + 2; y := y + d; d := d + 2; y := y + d)$$
 and precondition $y = x^2 \wedge d = 2x - 1$.

$${y = x^2 \land d = 2x - 1} \ d := d + 2; y := y + d; d := d + 2; y := y + d {???}$$

How to compute the postcondition:



We want to verify that the command

$$w \equiv (\text{while } x > 0 \text{ do } Y := X \times Y; X := X - 1)$$

computing the factorial function

$$n! = n \times (n-1) \times (n-2) \times \cdots \times 2 \times 1$$
 with $0! = 1$. We prove that

$$\{X = n \wedge n \ge 0 \wedge Y = 1\} w \{Y = n!\}.$$

We need to find out an invariant for w—the while-loops command.

$$I \equiv (Y \times X! = n! \land X > 0).$$



Hoare logic: Example

We show that $I \equiv (Y \times X! = n! \land X \ge 0)$ indeed is an invariant:

$${I \land X > 0}Y := X \times Y; X := X - 1{I}.$$

From the rule for assignment, we have

$${I[(X-1)/X]}X := X-1{I}$$

where $I[(X-1)/X] \equiv (Y \times (X-1)! = n! \land (X-1) \ge 0)$. Again by the assignment rule:

$${I[(X-1)/X](X\times Y)/Y]}Y := X\times Y{I[(X-1)/X]}$$

where

$$I[(X-1)/X](X\times Y)/Y] \equiv (X\times Y\times (X-1)! = n! \land (X-1) \ge 0.$$

Hoare logic: Example

Thus by the rule for sequencing,

$$\{X \times Y \times (X-1)! = n! \land (X-1) \ge 0\}Y := X \times Y; X := (X-1)\{I\}$$

Clearly

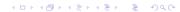
$$I \wedge X > 0 \implies Y \times X! = n! \wedge X \ge 0 \wedge X > 0$$

 $\Rightarrow Y \times X! = n! \wedge X \ge 1$
 $\Rightarrow X \times Y \times (X - 1)! = n! \wedge (X - 1) \ge 0.$

Thus by the consequence rule

$${I \land X > 0}Y := X \times Y; X := (X - 1){I}$$

establishing that *I* is an invariant.



Hoare logic: Example

Now applying the rule for while-loops we obtain

$${I}w{I \wedge X \not> 0}.$$

Clearly $(X = n) \land (n \ge 0) \land (Y = 1) \Rightarrow I$, and

$$I \land X \not> 0 \Rightarrow Y \times X! = n!X \ge 0 \land X \not> 0$$

 $\Rightarrow Y \times X! = n! \land X = 0$
 $\Rightarrow Y \times 0! = Y = n!$

Thus by the consequence rule we conclude

$$\{(X = n) \land (Y = 1)\} w \{Y = n!\}.$$



Hoare logic: Sound Theorem

Lemma

(Lemma 6.8) Let $a, a_0 \in \mathbf{Aexpv}$. Let $X \in \mathbf{Loc}$. Then for all interpretations I and states σ ,

$$\mathcal{A}v\llbracket a_0[a/X]\rrbracket I\sigma = \mathcal{A}v\llbracket a_0\rrbracket I\sigma[\mathcal{A}v\llbracket a\rrbracket I\sigma/X].$$

Lemma

(Lemma 6.9) Let I be an interpretation. Let $B \in \mathbf{Assn}, X \in \mathbf{Loc}$ and $a \in \mathbf{Aexp}$. For all states $\sigma \in \Sigma$ $\sigma \models^I B[a/X]$ iff $\sigma[\mathcal{A}[\![a]\!]\sigma/X] \models^I B$.



Hoare logic: Sound Theorem

Theorem

(Theorem 6.11) Let $\{A\}c\{B\}$ be a partial correctness assertion. If $\vdash \{A\}c\{B\}$ then $\models \{A\}c\{B\}$.

Proof: Showing each rule is sound implies that every theorem is valid. We use both inductions on structure of commands and on the length of derivation of theorem.

```
The case of c = \mathbf{Skip} : \vdash \{A\}\mathbf{Skip}\{B\} implies that B = A.
But, \models \{A\}Skip\{A\} = \{A\}Skip\{B\}.
The case of c = X := a : \vdash \{A\}X := a\{B\} implies
A = B[a/X]. By Lemma 6.9, we have \sigma \models B[a/X] iff
\sigma[\mathcal{A}\sigma/X] \models^I B. Thus,
```

$$\sigma \models^I B[a/X] \Rightarrow \mathcal{C}[X := a] \sigma = \sigma[\mathcal{A}[a]\sigma/X] \models^I B.$$

and hence, $\models \{B[a/X]\}X := a\{B\}$.

The proof of Sound Theorem

The case of $c = c_0$; c_1 : Assume $\vdash \{A\}c_0$; $c_1\{B\}$. Then by the operational semantics, there exists $C \in \mathbf{Assn}$ such that $\vdash \{A\}c_0\{C\}$ and $\vdash \{C\}c_1\{B\}$. By the induction on the length of derivation, we have $\models \{A\}c_0\{C\}$ and $\models \{C\}c_1\{B\}.$ Let *I* be an interpretation and state $\sigma \in \Sigma_{\perp}$. Suppose $\sigma \models^I A$. Then $\mathcal{C}\llbracket c_0 \rrbracket \models^I C$ and $\mathcal{C}\llbracket c_1 \rrbracket (\mathcal{C}\llbracket c_0 \rrbracket \sigma) \models^I B$. Hence $\models \{A\}c_0; c_1\{B\}.$

The proof of Sound Theorem

The case of $c = \mathbf{if}\ b$ then c_0 else c_1 . If $\vdash \{A\}\mathbf{if}\ b$ then c_0 else $c_1\{B\}$, then $\vdash \{A \land b\}c_0\{B\}$ and $\vdash \{A \land \neg b\}c_1\{B\}$. By the induction, we have

$$\models \{A \wedge b\}c_0\{B\} \models \{A \wedge \neq gb\}c_1\{B\}.$$

Let *I* be an interpretation and states $\sigma \in \Sigma_{\perp}$. Suppose $\sigma \models^{I} A$. Then either $\sigma \models^{I} b$ or $\sigma \models^{I} \neg b$. So, we have that $\sigma \models^{I} A \wedge b$ and then $\mathcal{C}[\![c_{0}]\!] \sigma \models^{I} B$ or $\sigma \models^{I} A \wedge \neg b$ and then $\mathcal{C}[\![c_{1}]\!] \sigma \models^{I} B$. This ensures $\models \{A\}$ if *b* then c_{0} else $c_{1}\{B\}$.

The proof of Sound Theorem

The case of w = while b do c: Assume \vdash while b do c. Then by operational semantics, $\vdash \{A \land b\}c\{A\}$ and $B = A \land \neg b$. By the induction, we have $\models \{A \land b\}c\{A\}$. Let I be an interpretation and state $\sigma \in \Sigma_{\perp}$. We need to prove that

$$\sigma \models^I A \text{ implies } \mathcal{C}[\![w]\!] \sigma \models^I A \wedge \neg b.$$

Recall $\llbracket w \rrbracket = \cup_{n \in \omega} \theta_n$ where

$$\begin{array}{ll} \theta_0 &= \emptyset \\ \theta_{n+1} &= \Gamma(\theta_n) \\ &= \{(\sigma, \sigma') \mid \mathcal{B}[\![b]\!] \sigma = \mathbf{true} \ \& (\sigma, \sigma') \in \theta_n \circ \mathcal{C}[\![c]\!] \} \cup \{(\sigma, \sigma) \mid \mathcal{B} \} \end{array}$$

We shall show by mathematical induction that P(n) holds where

The Proof of Sound Theorem

Base case n=0. Then $\theta_0=\emptyset$. So, P(n) holds (?). Induction Step: Assume the induction hypothesis P(n)holds for n > 0 and attempt to prove P(n + 1). Suppose $(\sigma, \sigma') \in \theta_{n+1}$ and $\sigma \models^I A$. Either

- (1) $\mathcal{B}[\![b]\!]\sigma =$ true and $(\sigma, \sigma') \in \theta_n \circ \mathcal{C}[\![c]\!]$, or
- (2) $\mathcal{B}[\![b]\!]\sigma =$ false and $\sigma' = \sigma$.

We show that in either case that $\sigma' \models^I A \land \neg b$.

Assume (1). $\mathcal{B}[\![b]\!]\sigma = \mathbf{true}$ implies $\sigma \models^I b$ and hence $\sigma \models^I A \wedge b$.

Also $(\sigma, \sigma') \in \mathcal{C}[\![c]\!]$ and $(\sigma'', \sigma') \in \theta_n$ for some state σ'' . We obtain $\sigma'' \models^I A$.. So, we have that $\sigma' \models^I A \land \neg b$. Asumme (2).



Exercise

Exercise: 6.16 pp96 Using the Hoare rule prove

$$\{N = n \land M = m \land 1 \le n \land 1 \le m\}$$
Euclid $\{X = \gcd(n, m)\}$

where

Euclid
$$\equiv$$
 while $\neg (M=N)$ do if $M \leq N$ then $N := N-M$ else $M := M-N$.



(1) 设IMP程序c定义为:

if $M \le N$ then N := N - M; $M := N \times M$ else

 $M := M - N; N := M \times N.$

使用IMP的操作语义计算c在下面的状态 σ 下结果 σ'

- $\sigma(N) = 8, \sigma(M) = 6$
- $\sigma(N) = 6, \sigma(M) = 8$
- $\sigma(N) = 8, \sigma(M) = 8$

(2) 设IMP程序Euclid定义如下:

while
$$\neg (M=N)$$
 do if $M \leq N$ then $N := N-M$ else $M := M-N$.

再设 $\sigma(N)=8, \sigma(M)=10$ 按照**IMP**的指称语义计算 $\mathcal{C}[\text{Euclid}]\sigma$

(3) 使用Hoare规则证明

$$\{N = n \land M = m \land 1 \le n \land 1 \le m\}$$
Euclid^X $\{X = \mathbf{gcd}(n, m)\}$
其中 $\mathbf{gcd}(n, m)$ 是 n, m 的最大公因子,而程序**Euclid**^X定义为

$$\left\{ \begin{array}{ll} \textbf{while} & \neg(M=N) \textbf{ do} \\ & \textbf{if } M \leq N \\ & \textbf{then } N := N-M \\ & \textbf{else } M := M-N \end{array} \right\}; X := N$$

Unifying Theories of Programming

- IMP Language
- Operational
- Denotational
- Axiomatic
- **3** UTP

Unifying Theories of Programming

Jim Woodcock said: The book by Hoare and He sets out a research programme to find a common basis in which to explain a wide variety of programming paradigms: unifying theories of programming (UTP). Their technique is to isolate important language features, and give them a denotational semantics. This allows different languages and paradigms to be compared.







Unifying Theories of Programming

- A simple language H
- Denotational Semantics
- The laws
- Axiomatic Semantics
- Weakest precondition Semantics
- Dijkstra Healthiness Conditions

IMP Language Operational Denotational Axiomatic UTP

Unifying Theories of Programming–H Language

\perp_A	Abort	
\top_A	Miracle	
Π_A	Skip	
x := e	Assignment	
P(v'); Q(v)	Sequential composition	
$P \lhd b \rhd Q$	Conditional	
$P \sqcap Q$	Non-determinism	
$P\ $	Parallel execution	
b*P	While-loop	

Denotational Semantics of ${\cal H}$ Language

Definition

(Definition 2.0.1– Relation)

A relation is a pair $(\alpha P, P)$, where P is a predicate containing no free variables other than those in αP , and

$$\alpha P = in\alpha P \cup out\alpha P$$
.

Denotational Semantics of ${\cal H}$ Language

Proposition

(Assignment)

AL1
$$(x := e) = (x, y := e, y)$$

AL2 $(x, y, z := e, f, g) = (y, x, z := f, e, g)$
AL3 $(x := e; x := f(x)) = (x := f(e))$
AL4 $x := e; (P \lhd b(x) \rhd Q) =$
 $(x := e; P) \lhd b(e) \rhd (x := e; Q)$

Proposition

(Non-deterministic choice)

$$NDL1 \quad P \sqcap Q = Q \sqcap P$$

$$ND2 \quad P \sqcap (Q \sqcap R) = (P \sqcap Q) \sqcap R$$

NDL3
$$P \sqcap P = P$$

Proposition

(Conditional)

*CL*1
$$P \triangleleft b \triangleright P = P$$

$$CL2 \quad P \lhd b \rhd Q = Q \lhd \neg b \rhd P$$

CL3
$$(P \triangleleft b \triangleright Q) \triangleleft c \triangleright R = P \triangleleft b \land c \triangleright (Q \triangleleft c \triangleright R)$$

$$\mathit{CL4} \quad \mathit{P} \lhd \mathit{b} \rhd (\mathit{Q} \lhd \mathit{c} \rhd \mathit{R}) = (\mathit{P} \lhd \mathit{b} \rhd \mathit{Q}) \lhd \mathit{c} \rhd (\mathit{P} \lhd \mathit{b} \rhd \mathit{R})$$

CL5
$$P \triangleleft true \triangleright Q = P = Q \triangleleft false \triangleright P$$

Proposition

(Sequencing Composition)

$$SL1 \ P; (Q; R) = (P; Q); R$$

$$SL2 \quad (P \lhd b \rhd Q); R = (P; R) \lhd b \rhd (Q; R)$$

Hoare triple of \mathcal{H} Language

Definition

(Definition 2.8.1)

$$p{Q}r =_{def} [Q \Rightarrow (p \Rightarrow r')]$$

Hoare triple of \mathcal{H} Language

(Theorem 2.8.2 –Hoare proof rules)

*HL*1 If
$$p\{Q\}r$$
 and $p\{Q\}s$ then $p\{Q\}(r \land s)$

HL2 If
$$p{Q}r$$
 and $q{Q}r$ then $p{Q}(r \lor s)$

*HL*3 If
$$p\{Q\}r$$
 then $(p \land q)\{Q\}(r \lor s)$

$$HL4$$
 $r(e)\{x := e\}r(x)$

HL5 If
$$(p \wedge b)\{Q_1\}r$$
 and $(p \wedge \neg b)\{Q_2\}r$ then $p\{Q_1 \triangleleft b \triangleright Q_2\}r$

*HL*6 If
$$p\{Q_1\}s$$
 and $s\{Q_2\}r$ then $p\{Q_1; Q_2\}r$

HL7 If
$$p\{Q_1\}r$$
 and $p\{Q_2\}r$ then $p\{Q_1 \sqcap Q_2\}r$

*HL*8 If
$$b \wedge c\{Q\}c$$
 them $c\{\nu X \bullet Q; X \lhd b \rhd \Pi\}(\neg b \wedge c)$

HL9 False $\{Q\}r$ and $p\{Q\}true$ and $p\{False\}false$ and $p\{\Pi\}P$

Weakest precondition of ${\mathcal H}$ Language

Definition

(Definition 2.8.4)

$$Q\mathbf{wp}r =_{def} \neg (Q; \neg r)$$

$$= \neg (Q \land \neg r)$$

$$= \neg Q \lor r$$

Weakest precondition of ${\mathcal H}$ Language

Proposition

The laws related to weakest precondition

$$WL10 \quad (x := e) \text{ wp } r(x) = r(e)$$
 $WL11 \quad (P; Q) \text{ wp } r = P \text{ wp } (Q \text{ wp } r)$
 $WL12 \quad (P \lhd b \rhd Q) \text{ wp} r = (P \text{ wp } \lhd b \text{ } rhd(Q \text{ wp } r))$
 $WL13 \quad (p \sqcap Q) \text{ wp } r = (P \text{ wp } r \land (Q \text{ wp } r))$

Healthiness conditions related to Weakest precondition

Proposition

*DL*14 If
$$[r \Rightarrow s]$$
 then $[Q \text{ wp } r \Rightarrow Q \text{ wp } s]$

*DL*15 If
$$[Q \Rightarrow S]$$
 them $[S \text{ wp } r \Rightarrow Q \text{ wp } r]$

$$DL16 \quad Q \text{ wp } (\land R) = \land \{Q \text{ wp } r \mid r \in R\}$$

$$DL17$$
 Q **wp** $false = false$.



Variable Declaration of ${\cal H}$ Language

Definition

(Definition 2.9.1)

Let A be an alphabet which includes x and x'. Then

$$\operatorname{var} x =_{\operatorname{def}} \exists x \bullet \Pi_A \quad \alpha(\operatorname{var} x) =_{\operatorname{def}} A \setminus \{x\}$$

$$\operatorname{end} x' =_{\operatorname{def}} \exists x' \bullet \Pi_A \quad \alpha(\operatorname{end} x') =_{\operatorname{def}} A \setminus \{x'\}$$

Definition

$$\mathsf{var}\,x;Q \ = \ \exists x \bullet Q$$

$$Q$$
; end $x = \exists x' \bullet Q$

Variable Declaration of ${\cal H}$ Language

Proposition

The Law related to Declaration

DL5 If x is not free in b, then

$$\mathsf{var}\,x;(P\lhd b\rhd Q)=(\mathsf{var}\,x:P)\lhd b\rhd(\mathsf{var}\,x:Q)$$

$$\mathsf{end}\, x; (P \lhd b \rhd Q) = (\mathsf{end}\, x : P) \lhd b \rhd (\mathsf{end}\, x : Q)$$

*DL*6 var
$$x$$
; end $x = \Pi$

DL7 (end
$$x$$
; var $x := e$) – ($x := e$)

$$DL8 \quad (x := e; \text{ end } x) = \text{end } x$$

The End

Email: yxchen@sei.ecnu.ecu.cn