processes

Each program that is running counts as a **process** in Unix terminology. Multiple copies of a program count as multiple processes.

The processes "take turns" running, of fixed size, say for concreteness 30 milliseconds. After a process has run for the given time, a hardware timer emits an interrupted which causes the OS to run to suspend the process: the process itself has been pre-empted.

The OS saves the current state of the interrupted process so it can be resumed later, then selects the next process to give a turn to. This is known as a **context switch**: the context in which the CPU is running has switched from one process to another.

This cycle repeats: any given process will keep getting turns, and eventually will finish. A turn is called a **quantum** or **timeslice**.

The OS maintains a process table, listing all current processes. Each process will be shown as currently being in either **Run** (ready) state or **Sleep** (waiting) state.

threads

A **thread** of execution is the smallest sequence of programmed instructions that can be managed independently by a scheduler.

The implementation of threads and processes differs between operating systems, but in most cases a thread is a component of a process. <u>Multiple threads can exist within one process, executing concurrently and sharing resources such as memory</u>, while different processes do not share these resources. In particular, the threads of a process share its executable code and the values of its variables at any given time.

From Wikipedia

Threads are sometimes called "**lightweight**" processes, because they occupy much less memory and take less time to create, than do processes

In particular, a major difference between ordinary processes and threads is that although each thread has its own local variables, just as is the case for a process, the global variables of the parent program in a threaded environment are shared by all threads, and serve as the main method of **communication** between the threads.

Python threads are accessible via two modules, **thread.py** and **threading.py**. The former is more primitive, the second gives highest level functions.

threads module

The Python's **thread** module provides low-level primitives for working with multiple threads. For synchronization, simple locks (also called binary semaphores) are provided.

It defines some constant and functions, in particular:

thread.start_new_thread(function, args[, kwargs])

this function start a new thread and return its identifier. The thread executes the function *function* with the argument list *args* (which <u>must be a tuple</u>, eventually use an empty tuple to call function without passing any parameter). The optional *kwargs* argument specifies a dictionary of keyword arguments.

When the function returns, the thread silently exits. When the function terminates with an unhandled exception, a stack trace is printed and then the thread exits (but other threads continue to run).

threads module

program's output

thread-1: Tue Oct 25 23:38:36 2016 thread-2: Tue Oct 25 23:38:38 2016 thread-1: Tue Oct 25 23:38:38 2016

Here is an example of thread module usage:

```
import thread
                                                               thread-1: Tue Oct 25 23:38:40 2016
import time
                                                               thread-2: Tue Oct 25 23:38:42 2016
                                                               thread-1: Tue Oct 25 23:38:42 2016
                                                               thread-1: Tue Oct 25 23:38:44 2016
#function to be invoked as a thread
                                                               thread-2: Tue Oct 25 23:38:46 2016
                                                               thread-2: Tue Oct 25 23:38:50 2016
def print time(threadname, delay):
                                                               thread-2: Tue Oct 25 23:38:54 2016
    count = 0
                                                               ^CTraceback (most recent call last):
                                                                 File "thread-1.py", line 23, in <module>
    while count < 5:
         time.sleep(delay)
                                                               KeyboardInterrupt
         count +=1
         print "%s: %s" % (threadname, time.ctime(time.time()))
#main program: generates two threads from print time
try:
    thread.start new thread(print time,('thread-1',2))
    thread.start new thread(print time,('thread-2',4))
except:
    print 'unable to start thread'
while 1:
    pass
```

threading module

The Python's **threading** module constructs higher-level threading interfaces on top of the lower level thread module.

This module defines some functions, in particular:

threading.enumerate() return a list of all Thread objects currently alive.

threading.activeCount() return the number of Thread objects currently alive. The

returned count is equal to the length of the list returned

by enumerate().

threading.currentThread() return the current Thread object

threading module

The threading also define the **threading.Thread** class that represents a thread object and which can be safely subclassed.

The methods provided by the Thread class are as follows:

run() the run() method is the entry point for a thre

start() this methods starts a thread by calling the run() method

join([time]) this method waits for a thread to terminate (optionally, the maximum

waiting time can be specified)

isAlive() this method checks whether a thread is still executing or not,

returning a boolean value

getName() this method returns the name of a thread

setName() this method can be used to set the name of a thread

threading module

The simplest way to use a **Thread** object is to instantiate it with a target function passing it **arguments** to tell it what work to do and call the **start()** method to let it begin working:

```
import threading

def worker(num):
    print 'thread worker nr. %s' % num
    return

threads = []
for i in range(5):
    t = threading.Thread(target = worker, args = (i, ))
    threads.append(t)
    t.start()
```

program's output

```
thread worker nr. 0
thread worker nr. 1
thread worker nr. 2
thread worker nr. 3
thread worker nr. 4
```

threading module

Each Thread instance has a **name** with a default value that can be changed as the thread is created. Naming threads is useful in server processes with multiple service threads handling different operations.

```
import threading
import time
def worker2s():
    print threading.currentThread().getName(), 'starting...'
    time.sleep(2)
    print threading.currentThread().getName(), 'exiting...'
def worker3s():
    print threading.currentThread().getName(), 'starting...'
    time.sleep(3)
    print threading.currentThread().getName(), 'exiting...'
t1 = threading.Thread(target = worker3s, name = 'wait 3')
t2 = threading.Thread(target = worker2s, name = 'wait 2')
t3 = threading.Thread(target = worker2s)
t1.start()
t2.start()
t3.start()
```

program's output

```
wait_3 starting...
wait_2 starting...
Thread-1 starting...
wait_2 exiting...
Thread-1 exiting...
wait_3 exiting...
```

threading module

Instead of printing thread's messages, the **logging** module can be used to create debug records. The logging module supports embedding the thread name in every log message using the formatter code %(threadName)s, thus making easy to trace those messages back to their source.

```
import threading
import logging
                                                               program's output
import time
                                                              [DEBUG] wait 3 starting...
                                                              [DEBUG] wait 2 starting...
def worker2s():
                                                              [DEBUG] Thread-1 starting...
    logging.debug('starting...')
                                                              [DEBUG] wait 2 exiting...
    time.sleep(2)
                                                              [DEBUG] Thread-1 exiting...
    logging.debug('exiting...')
                                                              [DEBUG] wait 3 exiting...
def worker3s():
    logging.debug('starting...')
   time.sleep(3)
    logging.debug('exiting...')
logging.basicConfig(level = logging.DEBUG, format = '[%(levelname)s] %(threadName)s %(message)s')
t1 = threading.Thread(target = worker3s, name = 'wait 3')
t2 = threading.Thread(target = worker2s, name = 'wait 2')
t3 = threading.Thread(target = worker2s)
t1.start()
t2.start()
t3.start()
```

threading module

programs can also spawn a thread as a **daemon** that runs without blocking the main program from exiting; To mark a thread as a daemon, call its **setDaemon()** method passing it a boolean True value as argument (the default is for threads to not be daemons).

```
import threading
                                                           program's output
import logging
                                                           daemon starting...
import time
                                                           non daemon starting...
                                                           non daemon exiting...
def daemon():
    logging.debug('starting...')
    time.sleep(2)
                                                                     the output does not include the "Exiting"
    logging.debug('exiting...')
                                                                     message from the daemon thread, since all
                                                                     of the non-daemon threads (including the
def non daemon():
                                                                     main thread) exit before the daemon thread
    logging.debug('starting...')
                                                                     wakes up from its two second sleep
    logging.debug('exiting...')
logging.basicConfig(level = logging.DEBUG, format = '%(threadName)s %(message)s')
d = threading.Thread(target = daemon, name = 'daemon')
d.setDaemon(True)
t = threading.Thread(target = non daemon, name = 'non daemon')
d.start()
t.start()
```

threading module

To wait until a daemon thread has completed its work, use the **join()** method:

```
import threading
import logging
import time
                                                                  program's output
def daemon():
                                                                  daemon starting...
    logging.debug('starting...')
                                                                  non daemon starting...
    time.sleep(2)
                                                                  non daemon exiting...
    logging.debug('exiting...')
                                                                  daemon exiting...
def non daemon():
    logging.debug('starting...')
    logging.debug('exiting...')
logging.basicConfig(level = logging.DEBUG, format = '%(threadName)s %(message)s')
d = threading.Thread(target = daemon, name = 'daemon')
d.setDaemon(True)
t = threading.Thread(target = non daemon, name = 'non daemon')
d.start()
t.start()
d.join()
t.join()
```

threading module

By default, the join() method blocks indefinitely. It is also possible to pass it a timeout argument (a float representing the number of seconds to wait for the thread to become inactive): if the thread does not complete within the timeout period, join() returns anyway

```
program's output
import threading
                                                                         daemon starting...
import logging
import time
                                                                         non daemon starting...
                                                                         non daemon exiting...
def daemon():
                                                                         d.isAlive() value: True
    logging.debug('starting...')
    time.sleep(2)
    logging.debug('exiting...')
def non daemon():
    logging.debug('starting...')
    logging.debug('exiting...')
logging.basicConfig(level = logging.DEBUG, format = '%(threadName)s %(message)s')
d = threading.Thread(target = daemon, name = 'daemon')
d.setDaemon(True)
t = threading.Thread(target = non daemon, name = 'non daemon')
d.start()
t.start()
d.join(1)
print 'd.isAlive() value: ', d.isAlive()
t.join()
```

threading module

It is not necessary to retain an explicit handle to all of the daemon threads in order to ensure they have completed before exiting the main process; the **enumerate()** function of the threading module, returns a list of active Thread instances.

Notice that the list includes the current thread, and since joining the current thread is not allowed (it introduces a deadlock situation), it must be skipped.

```
import threading
import logging
import time
import random
def worker():
    pause = random.randint(1,5)
    logging.debug('sleeping %s' % pause)
    time.sleep(pause)
    logging.debug('exiting')
    return
logging.basicConfig(level = logging.DEBUG, format = '%(threadName)s %(message)s')
for i in range(3):
    t = threading.Thread(target = worker)
    t.setDaemon(True)
    t.start()
maint = threading.currentThread()
for t in threading.enumerate():
    if t is maint:
        continue
    logging.debug('joining %s' % t.getName())
    t.join()
```

program's output

```
Thread-1 sleeping 5
Thread-2 sleeping 4
Thread-3 sleeping 2
MainThread joining Thread-1
Thread-3 exiting
Thread-2 exiting
Thread-1 exiting
MainThread joining Thread-3
MainThread joining Thread-2
```

threading module

Using the threading module, it's possible to implement a new thread creating a *sub-class* of the main Thread class. In this case:

- override the __init__ constructor, to add additional attributes to the new thread;
- override the run() method to implement what the thread has to do when started.

```
import threading
import logging
class myThread(threading.Thread):
    def init (self, args = (), kwargs = None ):
        threading. Thread. init (self)
        self.args = args
        self.kwargs = kwargs
    def run(self):
        logging.debug('running with args: %s and kwargs: %s' % (self.args, self.kwargs))
logging.basicConfig(level = logging.DEBUG, format = '%(threadName)s %(message)s')
for i in range(3):
    t = myThread((i,), \{'a' : 1, 'b' : 2\})
   t.start()
                                                program's output
```

Thread-1 running with args: (0,) and kwargs: {'a': 1, 'b': 2} Thread-2 running with args: (1,) and kwargs: {'a': 1, 'b': 2} Thread-3 running with args: (2,) and kwargs: {'a': 1, 'b': 2}

threading module

One more example of *sub-classing* the threading. Thread class:

```
import logging
import threading
import time
                                                                    program's output
class myThread(threading.Thread):
                                                                   thd-1 2016-11-02 18:21:44,252 starting
    def init (self, name, delay = 1, counter = 2):
                                                                   thd-2 2016-11-02 18:21:44,253 starting
        threading. Thread. init (self)
                                                                   thd-1 2016-11-02 18:21:46,255 iteration: 0
        self.name = name
                                                                   thd-2 2016-11-02 18:21:48,257 iteration: 0
                                                                   thd-1 2016-11-02 18:21:48,258 iteration: 1
        self.delav = delav
                                                                   thd-1 2016-11-02 18:21:50,261 iteration: 2
        self.counter = counter
                                                                   thd-1 2016-11-02 18:21:50,261 exiting
                                                                   thd-2 2016-11-02 18:21:52,263 iteration: 1
    def run(self):
                                                                   thd-2 2016-11-02 18:21:56,266 iteration: 2
        logging.debug('starting')
                                                                   thd-2 2016-11-02 18:21:56,267 exiting
        worker(self.delay, self.counter)
        logging.debug('exiting')
def worker(delay, counter):
    for i in range(counter):
        time.sleep(delay)
        logging.debug('iteration: %s' % i)
logging.basicConfig(level = logging.DEBUG, format = '%(threadName)s %(asctime)s %(message)s')
t1 = myThread('thd-1', 2, 3)
t2 = myThread('thd-2', 4, 3)
t1.start()
t2.start()
```

access to shared resources

One important issue when using threads is to avoid conflicts when more than one thread needs to access a single variable or other resource.

For example, consider a program that does some kind of processing, and keeps track of how many items it has processed:

```
counter = 0
def process_item(item):
    global counter
    ... do something with item ...
    counter += 1
```

If you call this function from more than one thread, you'll find that the counter isn't necessarily accurate. It works in most cases, but <u>sometimes</u> it misses one or more items.

The reason for this is that the increment operation is actually executed in three steps: the interpreter fetches the current value of the counter, then it calculates the new value, and finally, it writes the new value back. If another thread gets control after the current thread has fetched the variable, it may modify its value before the current thread does the same thing: since they're both seeing the same original value, only one item will be accounted for. And the inconsistency can be even more critical with non-trivial data structures.

So, operations that read a variable or attribute, modifies it, and then writes it back **are not** thread-safe.

access to shared resources

Locks are the most fundamental mechanism provided by the threading module to synchronize access to a shared resource.

At any time, a lock can be held by a single thread, or by no thread at all. If a thread attempts to hold a lock that's already held by some other thread, execution of the first thread is halted until the lock is released.

For each shared resource, create a Lock object. When you need to access that particular resource, call acquire() to hold the corresponding lock (this will wait for the lock to be released, if another thread already held it), then use the resource and finally call release() to release it:

```
lock = Lock()
lock.acquire()
... access shared resource
lock.release()
```

access to shared resources

For proper operation, it's important to release the lock even if something goes wrong when accessing the resource. You can use **try-finally** or **with** statements for this purpose:

```
lock.acquire()
try:
    ... access shared resource
finally:
    lock.release() # release lock, no matter what
or:
with lock:
    ... access shared resource
```

The acquire method takes an optional wait flag, which can be used to avoid blocking if the lock is held by someone else. The method returns False if the lock was already held:

```
if not lock.acquire(False):
    ... failed to lock the resource
else:
try:
    ... access shared resource
finally:
    lock.release()
```

access to shared resources

An example about locks usage:

```
import threading
                                                     logging.basicConfig(level = logging.DEBUG,
import logging
                                                        format = '%(threadName)s %(message)s')
import random
import time
                                                    count = counter()
                                                    t1 = threading.Thread(target = worker, args = (count, ))
                                                    t2 = threading.Thread(target = worker, args = (count, ))
class counter:
    def init (self, initial value = 0):
                                                    t1.start()
        self.lock = threading.Lock()
                                                    t2.start()
        self.value = initial value
    def increment(self):
        self.lock.acquire()
        self.value += 1
        self.lock.release()
                                                                   program's output
    def str (self):
                                                                   Thread-1 Starting...
        return str(self.value)
                                                                   Thread-1 sleeping 0.78
                                                                   Thread-2 Starting...
def worker(c):
                                                                   Thread-2 sleeping 0.42
    logging.debug('Starting...')
                                                                   Thread-2 counter: 1
    for i in range(2):
                                                                   Thread-2 sleeping 0.20
                                                                   Thread-2 counter: 2
        pause = random.random()
                                                                   Thread-2 ...Done
        logging.debug('sleeping %0.02f' % pause)
                                                                   Thread-1 counter: 3
        time.sleep(pause)
                                                                   Thread-1 sleeping 0.17
        c.increment()
                                                                   Thread-1 counter: 4
        logging.debug('counter: %s' % c)
                                                                   Thread-1 ...Done
    loaging.debug('...Done')
```

access to shared resources

A **Semaphore** is a more advanced lock mechanism that has an internal counter rather than a lock flag, and it only blocks if more than a given number of threads have attempted to hold the semaphore.

Depending on how the semaphore is initialized, this allows multiple threads to access the same code section simultaneously:

The counter is decremented when the semaphore is acquired, and incremented when the semaphore is released. If the counter reaches zero when acquired, the acquiring thread will block. When the semaphore is incremented again, one of the blocking threads (if any) will run.

access to shared resources

A Semaphore allow more than one thread access to a resource at a time, while still limiting the overall number. For example, a connection pool might support a fixed number of simultaneous connections, or a network application might support a fixed number of concurrent downloads. Here is an example:

```
import threading
                                                           logging.basicConfig(level = logging.DEBUG,
import logging
                                                               format = '%(threadName)s %(message)s')
import time
                                                           pool = activePool()
class activePool:
                                                           s = threading.Semaphore(2)
   def init (self):
        self.active = []
                                                          for i in range(5):
        self.lock = threading.Lock()
                                                               t = threading.Thread(target = worker, args = (s, pool))
                                                               t.start()
   def makeActive(self, name):
        with self.lock:
            self.active.append(name)
            logging.debug('running: %s' % self.active)
                                                                     program's output
   def makeInactive(self, name):
        with self.lock:
                                                                    Thread-1 running: ['Thread-1']
            self.active.remove(name)
                                                                     Thread-2 running: ['Thread-1', 'Thread-2']
                                                                    Thread-1 running: ['Thread-2']
            logging.debug('running: %s' % self.active)
                                                                    Thread-2 running: []
                                                                     Thread-3 running: ['Thread-3']
def worker(s, pool):
                                                                     Thread-4 running: ['Thread-3', 'Thread-4']
    with s:
                                                                    Thread-3 running: ['Thread-4']
        name = threading.currentThread().getName()
                                                                     Thread-4 running: []
        pool.makeActive(name)
                                                                    Thread-5 running: ['Thread-5']
        time.sleep(0.1)
                                                                    Thread-5 running: []
        pool.makeInactive(name)
```

synchronization between threads

Although the point of using multiple threads is to spin separate operations off to run concurrently, there are times when it is important to be able to synchronize the operations in two or more threads.

A simple way to communicate between threads is using **Event** objects. An Event manages an internal flag that callers can either **set()** or **clear()**. Other threads can **wait()** for the flag to be set(), effectively blocking progress until allowed to continue:

```
event = threading.Event()

# a client thread can wait for the flag to be set:
event.wait()

# a server thread can set or reset it:
event.set()
event.clear()
```

If the flag is set, the wait method doesn't do anything. If the flag is cleared, wait will block until it becomes set again. Any number of threads may wait for the same event.

synchronization between threads

Here is an example of using events to synchronize threads. Notice that the wait() method may optionally take an argument representing the number of seconds to wait for the event before timing out and that it returns a boolean indicating whether or not the event is set. The isSet() method can be used separately to test the event status, without fear of blocking

```
def waitEvent(e):
                                                      program's output
    logging.debug('wait for event')
    es = e.wait()
                                                     (block ) 2016-11-03 09:30:59,984 wait for event
                                                      (non-block ) 2016-11-03 09:30:59,984 wait for event or timeout
    logging.debug('event is %s' % es)
                                                      (MainThread) 2016-11-03 09:30:59.984 wait 5s before setting event
                                                      (non-block ) 2016-11-03 09:31:01,985 2s timeout
def waitEventTimeout(e, t):
                                                      (non-block ) 2016-11-03 09:31:03,986 2s timeout
    logging.debug('wait for event or timeout')
                                                      (MainThread) 2016-11-03 09:31:04,990 now setting event
    while not e.isSet():
                                                      (block ) 2016-11-03 09:31:04,991 event is True
        es = e.wait(t)
                                                      (non-block ) 2016-11-03 09:31:05,012 event is True
        if es:
            logging.debug('event is %s' % es)
        else:
            logging.debug('%ss timeout' % t)
logging.basicConfig(level = logging.DEBUG, format = '(%(threadName)-10s) %(asctime)s %(message)s')
e = threading.Event()
t1 = threading.Thread(name = 'block', target = waitEvent, args = (e,))
t2 = threading.Thread(name = 'non-block', target = waitEventTimeout, args = (e,2))
tl.start()
t2.start()
logging.debug('wait 5s before setting event')
time.sleep(5)
logging.debug('now setting event')
e.set()
```

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