



Introduction to Pattern Recognition

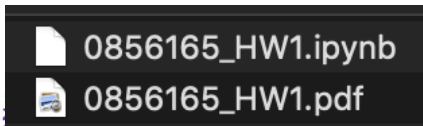
Homework 3 announcement

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Homework 3

- **Deadline: May. 22, Fri at 23:59.**
 1. Code assignment (80%): Implementing Decision Tree & Random Forest
 2. Short answer questions (20%)
- Submit your **1) code (.py/.ipynb)** and **2) reports (.pdf)** on [E3](#)
 - [Sample Code](#)
 - [HW3 questions](#)
- Please follow the **file naming rules <STUDENT ID>_HW3.pdf**, otherwise, you will get penalty of your scores



Compress



submit

[E3](#)





Coding

- Write beautiful Python codes with [PEP8 guidelines](#) for readability. Basic requirement: use whitespace correctly!
- [PEP8 online checker](#)

Python

Recommended

```
def function(default_parameter=5):  
    # ...
```

Not recommended

```
def function(default_parameter = 5):  
    # ...
```

PEP8 online

Check your code for PEP8 requirements

Just paste your code here

1

Check code



Reports

- Submit in PDF format
- Include the answers of coding part in the reports!
- Please see the sample submission file on E3

NCTU Pattern Recognition, Homework 1| Example

Part. 1, Coding (60%):

Q1: Your answer...

Q2: Your answer....

Q3: Your answer....

Q4: Your answer....

Q5: Your answer....

Part. 2, Questions (40%):

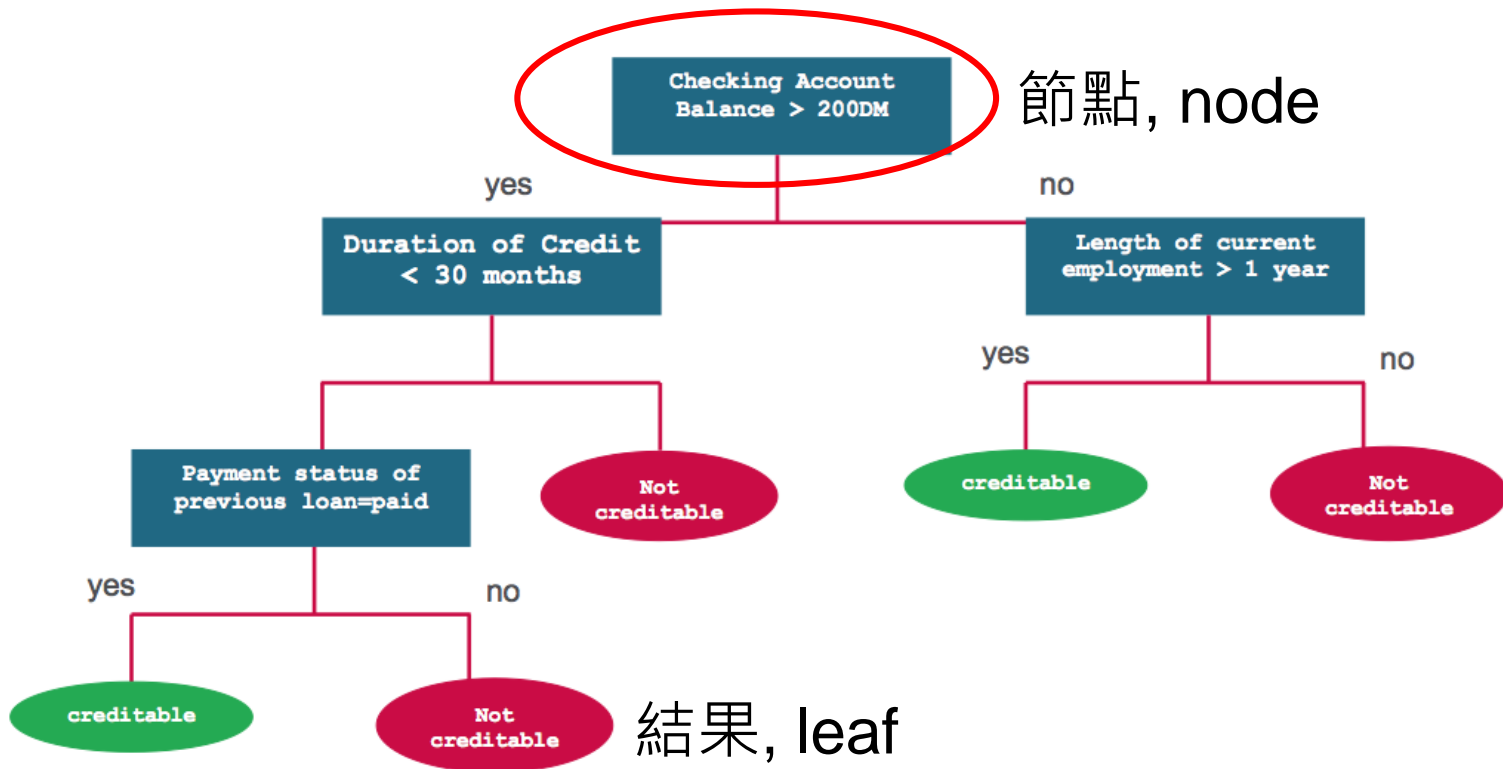
Q1: Your answer...

Q2: Your answer...



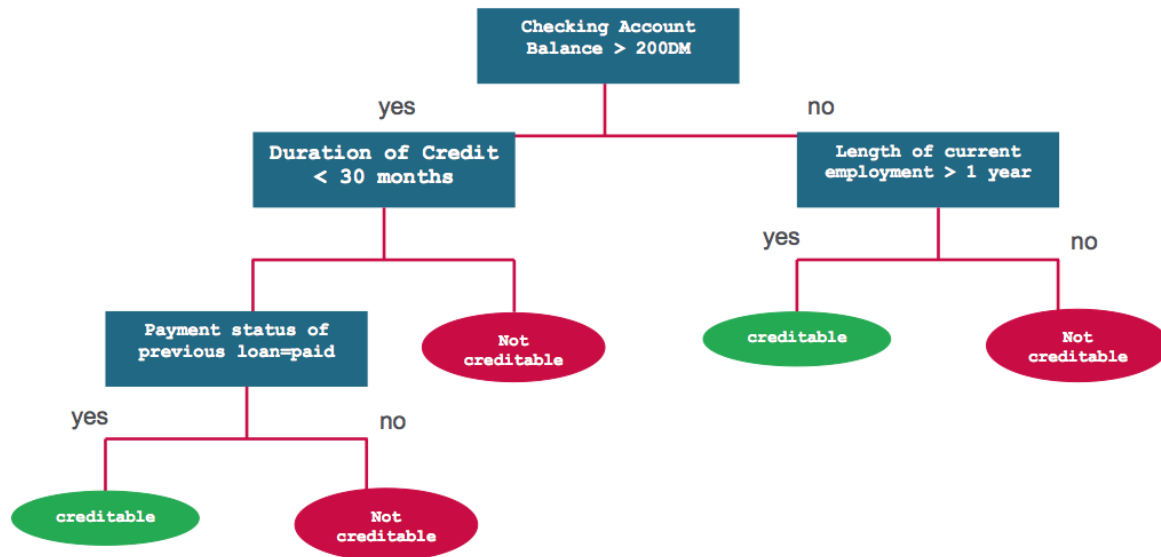
Decision Tree Algorithm

- Whether to approve the loan for customer?



Decision Tree Algorithm

- How to find the feature for making decisions? What's the value of feature?
- Find the features to separate data that the class at the resulting nodes are as **pure** as possible



How to measure “pure”?

1. Entropy: the smaller, the purer
2. Gini-index: the smaller, the purer

$$Gini = 1 - \sum_j p_j^2$$

	Parent
C0	6
C1	6
Gini = 0.5	

Gini :
 $1 - (6/12)^2 - (6/12)^2$
 $= 0.5$

$$Entropy = - \sum_j p_j \log_2 p_j$$

- If all classes are the same in one node

$$entropy = -1 \log_2 1 = 0$$

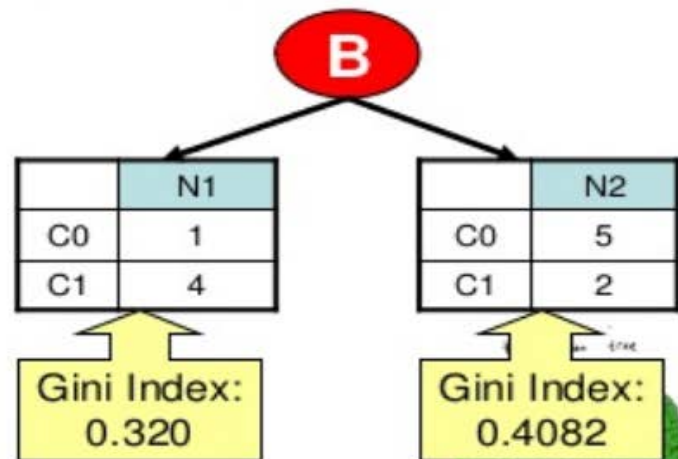
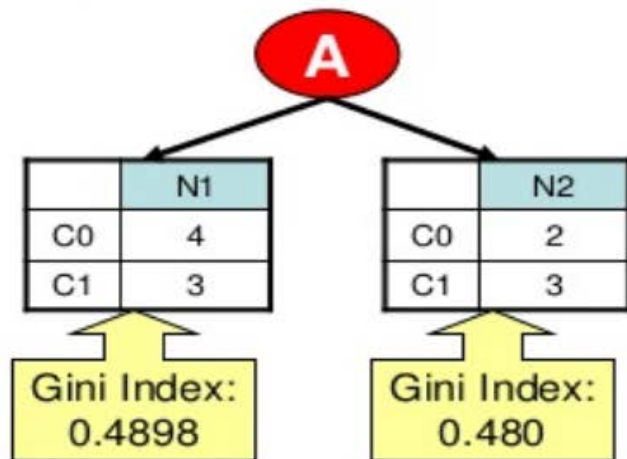
- If the classes are half-and-half

$$entropy = -0.5 \log_2 0.5 - 0.5 \log_2 0.5 = 1$$



How to find best split?

Suppose there are two ways (A and B) to split the data into smaller subset.



Which one is a better split??

Compute the **weighted average of the Gini index** of both attribute



Decision Tree pseudo code

- Until stopped
 - a. Select a node
 - b. loop all values of all features
 - ◆ partition the node and calculate the pure of data
 - ◆ find the value of feature can yield lowest value of gini or entropy
 - c. Split the node using the feature value found in step b.
 - d. Go to each node and repeat step a to c.
- Stopping criteria
 - Each leaf-node contains data of the same class
 - Depth of the tree is more than some pre-specified limit



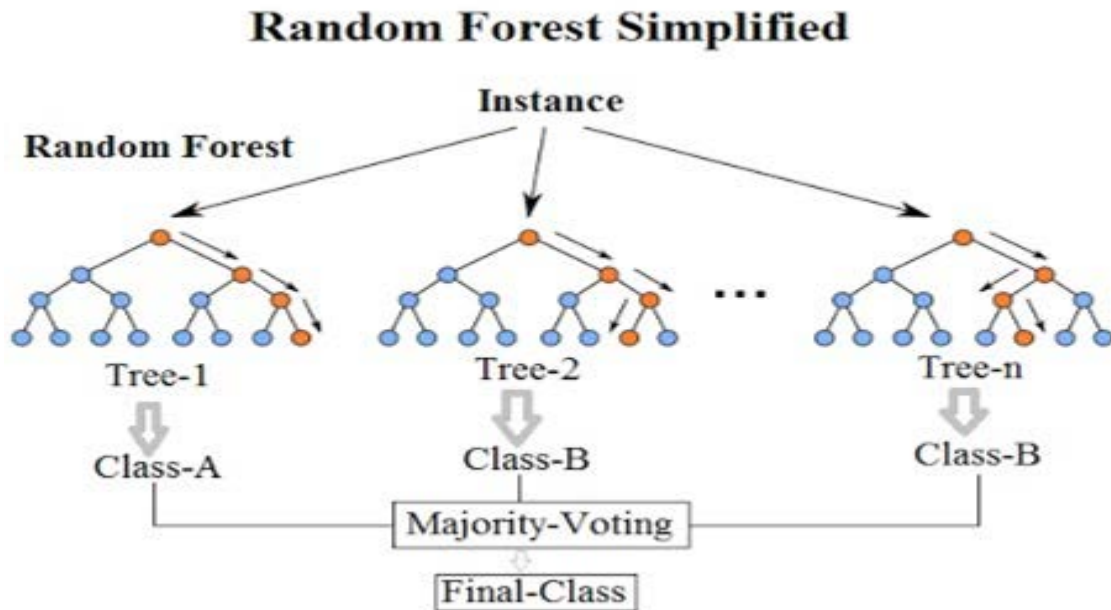
Overfitting

- Decision Tree can find a unique path for each data if we don't pre-specified any limits such as the depth of the node
- It may overfit the training data if there exist some outliers in the data



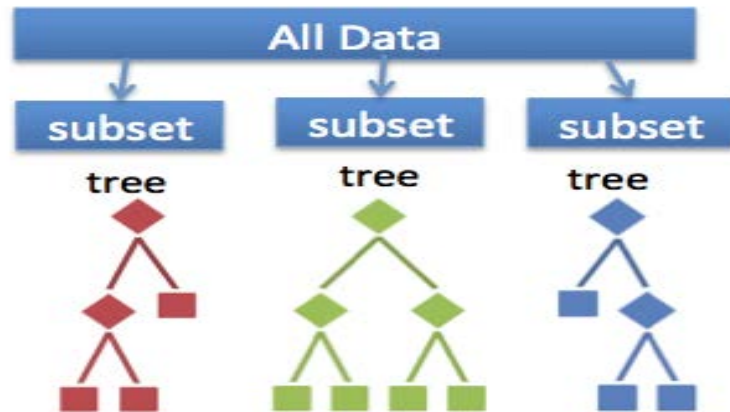
Ensemble method of Decision Trees: Bagging

- **Bagging (Bootstrap aggregating):** Fit many large trees to bootstrap-resampled versions of the training data, and classify by majority vote



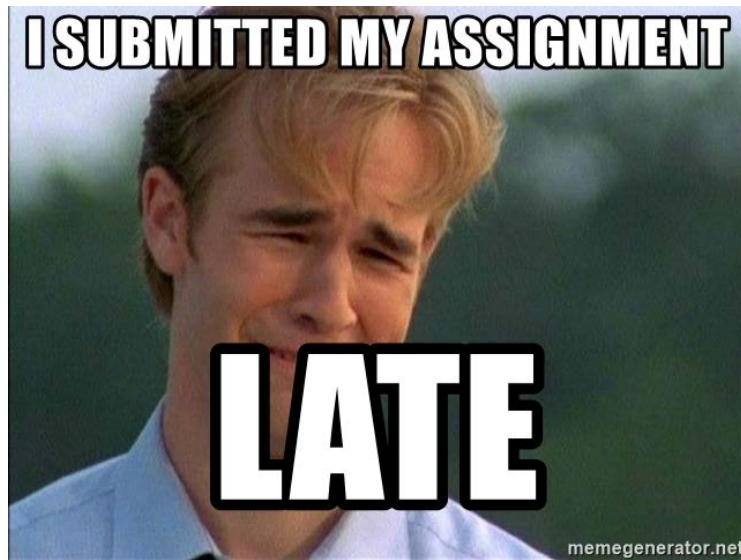
Random Forest: Where is the “Random”?

- Bootstrapped dataset
- Each tree in the forest may grow with different data and features
- Which features or data to be used are **randomly** sampled to grow the tree



Late Policy

- We will deduct a late penalty of 20 points per additional late day
- For example, If you get 90 points of this HW but delay for **two days**, your will get only $90 - (20 \times 2) = 50$ points!



Honor code

- We have found that some students develop their codes based on those by other classmates or on Internet in HW1
 - It is **NOT** allowed
- You should implement all algorithms by yourself
- If there is any plagiarism in your homework, you will get no points



Notice

- Submit your homework on [E3-system](#) !
- Check your email regularly, we will mail you if there are any updates or problems of the homework
- If you have any questions or comments for the homework, please mail Jimmy and Chung-Hsuan and cc Prof. Lin
 - Prof. Lin: lin@cs.nctu.edu.tw
 - TA, Jimmy: d08922002@ntu.edu.tw
 - TA, Chung-Hsuan: scott19880525@gmail.com



Have fun!

