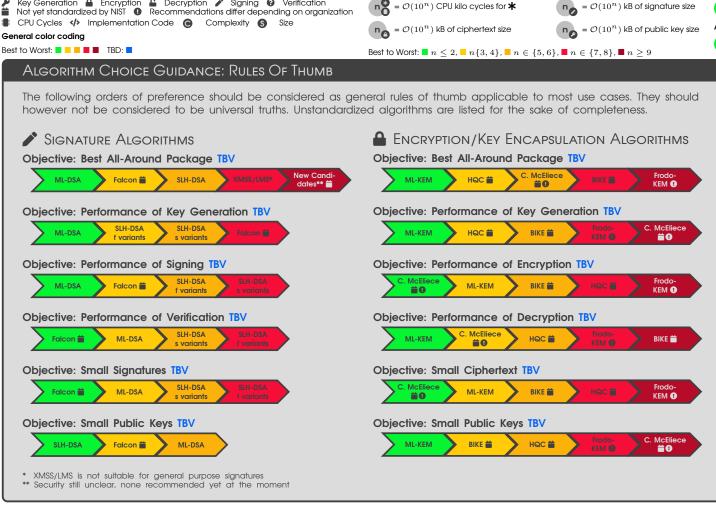
Rating scale of algorithm parameter sizes and performance (less is better in all cases):



Security category ranking of parameter sets (higher number is more secure):



Algorithm implementation complexity and size (Low/Medium/High, lower is better):

= Code Size



### SECURITY CATEGORY CHOICES

- First, consider using III as a baseline.
- Use IV or V for more security if possible (i.e., if a decrease in performance is not a concern and if no constraints apply).
- Use or iik if and only if iik or higher is not an option due to constraints (e.a. performance, memory, etc.).

#### Pure vs. Pre-Hashing

- First, consider using pure (i.e., without pre-hashing) as this is the general recommendation.
- Pre-Hashing may be considered if one or more of the following applies:
  - The message M is too large to be sent to cryptographic module (CM) for hashing without significantly impacting performance. This may be the case e.g. in CMS related use cases such as S/MIME or code signing, or in cases of very narrow communication channels to the CM (e.g. between APDUs exchanged between smartcard and smartcard reader).
  - The hash needs to be signed with different algorithms and would be computed repeatedly without pre-hashing.
  - The specific hash function is not supported in a CM.

## Pure PQC vs PQ/T Hybrid

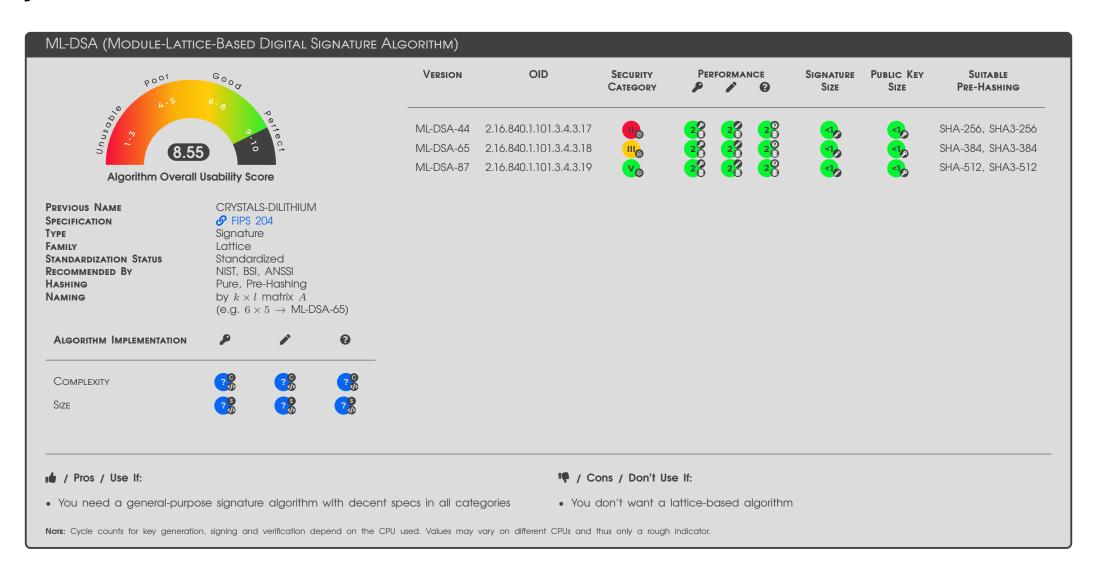
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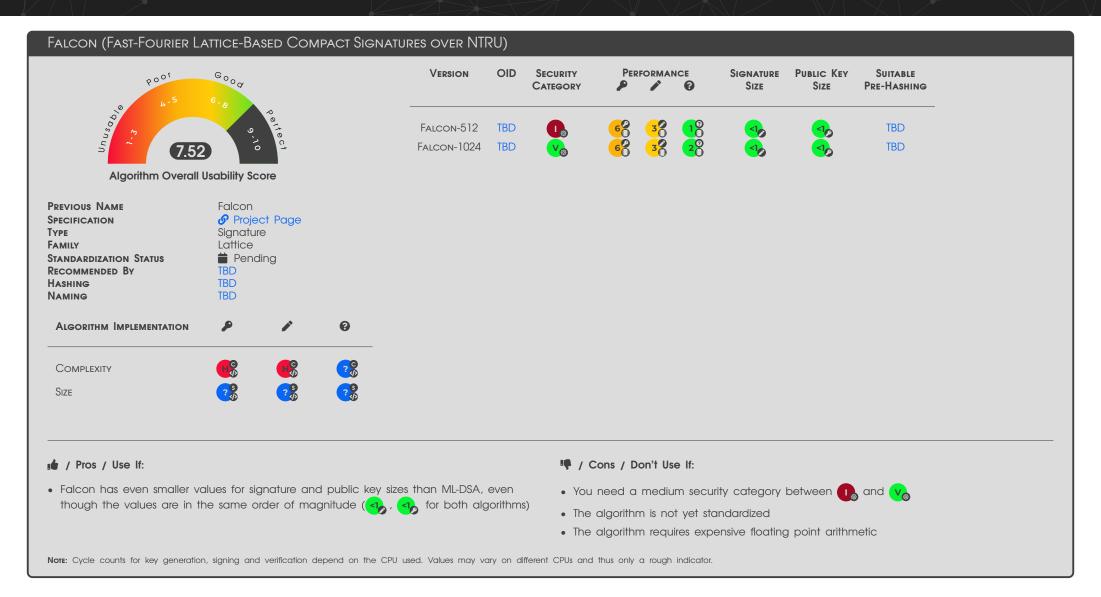
Symbols

Key Generation ☐ Encryption ☐ Decryption ✓ Signing ② Verification

This topic depends on too many factors (e.g. cost of migration, security considerations, risk profile, GRC requirements) to give general advice. For PQ/T hybrids, consider ECC (e.g. secp256r1, Curve25519) over RSA for the traditional component.

## ✓ SIGNATURE ALGORITHM OVERVIEW & ID CARDS





#### SLH-DSA (Stateless Hash-Based Digital Signature Standard) VERSION OID SECURITY PERFORMANCE SIGNATURE PUBLIC KEY SUITABLE POOT CATEGORY SIZE SIZE PRE-HASHING 2.16.840.1.101.3.4.3.20 SHA-256, SHA3-256 SLH-DSA-SHA2-128s SLH-DSA-SHA2-128F 2.16.840.1.101.3.4.3.21 SHA-256, SHA3-256 SLH-DSA-SHA2-192s 2.16.840.1.101.3.4.3.22 SHA-384, SHA3-384 Algorithm Overall Usability Score SLH-DSA-SHA2-192F 2.16.840.1.101.3.4.3.23 SHA-384, SHA3-384 SLH-DSA-SHA2-256s 2.16.840.1.101.3.4.3.24 SHA-512, SHA3-512 PREVIOUS NAME SPHINCS+ **SPECIFICATION 9** FIPS 205 SLH-DSA-SHA2-256F 2.16.840.1.101.3.4.3.25 SHA-512, SHA3-512 TYPE Signature SLH-DSA-SHAKE-128s 2.16.840.1.101.3.4.3.26 SHA-256, SHA3-256 Hash (stateless) FAMILY STANDARDIZATION STATUS Standardized SLH-DSA-SHAKE-128F 2.16.840.1.101.3.4.3.27 SHA-256, SHA3-256 RECOMMENDED BY NIST, BSI, ANSSI SLH-DSA-SHAKE-192s 2.16.840.1.101.3.4.3.28 SHA-384, SHA3-384 HASHING Pure, Pre-Hashing based on various characteristics NAMING SLH-DSA-SHAKE-192F 2.16.840.1.101.3.4.3.29 SHA-384, SHA3-384 (\*s=small signatures, \*f=fast) SLH-DSA-SHAKE-256s 2.16.840.1.101.3.4.3.30 SHA-512, SHA3-512 SLH-DSA-SHAKE-256F 2.16.840.1.101.3.4.3.31 SHA-512, SHA3-512 ALGORITHM IMPLEMENTATION COMPLEXITY SIZE

#### / Pros / Use If:

- Alternative to ML-DSA and Falcon that is not based on lattices
- Small public keys

#### / Cons / Don't Use If:

- Poor Performance compared to other algorithms
- High Complexity of the algorithm and the implementation
- Possible interoperability issues due to the many variants that may not all be supported everywhere

NOTE: Cycle counts for key generation, signing and verification depend on the CPU used. Values may vary on different CPUs and thus only a rough indicator.

VERSION

### XMSS / XMSS-MT (eXtended Merkle Signature Scheme / eXtended Merkle Signature Scheme Multi Tree)



**Algorithm Overall Usability Score** 

PREVIOUS NAME
SPECIFICATION
TYPE
FAMILY
STANDARDIZATION STATUS
RECOMMENDED BY
HASHING
NAMING

XMSS/XMSSMT
<b>𝚱</b> SP 800-208, <b>𝚱</b> RFC 8391
Signature
Merkle Trees (stateful hash trees)
Standardized
NIST, BSI, ANSSI
TBD
XMSS-[Hashfamily]_[h]_[n]
XMSSMT-[Hashfamily]_[h]/[d]_[n]
where h is the tree height,
d is the number of layers, and

XMSS-SHA2_10_256	0x00000001	V	?
XMSS-SHA2_16_256	0x00000002	V	?
XMSS-SHA2_20_256	0x00000003	V	?
XMSSMT-SHA2_20/2_256	0x00000001	V	?
XMSSMT-SHA2_20/4_256	0x00000002	V	?
XMSSMT-SHA2_40/2_256	0x00000003	V	?
XMSSMT-SHA2_40/4_256	0x00000004	V	?
XMSSMT-SHA2_40/8_256	0x00000005	V	?
XMSSMT-SHA2_60/3_256	0x00000006	V	?
XMSSMT-SHA2_60/6_256	0x00000007	V	?
XMSSMT-SHA2_60/12_256	0x00000008	V	?

NUMERIC

DENTIFIER

SECURITY

**CATEGORY** 

PERFORMANCE

<b>3 3</b>	?0 ?0 ?0 ?0 ?0	2 <sup>10</sup> 2 <sup>16</sup> 2 <sup>20</sup>	1 1 1
	?0 ?0 ?0 ?0 ?0 ?0 ?0 ?0 ?0 ?0 ?0 ?0 ?0 ?	2 <sup>20</sup> 2 <sup>20</sup> 2 <sup>40</sup> 2 <sup>40</sup> 2 <sup>40</sup> 2 <sup>60</sup> 2 <sup>60</sup>	2 4 2 4 8 3 6

SIGNATURE

SIZE

MAXIUMUM

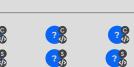
SIGNATURES

NUMBER OF

**LAYERS** 

	_
COMPLEXITY	
Size	

ALGORITHM IMPLEMENTATION



n is the message length in bits

#### Note:

₱ SP 800-208 defines further parameter sets not listed in ₱ RFC 8391 using other hash functions (SHA256/192, SHAKE256/256, SHAKE256/192). Furthermore, ₱ RFC 8391 lists optional parameter sets that are not approved in ₱ SP 800-208. All of those variants are omitted here as they are not likely to be widely used, in particular not after ML-DSA and SLH-DSA have been standardized in the meantime.

#### / Pros / Use If:

- You can predict the maximum number of signatures that are going to be required
- Firmware signing use cases

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- You want a signature scheme where the security only relies on the security of the hash function used without assuming the hardness of another mathematical problem.
- Cf. SP 800-208, Section 1.1 for additional explanations

#### / Cons / Don't Use If:

- You require an algorithm for general use
- You cannot predict the maximum number of signatures that are going to be required, or the number of required signatures exceeds the maximum number of signatures enabled through the approved parameter sets
- Your application does not allow for the careful state management and tracking of signatures performed that is required with this algorithm

Note: Cycle counts for key generation, signing and verification depend on the CPU used. Values may vary on different CPUs and thus only a rough indicator.

LMS (Leighton-Micali Signature)

TBD

## **▲** ENCRYPTION ALGORITHM OVERVIEW & ID CARDS

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### CLASSIC MCELIECE

### **TBD**

Note: Cycle counts for key generation, encryption and decryption depend on the CPU used. Values may vary on different CPUs and thus only a rough indicator.

### BIKE

### **TBD**

Note: No data available for BIKE-1.5 for cycle counts. Algorithm score is computed over BIKE-1.1 and BIKE-1.3 only.

NOTE: Cycle counts for key generation, encryption and decryption depend on the CPU used. Values may vary on different CPUs and thus only a rough indicator.

### HQC

#### **TBD**

Note: Cycle counts for key generation, encryption and decryption depend on the CPU used. Values may vary on different CPUs and thus only a rough indicator.

### FRODOKEM

#### **TBD**

Note: Cycle counts for key generation, encryption and decryption depend on the CPU used. Values may vary on different CPUs and thus only a rough indicator.

## 1 ALGORITHM SCORING: ALGORITHM OVERALL USABILITY SCORE

We try to measure an algorithm's overall usability by calculating a single number between 0 (worst) and 10 (best), taking into account all performance and size metrics, the number of security categories provided and whether or not is it is suitable for general use. An algorithm's overall score is computed as

$$\mathsf{score}_{\mathit{algorithm}} = \mathsf{max} \left\{ 0; \ \mathsf{avg} \{ \mathsf{score}_{\mathit{V}} \, | \, \mathit{v} \ \mathsf{is} \ \mathsf{variant} \ \mathsf{of} \ \mathit{algorithm} \} - \frac{1}{8} \cdot \left( 5 - \gamma_{\mathit{algorithm}} \right) - \delta_{\mathit{algorithm}} \right\}$$

where score variant is a score for an individual algorithm variant computed as

$$score_{signature-variant} = 10 - avg \left( n_0^2 + n_0^2 + n_0^2 + n_0^2 + n_0^2 + n_0^2 \right)$$

respectively

$$score_{encryption-variant} = 10 - avg \left( n_{O}^{2} + n_{O}^{2} + n_{O}^{2} + n_{O}^{2} + n_{O}^{2} + n_{O}^{2} \right)$$

and where  $1 \le \gamma_{algorithm} \le 5$  describes the number of different security categories offered by algorithm. Finally,  $\delta_{algorithm} = \begin{cases} 0 & \text{if } algorithm \text{ is a general purpose algorithm} \\ 2 & \text{else} \end{cases}$  takes into account if the algorithm is suitable for general use.

TBD: Take into account implementation complexity and size.

## **i** Example: ML-DSA

We calculate

Furthermore,  $\gamma_{ML-DSA}=3$  since ML-DSA offers the three security categories  $\bullet$ , and  $\bullet$ , and  $\bullet$  are since ML-DSA is a general purpose signature algorithm. This results in an overall usability score of 8.55:



Algorithm Overall Usability Score

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$$\begin{split} \text{score}_{\textit{ML-DSA}} &= \max \left\{ (0; \, \text{avg} \{ \text{score}_{\textit{ML-DSA-44}}, \text{score}_{\textit{ML-DSA-65}}, \text{score}_{\textit{ML-DSA-87}} \} - \frac{1}{8} \cdot (5 - \gamma_{\textit{ML-DSA}}) - \delta_{\textit{ML-DSA}} \right\} \\ &= \max \left\{ 0; \, \text{avg} \{ 8.8; \, 8.8; \, 8.8 \} - \frac{1}{8} \cdot (5 - 3) - 0 \right\} \\ &= \max \left\{ 0; \, 8.8 - 0.25 - 0 \right\} \\ &= \max \left\{ 0; \, 8.55 \right\} \\ &= 8.55 \end{split}$$