



#### Symbols

#### General color codina

Best to Worst: Links and TBDs:

#### **Symbols**

Recommendations differ depending on organization

☼ Parameter set

Encryption / Ciphertext

Signing / Signature

Not yet standardized by NIST

Implementation Code

**6** Implementation size

Key / Key Generation

▲ Decryption

Verification

**CPU Cycles** 

Implementation complexity

#### Security categories of parameter sets











NIST Security Categories V, IV, III, II, I

Higher is more secure.

#### Implementation complexity and size









Low/Medium/High implementation complexity

Low/Medium/High implementation size

Lower is better, \* can be P. P. ?. A. or A.

#### Rating scales for parameter sizes and performance

Best to Worst: n < 2, n < 3, 4,  $n \in \{5, 6\}$ ,  $n \in \{7, 8\}$ , n > 9

 $\mathcal{O}(5^n)$  CPU kilo cycles for key generation

 $\mathcal{O}(5^n)$  CPU kilo cycles for signing  $\mathcal{O}(5^n)$  CPU kilo cycles for signature verification

 $\mathcal{O}(5^n)$  CPU kilo cycles for encryption / key encapsulation

 $\mathcal{O}(5^n)$  CPU kilo cycles for decryption / key decapsulation

 $\mathcal{O}(2^n)$  KB of signature size

 $\mathcal{O}(2^n)$  KB of ciphertext size

 $\mathcal{O}(2^{(n-5)})$  KB of signature algorithm public key size

 $\mathcal{O}(2^n)$  KB of encryption algorithm public key size

#### How To Interpret The Cheat Sheets

The goal of this series of cheat sheets is to make it as easy as possible to figure out which algorithm to pick for a given use case. Algorithm ID cards break down algorithm parameter sets, their important values and performance characteristics. The cheat sheets are intended to help users primarily in technical roles, such as engineers, architects or software developers working with post-guantum cryptography.

The focus is to avoid giving specific numbers measured in bits, bytes or cycles as this makes makes comparing numbers across algorithms difficult. Instead, this complexity is simplified by only providing a colorcoded number indicating the order of magnitude of each metric.

This approach prioritizes easy interpretation and comparability of metrics and in general quick informational gain over absolute precision of data – remember this is a cheat sheet, not a standard! This document is not intended to replace the study of algorithm specifications. It just aims to point you in the right direction quickly.

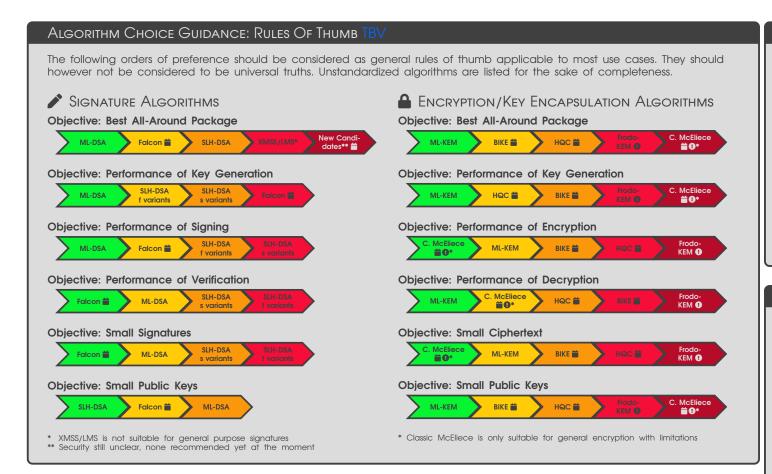
The approach of focusing on orders of magnitude walks a fine line between treating too many things as "equal" and not simplifying things enough to be easy to read and compare. "In the same order of magnitude" usually refers to "equal up to a factor of at most 10", which is a very coarse way of comparing numbers. Treating metrics that differ by a factor of e.g. 9.9 as "equal" because 9.9 < 10 paints a distorted picture. In cryptography, factors of 5 or even 2 can make a significant difference in security or performance, both in theory and in practice. In order to still tease out the differences in metrics without throwing too many things together that actually differ significantly, this cheat sheet applies different scaling and "orders of magnitude" (i.e., not regarding base 10) for different metrics.

It turns out that for metrics measured in (kilo) CPU cycle counts, i.e. algorithm performance, "up to a factor of 5" is a scale that is granular enough to work out the differences between algorithms while maintaining easy comparability. Those cycle counts heavily depend on the CPU used during measurement, hence the numbers need to be taken with a grain of salt, even if given exactly and not in terms of orders of magnitude.

For signature and ciphertext sizes as well as key sizes, measuring numbers in kilobytes "up to a factor of 2" is well suited to work out the differences between algorithms while allowing for quick comparison. Specifically for signature public key sizes only, we offset the corresponding color coding by 5 orders of magnitude. This is because SLH-DSA has extremely small pubic keys compared to all other signature algorithms, which would extend the scale into negative numbers (e.g., for SLH-DSA-SHA2-128s, the public key has  $32=2^5$  bytes, which corresponds to an order of magnitude of -5 when measuring in orders of magnitude of base 2 and in kilobytes). This phenomenon of algorithm metrics spanning a very large range of orders of (base 2) magnitudes does not occur to this extent for encryption algorithms, making an offset unnecessary.

All values thus have a lower bound of 0. We do not limit the upper end of scales, but don't distinguish values areater than 10 anymore in terms of color codina. Please refer to the definitions on the left for symbol explanations, color coding and interpretation of numeric values.





### Pure PQC vs PQ/T Hybrid

This topic depends on too many factors (e.g. cost of migration, security considerations, risk profile, GRC requirements) to give aeneral advice. Those aspects will differ greatly between different organizations. The main reasons to adopt PQ/T hybrids are to still have traditional algorithms in place in case a new algorithm turns out to be insecure, and that PQ/T might help to avoid a big bang migration as systems could simply ignore the PQC component if they do not support it yet. Consider recommendations from different government agencies: BSI and ANSSI recommend PQ/T hybrid strategies, whereas NIST is more reserved towards PQ/T. If using PQ/T hybrids, preferably use ECC (e.g. secp256r1, brainpoolP256r1, Curve25519) instead of RSA for the traditional component to keep key sizes as small as possible.

### SECURITY CATEGORY CHOICES

- As a baseline, first consider using parameters.
- Use v or v for more security if possible (i.e., if a decrease in performance is not a concern and if no constraints apply).
- Use or iik if and only if iik or higher is not an option due to constraints (e.g. performance, memory, etc.).
- Comparing post-quantum security categories of algorithms to their traditional security level in bits is a complex subject and like comparing apples and oranges to some degree.

#### Pure vs. Pre-Hashing

- First, consider using pure (i.e., without pre-hashing) as this is the general recommendation.
- Pre-Hashing may be considered if one or more of the following apply:
  - The message M is too large to be sent to the cryptographic module (CM) for hashing without significantly impacting performance. This may be the case e.g. in CMS related use cases such as S/MIME or code signing, or in cases of very narrow communication channels to the CM (e.g. between APDUs exchanged between smartcard and smartcard reader).
  - The hash needs to be signed with different algorithms and would be computed repeatedly without pre-hashing.
  - The specific hash function is not supported in a CM.



# SIGNATURE ALGORITHMS

ID Cards



## ML-DSA (Module-Lattice-Based Digital Signature Algorithm)



Algorithm Overall Usability Score	Algorithm	Overall	Usability	Score
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PREVIOUS NAME
SPECIFICATION
TYPE
FAMILY
SUITABLE FOR GENERAL USE
STANDARDIZATION STATUS
RECOMMENDED BY
HASHING
NAMING

CRYSTALS-DILITHIUM  $\checkmark$  FIPS 204 Signature Lattice Yes Standardized NIST, BSI, ANSSI Pure, Pre-Hashing by  $k \times l$  matrix A (e.g.  $6 \times 5 \rightarrow$  ML-DSA-65)

IMPLEMENTATION	P		•
Complexity	<b>6</b> ₹ <b>6</b>	6 <sup>7</sup> 6	<b>6</b> ₹₩
Size	<b>\$</b> ?	s?	<b>6</b> <sup>?</sup> ₽

PARAMETER SET	OID	SECURITY CATEGORY	PER	FORMAI	NCE	SIGNATURE SIZE	PUBLIC KEY Size	Suitable Pre-Hashing
ML-DSA-44 ML-DSA-65	2.16.840.1.101.3.4.3.17 2.16.840.1.101.3.4.3.18		30 30	30 30	20 30		50	SHA-256, SHA3-256 SHA-384, SHA3-384
ML-DSA-87	2.16.840.1.101.3.4.3.19	V	40	40	30	20	60	SHA-512, SHA3-512

#### / Pros / Use If:

- You need a general-purpose signature algorithm with decent specs in all categories
- Usually the best option for a signature algorithm in most protocols (e.g. TLS, SSH, S/MIME, etc.).
- Good choice for X.509 certificates, including CA certificates.

#### / Cons / Don't Use If:

• You don't want a lattice-based algorithm



HASHING

. SHA3-256 . SHA3-256 SHA3-384

SHA3-384 SHA3-512

SHA3-512

SHA3-256

SHA3-256

SHA3-384

, SHA3-384

SHA3-512

. SHA3-512

### SLH-DSA (Stateless Hash-Based Digital Signature Standard)



#### Algorithm Overall Usability Score

PREVIOUS NAME SPECIFICATION TYPE **FAMILY** SUITABLE FOR GENERAL USE STANDARDIZATION STATUS RECOMMENDED BY HASHING NAMING

SPHINCS+ **9** FIPS 205 Signature Hash (stateless) Standardized NIST, BSI, ANSSI Pure, Pre-Hashina based on various characteristics (\*s=small signatures, \*f=fast)

0

IMPLEMENTATION	P		
Complexity	6 <sup>?</sup> Ø	6 <sup>?</sup>	

	PARAMETER SET	OID	SECURITY CATEGORY	PER	FORMAN	OCE	SIGNATURE SIZE	PUBLIC KEY SIZE	Suitable Pre-Hashing
	SLH-DSA-SHA2-128s	2.16.840.1.101.3.4.3.20			98	50	20		SHA-256, SHA3-
	SLH-DSA-SHA2-128F	2.16.840.1.101.3.4.3.21		50	<b>7</b> 8	50	40	0	SHA-256, SHA3-
	SLH-DSA-SHA2-192s	2.16.840.1.101.3.4.3.22		Ř	97	50	40	0	SHA-384, SHA3-
	SLH-DSA-SHA2-192F	2.16.840.1.101.3.4.3.23		50	<b>7</b> 8	60	5	10	SHA-384, SHA3-
	SLH-DSA-SHA2-256s	2.16.840.1.101.3.4.3.24	V	<b>R</b>	98	50	40	0	SHA-512, SHA3-
	SLH-DSA-SHA2-256F	2.16.840.1.101.3.4.3.25	V®	<del>o</del>		60	5	10	SHA-512, SHA3-
	SLH-DSA-SHAKE-128s	2.16.840.1.101.3.4.3.26		Ř	93	50	20	00	SHA-256, SHA3-
	SLH-DSA-SHAKE-128F	2.16.840.1.101.3.4.3.27		50	R	50	40	00	SHA-256, SHA3-
	SLH-DSA-SHAKE-192s	2.16.840.1.101.3.4.3.28	III	<b>R</b>	97	50	40	0	SHA-384, SHA3-
CS	SLH-DSA-SHAKE-192F	2.16.840.1.101.3.4.3.29	III	50	<b>1</b> 8	60	5,	1,	SHA-384, SHA3-
CS	SLH-DSA-SHAKE-256s	2.16.840.1.101.3.4.3.30	V	<b>8</b>	98	50	40	0	SHA-512, SHA3-
	SLH-DSA-SHAKE-256F	2.16.840.1.101.3.4.3.31	V®	60	<b>6</b> 6	60	50	1,	SHA-512, SHA3-

#### / Pros / Use If:

SIZE

- Alternative to ML-DSA and Falcon that is not based on lattices
- Very small public keys
- Generally good for use in most protocols, even if ML-DSA is the preferred option.
- Use as a more conservative choice in use cases with less frequent algorithm execution, i.e. where latency or message size are not a big concern. For example, S/MIME, document or code signing, creation of CAs.

- Poor key generation and signing performance compared to other algorithms
- High complexity of the algorithm and the implementation
- Possible interoperability issues due to the many variants that may not all be supported everywhere

PARAMETER

OID SECURITY



### FALCON (FAST-FOURIER LATTICE-BASED COMPACT SIGNATURES OVER NTRU)



Falcon

Yes

Signature Lattice

**Pending** 

Project Page

SET		CATEGORY	P		0	Size	Size	Pre-Hashing
FALCON-512 FALCON-1024	TBD TBD	l <sub>o</sub>	96	40 40	20 30	00	4 <sub>0</sub>	TBD TBD

**PERFORMANCE** 

PREVIOUS NAME SPECIFICATION TYPE **FAMILY** SUITABLE FOR GENERAL USE STANDARDIZATION STATUS RECOMMENDED BY

**TBD TBD** 

**IMPLEMENTATION** 

COMPLEXITY

Size

HASHING NAMING

#### / Pros / Use If:

- Generally good for use in most protocols (e.g. TLS, SSH, S/MIME, etc.). Exceptions may apply in cases of repeated generation of ephemeral keys (poor performance).
- Falcon has smaller signature sizes than ML-DSA:











• Falcon has smaller public key sizes than ML-DSA:















#### / Cons / Don't Use If:







- The algorithm is not yet standardized
- The algorithm requires expensive floating point arithmetic
- Key generation and signing are slower than for ML-DSA
- Less suitable in applications involving the creation of lots of ephemeral keys/certificates (key generation is slow).

SIGNATURE PUBLIC KEY



### XMSS / XMSS-MT (eXtended Merkle Signature Scheme / eXtended Merkle Signature Scheme Multi Tree)



**Algorithm Overall Usability Score** 

PREVIOUS NAME
SPECIFICATION
TYPE
FAMILY
SUITABLE FOR GENERAL USE
STANDARDIZATION STATUS
RECOMMENDED BY
HASHING
NAMING

XMSS/XMSSMT
<b>𝚱</b> SP 800-208, <b>𝚱</b> RFC 8391
Signature
Merkle Trees (stateful hash trees)
No
Standardized
NIST, BSI, ANSSI
TBD
XMSS-[Hashfamily]_[h]_[n]
XMSSMT-[Hashfamily]_[h]/[d]_[n]
where h is the tree height,
d is the number of layers, and
n is the message length in bits

•	0
6740	6 <sup>?</sup> Ø

Parameter Set	NUMERIC IDENTIFIER	SECURITY CATEGORY	PER	FORMAN	(CE	SIGNATURE SIZE	MAXIMUM SIGNATURES	NUMBER OF LAYERS
XMSS-SHA2_10_256	0x00000001	Vo	?	?	?0	?	210	1
XMSS-SHA2_16_256	0x00000002	V	<b>₹</b>	?	70	?	216	1
XMSS-SHA2_20_256	0x00000003	Vo	?8	<b>?</b> 8	?0	?	2 <sup>20</sup>	1
XMSSMT-SHA2_20/2_256	0x00000001	Vo	??	?8	?0	?	2 <sup>20</sup>	2
XMSSMT-SHA2_20/4_256	0x00000002	V	<b>₹</b>	?	70	?	2 <sup>20</sup>	4
XMSSMT-SHA2_40/2_256	0x00000003	V	<u> </u>	78	70	?	2 <sup>40</sup>	2
XMSSMT-SHA2_40/4_256	0x00000004	V	<u>₹</u>	<b>₹</b>	70	?	2 <sup>40</sup>	4
XMSSMT-SHA2_40/8_256	0x00000005	V	<u> </u>	?	70	?	2 <sup>40</sup>	8
XMSSMT-SHA2_60/3_256	0x00000006	V	? <u>?</u>	? <u>?</u>	70	?	2 <sup>60</sup>	3
XMSSMT-SHA2_60/6_256	0x00000007	V	<b>₹</b>	?	70	?	2 <sup>60</sup>	6
XMSSMT-SHA2_60/12_256	0x00000008	V	?	<b>?</b> 8	?0	?	2 <sup>60</sup>	12

#### Note

₱ SP 800-208 defines further parameter sets not listed in ₱ RFC 8391 using other hash functions (SHA256/192, SHAKE256/256, SHAKE256/192). Furthermore, ₱ RFC 8391 lists optional parameter sets that are not approved in ₱ SP 800-208. All of those variants are omitted here as they are not likely to be widely used, in particular not after ML-DSA and SLH-DSA have been standardized in the meantime.

### / Pros / Use If:

**IMPLEMENTATION** 

COMPLEXITY

SIZE

- You can predict the maximum number of signatures that are going to be required
- Firmware signing use cases
- You want a signature scheme where the security only relies on the security of the hash function used without assuming the hardness of another mathematical problem.
- Cf. SP 800-208, Section 1.1 for additional explanations

- You require an algorithm for general use
- You cannot predict the maximum number of signatures that are going to be required, or the number of required signatures exceeds the maximum number of signatures enabled through the approved parameter sets
- Your application does not allow for the careful state management and tracking of signatures performed that is required with this algorithm



### LMS/HSS (Leighton-Micali Signature / Hierarchical Signature System)



**Algorithm Overall Usability Score** 

PREVIOUS NAME
SPECIFICATION
TYPE
FAMILY
SUITABLE FOR GENERAL USE
STANDARDIZATION STATUS
RECOMMENDED BY
HASHING
NAMING

LMS, LMS/HSS

SP 800-208, RFC 8554
Signature
Merkle Trees (stateful hash trees)
No
Standardized
NIST, BSI, ANSSI
TBD
LMS\_SHA256\_M32\_[h]
where h is the tree height

IMPLEMENTATION	P		0
Complexity	<b>6</b> ₹₩	<b>6</b> ₹	<b>6</b> ₹₩
Size	6 <sup>?</sup>	<b>5</b> ?	<b>3</b> ?

PARAMETER SET	NUMERIC IDENTIFIER	SECURITY CATEGORY	PER	FORMAN	(CE	SIGNATURE SIZE	MAXIMUM SIGNATURES
LMS_SHA256_M32_H5	0x00000005 0x00000006	?	78	76	?0 ?0	<b>?</b> <sub>0</sub>	2 <sup>5</sup>
LMS_SHA256_M32_H10 LMS_SHA256_M32_H15	0x00000007	? (6)	?S	<b>76</b>	78	?0	2 <sup>15</sup>
LMS_SHA256_M32_H20 LMS_SHA256_M32_H25	0x00000008 0x00000009	? (6)	? ? ?	?6 ?8	?0 ?0	?0	2 <sup>20</sup> 2 <sup>25</sup>

#### NOTE

♦ SP 800-208 defines further parameter sets not listed in ♠ RFC 8554 using other hash functions (SHA256/192, SHAKE256/256, SHAKE256/192). Those variants are omitted here as they are not likely to be widely used, in particular not after ML-DSA and SLH-DSA have been standardized in the meantime. Similar to XMSS multi-tree variants, a comparable structure exists with Hierarchical Signature System (HSS) variants of LMS that involves a number of LMS instances.

#### / Pros / Use If:

- You can predict the maximum number of signatures that are going to be required
- Firmware signing use cases
- You want a signature scheme where the security only relies on the security of the hash function used without assuming the hardness of another mathematical problem.
- Cf. SP 800-208, Section 1.1 for additional explanations

- You require an algorithm for general use
- You cannot predict the maximum number of signatures that are going to be required, or the number of required signatures exceeds the maximum number of signatures enabled through the approved parameter sets
- Your application does not allow for the careful state management and tracking of signatures performed that is required with this algorithm



# ENCRYPTION / KEM ALGORITHMS

ID Cards



## ML-KEM (Module-Lattice-Based Key-Encapsulation Mechanism Standard)



			11. 1. 2021	•
ΑI	ıaorıtnm	Overall	Usability	Score

PREVIOUS NAME
SPECIFICATION
TYPE
FAMILY
SUITABLE FOR GENERAL USE
STANDARDIZATION STATUS
RECOMMENDED BY
NAMING

CRYSTALS-KYBER
FIPS 203
Encryption/KEM
Lattice
Yes
Standardized
NIST, BSI, ANSSI
TBD

	Ť	•	
Complexity	<b>6</b> ₹	<b>6</b> ₹₩	6 <sup>?</sup> ₽
Size	676	s ? D	<b>6</b> ?∯

PARAMETER SET	OID	SECURITY CATEGORY	PER	FORMAN	CE CE	CIPHERTEXT SIZE	PUBLIC KEY SIZE
ML-KEM-512	2.16.840.1.101.3.4.4.7		20	2 <u>0</u>	2 <u>0</u>	<u>о</u>	<u></u>
ML-KEM-768	2.16.840.1.101.3.4.4.2	III	20	20	3 <mark>0</mark>	o <sub>O</sub>	00
ML-KEM-1024	2.16.840.1.101.3.4.4.3	V®	30	3 <mark>0</mark>	3 <mark>0</mark>	<b>0</b> 0	0

#### / Pros / Use If:

**IMPLEMENTATION** 

- Currently the only post-quantum encryption/key encapsulation algorithm standardized by NIST
- Need a general-purpose encryption / key-encapsulation algorithm with decent specs in all categories
- Usually the best choice in most protocols involving an encryption/KEM component (e.g. TLS, S/MIME, etc.)

### / Cons / Don't Use If:

• You don't want a lattice-based algorithm



### BIKE (BIT FLIPPING KEY ENCAPSULATION)



Algorithm Overall Usability Score

PREVIOUS NAME
SPECIFICATION
TYPE
FAMILY
SUITABLE FOR GENERAL USE
STANDARDIZATION STATUS
RECOMMENDED BY
NAMING

BIKE

Project Page
Encryption/KEM
Codes
Yes
4th round candidate
TBD
after security categories I, III and V

IMPLEMENTATION	P	•	0
Complexity	678	6 <sup>7</sup>	<b>6</b> ₹₩
Size	<b>6</b> ?	<b>\$</b> ?₽	6 <sup>?</sup>

PARAMETER SET	OID	SECURITY CATEGORY	PER	FORMAN	ICE	CIPHERTEXT SIZE	PUBLIC KEY SIZE
BIKE-L1	TBD		30	20	4 <u>C</u>	<u></u>	
BIKE-L3	TBD	III	42	<b>3</b> 0	5 <u>O</u>	10	100
BIKE-L5	TBD	Vo	n/g	n/g	n/e	2 <sub>0</sub>	20

#### NOTE:

No data available for BIKE-L5 for cycle counts. The algorithm overall usability score is computed over BIKE-L1 and BIKE-L3 only.

#### / Pros / Use If:

• Possible alternative to ML-KEM not based on lattices.

- Not yet standardized. Note that NIST intends to standardize at most one of the algorithms HQC and BIKE.
- Metrics not as good as the ones of ML-KEM.



### HQC (HAMMING-QUASI-CYCLIC)



**Algorithm Overall Usability Score** 

PREVIOUS NAME
SPECIFICATION
TYPE
FAMILY
SUITABLE FOR GENERAL USE
STANDARDIZATION STATUS
RECOMMENDED BY
NAMING

HQC

Project Page
Encryption/KEM
Codes
Yes
4th round candidate
TBD
128, 192 and 256 bits of security
in reference to security categories

IMPLEMENTATION	P		8	
Complexity	<b>6</b> ₹\$	<b>6</b> ₹₩	<b>6</b> ₹₽	
Size	<b>.</b> 72	70	<b>₹</b>	

I, III and V

PARAMETER SET	OID	SECURITY CATEGORY	PER	FORMAN	CE	CIPHERTEXT SIZE	PUBLIC KEY SIZE
HQC-128	TBD		20	3 <mark>0</mark>	3 <mark>0</mark>	20	100
HQC-192	TBD	III	30	30	4 <mark>0</mark>	3 <sub>O</sub>	20
HQC-256	TBD	V®	30	40	40	3 <sub>0</sub>	20

### / Pros / Use If:

Possible alternative to ML-KEM not based on lattices.

- Not yet standardized. Note that NIST intends to standardize at most one of the algorithms HQC and BIKE.
- Metrics not as good as the ones of ML-KEM.



### FrodoKEM



Algorithm Overall Usability Score

PREVIOUS NAME
SPECIFICATION
TYPE
FAMILY
SUITABLE FOR GENERAL USE
STANDARDIZATION STATUS
RECOMMENDED BY
NAMING

FrodoKEM

Project Page
Encryption/KEM
Lattice
Yes
Disregarded by NIST
BSI, ANSSI
FrodoKEM-[n]-[AES | SHAKE]
where n is a matrix dimension

IMPLEMENTATION	P	•	0
Complexity	676	<b>6</b> ₹ <b>0</b>	<b>6</b> ₹₩
Size	<b>6</b> ?	<b>\$</b> ?₽	<b>S</b> ?

PARAMETER	OID	SECURITY	PERFORMANCE		ICE	CIPHERTEXT	PUBLIC KEY
SET		CATEGORY	₽	<u></u>	<u>_</u>	Size	Size
Frodokem-640-AES	TBD		42	4 <mark>0</mark>	4 <mark>0</mark>	<b>3</b> ⊖	30
Frodokem-640-SHAKE	TBD		58	50	50	3 <sub>0</sub>	30
Frodokem-976-AES	TBD	III	48	40	40	3 <sub>0</sub>	30
Frodokem-976-SHAKE	TBD	III	63	60	60	3 <sub>0</sub>	30
Frodokem-1344-Aes	TBD	V	50	50	50	40	40
Frodokem-1344-Shake	TBD	V	60	60	6 <u>0</u>	40	40

#### Note

There are also ephemeral versions of FrodoKEM (eFrodoKEM-640, etc.), but BSI only recommends FrodoKEM-976 and FrodoKEM-1344 versions for long-term protection. The algorithm score also only includes the non-ephemeral versions listed above.

#### / Pros / Use If:

• More conservative than ML-KEM since it is based on unstructured grids

#### / Cons / Don't Use If:

• Not standardized by NIST because ML-KEM is more efficient.



### CLASSIC McELIECE



Algorithm Overall Usability Score

PREVIOUS NAME
SPECIFICATION
TYPE
FAMILY
SUITABLE FOR GENERAL USE
STANDARDIZATION STATUS
RECOMMENDED BY
NAMING

Classic McEliece  $\ref{9}$  Project Page Encryption/KEM Codes No / With Limitations 4th round candidate (NIST) BSI mceliece[n][deg(F(y))][[f]] where n, F(y) are parameters non-f versions:  $(\mu, \nu) = (0, 0)$  f versions:  $(\mu, \nu) = (32, 64)$ 

IMPLEMENTATION	P		0
Complexity	676	<b>6</b> ₹₩	<b>6</b> ₹₩
Size	676	<b>6</b> <sup>?</sup> <b></b>	s ? ⊕

PARAMETER SET	OID	SECURITY CATEGORY	PER	FORMAN	C	CIPHERTEXT SIZE	PUBLIC KEY SIZE
MCELIECE348864	TBD		62	20	<b>3</b> 0	<u></u>	8,
MCELIECE348864F	TBD		e e	n/S	n/S	<b>∞</b>	8
MCELIECE460896	TBD	III	<b>7</b> 8	20	<b>3</b> 0	<b>○</b>	9,
MCELIECE460896F	TBD	III	R	n/eS	n/e	<b>6</b>	9,
MCELIECE6688128	TBD	V	R	<b>3</b> 0	<b>3</b> 0	<b>○</b>	10,
MCELIECE6688128F	TBD	Vo	R	n/g	n/e	<b>∞</b>	10,
MCELIECE6960119	TBD	V	R	3 <b>O</b>	<b>30</b>	00	10,
MCELIECE6960119F	TBD	V	78	n/g	n/e	<b>∞</b>	10,
MCELIECE8192128	TBD	Vo	<b>6</b>	30	<b>30</b>	o <sub>O</sub>	10,
MCELIECE8192128F	TBD	V		n/B	n/g	00	10,

#### Note:

No data available for the f versions for encryption/decryption cycle counts. The algorithm overall usability score is computed over non-f versions only. Non-f and f versions are interoperable, with f versions offering faster key generation and non-f versions having simpler key generation.

### / Pros / Use If:

- Possible alternative to ML-KEM not based on lattices.
- Considered safe and recommended by BSI even though not standardized by NIST.
- Use cases that allow for pre-sharing of keys where the large public key size is not a concern.

- Not yet standardized by NIST.
- Not suitable for general use due to the very large public keys and poor performance of key generation.
- Due to aforementioned point, likely not suitable for embedded devices.



### NTRU (Number Theory Research Unit / Number Theorists 'R' Us)



Algorithm Overall Usability Score

PREVIOUS NAME
SPECIFICATION
TYPE
FAMILY
SUITABLE FOR GENERAL USE
STANDARDIZATION STATUS
RECOMMENDED BY
NAMING

NTRU

Project Page
Encryption/KEM
Lattice
Yes
Not Standardized
n/a
author initials and a prime number

IMPLEMENTATION	P		0
Complexity	67	<b>6</b> ₹ <b>0</b>	<b>6</b> ?₽
Size	<b>6</b> ?	\$ ? <b>@</b>	6 <sup>?</sup>

_	Parameter Set	OID	SECURITY CATEGORY	PER	FORMAN	ICE	CIPHERTEXT SIZE	PUBLIC KEY SIZE
	NTRUHPS2048509	N/A		50	<mark>40</mark>	4 <mark>0</mark>	<u>о</u>	0
	NTRUHRSS701	N/A	III <sub>®</sub>	eg .	40	5 <u>Ö</u>	<b>0</b> 0	0
	NTRUHPS2048677	N/A	III	68	40	5 <u>0</u>	<u>о</u>	00
	NTRUHPS4096821	N/A	V	60	40	50	<u>o</u>	00

#### / Pros / Use If:

• The intellectual property rights of ML-KEM have been unclear for a while, with NTRU being a possible backup alternative without such issues. This topic is now considered sufficiently clear and resolved by NIST. In case legal concerns about ML-KEM still apply in some exceptional cases, NTRU might be an option. However, ML-KEM is usually the better option in terms of technical aspects.

- Not standardized
- Performance not as good as ML-KEM, especially for key generation. Similarly, BIKE and HQC offer better metrics.







### Overview: Hash Algorithms and Extendable Output Functions (XOF) TBV

FAMILY	VARIANT	OUTPUT SIZE [BITS]	ROUNDS	Construction	TRADITIONAL PRE-QUANTUM SECURITY [BITS]			POST-QUANTUM SECURITY [BITS]			PERFORMANCE
					Collision	Pre-Image	2nd Pre-Image	Collision	Pre-Image	2nd Pre-Image	
SHA-2	SHA-224	224	64	Merkle-Damgård construction	112	224	224	74	112	112	7.62
	SHA-256	256	64	Merkle-Damgård construction	128	256	256	85	128	128	7.63
	SHA-384	384	80	Merkle-Damgård construction	192	384	384	128	192	192	5.12
SHA-2	SHA-512	512	80	Merkle-Damgård construction	256	512	512	170	256	256	5.06
	SHA-512/224	224	80	Merkle-Damgård construction	112	224	224	TBD	TBD	TBD	≈ SHA-384
	SHA-512/256	256	80	Merkle-Damgård construction	128	256	256	TBD	TBD	TBD	≈ SHA-384
	SHA3-224	224	24	Keccak sponge construction	112	224	224	74	112	112	8.12
	SHA3-256	256	24	Keccak sponge construction	128	256	256	85	128	128	8.59
SHA-3	SHA3-384	384	24	KECCAK SPONGE CONSTRUCTION	192	384	384	128	192	192	11.06
3HA-3	SHA3-512	512	24	Keccak sponge construction	256	512	512	170	256	256	15.88
	SHAKE128	d (ARBITRARY)	24	Keccak sponge construction	$\min\left(\frac{d}{2};128\right)$	$\min\left(\frac{d}{2};128\right)$	$\min\left(\frac{d}{2};128\right)$	$\min\left(\frac{d}{3}; 128\right)$	$\min\left(\frac{d}{2};128\right)$	$\min\left(\frac{d}{2};128\right)$	7.08
	SHAKE256	d (ARBITRARY)	24	Keccak sponge construction	$\min\left(\frac{d}{2}; 256\right)$	$\min\left(\frac{d}{2}; 256\right)$	$\min\left(\frac{d}{2}; 256\right)$	$\min\left(\frac{d}{3}; 256\right)$	$\min\left(\frac{d}{2}; 256\right)$	$\min\left(\frac{d}{2}; 256\right)$	8.59

#### NOTES & TAKEAWAYS

- ullet SHAKE128 and SHAKE256 are exendable output functions and allow for an arbitrary output size d.
- SHA-512/224 and SHA-512/256 denotes the truncation of the SHA-512 hash to 224 resp. 256 bits.
- Security against collision attacks is specified using values according to Brassard et al. ( Source)
- Performance is measured in median cycles/byte on a Skylake CPU ( Source).
- Hash functions other than SHA-2 and SHA-3 variants (e.g. SHA-1, RipeMD-160, MD5, etc.) should generally be avoided as they are less secure. They are therefore not part of the above overview.







Traditional vs. I	Post-Quantum	Security Lev	/el Comparison 🎹	
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TRADITIONAL PRE	CLASSICAL ATTACK COMPLEXITY	ATTACK IN TERMS OF BIT SIZE N	ALGORITHM EXAMPLES	NIST SECURITY CATEGORIES DEFINED THROUGH ATTACK	Classical Attack	QUANTUM ATTACK COMPLEXITY	POST-QUANTUM SE SECURITY [BITS]	SIGNATURE PARAMETER SETS	Encryption/KEM Parameter Sets
${n}$	$\mathcal{O}(2^n)$	$n ext{-BIT}$ KEY SPACE EXHAUSTIVE KEY SEARCH	AFS		GROVER	$O\left(2^{\frac{n}{2}}\right)$	<u>n</u> 2	FARAMETER SEIS	PARAMETER SEIS
n	$\mathcal{O}\left(2^{n}\right)$	n-BIT HASH PRE-IMAGE ATTACK	SHA-2, SHA-3		GROVER	$\mathcal{O}\left(2^{\frac{2}{2}}\right)$ $\mathcal{O}\left(2^{\frac{n}{2}}\right)$	$\frac{\overline{2}}{n}$		
	` í		SHA-2, SHA-3			$\mathcal{O}\left(2^{\frac{2}{2}}\right)$ $\mathcal{O}\left(2^{\frac{n}{2}}\right)$	2		
n	$\mathcal{O}\left(2^{n}\right)$	n-bit hash 2nd-pre-image attack			GROVER	\ /	$\frac{n}{2}$		
$\frac{\frac{n}{2}}{}$	$\mathcal{O}\left(2^{\frac{n}{2}}\right)$	n-BIT HASH COLLISION ATTACK	SHA-2, SHA-3		Brassard et al.	$\mathcal{O}\left(2^{\frac{n}{3}}\right)$	$\frac{n}{3}$		
	$\mathcal{O}\left(2^{128}\right)$	128-bit key space exhaustive key search	AES-128	Category 1	Grover	$\mathcal{O}\left(2^{64}\right)$	64	Falcon-512, SLH-DSA 128 variants	ML-KEM-512, BIKE-L1, HQC-128, FRODOKEM-640
100		128-bit hash pre-image attack	N/A		GROVER	$\mathcal{O}\left(2^{64}\right)$	64		
128		128-bit hash 2nd-pre-image attack	N/A		GROVER	$\mathcal{O}\left(2^{64}\right)$	64		
	$\mathcal{O}\left(2^{192}\right)$	192-bit key space exhaustive key search	AES-192	Category 3	Grover	$\mathcal{O}\left(2^{96}\right)$	96	ML-DSA-67, SLH-DSA 192 variants	ML-KEM-768, BIKE-L3, HQC-192, FRODOKEM-976
		192-bit hash pre-image attack	N/A		Grover	$\mathcal{O}\left(2^{96}\right)$	96		
192		192-bit hash 2nd-pre-image attack	N/A		GROVER	$\mathcal{O}\left(2^{96}\right)$	96		
		384-bit hash collision attack	SHA-384, SHA3-384	Category 4	BRASSARD ET AL.	$\mathcal{O}\left(2^{128}\right)$	128		
	$\mathcal{O}\left(2^{256}\right)$	256-bit key space exhaustive key search	AES-256	Category 5	Grover	$\mathcal{O}\left(2^{128}\right)$	128	ML-DSA-85, SLH-DSA-256 variants	ML-KEM-1024, BIKE-L5, HQC-256,
		256-bit hash pre-image attack	N/A		Grover	$\mathcal{O}\left(2^{128}\right)$	128		
256		256-bit hash 2nd-pre-image attack	N/A		Grover	$\mathcal{O}\left(2^{128}\right)$	128	TBVXMS	FrodoKEM-1344
		512-bit hash collision attack	SHA-512, SHA3-512		Brassard et al.	$\mathcal{O}\left(2^{170}\right)$	170		
	$\mathcal{O}\left(2^{384}\right)$	384-bit key space exhaustive key search	N/A		Grover	$\mathcal{O}\left(2^{192}\right)$	192		
		384-bit hash pre-image attack	SHA-384, SHA3-384		Grover	$\mathcal{O}\left(2^{192}\right)$	192		
384		384-bit hash 2nd-pre-image attack	SHA-384, SHA3-384		Grover	$O\left(2^{192}\right)$	192		
		768-bit hash collision attack	N/A		Brassard et al.	$\mathcal{O}\left(2^{256}\right)$	256		
	$\mathcal{O}\left(2^{512}\right)$	512-bit key space exhaustive key search	N/A		Grover	$\mathcal{O}\left(2^{256}\right)$	256		
		512-bit hash pre-image attack	SHA-512, SHA3-512		Grover	$\mathcal{O}\left(2^{256}\right)$	256		
512		512-bit hash 2nd-pre-image attack	SHA-512, SHA3-512		Grover	$\mathcal{O}\left(2^{256}\right)$	256		
		1024-bit hash collision attack	N/A		Brassard et al.	$\mathcal{O}\left(2^{341}\right)$	341		

#### NOTES & TAKEAWAYS

- The above is still a simplified view. It does not dive into the specific assumptions made in the new algorithms' security proofs and the possible attacks on those algorithms (e.g., is a collision attack on a hash function enough, or would a pre-image be required to break the signature scheme using that hash function). It is also not including considering practical considerations and predictions about quantum computers, such as runtime or quantum circuit depth. Cf. 8 NIST PQC Evaluation Criteria, 4.A.5 for further details.
- A pre-quantum security level can have different post-quantum security levels equivalents. It all depends on the specific attack in question, resp. the security assumption a new post-quantum algorithm is based on or must be secure against. For hashing, the often cited "SHA-256 offers 128 bits of security" is not wrong, but only part of the picture: It offers 256 bits of security against pre-image and 2nd-preimage-attacks. The n bits of security against 2nd-preimage attacks is still a slightly simplified and harmonized view to avoid different formulas for different hash functions. Cf. 9 NIST: Hash Functions for details.
- The fact that the exact level of post-quantum security still depends on so many assumptions about CRQCs, comparing pre-quantum and post-quantum security levels remains an apples and oranges comparison to some degree. Such comparisons should be taken with a grain of salt, especially when done only on a rather superficial level.
- The lines marked with colors , and are the ones used by NIST to define categories I, II, III, IV and V. The lines marked in lighter colors , and have the same post-quantum security as the defining lines of categories I, III and V, respectively. They therefore fulfill the "comparable to or greater than" clause in NIST's category definitions. Note that categories IV and V are differentiated despite having 128 bits of post-quantum security again highlighting the fact that a precise categorization is difficult and requires a detailed analysis of many aspects.



#### ALGORITHM OVERALL USABILITY SCORE

We try to measure an algorithm's overall usability for a general use case without any special characteristics by calculating a single number between 0 (worst) and 10 (best) for the algorithm. This calculation is taking into account all parameter sets, performance metrics, public key size, signature/ciphertext size, the number of security categories provided, whether or not it is suitable for general use, and the complexity and size of its implementation. We define an algorithm's overall score as

$$\mathsf{score}_{\textit{algorithm}} = \mathsf{max}\left\{\mathsf{0}, \ \mathsf{avg}\left\{\mathsf{score}_{\textit{parameterSet}} \mid \textit{parameterSet} \ \text{is a parameter set of} \ \textit{algorithm}\right\} - \frac{1}{8} \cdot \left(\mathsf{5} - \mathsf{categories}_{\textit{algorithm}}\right) - \frac{1}{4} \cdot \mathsf{impl}_{\textit{algorithm}} - \mathsf{generality}_{\textit{algorithm}}\right\} - \frac{1}{8} \cdot \left(\mathsf{5} - \mathsf{categories}_{\textit{algorithm}}\right) - \frac{1}{4} \cdot \mathsf{impl}_{\textit{algorithm}} - \mathsf{generality}_{\textit{algorithm}} - \mathsf{generality}_{\textit{algor$$

where score parameter. Set is a score for an individual algorithm parameter set. Depending on the type of algorithm, score parameter. Set is defined as

$$score_{signature-parameterSet} = 10 - avg \left\{ \begin{array}{ccc} \mathbf{n_0^2}, & \mathbf{n_0^2}, & \mathbf{n_0^2}, & \mathbf{n_0^2}, \end{array} \right\}$$

$$score_{encryption-parameterSet} = 10 - avg \left\{ \begin{array}{ccc} \mathbf{n_O^o}, & \mathbf{n_O^o}, & \mathbf{n_O^o}, & \mathbf{n_O^o}, \end{array} \right.$$





Furthermore,  $1 \le$  categories algorithm  $\le 5$  denotes the number of different NIST security categories offered by algorithm. By assigning numeric values of  $0 = 10^{-5}$  and  $0 = 10^{-5}$  and











respectively









Finally, we define

$$\text{generality}_{\textit{algorithm}} = \begin{cases} 0 & \text{if } \textit{algorithm} \text{ is a general purpose algorithm} \\ 2 & \text{else} \end{cases}$$

to take into account if the algorithm is suitable for general use.

TBD: In the algorithm scores given in ID cards, we currently use impl<sub>algorithm</sub> = 0 in the respective computations because the necessary values for implementation complexity and size are still TBD. This will be corrected later.

### Example: ML-DSA Overall Usability Score

We calculate







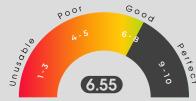


Similarly, we obtain  $score_{ML-DSA-65} = 7.0$  and  $score_{ML-DSA-65} = 6.2$ . Furthermore, categories  $m_{L-DSA} = 3$  since ML-DSA offers the three security categories  $m_{L-DSA} = 0$ , and generality  $m_{L-DSA} = 0$ . Furthermore, categories  $m_{L-DSA} = 0$ .





signature algorithm. This results in an overall usability score of 6.55:



ML-DSA Overall Usability Score

$$score_{ML-DSA} = \max \left\{ 0, \text{ avg } \{score_{ML-DSA-44}, \text{ score}_{ML-DSA-65}, \text{ score}_{ML-DSA-87} \} - \frac{1}{8} \cdot (5 - \text{categories}_{ML-DSA}) - \frac{1}{4} \cdot \text{impl}_{ML-DSA} - \text{generality}_{ML-DSA} \right\}$$

$$= \max \left\{ 0, \text{ avg } \{7.2, 7.0, 6.2\} - \frac{1}{8} \cdot (5-3) - 0 - 0 \right\}$$

$$= \max \left\{ 0, 6.8 - 0.25 \right\}$$

$$= \max \left\{ 0, 6.55 \right\}$$

$$= 6.55$$

TBD: We use  $impl_{MI-DSA} = 0$  because the necessary values to compute it are still TBD, cf. ML-DSA ID card. This will be corrected later.