

# Bioresorbable silicon electronics for transient spatiotemporal mapping of electrical activity from the cerebral cortex

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Bioresorbable silicon electronics technology offers unprecedented opportunities to deploy advanced implantable monitoring systems that eliminate risks, cost and discomfort associated with surgical extraction. Applications include postoperative monitoring and transient physiologic recording after percutaneous or minimally invasive placement of vascular, cardiac, orthopaedic, neural or other devices. We present an embodiment of these materials in both passive and actively addressed arrays of bioresorbable silicon electrodes with multiplexing capabilities, which record *in vivo* electrophysiological signals from the cortical surface and the subgaleal space. The devices detect normal physiologic and epileptiform activity, both in acute and chronic recordings. Comparative studies show sensor performance comparable to standard clinical systems and reduced tissue reactivity relative to conventional clinical electrocorticography (ECoG) electrodes. This technology offers general applicability in neural interfaces, with additional potential utility in treatment of disorders where transient monitoring and modulation of physiologic function, implant integrity and tissue recovery or regeneration are required.

europhysiologic monitoring is commonly used for diagnosing and treating neurological disorders such as epilepsy, Parkinson's disease, depression, chronic pain and disorders of the peripheral nervous system<sup>1,2</sup>. Such capabilities are critically important for mapping and monitoring brain function during and in preparation for resective neurosurgery3, such as for epilepsy and tumours, for assisting in neurodevice placement, such as for Parkinson's disease4, epilepsy5 and depression, and for guiding surgical procedures on complex, interconnected peripheral nerve structures, such as the brachial, lumbar and sacral plexi2. Related functionality is also increasingly leveraged during intravascular procedures, such as aneurysm coiling<sup>6</sup>, stent placement<sup>7</sup>, arteriovenous malformation (AVM) embolization<sup>8</sup>, and endoscopic operations. Post-procedure monitoring typically occurs in an intensive care unit (ICU), where a variety of devices record physiological activity, typically with limited temporal and spatial sampling, directly at the regions of interest, simply because these

are exposed and accessible during surgery9. Intracranial monitors for postoperative seizures and recovery of brain function after surgery would also be useful, and potentially more sensitive than scalp monitoring<sup>10</sup>. These clinical needs motivate efforts to develop technologies for neurophysiologic monitoring that incorporate inorganic and organic nanomaterials in flexible formats<sup>11-20</sup>. Although it would be desirable for neuromonitoring in the ICU to offer the same high-fidelity, high-resolution performance as is available in the operating room, the morbidity and cost associated with additional surgeries to remove implanted recording devices preclude this possibility in general practice. In certain cases, such as in invasive intracranial electrocorticographic monitoring for epilepsy surgery, recording electrodes remain in place for one to three weeks to localize epileptic networks<sup>21</sup>. Here, removal occurs in a second procedure, often with resection of brain involved in generating seizures. In other cases, electrodes are placed in staging procedures that do not end in resection, but rather are done for

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broad lateralization in preparation for more extensive implants or placement of implantable devices. Here, a second procedure to remove implants adds cost and risk. Recent evidence suggests that one to three months of ambulatory intracranial recording may be required to adequately localize seizures for epilepsy surgery or device placement, a period prohibitively long for existing in-hospital approaches<sup>22</sup>. Using bioresorbable electrodes for such studies would eliminate the danger and cost of removing electrodes at the end of this period, during which standard devices may become fibrosed or adherent to underlying tissues. An ideal scenario would involve placement of temporary, bioresorbable monitoring systems capable of providing continuous streams of data for guiding medical care over predetermined periods of time before dissolving. Below we present a new class of technology that offers this mode of operation, with spatiotemporal resolution that matches or exceeds any existing alternative.

Recently reported bioresorbable sensors of pressure and temperature in the intracranial space provide distinct, complementary capabilities in single-point measurements of non-electrical characteristics of the cerebrospinal fluid in the intracranial space<sup>23</sup>. Here, we introduce materials and device designs for direct electrical interfaces to the brain itself, including bioresorbable electrodes and multiplexing electronics for high-speed spatiotemporal mapping of biological processes. The platform builds on recently described technologies that exploit nanomembranes of devicegrade, monocrystalline silicon (Si NMs) as the semiconductor foundation of a high-performance class of flexible electronic systems. The key enabling chemistry involves hydrolysis of Si NMs following immersion in biofluids, to yield end products that are biocompatible. The results presented here indicate that Si NMs, at high levels of doping<sup>24</sup>, can also serve as the neural recording electrodes themselves, as a stable, yet ultimately transient, measurement interface. In addition to their established bioresorbability, the nanoscale thicknesses of Si NMs, when deployed with thin substrates, interconnect metals and dielectrics, yield devices with levels of mechanical flexibility necessary for conformal contact and chronically stable interfaces with neural tissues. The following content describes means to exploit these concepts in materials, devices and system-level examples of bioresorbable Si electronic interfaces to the brain, in passive and active matrix-addressed recording in vivo. Evaluations include capture of ECoG and subdermal encephalograms (EEG) in live, awake animal models, where Si NMs serve as active materials both for the recording interface and for the backplane transistors that allow high-speed multiplexed addressing across arrays of channels. Comprehensive in vitro and in vivo studies establish that these systems provide accurate and reproducible measurements of neural signals and physiological activity for acute (~h) and chronic (up to 33 days) use. Biocompatibility studies reveal no overt tissue reactions compared to clinically approved commercial ECoG electrodes.

Figure 1a provides an exploded schematic diagram (left) and a magnified optical microscope image of the active sensing and the passivation regions (right) of a simplest embodiment of these concepts: a thin, flexible electrode array based on a phosphorus-doped (impurity concentrations:  $\sim\!10^{20}$  cm $^{-3}$ ) collection of Si NMs (thickness  $\sim\!300$  nm) structures. Here, a layer of SiO<sub>2</sub> (thickness  $\sim\!100$  nm) insulates the connection traces to isolate them from biofluids and adjacent tissue. The terminal pads consist of exposed Si, as the direct neural interface. A flexible sheet of the bioresorbable polymer poly(lactic-co-glycolic acid, PLGA, thickness  $\sim\!30\,\mu\text{m})$  serves as the substrate. This array (3  $\times$  4 mm²) allows chronic recordings from rat cortex at four measurement sites, each with dimensions of 250  $\times$  250  $\mu\text{m}^2$ . Such small arrays serve effectively in demonstrator experiments and studies of the fundamental issues in the materials science. We note, however, that the materials and

fabrication schemes align with those used in the semiconductor industry and are, as a result, immediately scalable to much larger areas, higher channel counts and smaller/larger electrode sizes. As an illustration, Fig. 1b (bottom panels) presents a passive array that includes 256 independent channels, in a  $16\times16$  configuration, with an overall area of 3 cm  $\times$  3.5 cm. Multiplexing architectures, described subsequently, provide routes to scaling to even higher numbers of channels. The fabrication steps appear in the Methods and in Supplementary Fig. 1. The lot and functional electrode yields are  $\sim100\%$  and >90%, respectively. Figures 1b (top) and Supplementary Fig. 2 show micrographs of completed arrays. Figure 1b (bottom) shows a scaled version of this same basic device architecture that includes 256 independent channels.

Placing an array onto a hydrogel substrate (Fig. 1c) and immersing the system in phosphate-buffered saline (PBS) at physiological pH (7.4) and at room temperature enables characterization of each of the electrodes by electrochemical impedance spectroscopy (EIS), across a range of frequencies most relevant to the studies described subsequently. In the recording of brain signals, the electrodes transduce ionic currents in the electrolyte to an electric current in the measurement system. The contact between an electrode and tissue has an associated electrical impedance, where reductions in the impedance decrease the noise level, thereby increasing the signal-to-noise ratio (SNR) of the recordings<sup>19,25</sup>. The data in Fig. 1d correspond to the impedance (|Z|) of each channel in the array, along with the response of a gold (Au) electrode with the same dimensions. The EIS data for Si can be fitted to an equivalent Randles circuit model (Supplementary Fig. 3a) that includes a double-layer capacitance  $(C_{PE})$  in parallel with a charge transfer resistance ( $R_{\rm CT}$ ), all of which is in series with a resistance  $(R_s)$  that corresponds to the surrounding electrolyte solution. This model quantitatively captures the EIS data for both Au (without the space charge layer) and Si electrodes, as shown in Supplementary Fig. 3b. The fitted values of  $C_{\rm PE}$  are  $\sim 2.5 \, \mu \rm F \, cm^{-2}$ and  $\sim 10 \,\mu\text{F}\,\text{cm}^{-2}$  for Si and Au, respectively; both values are in a range consistent with the literature<sup>26,27</sup>. The space charge layer lowers the capacitance of the Si electrode<sup>28</sup>, causing the differences between the Au and Si electrodes (Fig. 1d). More details of in vitro experiments of the Si electrodes are described in Supplementary Note 1 and Supplementary Figs 4-7.

The slow, controlled dissolution of Si NMs is critically important in their use as bioresorbable neural interfaces. Bioresorbable metals are less attractive options owing to their comparatively fast dissolution kinetics, and tendency to crack, fragment and flake during the process. Si dissolves under physiological conditions by hydrolysis to yield silicic acid, at rates that depend strongly on the ionic content of the surrounding solution, pH, temperature and other factors, including the doping level. Specifically, Si forms neutral ortho-silicic acid Si(OH)<sub>4</sub> through an initial oxidation step to SiO2 or through a direct equilibrium  $Si + 4H_2O \leftrightarrow Si(OH)_4 + 2H_2$  (refs 29,30). Figure 1e illustrates the dissolution kinetics for highly boron-doped and phosphorus-doped (concentration of  $\sim 10^{20}$  cm<sup>-3</sup>) Si NMs ( $\sim 300$  nm thick) in artificial cerebrospinal fluid (aCSF, pH 7.4) at physiological temperature (37 °C), evaluated by measuring the thicknesses at different time points by profilometry (Dektak, USA). Observations indicate that dissolution occurs in an exceptionally controlled, predictable process, without the cracks, flakes, particulates or reduction in surface smoothness that often occur in dissolution of metals. The dissolution rate exhibits a constant, thickness-independent value of  $\sim\!\!11\,\mbox{nm}\,\mbox{d}^{-1}$  for these conditions. The dissolution characteristics of the other materials in the system, which for the case of the simple device in Fig. 1 are SiO2 and PLGA, are also important, although less critical than the Si NMs owing to their passive role in the device operation. Measurements indicate that SiO2 and PLGA (75:25; ref. 31) dissolve in biofluids at 37 °C at rates of  $\sim$ 8.2 nm d<sup>-1</sup>

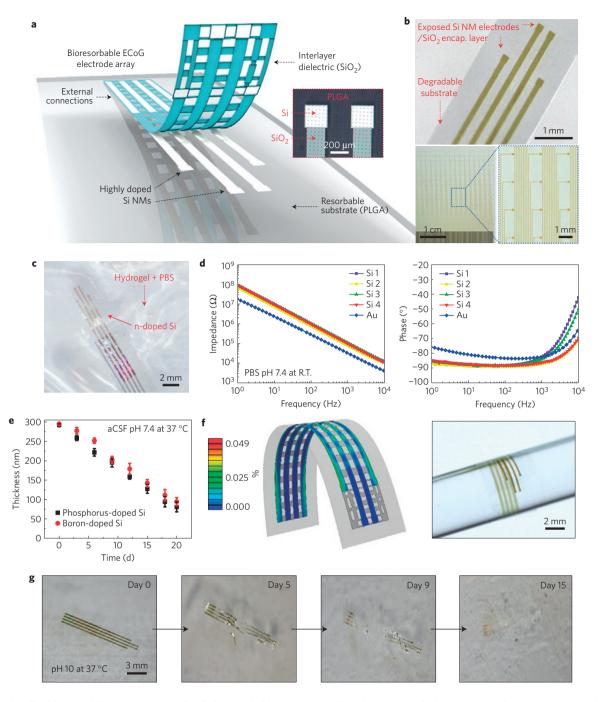


Figure 1 | Thin, flexible neural electrode arrays with fully bioresorbable construction based on patterned silicon nanomembranes (Si NMs) as the conducting component. a, Schematic exploded-view illustration of the construction of a passive, bioresorbable neural electrode array for ECoG and subdermal EEG measurements. A photolithographically patterned, n-doped Si NM ( $\sim$ 300 nm thick) defines the electrodes and interconnects. A film of SiO<sub>2</sub> ( $\sim$ 100 nm thick) and a foil of PLGA ( $\sim$ 30 μm thick) serve as a bioresorbable encapsulating layer and substrate, respectively. The device connects to an external data acquisition (DAQ) system through an anisotropic conductive film interfaced to the Si NM interconnects at contact pads at the edge. A magnified optical image of electrodes on the right highlights the sensing (Si NMs) and insulating (SiO<sub>2</sub>) regions. **b**, Photographs of bioresorbable neural electrode arrays with 4 channels (top) and 256 (16 × 16 configuration) channels (bottom). **c**, Microscope image of a device on a hydrogel substrate immersed in an aqueous buffer solution (pH 7.4) at 37 °C. **d**, Electrochemical impedance spectra, magnitude (left) and phase (right), measured at four different recording sites in an array configured for ECoG. **e**, Dissolution kinetics for phosphorus-doped and boron-doped Si NMs ( $\sim$ 300 nm thick, dopant concentration 10<sup>20</sup> cm<sup>-3</sup>) during immersion in artificial cerebrospinal fluid (aCSF) pH 7.4 at 37 °C. **f**, Distribution of principal strains extracted from finite-element modelling (FEM) of a device bent to a radius of curvature of 1 mm (centre) and corresponding displacement profile (left) and image of an array wrapped around a cylindrical tube with a radius of 2 mm (right). **g**, Images collected at several stages of accelerated dissolution induced by immersion in an aqueous buffer solution (pH 10) at 37 °C.

(Supplementary Fig. 8), and complete dissolution timescales of  $\sim$ 4–5 weeks, respectively. Results of accelerated dissolution tests appear in Fig. 1g as a series of images of a complete device collected

at various times after immersion in PBS pH 10 at 37  $^{\circ}$ C. We estimate that the present device designs and material choices allow complete dissolution of the device in two months. Si and SiO<sub>2</sub> will dissolve

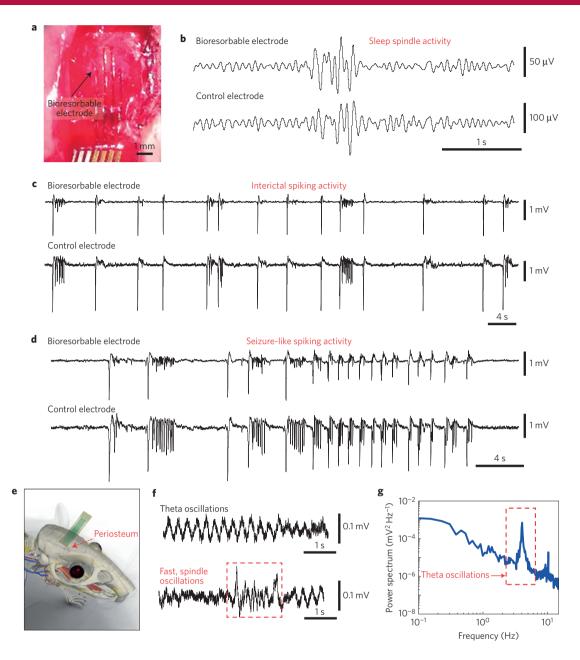
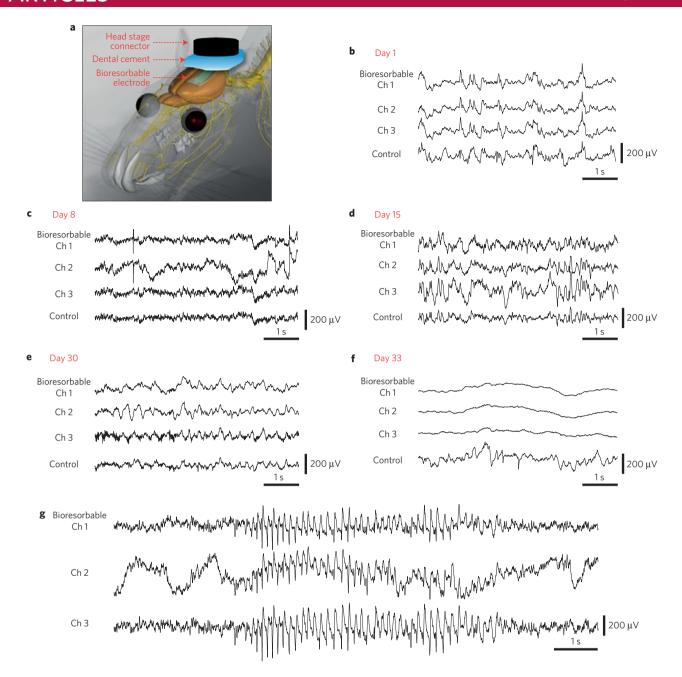


Figure 2 | In vivo neural recordings in rats using a passive, bioresorbable electrode array. The data presented here are representative of three separate acute experiments, each with a duration of  $\sim$ 5-6 h. a, Photograph of a four-channel bioresorbable electrode array placed on the cortical surface of the left hemisphere of a rat. b, Sleep spindles recoded by a bioresorbable electrode and a nearby commercial stainless steel microwire electrode, as a control placed at a depth of 0.5 mm from the cortical surface. c, Interictal spiking activity captured by the bioresorbable electrode and the control electrode after topical application of bicuculline methodide. Both electrodes interface with the same hemisphere. Data were processed through a 0.1 Hz-5 kHz bandpass filter. Recordings by the bioresorbable electrode and the control electrode show consistent interictal spikes. d, Interictal spiking activity recorded by the bioresorbable electrode and the control electrode 30 min after topical application of bicuculline methodide. Both recordings exhibit a high signal-to-noise ratio (Si: 42, control electrodes: 32) for detecting epileptiform activity. e, Cartoon illustration of a bioresorbable array placed on the periosteum for subdermal EEG recordings. f, Theta oscillations and fast spindle-like oscillations recorded subdermally using bioresorbable electrodes during isoflurane anaesthesia. g, Power density spectra of the theta oscillations recorded over a 5 min time window. The spectrum shows a clear peak at the expected frequency range.

within a month and two weeks, respectively, followed by PLGA. PLGA will dissolve in  $\sim$ 4–5 weeks. The materials parameters (that is, thicknesses, doping levels for the Si, and ratio of lactide to glycolide for the PLGA) can be adjusted to achieve desired dissolution times.

For conformal contact against the curved surface of the brain, thin geometries and optimized mechanical layouts are important. Three-dimensional finite-element modelling (FEM)

reveals distributions of principal strain for bending perpendicular to the interconnect direction, as in Fig. 1f (left). On the basis of the layouts and the mechanical moduli, the maximum strains in the  ${\rm SiO}_2$ , Si and PLGA are less than 0.03% for a bending radius of 1 mm, corresponding to the linear elastic regime for each of these materials. An optical image of a device wrapped around a glass rod with a radius of curvature of  $\sim$ 2 mm appears in Fig. 1f (right). Measurements before and after bending show negligible differences



**Figure 3** | *In vivo* chronic recordings in rats using a passive, bioresorbable electrode array. The data presented here are representative of chronic recording experiments with a duration of 30 days. **a**, Cartoon illustration of a four-channel bioresorbable electrode array implanted on the left hemisphere of the brain of a rat, for chronic recordings. A flexible ACF cable connects the array to a custom-built circular interface board fixed to the skull using dental cement. **b-f**, Representative ECoG signals recorded by the bioresorbable array and the control electrode on days 1, 8, 15, 30 and 33. Recordings from three electrodes from the bioresorbable array exhibit large-scale oscillatory behaviour consistent with small local and temporal variations. After functional dissolution (day 33), signals from the bioresorbable array show no ECoG activity, whereas the control electrode continues to show expected cortical potentials. **g**, High-voltage rhythmic spikes observed during absence-like seizure activity recorded chronically.

in impedance, consistent with expectations based both on FEM and analytical modelling results (Supplementary Fig. 9).

In vivo neural recording experiments involved the bioresorbable devices of Fig. 1 in adult rat animal models anaesthetized and placed in a stereotaxic apparatus. A craniotomy exposed a  $4\times8~\text{mm}^2$  region of cortex in the left hemisphere (Fig. 2a), to allow positioning of a device on an area of the cortical surface next to a standard stainless steel microelectrode (7,850  $\mu\text{m}^2$ ) as a control for recording physiological oscillations under isoflurane anaesthesia. Figure 2b shows representative sleep spindle activity captured by one of the

channels in the bioresorbable array and the control electrode. Supplementary Fig. 10 shows similar data for brain waves recorded during transition to deep anaesthesia (Supplementary Fig. 10a) and K-complexes (Supplementary Fig. 10b) measured under anaesthesia. Representative examples of pre-ictal and ictal-like spiking epileptiform activity induced by application of crystals of bicuculline methoxide recorded by the bioresorbable electrode and the control electrode appear in Fig. 2c,d, respectively. The frequency of the pre-ictal spikes (Fig. 2c) increases and the inter-spike duration decreases as the time progresses, terminating into after-discharges

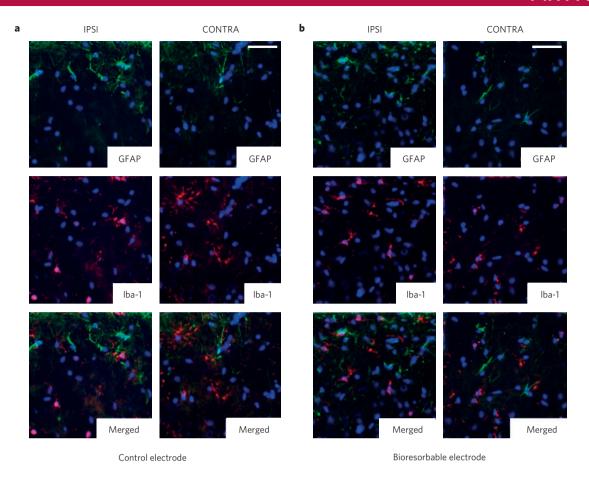


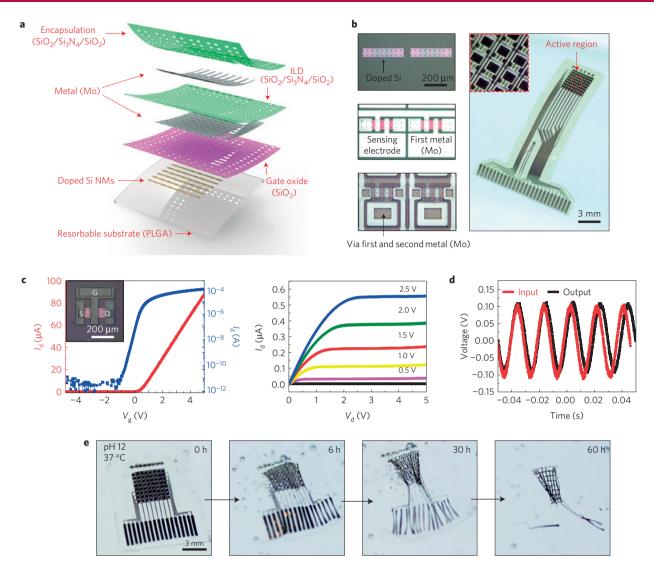
Figure 4 | Immunohistology analysis. a,b, Double-labelling for astrocytic marker GFAP (green) and microglia/macrophages marker Iba-1 (red) demonstrates moderate subpial gliosis at the implantation sites of both control (a, top) and bioresorbable (b, top) electrodes, and a marked increase in the densities of activated round microglial cells, exclusively underneath the control electrodes (a, middle). Cell nuclei are visualized with DAPI stain (blue). Scale bars represent 30 µm.

and ictal-like early epileptic discharges (Fig. 2d). The bioresorbable arrays can also be used as subdermal implants for high-fidelity recording of EEG and evoked potentials. Devices implanted on the periosteum, as described in Fig. 2e and Supplementary Fig. 11, yielded reliable recordings of theta waves (highlighted in power spectral analysis) and sleep spindles (Fig. 2f). Collectively, the results demonstrate that bioresorbable recording platforms can capture reliable physiological or pathological activity both intracortically and subdermally.

Chronic tests of ECoG recording indicate long-term stability in operation, where devices with increased thicknesses of SiO<sub>2</sub> ( $\sim$ 300 nm) and Si NMs ( $\sim$ 1,000 nm) survive for more than one month. Such studies used a miniature interface board connected to the device via a flexible interconnect cable to a headstage (Supplementary Fig. 12) designed for use with rats. Details are explained in the Methods. Figure 3 summarizes representative cortical potentials recorded by three electrodes in the bioresorbable array and by a nearby screw electrode as a control, captured on Days 1, 8, 15, 30, 32 (Supplementary Fig. 13), and 33 measured from the time of the surgical implantation. Figure 3a and Supplementary Figs 12 and 14 describe the surgical procedures and the postsurgical set-ups used in freely moving rats, respectively. ECoG potentials show physiological signals and brain oscillations with various temporal and spatial differences. High-amplitude seizurelike rhythmic spike discharges (Fig. 3g) appear occasionally, consistent with absence-like seizure activity observed in Long Evans Rats<sup>32-34</sup>. By all functional metrics (for example, signal-to-noise ratio, ability to measure delta, theta, alpha, gamma, spindles and

sometimes epileptic spikes), the devices operate in a stable fashion, largely without change in performance throughout the month of the study, until sudden failure, probably due to the development of an open circuit state in the vicinity of the interconnects. After day 33, signals from the bioresorbable device disappear; whereas those from the control persist, consistent with dissolution of at least some critical component of the device. For epilepsy patients, the clinically relevant operational lifetime is several weeks. Here, bioresorbable monitors could be embedded into particular clinical devices to monitor for early signs of failure during critical postoperative periods, such as measuring pressure and flow after intravascular aneurysm occlusion (coiling), placement of aortic or other vascular grafts, or procedures to seal cerebrospinal fluid (CSF) leaks. At present, monitoring is based on clinical examination, or requires interventional radiology that is invasive, expensive and impractical for continuous monitoring over days to months.

Understanding the reactive tissue response is critical in assessing the potential for use in such clinical applications<sup>35</sup>. Tissue inflammation, encapsulation of the electrodes in fibrous tissue (glial scar) and neuronal death in the vicinity of the electrode are the most important issues related to clinical translation<sup>36–38</sup>. Studies of tissue reactions of bioresorbable electrode arrays involved chronic implants in 14 animals, with Pt electrodes cut into similar geometries from clinical subdural grids (Ad-Tech Medical) as controls, as shown in Supplementary Fig. 15. Figure 4 summarizes the results obtained according to procedures outlined in the Methods. Double-label immunohistochemistry for GFAP and Iba-1 reveal glial cell activation at four weeks



**Figure 5** | **Bioresorbable actively multiplexed neural electrode array. a**, Schematic exploded-view illustration of an actively multiplexed sensing system for high-resolution ECoG, in a fully bioresorbable construction. This 8 × 8 embodiment includes 128 metal-oxide-semiconductor field-effect transistors (MOSFETs) where Si NMs serve as both the active semiconductor material and the neural interface electrodes. The metallization, the gate dielectric and the interlayer dielectric rely on thin films of Mo ( $\sim$ 300 nm thick) and SiO<sub>2</sub> ( $\sim$ 100 nm thick) and trilayers of SiO<sub>2</sub> ( $\sim$ 300 nm thick)/Si<sub>3</sub>N<sub>4</sub> ( $\sim$ 400 nm thick)/SiO<sub>2</sub> ( $\sim$ 300 nm thick), respectively. A second layer of Mo ( $\sim$ 300 nm thick) defines column interface lines. A similar trilayer serves as the encapsulation. A film of poly(lactide-co-glycolide) (PLGA,  $\sim$ 30 μm thick) forms the substrate. **b**, Optical micrograph images of a pair of unit cells at various stages of fabrication (left) and a picture of a complete system (right). **c**, Left: linear (red) and log scale (blue) transfer curves for a representative n-channel MOSFET, for  $V_g$  swept from -5 to +5 V. The channel length ( $L_{ch}$ ) and width (W) are 15 μm and 80 μm, respectively. The threshold voltage, mobility and on/off ratio are  $\sim$ 1V,  $\sim$ 400 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup> and  $\sim$ 10<sup>8</sup>, respectively, with Mo for source, drain and gate electrodes, and SiO<sub>2</sub> for gate dielectrics. Right: Current-voltage characteristics, for  $V_g$  from 0 to 2.5 V with 0.5 V steps. **d**, Output response of a unit cell with respect to an input sine wave (200 mV peak to peak) on insertion in aqueous phosphate buffer solution (PBS, pH 7.4) at room temperature. **e**, Images collected at several stages of accelerated dissolution of a system immersed into an aqueous buffer solution (pH 12) at 37 °C.

post-implant (Fig. 4). In both control and bioresorbable devices, moderate subpial gliosis occurs at the implantation site, when compared to the control contralateral hemisphere. No significant astrogliosis is noted at distant sites within the ipsilateral hemisphere. Iba-1 immunohistochemistry demonstrates the presence of both resting ramified, as well as round activated microglia underneath the control electrode, which extends to the superficial layers I–III. In contrast, at the implantation site of the bioresorbable electrode, microglial cells show an almost exclusively ramified morphology. The contralateral side in both groups exhibits no microglial reaction. The sham-operated control demonstrates a similar moderate subpial gliosis, but with no change in microglial densities or morphology.

Actively multiplexed device designs enable high-resolution, high-channel-count neural interfaces by minimizing the number of wires needed for external data acquisition. In this context, Si NMs provide not only an excellent choice for the electrodes but also for the backplane electronics, including the necessary switching and buffer transistors as a scalable pathway towards systems with increased numbers of channels and area coverage. A fully bioresorbable design appears in Fig. 5a; a sequence of images at various stages of the fabrication appear on the right. This embodiment uses 128 n-channel metal-oxide-semiconductor field-effect transistors (MOSFETs), with molybdenum (Mo) for the source, drain and gate electrodes, and SiO<sub>2</sub> (~100 nm) for the gate dielectrics. Additional layers of Mo and a trilayer

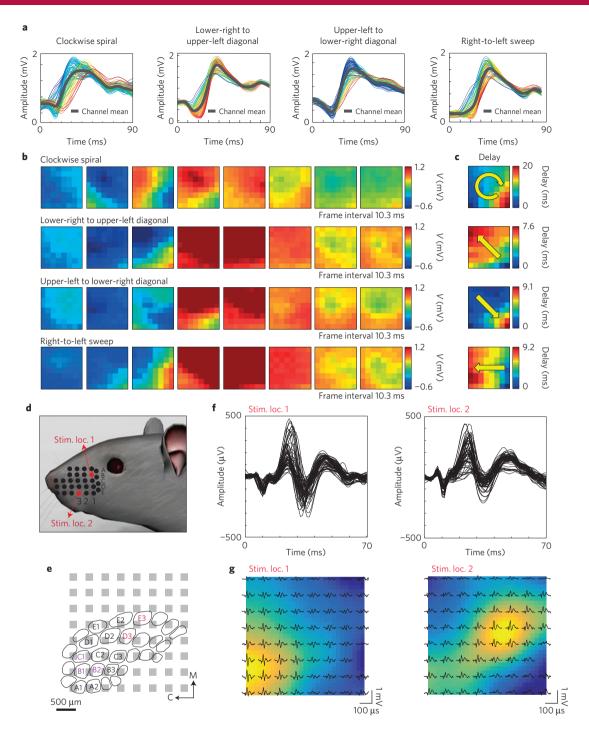


Figure 6 | Acute *in vivo* microscale electrocorticography (μΕCoG) with a 64-channel, bioresorbable, actively multiplexed array of measurement electrodes. a, Data recorded from picrotoxin-induced spikes (clockwise spiral, lower-right to upper-left diagonal, upper-left to lower-right diagonal and right-to-left sweep). The results correspond to measurements across the 64 channels of the array, and the average response (grey) from all channels. The waveforms are colour-coded according to the relative latency of the spike maximum (blue is earliest, red is latest). b, Movie frames corresponding to each spike pattern, showing the varied spatiotemporal μΕCoG voltage patterns from all 64 electrodes at the labelled time. Blue indicates negative, and dark red indicates the highest peak-to-peak voltage observed for each electrode site. The frame interval and colour scale are provided for each set of eight movie frames. c, Relative delay map for the bandpass filtered data of each spike activity from b, illustrating a clear phase singularity indicated by arrow.

d, Illustration of the whisker stimulation locations (B1 and E3) in a rat model. e, Illustration of the barrel cortex and estimated relative location of the recording array based on evoked potential results. Visibly activated whiskers indicated by colour corresponding to the stimulation location. M, medial, C, caudal. f, Temporal characteristics of the potentials evoked by stimulation location 1 (left) and 2 (right). g, Spatial distribution of the potentials evoked by stimulation location 1 and 2. The colour map indicates the evoked potential size, interpolated across the array.

of  $SiO_2(\sim 300 \text{ nm})/Si_3N_4$  ( $\sim 400 \text{ nm})/SiO_2$  ( $\sim 300 \text{ nm}$ ) form interconnects and interlayer dielectrics (ILD), respectively. A second layer of Mo ( $\sim 300 \text{ nm}$ ) serves as column selects and

additional sensing electrode pads. Another trilayer provides a blanket encapsulating layer that has openings only at the locations of the Mo interface electrodes. The amount of materials in a

single device with active multiplexed addressing are 100 µg of Mo,  $1.43 \,\mu g$  of Si,  $306 \,\mu g$  of SiO<sub>2</sub>,  $264 \,\mu g$  of S<sub>3</sub>iN<sub>4</sub>, and  $27 \,p g$  of P. For comparison, the daily intake limits, average daily intake values, and daily production of such materials appear in Supplementary Table 1. The chemistry, dissolution kinetics and biocompatibility of each of the constituent materials can be found elsewhere 39-46. The lot and functional electrode yields are  $\sim$ 10-20% and  $\sim$ 80%, respectively. Failures arise from leakage currents associated with pinholes in the encapsulating layer, introduced by particulate contamination in our academic cleanroom facilities. Figure 5b presents photographs of a device, wrapped around a cylindrical tube, with an inset that shows arrays of active electrodes. Figure 5c summarizes the electrical properties of a representative n-type MOSFET, where the mobility and on/off ratio are  $\sim$ 400 cm<sup>2</sup> V<sup>-1</sup> and  $\sim 10^8$ , respectively, as calculated using standard field-effect transistor models. Figure 5d shows in vitro measurements on a representative unit cell. The output response was consistent with the input signal, thereby indicating proper operation. Details of in vitro experiments are in Supplementary Note 2 and Supplementary Figs 16-20.

Figure 5e shows a sequence of images during accelerated dissolution in PBS pH 12 at 37 °C. Si<sub>3</sub>N<sub>4</sub> and Mo<sup>40,41</sup> dissolves in biofluids at pH 7.4 at 37 °C at a rate of  $\sim 5.1$  nm d $^{-1}$  (Supplementary Fig. 8) and  $\sim 16-25$  nm d $^{-1}$ , respectively. This system involves  $\sim 1.3~\mu m$ ,  $\sim 800$  nm,  $\sim 300$  nm,  $\sim 600$  nm and  $\sim 30~\mu m$  thick layers of SiO<sub>2</sub>, Si<sub>3</sub>N<sub>4</sub>, Si, Mo and PLGA, respectively. PLGA, Si and Mo in biofluids at 37 °C dissolve completely within in  $\sim 4-6$  weeks. Both SiO<sub>2</sub> and Si<sub>3</sub>N<sub>4</sub>, dissolve in six months under the same conditions. Supplementary Fig. 20 shows results of measurements of gain across the array and cumulative leakage current for a representative device immersed in PBS at pH 7.4. The average gain and yield were 94% and 100%, respectively.

Figure 6 provides details on in vivo recordings with a bioresorbable, multiplexed recording array. The leakage current is  $\sim$ 10 nA. The 64-electrode array was placed on the cortical surface of the left hemisphere of an anaesthetized rat in a stereotaxic apparatus (Supplementary Fig. 21). Picrotoxin was applied topically to induce epileptiform activity, just before the placement of the array. The data reveal epileptic spikes and discharges that last  $\sim$ 1-3 s (Supplementary Movie 1) and repeat every  $\sim$ 10-15 s. A set of different epileptic spikes (clockwise spiral, lower-right to upper-left diagonal, upper-left to lower-right diagonal, and right-to-left sweep) is shown in Fig. 6a as representative recordings. The sequence of eight movie frames corresponding to each spike (Fig. 6b) clearly reveals the propagation of neural waves and associated spatiotemporally resolved patterns (Supplementary Movie 2-6). The delay maps represent the latency of the spike's peak at each site (Fig. 6c). Each spiking activity shows a distinctive spatial flow, indicated by the yellow arrow in each delay map. RMS power maps, corresponding to each representative spike (Fig. 6c and Supplementary Fig. 22), show the spatially resolved patterns.

In addition to epileptiform activity, spatial distributions of low-amplitude evoked cortical activity can be captured using the same device. Somatosensory evoked potential (SSEP) experiments were conducted in anaesthetized rats using the bioresorbable multiplexed array on the surface of the exposed barrel cortex. Evoked potentials were produced by stimulating two different whiskers (B1 and E3, Fig. 6d), corresponding to the barrel cortex and estimated relative location (Fig. 6e). The temporally resolved patterns of the evoked potentials are shown in Fig. 6f. The spatial distribution of the amplitude of the evoked potentials measured at the cortical surface by the array is consistent with the relative location of the activated whiskers on the barrel cortex as described with the colour map (Fig. 6g). These results collectively demonstrate an ability to record stimulus-evoked and drug-induced neural activity with high SNR. The same materials and device architectures can be easily

adapted to larger areas and increased channel counts with potential for use in large-animal models and, in the future, for clinical monitoring applications.

The concepts introduced here form a robust foundation of capabilities in bioresorbable implantable electrode technology for various clinical problems, ranging from postoperation monitoring of brain activity to electrical monitoring of skeletal muscles or organ function. In all cases, the thin, flexible form factors minimize mechanical injury associated with implantation and chronic use. The use of Si as the active semiconductor material aligns the technology with the consumer electronics industry thereby suggesting a potential route to scale to higher densities of electrodes and larger areas.

### Methods

Methods and any associated references are available in the online version of the paper.

Received 10 November 2015; accepted 14 March 2016; published online 18 April 2016

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# Acknowledgements

The work was funded by the Defense Advanced Research Projects Agency, the Penn Medicine Neuroscience Center Pilot Grant, T32- Brain Injury Research Training Grant (5T32NS043126-12) and the Mirowski Family Foundation. Images in figures 2e, 3a and 6d from 3D Rat Anatomy Software (www.biosphera.org).

## **Author contributions**

K.J.Y., D.K., B.L. and J.A.R. designed the research. K.J.Y., D.K., S.-W.H., B.H.K., N.H.K., S.M.W., K.C., H.F., K.J.S., H.N.L., S.-K.K., J.-H.K. and J.Y.L. fabricated the devices and electronics. K.J.Y., D.K., S.M.W., M. Trumpis, H.F., M. Thompson, H.B., M.A.D., T.L. and J.V. conceived and performed bench tests, and analysis. D.K., K.J.Y., H.J., A.G.R., M.A.D. and T.H.L. performed *in vivo* experiments and analysed the data. D.T. and F.E.J. performed biocompatibility and histology studies. H.C. and Y.H. performed mechanical simulations. K.J.Y., D.K., A.G.R., M.Trumpis, J.V., B.L. and J.A.R. wrote the manuscript.

### **Additional information**

Supplementary information is available in the online version of the paper. Reprints and permissions information is available online at www.nature.com/reprints.

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# **Competing financial interests**

The authors declare no competing financial interests.

ARTICLES NATURE MATERIALS DOI: 10.1038/NMAT4624

## Methods

**Fabrication of passive electrode arrays.** Fabrication of the passive electrode arrays began with solid-state phosphorus doping (PH-1000N Source, Saint Gobain, 1,000 °C for 10 min) of p-type device Si on a Si-on-insulator (SOI, top Si ~300 nm, SOITEC) wafer. Removing the buried oxide layer of the SOI by wet etching with concentrated HF released the device Si as a Si NM, retrieved with a slab of the elastomer poly(dimethylsiloxane) (PDMS) and transfer-printed to a spin-cast bilayer of poly(methylmethacrylate) (PMMA, ~800 nm thick) and polyimide (PI,  $\sim$ 300 nm thick) on a Si wafer. Photolithography and reactive ion etching (RIE) defined a pattern of electrodes and interconnects in the Si NMs. Plasma-enhanced chemical vapour deposition (PECVD) formed a layer of  $SiO_2$  (thickness 100 nm) as encapsulation. Patterned etching with buffered oxide etchant removed the SiO<sub>2</sub> from the electrode regions. Spin casting and patterning a top coating of PI (~300 nm thick) placed the Si NM electrodes and interconnects near the neutral mechanical plane. Patterning a mesh structure across the multilayer (that is, PI, SIO<sub>2</sub>, PI and PMMA) by RIE followed by immersion in buffered oxide etchant exposed the base layer of PMMA to allow its dissolution in acetone. Retrieval onto a slab of PDMS enabled removal of the bottom exposed layer of PI by RIE. Transfer onto a film of PLGA ( $\sim$ 30  $\mu$ m thick), facilitated by heating to temperatures close to the glass transition of the PLGA (55–60  $^{\circ}\text{C},$  lactide/glycolide ratio of 75:25 composition), followed by elimination of the top layer of PI by RIE, completed the fabrication. Bonding an ACF cable to the terminal regions of the Si NM interconnects yielded connection points for interfaces to external data acquisition (DAO) systems.

Fabrication of actively multiplexed electrode arrays. Fabrication began with growth of 200 nm of thermal oxide on a p-type SOI wafer (top Si ~320 nm, SOITEC), photolithography and immersion in buffered oxide etchant to create a mask for solid-state phosphorus diffusion (1,000 °C for 6 min) to define the source and drain contacts. Releasing, retrieving and transferring the doped Si NMs onto a temporary substrate, consisting of Si wafer with a bilayer coating of PI/PMMA, followed procedures similar to those described for passive electrode arrays. Photolithography and RIE etching patterned the Si NMs into geometries for an  $8 \times 8$  array of unit cells, each consisting of two transistors connected in series for purpose of actively multiplexed readout. A thin layer of SiO<sub>2</sub> (PECVD at  $220\,^{\circ}$ C, thickness  $\sim$ 100 nm) served as the gate dielectric. Buffered oxide etching through a photolithographically patterned mask formed openings through the SiO<sub>2</sub> to expose the source and drain contact regions. Photolithography and lift-off in acetone defined a patterned layer of Mo (sputter deposited, thickness ~300 nm) for the gate electrodes and metal interconnects. Deposition of a trilayer of SiO<sub>2</sub> (~300 nm)/Si $_3N_4$  (~400 nm)/SiO $_2$  (~300 nm) by PECVD formed the interlayer dielectric. Photolithography and buffered oxide etching created vertical interconnect access (via) holes for electrical connections between layers. An additional layer of Mo (thickness ~300 nm) patterned by photolithography and lift-off defined column select lines. Another trilayer of SiO<sub>2</sub> (~300 nm)/Si<sub>3</sub>N<sub>4</sub> (~400 nm)/SiO<sub>2</sub> (~300 nm) served as encapsulation, with openings at the locations of the sensing electrodes and peripheral contact pads for interfacing to an external DAQ system. A spin-cast layer of PI served as device passivation. Selective RIE and buffered oxide etching through these multilayer stacks (diluted PI/trilayers of inorganic materials/trilayers of inorganic materials/Diluted PI) formed mesh structures that enabled release of active layers from the temporary substrate by dissolving the PMMA layer in acetone. Transfer printing steps followed, according to procedures similar to those for the passive electrode fabrication.

In vivo acute recordings of epileptiform activity. The *in vivo* data Fig. 2 is representative of four different acute experiments, each of which lasted 5–6 h. The procedures, which were approved by the Institutional Care and Use Committee of the University of Pennsylvania, involved an anaesthetized rat with its head fixed in a stereotaxic apparatus. Wild-type, adult Long Evans male and female rats were used. The animal was anaesthetized with initially ketamine/xylazine and then isoflurane throughout the craniotomy and neural recordings. A craniotomy exposed a  $4 \times 8$  mm region of cortex in either the left or right, or in both hemispheres. All recordings were taken in reference to a distant stainless steel bone screw inserted through the skull during the surgery. A commercial stainless steel microwire electrode ( $\sim$ 100 um stainless steel wire from California Fine Wire) placed at 0.5 mm depth from the cortical surface in close proximity to the bioresorbable electrodes served as a control during acute recordings. Neural data was acquired by a FHC multichannel neural amplifier (FHC) and an acquisition

system (16-bit Axon Instruments Digidata 1322A, Axon Instruments). Recordings were high-pass-filtered at 0.1 Hz. Neural recording data were analysed offline using Clampfit software (Axon Instruments) and custom Matlab software for neural signal analysis.

### In vivo acute recordings of evoked responses by whisker stimulation.

The following procedures were approved by the Institutional Care and Use Committee of the University of Pennsylvania. One 150 g, Sprague-Dawley rat was anaesthetized with a ketamine (60 mg kg $^{-1}$ ), dexdomitor (0.25 mg kg $^{-1}$ ) solution and placed in a stereotaxic frame. A craniotomy was performed to expose the right barrel cortex. A skull screw was placed in the left frontal bone to serve as the reference electrode for the recordings. The recording array was placed over the exposed cortical surface. A pair of needle stimulating electrodes were inserted into the left mystacial pad at various locations. Brief electrical currents ( $\sim\!250\!-\!600\,\mu\text{A}, 1\,\text{ms/phase}$ , biphasic pulse) were passed between the electrodes to activate the intrinsic muscles of the vibrissae, causing a visible protraction of the whiskers. Current amplitude and electrode spacing was adjusted for focal activation, usually  $1\!-\!4$  whiskers. Cortical potentials evoked by the whisker stimulation were recorded at 781.25 samples s $^{-1}$ .

In vivo chronic recordings. An adult Long Evans rat was anaesthetized with isoflurane and placed in a stereotactic frame (David Kopf Instruments). Body temperature was maintained with a heating blanket and the eyes were covered with ointment to prevent drying. The skull was exposed and a large craniotomy  $(4 \times 8 \text{ mm}^2)$  was made between bregma and lambda and laterally to the midline. The electrode was placed on the exposed dura and a slurry of gelfoam and saline was layered on top of the electrode. A screw electrode was placed contralaterally to the experimental array, with another such electrode placed posterior to lambda as a ground and reference. Additional screws were secured in the skull for anchoring. The skull and electrodes were then covered with dental cement and the connecting plug was secured on top. The rat was given meloxicam for postoperative pain and allowed to recover on a heating pad. The rat was given meloxicam daily for three days after surgery to minimize pain. After one week the animal was placed in a cage for video/EEG recording. EEG signals were collected continuously from three channels on the array and from the screw. The signals were amplified and low-pass-filtered at 600 Hz (Multichannel Systems) and sampled at 2,000 Hz with a 16-bit digitizer (National Instruments). Data was acquired using a custom written MATLAB routine.

Chronic evaluation of immunohistochemistry. Rats (n = 14) were anaesthetized and transcardiac perfusion was performed using phosphate-buffered saline (PBS 10x, cat. # BM-220, Boston BioProducts), followed by 4% paraformaldehyde (PFA, cat. # BM-155, Boston BioProducts). Whole brains were then removed and post-fixed overnight at 4 °C in the same 4% PFA solution. Subsequently, fixed brains were cryoprotected in 30% sucrose (cat. # 57-50-1, Sigma-Aldrich) at 4 °C and coronal sections were cut at 20  $\mu m$  using a Leica CM3050 S cryostat (Leica Biosystems). Serial sections, spanning the entire craniotomy site, were mounted on charged slides and stored at -20 °C until use. For immunostaining, slides were first immersed in an antigen retrieval solution (0.1 M citrate buffer, pH 6.0, cat# ab64214, Abcam) and placed in a water bath at 95 °C for 10 min. After cooling, sections were rinsed in distilled water, incubated in a blocking solution containing 0.1% Triton X-100 (cat. # 9002-93-1, Sigma-Aldrich) and 5% normal goat serum (cat. # GS-0500, Equitech-Bio) for one hour at room temperature (RT) and then incubated overnight at 4 °C with the following primary antibodies: anti- Glial Fibrillary Acidic Protein (GFAP, 1:1,000, cat. # SMI-22R, Covance), and anti-ionized calcium-binding adapter molecule 1 (Iba-1, 1:1,000, cat. # 019-19741, Wako Chemicals USA). After 3×20 min washes in PBS, sections were incubated with the corresponding fluorescent secondary antibodies (Alexa Fluor 488 goat anti-mouse IgG2b, 1:1,000, cat. # A-21141, and Alexa Fluor 568 goat anti-rabbit IgG, 1:1,000, cat. # A-11011, Invitrogen by Life Technologies). After the final washes (3  $\times$  20 min in PBS), the slides were cover-slipped with an anti-fade medium containing the nuclear stain DAPI (Fluoromount-G+DAPI, cat. # 0100-20, Southern Biotechnology). Control sections were incubated with omission of one or both primary antibodies, adding only the secondary antibodies to exclude false-positive labelling. Slides were examined on an epifluorescence microscope (Zeiss Axioscope) and images were acquired with a  $20\times$  objective and a Spot RT3 digital camera, using the Spot Software 5.1 (Diagnostic Instruments). Digital images were processed using Adobe Photoshop 12.0 (Adobe Systems).