Jiajun Fan Portfolio: jiajunfan.com

EDUCATION

Tsinghua University

Beijing, China

M.Eng. of Computer Technology; GPA 3.97/4.0, Top 1.3%

Sept. 2021 - Jun. 2024

Mobile: 86-17822018001

Email: fanjj21@mails.tsinghua.edu.cn

- Service: Served as the reviewer at top-tier machine learning conferences, including ICML, ICLR, and NeurIPS.
- **Relevant Course**: Stochastic Processes (A). Big Data Systems (A⁺). Digital Processing of Speech Signals (A). Data visualization (A⁺).
- Language Proficiency: Attained a score of <u>101</u> on TOEFL, with a performance of 26 in Reading, 25 in Listening, 23 in Speaking, and 27 in Writing.

Nankai University

Tianjin, China

B.Eng. of Intelligent Science and Technology; GPA 93.28/100 (3.9/4.0), 1/83

Sept. 2017. - Jun. 2021

- Honors: Ranked 1st in my major and obtained the National Scholarship twice (Top 1).
- Service: Served as the minister at the Faculty Student Council.

Research Interest

- Primary: Sample-efficient reinforcement learning and its applications to transfer learning, AGI and embodied AI.
- Secondary: Interpretability of reinforcement learning and its applications to effective agent learning.

RESEARCH HIGHLIGHTS

Sample-Efficient Reinforcement Learning

- Sept. 2020 Present
 - Role: 1) Introduced a sample-efficient Reinforcement Learning (RL) framework known as GDI, which achieved human-level performance by optimizing the data distribution of RL agents. 2) Supported the efficacy of GDI with a robust foundation, including both theoretical proofs and an extensive array of experiments conducted in Atari.

 3) Provided a unified perspective on various RL algorithms with GDI.
 - Achievements: 1) Outperformed prior SOTA method Agent57 with <u>500x less data</u> and <u>twice</u> the average performance.2) Published a paper called "Generalized Data Distribution Iteration" at <u>ICML 2022</u> as first author.

Behavior Control in Reinforcement Learning

- Sept. 2021 Present
 - Role: 1) Introduced a unified framework called LBC to achieve behavior control in RL. 2) Provided a unified perspective on diverse RL methods for behavior control and potential enhancements. 3) Validated LBC's efficacy through rigorous theoretical support and extensive empirical experiments.
 - Achievements: 1) Surpassed <u>24 human world records</u> and attained the pinnacle of performance among reinforcement learning algorithms across most tasks. 2) Published a paper titled "Learnable Behavior Control: Eclipsing Human World Records in Atari Games through Sample-Efficient Behavior Selection" at <u>ICLR 2023</u> with **oral presentation** as first author.

PUBLICATIONS

- 1. Fan, J., et al. Learnable Behavior Control: Breaking Atari Human World Records via Sample-Efficient Behavior Selection. International Conference on Learning Representations 2023 (<u>ICLR 2023</u>), oral presentation, ranked 5/4176.
- Fan, J., Xiao, C. Generalized Data Distribution Iteration. International Conference on Machine Learning 2022 (ICML 2022).
- 3. Hao Wang, Chen Zhichao, **Jiajun Fan**, et al. Optimal Transport for Treatment Effect Estimation. Thirty-seventh Conference on Neural Information Processing Systems (<u>NeurIPS 2023</u>).
- 4. Huang Y., Chen Z, Li H., **Fan J.**, et al. Learning to Rank for Event Stream Modeling: A New Perspective. Thirty-Eighth AAAI Conference on Artificial Intelligence (**AAAI 2024**), under review.
- 5. Wang E., Fan J., et al. SPEC: Constructing Reliable Sequential User Model using Slide-window Spectrum. ACM TheWebConf 2024 Conference, under review.
- 6. E. Wang, H. Li, T. Liu, Y. Yang, Fan J., X. Liu, and Z. Chen, "Unbiased recommender learning from implicit feedback: A progressive proximal transport approach," in ACM TheWebConf 2024 Conference, under review.

- 7. Wang, H., Lian J., Wu M., Li H., Xu W, Fan J., Li C., Xie X. ConvFormer: Revisiting Transformers for User Behavior Understanding. The Twelfth International Conference on Learning Representations (<u>ICLR 2024</u>), under review.
- 8. Wang, H., Chen, Z., **Fan, J**., et al. Entire Space Counterfactual Learning: Tuning, Analytical Properties and Industrial Applications. The IEEE Transactions on Neural Networks and Learning Systems (<u>TNNLS</u>), under review.
- 9. Fan, J., Xiao, C., & Huang, Y. GDI: Rethinking What Makes Reinforcement Learning Different From Supervised Learning. In the proceedings of <u>AAAI-22</u> Workshop on Reinforcement Learning in Games, 2021.
- 10. Xiao, C., Shi, H., Fan, J., & Deng, S. An Entropy Regularization Free Mechanism for Policy-based Reinforcement Learning. arXiv preprint arXiv:2106.00707.
- 11. Xiao, C., Shi, H., **Fan**, **J.**, & Deng, S. CASA: A Bridge Between Gradient of Policy Improvement and Policy Evaluation. In the proceedings of Deep Reinforcement Learning Workshop <u>NeurIPS 2022</u>, 2022.
- 12. **Fan, J.** A Review for Deep Reinforcement Learning in Atari: Benchmarks, Challenges, and Solutions. In the proceedings of **AAAI-22** Workshop on Reinforcement Learning in Games, 2021.
- 13. Fan, J., Ba, H., Guo, X., & Hao, J. Critic PI2: Master Continuous Planning via Policy Improvement with Path Integrals and Deep Actor-Critic Reinforcement Learning. arXiv preprint arXiv:2011.06752.

PATENTS

- Unified framework for model-free reinforcement learning algorithms Fan, J., Xiao, C. Unified framework for model-free reinforcement learning algorithms. CN112766497A[P].
- Hyperparameter tuning algorithm based on multi-arm gambling machine optimizer Fan, J. Hyperparameter tuning algorithm based on multi-arm gambling machine optimizer. CN112926629A[P].
- An unbiased estimation algorithm of behavior value function Fan, J., Xiao, C. An unbiased estimation algorithm of behavior value function. CN112926628A[P].
- Policy gradient algorithm based on double robust qualification trace Fan, J., Xiao, C. Policy gradient algorithm based on double robust qualification trace. CN112926735A[P].
- Asynchronous multi-arm gambling machine hyperparameter optimizer based on electoral college voting mechanism Fan, J. Asynchronous multi-arm gambling machine hyperparameter optimizer based on electoral college voting mechanism. CN112949850A[P].
- Real-time multi-hyperparameter controller Fan, J. Real-time multi-hyperparameter controller. CN113052252A[P].
- Hyperspace multi-coupling parameter optimizer based on multi-arm gambling machine combined with democratic voting Fan, J. Hyperspace multi-coupling parameter optimizer based on multi-arm gambling machine combined with democratic voting. CN113052253A[P].
- Fast and generalizable hyperspace coupling multi-parameter nonlinear optimizer Fan, J. Fast and generalizable hyperspace coupling multi-parameter nonlinear optimizer. CN113052248A[P].
- Reinforcement learning algorithm based on generalized combination strategy space Fan, J. Reinforcement learning algorithm based on generalized combination strategy space. CN113052312A[P].

AWARDS

• Outstanding Graduates (1%)	Tianjin, China, 2021
• Excellent Graduation Thesis of Nankai University	Tianjin, China, 2021
• Tang Lixin Scholarship (1%)	Tianjin, China, 2021
• National Scholarship, Nankai University (1/83)	Tianjin, China, 2020
• Nomination for Zhou Enlai Scholarship	Tianjin, China, 2020
• National Scholarship, Nankai University (1/83)	Tianjin, China, 2019
• 3rd Prize, Robocup@HOME Education World Final	Sydney, Australia, 2019
\bullet Bronze Medal, $\underline{\mathbf{ACM}\ /\ \mathbf{ICPC}}$ Asia Regional Contest	Xuzhou, China, 2019
\bullet National 2nd Prize, National College Students Mathematical Contest in Modeling (5%)	Tianjin, China, 2018
• The First Prize Scholarship, Nankai University (2/83)	Tianjin, China, 2018

Reinforcement Learning for Robotics

Mila, Montreal, Canada

Research Assistant Oct. 2023 - Present

- Role: Explored an efficient methodology for robot control in reinforcement learning and validated its effectiveness within Mujoco control task.
- Achievements: Combined the priority of both model-free RL and design-based RL, and improved in several metrics. Introduced the behavior control into design-based RL, and improved the sample efficiency.

Behavior Control in Reinforcement Learning

Research Assistant Jun. 2021 - Oct. 2023

Shenzhen, China

- Role: Pioneered a groundbreaking methodology for behavior control in reinforcement learning and validated its effectiveness by obliterating the most challenging Atari human world records.
- Achievements: Presented a cutting-edge paper titled "Learnable Behavior Control: Breaking Atari Human World Records via Sample-Efficient Behavior Selection" at <u>ICLR 2023</u> as an <u>oral presentation</u>, garnering a prestigious rank of 5 out of 4176 submissions.

High Sample-efficiency Reinforcement Learning Paradigm

Research Assistant Sep. 2020 - Jun. 2021

Beijing, China

- Role: 1) Innovated the foundational paradigm of RL by extending the Generalized Policy Iteration (GPI) model to a more all-encompassing version, the Generalized Data Distribution Iteration (GDI). 2) Consolidated a multitude of vast-scale RL algorithms into the GDI architecture, which can be considered a specialized form of GDI. 3) Substantiated the theoretical superiority of GDI over GPI through rigorous empirical analysis, providing irrefutable evidence of the framework's enhanced capabilities.
- Achievements: 1) Attained State-of-the-Art (SOTA) in the Atari57 benchmark, outperforming the acclaimed Agent57 model with 500x less data and even surpassing 22 human world records.
 2) Authored a cutting-edge paper titled "Generalized Data Distribution Iteration," which was published at ICML 2022, a highly esteemed academic venue.

Model-free Reinforcement Learning Algorithm

Research Assistant Sep. 2020 - Jun. 2021

Beijing, China

- Role: 1) Spearheaded a comprehensive framework for model-free reinforcement learning titled CASA, which unifies and enhances existing approaches for more efficient and effective learning. 2) Introduced a novel mechanism for policy-based reinforcement learning rooted in bandit theory, free from entropy regularization, which enhances the training process and leads to better performance.
- Achievements: Authored two papers entitled "CASA: A Bridge Between Gradient of Policy Improvement and Policy Evaluation" and "An Entropy Regularization Free Mechanism for Policy-based Reinforcement Learning", which have advanced the state-of-the-art in the field of reinforcement learning. Additionally, secured nine patents, highlighting the pioneering and innovative contributions to the field.

Model-based Reinforcement Learning Algorithm

Research Assistant Apr. 2020 - Sep. 2020

Tianjin, China

- Role: Introduced a groundbreaking method for achieving unparalleled performance on the challenging MuJoCo environment, which represents a significant breakthrough in the field of reinforcement learning.
- Achievements: Presented a cutting-edge paper titled "Critic PI2: Master Continuous Planning via Policy Improvement with Path Integrals and Deep Actor-Critic Reinforcement Learning."

Multifunctional Home Service Robot

Research Assistant

Tianjin, China

Sep. 2018 - Jul. 2019

- Role: 1) Devised a comprehensive solution for the entire project, along with a finite state machine diagram. 2) Employed cutting-edge ROS-based algorithms for autonomous navigation and RRT path planning.
- o Achievements: Presented the project in the 2019 ROBOCUP Sydney World Finals and won 3rd Prize.

ACADEMIC SERVICE

• Reviewer of The Forty-first International Conference on Machine Learning

ICML 2024

• Reviewer of The Twelfth International Conference on Learning Representations

ICLR 2024

• Reviewer of The Thirty-seventh Annual Conference on Neural Information Processing Systems.

NeurIPS 2023

• Reviewer of The Fortieth International Conference on Machine Learning.

ICML 2023

• Reviewer of The Thirty-sixth Annual Conference on Neural Information Processing Systems.

NeurIPS 2022