

## COMP 9020 – Additional assignment

0. **Quiz X** True or false:

- (a) For any  $m, n \geq 1$ :  $\kappa(K_{m,n}) = \chi(K_{m,n})$  (the clique number of  $K_{m,n}$  is equal to the chromatic number of  $K_{m,n}$ ).
- (b)  $(p \wedge \neg r), (q \rightarrow \neg p) \models (r \vee q)$
- (c) There are exactly three clauses in a minimal DNF of  $(\neg y \vee (z \wedge (x \vee y)))$
- (d) Suppose  $f : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  is defined recursively as follows for all  $n, m \in \mathbb{N}$ :

$$f(0, m) = 0; \quad f(n+1, m) = m + f(n, m).$$

True or false:  $f(m, n) = f(n, m)$  for all  $m, n \in \mathbb{N}$ .

- (e) Suppose  $T(n)$  is defined recursively as:

$$T(0) = 1; \quad T(n) = 3T(n/3) + O(n)$$

True or false:  $T(n) \in O(n)$

- (f) Suppose  $T(n)$  is defined recursively as:

$$T(0) = 1; \quad T(n) = 3T(n-3) + O(n)$$

True or false:  $T(n) \in O(2^n)$

- (g) There are  $\binom{26}{3} \cdot \binom{49}{2}$  ways of choosing a hand of 5 cards from a deck of 52 cards (26 red and 26 black) with at least 3 red cards.
- (h)  $\sum_{k=0}^n \binom{n}{k} = 2^n$
- (i)  $P(A) = P(B)$  if and only if  $P(B|A) = P(A|B)$ .
- (j) Let  $X$  be random variable representing the maximum value of two six-sided dice. Then  $E[X]$ , the expected value of  $X$ , is greater than or equal to 4.5.

**Solution:**

- (a) True. Both are equal to 2.
- (b) False. If  $p = q = r = \text{false}$  then both formulas on the left are satisfied, but the formula on the right is not.
- (c) False. The formula is equivalent to  $\neg y \vee z$  which is a DNF with two clauses.
- (d) True.  $f(m, n) = mn$  (which is provable by induction).
- (e) False. By the Master theorem  $T(n) \in O(n \log n)$
- (f) True.  $T(n) \in O((\sqrt[3]{3})^n)$  (which can be proven by induction) and as  $\sqrt[3]{3} < 2$  we have  $T(n) \in O(2^n)$
- (g) False. This overcounts hands with more than 3 red cards. The correct number should be  $\binom{26}{3}\binom{26}{2} + \binom{26}{4}\binom{26}{1} + \binom{26}{5}$
- (h) True. This can be seen by expanding  $2^n = (1 + 1)^n$ .
- (i) False. If  $A$  and  $B$  are disjoint, but  $P(A) \neq P(B)$  then  $P(A|B) = 0 \neq P(B|A)$ .
- (j) False. The probability that  $X = k$  is  $\frac{1}{6} \cdot \frac{k-1}{6} + \frac{k-1}{6} \cdot \frac{1}{6} + \frac{1}{36} = \frac{2k-1}{36}$  (one dice rolls  $k$ , the other rolls something less than  $k$ ; or the other way around; or both roll  $k$ ). Therefore the expected value of  $X$  is

$$1 \cdot \frac{1}{36} + 2 \cdot \frac{3}{36} + 3 \cdot \frac{5}{36} + 4 \cdot \frac{7}{36} + 5 \cdot \frac{9}{36} + 6 \cdot \frac{11}{36} = \frac{1 + 6 + 15 + 28 + 45 + 66}{36} = \frac{161}{36} < 4.5$$

Note: For the remainder of the assignment, *how* you arrived at your solution is as important (if not more so) than the solution itself and will be assessed accordingly. There may be more than one way to find a solution, and your approach should contain enough detail to justify its correctness. Lecture content can be assumed to be common knowledge.

1. Consider the following algorithm which finds the index (in  $[i, j]$ ) of an item  $x$  in a sorted list  $L$  (or returns the location that  $x$  should be inserted):

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**Algorithm 1** BinarySearch( $L, x, i, j$ )

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if  $i = j$  return  $i$ 
else:
     $k = (i + j)/2$ 
    if  $x = L[k]$ :
        return  $k$ 
    elif  $x > L[k]$ :
        return BinarySearch( $L, x, k, j$ )
    else:
        return BinarySearch( $L, x, i, k$ )

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- (a) Give an asymptotic recurrence for the running time  $T_B(n)$  of BinarySearch( $L, x, 0, n$ ).
- (b) Solving the recurrence, give an upper bound for the running time  $T_B(n)$ .

**Solution:** For the base case (the if part) we have  $T_B(0) = O(1)$ .  
For the recursive case (the else part) we have [going line by line]

$$T_B(n) = O(1) + O(1) + O(1) + T_B(n/2) = O(1) + T_B(n/2).$$

This falls into Case 2 of the Master Theorem and can be resolved as  $T_B(n) \in O(\log n)$ .

Now consider the following algorithm for sorting a list:

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**Algorithm 2** InsertionSort( $L$ )

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// Recursively call InsertionSort on all but the last element
Let  $L' = \text{InsertionSort}(L[0 : n - 1])$ 
// Find the index of  $L[n]$  in the sorted list  $L'$ 
Let  $i = \text{BinarySearch}(L', L[n], 0, n - 1)$ 
// Insert  $L[n]$  into  $L'$ 
return  $L'[0 : i] + L[n] + L'[i : n - 1]$ 

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- (c) Give an asymptotic recurrence for the running time  $T_I(n)$ , of InsertionSort( $L$ ) when  $L$  is a list of length  $n$ . *Hint: Your answer should include  $T_B$ .*
- (d) Solving the recurrence, give an upper bound for the running time  $T_I(n)$ .

**Solution:** Going line by line and using part (b) we have:

$$T_I(n) = T_I(n-1) + T_B(n-1) + O(1) = T_I(n-1) + O(\log n).$$

From the lectures this can be resolved as  $T_I(n) \in O(n \log n)$ .

(20 marks)

2. Consider the following algorithm for navigating a graph  $G = (V, E)$ :

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**Algorithm 3** DFS( $V, E, v, X$ )

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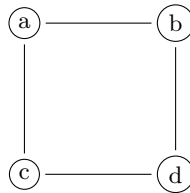
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1: Add  $v$  to  $X$ 
2: For all vertices  $w$  with  $\{v, w\} \in E$ :
3:   if  $w \notin X$ :
4:     DFS( $V, E, w, X$ )

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- (a) Run the algorithm DFS( $V, E, a, X$ ) where initially  $X = \emptyset$  and  $(V, E)$  is the following graph:



Assume the vertices in line 2 of the algorithm are considered in alphabetic order. What order are the vertices added to  $X$ ?

- (b) Give an asymptotic recurrence for the running time  $T(n)$  when it is run on a graph with  $n$  vertices.
- (c) Solving the recurrence, give an upper bound for the running time  $T(n)$ .

**Solution:**

- (a) The vertices will be added to  $X$  in the following order:  $a, b, d, c$ .
- (b) We note that the recursive call only gets made on vertices not in  $X$ , so as we add vertices to  $X$  the number of recursive calls decreases (and the algorithm will terminate). A simple analysis is that, in the worst case, the for loop will run  $n - 1$  times and in each loop will call DFS recursively on (because  $v$  is in  $X$ ) what is effectively a graph with  $n - 1$  vertices. Other operations are constant time, so we have:

$$T(n) = O(1) + (n - 1) \times T(n - 1).$$

- (c) So  $T(n) \in O(n!)$ . Note A more detailed analysis observes that in the entirety of a run of the algorithm, each edge is used in the second line exactly once, so the running time will be  $O(|E|) = O(n^2)$ .

(10 marks)

- 3. (a) How many well-formed formulas can be constructed from one  $\vee$ ; one  $\wedge$ ; two parenthesis pairs  $(, )$ ; and the three literals  $p, \neg p$ , and  $q$ ?
- (b) Under the equivalence relation defined by **logical equivalence**, how many equivalence classes do the formulas in part (a) form?

**Solution:**

- (a) We will count the number of well-formed formulas that use all symbols exactly once. We note that the parentheses are tied to the operations  $\wedge$  and  $\vee$  and there are two “shapes” of formula:  $(l_1 op_1 (l_2 op_2 l_3))$  and  $((l_2 op_2 l_3) op_1 l_1)$ . There are  $2 \times 1 = 2$  choices for  $op_1, op_2$ . There are  $3 \times 2 \times 1 = 6$  choices for  $l_1, l_2, l_3$ . Therefore, there are  $2 \cdot 2 \cdot 6 = 24$  formulas in total.
- (b) We note that since  $(\varphi \vee \psi)$  is logically equivalent to  $(\psi \vee \varphi)$  and  $(\varphi \wedge \psi)$  is logically equivalent to  $(\psi \wedge \varphi)$  we can reduce the 24 formulas from above to the following six (possibly not distinct) classes:

$$\begin{array}{c|c|c} I. & (p \vee (\neg p \wedge q)) & II. & (\neg p \vee (p \wedge q)) & III. & (q \vee (p \wedge \neg p)) \\ IV. & (p \wedge (\neg p \vee q)) & V. & (\neg p \wedge (p \vee q)) & VI. & (q \wedge (p \vee \neg p)) \end{array}$$

Since

$$(q \vee (p \wedge \neg p)) \equiv (q \vee \perp) \equiv q \equiv (q \wedge \top) \equiv (q \wedge (p \vee \neg p))$$

we see that *III* and *VI* are the same class.

For the other cases we have:

$$\begin{array}{l} I \quad (p \vee (\neg p \wedge q)) \equiv ((p \vee \neg p) \wedge (p \vee q)) \equiv (\top \wedge (p \vee q)) \equiv (p \vee q) \\ II \quad (\neg p \vee (p \wedge q)) \equiv ((\neg p \vee p) \wedge (\neg p \vee q)) \equiv (\top \wedge (\neg p \vee q)) \equiv (\neg p \vee q) \\ IV \quad (p \wedge (\neg p \vee q)) \equiv ((p \wedge \neg p) \vee (p \wedge q)) \equiv (\perp \vee (p \wedge q)) \equiv (p \wedge q) \\ V \quad (\neg p \wedge (p \vee q)) \equiv ((\neg p \wedge p) \vee (\neg p \wedge q)) \equiv (\perp \vee (\neg p \wedge q)) \equiv (\neg p \wedge q) \end{array}$$

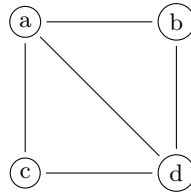
Each of these classes are distinct, as can be seen from the truth table:

$p$	$q$	$\neg p$	<i>I</i>	<i>II</i>	<i>III</i>	<i>IV</i>	<i>V</i>
$T$	$T$	$F$	$T$	$T$	$T$	$T$	$F$
$T$	$F$	$F$	$T$	$F$	$F$	$F$	$F$
$F$	$T$	$T$	$T$	$T$	$T$	$F$	$T$
$F$	$F$	$T$	$F$	$T$	$F$	$F$	$F$

So there are five equivalence classes.

(10 marks)

4. Consider the following graph:



- (a) Suppose you colour each vertex one of three colours chosen uniformly at random. What is the probability you get a valid 3-colouring of the graph?
- (b) Suppose you give each edge one of two directions chosen uniformly at random (e.g. alphabetically increasing vs alphabetically decreasing). What is the probability you get a DAG?

**Solution:**

- (a) There are  $4^3 = 64$  ways of colouring the four vertices of this graph one of three colours. In terms of valid 3-colourings, we observe that once we have chosen colours for  $a$  and  $b$  ( $3 \times 2 = 6$  possibilities) the colours for  $c$  and  $d$  are forced. So there are only 6 valid 3-colourings, giving a probability of  $\frac{6}{64} = \frac{3}{32}$  that the colouring is valid.
- (b) There are  $2^5 = 32$  ways of orienting the edges. We could manually count which of these yield DAGs, but a slightly more clever way is as follows. We observe that each permutation of  $a, b, c, d$  corresponds to a topological sort of a DAG for exactly one orientation (so there are at most  $4! = 24$  DAGs). There are permutations which correspond to the same orientation, however since only  $b$  and  $c$  will be “incomparable” in any given orientation we can identify these permutations as those where  $b$  and  $c$  occur “together” (e.g.  $abcd$  and  $acbd$  correspond to the same orientation). So 12 permutations correspond to only 6 orientations (and the remaining 12 permutations give rise to unique orientations) – meaning there are, in total, 18 orientations that are DAGs. So the probability of a DAG is  $\frac{18}{64} = \frac{9}{32}$ .

(10 marks)