- 1. Decision Tree Learning
- 1.1 Code

# articles.py

```
class Attributes(object):
   def __init__(self):
       file = open("words.txt")
        self.cnt = 0
        while 1:
            line = file.readline()
            if not line:
                break
            self.cnt += 1
        file.close()
        file = open("words.txt")
        self.name_list = [None for n in range(self.cnt)]
        for i in xrange(0, self.cnt):
            self.name_list[i] = file.readline()
        file.close()
    def get_name(self, idx):
        return self.name_list[idx]
```

```
def get_cnt(self):
        return self.cnt
class Article(object):
   # class variables
    def __init__(self, attr_cnt):
        self.attr_vals = [False for n in range(attr_cnt)]
    def set_attr(self, idx):
        if self.attr_vals[idx] == True:
            raise DoubleSetException()
        self.attr_vals[idx] = True
    def get_attr(self, idx):
        return self.attr_vals[idx]
    def set_class(self, cls):
        self.classification = cls == 2
    def get_class(self):
        return self.classification
```

```
class ArticleCollection(object):
   # class variables
   def __init__(self, art_cnt, data_file, label_file, attr_cnt):
       self.arts = [Article(attr_cnt) for n in range(art_cnt)]
       file = open(data_file)
       while 1:
           line = file.readline()
           if not line:
               break
           tokens = line.split("\t")
           art_idx = int(tokens[0]) - 1
           attr_idx = int(tokens[1]) - 1
           self.arts[art_idx].set_attr(attr_idx)
        file.close()
       # read lable
        file = open(label_file)
        art_idx = 0
       while 1:
            line = file.readline()
           if not line:
               break
            classification = int(line)
```

```
self.arts[art_idx].set_class(classification)

# move to next article

art_idx += 1

file.close()

def get_art_cls(self, art_idx):
    return self.arts[art_idx].get_class()

def get_art_attr(self, art_idx, attr_idx):
    return self.arts[art_idx].get_attr(attr_idx)

def get_cnt(self):
    return len(self.arts)
```

### dtl.py

```
import math
from articles import Attributes, Article, ArticleCollection
# NOTE: convention for classification
# class 1 -> negative
# class 2 -> positive
class DTNode(object):
    # class variables
    def __init__(self, depth):
        self.pos = None
        self.neg = None
        self.cls = False
        self.attr_idx = -1
        self.ig = -1.0
        self.depth = depth
class DTL(object):
    # class variables
```

```
def __init__(self):
       self.zero_val = 0.0000000000000001
       self.attr = Attributes()
       self.att_cnt = self.attr.get_cnt()
       self.art_col = ArticleCollection(1061, "trainData.txt",
"trainLabel.txt", self.att_cnt)
   # @ idx_list : list of all examples' index
   def split(self, idx_list, attr_idx):
       pos_len = 0
       neg_len = 0
       for art_idx in idx_list:
           if True == self.art_col.get_art_attr(art_idx, attr_idx):
               pos len += 1
           else:
               neg_len += 1
       pos_list = [-1 for n in range(pos_len)]
       neg_list = [-1 for n in range(neg_len)]
       pos_pos = 0
       neg_pos = 0
       for art_idx in idx_list:
           if True == self.art_col.get_art_attr(art_idx, attr_idx):
```

```
pos_list[pos_pos] = art_idx
            pos_pos += 1
        else:
            neg_list[neg_pos] = art_idx
            neg_pos += 1
    return (pos_list, neg_list, pos_len, neg_len)
def entropy(self, idx_list):
    cnt_pos = 0
    cnt_neg = 0
    cnt_tol = 0
    for art_idx in idx_list:
        if True == self.art_col.get_art_cls(art_idx):
            cnt_pos += 1
        else:
            cnt_neg += 1
        cnt_tol += 1
    # calculate
    p_pos = float(cnt_pos) / float(cnt_tol)
    p_neg = float(cnt_neg) / float(cnt_tol)
    if p_pos < self.zero_val:</pre>
        epy = 0.0
```

```
elif p_neg < self.zero_val:</pre>
        epy = 0.0
    else:
        epy = float(-1) * p_pos * math.log(p_pos, 2.0) \
        - p_neg * math.log(p_neg, 2.0)
    return epy
def ig(self, idx_list, attr_idx):
    (pos_list, neg_list, pos_len, neg_len) = self.split(idx_list, attr_idx)
    tol_len = pos_len + neg_len
    assert(tol_len == len(idx_list))
    # calculate ig
    if 0 == pos_len or 0 == neg_len:
        ig = 0.0
    else:
        epy_base = self.entropy(idx_list)
        epy_pos = self.entropy(pos_list)
        epy_neg = self.entropy(neg_list)
        nor_epy_pos = float(pos_len) / float(tol_len) * float(epy_pos)
        nor_epy_neg = float(neg_len) / float(tol_len) * float(epy_neg)
        remainder = nor_epy_pos + nor_epy_neg
        ig = epy_base - remainder
```

```
return ig
def mode(self, idx_list):
    pos_len = 0
    neg_len = 0
    for art_idx in idx_list:
        if True == self.art_col.get_art_cls(art_idx):
            pos_len += 1
        else:
            neg_len += 1
    return pos_len >= neg_len
def is_same_cls(self, idx_list):
    pos_len = 0
    neg_len = 0
    for art_idx in idx_list:
        if True == self.art_col.get_art_cls(art_idx):
            pos_len += 1
        else:
            neg_len += 1
    return pos_len == 0 or neg_len == 0
def choose_attr(self, idx_list, attr_list):
```

```
if len(attr_list) == 0:
            return -1
        best_attr_ig = -1.0 # IG of corresponding attribute (NOTE: larger is
        best_attr_idx = -1 # attribute index
        best_attr_idx_in_list = -1 # index of attrbute index in attr_list
        # calculat best
        for i in xrange(0, len(attr_list)):
           attr_idx = attr_list[i]
           attr_ig = self.ig(idx_list, attr_idx)
           if attr_ig > best_attr_ig:
                best_attr_ig = attr_ig
                best_attr_idx = attr_idx
                best_attr_idx_in_list = i
        del attr_list[best_attr_idx_in_list]
        return best_attr_idx, best_attr_ig
    # DTL recurse function
   def learn_recurse(self, max_depth, cur_depth, idx_list, attr_list,
default_cls):
        self.node_cnt += 1
```

```
if cur_depth == max_depth:
            node = DTNode(cur_depth)
            node.cls = self.mode(idx_list)
            return node
        elif len(idx_list) == 0:
            node = DTNode(cur_depth)
            node.cls = default_cls
            return node
        elif self.is_same_cls(idx_list):
            # all examples have same classification
            node = DTNode(cur_depth)
            node.cls = self.mode(idx_list)
            return node
        elif len(attr_list) == 0:
            node = DTNode(cur_depth)
            node.cls = self.mode(idx_list)
            return node
        else:
            dup_attr_list = list(attr_list)
            (best_attr, best_ig) = self.choose_attr(idx_list, dup_attr_list)
            (pos_list, neg_list, pos_len, neg_len) = self.split(idx_list,
best_attr)
```

```
new_default_cls = self.mode(idx_list)
            new_depth = cur_depth + 1
            node = DTNode(cur_depth)
            node.pos = self.learn_recurse(max_depth, new_depth, pos_list, \
                    dup_attr_list, new_default_cls)
            node.neg = self.learn_recurse(max_depth, new_depth, neg_list, \
                    dup_attr_list, new_default_cls)
            node.attr_idx = best_attr
            node.ig = best_ig
            return node
   def learn(self, max_depth):
        idx_list = range(0, self.art_col.get_cnt())
        attr_list = range(0, self.att_cnt)
        default_cls = self.mode(idx_list) # get default cls by mode
        self.node_cnt = 0
        self.root = self.learn_recurse(max_depth, 0, idx_list, attr_list,
default_cls)
    def print_tree_recurse(self, dt_node):
        if dt_node.pos == None and dt_node.neg == None:
```

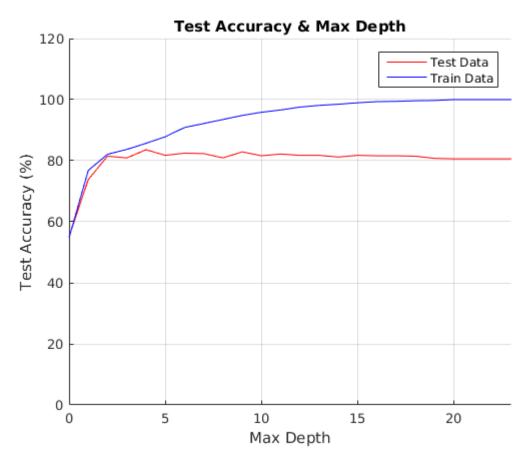
```
print "Class",
        if dt_node.cls == False:
            print "1"
        else:
            print "2"
    else:
        print "Label", dt_node.attr_idx + 1, "(", dt_node.ig, ")",
        print "-", self.attr.get_name(dt_node.attr_idx),
        for n in xrange(0, dt_node.depth):
            print " ",
        print "False:",
        self.print_tree_recurse(dt_node.neg)
        for n in xrange(0, dt_node.depth):
            print " ",
        print "True:",
        self.print_tree_recurse(dt_node.pos)
def print_tree(self):
    self.print_tree_recurse(self.root)
def test_recurse(self, test_art_col, test_art_idx, dt_node):
    assert(dt_node != None)
    if dt_node.pos == None and dt_node.neg == None:
```

```
return dt_node.cls
        else:
            art_attr_val = test_art_col.get_art_attr(test_art_idx,
dt_node.attr_idx)
dt_node.attr_idx
            if True == art_attr_val:
                return self.test_recurse(test_art_col, test_art_idx,
dt_node.pos)
            else:
                return self.test_recurse(test_art_col, test_art_idx,
dt_node.neg)
    def test(self, art_cnt, data_file, label_file):
        test_art_col = ArticleCollection(art_cnt, data_file, label_file,
self.att_cnt)
        # pre-allocate memory for result
        result = [False for n in range(test_art_col.get_cnt())]
        # init. other variables
        pass_cnt = 0
        fail_cnt = 0
```

```
for test_art_idx in xrange(0, test_art_col.get_cnt()):
            test_result = self.test_recurse(test_art_col, test_art_idx,
self.root)
            if test_result == test_art_col.get_art_cls(test_art_idx):
                pass_cnt += 1
            else:
                fail_cnt += 1
        print "Test result:"
        print "Pass / Fail : ", pass_cnt, "/", fail_cnt
        tol_cnt = test_art_col.get_cnt()
        accuracy = float(pass_cnt) / float(tol_cnt) * 100.0
        print "Accuracy: ", accuracy
print "Loading..."
dtl = DTL()
for max_depth in xrange(0, 26):
    print "Max Depth:", max_depth
    print "Learning..."
    dtl.learn(max_depth)
    print "Test trainData: "
    dtl.test(1061, "trainData.txt", "trainLabel.txt")
    print "Test testData: "
    dtl.test(707, "testData.txt", "testLabel.txt")
dtl.learn(4)
dtl.print_tree()
dtl.test(707, "testData.txt", "testLabel.txt")
```

# 1.2 Graph

Note: Tree's depth starts from 0. "0 depth" means only 1 node in the tree.



# 1.3 Overfitting

Yes, the overfitting occurs. After the maximum depth of 4, overfitting does occur since the accuracy starts to decrease and fluctuate after maximum depth of 4.

1.4 Tree Structure with the Highest Test Accuracy: 83.5926449788 %

Label 485 (0.214992437297) - writes

False: Label 212 (0.109215568062) - god

False: Label 153 (0.0778348840957) - that

False: Label 74 (0.0475424422994) - bible

False: Class 2 - comp.graphics

True: Class 1 - alt.atheism

True: Label 188 (0.097186247382) - wrote

False: Class 2 - comp.graphics

True: Class 1 - alt.atheism

True: Label 184 (0.212290066617) - use

False: Class 1 - alt.atheism

True: Label 1 (1.0) - archive

False: Class 2 - comp.graphics

True: Class 1 - alt.atheism

True: Label 3143 (0.118888826771) - graphics

False: Label 2109 (0.085767875642) - image

False: Label 153 (0.0864686295328) - that

False: Class 1 - alt.atheism

True: Class 1 - alt.atheism

True: Class 2 - comp.graphics

True: Class 2 - comp.graphics

The numerical value in the parenthesis represents the Information Gain (IG) when choose the word after the dash line.

"True" means that word (attribute) is existed for a certain article, and "False" means that word (attribute) is not existed for a certain article.

"Class 1" means the classification of "alt.atheism", and "Class 2" means the classification of "comp.graphics".

The integer after the word "Label" represents the word index.

#### 1.5 Brief Discussion

By looking at the tree structure in question 1.4, most word features make sense, but some of them are somewhat vague so these words actually do not mean anything.

Considering the words that makes sense, the articles are classified as "alt.atheism" when they contain the word "god" or "bible", which is an expected result based on the definition of atheism. Additionally, the articles are classified as "comp.graphics" when they contain the word "graphics" or "image", which is also an expected result based on the definition of graphics.

However, there are also some vague words that we cannot use to differentiate the class of the article, such as "writes" and "that". These words are quite common in almost all articles, so they are not expected to appear in the decision tree.

Furthermore, another phenomenon is also expected that some discriminative words are existed in the decision tree to examine the article after the vague words "writes" and "that". This makes sense since vague words can tell very limited information about the class of an article, so more discriminative words are required for classification.

- 2. Naïve Bayes Model
- 2.1 Code

## articles.py

```
class Attributes(object):
    # class variables
    def __init__(self):
        file = open("words.txt")
        self.cnt = 0
        while 1:
           line = file.readline()
            if not line:
                break
            self.cnt += 1
        file.close()
        file = open("words.txt")
        self.name_list = [None for n in range(self.cnt)]
        for i in xrange(0, self.cnt):
            self.name_list[i] = file.readline()
        file.close()
    def get_name(self, idx):
        return self.name_list[idx]
    def get_cnt(self):
```

```
return self.cnt
class Article(object):
   # class variables
    def __init__(self, attr_cnt):
        self.attr_vals = [False for n in range(attr_cnt)]
    def set_attr(self, idx):
        if self.attr_vals[idx] == True:
            raise DoubleSetException()
        self.attr_vals[idx] = True
    def get_attr(self, idx):
        return self.attr_vals[idx]
    def set_class(self, cls):
        self.classification = cls == 2
    def get_class(self):
        return self.classification
class ArticleCollection(object):
    # class variables
```

```
def __init__(self, art_cnt, data_file, label_file, attr_cnt):
    self.arts = [Article(attr_cnt) for n in range(art_cnt)]
   file = open(data_file)
   while 1:
        line = file.readline()
        if not line:
           break
        tokens = line.split("\t")
        art_idx = int(tokens[0]) - 1
        attr_idx = int(tokens[1]) - 1
        self.arts[art_idx].set_attr(attr_idx)
    file.close()
    # read lable
    file = open(label_file)
   art_idx = 0
   while 1:
        line = file.readline()
        if not line:
           break
        classification = int(line)
        self.arts[art_idx].set_class(classification)
```

```
art_idx += 1
file.close()

def get_art_cls(self, art_idx):
    return self.arts[art_idx].get_class()

def get_art_attr(self, art_idx, attr_idx):
    return self.arts[art_idx].get_attr(attr_idx)

def get_cnt(self):
    return len(self.arts)
```

## nbm.py

```
import math
from articles import Attributes, Article, ArticleCollection
# NOTE: convention for classification
# class 1 -> negative
# class 2 -> positive
class factor(object):
   # class variables
    # NOTE: p(att | classification)
    def __init__(self):
       self.att_idx = -1
       self.classification = False
       self.p_pos = 0.0
    # set parameter of this factor
    # @ att idx : represented attribute index
    # @ p_pos : possibility of when attribute is True
    def set_param(self, att_idx, classification, p_pos):
       self.att_idx = att_idx
       self.classification = classification
       self.p_pos = p_pos
    def get_p_pos(self):
        return self.p_pos
```

```
def get_p_neg(self):
       return 1.0 - self.p_pos
class NBM(object):
   # class variables
   def __init__(self):
       self.attr = Attributes()
       self.att_cnt = self.attr.get_cnt()
       self.art_col = ArticleCollection(1061, "trainData.txt",
"trainLabel.txt", self.att_cnt)
       self.factors_neg = None
       self.factors_pos = None
       self.prior_neg = -1.0
       self.prior_pos = -1.0
   def learn(self):
       idx_list = range(0, self.art_col.get_cnt())
```

```
(pos_list, neg_list) = self.split_cls(idx_list)
    self.prior_pos = float(len(pos_list) + 1) / float(len(idx_list) + 2)
    self.prior_neg = float(len(neg_list) + 1) / float(len(idx_list) + 2)
    self.factors_neg = [factor() for n in range(self.att_cnt)]
    self.factors_pos = [factor() for n in range(self.att_cnt)]
    for i in xrange(0, self.att_cnt):
        p_pos = self.cal_pos_attr(pos_list, i)
        p_neg = self.cal_pos_attr(neg_list, i)
       self.factors_neg[i].set_param(i, False, p_neg)
       self.factors_pos[i].set_param(i, True, p_pos)
# @ art idx : article index
def test_art(self, test_art_col, art_idx):
    sum_neg = math.log(self.prior_neg)
    for i in xrange(0, self.att_cnt):
        if test_art_col.get_art_attr(art_idx, i) == True:
           sum_neg += math.log(self.factors_neg[i].get_p_pos())
        else:
           sum_neg += math.log(self.factors_neg[i].get_p_neg())
```

```
sum_pos = math.log(self.prior_pos)
        for i in xrange(0, self.att_cnt):
            if test_art_col.get_art_attr(art_idx, i) == True:
                sum_pos += math.log(self.factors_pos[i].get_p_pos())
            else:
                sum_pos += math.log(self.factors_pos[i].get_p_neg())
        if sum_pos > sum_neg:
        else:
            return False
   def test(self, art_cnt, data_file, label_file):
        test_art_col = ArticleCollection(art_cnt, data_file, label_file,
self.att_cnt)
        result = [False for n in range(test_art_col.get_cnt())]
        # init. other variables
        pass_cnt = 0
        fail_cnt = 0
```

```
for test_art_idx in xrange(0, test_art_col.get_cnt()):
        test_result = self.test_art(test_art_col, test_art_idx)
        if test_result == test_art_col.get_art_cls(test_art_idx):
            pass_cnt += 1
        else:
            fail_cnt += 1
    print "Test result:"
    print "Pass / Fail : ", pass_cnt, "/", fail_cnt
    tol_cnt = test_art_col.get_cnt()
    accuracy = float(pass_cnt) / float(tol_cnt) * 100.0
    print "Accuracy: ", accuracy
def cal_pos_attr(self, idx_list, attr_idx):
    pos cnt = 0
    for art_idx in idx_list:
        if self.art_col.get_art_attr(art_idx, attr_idx) == True:
            pos_cnt += 1
    return float(pos_cnt + 1) / float(len(idx_list) + 2)
def split_cls(self, idx_list):
    pos_cnt = 0
    neg\_cnt = 0
```

```
for art_idx in idx_list:
        if self.art_col.get_art_cls(art_idx) == True:
            pos_cnt += 1
        else:
            neg_cnt += 1
    pos_list = [-1 for n in range(pos_cnt)]
    neg_list = [-1 for n in range(neg_cnt)]
    pos_pos = 0
    neg_pos = 0
    for art_idx in idx_list:
        if self.art_col.get_art_cls(art_idx) == True:
            pos_list[pos_pos] = art_idx
            pos_pos += 1
        else:
            neg_list[neg_pos] = art_idx
            neg_pos += 1
    return (pos_list, neg_list)
# sort index and value based on value
def sort_idxval(self, idx, vals):
   for i in xrange(0, len(vals) - 1):
       for j in xrange(0, len(vals) - 1 - i):
           if (vals[j] <= vals[j + 1]):
               (vals[j], vals[j + 1]) = (vals[j + 1], vals[j])
               (idx[j], idx[j + 1]) = (idx[j + 1], idx[j])
```

```
def list_top10(self):
        result_idx = range(0, self.att_cnt)
        result_val = [-1.0 for n in range(self.att_cnt)]
        for attr_idx in result_idx:
            result_val[attr_idx] = abs(\
            math.log(self.factors_pos[attr_idx].get_p_pos(), 2) -\
            math.log(self.factors_neg[attr_idx].get_p_pos(), 2))
        self.sort_idxval(result_idx, result_val)
        for i in xrange(0, 10):
            print "No.", i, ":", result_idx[i] + 1, ",", result_val[i],
            print ",", self.attr.get_name(result_idx[i]),
nbm = NBM()
nbm.learn()
nbm.list_top10()
nbm.test(707, "testData.txt", "testLabel.txt")
nbm.test(1061, "trainData.txt", "trainLabel.txt")
```

#### 2.2 List of 10 most discriminative word features

Order	Word Index	Measured Value	Word
1	3143	6.38374874578	graphics
2	3	5.73389435561	atheism
3	17	5.66678015975	religion
4	426	5.55986495583	moral
5	768	5.55986495583	evidence
6	571	5.55986495583	keith
7	563	5.52239025041	atheists
8	212	5.45768456103	god
9	74	5.40374575392	bible
10	272	5.36192557822	christian

Note: "Measure Value" is computed by

$$\mid \log_2 \Pr(\text{word} \mid \text{label 1}) - \log_2 \Pr(\text{word} \mid \text{label 2}) \mid$$

These words have good features.

Based on the understanding about "atheism" and "graphics", these words are quite discriminative.

- 1. "graphics" represents the feature of the articles with class "comp.graphics";
- 2. "atheism", "religion", "moral" and other words represents the feature of the articles with class "alt.atheism".

### 2.3 Accuracy

For test data set, the accuracy is 88.9674681754%
For training data set, the accuracy is 92.8369462771%

#### 2.4 Assumption

This is not a reasonable assumption. When we write a sentence, some words in this sentence are related. So, there exists some word features that are dependent on each other. For example, "bible", "god", "christian" are related to each other since the probability of word "bible" will increase if words "god" and "christian" appear in an article.

#### 2.5 Extension

A solution could be adding k edges to a node of word feature, and these edges connect to other nodes of word features in the Naïve Bayes Model Graph.

Edges between nodes of word features represent the dependency of these words. In order to reduce the complexity of the entire graph, only kth top dependency will be considered during training and testing. This can reduce the negative effects brought by the dependency among nodes of word features.

### 2.6 Better Approach

Naïve Bayes model performs best.

According to the best accuracy achieved by each approach, Naïve Bayes model has the accuracy of 88.97%, but Decision Tree learning only has the accuracy of 83.59%. Also, Decision Tree has the defeat of overfitting based on the graph in the answer 1.2, and this leads to a decline of accuracy. So, Naïve Bayes model performs best under this evaluation criterion.

Furthermore, nodes in decision tree with the highest accuracy still contain vague word features that are used to classify articles, such as "writes", "that" and "use". However, the 10 most discriminative word features in Naïve Bayes model are all pretty discriminative. So, Naïve Bayes model has better structure and characteristic under this criterion.

Above all, Naïve Bayes model performs best.