

# Type Soundness in an Intensional theory with Type in Type and recursion

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## Examples

logical unsoundness:

$$\text{fun } f : (x.x) . x : \star . f x \quad : \Pi x : \star . x$$

## some constructs

while logically unsound the language is extremely expressive. The following CC constructs are expressible,

$$a_1 =_A a_2 := \lambda A : \star . \lambda a_1 : A . \lambda a_2 : A . \Pi C : (A \rightarrow \star) . C a_1 \rightarrow C a_2$$

$$Unit := \Pi A : \star . A \rightarrow A$$

$$tt := \lambda A : \star . \lambda a : A . a$$

$$\perp := \Pi x : \star . x$$

$$\neg A := \Pi A : \star . A \rightarrow \perp$$

church nats:

$$\mathbb{N}_c := \Pi A : \star . (A \rightarrow A) \rightarrow A \rightarrow A$$

$$0_c := \lambda A : \star . \lambda s : (A \rightarrow A) . \lambda z : A . z$$

$$1_c := \lambda A : \star . \lambda s : (A \rightarrow A) . \lambda z : A . s z$$

$$2_c := \lambda A : \star . \lambda s : (A \rightarrow A) . \lambda z : A . s (s z)$$

...

since there is type in type, a kind of large elimination is possible

$$\lambda n : \mathbb{N}_c . n \star (\lambda - . U) \perp$$

thus  $\neg 1_c =_{\mathbb{N}_c} 0_c$  is provable (in a non trivial way):

$$\lambda pr : (\Pi C : (\mathbb{N}_c \rightarrow \star) . C 1_c \rightarrow C 0_c) . pr (\lambda n : \mathbb{N}_c . n \star (\lambda - . U) \perp) tt \quad : \neg 1_c =_{\mathbb{N}_c}$$

$0_c$

## Properties

### Sub-Contexts are well formed

The following rules are admissible:

$$\begin{array}{c}
\frac{\Gamma, \Gamma' \vdash}{\Gamma \vdash} \\
\\
\frac{\Gamma, \Gamma' \vdash M : \sigma}{\Gamma \vdash} \\
\\
\frac{\Gamma, \Gamma' \vdash M \equiv M' : \sigma}{\Gamma \vdash}
\end{array}$$

by mutual induction on the derivations.

## Weakening

For any derivation of  $\Gamma \vdash \sigma : \star$ , the following rules are admissible:

$$\begin{array}{c}
\frac{\Gamma, \Gamma' \vdash}{\Gamma, x : \sigma, \Gamma' \vdash} \\
\\
\frac{\Gamma, \Gamma' \vdash M : \tau}{\Gamma, x : \sigma, \Gamma' \vdash M : \tau} \\
\\
\frac{\Gamma, \Gamma' \vdash M \equiv M' : \tau}{\Gamma, x : \sigma, \Gamma' \vdash M \equiv M' : \tau}
\end{array}$$

by mutual induction on the derivations.

## Substitution

For any derivation of  $\Gamma \vdash N : \tau$ , the following rules are admissible:

$$\begin{array}{c}
\frac{\Gamma, x : \tau, \Gamma' \vdash}{\Gamma, \Gamma' [x := N] \vdash} \\
\\
\frac{\Gamma, x : \tau, \Gamma' \vdash M : \sigma}{\Gamma, \Gamma' [x := N] \vdash M [x := N] : \sigma [x := N]} \\
\\
\frac{\Gamma, x : \tau, \Gamma' \vdash M \equiv M' : \sigma}{\Gamma, \Gamma' [x := N] \vdash M [x := N] \equiv M' [x := N] : \sigma [x := N]}
\end{array}$$

by induction on the derivations. Specifically, at every usage of  $x$  from the var rule in the original derivation, replace the usage of the var rule with the derivation of  $\Gamma \vdash N : \tau$  weakened to the context of  $\Gamma, \Gamma' [x := N] \vdash N : \tau$ .

## Type Preservation for Definitional Equalities

The following rule is admissible:

$$\frac{\Gamma \vdash M \equiv M' : \sigma}{\Gamma \vdash M : \sigma \quad \Gamma \vdash M' : \sigma}$$

By induction on the Definitional Equality derivation with the help of the substitution lemma.

Explicitly:

- $\Pi$ -C
  - $(\text{fun } f : (x.\tau).x : \sigma.M) N : \tau[x := N]$  by  $\Pi$ -E and the inductive hypotheses
  - $M[x := N] : \tau[x := N]$  by the substitution lemma used on the inductive hypotheses

## Inversion

If  $\Gamma \vdash M : \sigma$

if $M$ is	$\star$	then	$\Gamma \vdash$
if $M$ is	$\Pi x : \sigma'.\tau$	then there exists	$\Gamma \vdash \sigma' : \star \quad \Gamma, x : \sigma' \vdash \tau : \star$
if $M$ is	$M' N$	then there exists	$\Gamma \vdash M' : \Pi x : \sigma'.\tau \quad \Gamma \vdash N : \sigma'$
if $M$ is	$(\text{fun } f : (x.\tau').x : \sigma'.M')$	then there exists	$\Gamma, x : \sigma' \vdash \tau' : \star \quad \Gamma, x : \sigma', f : \Pi x : \sigma'.\tau \vdash M' : \star$

Each case follows from inspection on the typing rules. There are only 2 possibilities, the original typing rule and the conversion rule. The conversion rule must eventually refer to the original typing rule. In any sequence of conversion rules the Definitional Equality is preserved.

## Small Steps are definitionally equal

For any derivation of  $\Diamond \vdash M : \sigma$ , the following rules are admissible:

$$\frac{M \rightsquigarrow M'}{\Diamond \vdash M \equiv M' : \sigma}$$

by induction on the small step rules.

Explicitly:

- $\Pi$ -C-Step since typing is unique up to Definitional Equality,  $\Pi$ -C matches at the correct type
- Id-C-Step since typing is unique up to Definitional Equality  $P \equiv M \equiv N$ , Id-C matches at the correct type
- all other computation steps follow like  $\Pi$ -C-Step
- other step rules follow from induction and the standard Definitional Equalities rules

## Type Preservation for small steps

Type preservation at Definitional Equalities and that small steps match definition equalities establish the preservation of types over small steps.

## Definitional equality Distinguishes Type Constructors (informally)

for all  $\sigma, \tau$  then  $\Diamond \not\vdash \star \equiv \Pi x : \sigma.\tau : \star$

Informally: assume there exists  $\Rightarrow$  such that

$\Gamma \vdash A_1 \equiv A_2 : \sigma$

holds iff

$\Gamma \vdash A : \sigma, A_1 \Rightarrow A$  and  $A_2 \Rightarrow A$

We need to presume that the relation  $\Rightarrow$  is confluent, s.t.  $B \Rightarrow A_1$  and  $B \Rightarrow A_2$  then  $A_1 \Rightarrow C$  and  $A_2 \Rightarrow C$ , so that refl, sym, trans holds (?)

$\Rightarrow$  preserves the outermost type former

- $\Pi x : \sigma.\tau \Rightarrow M$ ,  $M$  is  $\Pi x : \sigma'.\tau'$
- $\star \Rightarrow M$ ,  $M$  is  $\star$

thus  $\Pi x : \sigma.\tau$  and  $\star$  don't share a reduct and  $\Diamond \not\vdash \star \equiv \Pi x : \sigma.\tau : \star$

## Canonical forms lemma

If  $\Diamond \vdash v : \sigma$  then

if  $\sigma$  is  $\star$  then  $v$  is  $\star$  or  $\Pi x : \sigma.\tau$

if  $\sigma$  is  $\Pi x : \sigma'.\tau$  for some  $\sigma', \tau$  then  $v$  is  $\text{fun } f : (x.\tau') . x : \sigma''.P'$  for some  $\tau', \sigma'', P'$

By induction on the typing derivation

- type-in-type,  $\Diamond \vdash v : \sigma$  is  $\Diamond \vdash \star : \star$
- $\Pi$ -F,  $\Diamond \vdash v : \sigma$  is  $\Diamond \vdash \Pi x : \sigma.\tau : \star$
- $\Pi$ -I,  $\Diamond \vdash v : \sigma$  is  $\Diamond \vdash \text{fun } f : (x.\tau) . x : \sigma.M : \Pi x : \sigma.\tau$
- conv,
  - if  $\sigma$  is  $\star$  then eventually, it was typed with type-in-type, or  $\Pi$ -F
  - if  $\sigma$  is  $\Pi x : \sigma'.\tau$  then eventually, it was typed with  $\Pi$ -I
- no other typing rules are applicable

## Progress

$\Diamond \vdash M : \sigma$  implies that  $M$  is a value or there exists  $N$  such that  $M \rightsquigarrow N$ .

By direct induction on the typing derivation with the help of the canonical forms lemma

Explicitly:

- $M$  is typed by the conversion rule, then by induction,  $M$  is a value or there exists  $N$  such that  $M \rightsquigarrow N$
- $M$  cannot be typed by the variable rule in the empty context
- $M$  is typed by type-in-type.  $M$  is  $\star$ , a value
- $M$  is typed by  $\Pi$ -F.  $M$  is  $\Pi x : \sigma. \tau$ , a value
- $M$  is typed by  $\Pi$ -I.  $M$  is  $\text{fun } f : (x.\tau). x : \sigma. M'$ , a value
- $M$  is typed by  $\Pi$ -E.  $M$  is  $P N$  then there exist some  $\sigma, \tau$  for  $\Diamond \vdash P : \Pi x : \sigma. \tau$  and  $\Diamond \vdash N : \sigma$ . By the inductive hypothesis (on the  $P$  branch of the derivation)  $P$  is a value or there exists  $P'$  such that  $P \rightsquigarrow P'$ . By the inductive hypothesis (on the  $N$  branch of the derivation)  $N$  is a value or there exists  $N'$  such that  $N \rightsquigarrow N'$ 
  - if  $P$  is a value then by the canonical forms lemma,  $P$  is  $\text{fun } f : (x.\tau). x : \sigma. P'$  and
    - \* if  $N$  is a value then the one step reduction is  $(\text{fun } f : (x.\tau). x : \sigma. P') N \rightsquigarrow P' [x := N, f := \text{fun } f : (x.\tau). x : \sigma. M]$
    - \* otherwise there exists  $N'$  such that  $N \rightsquigarrow N'$ , and the one step reduction is  $(\text{fun } f : (x.\tau). x : \sigma. P') N \rightsquigarrow (\text{fun } f : (x.\tau). x : \sigma. P') N'$
  - otherwise, there exists  $P'$  such that  $P \rightsquigarrow P'$  and the one step reduction is  $P N \rightsquigarrow P' N$

## Type Soundness

For any well typed term in an empty context, no sequence of small step reductions will cause result in a computation to “get stuck”. Either a final value will be reached or further reductions can be taken. This follows by iterating the progress and preservation lemmas.

## Conjectured Properties

- regularity,  $\Gamma \vdash M : \sigma$  implies  $\Gamma \vdash \sigma : \star$
- $\frac{\Gamma, x:\sigma, \Delta \vdash}{\Gamma \vdash \sigma : \star}$

## Non-Properties

- decidable type checking
- normalization/logical soundness

### Definitional Equality does not preserve type constructors on the nose

If  $\Gamma \vdash \sigma \equiv \sigma' : \star$  then

if  $\sigma$  is  $\Pi x : \sigma''. \tau$  for some  $\sigma'', \tau$  then  $\sigma'$  is  $\Pi x : \sigma'''. \tau'$  for some  $\sigma''', \tau'$

counter example  $\vdash \Pi x : \star. \star \equiv (\lambda x : \star. x)(\Pi x : \star. \star) : \star$

this implies the additional work in the Canonical forms lemma