Computing $\overline{H(S_n, S_{n+1})}$

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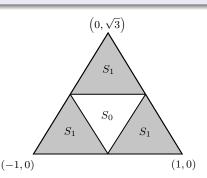
Summary

- 1 The Problem
- 2 The Solution
- 3 Conjecture Results
- 4 Potential Generalizations for Future Research

The Problem

Example 4.5

Let $(\mathbf{F}_0, \mathbf{F}_1, \mathbf{F}_2 : \mathbb{R}^2 \to \mathbb{R}^2)_{i=0}^2$ be the iterated function system given by $\mathbf{F}_i(x) = x_i + \frac{1}{2}(x - x_i)$, where $x_0 = (-1, 0)$, $x_1 = (1, 0)$, and $x_2 = (0, \sqrt{3})$. Define S_0 to be the solid equilateral triangle with vertices x_0, x_1 , and x_2 and $S_k = \mathbf{F}_0[S_{k-1}] \cup \mathbf{F}_1[S_{k-1}] \cup \mathbf{F}_2[S_{k-1}]$ for $k \geq 1$. Estimate, or find an upper bound, for the Hausdorff distance between S_0 and S_1 .



Since $S_1 \subseteq S_0$, it follows that $H(S_0, S_1) = D(S_0, S_1) = \max_{\mathbf{x} \in S_0} (\min_{\mathbf{y} \in S_1} ||\mathbf{x} - \mathbf{y}||_2)$. Because $S_1 \subseteq S_0$, then for any $\mathbf{x} \in S_1$, $\min_{\mathbf{y} \in S_1} ||\mathbf{x} - \mathbf{y}||_2 = 0$, so $H(S_0, S_1) = \sup_{\mathbf{x} \in S_0 \setminus S_1} (\min_{\mathbf{y} \in S_1} ||\mathbf{x} - \mathbf{y}||_2)$. The following lemma will be helpful in calculating $H(S_0, S_1)$.

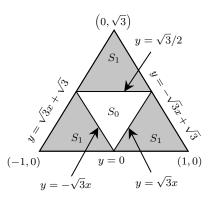
Lemma 4.6

Let $K \in \mathcal{H}(\mathbb{R}^n)$, and $x \in \mathbb{R}^n \backslash K$. If $r : K \to \mathbb{R}$ is given by $r(k) = \|k - x\|_2$, then $\min_{k \in K} r(k) = r(k_0)$ for some $k_0 \in \partial K$, the boundary of K.

Proof.

Because K is compact, then by the Extreme Value Theorem, $\min r(k)$ exists. So, there exists k_0 such that $r(k_0) = \min_{k \in \mathbb{N}} r(k)$ Now suppose for a contradiction that $k_0 \notin \partial K$. Because compact subsets of metric spaces are closed, $K = \text{int} K \cup \partial K$. Thus, $k_0 \in \text{int} K$, so there exists $\gamma > 0$ such that $B_{\gamma}(k_0) \subseteq K$. Now consider the function $f:[0,1] \to \mathbb{R}^n$ given by $f(t) = tx + (1-t)k_0$, the function describing the line segment f([0,1]) between x and k_0 . Because $B_{\gamma}(k_0) \subseteq K$, $r(k_0) \ge \gamma$. Also, since $k_0 \in f([0,1]) \cap B_{\gamma}(k_0)$ and that $f([0,1]) \cap \partial B_{\gamma}(k_0)$ are nonempty and $\{t_0\}\subseteq B_{\gamma}(k_0), \text{ where } \|k-f(t_0)\|=\gamma. \text{ Therefore, there exists } 0\leq t'< t_0$ such that $r(f(t')) = ||f(t') - k_0|| = \epsilon/2 < \epsilon \le r(k_0)$, contradicting the minimality of k_0 . Therefore, $k_0 \in \partial K$.

By Lemma 4.6, $H(S_0, S_1) = \sup_{\mathbf{x} \in S_0 \setminus S_1} (\min_{\mathbf{y} \in \partial S_1} ||\mathbf{x} - \mathbf{y}||)$. Letting $(x, y) \in \partial S_1$, there are six possibilities for \mathbf{y} , which are given in the figure for S_0 and S_1 below.



Claim:
$$H(S_0, S_1) = \frac{\sqrt{3}}{6} < 2^0 = 1$$
.

We focus only on lines L_1 , L_2 , and L_3 given by $y = \sqrt{3}x$, $y = -\sqrt{3}x$, and $y = \sqrt{3}/2$, respectively, as it is clear from the figure that these lines are "closer" to S_0 than the other three lines. We have that

$$\sup \left\{ \min_{\mathbf{y} \in \partial S_1} \|\mathbf{x} - \mathbf{y}\|_2 : \mathbf{x} \in S_0 \right\} = \sup \left\{ \min \bigcup_{\mathbf{y} \in L_1} \|\mathbf{x} - \mathbf{y}\|_2 \cup \bigcup_{\mathbf{y} \in L_3} \|\mathbf{x} - \mathbf{y}\|_2 : \mathbf{x} \in S_0 \setminus S_1 \right\}$$

$$= \sup \left\{ \min \left\{ \min \bigcup_{L_1} \|\mathbf{x} - \mathbf{y}\|, \min \bigcup_{L_2} \|\mathbf{x} - \mathbf{y}\|, \min \bigcup_{L_3} \|\mathbf{x} - \mathbf{y}\| \right\} \right\}$$

$$: \mathbf{x} \in S_0 \setminus S_1 \right\}$$

If $A=\{(0,0),(0,\sqrt{3}/3),(1/2,\sqrt{3}/2)\},\ B=\{(0,0),(0,\sqrt{3}/3),(-1/2,\sqrt{3}/2)\},$ and $C=\{(-1/2,\sqrt{3}/2),(0,\sqrt{3}/3),(1/2,\sqrt{3}/2)\},$ and also letting $A_0=\{(0,0),(1/2,\sqrt{3}/2)\},$

 $B_0 = \{(0,0), (-1/2, \sqrt{3}/2)\}, \text{ and } C_0 = \{(-1/2, \sqrt{3}/2), (1/2, \sqrt{3}/2)\}, \text{ then } S_0 \backslash S_1 = \text{conv} A \backslash \text{conv} A_0 \cup \text{conv} B \backslash \text{conv} B_0 \cup \text{conv} C \backslash \text{conv} C_0. \text{ We now state a lemma needed for the remainder of the solution.}$

Lemma 4.7

Let $\triangle ABC$ be an solid equilateral triangle with vertices A, B, and C in \mathbb{R}^2 , and let M be the centroid of $\triangle ABC$. Then

- (i) If $\mathbf{x} \in \triangle AMB$, then $\min\{d_{\mathbb{R}^2}(\mathbf{x}, \overline{AB}), d_{\mathbb{R}^2}(\mathbf{x}, \overline{BC}), d_{\mathbb{R}^2}(\mathbf{x}, \overline{AC})\} = d_{\mathbb{R}^2}(\mathbf{x}, \overline{AB});$
- (ii) If $\mathbf{x} \in \triangle AMC$, then $\min\{d_{\mathbb{R}^2}(\mathbf{x}, \overline{AB}), d_{\mathbb{R}^2}(\mathbf{x}, \overline{BC}), d_{\mathbb{R}^2}(\mathbf{x}, \overline{AC})\} = d_{\mathbb{R}^2}(\mathbf{x}, \overline{AC});$
- (iii) If $\mathbf{x} \in \triangle BMC$, then $\min\{d_{\mathbb{R}^2}(\mathbf{x}, \overline{AB}), d_{\mathbb{R}^2}(\mathbf{x}, \overline{BC}), d_{\mathbb{R}^2}(\mathbf{x}, \overline{AC})\} = d_{\mathbb{R}^2}(\mathbf{x}, \overline{BC})$.

Fix
$$(x_0, y_0) \in S_0 \backslash S_1$$
, Define $f, g, h : \mathbb{R} \to \mathbb{R}$ by

$$f(x) = \|(x_0, y_0) - (x, \sqrt{3}x)\|$$

= $\sqrt{4x^2 - x(2\sqrt{3}y_0 + 2x_0) + (x_0^2 + y_0^2)},$

$$g(x) = \|(x_0, y_0) - (x, -\sqrt{3}x)\|$$

= $\sqrt{4x^2 + x(2\sqrt{3}y_0 - 2x_0) + (x_0^2 + y_0^2)},$

and

$$h(x) = \|(x_0, y_0) - (x, \sqrt{3}/2)\|$$

= $\sqrt{x^2 + x(-2x_0) + (x_0^2 + y_0^2 - \sqrt{3}y_0 + 3/4)}$.

Then

$$f'(x) = \frac{1}{2f(x)} \cdot (8x - 2\sqrt{3}y_0 - 2x_0),$$

$$g'(x) = \frac{1}{2g(x)} \cdot (8x + 2\sqrt{3}y_0 - 2x_0),$$

$$h'(x) = \frac{1}{2h(x)} \cdot (2x - 2x_0).$$

 $\begin{array}{l} \text{for which the values } x_{f,(x_0,y_0)} = \frac{x_0 + \sqrt{3}y_0}{4}, \ x_{g,(x_0,y_0)} = \frac{x_0 - \sqrt{3}y_0}{4}, \ \text{and} \\ x_{h,(x_0,y_0)} = x_0 \ \text{satisfy} \ f'(x_{f,(x_0,y_0)}) = g'(x_{g,(x_0,y_0)}) = h'(x_{h,(x_0,y_0)}) = 0. \\ \text{Therefore, if } \mathbf{x}_0 = (x_0,y_0), \ \text{then } \min \bigcup_{L_1} \|\mathbf{x}_0 - \mathbf{y}\| = f(x_{f,\mathbf{x}_0}), \ \min \bigcup_{L_2} \|\mathbf{x}_0 - \mathbf{y}\| = g(x_{g,\mathbf{x}_0}), \ \text{and } \min \bigcup_{L_3} \|\mathbf{x}_0 - \mathbf{y}\| = h(x_{h,\mathbf{x}_0}). \end{array}$

Now by Lemma 4.7,

$$D(S_0, S_1) = \sup \bigcup_{\mathbf{x} \in \text{conv} A \setminus \text{conv} A_0} \min \bigcup_{\mathbf{y} \in L_1} \|\mathbf{x} - \mathbf{y}\| \cup \bigcup_{\mathbf{x} \in \text{conv} B \setminus \text{conv} B_0} \min \bigcup_{\mathbf{y} \in L_2} \|\mathbf{x} - \mathbf{y}\| \cup \bigcup_{\mathbf{x} \in \text{conv} C \setminus \text{conv} C_0} \min \bigcup_{\mathbf{y} \in L_3} \|\mathbf{x} - \mathbf{y}\|,$$

and by the stability property of sup and the previous differentiation results from Slide 11,

$$D(S_0, S_1) = \max \{ \sup_{\mathbf{x} \in \text{conv} A \setminus \text{conv} A_0} \int_{\mathbf{x} \in \text{conv} B \setminus \text{conv} B_0} g(x_{g, \mathbf{x}}), \sup_{\mathbf{x} \in \text{conv} C \setminus \text{conv} C_0} \int_{\mathbf{x} \in \text{conv} C \setminus \text{conv} C_0} h(x_{h, \mathbf{x}}) \}.$$

To calculate the suprema, partial differentiation will be used alongside the contraposition of the fact that if a function has an extreme point, then all of its partial derivatives equal 0 at that point. Set $T := \{(\lambda_0, \lambda_1, \lambda_2) \in \mathbb{R}^3 : \lambda_0 + \lambda_1 + \lambda_2 = 1, \lambda_0, \lambda_2 \geq 0, \lambda_1 > 0\}$, the barycentric coordinates of the points in convA\conv A_0 , and define $r : T \to [0, \infty)$ by

$$\begin{split} r(\lambda_0,\lambda_1,\lambda_2) &= f(x_{f,(1/2\lambda_2,\sqrt{3}/3\lambda_1+\sqrt{3}/2\lambda_2)}) \\ &= \frac{1}{2}[3((1/2)\lambda_2)^2 - 2\sqrt{3}(\sqrt{3}/3\lambda_1 + \sqrt{3}/2\lambda_2) \\ &+ (\sqrt{3}/3\lambda_1 + \sqrt{3}/2\lambda_2)^2]^{1/2} \\ &= \frac{\lambda_1}{2\sqrt{3}}. \end{split}$$

This function represents the minimal distance from $\operatorname{conv} A_0$ to a point in $\operatorname{conv} A \setminus \operatorname{conv} A_0$ using its barycentric coordinates with respect to (0,0), $(0,\sqrt{3}/3)$, and $(1/2,\sqrt{3}/2)$.

follows that

We see that $r_{\lambda_0}(\lambda_0, \lambda_1, \lambda_2) = 0 = r_{\lambda_2}(\lambda_0, \lambda_1, \lambda_2)$ and $r_{\lambda_1}(\lambda_0, \lambda_1, \lambda_2) = \frac{1}{2\sqrt{3}}$. Therefore, r has no extreme values, and by setting $f(t) := r(\lambda_0, t, \lambda_2)$ for $0 < t \le 1$, we see $f(t) = t/2\sqrt{3}$. f is clearly increasing, so it

$$\sup_{\mathbf{x} \in \text{conv} A \setminus \text{conv} A_0} f(x_{f,\mathbf{x}}) = f(1) = \frac{1}{2\sqrt{3}} = \frac{\sqrt{3}}{6}.$$

The calculation for $\operatorname{conv} B \setminus \operatorname{conv} B_0$ is similar and also yields $\sqrt{3}/6$, so we only focus on $\operatorname{conv} C \setminus \operatorname{conv} C_0$. Define $s: T \to [0, \infty)$ by

$$s(\lambda_0, \lambda_1, \lambda_2) = h(x_{h,(1/2(\lambda_2 - \lambda_0), \sqrt{3}/2(\lambda_0 + \lambda_2) + \sqrt{3}/3\lambda_1)})$$

$$= \left| \frac{\sqrt{3}}{2} - \left(\frac{\sqrt{3}}{2} (\lambda_0 + \lambda_2) + \frac{\sqrt{3}}{3} \lambda_1 \right) \right|$$

$$= \frac{\sqrt{3}}{2} - \left(\frac{\sqrt{3}}{2} (\lambda_0 + \lambda_2) + \frac{\sqrt{3}}{3} \lambda_1 \right)$$

since

$$\sqrt{3} \cdot 1/2 = \sqrt{3} \cdot (1/2\lambda_0 + 1/2\lambda_1 + 1/2\lambda_2) > \sqrt{3} \cdot (1/2\lambda_0 + 1/3\lambda_1 + 1/2\lambda_2).$$

We have that $s_{\lambda_0}(\lambda_0, \lambda_1, \lambda_2) = -\sqrt{3}/2 = s_{\lambda_2}(\lambda_0, \lambda_1, \lambda_2)$ and $s_{\lambda_1}(\lambda_0, \lambda_1, \lambda_2) = \sqrt{3}/3$, meaning there are no extreme values. Thus, we must check the boundary of conv*C*. We'll first look at the case where $\lambda_0 = 0$. Then setting

$$s_0(\lambda_1, \lambda_2) := s(0, \lambda_1, \lambda_2) = \frac{\sqrt{3}}{2} - \frac{\sqrt{3}}{2}\lambda_2 - \frac{\sqrt{3}}{3}\lambda_1$$

for which $s_{0_{\lambda_1}}(\lambda_1, \lambda_2) = -\sqrt{3}/3$ and $s_{0_{\lambda_2}}(\lambda_1, \lambda_2) = -\sqrt{3}/2$. Again, s_0 has no extreme values, meaning we must check the boundary of $\operatorname{conv}\{(0, \sqrt{3}/3), (1/2, \sqrt{3}/2)\}$.

But these are simply the points $(0, \sqrt{3}/3)$ and $(1/2, \sqrt{3}/2)$, which correspond to $\lambda_1 = 1$ with $\lambda_0 = \lambda_2 = 0$ or $\lambda_2 = 1$ with $\lambda_0 = \lambda_1 = 0$. The latter case yields $s(0,0,1) = \sqrt{3}/2 - \sqrt{3}/2 = 0$, while the first yields $s(0,1,0) = \sqrt{3}/2 - \sqrt{3}/3 = \sqrt{3}/6$.

The other two cases where $\lambda_1 = 0$ or $\lambda_2 = 0$ to obtain the desired maximum value of s are similar and $\sqrt{3}/6$ is obtained in both cases. Therefore,

$$H(S_0, S_1) = \max{\{\sqrt{3}/6, \sqrt{3}/6, \sqrt{3}/6\}} = \sqrt{3}/6.$$

Conjecture Results

Due to the work in Example 4.5 along with a result, to be proven, involving the Hutchinson operator, we can draw definitive conclusions of the following conjectures:

Conjecture 4.9

For any $n \in \mathbb{Z}_{\geq 0}$, $0 < H(S_n, S_{n+1}) \leq 2^{-n}$ and $0 < H(\mathbf{F}_i[S_n], \mathbf{F}_i[S_{n+1}]) \leq 2^{-(n+1)}$ for i = 0, 1, or 2. **TRUE!**

Conjecture 4.11

 $H(S_n, \mathbb{S}) \leq 2^{-n+1}$ for every $n \in \mathbb{N}$. TRUE!

Conjecture 4.12

For any $n \in \mathbb{Z}_{\geq 0}$, $H(\mathbf{F}_i[S_n], \mathbf{F}_i[S_{n+1}]) = 2^{-(n+1)}$ and $H(S_n, S_{n+1}) = 2^{-n}$ for i = 0, 1, or 2. **FALSE!**

The Hutchinson Operator

The Hutchinson Operator is a Contraction Mapping

Let (\mathbb{X},d) be a metric space and $(f_1,f_2,\ldots,f_n:\mathbb{X}\to\mathbb{X})$ be an iterated function system of contracting similarities on \mathbb{X} with ratios $r_1,r_2,\ldots,r_n<1$, respectively. Define $r:=\max\{r_1,r_2,\ldots,r_n\}$. Then if $F:\mathcal{H}(\mathbb{X})\to\mathcal{H}(\mathbb{X})$ is defined by

$$F(A) = \bigcup_{i=1}^{n} f_i[A],$$

then F is a contracting similarity on $\mathcal{H}(\mathbb{X})$ with ratio r.

The Hutchinson Operator Pt. 2

Proof.

The argument that follows is based from Gerald Edgar's Measure, Topology, and Fractal Geometry. Let $A, B \in \mathcal{H}(\mathbb{X})$ and suppose that $q \in \mathbb{R}$ such that $D_{\mathbb{X}}(A,B) < q$. Then $A \subseteq N_q(B)$ and $B \subseteq N_q(A)$. Now let $x \in$ F(A). We want to show that $x \in N_{ra}(F(B))$. We have that $f_i(x') = x$ for some $1 \le i \le n$ and $x' \in A$. Since $A \subseteq N_q(B)$, there exists $y' \in B$ such that d(x', y') < q. Hence, $d(f_i(x'), f_i(y')) = r_i d(x', y') < r_i q \le rq$. Since $f_i(x') = x$ and $f_i(y') \in F(B)$, it follows that $F(A) \subseteq N_{ra}(F(B))$. A similar proof shows that $F(B) \subseteq N_{rq}(F(A))$. Therefore, $D_{\mathbb{X}}(F(A), F(B)) \leq$ rq. And since q is any upper bound for $\{x \in \mathbb{R} : x \leq D_{\mathbb{X}}(A,B)\}$ and that $\sup\{x \in \mathbb{R} : x \leq D_{\mathbb{X}}(A,B)\} = D_{\mathbb{X}}(A,B)$, it follows that $D_{\mathbb{X}}(F(A), F(B)) \leq rD_{\mathbb{X}}(A, B)$. Hence, F is a contracting similarity on $\mathcal{H}(\mathbb{X})$ with ratio r.

Proposition 4.9

For any
$$n \in \mathbb{Z}_{\geq 0}$$
, $0 < H(S_n, S_{n+1}) \leq 2^{-n} \cdot \frac{\sqrt{3}}{6}$ and $0 < H(\mathbf{F}_i[S_n], \mathbf{F}_i[S_{n+1}]) \leq 2^{-(n+1)} \cdot \frac{\sqrt{3}}{6}$ for $i = 0, 1$, or 2.

Proof.

We proceed via induction. For n=0, we proved in Example 4.5 that $H(S_0,S_1)=\sqrt{3}/6$. Now suppose that for some integer $k\geq 0$, $H(S_k,S_{k+1})\leq 2^{-k}\cdot\sqrt{3}/6$. Define $F:\mathscr{H}(\mathbb{R}^2)\to\mathscr{H}(\mathbb{R}^2)$ by $F(A)=\mathbf{F}_0[A]\cup\mathbf{F}_1[A]\cup\mathbf{F}_2[A]$, a union of contracting similarities on \mathbb{R}^2 . Since the Hutchinson operator is a contraction mapping,

Proof. (Pt. 2)

$$H(S_{k+1}, S_{k+2}) = H(\mathbf{F}_0[S_k] \cup \mathbf{F}_1[S_k] \cup \mathbf{F}_2[S_k], \mathbf{F}_0[S_{k+1}] \cup \mathbf{F}_1[S_{k+1}]$$

$$\cup \mathbf{F}_2[S_{k+1}])$$

$$= H(F(S_k), F(S_{k+1}))$$

$$\leq \frac{1}{2} H(S_k, S_{k+1})$$

$$\leq \frac{1}{2} \cdot 2^{-k} \cdot \frac{\sqrt{3}}{6} = 2^{-(k+1)} \cdot \frac{\sqrt{3}}{6}.$$

To prove the second inequality, define $G: \mathcal{H}(\mathbb{R}^2) \to \mathcal{H}(\mathbb{R}^2)$ by $G(A) = \mathbf{F}_i[A]$. Then by the previous inequality,

Proof. (Pt. 3)

$$H(\mathbf{F}_{i}[S_{n}], \mathbf{F}_{i}[S_{n+1}]) = H(G(S_{n}), G(S_{n+1}))$$

$$\leq \frac{1}{2}H(S_{n}, S_{n+1})$$

$$\leq \frac{1}{2} \cdot 2^{-n} \cdot \sqrt{3}/6$$

$$= 2^{-(n+1)} \cdot \sqrt{3}/6.$$

Hence, $H(\mathbf{F}_i[S_n], \mathbf{F}_i[S_{n+1}]) \le 2^{-(n+1)} \cdot \sqrt{3}/6$.

The Collage Theorem

Let (\mathbb{X}, d) be a complete metric space. Let $L \in \mathcal{H}(\mathbb{X})$ be given, and let $\epsilon \geq 0$ be given. Consider an IFS $(f_0, f_1, \dots f_n : \mathbb{X} \to \mathbb{X})$ of contracting similarities with ratio $0 \leq r < 1$. If

$$H\left(L, \bigcup_{i=0}^{n} f_i[L]\right) \le \epsilon,\tag{1}$$

then

$$H(L,A) \leq \frac{\epsilon}{1-s}$$

where A is the unique attractor of $(f_0, f_1, \dots f_n : \mathbb{X} \to \mathbb{X})$.

Corollary 4.11

$$H(S_n, \mathbb{S}) \leq 2^{-n+1} \cdot \sqrt{3}/6$$
 for every $n \in \mathbb{N}$.

Proof.

By Proposition 4.9, for every $n \in \mathbb{N}$, $H(S_n, S_{n+1}) \leq 2^{-n} \cdot \sqrt{3}/6$. Since $\mathbf{F}_0[S_n] \cup \mathbf{F}_1[S_n] \cup \mathbf{F}_2[S_n] = S_{n+1}$, then by the Collage Theorem,

$$H(S_n, \mathbb{S}) \le \frac{2^{-n} \cdot \sqrt{3}/6}{1 - 1/2} = 2^{-n+1} \cdot \sqrt{3}/6.$$

Corollary 4.11

For any $n \in \mathbb{Z}_{\geq 0}$, $H(\mathbf{F}_i[S_n], \mathbf{F}_i[S_{n+1}]) = 2^{-(n+1)}$ and $H(S_n, S_{n+1}) = 2^{-n}$ for i = 0, 1, or 2. **FALSE!**

Example 4.5 clearly indicated for n=0 that $H(S_0,S_1)=\sqrt{3}/6<2^0=1$. Likewise, it follows by Proposition 4.9 that $H(\mathbf{F}_i[S_0],\mathbf{F}_i[S_1])=\sqrt{3}/12<1/2$.

Possible Generalizations?

Possibly. There is a class of fractals called n-flakes, which are simply fractals constructed by applying iterated function systems of similitudes to regular n-gons. The Sierpinski Gasket is an example of a 3-flake since its initiator is an equilateral triangle, or regular 3-gon. Another example is the 5-flake, constructed by starting with a pentagon as the initiator. Using an IFS with 5 dilations centered at the extreme points of the pentagon, we obtain the following below.



Figure 1: The 5-flake. [3]

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