

# On Solving the Multiple Variable Gapped Longest Common Subsequence Problem

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# Outline

- Introduction
- Problem Definition
- Rooted graph state space
- Iterative multi-source Beam Search
- Experimental Evaluation: General & special problem
- Conclusions

# Introduction

- Objects we deal with: sequences (strings) over finite alphabet  $\Sigma$ 
  - DNA/RNA over  $\{A, T, G, C/U\}$
  - Proteins over 20 (canonical) amino acids:  $\{A, C, D, E, P, Q, \dots\}$
- **One of central tasks in computational biology:**
  - sequence comparison, finding common motifs between sequences
  - compare structurally but also semantically/functionality
  - sequence alignment problems
- **Subsequences:** reveal structural similarities → **Longest common subsequence problem** variants (studied 50 years already)

# Longest common subsequence problem (LCSP)

## Definition (LCSP)

**Input:** Given an arbitrary set of sequences  $S = \{s_1, \dots, s_m\}$

**Task:** Find a subsequence  $s$  **common** for all sequences from  $S$  with **maximum** possible length ( $|s|$ ).

## Example

Input:  $S = \{\text{AATTGC}, \text{ATTAC}\}$

LCS solution:  $s = \text{ATTC}$

- Basic problem in computational biology, well-solved theoretically and practically

# LCS: Literature & Problem Variants

- When  $m = 2$  – polynomially solvable (in  $O(n^2)$ ): **Dynamic programming**, Hunt-Szymanski, Hirschberg, ...
- When  $m$  arbitrary large –  $\mathcal{NP}$ -hard:
  - subject of interest within last 30 years: approximation approaches, meta-heuristics (ACO, **Beam search**, ...), but also exact approaches ( $A^*$ , anytime approaches, DAG-based, ...)

## Problem-related practical variants:

- Arc-annotated LCS problem
- Constrained, Repetition-free, filled LCS problem, ...
- **Gapped LCS problem**

# The gapped LCS problem

## Definition (A gap sequence)

Given is a sequence  $s$  and an assigned function  $G_s: \{1, \dots, |s|\} \mapsto \mathbb{N}$ . A pair  $(s, G_s)$  is called a **sequence with gaps**.

## Definition (A gapped subsequence)

Sequence  $\tilde{s}$  is a **gapped subsequence** of  $(s, G_s)$  iff

- $\tilde{s}$  is a subsequence of  $s$
- the gap constraint  $G_s$  is fulfilled w.r.t. *positions of appearances* of letters of  $\tilde{s}$  in  $s$ 
  - suppose  $i_1, \dots, i_{|\tilde{s}|}$  are **positions of embedding**  $\tilde{s}$  in  $s$
  - $(\forall j = 2, \dots, |\tilde{s}|) i_j - i_{j-1} \leq G_s(i_j) + 1$

# Problem definition

## Example

$s = \text{AATTGC}$ ,  $G_s(\cdot) = 1$

- $\tilde{s} = \text{ATG}$ , the embedding: **AATTGC** (valid gapped subsequence)
- $\tilde{s} = \text{ATG}$ , the embedding: **AATTGC** (invalid gapped subsequence)

## Definition (The multiple (variable) gapped LCS problem – MVGLCSP)

**Input:** Given is a set of gapped sequences  $\{(s_1, G_{s_1}), \dots, (s_m, G_{s_m})\}$ .

**Task:** Find the longest subsequence  $\tilde{s}$  so that

- $\tilde{s}$  is common subsequence of each  $s_i$
- $\tilde{s}$  fulfills all gap constraints  $G_{s_i}$  ( $i = 1, \dots, m$ )

**Note:** when  $G_{s_i} = n$  (the length of longest sequence)  $\Rightarrow$  VGLCSP = LCSP.

# Literature & Motivation for VGLCSP

- Peng and Yang (2012, 2014): studied the  $m = 2$  (poly) version by **three dynamic programming** approaches (basic one, two advanced involving complex data-structures to speed up)
- Manea et al. (2024): Complexity bounds, (parameterised) complexity analysis investigated
- **NP-hard** under arbitrary large  $m$

## Motivation:

- **Genetics and molecular biology**: applications in DNA/protein analysis where variable structural distances between residues must be respected
- **Time-series analysis**: in settings where events are required to occur within specified temporal delays (Lainscsek et al. (2015))



# Methodology

- Based on the significant extension of the state space graph formulation for LCS problem (Djukanovic et al. (2020))
- **Gap constraints:** incorporated to cut-off invalid extensions (edges) among the LCS extensions immediately
- Many root/source nodes in the state graph, generally separated subgraphs (the leading letter in a common subsequence free)

# Root-based state graph formulation: rough idea

- Each **state**  $v = (p^L, l^v)$ : one or more feasible partial solutions where
  - a vector of positions  $p^L$  refer to the positions of suffixes of input sequences relevant to further expand these sols
  - the length of current partial solution  $l^v$
- **Expansion** of  $v$ : extend (concatenate) partial solutions feasibly (and non-dominantly) by one letter in all possible ways, respecting gap constraints
- **Non-expandable nodes**: complete solutions;

**Decision: select appropriate (match)  $p^L$  for start**  $\Rightarrow$  possibly (exponentially) many root nodes

Space( $p^L$ ): root-based state (sub) graph induced by node  $(p^L, 0)$ .

# Root-based state graph formulation: example with the match $r = (1, 1)$ (obviously a valid root node)

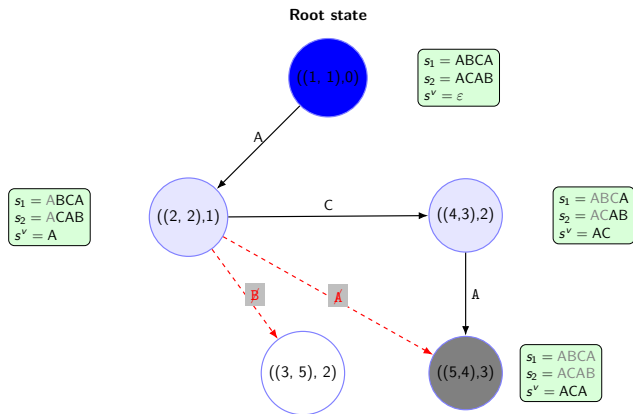


Figure: State space graph  $\text{Space}(r = ((1, 1), 0))$  for MVGLCSP between the sequences  $\boxed{A}BCA$  and  $\boxed{A}CAB$ , assuming  $G_1 = G_2 = 1$ .

# An issue with the root-state-space formulation: example

## Example

$S = \{s_1 = \text{ATGG}\boxed{\text{A}}\text{AA}, s_2 = \text{ATCC}\boxed{\text{A}}\text{AA}\}$ , with gap constraints  $G_{s_1} = G_{s_2} = 1$ . In this instance, any state with position vector  $\mathbf{p}^L = (5, 5)$  **cannot be reached** from the initial state  $((1, 1), 0)$  by standard direct transitions.

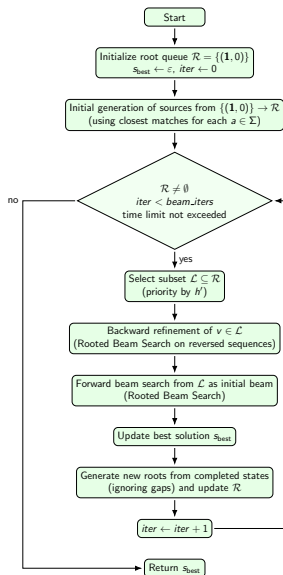
**Consequence:**  $((5, 5), 0) \notin \text{Space}((1, 1)) \Rightarrow$  The optimal common subsequence AAA is unreachable

**How to fix it?**

# Iterative multi-source beam search (IMSBS) strategy

- Explicitly enumerating all root states computationally prohibitive ( $O(n^m)$  time)
- **IMSBS** (rough idea):
  - Exploring  $\text{Space}(r)$ : **beam search** — limited breadth-first-search (BFS) strategy; **parameter**  $\beta$  controls the number of nodes to be further pursued
  - Dynamically explore multiple promising regions ( $\text{Space}(\cdot)$ ) of the state space
  - **Iteratively identify** a set of **promising** candidate root states

# Workflow of the IMSBS



# Heuristic guidances in BS

Three LCS heuristic guidances used:

- “Look-ahead” for the remaining sequence length:  $UB_1(v)$
- *Character Frequency Alignment* score:  $UB_2$ 
  - sum up the maximum possible occurrences of each letters in subsequences
- *A probability-based heuristic* guidance:  $h_{prob}$  ( the pre-processed matrices of probabilities (Mousavi and Tabataba (2012)))

# Experimental Evaluation: arbitrary large $m$ -case

- BS: a baseline beam search approach, allowing only a single iteration of IMSBS (utilizing a huge  $\beta$ )
- IMSBS-GREEDY: a variant of IMSBS with a fixed beam-width  $\beta = 1$  for the forward BS, performing a larger number of beam search (impact of iterations on the overall performance of IMSBS)
- IMSBS: a tuned version; configured to use an average runtime comparable to that of BS



# Benchmark set RANDOM

For each combination of instance parameters

- $n \in \{50, 100, 200, 500\}$
- $m \in \{2, 3, 5, 10\}$
- $|\Sigma| \in \{2, 4\}$

10 random problem instances are generated (sequences uniformly at random).

The gap constraints generated from  
 $G_s(\cdot) \in \mathcal{U}(\{\lfloor 0.5 \cdot |\Sigma| \rfloor, \dots, \lfloor 1.5 \cdot |\Sigma| \rfloor\})$ .

$\implies$  A total of **320 problem instances** is generated.

# Parameter tuning of IMSBS

We fixed (less sensible) params:

- BS (backward):  $\beta' = 10$ , and  $UB_2$  (efficient)
- Candidate root nodes from  $\mathcal{R}$  ordered by  $UB_2$  (decreasingly)
- At each iteration, **10 best nodes** taken from  $\mathcal{R}$  as the initial beam

**Tuned parameters:**

- $\beta$  (in BS-forward)
- Heuristic guidance in BS (forward)
  - $\{UB_1, UB_2, h_{prob}\}$

# Bs: influence of different $\beta$ and heuristic guidances

TODO: maybe to remove the plot

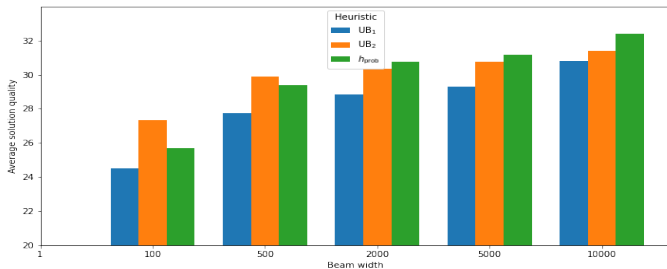
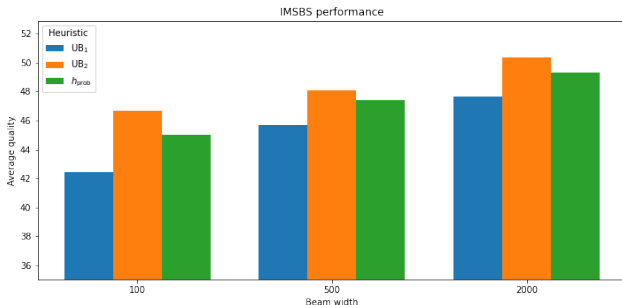


Figure: Avg. quality over all instances from the RANDOM benchmark suite.

(Baseline) BS  $\Rightarrow \beta = 10,000$  and  $h = h_{\text{prob}}$

# Parameter tuning of IMSBS



Avg. quality for different IMSBS settings over all instances from the RANDOM benchmark suite.

IMSBS  $\Rightarrow h = UB_2$  and  $\beta = 500$ , and *beam\_iter* = 100.

IMSBS-GREEDY:  $\beta = 1$ , *beam\_iter* = 10,000 (comparable/slightly larger avg. runtime to that of IMSBS)

# Numerical results

Inst.			BS		IMSBS-GREEDY		IMSBS	
$m$	$n$	$ \Sigma $	$\overline{obj}$	$\overline{T[s]}$	$\overline{obj}$	$\overline{T[s]}$	$\overline{obj}$	$\overline{T[s]}$
2	50	2	33.6	0.02	33.1	0.00	<b>37.7</b>	0.06
2	50	4	30.1	0.98	27.7	0.00	<b>30.1</b>	0.16
2	100	2	48.9	2.07	64.5	0.01	<b>72.8</b>	0.94
2	100	4	<b>62.1</b>	11.19	56.9	0.01	61.6	0.91
2	200	2	99.1	18.56	95.5	0.02	<b>136.4</b>	6.21
2	200	4	120.5	38.15	116.1	0.05	<b>124.9</b>	6.58
2	500	2	65.3	23.27	153.6	0.07	<b>265.7</b>	119.75
2	500	4	214.6	163.69	227.7	0.12	<b>294.4</b>	60.49
3	50	2	17.5	0.03	27.2	0.00	<b>31.2</b>	0.14
3	50	4	21.7	0.18	21.5	0.00	<b>22.9</b>	0.19
3	100	2	19.7	0.06	41.8	0.01	<b>58.5</b>	3.15
3	100	4	34.1	2.35	43.4	0.03	<b>48.4</b>	5.58
3	200	2	15.2	0.16	63.6	0.02	<b>90.0</b>	22.48
3	200	4	85.3	23.45	77.2	0.08	<b>97.1</b>	72.25
3	500	2	12.6	0.10	69.9	0.07	<b>102.9</b>	53.56
3	500	4	90.7	86.35	104.2	0.29	<b>187.7</b>	412.27
5	50	2	4.8	0.00	14.9	0.00	<b>20.0</b>	0.36
5	50	4	8.9	0.01	13.6	0.06	<b>15.3</b>	0.79
5	100	2	6.3	0.01	17.7	0.01	<b>22.4</b>	0.57
5	100	4	5.3	0.01	<b>23.2</b>	10.85	22.1	1.44
5	200	2	5.3	0.01	21.6	0.03	<b>26.6</b>	1.07
5	200	4	6.4	0.02	<b>32.5</b>	604.11	25.7	2.10
5	500	2	5.9	0.10	25.5	0.14	<b>27.9</b>	3.22
5	500	4	6.8	0.10	<b>43.6</b>	1341.25	26.9	3.52
10	50	2	1.7	0.00	8.8	2.28	<b>9.1</b>	0.47
10	50	4	1.9	0.00	<b>7.0</b>	508.36	6.1	1.46
10	100	2	1.1	0.00	<b>14.0</b>	1421.10	8.6	0.54
10	100	4	2.2	0.01	<b>8.9</b>	1800.45	6.3	1.51
10	200	2	2.5	0.01	<b>13.2</b>	1710.49	10.3	0.77
10	200	4	2.2	0.02	<b>7.9</b>	1800.54	6.1	1.74
10	500	2	1.8	0.08	<b>13.8</b>	1611.70	9.5	1.53
10	500	4	1.9	0.09	<b>8.2</b>	1800.46	6.1	2.37
Avg.			32.38	10.97	46.82	394.14	<b>59.73</b>	24.63

## Numerical results for $m = 2$ case

- DP-1: the basic dynamic programming ( $O(n^2 m^2)$ )
- DP-2: an advanced dynamic programming, uses Incremental Suffix Maximum Queries (ISMQ) with Co1 and All matrices for acceleration ( $O(n^2 + mn)$ )
- DP-3: an enhanced dynamic programming that handles ISMQ with a *dequeue* data structure (slightly modified w.r.t. the literature)
- ILP: an integer linear programming, **proposed in this work**, motivated by the ILP model for LCSP with  $m = 2$

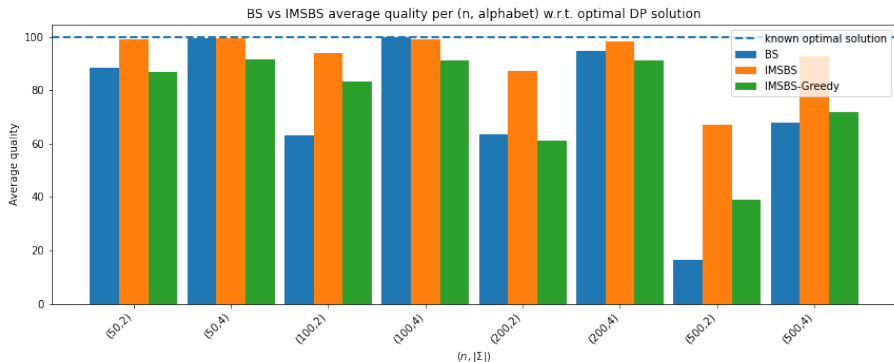
The first known empirical comparison for the  $m = 2$  (80 instances).

# Experimental evaluation

**Table:** Results on the RANDOM benchmark set for  $m = 2$ : the exact approaches from the literature.

Inst.			DP-1		DP-2		DP-3		ILP	
$m$	$n$	$ \Sigma $	$\overline{obj}$	$\bar{t}[s]$	$\overline{obj}$	$\bar{t}[s]$	$\overline{obj}$	$\bar{t}[s]$	$\overline{obj}$	$\bar{t}[s]$
2	50	2	38.1	0.01	38.1	<b>0.01</b>	38.1	0.01	38.1	168.3
2	50	4	30.3	<b>0.01</b>	30.3	0.02	30.3	0.02	30.3	28.0
2	100	2	77.4	0.1	77.4	<b>0.03</b>	77.4	0.05	–	–
2	100	4	62.3	0.07	62.3	<b>0.06</b>	62.3	0.09	0.00	1800.0
2	200	2	156.4	0.75	156.4	<b>0.13</b>	156.4	0.16	–	–
2	200	4	127.2	0.59	127.2	<b>0.25</b>	127.2	0.32	–	–
2	500	2	395.9	13.57	395.9	<b>0.84</b>	395.9	1.05	–	–
2	500	4	317.2	10.18	317.2	<b>1.70</b>	317.2	2.1	–	–

# The $m = 2$ case: heuristic performance vs. optimal solution



Relative solution quality achieved by the heuristic approaches compared to the optimal solutions.



# Conclusions and Future Work

- Proposed a **general heuristic framework** IMSBS to solve the multiple VGLCS problem
- Combines Beam search calls (backward-and-forward manner) in an **iterative way** while producing promising source nodes for further BS iterations
  - Balancing intensification and diversification
- Empirical studies conducted for the first time on the **synthetic instances**: IMSBS wins over the baseline Beam search

## Future work:

- **Real-world** instance-case scenario
- Lack of more advanced heuristic guidance: **Data-driven/ML heuristic** involving various local and global features (NN-based)

**Thank you for your attention!**