

### 3 Section 5.4: Graph Coloring

**Definition 3.1.** Let  $G$  be a graph.

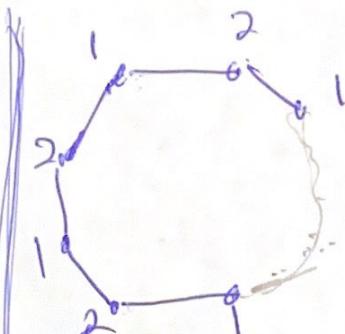
- A **coloring** of  $G$  is a function from the vertex set of  $G$  to a set of elements  $\mathcal{C}$ . (Usually we set  $\mathcal{C}$  to be the set of integers from 1 to  $n$ , so the coloring looks like  $\phi : V(G) \rightarrow \{1, 2, \dots, n\}.$ )
- A **proper coloring** of  $G$  is a coloring of  $G$  where any two adjacent vertices have to have different colors. That is, if  $x, y \in V(G)$ , then  $x$  adjacent to  $y$  implies  $\phi(x) \neq \phi(y)$ . We say that  $G$  is  $k$ -**colorable** if it has a proper coloring  $\phi : V(G) \rightarrow \mathcal{C}$  where  $\mathcal{C}$  has size  $k$ .
- The **chromatic number**  $\chi(G)$  of  $G$  is the smallest integer  $k$  for which  $G$  is  $k$ -colorable.

**Exercise 3.2.** The graph in Figure 1 is 5-colorable. Is it 4-colorable? How about 3-colorable?

Yes, let's go to the two vertices with color 5, and replace with one of the four other colors.

Remark 3.3. The number of vertices in  $G$  does not impose a constraint on how high the chromatic number  $\chi(G)$  must be. Neither does the degree of a vertex.

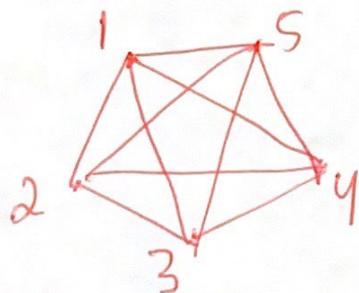
In  $n$  vertices,  
no edges  
that has  
chromatic number  
is 1



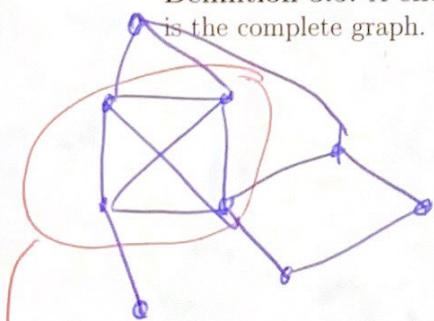
If  $n$  is even,  
we can have our  
graph be a cycle of  
length  $n$ , chromatic number 2

Remark 3.4. The chromatic number can get arbitrarily high. That is, for any positive integer  $n$  we can find a graph  $G$  whose chromatic number is  $n$ .

$K_n$ , complete graph on  $n$  vertices,  
has chromatic number  $n$



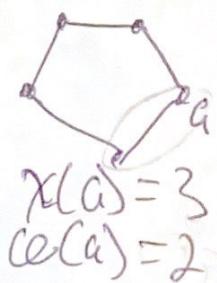
Definition 3.5. A clique of a graph  $G$  is a subset of the vertices whose induced subgraph is the complete graph. The clique number  $\omega(G)$  is the size of the largest clique in  $G$ .



This graph contains  $K_4$  as a subgraph a.k.a. it has a clique of size 4

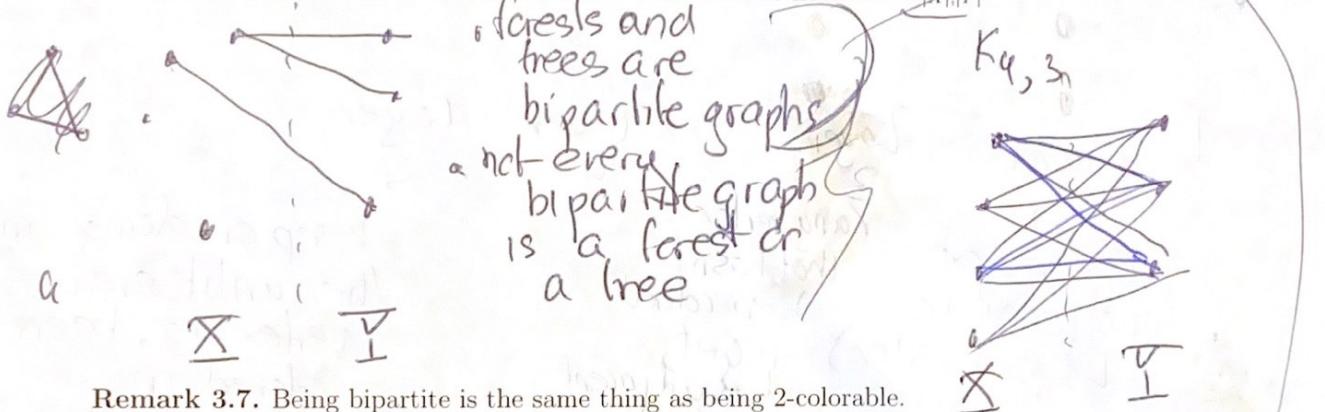
Upper bound for  $\chi(G)$   
 $\omega(G) \leq \chi(G)$   
can sometimes have  
 $\omega(G) < \chi(G) \rightarrow$

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Definition 3.6.

- A graph is **bipartite** if its vertex set  $V(G)$  can be partitioned into two subsets  $X$  and  $Y$ , such that every edge of  $G$  has one endpoint in  $X$  and the other endpoint in  $Y$ .
- A **complete bipartite graph** is a bipartite graph that includes all possible edges between vertices in  $X$  and vertices in  $Y$ . We use the notation  $K_{|X||Y|}$ .



Remark 3.7. Being bipartite is the same thing as being 2-colorable.

give all vertices in  $X$  the color 1 and  
all the vertices in  $Y$  the color 2  
bipartite  $\Rightarrow$  2-colorable

2-colorable  $\Rightarrow$  bipartite  
set  $X$  to be the set of all vertices with color 1.  
set  $Y$  to be the set of all vertices with color 2

Theorem 3.8. A graph is bipartite if and only if it does not contain an odd cycle.

bipartite  $\Rightarrow$  no odd cycle

Show that if there is an odd cycle

then it can't be bipartite. Use Remark 3.7

we saw previously that an odd cycle  
is not 2-colorable so by Remark 3.7, it also  
can't be bipartite.

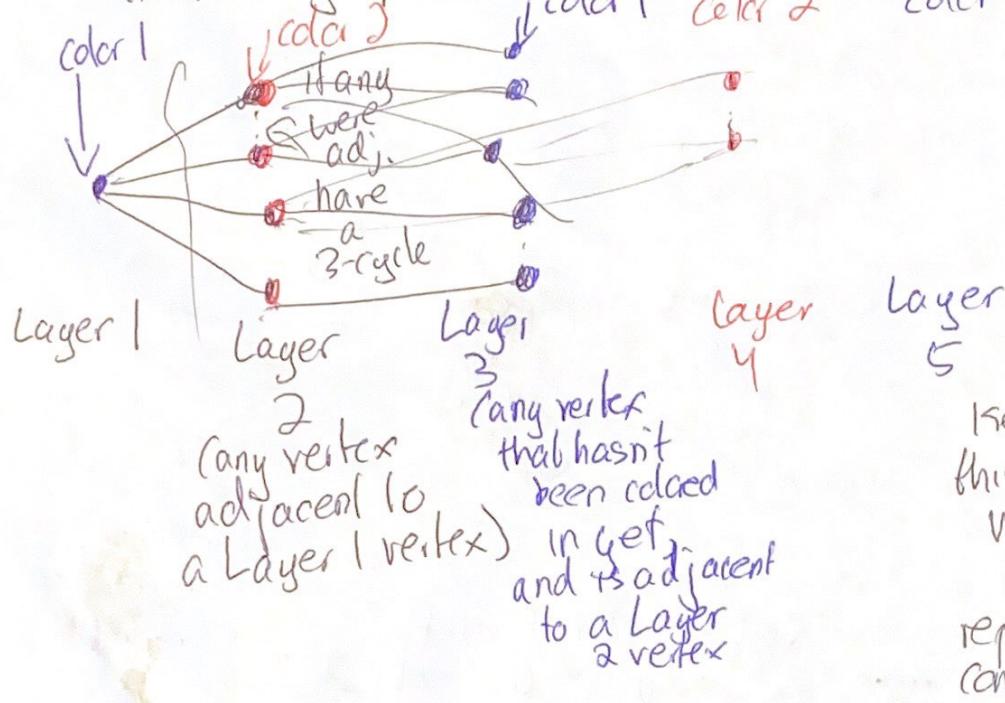
because  
trees and  
forests  
don't have  
any cycles  
at all

~~no odd cycle~~  $\Rightarrow$  bipartite

(no odd cycles)  $\Rightarrow$  bipartite

Use the fact that 2-colorable and bipartite.

Given a graph with no odd cycles, give it a proper 2-coloring



keep on doing in comp,  
this until every  
vertex has been  
colored in.  
repeat on other  
components

an adjacency matrix,  
way of storing  
into a cut  
a graph in  
matrix form

	1	2	3	4	5	6	7	8	9	10
1	0	1	0	1	1	0	1	0	0	0
2	1	0	0	1	1	0	0	0	0	1
3	0	0	0	0	0	1	0	1	1	0
4	1	1	0	0	1	0	0	0	0	0
5	1	1	0	1	0	0	0	0	1	0
6	0	0	1	0	0	0	1	0	0	1
7	1	0	0	0	0	1	0	1	0	0
8	0	0	1	0	0	0	1	0	0	0
9	0	0	1	0	1	0	0	0	0	0
10	0	1	0	0	0	1	0	0	0	0

Figure 2

chemical 5  
can't be stored  
with  
chemical 9  
chemical 7 and  
chemical 9 can  
be stored together

Exercise 3.9 (Exercise 5.15 from textbook). A pharmaceutical manufacturer is building a new warehouse to store its supply of 10 chemicals it uses in production. However, some of the chemicals cannot be stored in the same room due to undesirable reactions that will occur. The matrix in Figure 2 has a 1 in position  $(i, j)$  if and only if chemical  $i$  and chemical  $j$  cannot be stored in the same room. Develop an appropriate graph theoretic model and determine the smallest number of rooms into which they can divide their warehouse so that they can safely store all chemicals in the warehouse.

chemicals  $\Rightarrow$  vertices

2 chemicals  $\Rightarrow$  there is an edge  
can't be stored together between those vertices

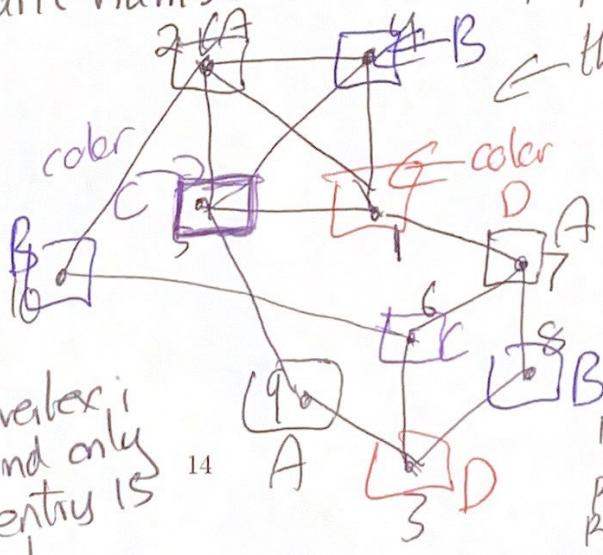
rooms  $\Rightarrow$  colors

find chromatic number  $k$  and a proper  $k$ -coloring

color A  
color B  
color C  
color D

tells us  
how to  
construct  
the graph

put down 10 vertices;  
put an edge between vertex  $i$   
and vertex  $j$  if and only  
 $(i, j)$ th entry is 1



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this is the  
graph of  
the above  
adjacency  
matrix

Rooms to  
store chemicals

Room A: 2, 7, 9

Room B: 4, 8, 10

Room C: 5, 6, 10

Room D: 1, 3

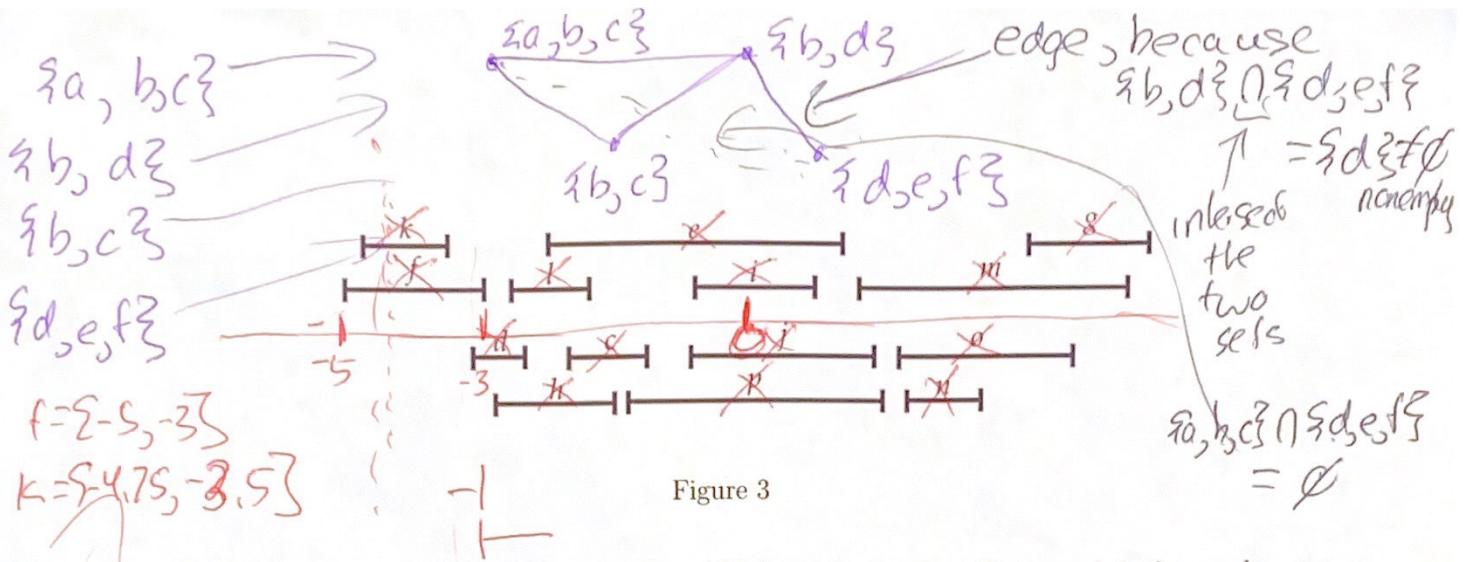
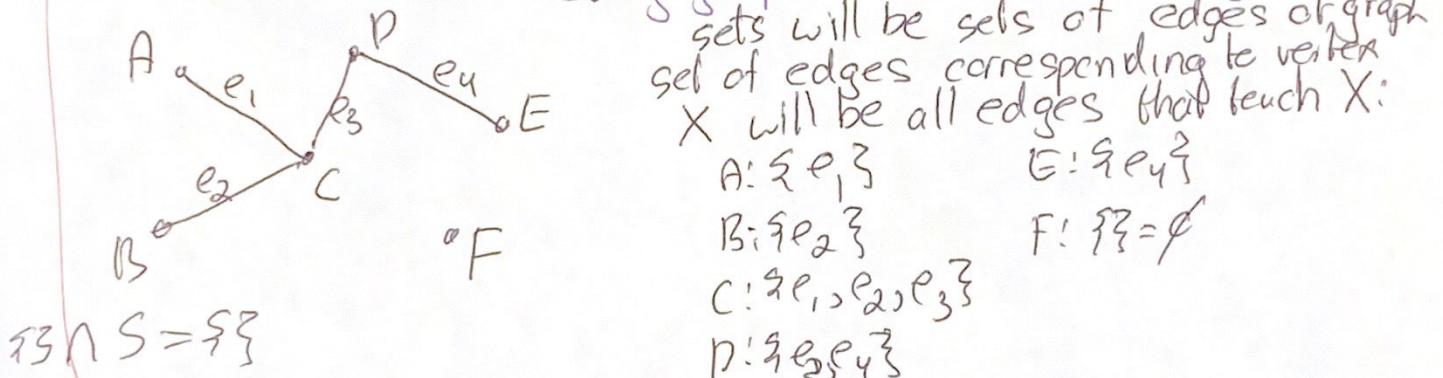


Figure 3

**Definition 3.10.** If we have a family of sets  $\{S_\alpha\}_{\alpha \in I}$ , the **intersection graph** is the graph where each vertex corresponds to a set  $S_\alpha$ , and two vertices are adjacent if and only if their intersection is nonempty.

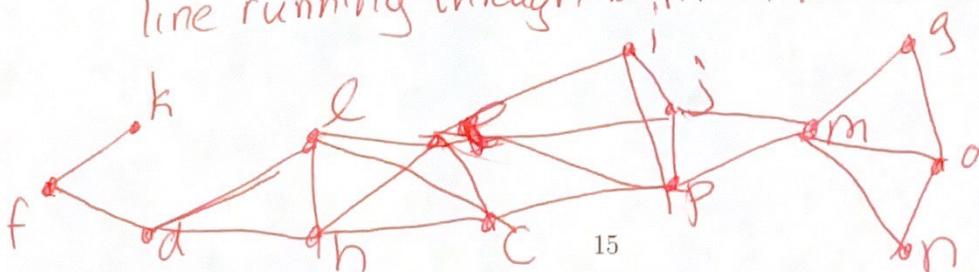
**Remark 3.11.** For any graph  $G$ , one can find a family of sets for which  $G$  is the intersection graph.



**Definition 3.12.** An **interval graph** is an intersection graph where the sets in question are closed intervals of the real line  $\mathbb{R}$ .

**Exercise 3.13** (Exercise 5.25 from textbook). What is the interval graph of the intervals in Figure 3?

see red markings above; intervals of Figure 3 are all on the ~~real~~ line, vertical spacing is just to make them easier to distinguish.  
• two intervals have nonempty intersection  $\Leftrightarrow$  there is a vertical line running through both of them

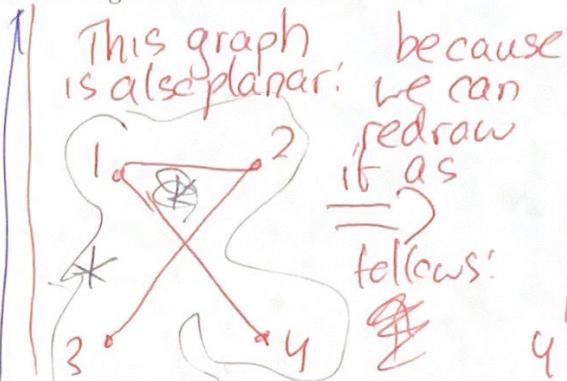
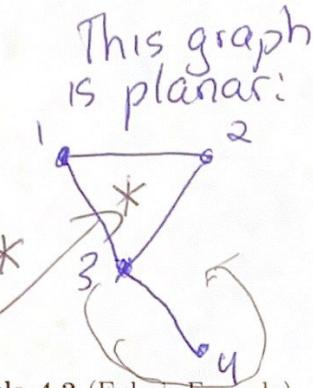




## 4 Section 5.4: Planar Graphs

**Definition 4.1.** A graph is **planar** if it can be drawn in the plane  $\mathbb{R}^2$  without any edges crossing. More precisely, the only time two edges meet each other is at a vertex.

draw it so  
that so  
this  
does not  
occur  
two  
faces



**Formula 4.2 (Euler's Formula).** In a planar graph  $G$ , the equation

$$n - m + f = 2$$

inside  
the triangle

is always satisfied, where

and  
outside  
the triangle

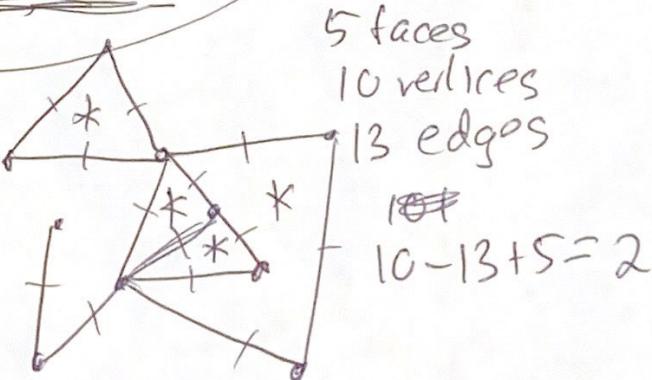
- $n$  is the number of vertices of  $G$
- $m$  is the number of edges of  $G$
- $f$  is the number of faces of  $G$

holds only when  
you draw it  
without edge  
crossings

$$= 23$$

**Definition 4.3.** A **face** of a planar graph  $G$  is a region of  $\mathbb{R}$  that  $G$  divides  $\mathbb{R}^2$  into when  $G$  is drawn without any edge crossings.

same  
reason:  
why Euler's formula  
only holds  
for a planar  
drawing  
(no edge  
crossings)  
and why we only  
count the faces  
of a graph  
when we have  
no edge crossings



if we  
allow  
edge  
crossings,  
cancel as  
many faces  
as we  
want.

If for graph with  $n$  vertices and  $m$  edges, meaning satisfying this inequality  $m \leq 3n - 6$  is necessary, but not sufficient.

$m > 3n - 6 \Rightarrow$  graph is not planar

$m \leq 3n - 6 \Rightarrow$  can't say anything/could be either

Theorem 4.4. If  $G$  is a planar graph with  $n$  vertices and  $m$  edges, then  $m \leq 3n - 6$ .

Idea: to get 2 faces we need at least 3 edges, so we have this ratio! den're from Euler's formula for planarity

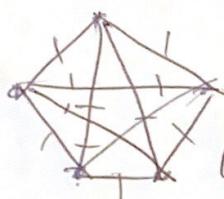


$$\begin{aligned} m &= \# \text{ of edges} \geq \frac{3}{2}, \text{ plug into Euler's formula} \\ f &= \# \text{ of faces} \geq \frac{1}{2} \\ \Rightarrow 2m &\geq 3f \\ n - m + f &= 2 \\ \Rightarrow n - m + \frac{2}{3}m &\geq 2 \end{aligned}$$

$$\begin{aligned} n - \frac{1}{3}m &\geq 2 \\ \Rightarrow 3n - m &\geq 6 \\ \Rightarrow 3n - 6 &\geq m \end{aligned}$$

Exercise 4.5. Is  $K_5$ , the complete graph on 5 vertices, planar?

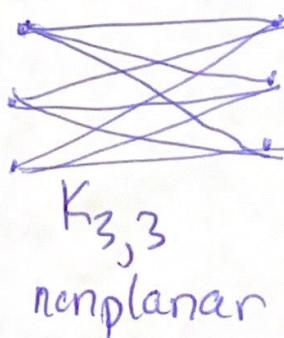
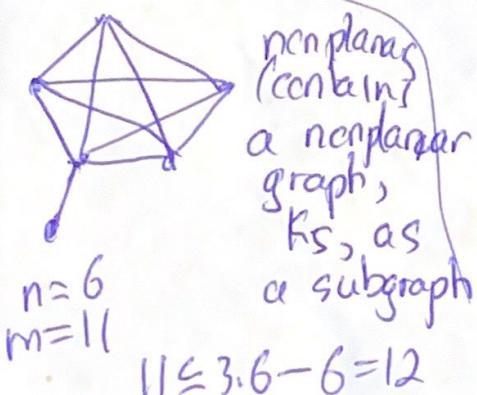
can now apply to a non-planar drawing



$$\begin{aligned} n &= 5 \\ m &= 10 \Rightarrow \text{plug into } m \leq 3n - 6 \\ \binom{5}{2} &= \frac{5!}{3!2!} \quad m = 10 \quad 3n - 6 = 15 - 6 = 9 \\ &= \frac{5 \cdot 4}{2 \cdot 1} = 5 \cdot 2 = 10 \quad m > 3n - 6 \\ & \text{so } K_5 \text{ is not planar} \end{aligned}$$

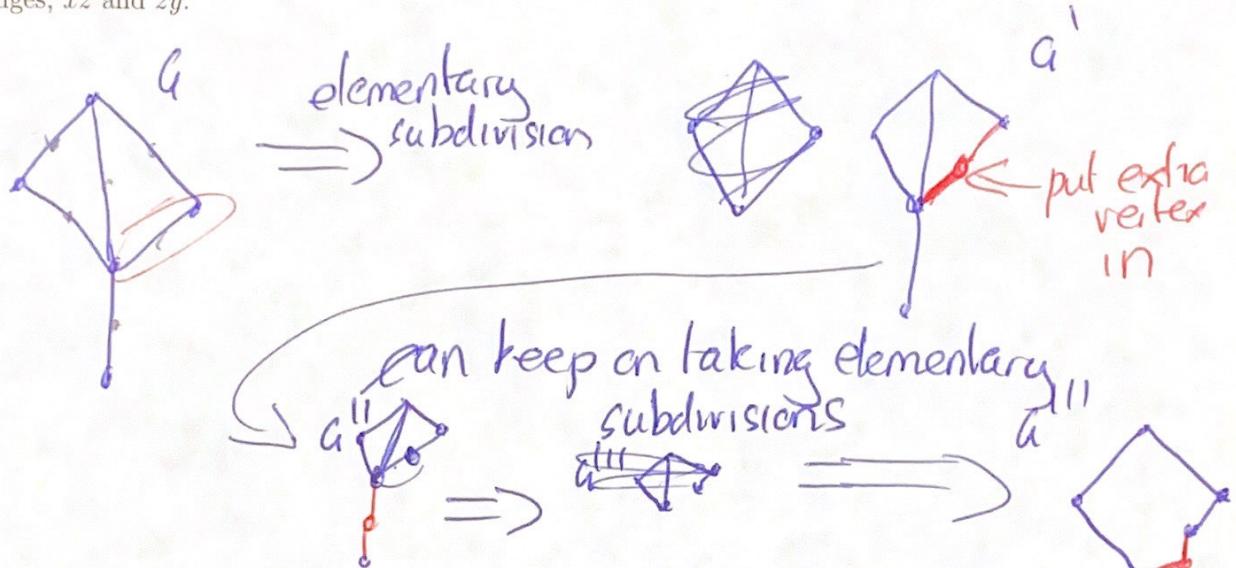
what if we appended an extra vertex to this graph

Remark 4.6. Even though  $K_{3,3}$  doesn't fail the above theorem's requirement, it is also not planar.

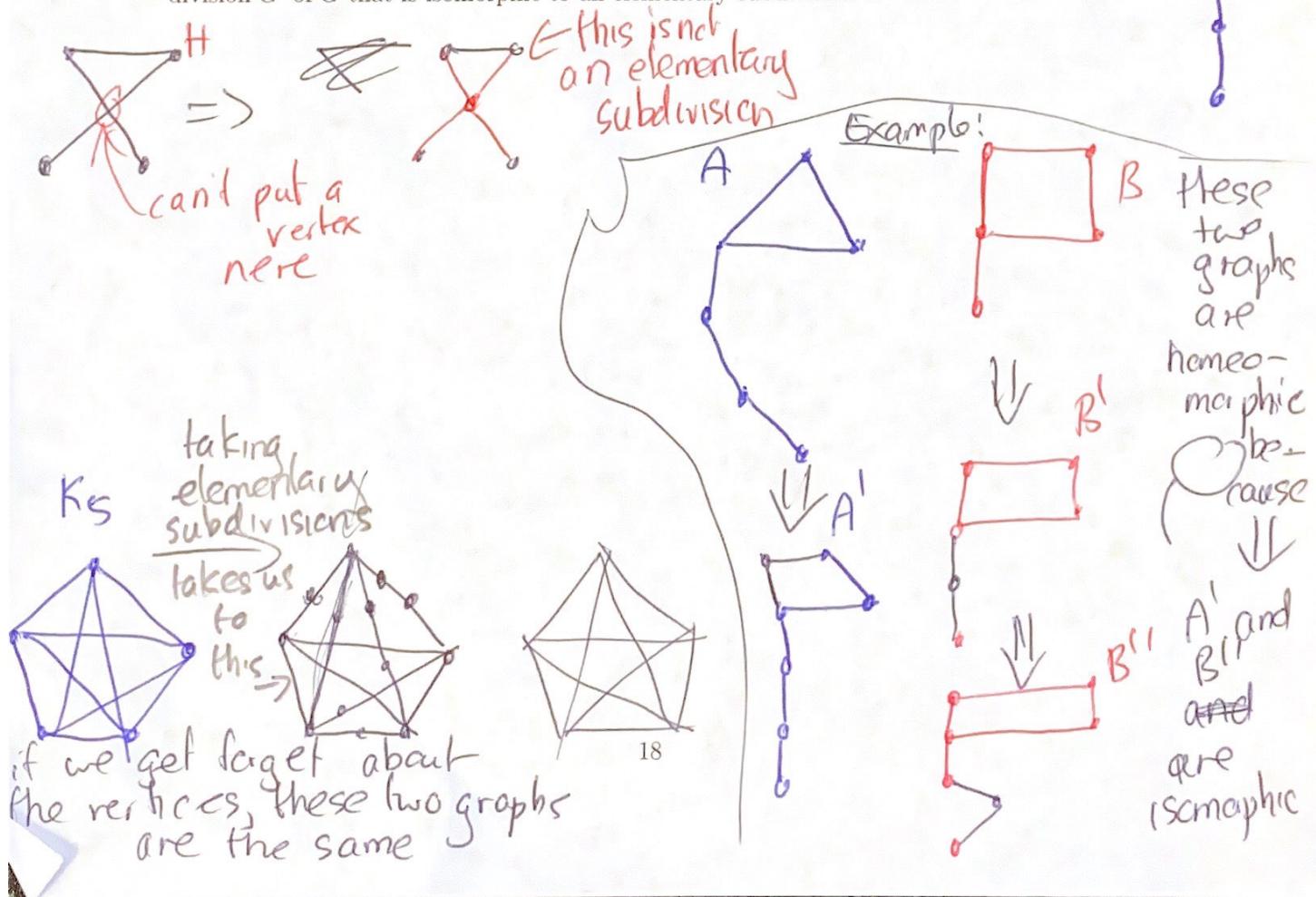


$$\begin{aligned} n &= 6 \\ m &= 9 \\ 3n - 6 &= 12 \\ 9 &\leq 12 \\ m &\leq 3n - 6 \end{aligned}$$

**Definition 4.7.** If  $G$  is a graph, then an **elementary subdivision**  $G'$  of  $G$  is a graph  $G'$  that is formed by "splitting" an edge into two. We take an edge  $xy$  ( $x$  and  $y$  are the endpoints of the edge), and place a vertex  $z$  in the middle of the edge. So, now we have two edges,  $xz$  and  $zy$ .



**Definition 4.8.** Two graphs  $G$  and  $H$  are **homeomorphic** if there is an elementary subdivision  $G'$  of  $G$  that is isomorphic to an elementary subdivision  $H'$  of  $H$ .

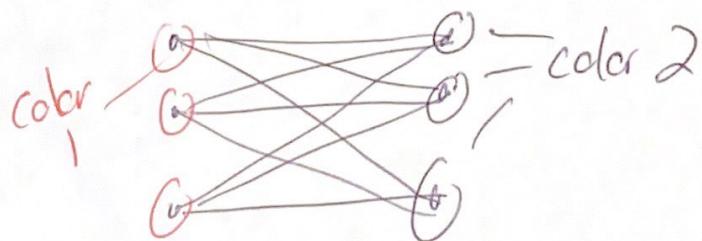


**Theorem 4.9** (Kuratowski's Theorem). A graph is planar if and only if it does not contain a subgraph homeomorphic to either  $K_5$  or  $K_{3,3}$ .

**Theorem 4.10** (Four Color Theorem). If  $G$  is a planar graph, then the chromatic number  $\chi(G) \leq 4$ .

- Similar to Thm on  $m \leq 3n - 6$ , this gives a necessary, but not sufficient condition for planarity.
- If  $G$  is not 4-colorable, then it is not planar.
- If  $G$  is 4-colorable, can't say anything.

Example:  $K_{3,3}$  is not planar, but as a bipartite graph, it is 2-colorable (and thus 4-colorable).



Plan:

1) Try and see if it satisfies  $m \leq 3n - 6$

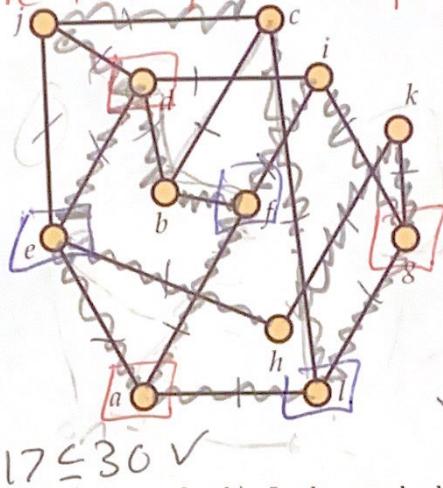
2) Try to see if there is a planar representation

3) Try to find subgraph homeomorphic to  $K_5$  or  $K_{3,3}$

12 vertices =  $n$

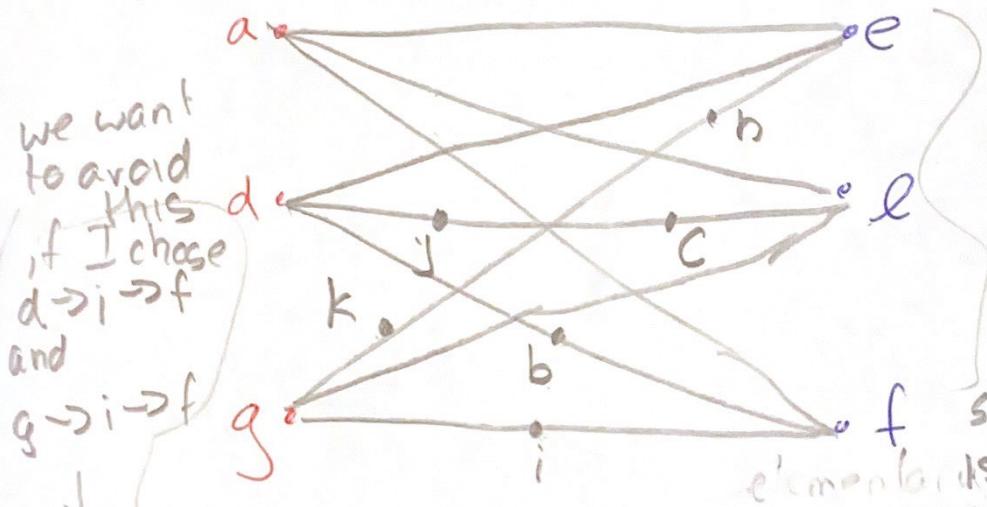
17 edges =  $m$

Exercise 4.11 (Exercise 5.29 from textbook). Is the graph shown above planar? If it is, find a drawing without edge crossings. If it is not, give a reason why it is not.



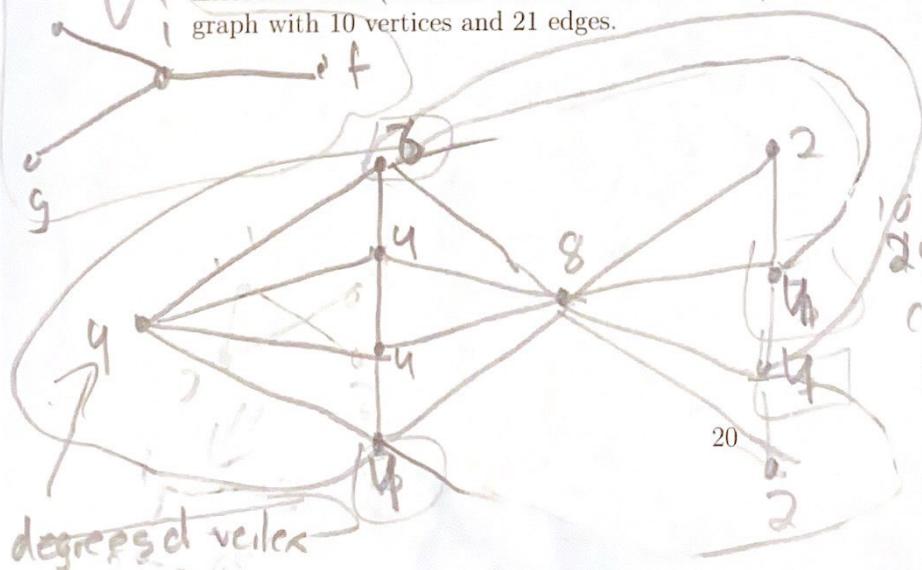
Idea with Kuratowski's Thm and finding homeo. subgraphs to  $K_5$  and  $K_{3,3}$

- look for non-intersecting paths
- e.g. for  $K_5$ , choose 5 vertices, then try to find paths between each pair such that no two paths share the same edge or mid-point
- same idea for  $K_{3,3}$



This is a graph homeomorphic to  $K_{3,3}$  so the graph above is nonplanar, cf  $K_{3,3}$

Exercise 4.12 (Exercise 5.31 from the textbook). Exhibit a planar drawing of an Eulerian graph with 10 vertices and 21 edges.



-  $n = 10$   
-  $m = 21$   
- planar  
- connected  
- every vertex has even degree

connected strategy:

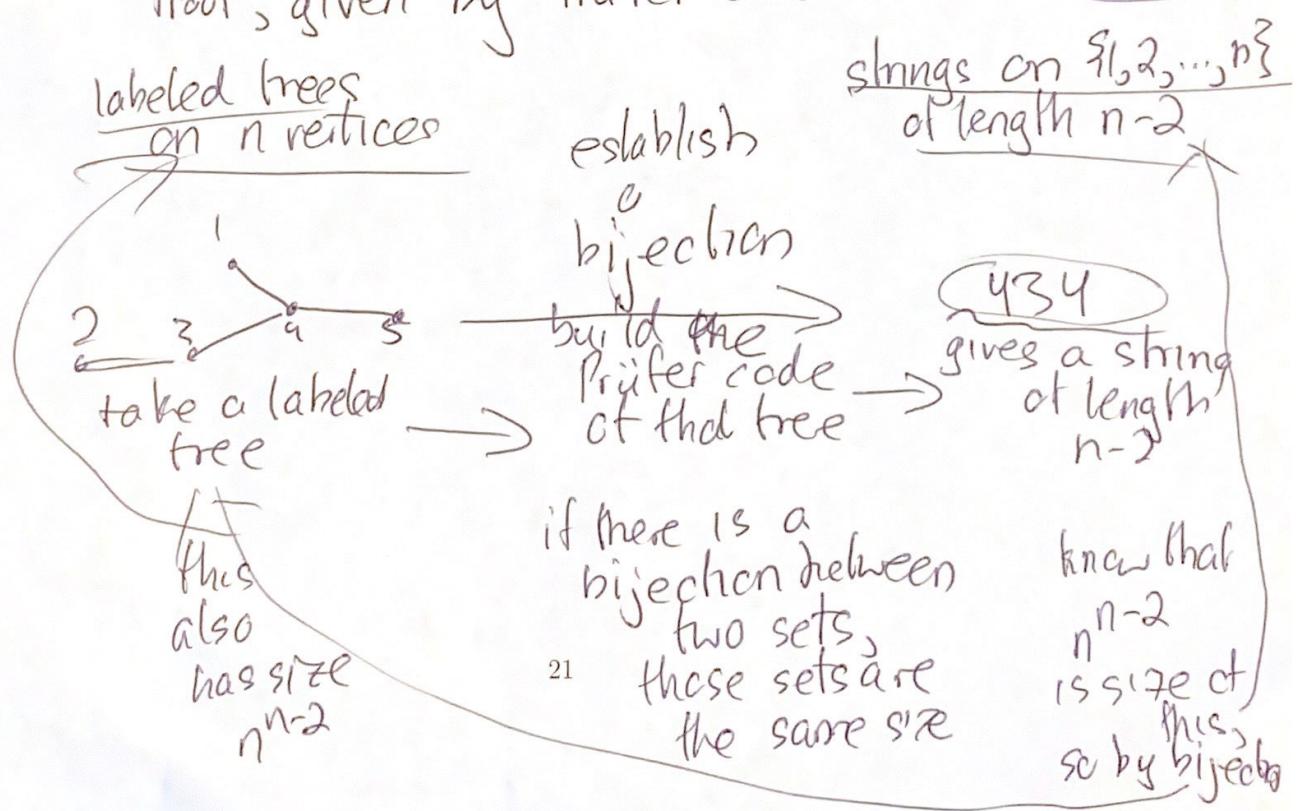
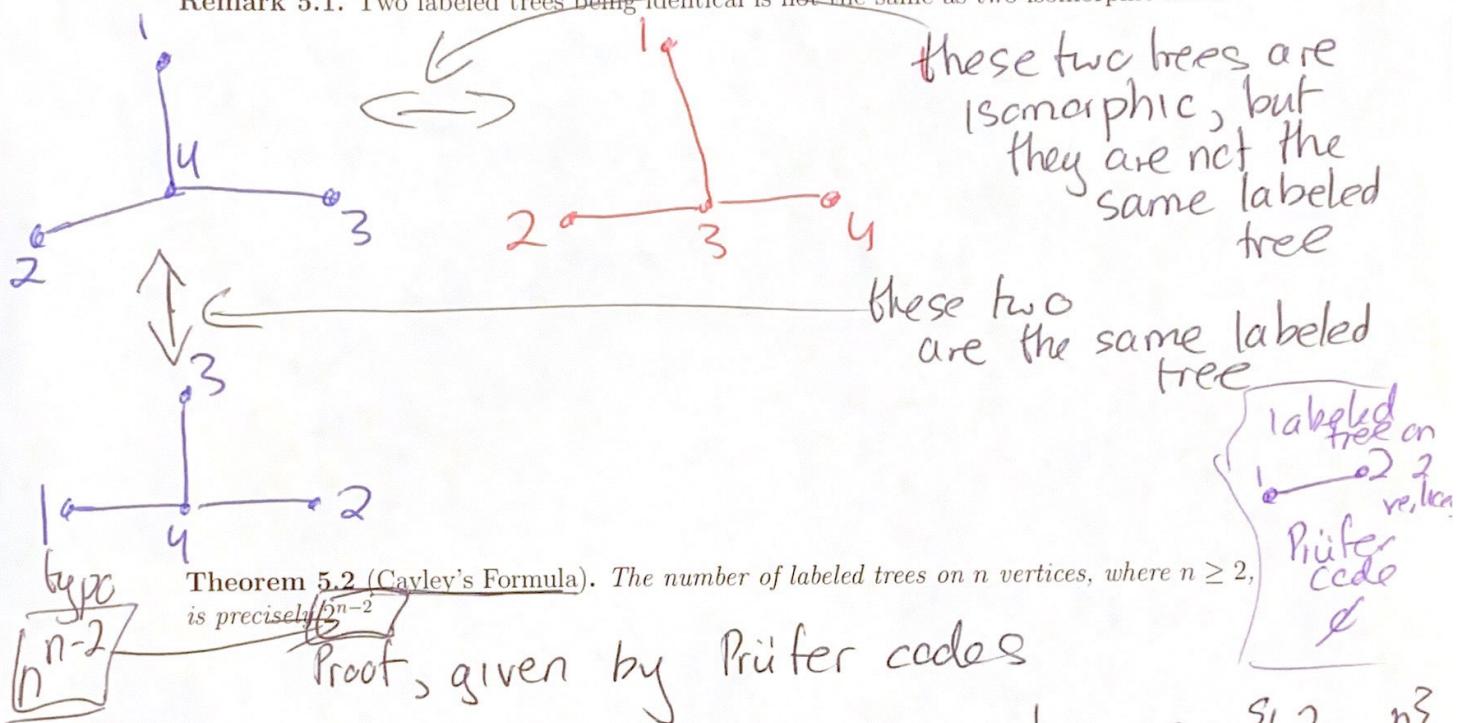
planar

- Start with small groups of e's and v's and connect them together
- check degrees # used at each step

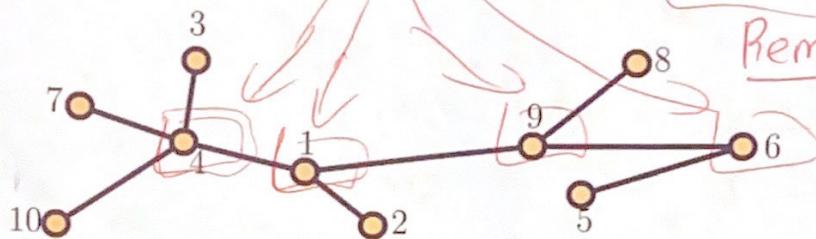
## 5 Section 5.6: Counting Labeled Trees

We are now looking at counting labeled trees with  $n$  vertices. That is, how many different types of structures can we create by taking a tree with  $n$  vertices, and labeling each vertex with a unique integer from  $\{1, 2, \dots, n\}$ ?

**Remark 5.1.** Two labeled trees being identical is not the same as two isomorphic trees.



1, 4, 6, 9  
 o only labels in the code  
 o non-leaves  
 Prüfer code of this tree  
 [146949 14]



Remark: The digits in the Prüfer code of a tree are precisely the labels of the non-leaves of the tree.

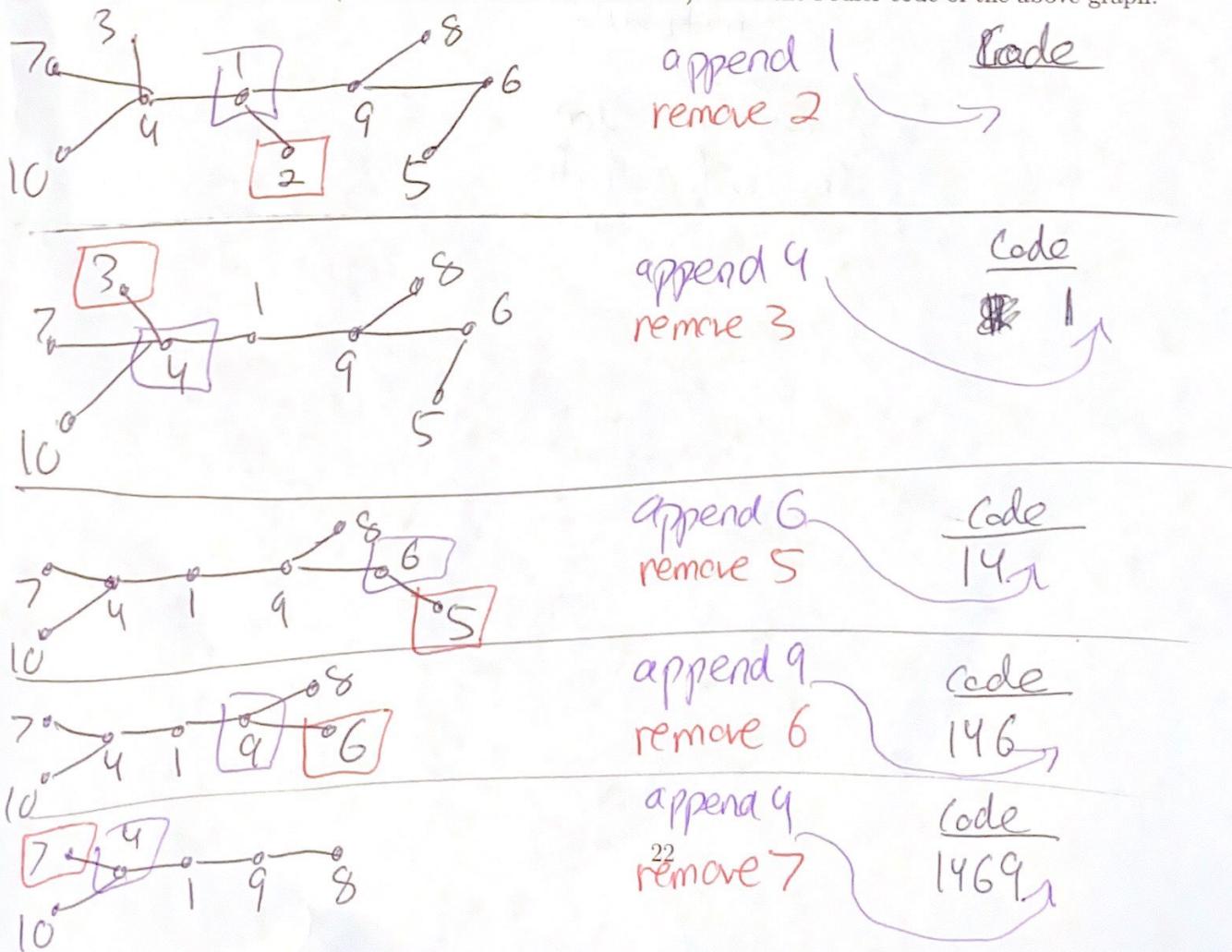
**Definition 5.3.** Let  $T$  be a labeled tree on  $n \geq 2$  vertices. The Prüfer code  $P(T)$  of  $T$  is defined recursively as follows:

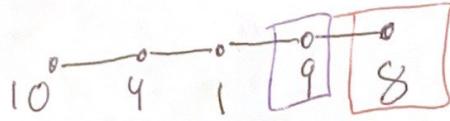
Base Case: If  $T$  is the tree on two vertices, then  $P(T)$  is the empty string.

Recursive Step: If  $P(T)$  is a tree on  $n > 2$  vertices, find the leaf of  $T$  whose label  $v$  is smaller than the label of any other leaf of  $T$ . Let  $u$  be the label of the vertex connected to the leaf with label  $v$ . Then

$$P(T) = \{u, P(T \setminus \{v\})\}.$$

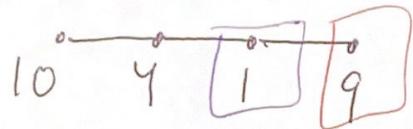
**Exercise 5.4** (Exercise 5.37 from the textbook). Find the Prüfer code of the above graph:





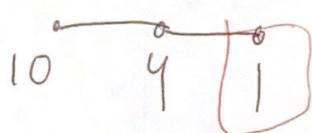
append 9  
remove 8

Code  
14694



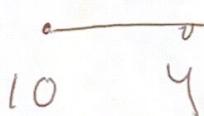
append 1  
remove 9

Code  
146949



append 4  
remove 1

Code  
1469491

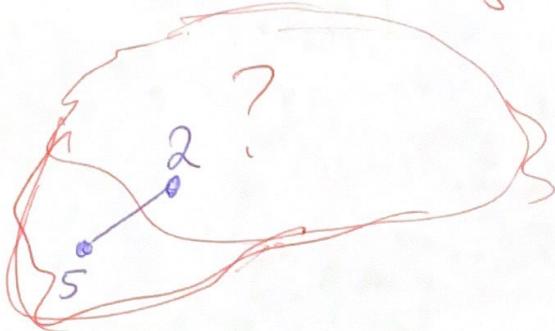


down to two  
vertices; ~~so we~~  
~~finish and~~  
~~say~~

So we are done;  
this is the Prüfer  
code

Code  
14694914

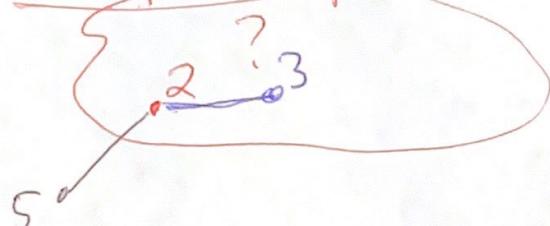
Exercise 5.5 (Exercise 5.41 from the textbook). What is the labeled tree whose Prüfer code is 23134? how many vertices? 7, because string has length 5-7-2



<u>Label Set:</u>	<u>Code:</u>	<u>Leaf Set:</u>
$\{1, 2, 3, 4, 5, 6, 7\}$	23134	$\{5, 6, 7\}$

↑  
5 is attached to 2 and 5 would be removed first

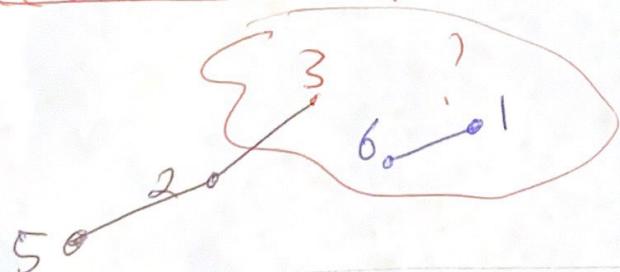
Tree after First Step:



<u>Label Set:</u>	<u>Code:</u>	<u>Leaf Set:</u>
$\{1, 2, 3, 4, 6, 7\}$	3134	$\{2, 6, 7\}$

↓  
2 is attached to 3 and now remove 2

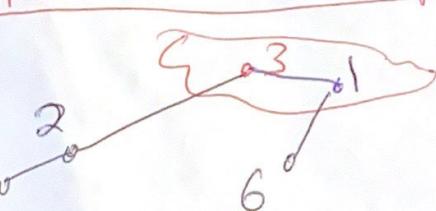
Tree after Second Step:



<u>Label Set:</u>	<u>Code:</u>	<u>Leaf Set:</u>
$\{1, 3, 4, 6, 7\}$	134	$\{6, 7\}$

↓  
6 is attached to 1 and now remove 6

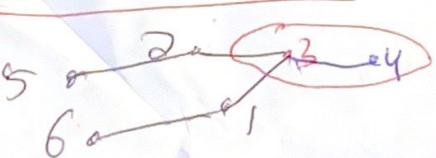
Tree after Third Step:



<u>Label Set:</u>	<u>Code:</u>	<u>Leaf Set:</u>
$\{1, 3, 4, 7\}$	34	$\{1, 7\}$

↓  
1 is attached to 3 and now remove 1

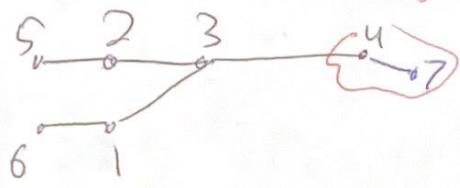
Tree after Fourth Step:



<u>Label Set:</u>	<u>Code:</u>	<u>Leaf Set:</u>
$\{3, 4, 7\}$	4	$\{3, 7\}$

↓  
3 is attached to 4 and now remove 3

Tree after Fifth Step:



Label Set:

{4, 7}

Code

Leaf Set

{4, 7}

down to two vertices, they  
must be connected,  
and we're done

