

# Chapter 7

## 1 Section 7.2: The Inclusion-Exclusion Formula

**Exercise 1.1.** A survey of 100 people found that 57 could speak French, 45 could speak German, and 30 could speak both French and German. How many people could speak French or German?

Fred - speaks French, not German  
 Jill - speaks German, not French  
 Jane - speaks both

# of people who speak French + # of people who speak German - # of people who speak both

undo double counting

$$57 + 45 - 30 = 72$$

count Fred  
 count Jane  
 count Jill  
 count Jane  
 count Jane

**Exercise 1.2.** A survey of 200 people asked whether they like to ski, surf, or hike. 75 said they like to ski, 32 said they like to surf, and 103 said they liked to hike. Furthermore, 25 said they liked to both ski and surf, 40 said they liked to ski and hike, and 5 said they liked to surf and hike. There were 2 people who liked to do all three recreational activities. How many people didn't like doing any of the three activities?

200 - (# who ~~don't~~ like doing at least one of the three)

200 - (75 + 32 + 103 - (25 + 40 + 5 - (2)))

Bill - likes to ski  
 Bob - likes to ski and surf  
 Mary - likes to do all three

total people  
 200

count Bill, Bob, Mary  
 count Bob, Mary  
 count Mary  
 Bob, Mary  
 Mary, Mary  
 Mary

like to do one  
 like to do two  
 like to do all three

$$= 200 - 210 + 70 - 2 = 58$$

in Exercise 2  
 $n=3$   
 $P_1 = \text{likes to ski}$   
 $P_2 = \text{likes to surf}$   
 $P_3 = \text{likes to hike}$

**Theorem 1.3.** Suppose we have a set  $X$ , and elements of the set  $X$  can have properties  $P_1, P_2, \dots, P_n$ . An element of  $X$  can have many of these  $P_i$ 's, or none.

- Define  $X_i$  to be the subset of  $X$  whose elements satisfy property  $P_i$ .
- Define  $X_{ij}$  to be the subset of  $X$  whose elements satisfy properties  $P_i$  and  $P_j$ .
- Define  $X_{ijk}$  to be the subset of  $X$  whose elements satisfy properties  $P_i, P_j$ , and  $P_k$ .
- Define  $X_{12\dots n}$  to be the subset of  $X$  whose elements satisfy all properties  $P_1, P_2, \dots, P_n$ .

Then the formula for the number of elements of  $X$  that don't satisfy any single property  $P_i$  is:

$$|X| - \sum_{i=1}^n |X_i| + \sum_{\{i,j\} \in \{1,2,\dots,n\}} |X_{ij}| - \sum_{\{i,j,k\} \in \{1,2,\dots,n\}} |X_{ijk}| + \dots + (-1)^{n+1} |X_{123\dots n}|$$

← count # of things that satisfy all  $n$  properties  
 for each property  $P_i$ , the # of things that satisfy  $P_i$   
 for each pairing  $P_i$  and  $P_j$ , count # of things that satisfy both  $P_i$  and  $P_j$   
 for each combination of three  $P_i$ 's, count # of things that satisfy all three

**Corollary 1.4.** The number of elements with at least 1, 2, ...,  $k$  many properties is:

take this formula,

$$\sum_{i=1}^n |X_i| - \sum_{i=1}^n |X_{ij}| + \sum_{i=1}^n |X_{ijk}| - \dots + (-1)^{n+1} |X_{123\dots n}|$$

satisfy at least one property

$$\sum_{i=1}^n |X_{ij}| - \sum_{i=1}^n |X_{ijk}| + \sum_{i=1}^n |X_{ijkl}| - \dots + (-1)^n |X_{123\dots n}|$$

satisfy at least two properties

$$\sum_{i=1}^n |X_{ijkl\dots j_k}| - \sum_{i=1}^n |X_{ijkl\dots j_{k+1}}| + \dots + (-1)^{n-k+1} |X_{123\dots n}|$$

satisfy at least  $k$  many properties



Exercise 1.5 (Exercise 7.3 from textbook). How many positive integers less than or equal to 100 are divisible by neither 2 nor 5?

$P_1$ : divisible by 2

$P_2$ : ~~div~~ divisible by 5

set of numbers that don't satisfy  $P_1$  or  $P_2$

$$X = \{1, 2, 3, \dots, 100\}$$

$$X_1 = \{2, 4, 6, 8, \dots, 100\}$$

$$X_2 = \{5, 10, 15, \dots, 100\}$$

$$X_{12} = \{10, 20, 30, \dots, 100\}$$

$$|X| - \sum_{i=1}^2 |X_i| + |X_{12}|$$

$$= 100 - (50 + 20) + 10$$

$$= 100 - 70 + 10 = \underline{\underline{40}}$$

## 2 Section 7.3: Enumerating Surjections

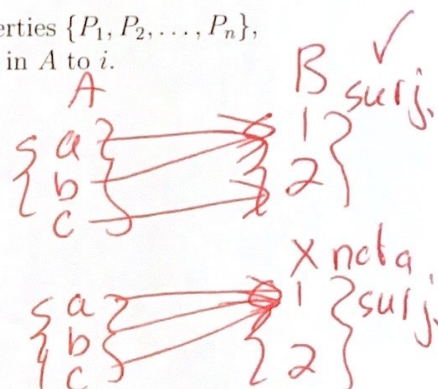
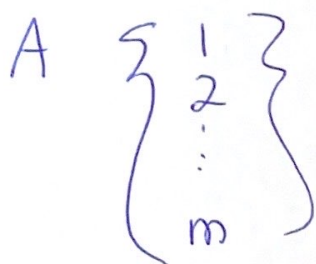
If  $A$  is a set of  $m$  objects, and  $B$  is a set of  $n$  objects, then the number of functions from  $A$  to  $B$  is  $n^m$ .

$n \cdot n \cdot n \cdots n$   $m$  choices

But what if we only want to count the number of surjections? That is, we only want to count functions that make sure every element in  $B$  receives one element from  $A$ .

We use inclusion-exclusion on the set of function from  $A$  to  $B$ .

Give each of the  $n$  objects in  $B$  a label  $1, 2, \dots, n$ . We then have properties  $\{P_1, P_2, \dots, P_n\}$ , where a function having property  $P_i$  means  $f$  does not map anything in  $A$  to  $i$ .



**Formula 2.1.** The number of surjections from a set  $A$  of  $m$  objects to a set  $B$  of  $n$  objects is thus

$$n^m - \binom{n}{1}(n-1)^m + \binom{n}{2}(n-2)^m - \dots + (-1)^n \binom{n}{n}(n-n)^m.$$

• Need to come up with properties  $P_1, P_2, \dots$  such that the # of surjections = # of functions that don't satisfy any property

• Order and label the objects in  $B$   $1, 2, \dots, n$

Define:  ~~$P_i$  = fun~~

function  $f: A \rightarrow B$  satisfies  $P_i$  if it does not map any body from  $A$  to the  $i$ th object in  $B$

$$|X| = \sum_{i=1}^n |X_i| + \sum_{i < j} |X_{ij}| - \sum_{i < j < k} |X_{ijk}| + \dots + (-1)^n |X_{123\dots n}|$$

$$n^m - \binom{n}{1}(n-1)^m + \binom{n}{2}(n-2)^m - \binom{n}{3}(n-3)^m + \dots$$

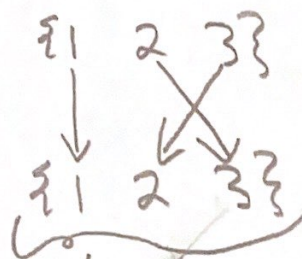


### 3 Section 7.4: Derangements

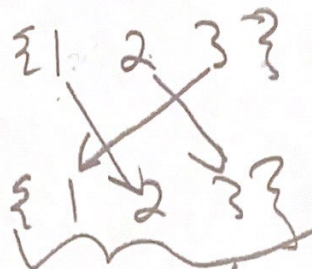
Definition 3.1. A permutation is a bijection  $\sigma : \{1, 2, \dots, n\} \rightarrow \{1, 2, \dots, n\}$  to itself.

A derangement is a permutation where no element  $i$  is mapped to itself ( $\sigma(i) \neq i$ ).

set  $n=3$



not a derangement because  $1 \rightarrow 1$



is a derangement

The number of permutations on  $\{1, 2, \dots, n\}$  is  $n!$ . What about the number of derangements?

Use inclusion-exclusion on the set of permutations. Here, we see a permutation has property  $P_i$  if it maps  $i$  to itself  $i$ . Then the number of derangements is the number of permutations that don't satisfy any of properties  $P_1, P_2, \dots, P_n$ .

# of permutations of  $n$  objects  $\{1, 2, \dots, n\}$  is  $n!$

$$n! = \underbrace{n}_{\substack{\text{choices} \\ \text{to send } 1 \\ \text{to}}} \cdot \underbrace{(n-1)}_{\substack{\text{choices} \\ \text{to send } 2 \\ \text{to}}} \cdot \underbrace{(n-2)}_{\substack{\text{choices} \\ \text{to send } 3 \\ \text{to}}} \cdot \dots \cdot \underbrace{1}_{\substack{\text{choice} \\ \text{to send } n \\ \text{to}}}$$

permutation  $\sigma$  satisfies  $P_1$  means  $\sigma$  sends 1 to 1  
 $\sigma$  satisfies  $P_2$  means  $\sigma$  sends 2 to 2

$\vdots$   
 $\sigma$  satisfies  $P_n$  means  $\sigma$  sends  $n$  to  $n$

$$|X| = \sum |X_i| + \sum |X_{ij}| - \sum |X_{ijk}| + \dots + (-1)^n \sum |X_{1,2,\dots,n}|$$

$$n! = \binom{n}{1}(n-1)! + \binom{n}{2}(n-2)! - \binom{n}{3}(n-3)! + \dots + (-1)^n \cdot 1$$