

# Chapter 1

## Conditions for Infinite Generation

### 1.1 Extension of $\phi_v$

Throughout this section we will use the following assumptions. Let  $\mathcal{G}$  be a Kac-Moody group over the finite field  $k$  with rank 3 Weyl group  $W$ . Assume that  $W$  is defined by the Coxeter diagram with edge labels  $a \leq b \leq c$  with  $a, b, c \in \{3, 4, 6\}$  and  $c \geq 4$ . Let  $\Sigma$  be the Coxeter complex of  $W$  and let  $\Phi^+$  be the positive roots of  $\Sigma$ . Let  $C$  be the fundamental chamber of  $\Sigma$ .

For every  $\alpha \in \Phi^+$  we will let  $\mathcal{U}_\alpha$  be the root group associated to  $\alpha$  and we will let  $\mathcal{U} = \langle \mathcal{U}_\alpha | \alpha \in \Phi^+ \rangle$ . We can also recall some terminology from the last chapter. We will say that  $\alpha$  is a positive root at  $v$  if the wall  $\partial\alpha$  passes through  $v$  and we will denote the positive roots through  $v$  as  $\Phi_v^+$ . Then we can define  $\mathcal{U}_v = \langle \mathcal{U}_\alpha | \alpha \in \Phi_v^+ \rangle$ . We can also label the roots of  $\Phi_v^+$  as  $\alpha_1, \dots, \alpha_n$ , where  $2n = |\text{st}(v)|$  in  $\Sigma$ , in such a way that  $\alpha_i \cap \alpha_j \subset \alpha_k$  for  $1 \leq i \leq k \leq j \leq n$ . With this labeling we will call  $\alpha_1, \alpha_n$  the simple roots at  $v$  and we will note that they do not depend on the labeling. We will use this labeling many times throughout the section and we will refer to it as the standard labeling. This definition is a slight abuse as this labeling scheme is not unique, however, the only possible labeling is given by flipping the order and sending  $\alpha_i \mapsto \alpha_{n+1-i}$ . In practice, this ambiguity will not matter and so most of the time we can simply refer to the standard labeling without any further detail.

We will also briefly recall the definitions of open and closed intervals of roots. If  $\alpha, \beta$  are two positive roots then we define the closed interval

$$[\alpha, \beta] = \{\gamma \in \Phi^+ | \alpha \cap \beta \subset \gamma \text{ and } (-\alpha) \cap (-\beta) \subset -\gamma\}$$

and the open interval  $(\alpha, \beta) = [\alpha, \beta] \setminus \{\alpha, \beta\}$ . In a similar manner as before, we will define  $\mathcal{U}_{(\alpha, \beta)} = \langle \mathcal{U}_\gamma | \gamma \in (\alpha, \beta) \rangle$ .

One feature of the standard labeling is that it allows us to describe some of these intervals in a very natural way. If  $v$  is some vertex of  $\Sigma$  and  $\alpha_1, \dots, \alpha_n$  are the positive roots through  $v$  with the standard labeling, then  $[\alpha_i, \alpha_j] = \{\alpha_k | i \leq k \leq j\}$  whenever  $i \leq j$ . Similarly we get  $(\alpha_i, \alpha_j) = \{\alpha_k | i < k < j\}$  whenever  $i < j$ .

The group  $\mathcal{U}$  is generated by the set of all  $\mathcal{U}_\alpha$  for  $\alpha \in \Phi^+$  but we can actually say a little bit

more. Each  $\mathcal{U}_\alpha$  is isomorphic to the additive group of  $k$ , so there is a finite set of relations  $\mathcal{R}_\alpha$  which make  $\langle \mathcal{U}_\alpha | \mathcal{R}_\alpha \rangle$  into a presentation of  $\mathcal{U}_\alpha$ . The theory of RGD systems tells us that we can get a presentation of  $\mathcal{U}$  of the form

$$\mathcal{U} = \left\langle \mathcal{U}_\alpha \text{ for } \alpha \in \Phi^+ \mid \bigcup_{\alpha \in \Phi^+} \mathcal{R}_\alpha, \{[u, u'] = v\} \right\rangle$$

where  $u \in \mathcal{U}_\alpha, u' \in \mathcal{U}_\beta$  and  $v$  is a word, depending on  $u, u'$ , in  $\mathcal{U}_{(\alpha, \beta)}$ . Furthermore, the theory of Kac-Moody groups tells us that if  $u \in \mathcal{U}_\alpha$  and  $u' \in \mathcal{U}_\beta$  with  $\partial\alpha \cap \partial\beta = \emptyset$  then  $v = 1$ .

Let  $\mathcal{U}'_v = \langle \mathcal{U}_1, \mathcal{U}_n \rangle$  for any vertex  $v \in \Sigma$ , where  $\mathcal{U}_1$  and  $\mathcal{U}_n$  are the simple roots at  $v$ . By Theorem ?? we know that  $\mathcal{U}$  is finitely generated if  $\mathcal{U}'_v = \mathcal{U}_v$  for all  $v \in \Sigma$ . What we will show in the rest of the chapter is that if  $\mathcal{U}'_v \neq \mathcal{U}_v$  for some  $v \in \Sigma$ , then most of the time  $\mathcal{U}$  will not be finitely generated. Our general strategy will be as follows. If  $v$  is some vertex of  $\Sigma$  such that  $\mathcal{U}'_v \neq \mathcal{U}_v$  then Lemma ?? shows the existence of a map  $\phi_v : \mathcal{U}_v \rightarrow H$  where  $H$  is a cyclic group of order  $|k|$ . If we can extend this map to all of  $\mathcal{U}$  in a certain way then we will be able to show certain root groups must be in any generating set of  $\mathcal{U}$ . If we can do this for enough  $v$  then we will be able to show that  $\mathcal{U}$  is not finitely generated.

Our first lemma will define our notion of extending  $\phi_v$ , and give a sufficient condition for this extension to exist.

**Lemma 1.** *Suppose that  $v$  is a vertex of  $\Sigma$  such that  $\mathcal{U}'_v = \langle \mathcal{U}_1, \mathcal{U}_n \rangle \neq \mathcal{U}_v$ , where  $\mathcal{U}_1, \mathcal{U}_n$  are the simple roots at  $v$ . Then there is a map  $\phi_v : \mathcal{U}_v \rightarrow H$  where  $H$  is a cyclic group of order  $|k|$ . Also suppose that for any non-simple, positive root  $\gamma$  at  $v$ , and any other vertex  $y$  on  $\partial\gamma$  that  $\gamma$  is simple at  $y$ . Then the map  $\tilde{\phi}_v : \mathcal{U} \rightarrow H$  defined by*

$$\tilde{\phi}_v(u) = \begin{cases} \phi_v(u) & \text{if } u \in \mathcal{U}_\gamma \text{ and } v \text{ lies on } \partial\gamma \\ 1 & \text{otherwise} \end{cases}$$

*is a well defined group homomorphism.*

*Proof.* We know that the map  $\phi_v$  exists by Lemma ??. We have a presentation for  $\mathcal{U}$  and we have defined  $\tilde{\phi}_v$  on the generators of  $\mathcal{U}$ , so in order to check that it is well defined we will need to verify that the relations of  $\mathcal{U}$  are satisfied in the image.

There are three types of relations in the presentation for  $\mathcal{U}$ . There are relations within the same root group so that  $\mathcal{U}_\alpha \cong (k, +)$  for all positive roots  $\alpha$ . There are also relations between root groups whose walls intersect, and those whose walls don't intersect.

Let  $R_\alpha$  be a relation for  $\mathcal{U}_\alpha$  where  $R_\alpha$  is considered as a word with letters in  $\mathcal{U}_\alpha$ . If  $v$  lies on  $\partial\alpha$  then  $\tilde{\phi}_v(R_\alpha) = \phi_v(R_\alpha) = 1$  since  $\phi_v$  is a well defined homomorphism. Otherwise, every element of  $\mathcal{U}_\alpha$  is sent to 1 and thus  $\tilde{\phi}_v(R_\alpha) = 1$  as well so that  $R_\alpha$  is mapped to the identity as desired.

Now suppose that  $\alpha$  and  $\beta$  are any two positive roots. If  $\partial\alpha \cap \partial\beta = \emptyset$  then properties of Kac-Moody groups tell us that  $[\mathcal{U}_\alpha, \mathcal{U}_\beta] = 1$ . Since the codomain of  $\tilde{\phi}_v$  is an abelian group, then any relation of the form  $[x, y] = 1$  will be satisfied by the image.

Now suppose that  $\partial\alpha$  and  $\partial\beta$  meet at a point  $y$  and consider any relation of the form  $[x_\alpha(u), x_\beta(t)] = w$  where  $w$  is a word in  $\mathcal{U}_{(\alpha,\beta)} \subset \mathcal{U}_y$ . Again, note that the image of the left side of this equation will always be the identity as the codomain is still abelian. If  $y = v$  then  $\mathcal{U}_y = \mathcal{U}_v$  and thus  $\tilde{\phi}_v(w) = \phi_v(w) = 1$  because  $\phi_v$  is well defined.

Now suppose that  $y \neq v$ . Then we can label the positive roots passing through  $y$  as  $\gamma_1, \dots, \gamma_n$  in such a way that  $(\gamma_i, \gamma_j) = \{\gamma_r | i < r < j\}$  whenever  $i < j$ . In this case we can say without loss of generality that  $\alpha = \gamma_l$  and  $\beta = \gamma_m$  with  $l < m$ . There can be at most one root whose wall passes through  $y$  and  $v$ , which we will call  $\gamma_k$  if it exists. If  $\gamma_k$  does not exist, or  $k \leq l$  or  $k \geq m$  then the root  $\gamma_k$  is not contained in  $(\alpha, \beta)$  and thus  $\phi_v(\mathcal{U}_\delta) = 1$  for all  $\delta \in (\alpha, \beta)$ . This means  $\tilde{\phi}_v(w) = 1$  and the relation is satisfied.

Now we suppose that  $\gamma_k$  exists and  $l < k < m$ . Then  $\gamma_k$  is not simple at  $y$  and thus  $\gamma_k$  must be simple at  $v$  by assumption. This means  $\tilde{\phi}_v(\mathcal{U}_{\gamma_k}) = \phi_v(\mathcal{U}_{\gamma_k}) = 1$  by the construction of  $\phi_v$ . Since  $\tilde{\phi}_v(\mathcal{U}_{\gamma_i}) = 1$  for all  $i \neq k$  by definition, this means that  $\tilde{\phi}_v(w) = 1$  showing the relation is satisfied and giving the desired result.  $\square$

Now Lemma 1 gives a sufficient condition for the existence of  $\tilde{\phi}_v$  which is fairly easy to check. In fact, we will use this condition to choose appropriate vertices to construct a large class of  $\tilde{\phi}_v$ .

Suppose that  $W = \langle s, t, u \rangle$  so that  $m(s, t) = a, m(s, u) = b$ , and  $m(t, u) = c$ . Assume that  $a \leq b \leq c$  with  $a, b, c \in \{3, 4, 6\}$  and furthermore assume that  $c \geq 4$  if  $k = \mathbb{F}_2$  and  $c = 6$  if  $k = \mathbb{F}_3$ . Let  $C$  be the fundamental chamber of  $\Sigma$  and let  $x$  be the vertex of  $C$  of type  $s$ . Then by our assumptions,  $\mathcal{U}_x$  is not generated by its simple roots and  $\phi_x$  exists.

We can label the roots through  $x$  as  $\alpha_1, \dots, \alpha_n$  so that  $\alpha_1$  and  $\alpha_n$  are the simple roots at  $x$ . Also note that  $n = c$ . The ordering on these roots is chosen so that  $\alpha_i \cap \alpha_j \subset \alpha_k$  for any  $1 \leq i \leq k \leq j \leq n$ . This is equivalent to the condition that  $(\alpha_i, \alpha_j) = \{\alpha_k | i < k < j\}$  for any  $i < j$ .

We can describe any root in terms of a pair of adjacent chambers. We can also identify  $\mathcal{C}(\Sigma)$  with  $W$  where the chamber  $wC$  is associated to  $w$ . If we use this identification then we can describe the roots as follows

$$\begin{aligned}\alpha_1 &= \{D \in \Sigma | d(D, C) < d(D, tC)\} = \{w \in W | \ell(w) < \ell(tw)\} \\ \alpha_n &= \{D \in \Sigma | d(D, C) < d(D, uC)\} = \{w \in W | \ell(w) < \ell(uw)\}\end{aligned}$$

In a similar way we can define two more roots

$$\begin{aligned}\beta &= \{D \in \Sigma | d(D, tC) < d(D, tsC)\} = \{w \in W | \ell(tw) < \ell(stw)\} \\ \beta' &= \{D \in \Sigma | d(D, uC) < d(D, usC)\} = \{w \in W | \ell(uw) < \ell(suw)\}\end{aligned}$$

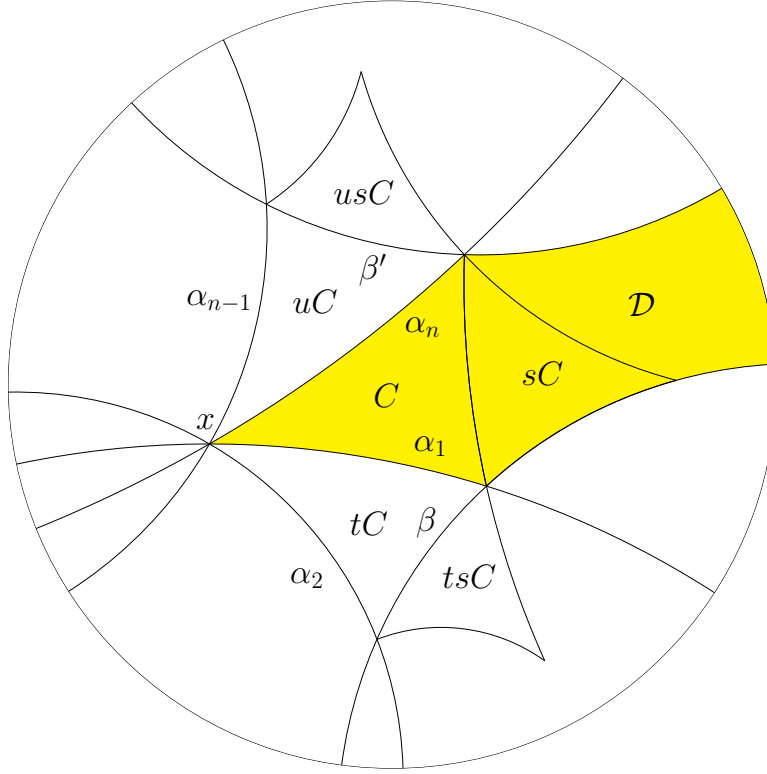


Figure 1.1: The Roots  $\alpha_1, \alpha_n, \beta, \beta'$  with the region  $\mathcal{D}$  in yellow.

Now we can define  $\mathcal{D} = \alpha_1 \cap \alpha_n \cap \beta \cap \beta'$ . These roots are chosen and  $\mathcal{D}$  is defined in such a way to give the following lemma:

**Lemma 2.** *Let  $x$  be the vertex of  $C$  of type  $s$  and assume  $W = \langle s, t, u | s^2 = t^2 = u^2 = (st)^a = (su)^b = (tu)^c = 1 \rangle$ . Let  $\mathcal{D} = \alpha_1 \cap \alpha_n \cap \beta \cap \beta'$  where  $\alpha_1, \alpha_n, \beta, \beta'$  are roots of  $\Sigma$  defined by*

$$\begin{aligned}\alpha_1 &= \{D \in \Sigma | d(D, C) < d(D, tC)\} = \{w \in W | \ell(w) < \ell(tw)\} \\ \alpha_n &= \{D \in \Sigma | d(D, C) < d(D, uC)\} = \{w \in W | \ell(w) < \ell(uw)\} \\ \beta &= \{D \in \Sigma | d(D, tC) < d(D, tsC)\} = \{w \in W | \ell(tw) < \ell(stw)\} \\ \beta' &= \{D \in \Sigma | d(D, uC) < d(D, usC)\} = \{w \in W | \ell(uw) < \ell(suw)\}\end{aligned}$$

*If  $\gamma$  is a positive root at  $x$ , and  $\delta$  is any other positive root such that  $\partial\gamma \cap \partial\delta \neq \emptyset$  then  $\mathcal{D} \subset \gamma \cap \delta$ .*

*Proof.* **rewrite this lemma using the better structure of Lemma ??**

By assumption,  $\gamma$  is a positive root through  $x$  so  $\gamma = \alpha_i$  for some  $i$ . Furthermore, we assumed that  $\gamma$  was not simple which means  $2 \leq i \leq n-1$ . Since  $\alpha_1$  and  $\alpha_n$  are simple at  $x$  we can see that  $\mathcal{D} \subset \alpha_1 \cap \alpha_n \subset \alpha_i = \gamma$ . Thus it will suffice to prove that  $\mathcal{D} \subset \delta$ .

Let  $y = \partial\gamma \cap \partial\delta$ . If  $y = x$  then  $\delta$  is also a root which passes through  $x$  and so  $\delta = \alpha_j$  for some  $j \neq i$ . Then as before we get  $\alpha_1 \cap \alpha_n \subset \alpha_j = \delta$  and thus  $\mathcal{D} \subset \delta$  as desired.

Now suppose  $y \neq x$  and also assume that  $x$  and  $y$  are not adjacent. Suppose that  $\partial\delta$  meets  $\partial\alpha_1$  at a point  $y'$ . Then the points  $x, y, y'$  form a triangle, whose sides lie on the walls  $\partial\gamma$ ,  $\partial\delta$ , and  $\partial\alpha_1$ . The triangle condition then implies that  $xyy'$  must be a chamber of  $\Sigma$ , which is a contradiction since  $x$  and  $y$  are not adjacent. Thus  $\partial\delta$  does not meet  $\partial\alpha_1$  and a similar argument shows that  $\partial\delta$  does not meet  $\alpha_n$ .

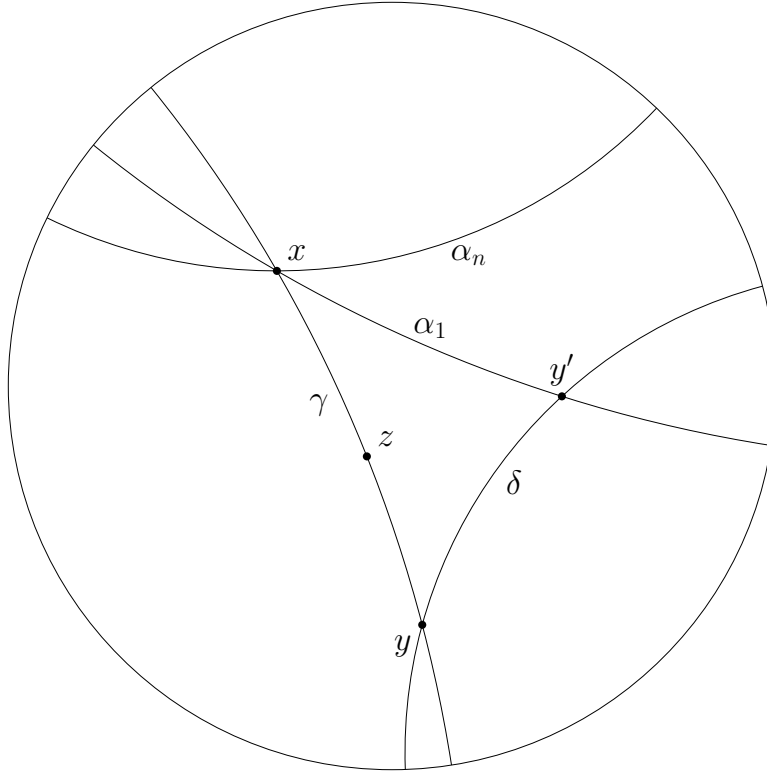


Figure 1.2: The point  $y'$  cannot exist as it would form a triangle which is not a chamber.

From the geometry of the Coxeter complex, we can observe that for any  $1 < i < n$  we have  $\partial\alpha_i \cap \alpha_1 \cap \alpha_n = x$ . Since  $y \neq x$  this means that  $y$  does not lie in  $\alpha_1 \cap \alpha_n$ . We can assume without loss of generality that  $y$  does not lie in  $\alpha_1$ . We know that  $\alpha_1$  and  $\delta$  are two positive roots whose walls do not meet, and thus there are exactly three possibilities. Either  $\alpha_1 \subset \delta$ ,  $\delta \subset \alpha_1$  or  $-\delta \subset \alpha_1$ . The later two cases are impossible as both would imply that  $y \in \alpha_1$  which contradicts our assumption. Thus we have  $\alpha_1 \subset \delta$  which gives  $\mathcal{D} \subset \alpha_1 \subset \delta$  as desired.

Now we suppose again that  $y \neq x$  but  $x$  and  $y$  are adjacent. Then once again there are two possibilities. If  $\partial\delta$  does not meet  $\partial\alpha_1$  or  $\partial\alpha_n$  then the identical argument from the last paragraph shows that  $\mathcal{D} \subset \delta$ .

So now we suppose that  $\partial\delta$  does meet  $\partial\alpha_1$  or  $\partial\alpha_n$ . If  $\partial\delta$  meets  $\partial\alpha_1$  at a point  $y'$ , then the vertices  $xyy'$  will form a triangle which must be a chamber call it  $C'$ . This chamber contains,  $x$ , and has 2 sides on  $\partial\alpha_1$  and  $\partial\gamma$  respectively. Since  $\gamma$  is not simple, an observation of the chambers around  $x$  in Figure 1.1 shows that  $\gamma$  must be  $\alpha_2$   $C'$  must be  $tC$  in which case  $\delta = \beta$  by definition. Thus we get  $\mathcal{D} \subset \beta = \gamma$  which proves the result.

If  $\partial\delta$  meets  $\alpha_n$  then an identical argument shows that  $\gamma = \beta'$  which also proves the result.

□

The next two lemmas will allow us to construct new  $\tilde{\phi}_v$  for vertices  $v$  based on the region  $\mathcal{D}$ .

**Lemma 3.** *Let  $x$  be the vertex of  $C$  of type  $s$ . If  $\gamma$  is any positive root at  $x$ , and  $y$  is any other vertex on  $\partial\gamma$ , then  $\gamma$  is simple at  $y$ .*

*Proof.* Suppose that  $\gamma$  is not simple at  $y$ . Then we can label the positive roots at  $y$  as  $\delta_1, \dots, \delta_m$  in such a way that  $\delta_i \cap \delta_j \subset \delta_k$  for  $1 \leq i \leq k \leq j$ . In this case we have  $\delta_1, \delta_m$  are simple at  $y$  and  $\gamma = \delta_r$  for some  $1 < r < m$ . But  $x$  is a vertex of  $C$  and  $C \in \delta_1 \cap \delta_m$  and thus  $x \in \delta_1 \cap \delta_m$  as well. We know that  $x$  lies on  $\partial\delta_r$  by assumption and thus  $x$  is an element of  $\partial\delta_r \cap \delta_1 \cap \delta_m$ . But this is impossible as we can observe from the geometry of  $\Sigma$  that  $\partial\delta_i \cap \delta_1 \cap \delta_m = \{y\}$  for all  $1 < i < m$ . Thus  $\gamma$  is simple at  $y$  as desired. □

Despite some of the technical details the previous result should be intuitively clear. The walls through  $y$  will divide  $\Sigma$  into  $2m$  regions, and the region which contains  $C$  will be bounded by the two simple roots. Since  $x$  lies on  $\partial\gamma$ , it is impossible for any other roots through  $y$  to be any “closer” to  $C$  and thus  $\gamma$  must be simple at  $y$  as we proved.

**Corollary 1.** *Let  $x$  be the vertex of  $C$  of type  $s$  so that  $|\text{st}(x)| = 2c$ . If  $c = 4$  and  $|k| = 2$  or  $c = 6$  and  $|k| = 2, 3$ , then the map  $\tilde{\phi}_v$  as defined in Lemma 1 is well defined.*

*Proof.* By Corollary ?? we know that  $\mathcal{U}'_x = \langle \mathcal{U}_1, \mathcal{U}_n \rangle \neq \mathcal{U}_x$  where  $\mathcal{U}_1$  and  $\mathcal{U}_n$  are the simple root groups at  $x$ . Let  $\gamma$  be any non-simple, positive root through  $x$  and let  $y$  be another vertex on  $\partial\gamma$ . Then by the previous lemma,  $\gamma$  is simple at  $y$  and thus  $\tilde{\phi}_x$  exists by Lemma 1. □

We are now ready to construct a large family of vertices  $\{v\}$  for which  $\tilde{\phi}_v$  will exist. The idea is as follows. If we take any chamber in  $\mathcal{D}$  and treat it as a new “ $C$ ” then  $\tilde{\phi}_x$  would exist for this “ $C$ .” So what we do is apply elements of  $W$  which map the chambers of  $\mathcal{D}$  to  $C$ , and use these choices of  $w$  to get new vertices  $v$ .

Since the construction of these  $\tilde{\phi}_v$  depends on properties of simple roots, we want to know the simplicity behaves nicely with the action of  $W$  to this end we have the following lemma.

**Lemma 4.** *Suppose  $v$  is a vertex of  $\Sigma$  with simple roots  $\gamma, \gamma'$  at  $v$ . If  $w$  is an element of  $w$  such that  $w\delta$  is a positive root for all positive  $\delta$  at  $v$ , then  $w\gamma$  and  $w\gamma'$  are the simple roots at  $wv$ .*

*Proof.* Let  $\delta$  be a positive root at  $wv$ . Since  $w$  induces an isomorphism of simplicial complexes, and it sends positive roots at  $v$  to positive roots at  $wv$ , it must also send negative roots at  $v$  to negative roots at  $wv$ . So  $w^{-1}\delta$  is a root at  $v$ , and  $w(w^{-1}\delta) = \delta$  is positive, so  $w^{-1}\delta$  is also positive. Thus by definition of simple, we have  $\gamma \cap \gamma' \subset w^{-1}\delta$ . But we can now apply  $w$  to get  $w\gamma \cap w\gamma' \subset \delta$ . Since the choice of  $\delta$  was arbitrary we must have  $w\gamma$  and  $w\gamma'$  are simple as desired. □

We can now use the previous lemma to actually construct  $\tilde{\phi}_v$  for a certain collection of vertices  $v$ .

**Lemma 5.** *Let  $x$  be the vertex of  $C$  of type  $s$ , and assume  $\mathcal{U}'_x \neq \mathcal{U}_x$ . If  $v = w^{-1}x$  is a vertex in  $\mathcal{D} = \alpha_1 \cap \alpha_n \cap \beta \cap \beta'$  then  $\tilde{\phi}_v = \tilde{\phi}_{wx}$  exists.*

*Proof.* Let  $D = \text{Proj}_{w^{-1}x}(C)$  and let  $D = (w')^{-1}C$ . By the definition of projections,  $w^{-1}x$  is a vertex of  $D$  of type  $s$ , but  $(w')^{-1}x$  is also a vertex of  $D$  of type  $s$ , and thus  $(w')^{-1}x = w^{-1}x$ . Now without loss of generality we may assume that  $w' = w$ . Again, the definition of projections means that  $D$  is the closest vertex to  $C$  which has a vertex of  $w^{-1}x$ . Since  $\mathcal{D}$  is convex, and  $w^{-1}x$  and  $C$  both lie in  $\mathcal{D}$ , we also know that  $D = \text{Proj}_{w^{-1}x}(C)$  lies in  $\mathcal{D}$  as well. By a similar argument we know that  $\text{Proj}_x(D)$  must lie in  $\mathcal{D} \subset \alpha_1 \cap \alpha_n$  and thus  $\text{Proj}_x(D) = C$ . Now define  $E = wC$  and note that the action of  $W$  respects projections and thus we have

$$E = wC = \text{Proj}_{wx}wD = \text{Proj}_{wx}C \quad C = wD = \text{Proj}_{w(w^{-1}x)}wC = \text{Proj}_xE$$

In particular, if  $\gamma$  is any positive root through  $wx$  then  $E \in \gamma$  by the properties of projections.

Now suppose that  $\gamma$  is a non-simple, positive root through  $wx$  and  $y$  is another vertex on  $\partial\gamma$ . We must show that  $\gamma$  is simple at  $y$ . Since  $\gamma$  is positive through  $wx$  we know that  $C, E \in \gamma$ . If we apply  $w^{-1}$  then we get the following facts. We know that  $w^{-1}\gamma$  is a root such that  $\partial(w^{-1}\gamma)$  passes through  $w^{-1}wx = x$ . We also know that  $w^{-1}C = D, w^{-1}E = C \in w^{-1}\gamma$  so that  $w^{-1}\gamma$  is also a positive root.

The first claim is that  $w^{-1}\gamma$  is not simple at  $x$ . Suppose that  $\delta$  is any positive root at  $wx$ . Then  $E \subset \delta$  and so applying  $w^{-1}$  we get that  $w^{-1}E = C \subset w^{-1}\delta$ . Thus  $w^{-1}$  sends positive roots at  $wx$  to positive roots at  $x$ . By Lemma 4 this means that  $w^{-1}$  sends simple roots at  $wx$  to simple roots at  $x$ . Since  $\gamma$  is not simple at  $wx$  this means that  $w^{-1}\gamma$  is not simple at  $x$ .

So  $w^{-1}\gamma$  is a non-simple positive root at  $x$ , and since  $y$  lies on  $\partial\gamma$  we also know that  $w^{-1}y$  lies on  $w^{-1}(\partial\gamma)$ . If we apply Lemma 3 we can see that  $w^{-1}\gamma$  must be simple at  $w^{-1}y$ .

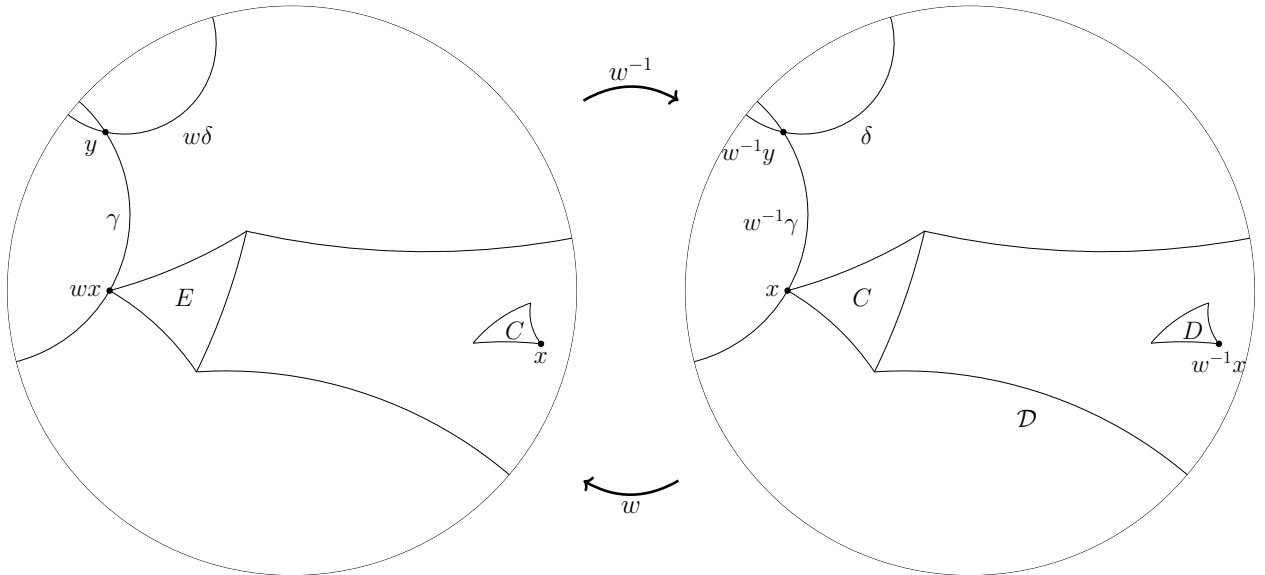


Figure 1.3: The effect of  $w$  and  $w^{-1}$  on the chambers and roots.

Now suppose that  $\delta$  is any positive root at  $w^{-1}y$ . Then by Lemma 2 we know that  $D \in \mathcal{D} \subset \delta$ . If we apply  $w$  then we get  $C = wD \in w\delta$  and  $w\delta$  is a root through  $y$ . Thus  $w\delta$  is a positive root through  $y$  and therefore  $w$  sends positive roots through  $w^{-1}y$  to positive roots through  $y$ . Again we can apply Lemma 4 to say that  $w$  must also send simple roots through  $w^{-1}y$  to simple roots through  $y$ . But  $w^{-1}\gamma$  was a simple root through  $w^{-1}y$  and thus  $\gamma$  is simple at  $y$  as desired.  $\square$

Now we have shown that vertices of  $\mathcal{D}$  in some way correspond to  $\tilde{\phi}_v$ . If our goal is to find infinitely many such  $v$  then there is still some work to be done. For instance, we do not yet know if the region  $\mathcal{D}$  contains infinitely many chambers, or even if it does, if all the vertices of  $\mathcal{D}$  lie on finitely many walls. We will show in the next section that these issues are not a problem in most cases.

## 1.2 When $\mathcal{D}$ is infinite

Our first task will be to show that the region  $\mathcal{D}$  contains infinitely many unique vertices. Intuitively, this will happen if the walls for  $\beta$  and  $\beta'$  do not meet, and we will first give a sufficient (and necessary) condition for this.

Recall that  $W$  is the Weyl group of  $\mathcal{G}$  and  $W$  is defined by the edge labels  $a = m(s, t)$ ,  $b = m(s, u)$ ,  $c = m(t, u)$  with  $a \leq b \leq c$ . For the remainder of the section we will also add the assumption that  $b \geq 4$ . This assumption will allow us to show that the region  $\mathcal{D}$  contains infinitely many vertices.

**Lemma 6.** *Let  $W$  as before with diagram labels  $a \leq b \leq c$ ,  $a, b, c \in \{3, 4, 6\}$  and  $b \geq 4$ . Also let  $w_k = (sut)^k$  for all  $k \geq 0$ . Then the vertices  $w_k x$  are all distinct, and they all lie in  $\mathcal{D}$ .*

*Proof.* First we will show that  $v_k \in \mathcal{D}$  for all  $k$ . Since  $x$  is a vertex of  $C$  we know that  $v_k$  is a vertex of  $w_k C$  and thus it will suffice to show  $w_k C$  is contained in  $\mathcal{D}$  for all  $k$ . Since the roots  $\alpha_1, \alpha_n, \beta, \beta'$  can be identified with their corresponding subsets of  $W$ , we can use the length function to check containment in these roots.

Recall that words in a Coxeter group can only be reduced by removal of consecutive repeated letters, or application of the Coxeter relations. It is immediate from the definition that  $\ell(w_k) = 3k$  for all  $k$ . We can also see that  $\ell(tw_k) = 3k + 1$  and thus  $w_k \in \alpha_1$  for all  $k$ . Similarly,  $uw_k = u(sutsut \cdots)$ , and no reduction operations can be done as we assumed  $m(s, u) \geq 4$ . Thus  $\ell(uw_k) = 3k + 1$  which means  $w_k \in \alpha_n$  as well.

Now consider the element  $stw_k$ . If we write this element out in terms of the generators and



apply the only possible Coxeter relations we get

$$\begin{aligned}
stw_k &= st(sutsut \cdots) \\
&= (sts)(utsuts \cdots) \\
&= (tst)(utsuts \cdots) \\
&= (ts)(tut)(sutsut \cdots)
\end{aligned}$$

and none of these can be reduced as  $m(t, u) \geq 4$ . Note that the commutation relation  $sts = tst$  may not be possible if  $m(s, t) \geq 4$ , but it is the only relation possible in  $stw_k$  and even if it does exist then it does not allow  $stw_k$  to be reduced in length. We previously showed  $\ell(tw_k) = 3k + 1$  and now we see  $\ell(stw_k) = 3k + 2$  and so  $w_k \in \beta$ .

Now we can consider  $suw_k$  in a similar manner. Writing  $suw_k$  out as a word in the generators and applying Coxeter relations gives us

$$\begin{aligned}
suw_k &= su(sutsut \cdots) \\
&= (susu)(tsutsu \cdots) \\
&= (usus)(tsutsu \cdots) \\
&= (usu)(sts)(utsuts \cdots) \\
&= (usu)(tst)(utsuts \cdots)
\end{aligned}$$

Note once again that not all of these relations may be possible if  $m(s, u) = 6$  or  $m(s, t) \geq 4$ . However, these are the only possible relations, and since  $suw_k$  cannot be reduced under these assumptions, it cannot be reduced at all. Thus  $\ell(suw_k) = 3k + 2$  which means  $suw_k \in \beta'$  as well.

Now it only remains to show that each  $v_k$  is unique. Suppose  $v_m = v_n$  for  $m > n$ . Then we would have  $w_m x = w_n x$  and thus  $w_n^{-1} w_m x = w_{m-n} x = x$ . Thus it will suffice to show  $w_k x \neq x$  for any  $k > 1$ . But we know that  $\text{stab}(x) = \langle u, t \rangle$  which does not contain  $w_k$  for any  $k \geq 1$  and thus  $w_k x \neq x$  as desired.

□

We now know that each of the  $v_k$  is distinct and each of them lies in  $\mathcal{D}$ . By Lemma 5 we know that  $\tilde{\phi}'_v$  exists for each  $v' = w_k^{-1} x$ . Our idea is still to use each of these vertices to give a root which must be contained in any generating set. However, there is still one possible issue. If almost all of these vertices lie on the same wall, then an inclusion of that root in a generating set could satisfy infinitely many of the  $v'$  at once, which would not allow us to prove infinite generation. So it remains to show that not only are the vertices  $w_n^{-1} x$  distinct, but also no two lie on the same wall.

**Lemma 7.** *Let  $w_k = (sut)^k$  for all  $k \geq 0$  and  $x$  the vertex of  $C$  of type  $s$ . If  $W$  as in the rest of this section then  $w_m^{-1} x$  and  $w_n^{-1} x$  do not lie on the same wall of  $\Sigma$  if  $m > n \geq 0$ .*

*Proof.* Suppose  $w_m^{-1} x$  and  $w_n^{-1} x$  do lie on the same wall with  $m > n$ . Then we also know that  $w_m w_n^{-1} x = w_{m-n} x$  and  $x$  will lie on the same wall and thus it will suffice to show that  $w_k x$  and  $x$  do not lie on the same wall for any  $k \geq 1$ .

We know from Lemma 6 that  $w_k \in \mathcal{D}$ . Thus if  $w_k x$  and  $x$  lie on the same wall, it must be a wall through  $x$ , and since  $w_k x \in \mathcal{D}$  this wall must be either  $H_u$  or  $H_t$ , the fixed points of  $u$  and  $t$  respectively. Thus we either have  $uw_k x = w_k x$  or  $tw_k x = w_k x$  which implies that either  $w_k^{-1}uw_k$  or  $w_k^{-1}tw_k$  is contained in  $\text{stab}(s) = \langle u, t \rangle$ . However, by a similar argument as before, we can simply write out these elements and show that they cannot be reduced. The only possible relations we have are

$$\begin{aligned} w_n^{-1}tw_n &= (\cdots tustus)t(sutsut\cdots) \\ &= (\cdots tustu)(sts)(utsut\cdots) \\ &= (\cdots tustu)(tst)(utsut\cdots) \end{aligned}$$

or

$$\begin{aligned} w_n^{-1}uw_n &= (\cdots stustus)u(sutsuts\cdots) \\ &= (\cdots stust)(ususu)(tsuts\cdots) \\ &= (\cdots stust)(sus)(tsuts\cdots) \\ &= (\cdots stu)(sts)u(sts)(uts\cdots) \\ &= (\cdots stu)(tst)u(tst)(uts\cdots) \end{aligned}$$

In both cases we can see that there is no further reduction possible and thus neither of these conjugates can possibly lie in  $\langle u, t \rangle$ . Thus the  $w_n^{-1}x$  all lie on distinct walls as desired.  $\square$

We now have all the ingredients and are ready to prove the main theorem.

**Theorem 1.** *Let  $\mathcal{G}$  be a Kac-Moody group over  $k$  with Weyl group  $W$  defined by the Coxeter digram with edge labels  $a \leq b \leq c$  with  $a, b, c \in \{3, 4, 6\}$ . Also let  $\mathcal{U} = \langle \mathcal{U}_\alpha | \alpha \in \Phi^+ \rangle \leq \mathcal{G}$ . If  $b \geq 4$  and  $|k| \in \{2, 3\}$  then  $\mathcal{U}$  is not finitely generated unless  $c = 4$  and  $|k| = 3$ .*

*Proof.* Suppose that  $\mathcal{U}$  is finitely generated. Then there is some finite set of roots  $\beta_1, \dots, \beta_m$  such that  $\mathcal{U} = \langle \mathcal{U}_{\beta_i} | 1 \leq i \leq m \rangle$ . Now no two of the vertices  $w_k^{-1}x$  lie on the same wall and thus we can choose  $k$  so that  $v = w_k^{-1}$  does not lie on  $\partial\beta_i$  for any  $i$ . By Lemma 6 we know that  $\tilde{\phi}_v$  exists, and by definition it is a surjective map from  $\mathcal{U} \rightarrow C$ . However, we can also see by definition that  $\tilde{\phi}_v(\mathcal{U}_{\beta_i}) = 1$  for all  $i$ , since none of these walls meet  $v$ . But this means  $\tilde{\phi}_v$  sends all of the generators of  $\mathcal{U}$  to the identity and thus it must be the trivial map which is a contradiction. Thus  $\mathcal{U}$  is not finitely generated as desired.  $\square$