

Chapter 1

Known Results on Finite Generation

Throughout this section, \mathcal{G} will be a Kac-Moody group with rank 3 Weyl group W over a field k . We will also assume that W is defined by the coxeter diagram with edge labels $a, b, c \in \{3, 4, 6\}$ with $a \leq b \leq c$ and $c \geq 4$. This last condition ensures that W is hyperbolic. Let Σ be the Coxeter complex of W . Let Φ^+ be the positive roots of Σ , and for any $\alpha \in \Phi^+$ we will let \mathcal{U}_α be the root group associated to α .

For any vertex v of σ , there will be some walls of Σ which pass through v , and for each of these walls we have a unique *positive* root. We will call these the **positive roots at v** and denote them by Φ_v . Recall that $\text{st}(v)$ is defined as all the chambers containing v as a vertex. If there are n positive roots at v then $|\text{st}(v)| = 2n$. Furthermore, it is possible to label the positive roots at v as $\alpha_1, \dots, \alpha_n$ in such a way that $\alpha_i \cap \alpha_j \subset \alpha_k$ for any $1 \leq i \leq k \leq j \leq n$. This ordering is unique upto a reversal of the form $\alpha_i \mapsto \alpha_{n+1-i}$. This possible reversal will not matter in most cases and if it does then a choice of α_1 will be specified. It does however allow us to unambiguously define α_1 and α_n as the **simple** roots at v . They are the unique positive roots at v whose intersection is contained in all other positive roots at v .

Now we can define \mathcal{U}_v to be the subgroup of \mathcal{G} generated by all of the root groups of the positive roots at v . That is

$$\mathcal{U}_v = \langle \mathcal{U}_\alpha | \alpha \text{ is a positive root at } v \rangle = \langle \mathcal{U}_\alpha | \alpha \in \Phi_v \rangle$$

Most of the time the group \mathcal{U}_v is generated by $\mathcal{U}_1, \mathcal{U}_n$ which are the simple root groups at v . However, there are some exceptions to this. Let $\mathcal{U}'_v = \langle \mathcal{U}_1, \mathcal{U}_n \rangle$ where $2n = |\text{st}(v)|$. Then we have the following results about the \mathcal{U}_v which comes from the known theory about rank 2 Moufang Polygons.

Lemma 1. *Let v be a vertex of Σ with $|\text{st}(v)| = 2n$. Let $\mathcal{U}'_v = \langle \mathcal{U}_1, \mathcal{U}_n \rangle$ where $\mathcal{U}_1, \mathcal{U}_n$ are the root groups of the simple roots at v . Then we can describe $[\mathcal{U}_v : \mathcal{U}'_v]$ with the following table*

n	$ k $	$[\mathcal{U}_v : \mathcal{U}'_v]$
4	2	2
6	2	4
6	3	3

and $[\mathcal{U}_v : \mathcal{U}'_v] = 1$ in all other cases. In other words, $\mathcal{U}' = \mathcal{U}$ with the exception of the 3 cases above.

We can in fact say a little more than that when $|k| = 2$ and $n = 6$.

Lemma 2. *Suppose that \mathcal{U} is defined over $k = \mathbb{F}_2$ and v is a vertex of Σ with $|\text{st}(v)| = 2n = 12$. Then it is possible to label the positive roots at v as $\mathcal{U}_1, \dots, \mathcal{U}_6$ in such a way that $\mathcal{U}''_v = \langle \mathcal{U}_1, \mathcal{U}_5, \mathcal{U}_6 \rangle$ has index 2 in \mathcal{U}_v .*

These two lemmas together give the following corollary.

Corollary 1. *Suppose v is a vertex of Σ with $|\text{st}(v)| = 2n$ and $\mathcal{U}_1, \mathcal{U}_n$ the simple roots at v . Suppose that $[\mathcal{U}_v : \mathcal{U}'_v] \geq 2$. Let H be the cyclic group of order $|k|$ where k is the field over which \mathcal{U} is defined. Then there is a surjective group homomorphism, call it $\phi_v : \mathcal{U}_v \rightarrow H$ such that $\phi_v(\mathcal{U}_1) = \phi_v(\mathcal{U}_n) = \{1\}$.*

Proof. Let $\mathcal{U}'_v = \langle \mathcal{U}_1, \mathcal{U}_n \rangle$. Since $[\mathcal{U}_v : \mathcal{U}'_v] \neq 1$ we know we must be in one of the three exceptional cases above. If $n = 4$ and $|k| = 2$ then $[\mathcal{U}_v : \mathcal{U}'_v] = 2$ and thus \mathcal{U}'_v is a normal subgroup of \mathcal{U}_v and the quotient has order 2. So we can define $\phi_v : \mathcal{U}_v \rightarrow H$ to be the quotient map $\mathcal{U}_v \text{ to } \mathcal{U}_v / \mathcal{U}'_v$.

If $n = 6$ and $|k| = 3$ then $[\mathcal{U}_v : \mathcal{U}'_v] = 3$. But \mathcal{U}_v is a 3-group and thus \mathcal{U}'_v is normal and the quotient has order 3, so we can construct ϕ_v as before.

Now suppose $n = 6$ and $|k| = 2$. Then by Lemma 2, we can define $\mathcal{U}''_v = \langle \mathcal{U}_1, \mathcal{U}_5, \mathcal{U}_6 \rangle$ so that $[\mathcal{U}_v : \mathcal{U}''_v] = 2$ and thus \mathcal{U}''_v is normal and the quotient has order 2. In this case we can define ϕ_v to be the quotient map $\mathcal{U}_v \rightarrow \mathcal{U}_v / \mathcal{U}''_v$. \square

The following corollary will show that we do not have very much wiggle room when defining ϕ_v , and thus if we can write any function which “looks like” ϕ_v then they must be essentially the same.

Corollary 2. *Suppose v is a vertex of Σ with $|\text{st}(v)| = 2n$ and $\mathcal{U}_1, \mathcal{U}_n$ the simple root groups at v . Let ϕ_v be defined as in the previous corollary. Then $\ker \phi_v$ is the unique, proper, normal subgroup of \mathcal{U}_v which contains \mathcal{U}_1 and \mathcal{U}_n .*

Proof. If $n = 4$ and $|k| = 2$ or $n = 6$ and $|k| = 3$ then the result is clear as $\mathcal{U}'_v = \langle \mathcal{U}_1, \mathcal{U}_n \rangle = \ker \phi_v$ is normal and has prime index, so there can be no other proper normal subgroups containing it.

If $n = 6$ and $|k| = 2$ then $[\mathcal{U}_v : \mathcal{U}'_v] = 4$ but \mathcal{U}'_v is not a normal subgroup. It can be shown if N is a normal subgroup containing \mathcal{U}'_v then $\mathcal{U}_5 \subset N$ as well, and thus $\mathcal{U}''_v \subset N$. But $[\mathcal{U}_v : \mathcal{U}''_v] = 2$ and thus \mathcal{U}''_v is the only proper normal subgroup containing $\mathcal{U}_1, \mathcal{U}_n$ as desired. \square

This isn't really a proof but I will fill in the details later. I was more just reminding myself of the arguments.

The general theory gives us the following result

Theorem 1. *Let \mathcal{G} be a Kac-Moody group over k with rank 3 Weyl group W as before. For any vertex v of Σ , let $\mathcal{U}'_v = \langle \mathcal{U}_1, \mathcal{U}_n \rangle$ where $\mathcal{U}_1, \mathcal{U}_n$ are the simple roots at v . If $\mathcal{U}'_v = \mathcal{U}_v$ for all $v \in \Sigma$ then \mathcal{U} is finitely generated.*

I use this lemma later. This isn't organized yet but I wanted to have it so my reference aren't broken.

Lemma 3. *Let $\alpha, \beta, \beta + \alpha, \beta + 2\alpha$ be the positive roots of a root system of type C_2 and \mathcal{U} the unipotent subgroup of $C_2(\mathbb{F}_2)$. Then $\mathcal{U} = \langle \mathcal{U}_\alpha, \mathcal{U}_\beta, \mathcal{U}_{\beta+\alpha} \rangle = \langle \mathcal{U}_\alpha, \mathcal{U}_\beta, \mathcal{U}_{\beta+2\alpha} \rangle$.*