# Chapter 1

# Coxeter Groups and Coxeter Complexes

{ch:coxeter}

The RGD systems and Kac-Moody groups discussed in the introduction have a large ammount of geometric structure which we can use to study them. The foundations of this geometry come from the idea of reflection groups studied by H.S.M. Coxeter in the 1930's. These reflection groups, and their associate geometry, have particularly nice properties, and they provide the foundation for the study of RGD systems.

### 1.1 Coxeter Groups

 $\{sled:coxgrp\}$ 

**Definition 1.** A Coxeter system is a pair (W, S) such that S is a finite set, and W is a group with a presentation

$$W = \langle s \in S | (st)^{m(s,t)} = 1 \text{ for all } s, t \in S \rangle$$

subject to the conditions that  $m(s,t) \in \mathbb{N} \cup \{\infty\}$ , m(s,s) = 1, and  $m(s,t) = m(t,s) \ge 2$  if  $s \ne t$ . If  $m(s,t) = \infty$  then we simply discard the relation  $(st)^{m(s,t)} = 1$ .

Through slight abuse of terminology we will refer to W as a Coxeter group, but we will always have a specifice generating set S for the Coxeter system in mind. Coxeter groups have many nice properties, and far too many to discuss here, but we will mention a few which will be of use later. The first of which is the length function. If (W, S) is a Coxeter system then we can define a function  $\ell: W \to \mathbb{N}$  by  $\ell(w)$  is the minimum number n such that w can be written as  $w = s_1 s_2 \cdots s_n$  with  $s_i \in S$  for all i. This length function is standard in group theory, and can be defined on any group with any generating set. However, in Coxeter groups this length function takes on a much richer structure which we will describe in more detail.

#### 1.1.1 M-Operations

We say that  $(s_1, s_2, ..., s_n)$  is a decomposition of w if  $w = s_1 s_2 \cdots s_n$ , and that it is a reduced decomposition if  $n = \ell(w)$ . Certainly decompositions, and even reduced decompositions need not be unique, and we will see some ways that we can generate new decompositions. By definition, m(s,s) = 1 so  $s^2 = 1$  for all  $s \in S$ . Thus if we ever have an element of s repeated twice in a row in a decomposition, we can simply delete the copies to get another decomposition with smaller length. If  $s \neq t \in S$  then  $(st)^{m(s,t)} = 1$  and thus we can say

$$\underbrace{sts\cdots t(s)}_{m(s,t)} = \underbrace{tst\cdots s(t)}_{m(s,t)}$$

This again means if we have any alternating string of s and t of the right length, then we can replace it with the swapped alternating string, and get another decompostion of the same length. These two decompostion operations are immediate consequences of the definition of a Coxeter system, but as we will see in the following theorem from [1], these give us everything we need.

 $\{thm:Mop\}$ 

**Theorem 1.** If (W, S) is a coxeter system and  $(s_1, s_2, \ldots, s_n)$  is a decomposition of w, then we can obtain a new decomposition of w by deleting a substring of the form (s, s), or relpacing a substring of length m(s,t) of the form  $(s,t,\ldots,s(t))$  with a substring of the form  $(t,s,\ldots,t(s))$ . We will call these two operations M-Operations of type 1 and 2 respectively. Furthermore, any decomposition of w can be transformed into a reduced decomposition by repeated application of M-Operations of type 1 and 2, and any two reduced decompositions of w can be transformed into one another by applications of M-Operations of type 2.

There are many consequences of Theorem 1 but one of the most notable is this, we have a simple algorithm to obtain a reduced decomposition of any w, and we can always check if a decomposition is reduced. In either case we repeatedly apply any possible M-Operations, and applying those of type 1 if possible or noting if none are possible in the case of a decomposition which is already reduced. It also gives us some facts about the length function. For example, if we can write  $w = s_{i_1} \cdots s_{i_k}$  then  $\ell(w)$  and k are either both even, or both odd, as application of type 1 operations will always reduce the length of a decomposition by 2.

### 1.1.2 Standard Subgroups and Standard Cosets

Coxeter groups also have a nice subgroup structure will give rise to the rich geometry we will use later. If (W, S) is a Coxeter system then by definition W is generated by S. For any  $J \subset S$  we can form a subgroup  $W_J = \langle s | s \in J \rangle \leq W_S$ . For example,  $W_S = W$  and  $W_\emptyset = \{1\}$ . We will also define a standard coset to be any coset of the form  $wW_J$  for any  $w \in W$  and  $J \subset S$ . Standard cosets also have a type function and it is the type of the associated standard subgroup.

As before, there is nothing special about these definitions, as similar definitions hold for any group, but what is special is the structure on standard subgroups. The map which sends

 $J \to W_J$  is a bijection from subsets of S to standard subgroups. If H is a standard subgroup, then its J can be recovered as  $H \cap S$ . We can also check that  $(W_J, J)$  is also a Coxeter system.

We can use Theorem 1 to derive some basic consequences about standard subgroups. For example, we can show that  $W_J \cap W_J' = W_{J \cap J'}$ . One inclusion is clear, and if we take  $w \in W_J \cap W_J'$  we can write two reduced decompositions of w, one of which only uses letters from J and the other only uses letters from J'. These reduced decompositions can be transformed into one another by M-Operations of type 2, but M-Operations cannot introduce new letters into a reduced decomposition, only change the order. Thus every letter in the intial decompositions must be in J and J'.

One situation which will be very useful later is when the group W is finite. We say that a Coxeter Group or Coxeter System is sperical if W is finite. If (W, S) is spherical then we can prove several facts. First of all, W has a unique element of maximal length, which is usually denoted  $w_0$ . It has the property that  $\ell(ww_0) = \ell(w_0) - \ell(w)$  for every  $w \in W$ . One consequence of this fact is that for any  $w \in W$  a reduced decomposition of w can be extended to a reduced decomposition of  $w_0$ . This element of maximal length will be of some interest in the geometry of W as well. In a similar fashion, we say that W is a spherical subset of W if W is spherical. We also say that W is 2-spherical if every subset of W of size W is a spherical subset. This is equivalent to saying that W is 2-spherical if every W is W in W is 2-spherical subset.

Let  $\Delta$  be the set of all standard subgroups of W, with a partial order given by reverse inclusion, so that  $W_J \leq W_{J'}$  if and only if  $J' \subset J$ . Using the fact from the previous paragraph, one can check that  $\Delta$  is isomorphic as a poset to the subsets of S under reverse inclusion. This fact is the basis for our definition of the Coxeter Complex.

### 1.2 Coxeter Complex

**Definition 2.** If (W, S) is a Coxeter system, let  $\Sigma$  be the collection of standard cosets of W, ordered by reverse inclusion. Then  $\Sigma$  is a simplical complex called the Coxeter Complex of W.

In the standard terminology of simplicial complexs, we will refer to each standard coset as a simplex, and A and B are simplices with  $A \leq B$  then we say A is a face of B. One can check that the dimension of any simplex  $wW_J$  will be |S| - |J| - 1 because the ordering is by reverse inclusion. For this reason, it is sometimes more useful to refer to the rank of a simplex which is one more than the dimension, so that the rank of  $wW_J = |S| - |J|$ . We can also draw several conclusions from this fact. First of all, every maximal simplex of  $\Sigma$  has the same dimension, |S| - 1, and they will correspond exactly to the elements of W by  $w \mapsto wW_{\emptyset}$ . We can also see that the standard subgroup  $W = W_S$  is a simplex of dimension -1 and of rank 0 which is a face of every other simplex.

The Coxeter complex is also equiped with a type function  $\tau: \Sigma \to \mathcal{P}(S)$  by  $\tau(wW_J) = S \setminus J$ . However, for convinience, we will more often refer to the *cotype* of a simplex which is  $S \setminus \tau(wW_J) = J$ . For example, maximial dimensional simplices will have cotype  $\emptyset$ , and co-dimension 1 simplices will have type  $\{s\}$  for some  $s \in S$ . This convention is also convinient

as simplices of cotype J will have rank |J| and dimension |J|-1.

We will call the maximal simplices of  $\Sigma$  chambers and the co-dimension 1 simplices of  $\Sigma$  will be called panels. A panel will have cotype  $\{s\}$  for some  $s \in S$ , or just cotype s for short. If we take a look at a panel of cotype s, we see that it is a standard subgroup of the form  $wW_{\{s\}} = w\{1, s\} = \{w, ws\}$ . Thus each panel will contain exactly two chambers, corresponding to w and ws, and we will say that the chambers w and ws are s-adjacent. We say that two chambers are ajacent if they are s-adjacent for some  $s \in S$ . We will also note that there is an obvious chamber which can be distinguished, namely the chamber  $W_{\emptyset} = \{1\}$ . We will call this the fundamental chamber of  $\Sigma$  and denote it as C.

A gallery in  $\Sigma$  is a sequence of chambers  $D_0, D_2, \ldots, D_n$  such that  $D_i$  and  $D_{i+1}$  are ajacent for every i. We will say that a subset  $\mathcal{D}$  of chambers of  $\Sigma$  is gallery connected if for all chambers  $D, E \in \mathcal{D}$ , there is a gallery  $D_0, \ldots, D_n$  in  $\mathcal{D}$  such that  $D_0 = D$  and  $D_n = E$ . Using this definition we have the following result about  $\Sigma$ .

{prop:gallerycon}

**Proposition 1.** If  $\Sigma$  is the Coxeter complex of a Coxeter system (W, S) then  $\Sigma$  is gallery connected.

*Proof.* If  $D_1, \ldots, D_n$  is a gallery then  $D_n, \ldots, D_1$  will also be a gallery. We can also see that if  $D_1, \ldots, D_n$  and  $E_1, \ldots, E_m$  are galleries with  $D_n = E_1$  then  $D_1, \ldots, D_n = E_1, \ldots, E_m$  will also be a gallery. Thus it will suffice to show that for any chamber D, there is a gallery between D and the fundamental chamber C.

If D is a chamber of  $\Sigma$  then D is a standard coset  $wW_{\emptyset} = \{w\}$  for some  $w \in W$ . We will induct on  $\ell(w)$ . If  $\ell(w) = 0$  then w = 1 and D = C so the result is immediate. Otherwise, let  $(s_{i_1}, s_{i_2}, \ldots, s_{i_n})$  be a minimal decomposition of w with  $n \geq 1$  so that  $w = s_{i_1} s_{i_2} \cdots s_{i_n}$  and  $n = \ell(w)$ . Then  $w' = s_{i_1} s_{i_2} \cdots s_{i_{n-1}} \in W$  with  $\ell(w') = n - 1 < \ell(w)$ . If D' is the chamber corresponding to w' then inductively there is a gallery  $C, \ldots, D'$ . If we examine the panel of D' of cotype  $s_{i_n}$  then we will see it must be  $w'\{1, s_{i_n}\} = \{w', w\}$  and thus D' and D are adjacent. Thus we can extend our gallery  $C, \ldots, D'$ , D to get a gallery from C to D as desired.

It turns out that  $\Sigma$  is sufficiently nice that the geometry of the lower dimension simplices can be recovered from the chambers of  $\Sigma$  and from the s-ajacency relations. Thus we will rarely need to make arguments using simplices other than chambers or panels. This also means when considering subset of  $\Sigma$ , we will instead use the chambers of  $\Sigma$ ,  $\mathcal{C}(S)$  almost exclusively.

If D and E are chambers then a minimal gallery between D and E is a gallery of minimal length, that is, any other gallery between D and E is at least as long. Then we can turn  $\mathcal{C}(\Sigma)$  into a metric space where d(D, E) is the length of a minimal gallery between D and E. It is not so surprising that there is a direct link between galleries in  $\Sigma$  and decompositions in W. In fact, we have the following facts which can be found in [1]. If D = w and E = w' are chambers of  $\Sigma$ , then  $d(D, E) = \ell(w^{-1}w')$ . Furthermore, if  $(s_{i_1}, \ldots, s_{i_n})$  is any decomposition of  $w^{-1}w'$  then there is a gallery  $D_0, \ldots, D_n$  from D to E where  $D_j$  is  $s_{i_j}$  adjacent to  $D_{j+1}$  for all j. In this case the minimal galleries will correspond to reduced decompositions.

#### 1.2.1 Links and Stars

We saw before that if  $J \subset S$  then  $(W_J, J)$  is also a Coxeter system. This structure will also carry over into the coxeter complexes. Before giving the details, we need to define a few more terms. In any simplical complex, we say that two simplices A and B are joinable if they are contained in a common maximal simplex. In term of the coxeter complex  $\Sigma$ , two simplices  $A = wW_J$  and  $B = w'W_{J'}$  are joinable if they share a common element w. We can now make two more definitions which we will use extensively through the rest of the paper.

**Definition 3.** If A is a simplex of  $\Sigma$ , then the star of A,  $\operatorname{st}(A)$ , is all of the simplices of  $\Sigma$  which are joinable to A. In terms of chambers  $C(\operatorname{st}(A)) = \{w \in W | w \in A = w'W_J\}$ . We can also define the link of A,  $\operatorname{lk}(A)$ , as the set of all simplices of  $\Sigma$  which are joinable to A, but do not contain A.

{prop:link}

Now we can see how the subgroup structure of W translates to the geometry of  $\Sigma$ .

**Proposition 2.** If A is a simplex of  $\Sigma$  of cotype J, then lk(A) is isomorphic as simplical complexes to the Coxeter complex  $\Sigma_J$  of  $(W_J, J)$ .

We can define  $\Sigma_{\geq A}$  to be the set of simplices in  $\Sigma$  which contain A. There is a bijection from  $\operatorname{lk}(A)$  to  $\Sigma_{\geq A}$  given by  $B \mapsto B \cup A$  which is also an isomorphism as posets. Using this fact we can check that the chambers of  $\operatorname{st}(A)$  will be in 1-1 correspondence with the maximal simplices of  $\operatorname{lk}(A)$  which are also the chambers of  $\Sigma_J$ . For a simplex A, the star and link of A will give more or less the same combinatorial information, and thus which one we use will be somewhat a matter of convinience.

Stars and links have other nice properties which we will take advantage of later. First of all  $C(\operatorname{st}(A))$  is gallery connected, and the galleries in  $\operatorname{st}(A)$  correspond exactly to galleries in  $\Sigma_J$ . Furthermore, suppose that  $D_0, \ldots, D_n$  is a minimal gallery between two chambers in  $\operatorname{st}(A)$  where A has cotype J. Then we know that  $D_i$  and  $D_{i+1}$  are  $s_i$  adjacent for some  $s_i \in S$ . But in fact,  $s_i \subset J$  for every i. In fact, the types of these adjacencies is exactly the same as those in the minimal gallery of  $\Sigma_J$ .

We say that a Coxeter complex  $\Sigma$  is spherical or 2-spherical if W is spherical or 2-spherical. If  $\Sigma$  is spherical then we will define  $C^{\text{op}}$  to be the chamber of  $\Sigma$  corresponding to  $w_0$ . Then  $C^{\text{op}}$  is the unique chamber of  $\Sigma$  at maximal distance from C, and it has the property that every chamber of  $\Sigma$  is part of a minimal gallery from C to  $C^{\text{op}}$ .

Now suppose that  $\Sigma$  is a 2-spherical coxeter complex, and let A be a simplex of  $\Sigma$  of codimension 2. Then A is a simplex of cotype  $J = \{s, t\}$  for some  $s, t \in S$ . By definition of 2-spherical, this means  $W_J$  is spherical and thus there are finitely many chambers in  $\mathrm{st}(A)$ . Every chamber in  $\mathrm{st}(A)$  also has a unique chamber at maximal distance away in  $\mathrm{st}(A)$  which we will call opposite in  $\mathrm{st}(A)$ . If we examine the structure of  $W_J$  we can even see that it is the dihedral group of order 2m(s,t), and the simplical compelex  $\Sigma_J$  will be a 2m(s,t)-gon with edges as chambers and vertices as panels. Translating to  $\Sigma$  this means that  $\mathrm{st}(A)$  consists of 2m(s,t) chambers arranged in a circular patern around A, and opposite chambers in  $\mathrm{st}(A)$  will be at distance m(s,t) away from each other.

#### 1.2.2 Projections

Another useful tool for studying the geometry of  $\Sigma$  is the concept of projections.

**Theorem 2.** If A is a simplex of  $\Sigma$ , and D is a chamber of  $\Sigma$ , then there is a chamber  $E \in \operatorname{st}(A)$  such that  $d(D, E) \leq d(D, E')$  for all  $E' \in \operatorname{st}(A)$ . Additionally, the chamber E is unique and we define the projection of D on to A, or  $\operatorname{Proj}_A(D)$  to be the chamber E. The projection E is also characterized by the property that d(D, E') = d(D, E) + d(E, E') for all  $E' \in \operatorname{st}(A)$ .

The property d(D, E') = d(D, E) + d(E, E') is known as the gate property because it means for any  $E' \in st(A)$ , there is a minimal gallery from D to E' which passes through E. Projections also allow us to define a notion of convexity in a Coxeter complex.

**Definition 4.** We say that a subcomplex  $\Delta$  of  $\Sigma$  is convex, if  $\operatorname{Proj}_A(D) \in \Delta$  whenever A is a simplex of  $\Delta$  and D is a chamber of  $\Delta$ .

Convexity also has another interpretation, which can be taken as the definition if desired. A chamber subcomplex  $\Delta$  of  $\Sigma$  is convex if for any chambers D, E of  $\Delta$ , any minimial gallery from D to E in  $\Sigma$  is contained in  $\Delta$ . This means that we can look for minimal gallerys in a convex chamber subcomplex of  $\Sigma$ , and still be sure that it will be minimal in all of  $\Sigma$ . One of the most common uses for this is to apply the result to the convex chamber subcomplex  $\operatorname{st}(A)$  for some simplex A. If  $D, E \in \operatorname{st}(A)$  for some simplex A, then any minimal gallery from D to E will be contained in  $\operatorname{st}(A)$ , which is very easy to understand based on our earlier remarks.

#### 1.2.3 Roots

Intuitively we should think of Coxeter groups as reflection groups in some space. A reflection should divide a space into two halves, which are sswitched by a reflection. We will formalize this notion with the concept of roots.

**Definition 5.** For any adjacent chambers D, D', let  $\alpha_{D,D'}$  be the subcomplex of  $\Sigma$  defined by  $\mathcal{C}(\alpha_{D,D'}) = \{E \in \Sigma | d(E,D) < d(E,D')\}$ . Then  $\alpha_{D,D'}$  is called a root, and the collection

by  $C(\alpha_{D,D'}) = \{E \in \Sigma | a(E,D') < a(E,D')\}$ . Then  $\alpha_{D,D'}$  is called a root, and the of all  $\alpha_{D,D'}$  for adjacent chambers D and D' are called the roots of  $\Sigma$ .

We will denote the set of all roots of  $\Sigma$  by  $\Phi$ . A consequence of Theorem 1 is that  $d(E, D) \neq d(E, D')$  for every chamber E of  $\Sigma$ , so we can think about  $\alpha_{D,D'}$  as the chambers which are closer to D than to D'. This also means that for any chamber E, we have either d(E, D) > d(E, D') or d(E, D) < d(E, D'). If D and D' are adjacent chambers then both  $\alpha_{D,D'}$  and  $\alpha_{D',D}$  will be roots, and we will have  $\mathcal{C}(\alpha_{D,D'}) \cap \mathcal{C}(\alpha_{D',D}) = \emptyset$  and  $\mathcal{C}(\alpha_{D,D'}) \cup \mathcal{C}(\alpha_{D',D}) = \mathcal{C}(\Sigma)$ .

The roots  $\alpha_{D,D'}$  and  $\alpha_{D',D}$  are very closely related, and roughly correspond to the two half spaces defined by a reflection. To differentiate between these roots, we say a root is *positive* if it contains the fundamental chamber C. This choice is of course arbitrary, but the chamber C is a convinient choice. Similarly, we say a root is negative if it does not contain C, and

 $\{defn:convex\}$ 

 $\{defn:root\}$ 

we say that  $\alpha_{D,D'}$  and  $\alpha_{D',D}$  are opposite roots. We will also denote this with the notation  $\alpha_{D',D} = -\alpha_{D,D'}$ .

If roots are roughly analogous to the half spaces defined by a reflection, then we should also have some notion of the reflection line. If  $\alpha$  is a root of  $\Sigma$  then we define the wall of  $\alpha$ , denoted by  $\partial \alpha$  or  $\mathcal{H}_{\alpha}$ , to be  $\alpha \cap (-\alpha)$ . Then certainly  $\partial \alpha$  will contain no chambers, but will not be non-empty, as the panel contained in D and D' will be in  $\partial \alpha$  if  $\alpha = \alpha_{D,D'}$ .

There are several facts about roots and walls which we will use later. Every root is gallery connected, and is also a convex chamber subcomplex of  $\Sigma$ . What is even more interesting is the interaction between roots and links. Suppose A is a simplex of  $\Sigma$  of cotype J. Then we can recall that  $lk(A) \cong \Sigma_J$  where  $\Sigma_J$  is is a Coxeter complex for  $(W_J, J)$ . Then there is a natual corespondence between roots in  $\Sigma$  to roots in lk(A). The map  $\alpha \to \alpha \cap lk(A)$  is a bijection between the roots of  $\Sigma$  such that  $A \in \alpha$ , and the roods of lk(A) viewed as a Coxeter complex in its own right. Furthermore, this map is also a bijection between walls as well. These results further reiterate the fact that when working in lk(A), we can essentially forget about the rest of  $\Sigma$  and consider only the Coxeter complex for  $(W_J, J)$ . This will be especially useful when discussing links of co-dimension 2 simplices.

While discussing roots we should also discuss intervals of roots which will become important later on when discussing RGD systems. We say that two roots  $\alpha$  and  $\beta$  are pre-nilpotent if both  $\alpha \cap \beta$  and  $(-\alpha) \cap (-\beta)$  contain a chamber. In this case we define  $[\alpha, \beta] = \{ \gamma \in \Phi | \alpha \cap \beta \subset \gamma \}$  and  $(-\alpha) \cap (-\beta) \subset -\gamma \}$  and  $(\alpha, \beta) = [\alpha, \beta] \setminus \alpha, \beta$ . While these definitions seem arbitrary, they will be very important in later chapters, and they also have very nice interpretations in the context of links. Suppose  $\alpha$  and  $\beta$  form a prenilpotent pair. Then Lemma 8.42 of [1] says that either  $\partial \alpha$  and  $\partial \beta$  will meet, or  $\alpha$  and  $\beta$  are nested, meaning  $\alpha \subset \beta$  or  $\beta \subset \alpha$ .

If  $\alpha$  and  $\beta$  are nested then  $[\alpha, \beta]$  we can think of  $\partial \alpha$  and  $\partial \beta$  as parallel planes in  $\Sigma$ , and  $(\alpha, \beta)$  is the set of roots whose walls lie between  $\alpha$  and  $\beta$ . If  $\partial \alpha$  and  $\partial \beta$  meet then we can choos a maximal simplex A of  $\partial \alpha \cap \partial \beta$ . As described in Example 8.44 of [1], the simplex A will have have co-dimension 2, and lk(A) will be a rank 2 buildings, which is a 2n-gon. In this case, intervals of roots can be described by enumerating the roots around a 2n-gon in clockwise or counterclockwise order, and then lifting those roots to  $\Sigma$ . It is also worth noting that the condition on pre-nilpotence is natural in the context of sperical Coxeter complexes, where two roots  $\alpha$  and  $\beta$  are prenilpotent as long as  $\beta \neq -\alpha$ .

Thus far we have discussed many properties and attributes of  $\Sigma$ , but we have not really described how the group theory of W interacts with  $\Sigma$  besides in the notion of galleries. In the next section we will see that we can say much more about the interaction of the group W and the Coxeter complex  $\Sigma$ .

### 1.3 W-Action

 $\{prop:wact\}$ 

**Proposition 3.** There is a well defined action of W on  $\Sigma$  by  $w'(wW_J) = w'wW_J$ . Then each  $w \in W$  induces an isomorphism of  $\Sigma$  which also preserves (co)type of each simplex.

As  $\Sigma$  is built directly from W, it is unsurprising that this W action plays very nicely with

the geometry of  $\Sigma$ , and we will briefly collect the more relevant facts. The W-action sents galleries to galleries, minimal galleries to minimal galleries, and thus d(D, E) = d(wD, wE) for all  $D, E \in \mathcal{C}(\Sigma)$  and  $w \in W$ .

Because of how natural our definition of the W action is, we can also check relatively easily that W interacts nicely with all of the concepts we have defined so far. If A is a simplex and D is a chamber then we have  $\operatorname{Proj}_{wA}(wD) = w\operatorname{Proj}_{A}(D)$  for all  $w \in W$ . If  $\alpha$  is a root of  $\Sigma$  then  $w\alpha$  is also a root with wall  $w\partial\alpha$ . Furthermore, if  $\partial\alpha$  is a wall which separates D and D' then  $w\partial\alpha$  will separate wD and wD'. This also means  $w\alpha_{D,D'} = \alpha_{wD,wD'}$ .

It will also be useful to provie some properties of this action.

{thm:stabW}

**Theorem 3.** The action of W is transitive on simplices of  $\Sigma$  of cotype J. Furthermore, suppose A is a simplex of W of cotype J which is a face of  $w = wW_{\emptyset}$ . Then  $\mathrm{stab}_W(A) = wW_Jw^{-1}$ .

An immediate result is that W acts simply transitively on the chambers of  $\Sigma$ , which is no surprise given the definition of the action. An application is that when working with links or galleries, it is almost always good enough to assume that a simplex A is a face of the fundamental chamber C.

# Chapter 2

# Buildings and BN-Pairs

{ch:buildings}

In Chapter 1 we saw that for a Coxeter system (W, S), we can define a simplical complex  $\Sigma$  which will encapsulate the group theoretic structure of W in its geometry. This allows us to understand, W very well, but is somewhat limited as Coxeter groups are very specific. In this chapter we will see how we can generalize some of these notions to other simplical complexes, and then use geometry to study groups which act on them.

{ defn:building}

**Definition 6.** A building is a simplical complex  $\Delta$  which can be expressed as a union of subcomplexes  $\Sigma$ , called Apartments, such that

- (B0) Every apartment  $\Sigma$  is a Coxeter complex
- (B1) For any two simplices  $A, B \in \Delta$ , there is an apartment containing A and B.
- (B2) For any two apartments  $\Sigma, \Sigma'$ , there is an isomorphism from  $\Sigma$  to  $\Sigma'$  which fixes  $\Sigma \cap \Sigma'$  pointwise.

We are using much of the same notation and terminology as [1] but we have changed (B2). When introducing the theory of buildings, we can weaken (B2) to another property which is actually equivalent. However, for our purposes it will be easier to simply state the stronger result as an axiom.

As buildings are defined as unions of Coxeter complex, it should come as no surprise that many of the properties of Chapter 1 will still hold, possibly with some slight modification. In fact, a Coxeter complex  $\Sigma$  is an example of a building with a single apartment, so nearly every result about buildings in general will also hold for Coxeter complexes.

First of all, we will note that every maximal simplex of  $\Delta$  will have the same dimension as any two maximal simplices will lie in some apartment  $\Sigma$ , and appartments, which are Coxeter complexes, have the property that every maximal simplex has the same dimension. As with any simplical complex, we will say the dimension of  $\Delta$  is the dimension of a maximal simplex. We will call these maximal simplices Chambers, and we will call co-dimension 1 simplices panels.

As with Coxeter complexes, we will say that two chambers are adjacent if they share a panel. One key difference between buildings and Coxeter complexes is that in a Coxeter complex,

exactly two chambers will be adjacent on every panel, where in a building, there can be any number of chambers sharing the same panel, possibly infinitely many. As in the previous chapter, a sequence of chambers  $D_0, \ldots, D_n$  is called a gallery if  $D_i$  and  $D_{i+1}$  are adjacent for all i. A building  $\Delta$  will be gallery connected as any two chambers will be contained in an apartment, and apartments are gallery connected. We can use galleries to define a metric on the set of chambers of  $\Delta$ , where d(D, E) is the length of a minimal gallery from D to E. Even though we know that any two chambers can be connected through an apartment, there is no guarantee a priori that such a gallery would be minimal, or that a minimal gallery can even be contained in a single apartment. However, the following lemma from [1] shows that we can focus our attention to apartments.

 $\{lem:dist\}$ 

**Lemma 1.** Suppose  $\Delta$  is a building with chambers D and E. If  $\Sigma$  is an apartment of  $\Delta$  which contains D and E, then any minimal gallery connecting D and E in  $\Sigma$  will also be minimal in  $\Delta$ .

A consequence of the previous lemma is that when trying to determine the distance between any two chambers of  $\Delta$ , it is enough consider any appartment between the two chambers. When working with Coxeter complexes, we had a stronger notion of adjacency coming from the type function on  $\Sigma$ . We were able to say that two chambers D and E were s-adjacent if they shared a panel of cotype s. It turns out that we can construct a type function for buildings as well. We will state the result found in [1]

 $\{thm:type\}$ 

**Theorem 4.** If  $\Delta$  is a building of rank n, and S is a set of size n, then there is a type function  $\tau$  which takes values in S.

We will not include the proof of this theorem, but the idea is as follows. If we fix a chamber C then each apartment containing C will have a type function with values in S. By some permutation of S, we can choose all of these type functions so that they agree on C. Then we glue these type functions together to get a type function on the union of apartments containing C, where compatibility is ensured by (B2). But (B1) ensures that the union of apartments containing C is all of  $\Delta$  so we have a well defined type function. This also allows us to define the types and cotypes of simplices, and refer to s-adjacent chambers as we did with Coxeter complexes. It also means if we have any gallery  $D_0, \ldots, D_k$ , they we can define the type of this gallery to be a tuple  $(s_1, \ldots, s_k)$  such that  $D_{i-1}$  and  $D_i$  are  $s_i$ -adjacent for all i.

Using S-adjacency, and gallery types, we can introduce the notion of residues on  $\Delta$ . Assume  $\Delta$  has a type function taking values in S,  $J \subset S$ , and D is a chamber of  $\Delta$ . Then we can define the J-residue of  $\Delta$  containing D, denoted  $\mathcal{R}_J(D)$ , to be the chamber sub-complex of  $\Delta$  where the chambers are those which can be connected to D through galleries consisting of only J-adjacencies. More precicely, a chamber E is in  $\mathcal{R}_J(D)$  if and only if there is a gallyer  $D = D_0, \ldots, D_k = E$  of type  $(s_1, \ldots, s_k)$  where  $s_i \in J$  for all i. There are two ideas which should be discussed before developing more of the general theory started in Coxeter complexes.

If  $\Delta$  is a building, then each apartment  $\Sigma$  is a Coxeter complex for some Coxeter group W. Since every apartment is isomorphic, and Coxeter complexes are isomorphic if and only

if their associated Coxeter groups are isomorphic, we can assign to each building  $\Delta$  a well defined (up to isomorphism) Coxeter group W. In this case we say that W is the Weyl group of  $\Delta$ , and  $\Delta$  is a building of type W. It is also worth mentioning that W can be recovered purely from the combinatorial information of  $\Delta$ . If  $\tau$  is a type function on  $\Delta$  taking values in S, then we can define a Coxeter group W generated by S with  $m(s,t) = \text{diam}(\mathcal{R}_J(D))$  where D is any chamber of  $\Delta$  and  $J = \{s,t\}$ . It can be shown that every apartment of  $\Delta$  will be isomorphic to  $\Sigma_W$  for this W, and thus  $\Delta$  is a building of type W.

Finally, we will discuss the multiple ways in which we can treat buildings, a topic that we have mostly glossed over thus far. Our definition of a buildings involved with simplicial compexes, where we view lower dimensional simplices as being contained in chambers. However, residues give another point of view. For example, suppose we have a panel P of  $\Delta$  of cotype s, and a chamber D containing P. Then  $\operatorname{st}(P)$  will be all of the simplices of  $\Delta$  joinable to P, and the chambers of  $\operatorname{st}(P)$  will be exactly those containing P. But if two chambers both contain P, then they are s-adjacent, and they also lie in the same  $\{s\}$ -residue of  $\Delta$ . A similar idea holds for simplices of lower rank, and motivates the following theorem.

{thm:sim-cham}

**Theorem 5.** Suppose  $\Delta$  is a building. Then the poset of residues of  $\Delta$ , ordered by reverse inclusion, defines a simplical complex which is isomorphic to  $\Delta$ .

The previous theorem allows us to ignore lower dimensional simplices all together, and instead focus on chambers and J-residues. In practice, we will not devote completely to one approach or the other, but use whichever is more convinient at the time. The biggest difference between the two approaches is language. For example, in the simplical viewpoint we think of a panel as a co-dimension 1 simplex, and chambers contain a panel, where in the residue viewpoint, we think of a panel as a J residue where |J| = 1, and we say that a panel contains a chamber. This mixing of terminology will not be confusing in context however, as it will be clear what approach is being used at any given time.

We say a building is *thick* if each panel is a face of at least 3 chambers, or if each panel contains 3 chambers in the residue point of view. We say a building is *thin* if each panel is the face of exactly 2 chambers. Note that a thin building is a Coxeter complex. While the set-up for buildings is applicable to any building, many of the results in later chapters will only be valid for thick buildings, so we introduce the definition here so readers are familiar.

### 2.1 Links, Projections, and Roots

Throughout this section, assume that  $\Delta$  is a building with a type function taking values in S. In this section we will examine some of the ideas introduced in the previous chapter.

Suppose that  $J \subset S$  and A is a simplex of  $\Delta$  of cotype J. As with Coxeter complexes, we define  $\operatorname{st}(A)$  to be the set of all simplices which are joinable to A, and  $\operatorname{lk}(A)$  is the set of all simplices in  $\operatorname{st}(A)$  which are disjoint from A. As aluded to before, the set of all chambers in  $\operatorname{st}(A)$  will form a J-residue of  $\Delta$ . It is also shown in [1] that  $\operatorname{lk}(A)$  is a chamber complex as well, and it is also a building of type  $W_J$ . We also get a nice description of the apartments of  $\operatorname{lk}(A)$ . Suppose A is a set of apartments for A. Then  $\{\Sigma \cap \operatorname{lk}(A) | A \in \Sigma\}$  is a set of apartments

for lk(A). Furthermore, we know that  $\Sigma \cap lk(A) = lk_{\Sigma}(A)$  where  $lk_{\Sigma}(A)$  simply denotes the link in the apartment  $\Sigma$ .

Now suppose that A is a simplex of cotype J and D is any chamber of  $\Delta$ . Then there is a unique chamber  $E \in \operatorname{st}(A)$  such that  $d(D, E) \leq d(D, E')$  for all chambers  $E' \in \operatorname{st}(A)$ . In this case we call E the projection of D onto A and denote it  $\operatorname{Proj}_A(D)$ . If we use the chamber complex point of view then we say  $\operatorname{Proj}_R(D)$  where R is the J-residue coresponding to A. The projection still possesses the gate property so that d(D, E') = d(D, E) + d(E, E') for all chambers  $E' \in \operatorname{st}(A)$ . Since distances and minimal galleries can be computed in suitable apartments, it is of no surprise that projections can also be computed in apartments. To be more precise, if  $\Sigma$  is an apartment of  $\Delta$  containing A and D, then  $\operatorname{Proj}_A^{\Delta}(D) = \operatorname{Proj}_A^{\Sigma}(D)$ .

A subset of  $\mathcal{M}$  of  $\Delta$  is called convex if for every simplex A and chamber D of M, we have that  $\operatorname{Proj}_A(D) \in M$ . The condition that A is contained in  $\mathcal{M}$  is replaced by the assumption that the residue R meets  $\mathcal{M}$  in the chamber complex viewpoint. Similar to the case for Coxeter complexes, the condition that  $\mathcal{M}$  is convex is eqivalent to ensuring that for any chambers D, E of  $\mathcal{M}$ , any minimal gallery connecting D and E will be completely contained in  $\mathcal{M}$ . Through some of the comments made earlier, we have more or less shown that residues and apartments of a building are both convex subcomplexes.

Recall that in a Coxeter complex, for every pair of adjacent chambers D, D', we define the root  $\alpha_{D,D'}$  to be the chambers which are closer to D than to D'. In a a building  $\Delta$ , a subset  $\alpha$  is called a root if it is a root of some apartment  $\Sigma$  of  $\Delta$ .

We have mentioned it before but it is worth reiterating, most of the properties and definitions for buildings can be defined in terms of apartments. For this reason, you will see throughout the remainder our our work that we will rarely reference the building  $\Delta$  at all, but will instead choose appropriate apartments and work there. This also makes our lives easier as panels contain only 2 chambers, the the interaction between W and  $\Sigma$  is much more straightforward than that between W and  $\Delta$ .

### 2.2 Spherical Buildings

We say a building  $\Delta$  is spherical if the Weyl group W is spherical, or equivalently if each apartment  $\Sigma$  is a spherical Coxeter complex. As stated before, this means that W has a unique element of maximal length and the diameter of any apartment will also be this length. We say that two chambers C and D are opposite if d(C, D) is maximal, and we write  $C \circ D$ . If C and C' are opposite chambers of  $\Delta$ , then there is a unique apartment containing C and C', and this apartment is the minimal convex subset of  $\Delta$  containing C and C'.

Results about spherical buildings will be especially useful when consider 2-spherical buildings, where  $m(s,t) < \infty$  for all  $s,t \in S$ . In this case, every codimension 2 link will be a spherical building and we can use facts about opposition to study local properties of  $\Delta$ .

# Chapter 3

# Group Actions on Buildings

 $\{ch:rgd\}$ 

In the first chapter we saw the interplay between the group theory of W and the geometry of  $\Sigma$ . In the previous chapter we developed the geometry of buildings, and we will now explore the group theoretic consequences of groups acting on a building.

Some arbitrary group action on a building  $\Delta$  will not be enough to say much, so we need to restrict our attention to stronger group actions. Throughout this chapter we will assume that we have a group G acting on a building  $\Delta$  and the action is both simplicial and type preserving. We will also assume that A is a system of apartments for  $\Delta$  such that  $g\Sigma \in A$  for each  $g \in G$  and  $\Sigma \in A$ . We will not discuss the details of apartment systems, but it should be noted that this is always possible using the complete system of apartments as described in Theorem 4.54 of [1].

### 3.1 BN-Pairs

We say that the group G acts strongly transitively if G acts transitively on pairs  $(\Sigma, C)$  where  $\Sigma$  is an apartment of  $\Delta$ , and C is a chamber of  $\Delta$  contained in  $\Sigma$ . Equivalently, G acts strongly transitively if G acts transitively on chambers, and for any chamber C, the stabilizer of C acts transitively on apartments containing C, or if G acts transitively on apartments, and for any apartment  $\Sigma$ , the stabilizer of  $\Sigma$  acts transitively on the chambers of  $\Sigma$ . Assume for the rest of the chapter that any group action on a building is strongly transitive.

Choose a chamber C and an apartment  $\Sigma$  containing  $\Sigma$  which we will fix and call the fundamental chamber and fundamental apartment respectively. We can define several subgroups of G which will be the basis of most of the section. Define subgroups

$$B = \{g \in G | gC = C\}N \qquad \qquad = \{g \in G | g\Sigma = \Sigma\}$$

We can make a few remarks which can all be found in section 6.1.1 of [1]. First of all, there is a natual, type preserving action of N on  $\Sigma$ , and since the type preserving automorphisms of  $\Sigma$  are exactly those induced by W, we get a homomorphism  $\phi: N \to W$  which is surjective by strong transitivity. Let  $T = \ker \phi$  be the elements of N which fix  $\Sigma$  pointwise. Then  $N/T \cong$ 

W, and furthermore, N/T has a canonical choice of generators by taking representatives in N which send the fundamental chamber C to adjacent chambers. Since the action of G is type preserving, we can check that any element of G which stabilizes  $\Sigma$  and C will fix  $\Sigma$  pointwise, and thus  $T = B \cap N$ . Finally, G is generated by B and N, and we can even show G = BNB.

Similar to the case with Coxeter groups and Coxeter complexes, we would like to be able to move between the group theory of G and the geometry of G. Before we can do this we need to make a few more remarks. As previously stated, G = BNB. We also know that there is a surjection of N onto W with kernel  $T = B \cap N$ . This means that for any  $w \in W$ , there is some lift  $\tilde{w} \in N$  which is sent to w, and any other lift will differ by an element of  $T \subset B$ . This allows us to unambiguously write expressions of the form BwB which is understood to mean the double coset  $B\tilde{w}B$  for any lift of w. Furthermore, the map  $W \to B \backslash G/B$  by  $w \mapsto BwB$  is a bijection.

Proposition 6.27 in [1] also says that we have a nice description of stabilizers of lower dimensonal simplices. If A is a face of C of cotype J, then the stabilizer of A is  $P_J = \bigcup_{w \in W_J} BwB$ . In particular,  $P_J$  is a subgroup of G for all  $J \subset S$ , and we will refer to them as standard parabolic subgroups, while cosets of the form  $gP_J$  will be called standard parabolic cosets. Corollary 6.29 of [1] says, similar to Coxeter complexes, the building  $\Delta$  can be recovered as the poset of standard parabolic cosets of G, ordered by reverse inclusion. While the result is similar to that for Coxeter complexes, it is worth nothing that this result goes in the opposite direction, with Coxeter complexes we defined a simplicial complex from the coset data, while here we already had the simplical complex data, and simply recovered it from the group theory. Before moving on we will explore the conditions necessary to actually construct a building from group theoretic data.

 $\{\mathit{defn:bnpair}\}$ 

**Definition 7.** A pair of subgroups B and N of a group G is a BN-Pair if  $G = \langle B, N \rangle$ ,  $T = B \cap N$  is normal in N, and the quotient W = N/T admits a set of generators S such that  $sBw \subset BswB \cup BwB$  and  $sBs^{-1} \not\subset B$  for all  $s \in S$  and  $w \in W$ . In this case we also say that the tuple (G, B, N, S) is a Tits System.

Despite the suggestive notation, we do not assume that the elements of S have order 2, or even that W is a Coxeter group. These are results which follow from the axioms, as well as others which can be found in Theorem 6.56 of [1]. If (G, B, N, S) is a Tits system, then (W, S) is a Coxeter system, and there is a thick building  $\Delta(G, B)$  on which G acts strongly transitively with G the stabilizer of the fundamental chamber, and G the stabilizer of the fundamental apartment. Furthermore, if G acts strongly transitively on G, then G and G as defined before form a BN-pair, and the building G is canonically isomorphic to G.

Before moving on it is worth giving at least one example of a BN-Pair. Let  $G = GL_n(k)$  where  $n \geq 2$  and k is a field. Then one can let B be the set of upper triangular matrices, and N the set of permutation matrices, which are matrices with exactly one non-zero element in each row and column. The elements S will be the permutation matrices which swap the i and i+1 position. The rest of the axioms can be checked with linear algebra, but it is also of interest what building this group acts on. The complete construction can be found in section 4.3 of [1], but there is a way to associate a building to any vector space V. For

any vector space V, we can define a building  $\Delta(V)$  where the chambers are complete flags in V, and the apartments roughly correspond to unordered bases of V. This is consistient as the upper triangular matrices B are exactly those that stabilize the standard flag, and the permutation matrices are those that preserve the standard unordered basis.

### 3.2 Moufang Buildings and RGD Systems

We saw in the previous section that groups acting strongly transitively on a building have a great deal of group theoretic structure. In this section we will explore additional restrictions which can be placed on these group actions to be able to draw even more consequences, and then apply the results to common examples including Kac-Moody groups.

For now, let  $\Delta$  be a thick sperical building. We will later cover how to generalize the results in the non-spherical case. In the previous section we discussed properties of certain group actions on a building, but we gave no indication on how these actions arise. There is however one group which always acts nicely on a building, namely  $\operatorname{Aut}_0(\Delta)$ , the group of type preserving automorphisms of  $\Delta$ . For any root  $\alpha$  of  $\Delta$  we can then define the root group  $U_{\alpha}$  to be the subgroup of  $\operatorname{Aut}_0(\Delta)$  which fixes  $\alpha$  pointwise, and fixes  $\operatorname{st}(P)$  pointwise for any panel of  $\Delta$  in  $\alpha \setminus \partial \alpha$ .

Recall that  $\alpha$  is a root of  $\Delta$  if it is a chamber subcomplex of  $\Delta$  which is a root in any apartment which contains it. Define  $\mathcal{A}(\alpha)$  to be the set of apartments of  $\Delta$  which contain  $\alpha$ . If P is a panel contained in  $\partial \alpha$  we can also define  $\mathcal{C}(P,\alpha)$  to be the the set of chambers in P which do not lie in  $\alpha$ . Remark 4.118 in [1] says that for any chamber D in  $\mathcal{C}(P,\alpha)$  there is a unique apartment of  $\Delta$  containing D and  $\alpha$ , and thus there is a canonical bijection from  $\mathcal{C}(P,\alpha)$  to  $\mathcal{A}(\alpha)$ . We can also see from the definition that  $U_{\alpha}$  will act on both  $\mathcal{C}(P,\alpha)$  and  $\mathcal{A}(\alpha)$ . Lemma 7.25 in [1] says that these actions are equivalent under the canonical bijection, and that these actions are also free as long as the Coxeter diagram of W has no isolated nodes.

We say that a building is *Moufang* if the action of  $U_{\alpha}$  on  $\mathcal{A}(\alpha)$  on is transitive, and it is strictly *Moufang* if the action is simply transitive. By the previous remarks, a Moufang building is guaranteed to be strictly Moufang as long as the Coxeter diagram has no isolated nodes.

We would like some way to relate these root groups to strongly transitive actions from the previous section, and we do get this. Let  $\Sigma$  be the fundamental apartment of  $\Sigma$  and  $\Phi$  its set of roots. Assume that  $\Delta$  is Moufang and let  $G = \langle U_{\alpha} | \alpha \in \Phi \rangle$ . Then Proposition 7.28 from [1] says that the group G will act strongly transitively on  $\Delta$  with respect to the apartment system  $\mathcal{A} = \{g\Sigma | g \in G\}$ . It can also be checked that  $gU_{\alpha}g^{-1} = U_{g\alpha}$  for any  $g \in \operatorname{Aut}(\Delta)$ . If  $\beta$  is any root of  $\Delta$  then there is some  $g \in G$  such that  $g\beta \subset \Sigma$  since G, acts strongly transitively, and thus we have  $gU_{\beta}g^{-1} = U_{g\beta} \leq G$ . This means  $G = \langle U_{\alpha} | \alpha$  is a root of  $\Delta$  and G does not depend on the choice of  $\Sigma$  as described in Remark 7.29 of [1].

In Chapter 2 we discussed links and the relationship between apartments of  $\Delta$  and apartments of lk(A). Recall that for any simplex A of  $\Delta$  of cotype J that lk(A) is a building of type  $(W_J, J)$ . Furthermore, if  $\Sigma$  is an apartment of  $\Delta$  which contains A then  $\Sigma \cap \text{lk}(A)$  is an

apartment of lk(A). Given the connection between roots and apartments, it is not surprising that the roots of lk(A) are given by  $lk(A) \cap \alpha$  for all roots  $\alpha$  of  $\Delta$  with  $A \in \partial \alpha$ .

Suppose that A is a simplex of  $\Delta$  and let  $\Delta' = \operatorname{lk}(A)$ . Suppose that  $\alpha$  is a root of  $\Delta$  with  $A \in \partial \alpha$  and let  $\alpha'$  be the corresponding root of  $\Delta'$ . If P' is a panel on  $\partial \alpha'$  then  $P = P' \cup A$  is a panel on  $\partial \alpha$ . As described in section 7.3.2 of [1], we get a homomorphism  $\rho: U_{\alpha} \to U_{\alpha'}$  given by the restriction of the action of  $U_{\alpha}$  on  $\Delta'$ . There is also a bijection between  $\mathcal{C}(P', \alpha')$  and  $\mathcal{C}(P, \alpha)$  given by  $C' \mapsto C' \cup A$ . The consequence, if  $\Delta$  is Moufang, then  $\Delta' = \operatorname{lk}(A)$  is also Moufang, and if  $\Delta$  is strictly Moufang and the Coxeter diagram for  $\Delta'$  has no isolated nodes then  $\Delta'$  is also strictly moufang and  $\rho$  is an isomorphism.

As with BN-Pairs, we would like to develop the theory that allows us to construct a Moufang building from group theoretic data which we will do in the next section.

#### 3.2.1 RGD Systems

Throughout the section (W, S) will be a spherical Coxeter system and  $\Phi$  will be the set of roots of the Coxeter complex  $\Sigma$  of type (W, S). Let  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  be a triple consisting of a group G, a family of subgroups  $U_{\alpha}$  for each root of  $\Phi$ , and another subgroup T. Let  $\Phi_{\pm}$  denote the set of positive (negative) roots, and  $U_{\pm} = \langle U_{\alpha} | \alpha \in \Phi_{\pm} \rangle$ . We also know that for every  $s \in S$  there is a root  $\alpha_s = \{D \in \Sigma | d(C, D) < d(sC, D)\}$ , and we will let  $U_s = U_{\alpha_s}$ .

We say that  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  is an RGD system of type (W, S) if the following conditions hold:

RGD0  $U_{\alpha} \neq \{1\}$  for all  $\alpha \in \Phi$ 

RGD1 
$$[U_{\alpha}, U_{\beta}] \leq U_{(\alpha,\beta)} = \langle U_{\gamma} | \gamma \in (\alpha,\beta) \rangle$$
 whenever  $\alpha \neq \pm \beta$ 

RGD2 For every  $s \in S$ , there is a function  $m: U_s^* \to G$  such that  $m(u) \in U_{-s}uU_{-s}$  and  $m(u)U_{\alpha}m(u)^{-1} = U_{s\alpha}$  for all  $\alpha \in \Phi$ . Furthermore,  $m(u)^{-1}m(t) \in T$  for all  $u, t \in U_s^*$ .

RGD3  $U_{-s} \not\leq U_{+}$  for all  $s \in S$ .

RGD4  $G = T\langle U_{\alpha} | \alpha \in \Phi \rangle$ 

RGD5  $T \leq \bigcap_{\alpha \in \Phi} N_G(U_\alpha)$ 

Based on our setup, it should be of no surprise that our first example of RGD systems comes from strictly Moufang buildings. Suppose  $\Delta$  is a spherical, strictly Moufang building of type (W, S). Fix an apartment  $\Sigma$  and let  $U_{\alpha}$  be the root group of  $\alpha$  for each root of  $\Phi$ . Let  $G = \langle U_{\alpha} | \alpha \in \Phi \rangle$  and  $T = \operatorname{Fix}_G(\Sigma)$ . Then  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  is an RGD system of type (W, S).

The goal of this section is similar to that for BN-Pairs, which is to show that RGD systems are more or less equivalent to Moufang buildings in the right circumstances. Suppose that  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  is an RGD system of type (W, S) where W is spherical. Let  $B_+ = TU_+$  and  $N = \langle T, \{m(u)|u \in U_s^*, s \in S\} \rangle$ . Theorem 7.115 in [1] says that  $B_+$  and N form a BN-pair, and (G, B, N, S) is a Tits system. Furthermore,  $B_+ \cap N = T$  and the Weyl group

 $N/(B_+ \cap N) = N/T$  is isomorphic to W. In particular, there is a building  $\Delta$  of type (W, S) on which G will act stronly transitively with respect to some apartment system.

Theorem 7.166 in [1] then also goes on to say that  $\Delta$  is Moufang. Moreover, if the Coxeter diagram of W has no isolated nodes then  $\Delta$  is strictly Moufang. We can also choose an apartment  $\Sigma_0$  of  $\delta$  and identify the roots  $\Phi_0$  of  $\Sigma_0$  with  $\Phi$  by  $\alpha_0 \mapsto \alpha$  such that for every  $\alpha \in \Phi$ , the subgroup  $U_{\alpha}$  is exactly the root group  $U_{\alpha_0}$ . This theorem says that RGD systems and strictly Moufang buildings encode essentially the same information.

#### 3.2.2 Moufang Polygons

Recall that the rank of a Building/Coxeter complex/Coxeter system is the size of S. Then a Moufang Polygon is a spherical, Moufang building of rank 2. If  $\Delta$  is a Moufang polygon then the Weyld group W has presentation  $\langle s,t|s^2=t^2=(st)^{m(s,t)}=1\rangle$  and the sperical condition is equivalent to saying that  $m(s,t)<\infty$ . As long as m(s,t)>2 we also know that the Coxeter diagram of W is connected and so  $\Delta$  will also be strictly Moufang.

The Moufang condition is very restrictive and it turns out that rank 2, thick Moufang buildings only exist when m(s,t) = 3,4,6,8 as shown in somtheing.

Moufang Polygons are of interest because if we assume that  $\Delta$  is a 2-spherical Moufang building with a Coxeter diagram that has no labels of 2, then every link of a co-dimension 2 simplex will be a Moufang polygon. The structure of these co-dimension 2 linkes will be very useful for understanding the group theory and geometry of  $\Delta$ .

probably some more information should go here but I don't know a good reference right now

### 3.2.3 Non-Spherical RGD Systems and Twin Buildings

To finish the chapter, we need to add some details to complete the discussion of Moufang buildings. Thus far, the reader may have noticed that we have only discussed the topic of Moufang buildings and RGD systems which are spherical. They may have also noticed that when defining RGD systems, we got a BN-pair by defining  $B_+ = T\langle U_\alpha | \alpha \in \Phi_+ \rangle$ . It seems that we made an arbitrary choice to use  $B_+$  instead of the completely symmetric choice of  $B_-$  which is defined similarly. In this section, we will address both of these issues at the same time.

Suppose that (W, S) is an arbitrary Coxeter system with roots  $\Phi$ . Then we say that  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  is an RGD system if it satisfies all of RGD axioms outlined before with the replacement of (RGD2) by the condition that  $[U_{\alpha}, U_{\beta}] \leq U_{(\alpha,\beta)}$  whenever  $\alpha$  and  $\beta$  form a pre-nilpotent pair. Recall that if W is spherical then  $\alpha$  and  $\beta$  form a pre-nilpotent pair if and only if  $\alpha \neq \pm \beta$ . Thus this new (RGD2) axiom is equivalent to the old.

Under these assumptions we can form a Tits system  $(G, B_+, N, S)$  as before, and we can also form another Tits system  $(G, B_-, N, S)$  which gives us two buildings  $\Delta_+$  and  $\Delta_-$ . We can also define an opposition relation between  $\Delta_+$  and  $\Delta_-$  so that the fundamental chambers  $G/B_+$  and  $G/B_-$  are opposite. The triple  $(\Delta_+, \Delta_-, op)$  is called a *twin building*. A full

treatment of Twin buildings can be found in sections 5.8, 6.3, and 8.3 of [1] which we will not repeat here, but we will cover some of the highlights. First of all, twin buildings are a generalization of spherical buildings. If  $(\Delta_+, \Delta_-, \text{op})$  is a twin building with spherical Weyl group then there is a canonical isomorphism between  $\Delta_+$  and  $\Delta_-$  such that the opposition relation coresponds exactly with opposition in the spherical sense.

Let  $\Delta$  be the twin building  $(\Delta_+, \Delta_-, \text{op})$ . Twin apartments of  $\Delta$  consist of a pair of apartments  $(\Sigma_+, \Sigma_-)$  so that every chamber in each half is opposite to exactly 1 chamber of the other half. Twin roots of  $\Delta$  consist of pairs of roots in twin apartments with appropriate opposition relations. The twin roots of of  $\Delta$  are in exact correspondence with the roots of either half of the twin building. When we start with an RGD system, we also know that the group G will act strongly transitively on both halves of the twin building. The groups  $U_{\alpha}$  will act just as they did in the spherical case, except they are now acing on twin roots instead of standard roots.

We can define links in twin buildings as we did before, but for the most part we will only be using links in a single half of the twin building, so the theory is identical. There is of course much more theory about twin buildings than what was covered here, but we will mostly use information only in the spherical case and will cite any other results later as they are used.

In the next chapter we will develop more of the group theoretic consequences of the RGD axioms, and we will so how we can use them to answer questions about finite generation.

#### 3.2.4 Kac-Moody Groups

We will take this section to motivate some of the topics in this chapter with classical examples. Perhaps the most well known example is the group  $G = GL_n(k)$  or  $SL_n(k)$  where k is a field. Then G has an RGD system of type  $S_n$  where  $S_n$  is the symmetric group on n letters with the standard generating set  $(i \ i + 1)$ . The roots of W correspond to those in a classical root system of type  $A_{n-1}$ , and so we get a root group  $U_{\alpha} = U_{ij}$  for each pair  $1 \le i \ne j \le n$ . Each root group  $U_{ij}$  consists of matrices with 1's on the diagonal, and all 0's except for possibly in the ij position. The associated building is the same one as described earlier in the chapter, which is Moufang. The BN-pair associated to the RGD system is the same as that described earlier as well.

There are also many more examples. Classical groups such as simplectic, unitary, and orthogonal groups all have RGD systems of spherical type, but we also would like to describe some examples which are not spherical. Let  $G = \mathrm{SL}_n(k[t,t^{-1}])$ . A more complete treatment of this group can be found in [2] but we will show some of the details here. The Weyl group of G is the affine Coxeter group of type  $\tilde{A}_{n-1}$ . The roots of W correspond to ij pairs with  $i \neq j$  as well as an exponent  $\ell$  of t. Then we have a root group  $U_{ij\ell}$  consisting of matrices with 1's on the diagonal, and some multiple of  $t^{-\ell}$  in the ij position. The subgroup  $B_+$  is the subgroup  $\mathrm{SL}_n(k[t])$  and similarly for  $B_-$ .

Perhaps the most motivating example to justify RGD systems is that of Kac-Moody groups as treated in [3]. Kac-Moody groups are a natural extensions of Chevalley groups and have similar presentations by "Steinberg relations". We start with a triple  $(\Lambda, (\alpha_i)_{i \in I}, (h_i)_{i \in I})$ 

where  $\Lambda$  is a free  $\mathbb{Z}$  modules with  $\alpha_i \in \Lambda$  and  $h_i$  in the dual so that  $(\langle \alpha_j, h_i \rangle)$  is a generalized Cartan matrix. For any field k we get an RGD system  $(\mathcal{G}_D(k), (\mathcal{U}_{\alpha}(k))_{\alpha \in \Phi}, \mathcal{T}(k))$  where the root system  $\Phi$  is that for the Weyl group associated to the generalized Cartan matrix. It is also worth noting that each root group  $\mathcal{U}_{\alpha}(k)$  is isomorphic to the additive group of k, and  $\mathcal{T}(k)$  is a torus. These Kac-Moody groups also have some additional properties which we will use later.

# Chapter 4

## Known Results on Finite Generation

{ch:known}

Throughout this chapter  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  will be an RGD system of type (W, S). As discussed in the previous chapter, this means that there is a twin building  $\Delta = (\Delta_+, \Delta_-, \text{op})$  on which G will act strongly transitively. Our main goal in the rest of the paper is to prove some results about finiteness properties of G and its subgroups. Our main tools will be the geometry of the fundamental apartment  $\Sigma$ , and links of co-dimension 2 simplices in  $\Delta$ . In the next section we will develop the theory about roots which we will use in the main results. Since the roots of a twin apartment are in exact corespondence with the roots of a single half, we will consider  $\Sigma$  as a standard apartment or Coxeter complex, and not a twin apartment.

### 4.1 Local Roots and Root Groups

Assume that they Weyl group W of G has rank 3 and that W is 2-spherical. Then the fundamental apartment  $\Sigma$  will be a Coxeter complex which is 2 dimensional, and thus codimenson 2 simplices of  $\Sigma$  will be points, or vertices. We will also assume that  $m(s,t) \geq 3$  for all  $s,t \in S$  so that  $\Delta$  is strictly Moufang, and every link of a vertex will also by strictly Moufang.

For any vertex v of  $\Sigma$ , there will be some walls of  $\Sigma$  which pass through v, and for each of these walls we have a unique *positive* root. We will call these the **positive roots at** v and denote them by  $\Phi^v_+$ . Recall that  $\operatorname{st}(v)$  is the the set of all simplices with v as a face, but we will view it as a chamber complex and only consider the chambers with v as a face. If there are v positive roots at v then  $|\operatorname{st}(v)| = 2n$ .

Furthermore, it is possible to label the positive roots at v as  $\alpha_1, \ldots, \alpha_n$  in such a way that  $\alpha_i \cap \alpha_j \subset \alpha_k$  for any  $1 \leq i \leq k \leq j \leq n$ . We will call this a standard labeling or standard ordering of the positive roots at v. This ordering is unique up to a reversal of the form  $\alpha_i \mapsto \alpha_{n+1-i}$ . In most cases this reversal will not matter, and when it does we will specify a choice of  $\alpha_1$ . While this definition may seem strange, it is worth noting that a standard ordering will give an ordering which increases as we move clockwise or counterclockwise, depending on our choice of  $\alpha_1$ . The standard labeling also has a nice interpretation for open intervales. If  $\alpha_1, \ldots, \alpha_n$  is a standard labeling of the roots through v, and i < j then

$$(\alpha_i, \alpha_j) = \{ \alpha_k | i < k < j \}.$$

If v is a vertex of  $\Delta$  then the Moufang property, and the assumption  $m(s,t) \geq 3$  will imply that lk(v) is also a Moufang polygon  $\Delta'$ . We also know that the root groups  $U_{\alpha}$  will be isomorphic to the root groups of  $\Delta'$ . We define the subgroup  $U_v = \langle U_{\alpha} | \alpha \in \Phi_+^v \rangle$ , and note that it is the corresponding  $U'_+$  for the building  $\Delta'$ . We can also define a subgroup  $U'_v = \langle U_1, U_n \rangle \leq U_v$  which is the subgroup of  $U_v$  generated by the root groups of simple roots at v. It turns out that "most" of the time,  $U'_v = U_v$ , which has deep consequences for  $U_+$ .

Recall that a spherical building has a notion of opposition when two chambers are as far apart as possible. We will say that a spherical building  $\Delta$  satisfies condition (co) if for any chamber C, the set  $C^{\text{op}} = \{D \in \Delta | C \text{op} D\}$  is gallery connected. Lemma 3 in [4] tells us that for any vertex v of  $\Sigma$ , the index  $[U_v : U_v']$  is equal to the number of connected components, as chamber complexes, of the spherical building lk(v). In particular,  $U_v = U_v'$  if and only if lk(v) satisfies condition (co). Citing the main result of [4] again we have the following Lemma

{lem:index}

**Lemma 2.** If v is a vertex of  $\Sigma$ , then lk(v) satisfies condition (co) unless lk(v) is the spherical building associated to one of the following finite Chevalley groups

$$C_2(2)$$
  $G_2(2)$   $G_2(3)$   ${}^2F_4(2)$ 

Moreover, the index  $[U_v: U'_v]$  is summarized for all of the exceptional cases in the following table.

$$\begin{array}{c|c} U_v & [U_v:U_v'] \\ \hline C_2(2) & 2 \\ G_2(2) & 4 \\ G_2(3) & 3 \\ {}^2F_4(2) & 2 \\ \end{array}$$

As mentioned in the previous chapter, twin buildings are a generalization of spherical buildings and we define condition (co) in the same manner for twin buildings, where opposition is now the twin building opposition in the two halves of the twin building. Theorem 1.5 in [5] says that a twin building will satisfy property (co) if all of it's rank 2 residues satisfy (co) when viewed as spherical buildings. As a result, it is enough to check that none of the links of co-dimension 2 vertices of  $\Delta$  are one of the 4 types described above.

For any twin building  $\Delta$  of type (W, S), and choice of fundamental apartment and fundamental chamber  $\Sigma$  and C we have a canonical set of fundamental roots  $\{\alpha_s\}_{s\in S}$  where  $\alpha_s$  is the root which contains C and not sC. Then we have the subgroup  $U' = \langle U_{\alpha_s} | s \in S \rangle$  and Lemma 3 of [4] says that  $U' = U_+$  if and only if  $\Delta$  satisfies (co). Note that if  $\Delta$  has rank 2 then U' is identical to that described above and we get the same result as before. This also gives the following Corollary

{cor:cofg}

Corollary 1. Let  $(G, (U_{\alpha}), T)$  is an RGD system of type (W, S). If W is 2-spherical, and the associated building  $\Delta$  does not have any rank 2 residues associated to  $C_2(2), G_2(2), G_2(3)$ , or  ${}^2F_4(2)$  then  $U_+$  is finitely generated.

Much of the theory of twin buildings relies on condition (co), and thus uses the assumption that no rank 2 residues are among the 4 exceptional types listed above. For example, if  $\Delta$ 

satisfies (co) then  $U_+$  is finitely generated. Our goal for the remainder will be to fill in some of this theory to include cases where  $\Delta$  does not satisfy (co). Before we can do this, we will need to collect some more results about the 4 exceptional rank 2 buildings listed above.

The groups  $C_2(2)$ ,  $G_2(2)$ , and  $G_2(3)$  are all finite Chevalley groups and so they have well known presentations. The group  ${}^2F_4(2)$  is a twisted Chevalley group, but we will not have as much cause to work with this group specifically so we will not work with it as much. A full construction of Chevalley groups can be found in [6], among other places, but we will record the specifice presentations found in Corollary 5.2.3.

 $\{lem:c22pres\}$ 

**Lemma 3.** If v is a vertex of  $\Sigma$  such that lk(v) is the building associated to  $C_2(2)$ , then there is a standard labeling  $\alpha_1, \ldots, \alpha_4$  of the positive roots at v so that  $U_v$  has the following presentation:

$$U_{\alpha_i} = U_i = \{1, u_i\} \text{ for all } i$$
  
 $U_+ = \langle u_i | 1 \le i \le 4, \ u_i^2 = 1, \ [u_1, u_4] = u_2 u_3, \ [u_i, u_j] = 1 \text{ if } |i - j| < 3 \rangle$ 

To get the presentations in the  $G_2(2)$  and  $G_2(3)$  cases, we can derive both presentations at the same time from the results in [6]. If lk(v) is the building associated to  $G_2(k)$ , then for each positive root  $\alpha$  through v, the group  $U_{\alpha}$  is isomorphic to the additive group of the finite field of order k. This means we can write  $U_{\alpha} = \{x_{\alpha}(t)|t \in \mathbb{F}_k\}$  and  $x_{\alpha}(t)x_{\alpha}(u) = x_{\alpha}(t+u)$ . As a consequence,  $x_{\alpha}(0) = 1$  for all roots  $\alpha$ . Then there is a standard labeling  $\alpha_1, \ldots, \alpha_6$  of the positive roots through v, with  $U_i = U_{\alpha_i}$  such that  $U_v$  is generated by  $U_i = \{x_i(t)|t \in \mathbb{F}_k\}$  subject to the relations

$$[x_1(u), x_6(t)] = x_5(\pm tu)x_3(\pm tu^2)x_2(\pm tu^3)x_4(\pm 2t^2u^3)$$

$$[x_1(u), x_5(t)] = x_3(\pm 2tu)x_2(\pm 3tu^2)x_4(\pm 3t^2u)$$

$$[x_2(u), x_6(t)] = x_4(\pm tu)$$

$$[x_1(u), x_3(t)] = x_2(\pm 3tu)$$

$$[x_3(u), x_5(t)] = x_4(\pm 3tu)$$

$$[x_i(u), x_j(t)] = 1 \quad \text{otherwise}$$

There is some ambiguity in this presentation from the signs in the relations, but this will not be a problem as when k = 2 the signs are irrelevant and when k = 3 then sign change replaces a generator of  $U_i$  by its inverse. This presentation applies to any Chevalley group of type  $G_2$ , so next we will apply it to the two specifice groups in question.

{lem:g22pres}

**Lemma 4.** Suppose v is a vertex of  $\Sigma$  so that lk(v) is the building associated to  $G_2(2)$ . Then there is a standard labeling of the positive roots through v with  $U_i = U_{\alpha_i}$  such that

 $U_v = \langle U_i | 1 \leq i \leq 6 \rangle$  and a presentation is given by the following relations

$$U_{i} = \{1, u_{i}\}$$

$$[u_{1}, u_{6}] = u_{5}u_{3}u_{2}$$

$$[u_{1}, u_{5}] = u_{2}u_{4}$$

$$[u_{2}, u_{6}] = u_{4}$$

$$[u_{1}, u_{3}] = u_{2}$$

$$[u_{3}, u_{5}] = u_{4}$$

$$[u_{i}, u_{j}] = 1 \quad otherwise$$

{lem:g23pres}

**Lemma 5.** Suppose v is a vertex of  $\Sigma$  so that lk(v) is the building associated to  $G_2(3)$ . Then there is a standard labeling of the positive roots through v with  $U_i = U_{\alpha_i}$  such that  $U_v = \langle U_i | 1 \leq i \leq 6 \rangle$  and a presentation is given by the following relations

$$U_{i} = \{1, x_{i}(1), x_{i}(-1)\}$$

$$[x_{1}(u), x_{6}(t)] = x_{5}(c_{1}tu)x_{3}(c_{2}tu^{2})x_{2}(c_{3}tu)x_{4}(c_{4}t^{2}u)$$

$$[x_{1}(u), x_{5}(t)] = x_{3}(c_{5}tu)$$

$$[x_{2}(u), x_{6}(t)] = x_{4}(c_{6}tu)$$

$$[x_{i}(u), x_{j}(t)] = 1 \quad otherwise$$

where each  $c_i \in \{\pm 1\}$ .

So far, we know that for the 4 exceptoinal cases listed above we have  $U'_v \neq U_v$  and we know the index. The next few results will be to collect properties about  $U'_v$  and  $U_v$  which we will use later when proving results about finite generation.

{lem:normal}

**Lemma 6.** Suppose v is a vertex of  $\Sigma$  with |st(v)| = 2n such that  $[U_v : U_v'] \ge 2$ . If lk(v) is the building associated to  $C_2(2)$ ,  $G_2(3)$ , or  ${}^2F_4(2)$  then  $U_v'$  is a normal subgroup of  $U_v$ . If lk(v) is the building associated to  $G_2(2)$  then  $U_v'$  is not normal, but there is a standard labeling of the positive roots through v such that  $U_v'' = \langle U_1, U_2, U_6 \rangle$  is proper and normal.

*Proof.* First suppose lk(v) is the building associated to either  $C_2(2)$  or  ${}^2F_4(2)$ . Then  $U'_v$  is an index 2 subgroup of  $U_v$  and thus it is normal.

Now suppose lk(v) is the building associated to  $G_2(3)$ . To show that  $U'_v$  is normal it will suffice to show that  $x_i(t)U_jx_i(-t) \in U'_v$  for  $1 \le i \le 6$  and  $j \in \{1,6\}$ . Since most of the commutators are trivial, we can use the presentation in Lemma 5 to calculate

$$[[x_1(u), x_6(t)], x_6(t')] = [x_5(c_1tu)x_3(c_2tu^2)x_2(c_3tu)x_4(c_4t^2u), x_6(t')]$$

$$= [x_2(c_3tu), x_6(t')]$$

$$= x_4(c_3c_6tt'u)$$

which means  $U_4 \subset U'_v$ . A similar computation shows that  $[x_1(t'), [x_1(u), x_6(t)]]$  is a non-trivial element of  $U_3$  so that  $U_3 \subset U'_v$  as well.

The presentation in Lemma 5 tells us that  $U_i$  and  $U_j$  commute when  $|i-j| \leq 3$ . Therefore we have  $x_i(t)U_1x_i(-t) = U_1 \subset U_v'$  for all  $i \geq 3$ , and similarly we have  $x_i(t)U_6x_i(-t) = U_6$  for all  $i \leq 4$ . It is also clear that  $x_1(t)U_6x_1(-t) \subset U_v'$  and  $x_6(t)U_1x_6(-t) \subset U_v'$ . There are only two conjugates left to check and we can see that

$$x_5(u)x_1(t)x_5(-u) = [x_5(u), x_1(t)]x_1(t)x_5(u)x_5(-u) \subset U_3U_1 \subset U_v'$$

and similarly we have

$$x_2(u)x_6(t)x_2(-u) = [x_2(u), x_6(t)]x_6(t)x_2(u)x_2(-u) \subset U_4U_6 \subset U_v'$$

which shows  $U'_v$  is normal as desired.

Now suppose that lk(v) is the building assoicated to  $G_2(2)$  and choose the standard labeling of the positive roots through v so that we have the presentation given in Lemma 4. Let f be a map from  $\{u_i\}_{1\leq i\leq 6}$  to the group with two elements  $\{\pm 1\}$  such that  $f(u_i)=1$  if  $i\in\{1,2,4,6\}$  and  $f(u_i)=-1$  if  $i\in\{3,5\}$ . If we check the presentation given in Lemma 4 we can see that f will extend to a well defined group homomorphism  $f:U_v\to\{\pm 1\}$  which is surjective. Thus ker f has index 2 in  $U_v$  and it contains  $U_v''=\langle U_1,U_2,U_6\rangle$  by definition.

The group  $U_v$  is generated by the groups  $U_i$  and thus is generated by the elements  $u_i$  for  $1 \leq i \leq 6$ . Since  $u_2, u_6 \in U_v''$  we also know that  $[u_2, u_6] = u_4 \in U_v''$  as well. By the presentation in Lemma 4 this means that  $[u_i, u_3], [u_j, u_5] \in U_v''$  for all i, j. Using the fact that  $xy = yx[y, x]^{-1}$  we can commute  $u_3$  and  $u_5$  past any element of  $U_v''$ , picking up only other elements of  $U_v''$ . Since  $u_3$  and  $u_5$  commute we can say that  $U_v = U_5U_3U_v''$ . The cosets of  $U_v''$  are  $U_v'', u_5U_v'', u_3U_v'', u_5u_3U_v''$ , but since  $u_5u_3 = [u_1, u_6]u_2 \in U_v''$  we get  $U_v'' = u_5u_3U_v''$  and  $u_5U_v'' = u_3U_v''$  so that  $[U_v : U_v''] \leq 2$ . Since  $U_v'' \subset \ker f$  and  $[U_v : \ker f] = 2$ , we must have  $U_v'' = \ker f$  so that  $U_v''$  is proper an normal. Now it remains to show that  $U_v'$  is not normal.

If  $U'_v$  was normal then there would be a surjective map  $g: U_v \to U_v/U'_v = H$  where |H| = 4 and therefore is abelian. This means that  $g(u_2) = g([u_1, u_3]) = [g(u_1), g(u_3)] = 1$  and thus  $u_2 \in \ker g$ . But  $u_1, u_6 \in \ker g$  by definition and thus  $U''_v \subset \ker f$ . This is a contradiction as  $\ker f = U'_v$  by definition, and  $U''_v$  strictly contains  $U'_v$ , and thus  $U'_v$  is not normal.

Using Lemma 6 and elementary group theory, we get the following result.

 $\{cor:phiv\}$ 

Corollary 2. Suppose v is a vertex of  $\Sigma$  with |st(v)| = 2n such that  $[U_v : U_v'] \geq 2$ . Then there is a non-trivial cyclic group H and a surjective group homomorphism  $\phi_v : U_v \to H$  with the property that  $\phi_v(U_1) = \phi_v(U_n) = \{1\}$  where  $U_1$  and  $U_n$  are the simple root groups at v.

*Proof.* If  $[U_v: U_v'] \geq 2$  then lk(v) must be isomorphic to the building associated to one of  $C_2(2), G_2(2), G_2(3), {}^2F_4(2)$ . If the associated group is one of  $C_2(2), G_2(3), {}^2F_4(2)$  then we can apply Lemma 6 to let  $H = U_v/U_v'$  and  $\phi_v$  be the quotient map which certainly will be surjective and send  $U_1$  and  $U_n$  to  $\{1\}$  by the definition of  $U_v'$ . The group H is cyclic because it has prime order.

If lk(v) is isomorphic to the building associated to  $G_2(2)$  then we know that  $U'_v \subset U''_v = \langle U_1, U_2, U_6 \rangle$  for an appropriate standard labeling, and we again apply Lemma 6 to set  $H = U_v/U''_v$  and  $\phi_v$  as the quotient map. The group H has order equal to  $[U_v : U''_v]$  which must be 2 as  $U''_v \neq U_v$  and  $U''_v \neq U'_v$ , and thus H is cyclic as desired.

The following corollary will show that we do not have very much wiggle room when defining  $\phi_v$ , and ker  $\phi_v$  is uniquely determined by the fact that  $\phi_v$  sends  $U_1$  and  $U_n$  to the identity.

{cor:uniquephiv}

Corollary 3. Suppose v is a vertex of  $\Sigma$  with |st(v)| = 2n such that  $[U_v : U'_v] \geq 2$  and let  $\phi_v$  be defined as in the previous corollary. Then  $\ker \phi_v$  is the unique, proper, normal subgroup of  $U_v$  which contains  $U_1$  and  $U_n$ .

*Proof.* First suppose that lk(v) is the building associated to  $C_2(2)$ ,  $G_2(4)$ , or  ${}^2F_4(2)$ . Then by the construction in Corollary 2 we know that  $\ker \phi_v = U'_v$  and  $U'_v$  contains  $U_1, U_n$  by definition. In all of these cases the index  $[U_v : U'_v]$  is prime and thus  $U'_v$  is the unique proper, normal subgroup of  $U_v$  which contains  $U_1, U_n$ .

Now suppose that lk(v) is the building associated to  $G_2(2)$ . The proof of Corollary 2 shows that any normal subgroup of  $U_v$  which contains  $U_1$  and  $U_6$  must also contain  $U_2$  and thus  $U_v''$ . Since  $\ker \phi_v = U_v''$  and  $[U_v : U_v''] = 2$ ,  $\ker \phi_v$  is once again the unique proper normal subgroup of  $U_v$  containing  $U_1$  and  $U_6$ .

Despite the fact that  $U_v$  is not generated by  $U_1$  and  $U_n$ , it will be helpful to show which root groups will generate  $U_v$ . This will be necessary later when we prove that  $U_+$  is finitely generated in certain cases.

{lem:generators}

**Lemma 7.** Suppose v is a vertex of  $\Sigma$  such that lk(v) is the Moufang polygon associated to  $C_2(2)$  or  $G_2(3)$ . If  $\alpha_1, \ldots, \alpha_n$  is a standard ordering of the positive roots through v which gives the presentation as in Lemma 3 and 5, then  $U_v = \langle U_1, U_2, U_n \rangle = \langle U_1, U_{n-1}, U_n \rangle$ .

*Proof.* Let  $H = \langle U_1, U_2, U_n \rangle$  and let  $K = \langle U_1, U_{n-1}, U_n \rangle$ . In both cases we have  $U'_v \leq H, K \leq U_v$ , and since  $[U_v : U'_v]$  is prime, we get  $H = U'_v$  or  $U_v$  and similarly for K.

Now suppose lk(v) is associated to  $C_2(2)$ . Using the presentation we know that  $u_1, u_2, u_4 \in H$  and thus  $u_2[u_1, u_4] = u_3 \in H$ . Since  $U_v$  is generated by  $\{u_1, u_2, u_3, u_4\}$  we get  $H = U_v$ . Similarly,  $u_2 = [u_1, u_4]u_3 \in K$  so  $K = U_v$  as well.

Now suppose lk(v) is associated to  $G_2(3)$ . Since the presentation is more complicated in this case we can use a slightly different argument. By Corollary 2, there is a surjective homomorphism  $\phi_v: U_v \to C$  where C is a non-trivial cyclic group such that  $U'_v \subset \ker \phi_v$ . Since C is cyclic we get  $\phi_v(x_4(c_6)) = \phi_v([x_2(1), x_6(2)]) = [\phi_v(x_2(1)), \phi_v(x_6(1))] = 1$  and thus  $U_4 \subset \ker \phi_v$ . A similar argument shows that  $U_3 \subset \ker \phi_v$ .

If  $H = U'_v$  then get  $U_2 \subset \ker \phi_v$  as well. This means that  $x_5(c_1) = [x_1(1), x_6(1)]x_4(-c_4)x_2(-c_3)x_3(-c_2) \in \ker \phi_v$  and thus  $U_5 \subset \ker \phi_v$ . Since  $\ker \phi_v$  contains  $U_i$  for all  $1 \leq i \leq 6$ , it must be the trivial map which is a contradiction, as it is a surjection onto a non-trivial group. Thus  $H \neq U'_v$  and  $H = U_v$  as desired. A similar argument shows that  $K = U_v$  and thus  $U_v = \langle U_1, U_2, U_n \rangle = \langle U_1, U_{n-1}, U_n \rangle$  as desired.

So far we have only considered each vertex v and  $U_v$  separately. But in the Coxeter complex  $\Sigma$ , we have not only a collection of vertices, but an action of the group W on the vertices which behaves nicely with properties like the type of a vertex. We will show that the W action also interacts nicely with  $U_v$  and  $\phi_v$  in a similar way.

{lem:resporder}

**Lemma 8.** Suppose v is a vertex of  $\Sigma$  of type s, |st(v)| = 2n, and  $[U_v : U_v'] \ge 2$ . Also suppose that w is an element of W such that  $w\gamma$  is a positive root at wv for every positive root  $\gamma$  at v. Then there are standard labelings  $\alpha_1, \ldots, \alpha_n$  and  $\alpha'_1, \ldots, \alpha'_n$  of the positive roots through v and wv respectively such that  $\alpha'_i = w\alpha_i$  for all i. In particular, w sends roots at v which are simple to roots at v' which are also simple. Furthermore, if v' is any vertex of  $\Sigma$  of type v then there is a v is v such that v is a positive root at v' for any positive v at v.

Proof. Recall a standard labeling is on of the form  $\alpha_1, \ldots, \alpha_n$  where  $\alpha_i \cap \alpha_j \subset \alpha_k$  for all  $1 \leq i \leq k \leq j \leq n$ . If w sends all of the positive roots at v to the positive roots at wv then w induces a bijection on the positive roots at v and wv. Now we can define a labeling of the positive roots at wv by  $\alpha_i' = w\alpha_i$  for all i. It only remains to check that this is a standard labeling. If  $1 \leq i \leq k \leq j \leq n$  then  $\alpha_i \cap \alpha_j \subset \alpha_k$  and thus  $\alpha_i' \cap \alpha_j' = w\alpha_i \cap w\alpha_j \subset w\alpha_k = \alpha_k'$  so this is a standard labeling as desired.

Now it suffices to show that such a w exists for any vertex v' in  $\Sigma$ . Since the W action on  $\Sigma$  is transitive on vertices of the same type, it will suffice to show the result when v is a vertex of the fundamental chamber C. Let  $D = \operatorname{Proj}_{v'}(C)$  so that d(D, C) is minimal among all chambers of  $\operatorname{st}(v')$ . Then we know that no walls through v' can separate D and C, because crossing one of these walls would produce a chamber in  $\operatorname{st}(v)$  which is closer to C. Therefore, a root at v' is positive if and only if it contains D.

Now choose the unique  $w \in W$  such that D = wC. We claim that w satisfies the desired properties. First of all, v is a vertex of C of type s and thus wv is a vertex of wC = D of type s. But we know that v' is a vertex of D of type s by definition and thus wv = v' as desired. Now suppose  $\gamma$  is any positive root at v. Then  $C \in \gamma$  and thus  $D = wC \in w\gamma$  and thus  $C \in w\gamma$  so  $w\gamma$  is positive at wv = v'. Now this w sends positive roots at v to positive roots at v' as desired.

Before moving on it is worth clarifying that the type s of the vertex v in the previous lemma can by any type, not just the literal type s in the definition of W.

The previous result can also be used to show that the W action on  $\Sigma$  also behaves nicely with respect to the group  $U_v$  and the homomorphisms  $\phi_v$  when they exit.

 $\{cor: respect phiv\}$ 

Corollary 4. Suppose v is a vertex of  $\Sigma$  with |st(v)| = 2n and  $[U_v : U'_v] \geq 2$  and v' is any other vertex of  $\Sigma$  of the same type. Then there is an isomorphism between  $U_v$  and  $U_{v'}$  which sends  $U'_v$  to  $U'_{v'}$ . Consequently,  $[U_v : U'_v] = [U_{v'} : U'_{v'}]$ ,  $\phi_v$  exists if and only if  $\phi_{v'}$  exists, and if  $\phi_v$  exists then this isomorphism sends  $\ker \phi_v$  to  $\ker \phi_{v'}$ . If w is any element of W such that wv = v' and  $w\gamma$  is positive for all positive  $\gamma$  at v, then this isomorphism can be defined by the property that  $U_\gamma$  is sent to  $U_{w\gamma}$  for every  $\gamma$  at v.

Proof. Let w be any element of W with wv = v' which sends positive roots at v to positive roots at v'. Such a w is guaranteed to exist by Lemma 8. By Proposition 8.54 in [1] and the there is an element  $\tilde{w} \in G$  such that  $\tilde{w}U_{\alpha}(\tilde{w})^{-1} = U_{w\alpha}$  for all  $\alpha \in \Phi$ . Let  $f_w : G \to G$  be the isomorphism of conjugation by  $\tilde{w}$ . Since  $w\gamma$  is positive at v' for every positive root  $\gamma$  at v we know that  $f_w(U_{\gamma}) = U_{w\gamma} \subset U_{v'}$  and thus  $f_w$  restricts to a homomorphism  $\bar{f}_w : U_v \to U_{v'}$  which is necesses arily injective. But w also give a bijection on positive roots at v and v', and v' is generated by positive root groups at v' so  $\bar{f}_w$  is surjective and thus an isomorphism. Now it remains to check it statisfies the rest of the properties.

Since w preserves standard labelings at v and v' we know that it also preserves simple roots. Thus  $\bar{f}_w(U_{\alpha_1}) = U_{\alpha'_1}$  for a standard labeling, and similarly for  $U_{\alpha_n}$  and  $U_{\alpha'_n}$ . Since  $U'_v = \langle U_{\alpha_1}, U_{\alpha_n} \rangle$  and  $U'_{v'} = \langle U_{\alpha'_1}, U_{\alpha'_n} \rangle$  we can also see that  $\bar{f}_w$  sends  $U'_v$  to  $U'_v$ . Since  $f_w$  is an isomorphism it also preserves index so  $[U_v : U'_v] = [U_{v'} : U'_{v'}]$ .

For any vertex v, the map  $\phi_v$  exists if and only if  $[U_v : U_v'] \ge 2$  and thus  $\phi_v$  will exist exactly when  $\phi_{v'}$  exists. By Corollary 3 we know that  $\ker \phi_v$  is a proper normal subgroup of  $U_v$  containing  $U_v'$  and thus  $\bar{f}_w(\ker \phi_v)$  will be a proper, normal subgroup of  $U_{v'}$  containing  $U_{v'}'$ . By Corollary 3 again this means  $\bar{f}_w(\ker \phi_v) = \ker \phi_{v'}$  which completes the result.

The main idea of our results will be to extend the map  $\phi_v$  in a certain way to a map on all of  $U_+$ , and the main difficulty in the proof will be to show that this extension is well defined. Perhaps the easiest way to prove this is to use a presentation of  $U_+$  and the universal property which says if we define a map on generators, which sends all relations to the identity, then the map defines a homomorphism. The group  $U_+$  does admit a nice presentation as shown in Theorem 8.84 of [1], which we will repeat here in the following lemma

{lem:upres}

**Lemma 9.** Let  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  be and RGD system. For each  $\alpha \in \Phi$  choose a set  $S_{\alpha} \subset U_{\alpha}$ , and a set of words  $R_{\alpha}$  with letters in  $S_{\alpha}$  so that  $\langle S_{\alpha} | R_{\alpha} \rangle$  is a presentation of  $U_{\alpha}$ . Then for each prenilpotent pair  $\{\alpha, \beta\}$  and any  $u_{\alpha} \in S_{\alpha}$  and  $u_{\beta} \in S_{\beta}$ , we can write  $[u_{\alpha}, u_{\beta}] = v$  where v is a word in  $\bigcup_{\gamma \in (\alpha, \beta)} S_{\gamma}$ . Furthermore, one obtains a presentation of  $U_{+}$  by combining the relations  $R_{\alpha}$  for all  $\alpha$  as well as the commutator relations  $[u_{\alpha}, u_{\beta}] = v$  where  $\alpha, \beta$  range over all pre-nilpotent pairs, and  $u_{\alpha}, u_{\beta}$  range over all of  $S_{\alpha}$  and  $S_{\beta}$ .

{lem:tri}

There is another result which we will use extensively in the following chapters, but which is slightly different from what we have done so far. We state the following fact about the geometry of certain Coxeter complexes.

**Lemma 10** (Triangle Condition). Suppose  $\Sigma$  is a Coxeter complex of type (W, S) where  $S = \{s, t, u\}, \ 3 \leq m(s, t) \leq m(s, u) \leq m(t, u) < \infty$ , and  $m(t, u) \geq 4$ . Let  $\alpha_1, \alpha_2, \alpha_3$  be roots of  $\Sigma$  such that  $\partial \alpha_i \cap \partial \alpha_j \neq \emptyset$  for all i, j but  $\partial \alpha_1 \cap \partial \alpha_2 \cap \partial \alpha_3 = \emptyset$ . If we assume that  $\partial \alpha_i \cap \partial \alpha_j \subset \alpha_k$  for  $i \neq j \neq k$  then  $\alpha_1 \cap \alpha_2 \cap \alpha_3$  is a chamber of  $\Sigma$ .

The previous lemma essentially says that a "Triangle" formed by 3 walls of  $\Sigma$  under the specified conditions must be a single chamber. One way we will use this lemma is by showing two walls cannot intersect, if the resulting triangle would contain more than one chamber.

In the next two chapters we will prove new results about finite generation in RGD systems when the associated building  $\Delta$  has exceptional links as described in this chapter.

# Chapter 5

### Conditions for Infinite Generation

{ch:general}

Throughout this chapter  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  will be an RGD system of type (W, S) and associated twin building  $\Delta$  with the following assumptions:

$$S = \{s, t, u\}, \ a = m(s, t), b = m(s, u), c = m(t, u)$$
 
$$3 \le a, b, c$$
 
$$U_{\alpha} \text{ is finitely generated for all } \alpha \in \Phi$$
 
$$[U_{\alpha}, U_{\beta}] = 1 \text{ when } \alpha, \beta \text{ are nested}$$
 (A)

Before moving on we should address each one of these conditions to determine what role it will play. The first condition simply says that the twin building  $\Delta$  is 2-dimensional. The second condidion excludes the possibility of Moufang quadrangles as rank 2 links, and it ensures that every link will be strictly Moufang. As we are interested in questions about finite generation, there is no hope in proving G or  $U_+$  is finitely generated if the root groups themselves are not finitely generated, so we must include this restriction to say anything at all. Finally, the condition that  $[U_{\alpha}, U_{\beta}] = 1$  if  $\alpha, \beta$  are nested is a strengthening of the commutator relations in G which will allow us to define certain homomorphisms in a nice way.

It is important to note that we are not being too restrictive, and there are still lots of examples of RGD systems which satisfy these properties. For example, any Kac-Moody group of rank 3 over a finite field will satisfy the second two conditions, and the first two conditions are determined by the Weyl group W.

We know by Corollary 1 that  $U_+$  is finitely generated if  $\Delta$  has no exceptional rank 2 links. In the next two chapters we will determine when the same result will hold if  $\Delta$  does have exceptional links. The general idea of the proof is as follows. For any vertex v with an exceptional link we have a surjective homomorphism  $\phi_v: U_v \to H$  where H is cyclic and thus abelian. We will attempt to extend this homomorphism to all of  $U_+$  in such a way that  $U_\beta$  is sent to the identity if  $U_\beta \not\subset U_v$ . Since  $\phi_v$  is surjective, the extension will also be surjective, and therefore any generating set of  $U_+$  must contain at least 1 element of  $U_v$ . If we can do this for "enough"  $U_v$  then we can potentially use this to prove that  $U_+$  cannot be finitely generated. The difficulty lies in checking that the desired extension will be well

defined, but we have a presentation of  $U_+$  so it becomes a matter of checking that certain commutator relations are satisfied. Moreover, since the co-domain is abelian, commutators will automatically vanish which simplifies the conditions which need to be checked.

### 5.1 Extension of $\phi_v$

Let  $\Sigma$  be the Coxeter complex of W with fundamental chamber C, and  $\Phi_+$  be the positive roots of  $\Sigma$ . We will also let  $U_+ = \langle U_\alpha | \alpha \in \Phi_+ \rangle$  be the subgroup of G generated by the positive root groups. The Moufang property implies that  $a, b, c \in \{2, 3, 4, 6, 8\}$  and thus (A) implies that  $a, b, c \in \{3, 4, 6, 8\}$ . We will also assume that  $\Delta$ , and thus  $\Sigma$ , has a vertex v such that  $\mathrm{lk}(v)$  is the building associated to one of the 4 exceptional Moufang polygons. Without loss of generality we will say that v has type s, and thus  $c = m(t, u) \geq 4$ .

Let v be a vertex of  $\Sigma$  such that lk(v) is the Moufang polygon associated to  $C_2(2)$ ,  $G_2(2)$ ,  $G_2(3)$ , or  ${}^2F_4(2)$ . Equivalently, this means  $[U_v:U_v'] \geq 2$ . As described in the previous chapter we will let  $\alpha_1, \ldots, \alpha_n$  be a standard ordering of the positive roots through v and we will define  $U_i = U_{\alpha_i}$  for all  $1 \leq i \leq n$ . By Corollary 2, there is a surjective homomorphism  $\phi_v: U_v \to H$  where H is a cyclic group and  $\phi_v(U_1) = \phi_v(U_n) = \{1\}$ . We would like to extend  $\phi_v$  to a map  $\tilde{\phi}_v: U_v \to H$  in a specific way to use later. Our first lemma will define our notion of extending  $\phi_v$ , and give a sufficient condition for this extension to exist.

{ lem:existence}

**Lemma 11.** Suppose that v is a vertex of  $\Sigma$  such that  $U'_v = \langle U_1, U_n \rangle \neq U_v$ , where  $U_1, U_n$  are the simple root groups at v. Then there is a surjective group homomorphism  $\phi_v : U_v \to H$  with the property that  $\phi_v(U_1) = \phi_v(U_n) = \{1\}$ , where H is a cyclic group. Also suppose that for any positive root  $\gamma$  with  $v \in \partial \gamma$  which is not simple at v, that v is simple at v for all  $v \in \partial v$  with  $v \neq v$ . Then the map  $v \in v \in V$  defined by

$$\tilde{\phi}_v(u) = \begin{cases} \phi_v(u) & \text{if } u \in U_\gamma \text{ and } v \text{ lies on } \partial \gamma \\ 1 & \text{otherwise} \end{cases}$$

Extends uniquely to a well defined group homormoprhism  $\tilde{\phi}_v: U_+ \to H$ .

*Proof.* Since  $U'_v \neq U_v$  we know that the map  $\phi_v$  exists by Corollary 2. We have a presentation for  $U_+$  and we have defined  $\tilde{\phi}_v$  on the generators of  $U_+$ , so in order to check that it is well defined we will need to verify that the relations of  $U_+$  are satisfied in the image.

There are three types of relations in the presentation for  $U_+$ . There are relations within the same root group  $U_{\alpha}$  for all positive roots  $\alpha$ . There are also relations between root groups of pre-nilpotent pairs where either the walls intersect or the roots are nested.

Let  $R_{\alpha}$  be a relation for  $U_{\alpha}$  where  $R_{\alpha}$  is considered as a word with letters in  $U_{\alpha}$ . If v lies on  $\partial \alpha$  then  $\tilde{\phi}_v(R_{\alpha}) = \phi_v(R_{\alpha}) = 1$  since  $\phi_v$  is a well defined homomorphism. Otherwise, every element of  $U_{\alpha}$  is sent to 1 and thus  $\tilde{\phi}_v(R_{\alpha}) = 1$  as well so that  $R_{\alpha}$  is mapped to the identity as desired.

Now suppose that  $\alpha$  and  $\beta$  are any two positive roots. If  $\alpha, \beta$  nested, then (A) tells us that  $[U_{\alpha}, U_{\beta}] = 1$ . Since the codomain of  $\tilde{\phi}_v$  is an abelian group, then any relation of the form [x, y] = 1 will be satisfied by the image.

Now suppose that  $\partial \alpha$  and  $\partial \beta$  meet at a point y and consider any relation of the form  $[u_{\alpha}, u_{\beta}] = w$  where  $u_{\alpha} \in U_{\alpha}$ ,  $u_{\beta} \in U_{\beta}$ , and w is a word in  $U_{(\alpha,\beta)} \subset U_{y}$ . Again, note that the image of the left side of this equation will always be the identity as the codomain is still abelian. If y = v then  $U_{y} = U_{v}$  and thus  $\tilde{\phi}_{v}(w) = \phi_{v}(w) = 1$  because  $\phi_{v}$  is well defined.

Now suppose that  $y \neq v$ . Then we can label the positive roots passing through y as  $\gamma_1, \dots, \gamma_r$  in such a way that  $(\gamma_i, \gamma_j) = \{\gamma_r | i < r < j\}$  whenever i < j. In this case we can can say without loss of generality that  $\alpha = \gamma_l$  and  $\beta = \gamma_m$  with l < m. There can be at most one root whose wall passes through y and v, which we will call  $\gamma_k$  if it exists. If  $\gamma_k$  does not exist, or  $k \leq l$  or  $k \geq m$  then the root  $\gamma_k$  is not contained in  $(\alpha, \beta)$  and thus  $\tilde{\phi}_v(U_\delta) = 1$  for all  $\delta \in (\alpha, \beta)$ . This means  $\tilde{\phi}_v(w) = 1$  and the relation is satisfied.

Now we suppose that  $\gamma_k$  exists and l < k < m. Then  $\gamma_k$  is not simple at y and thus  $\gamma_k$  must be simple at v by assumption. This means  $\tilde{\phi}_v(U_{\gamma_k}) = \phi_v(U_{\gamma_k}) = 1$  by the construction of  $\phi_v$ . Since  $\tilde{\phi}_v(U_{\gamma_i}) = 1$  for all  $i \neq k$  by definition, this means that  $\tilde{\phi}_v(w) = 1$  showing the relation is satisfied and giving the desired result.

Now Lemma 11 gives a sufficient condition for the existence of  $\tilde{\phi}_v$  which is fairly easy to check. This will be the main tool we use in the remainder of the section.

Recall from our assumption (A) that (W, S) is a rank 3 Coxeter system with  $S = \{s, t, u\}$ , and m(s,t) = a, m(s,u) = b, m(t,u) = c. We assumed that  $3 \le a, b, c$ . Let C be the fundamental chamber of  $\Sigma$  and let x be the vertex of C of type s, so that |st(v)| = 2c. If we assume that  $\Sigma$  does contain exceptional links then we can say without loss of generality that  $[U_x : U_x'] \ge 2$  so that  $\phi_x$  exists. We would like to apply Lemma 11 to show that  $\tilde{\phi}_x$  exists, but before we do so we need the following result.

 $\{lem:xpos\}$ 

**Lemma 12.** Let x be the vertex of C of type s. If  $\gamma$  is any positive root at x, and y is any other vertex on  $\partial \gamma$ , then  $\gamma$  is simple at y.

Proof. Suppose that  $\gamma$  is not simple at y. Then we can label the positive roots at y as  $\delta_1, \ldots, \delta_m$  in such a way that  $\delta_i \cap \delta_j \subset \delta_k$  for  $1 \leq i \leq k \leq j$ . In this case we have  $\delta_1, \delta_m$  are simple at y and  $\gamma = \delta_r$  for some 1 < r < m. But x is a vertex of C and  $C \in \delta_1 \cap \delta_m$  and thus  $x \in \delta_1 \cap \delta_m$  as well. We know that x lies on  $\partial \delta_r$  by assumption and thus x is an element of  $\partial \delta_r \cap \delta_1 \cap \delta_m$ . But this is impossible as we can observe from the geometry of  $\Sigma$  that  $\partial \delta_i \cap \delta_1 \cap \delta_m = \{y\}$  for all 1 < i < m. Thus  $\gamma$  is simple at y as desired.

Despite some of the technical details the previous result should be intuitively clear. The walls through y will divide  $\Sigma$  into 2m regions, and the region which contains C will be bounded by the two simple roots. Since x lies on  $\partial \gamma$ , it is impossible for any other roots through y to be any "closer" to C and thus  $\gamma$  must be simple at y as we proved.

{cor:phix}

Corollary 5. Let x be the vertex of C of type s, and assume that  $[U_x : U'_x] \geq 2$ . Then the map  $\tilde{\phi}_x$  as defined in Lemma 11 is well defined.

*Proof.* Let  $\gamma$  be any non-simple, positive root through x and let y be another vertex on  $\partial \gamma$ . Then by the previous lemma,  $\gamma$  is simple at y and thus  $\tilde{\phi}_x$  exists by Lemma 11.

The remainder of the section will be used to show that we can use  $\tilde{\phi}_x$  and the W action on  $\Sigma$  to construct a large family of vertices  $\{v\}$  for which  $\tilde{\phi}_v$  exists.

Let  $\alpha_1, \ldots, \alpha_n$  be a standard ordering of the positive roots through x. Recall from chapter 1 that we can describe any root in terms of a pair of adjacent chambers. We can also identify  $\mathcal{C}(\Sigma)$  with W where the chamber wC is associated to w. If we use this identification then we can describe the roots as follows

$$\alpha_1 = \{ D \in \Sigma | d(D, C) < d(D, tC) \} = \{ w \in W | \ell(w) < \ell(tw) \}$$
  
$$\alpha_n = \{ D \in \Sigma | d(D, C) < d(D, uC) \} = \{ w \in W | \ell(w) < \ell(uw) \}$$

In a similar way we can define two more roots

$$\beta = \{D \in \Sigma | d(D, tC) < d(D, tsC)\} = \{w \in W | \ell(tw) < \ell(stw)\}$$
$$\beta' = \{D \in \Sigma | d(D, uC) < d(D, usC)\} = \{w \in W | \ell(uw) < \ell(suw)\}$$

 $\{fig:defineD\}$ 

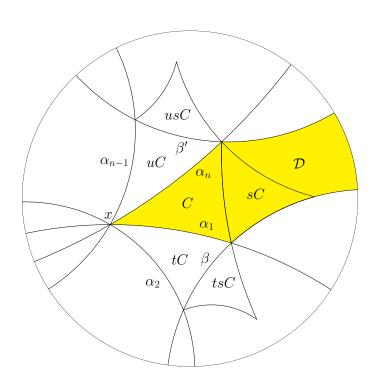


Figure 5.1: The Roots  $\alpha_1, \alpha_n, \beta, \beta'$  with the region  $\mathcal{D}$  in yellow.

Now we can define  $\mathcal{D} = \alpha_1 \cap \alpha_n \cap \beta \cap \beta'$ . These roots are chosen and  $\mathcal{D}$  is defined in such a way to give the following lemma:

{lem:containD}

**Lemma 13.** Let x be the vertex of C of type s and assume  $W = \langle s, t, u | s^2 = t^2 = u^2 = (st)^a = (su)^b = (tu)^c = 1 \rangle$ . Let  $\mathcal{D} = \alpha_1 \cap \alpha_n \cap \beta \cap \beta'$  where  $\alpha_1, \alpha_n, \beta, \beta'$  are roots of  $\Sigma$  defined by

$$\alpha_{1} = \{D \in \Sigma | d(D, C) < d(D, tC)\} = \{w \in W | \ell(w) < \ell(tw)\}$$

$$\alpha_{n} = \{D \in \Sigma | d(D, C) < d(D, uC)\} = \{w \in W | \ell(w) < \ell(uw)\}$$

$$\beta = \{D \in \Sigma | d(D, tC) < d(D, tsC)\} = \{w \in W | \ell(tw) < \ell(stw)\}$$

$$\beta' = \{D \in \Sigma | d(D, uC) < d(D, usC)\} = \{w \in W | \ell(uw) < \ell(suw)\}$$

If  $\gamma$  is a positive root at x which is not simple at x, and  $\delta$  is any other positive root such that  $\partial \gamma \cap \partial \delta \neq \emptyset$ , then  $\mathcal{D} \subset \gamma \cap \delta$ .

*Proof.* By assumption,  $\gamma$  is a positive root through x so  $\gamma = \alpha_i$  for some i. Furthermore, we assumed that  $\gamma$  was not simple which means  $2 \le i \le n-1$ . Since  $\alpha_1$  and  $\alpha_n$  are simple at x we can see that  $\mathcal{D} \subset \alpha_1 \cap \alpha_n \subset \alpha_i = \gamma$ . Thus it will suffice to prove that  $\mathcal{D} \subset \delta$ .

Let  $y = \partial \gamma \cap \partial \delta$ . If y = x then  $\delta$  is also a root which passes through x and so  $\delta = \alpha_j$  for some  $j \neq i$ . Then as before we get  $\alpha_1 \cap \alpha_n \subset \alpha_j = \delta$  and thus  $\mathcal{D} \subset \delta$  so that  $\mathcal{D} \subset \gamma \cap \delta$  as desired.

Now suppose that  $\partial \gamma \cap \partial \delta = y \neq x$ . From the local geometry of  $\Sigma$  around x we can see the following facts. For any  $\alpha_i$  with  $2 \leq i \leq n-1$  we know that  $\partial \alpha_i \cap \alpha_1 \cap \alpha_n = \{x\}$  and  $\partial \alpha_i \subset \alpha_1 \cup \alpha_n$ . Thus the point y will lie in exactly one of  $\alpha_1$  or  $\alpha_n$ .

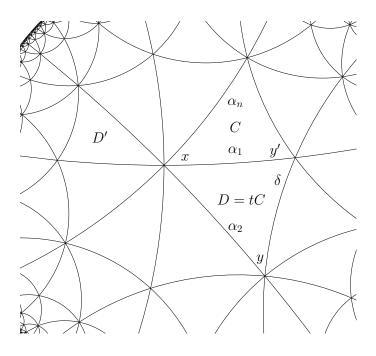
First suppose that  $y \in \alpha_n$  so that  $y \notin \alpha_1$ . If  $\partial \alpha_1 \cap \partial \delta = \emptyset$  then there are exactly 3 possibilities. Either  $\alpha_1 \subset \delta$ ,  $\delta \subset \alpha_1$ , or  $-\delta \subset \alpha_1$ . But the last two possibilities would contradict our assumption that  $y \notin \alpha_1$  and thus we get  $\alpha_1 \subset \delta$  and thus  $\mathcal{D} \subset \alpha_1 \subset \gamma \cap \delta$  as desired.

Alternatively, assume that  $\partial \alpha_1 \cap \partial \delta = y'$ . Then the points x, y, y' will form a triangle with sides on walls of  $\Sigma$ . Then by the triangle condition, these three vertices must form a chamber, call it E. The points x, y lie on  $\partial \gamma = \partial \alpha_i$  and the points x, y' lie on  $\partial \alpha_1$ . Since y and y' are adjacent this means that either  $\gamma = \alpha_2$  or  $\gamma = \alpha_n$ . The latter is a contradiction of our assumptions and thus  $\gamma = \alpha_2$ . We know that y and y' are adjacent and  $y \in \alpha_n$ . Since neither y or y' lies on  $\partial \alpha_n$  this means that  $y' \in \alpha_n$  as well.

We know that E is a chamber in  $\operatorname{st}(x)$  with a side on  $\partial \alpha_1$  and  $\partial \alpha_2$ . Let D = tC and D' be the chamber opposite D in  $\operatorname{st}(x)$ . Then either E = D or E = D'. By definition,  $\alpha_1$  is the only wall separating C and tC which means  $D = tC \in \alpha_n$ . If E = D' then  $D' \in \alpha_n$  since x, y, y' all lie in  $\alpha_n$ . But this is a contradiction as  $\alpha_n$  cannot contain two opposite chambers in  $\operatorname{st}(x)$ . Thus E = D = tC and  $\delta = \beta$  by definition. Thus  $\mathcal{D} \subset \beta = \delta$  and  $\mathcal{D} \subset \gamma \cap \delta$  as desired. A depiction of this situation can be found in Figure 5.2.

If we assume instead that  $y \in \alpha_1$  so that  $y \notin \alpha_n$  then identical arguments show that  $\delta = \beta'$  and we can again conclude that  $\mathcal{D} \subset \gamma \cap \delta$  as desired.

We are now ready to construct a large family of vertices  $\{v\}$  for which  $\tilde{\phi}_v$  will exist. The idea is as follows. If we take any chamber in  $\mathcal{D}$  and treat it as a new "C" then  $\tilde{\phi}_x$  would



{fig:localpic}

Figure 5.2:  $\partial \alpha_1 \cap \partial \delta = y'$ 

exist for this "C." So what we do is apply elements of W which map the chambers of  $\mathcal{D}$  to C, and use these choices of w to get new vertices v. We can use Lemma 8 to show that this W action will play nicely with the map  $\phi_v$ .

 $\{\mathit{lem:Dexists}\}$ 

**Lemma 14.** Let x be the vertex of C of type s, and assume  $U'_x \neq U_x$ . If v is a vertex in  $\mathcal{D} = \alpha_1 \cap \alpha_n \cap \beta \cap \beta'$  of type s then there is a  $w \in W$  such that  $w^{-1}x = v$  and  $\tilde{\phi}_{wx}$  exists.

Proof. Let  $D = \operatorname{Proj}_v(C)$  and define w so that  $D = w^{-1}C$ . By definition, v is a vertex of D of type s and  $w^{-1}x$  is also a vertex of D of type s and thus  $w^{-1}x = v$ . The claim is that this w will satisfy the desired properties. First we mention that wx is also a vertex of  $\Sigma$  of type s and thus  $[U_{wx}: U'_{wx}] \geq 2$  and  $\phi_{wx}$  exists by Corollary 4.

Again, the definition of projections means that D is the closest vertex to C which has a vertex of  $w^{-1}x$ . Since  $\mathcal{D}$  is convex, and  $w^{-1}x$  and C both lie in  $\mathcal{D}$ , we also know that  $D = \operatorname{Proj}_{w^{-1}x}(C)$  lies in  $\mathcal{D}$  as well. By a similar argument we know that  $\operatorname{Proj}_x(D)$  must lie in  $\mathcal{D} \subset \alpha_1 \cap \alpha_n$  and thus  $\operatorname{Proj}_x(D) = C$ . Now define E = wC and note that the action of W respects projections and thus we have

$$E = wC = \operatorname{Proj}_{wx} wD = \operatorname{Proj}_{wx} C$$
  $C = wD = \operatorname{Proj}_{w(w^{-1}x)} wC = \operatorname{Proj}_x E$ 

In particular, a root through wx is positive if and only if it contains E.

Our goal is to apply Lemma 11 at the vertex wx. Now suppose that  $\gamma$  is a non-simple, positive root through wx and y is another vertex on  $\partial \gamma$ . We must show that  $\gamma$  is simple at y. Since  $\gamma$  is positive through wx we know that  $C, E \in \gamma$ . If we apply  $w^{-1}$  then we get the following facts. We know that  $w^{-1}\gamma$  is a root such that  $\partial(w^{-1}\gamma)$  passes through  $w^{-1}wx = x$ . We also know that  $w^{-1}C = D, w^{-1}E = C \in w^{-1}\gamma$  so that  $w^{-1}\gamma$  is also a positive root. Since  $w^{-1}$  sends positive roots at wx to positive roots at x we can apply Lemma 8 when necessary.

The first claim is that  $w^{-1}\gamma$  is not simple at x. Suppose that  $\delta$  is any positive root at wx. Then  $E \subset \delta$  and so applying  $w^{-1}$  we get that  $w^{-1}E = C \subset w^{-1}\delta$ . By Lemma 8 this means that  $w^{-1}$  sends simple roots at wx to simple roots at x. Since  $\gamma$  is not simple at x this means that  $w^{-1}\gamma$  is not simple at x.

So  $w^{-1}\gamma$  is a non-simple positive root at x, and since y lies on  $\partial \gamma$  we also know that  $w^{-1}y$  lies on  $w^{-1}(\partial \gamma)$ . If we apply Lemma 12 we can see that  $w^{-1}\gamma$  must be simple at  $w^{-1}y$ .

{fig:mappicture}

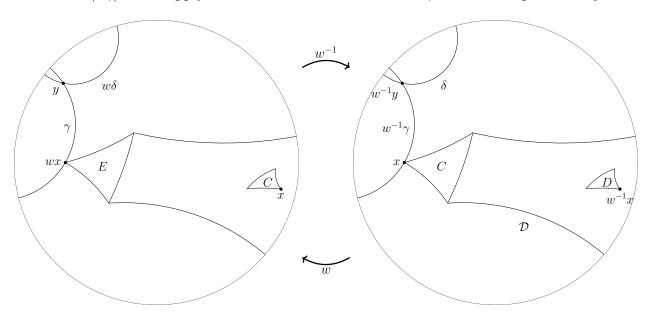


Figure 5.3: The effect of w and  $w^{-1}$  on the chambers and roots.

Recall that  $D \in \mathcal{D}$  by assumption. Now suppose that  $\delta$  is any positive root at  $w^{-1}y$ . Then by Lemma 13 we know that  $D \in \mathcal{D} \subset \delta$ . If we apply w then we get  $C = wD \in w\delta$  and  $w\delta$  is a root through y. Thus  $w\delta$  is a positive root through y and therefore w sends positive roots through  $w^{-1}y$  to positive roots through y. Again we can apply Lemma 8 to say that w must also send simple roots through  $w^{-1}y$  to simple roots through y. But  $w^{-1}\gamma$  was a simple root through  $w^{-1}y$  and thus  $\gamma$  is simple at y as desired.

We now have a vertex wx where  $[U_{wx}:U'_{wx}]=[U_x:U'_x]\geq 2$  and the positive roots at wx which are not simple at wx are simple everywhere else. Thus we can apply Lemma 11 to say that  $\tilde{\phi}_{wx}$  exists as desired.

Now we have shown that vertices of  $\mathcal{D}$  in some way correspond to  $\tilde{\phi_v}$ . If our goal is to find infinitely many such v then there is still some work to be done. For instance, we do not yet know if the region  $\mathcal{D}$  contains infinitely many chambers, or even if it does, if all the vertices of D lie on finitely many walls. We will show in the next section that these issues are not a problem in most cases.

#### 5.2 When $\mathcal{D}$ is infinite

Our first task will be two show that the region  $\mathcal{D}$  contains infinitely many unique vertices. Intuitively, this will happen if the walls for  $\beta$  and  $\beta'$  do not meet, and we will first give a sufficient (and necessary) condition for this.

Recall that W is defined by the edge labels a = m(s,t), b = m(s,u), c = m(t,u) we assumed that the vertices of type s were exceptional which implies  $c \ge 4$ . We will show that if we also assume that  $b \ge 4$  then the region  $\mathcal{D}$  will contain infinitely many chambers.

 $\{lem:infmany\}$ 

**Lemma 15.** Let (W, S) be a rank 3 Coxeter system defined by a = m(s, t), b = m(s, u), c = m(t, u) with  $3 \le a$  and  $4 \le b, c$ . If we let  $w_k = (tus)^k$  for all  $k \ge 0$ , then the vertices  $(w_k)^{-1}x$  are all distinct from one another and all lie in  $\mathcal{D}$ .

Proof. Note that  $(w_k)^{-1} = (sut)^k$  for all k. First we will show that  $(w_k)^{-1}x \in \mathcal{D}$  for all k. Since x is a vertex of C we know that  $(w_k)^{-1}x$  is a vertex of  $(w_k)^{-1}C$  and thus it will suffice to show  $(w_k)^{-1}C$  is contained in  $\mathcal{D}$  for all k. Since the roots  $\alpha_1, \alpha_n, \beta, \beta'$  can be identified with their corresponding subsets of W, we can use the length function to check containment in these roots.

Now we recall the two M operations on words in a Coxeter group are as follows:

- 1. Delete a subword ss for some  $s \in S$
- 2. Replace a subword of the form  $stst \cdots st(s)$  by a subword of the form  $tsts \cdots ts(t)$  where each of these strings has length m(s,t).

Also recall that any word in a Coxeter group can be reduced to its minium length by repeated application of these operations, and any two reduced words can be converted each other by application of operations of type 2. Therefore, in order to check that the length relations are satisfied, it will be enough to show that we can never perform an M operation of type 1 as this is the only way to reduce length.

It is immediate from the definition that  $\ell((w_k)^{-1}) = 3k$  for all k. We can also see that  $\ell(t(w_k)^{-1}) = 3k + 1$  and thus  $(w_k)^{-1} \in \alpha_1$  for all k. Similarly,  $u(w_k)^{-1} = u(sutsut \cdots)$ , and no reduction operations can be done as we assumed  $m(s, u) \ge 4$ . Thus  $\ell(u(w_k)^{-1}) = 3k + 1$  which means  $(w_k)^{-1} \in \alpha_n$  as well.

Now consider the element  $st(w_k)^{-1}$ . If we write this element out in terms of the generators and apply the only possible Coxeter relations we get

```
st(w_k)^{-1} = st(sutsut \cdots)
= (sts)(utsuts \cdots)
= (tst)(utsuts \cdots)
= (ts)(tut)(sutsut \cdots)
```

and none of these can be reduced as  $m(t, u) \ge 4$ . Note that the commutation relation sts = tst may not be possible if  $m(s, t) \ge 4$ , but it is the only relation possible in  $st(w_k)^{-1}$  and

even if it does exists then it does not allow  $st(w_k)^{-1}$  to be reduced in length. We previously showed  $\ell(t(w_k)^{-1}) = 3k + 1$  and now we see  $\ell(st(w_k)^{-1}) = 3k + 2$  and so  $(w_k)^{-1} \in \beta$ .

Now we can consider  $su(w_k)^{-1}$  in a similar manner. Writing  $su(w_k)^{-1}$  out as a word in the generators and applying Coxeter relations gives us

```
su(w_k)^{-1} = su(sutsut \cdots)
= (susu)(tsutsu \cdots)
= (usus)(tsutsu \cdots)
= (usu)(sts)(utsuts \cdots)
= (usu)(tst)(utsuts \cdots)
```

Note once again that not all of these relations may be possible if m(s, u) = 6 or  $m(s, t) \ge 4$ . However, these are the only possible relations, and since  $su(w_k)^{-1}$  cannot be reduced under these assumptions, it cannot be reduced at all. Thus  $\ell(su(w_k)^{-1}) = 3k + 2$  which means  $su(w_k)^{-1} \in \beta'$  as well.

Now it only remains to show that  $v_m \neq v_n$  for  $m \neq n$ . Suppose  $(w_m)^{-1}x = (w_n)^{-1}x$  for m > n. Then we would have  $x = w_m(w_n)^{-1}x = w_{m-n}$ . Thus it will suffice to show  $w_k x \neq x$  for any  $k \geq 1$ . But we know that  $\operatorname{stab}_W(x) = \langle u, t \rangle$  which does not contain  $w_k$  for any  $k \geq 1$  and thus  $(w_k)^{-1}x \neq x$  so that  $(w_m)^{-1}x \neq (w_n)^{-1}x$  as desired.

We now know that each of the  $(w_k)^{-1}x$  is distinct and each of them lies in  $\mathcal{D}$ . By Lemma 15 we know that  $\tilde{\phi}_{w_k x}$  exists for each  $k \geq 0$ . Our idea is still to use each of these vertices to give a root which must be contained in any generating set. However, there is still one possible issue. If almost all of these vertices lie on the same wall, then an inclusion of that root in a generating set could satisfy infinitely many of the k at once, which would not allow us to prove infinite generation. So it remains to show that not only are the vertices  $w_n x$  distinct, but also no two lie on the same wall.

 $\{lem:samewall\}$ 

**Lemma 16.** Let  $w_k = (tus)^k$  for all  $k \ge 0$  and x the vertex of C of type s. If W as in the rest of this section with  $3 \le a, 4 \le b, c$  then  $w_m x$  and  $w_n x$  do not lie on the same wall of  $\Sigma$  if  $m > n \ge 0$ .

*Proof.* Suppose  $w_m x$  and  $w_n x$  do lie on the same wall with m > n. Then we also know that  $w_n w_m^{-1} x = w_{n-m} x$  and x will lie on the same wall. Since m > n we can let k = m - n and thus it will suffice to show that  $(w_k)^{-1} x$  and x do not lie on the same wall for any  $k \ge 1$ .

We know from Lemma 15 that  $(w_k)^{-1}x \in \mathcal{D}$ . Thus if  $(w_k^{-1})x$  and x lie on the same wall, it must be a wall through x and thus it must be  $\partial \alpha_i$  for some i. We know that  $(w_k^{-1})x \in \alpha_1 \cap \alpha_n$  since  $\mathcal{D} \subset \alpha_1 \cap \alpha_n$  by defintion. But we can also recall that  $\partial \alpha_j \cap \alpha_1 \cap \alpha_n = \{x\}$  for  $2 \leq j \leq n-1$ . Thus we have i=1 or i=n so that  $(w_k^{-1})x$  either lies on  $\partial \alpha_1$  or  $\partial \alpha_n$ . Therefore, we either have  $u(w_k)^{-1}x = (w_k)^{-1}x$  or  $t(w_k)^{-1}x = (w_k)^{-1}x$  which implies that either  $w_k u w_k^{-1}$  or  $w_k t w_k^{-1}$  is contained in  $\operatorname{stab}_W(x) = \langle u, t \rangle$ . However, by a similar argument as before, we can simply write out these elements and show that they cannot be reduced.

The only possible relations we have are

$$w_k t w_k^{-1} = (\cdots t u s t u s) t (s u t s u t \cdots)$$

$$= (\cdots t u s t u) (s t s) (u t s u t \cdots)$$

$$= (\cdots t u s t u) (t s t) (u t s u t \cdots)$$

or

```
w_k u w_k^{-1} = (\cdots stustus) u(sutsuts \cdots)
= (\cdots stust) (ususu) (tsuts \cdots)
= (\cdots stust) (sus) (tsuts \cdots)
= (\cdots stu) (sts) u(sts) (uts \cdots)
= (\cdots stu) (tst) u(tst) (uts \cdots)
```

since  $m(t, u) \geq 4$ . Similarly as before, even these relations are only possible if m(s, u) = 4, but even in that case we cannot eliminate every instance of s in  $w_k u w_k^{-1}$ . In both cases we can see that there is no further reduction possible and thus neither of these conjugates can possibly lie in  $\langle u, t \rangle$ . Thus the  $w_n x$  all lie on distinct walls as desired.

{thm:notfg}

We now have all the ingredients and are ready to prove the main theorem.

**Theorem 6.** Let  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  be an RGD system of type (W, S). Assume W is defined by a Coxeter diagram with edge labels a, b, c with  $3 \le a$  and  $4 \le b, c$ . Let  $U_+ = \langle U_{\alpha} | \alpha \in \Phi_+ \rangle$  and suppose that  $[U_x : U_x'] \ge 2$  where x is the vertex of C of type s. Then  $U_+$  is not finitely generated.

Proof. Suppose that  $U_+$  is finitely generated. Then there is some finite set of roots  $\beta_1, \ldots, \beta_m$  such that  $U_+ = \langle U_{\beta_i} | 1 \leq i \leq m \rangle$ . Now no two of the vertices  $(tus)^{-k}x$  lie on the same wall and thus we can choose k so that  $v = (tus)^{-k}x$  does not lie on  $\partial \beta_i$  for any i. By Lemma 15 and Lemma 14 we know that  $\tilde{\phi}_v$  exists, and by definition it is a surjective map from  $U_+ \to H$  where H is a cyclic group. However, we can also see by the definition of  $\tilde{\phi}_v$  that  $\tilde{\phi}_v(U_{\beta_i}) = 1$  for all i, since none of these walls meet v. But this means  $\tilde{\phi}_v$  sends all of the generators of  $U_+$  to the identity and thus it must be the trivial map which is a contradiction. Thus  $U_+$  is not finitely generated as desired.

In the previous proof we assumed that b = m(s, u) and c = m(t, u) are larger than 4, but the labels are arbitrary and the previous theorem implies that  $U_+$  is not finitely generated if there is an exceptional vertex, and any other vertex v' has  $|st(v')| \ge 8$ . The proof of Theorem also implies a stronger statement.

{thm:notfg} {cor:abnotfg}

Corollary 6. If  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  is an RGD system as defined in Theorem 5.2, then  $(U_{+})_{ab}$  is not finitely generated.

Proof. Suppose that  $(U_+)_{ab}$  is finitely generated. Then there is a finite set of roots  $\beta_1, \ldots, \beta_m$  such that the images  $[U_{\beta_1}], \ldots, [U_{\beta_m}]$  of the root groups generate  $(U_+)_{ab}$ . As in the previous proof, we choose a vertex v which does not lie on any  $\partial \beta_i$  such that  $\tilde{\phi}_v$  exists. We know  $\tilde{\phi}_v(U_{\beta_i}) = \{1\}$  for all i. But the co-domain of  $\tilde{\phi}_v$  is abelian, and thus the map will factor through  $(U_+)_{ab}$  and we get a map  $f: (U_+)_{ab} \to H$  where  $f([u)] = \tilde{\phi}_v(u)$ . But then f is also surjective, but  $f([U_{\beta_i}]) = \{1\}$  for all i which is again a contradiction.

In this chapter we were able to prove that  $U_+$  will not be finitely generated when we have exceptional links and at least two labels which are more than 4. In the next chapter we will examine what happens in the remaining cases, meaning when two of our edge labels are 3.

## Chapter 6

## **Exceptional Cases**

{exceptional}

Throughout the chapter,  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  will be an RGD system of type (W, S) which also satisfies (A). If we fix a fundamental apartment and fundamental chamber, then we will also assume that  $[U_x : U'_x] \geq 2$  where x is the vertex of C of type s. In particular, this implies that  $c = m(t, u) \geq 4$ . In the previous chapter we showed that  $U_+$  was not finitely generated if either a or b was also at least 4. Therefore, in this chapter we will assume that a = b = 3.

In the previous chapter, one of the key steps to showing that  $U_+$  was finitely generated was to show that the region  $\mathcal{D} = \alpha_1 \cap \alpha_n \cap \beta \cap \beta'$  was infinite, where  $\alpha_1, \alpha_n, \beta, \beta'$  are defined as before. We were able to demonstrate an infinite set of chambers contained in  $\mathcal{D}$  from specific elements of the Coxeter group W. These proofs did rely on the assumption that  $b \geq 4$ , and so we certainly cannot use identical arguments as those that came before. There might be some hope that we can choose the elements of W more carefully to find another infinite family, of chambers, but the following lemma shows this is not possible.

 $\{lem:infD\}$ 

**Lemma 17.** Let (W, S) be a rank 3 Coxeter system defined by the labels a, b, c as before. Also assume without loss of generality that  $a \leq b \leq c$ . Then the region  $\mathcal{D}$ , defined as before, will contain infinitely many chambers if and only if  $b \geq 4$ .

*Proof.* We know by Lemma 15 that  $\mathcal{D}$  is infinite if  $b \geq 4$ . Thus it remains to show that  $\mathcal{D}$  is finite if b = 3. If b = 3 then a = 3 also, and by definition of a, b, c this means m(s,t) = m(s,u) = 3. We will also recall the definition of  $\mathcal{D} = \alpha_1 \cap \alpha_n \cap \beta \cap \beta'$  where

$$\alpha_{1} = \{D \in \Sigma | d(D, C) < d(D, tC)\} = \{w \in W | \ell(w) < \ell(tw)\}$$

$$\alpha_{n} = \{D \in \Sigma | d(D, C) < d(D, uC)\} = \{w \in W | \ell(w) < \ell(uw)\}$$

$$\beta = \{D \in \Sigma | d(D, tC) < d(D, tsC)\} = \{w \in W | \ell(tw) < \ell(stw)\}$$

$$\beta' = \{D \in \Sigma | d(D, uC) < d(D, usC)\} = \{w \in W | \ell(uw) < \ell(suw)\}$$

Let  $w \in W$  and suppose  $\ell(w) \geq 2$ . Then we can write  $w = s_1 s_2 w'$  where  $\ell(w') = \ell(w) - 2$ . If  $s_1 = t$  then we have

$$\ell(tw) = \ell(s_2w') = \ell(w) - 1 < \ell(w)$$

which shows  $w \notin \alpha_1$  and thus  $w \notin \mathcal{D}$ . A similar argument shows that  $w \notin \alpha_n$  and thus  $w \notin \mathcal{D}$  if  $s_1 = u$ .

Now we assume  $s_1 = s$  and so we can also assume  $s_2 = t, u$ . First let  $s_2 = t$  so that w = stw'. If  $w \notin \alpha_1$  then  $w \notin \mathcal{D}$  and so we will suppose  $w \in \alpha_1$ . Now we can see

$$\ell(stw) = \ell(ststw') = \ell(sstsw') = \ell(tsw') \le \ell(w') + 2 = \ell(w) < \ell(tw)$$

and thus  $w \notin \mathcal{D}$ . A similar argument shows that  $w \notin \mathcal{D}$  if  $s_2 = u$ .

We have shown that if  $\ell(w) \geq 2$  then  $w \notin \mathcal{D}$  and thus  $\mathcal{D}$  must be finite as desired. In fact, if a = b = 3 then we can check relatively easily that  $\mathcal{D} = \{C, sC\}$  which proves the desired result.

The previous lemma shows that finding chambers of  $\mathcal{D}$  is not simply a matter of more clever choices of W. We will therefore have to find a new approach to the remaining cases. Before tackling these cases, we will first enumerate what remains to be shown.

With the assumptions of this chapter we know (W, S) is a Coxeter system with  $S = \{s, t, u\}$ . We assume that m(s,t) = m(s,u) = 3 so that lk(v') will not be one of the exceptional Moufang polygons if v' has type u or t. We want to assume that the building  $\Delta$  does have an exceptional link as otherwise there is nothing new two show, so we will assume that there is a vertex v, of type s, with link coresponding to one of the 4 exceptional Moufang polygons. This implies that every vertex of type s will have an exceptional link, and we let s be the vertex of s of type s for some choice of fundamental chamber s and fundamental apartment s.

Citing Lemma 2 again we see that lk(x) must be the Moufang Polygon associated to one of the groups  $C_2(2)$ ,  $G_2(2)$ ,  $G_2(3)$ ,  ${}^2F_4(2)$ . According to private communication with Bernhard Mühlherr the case where lk(x) is associated to  ${}^2F_4(2)$  is impossible and so we have just the 3 possibilities to consider. We will handle these in separate sections.

#### **6.1** Case: lk(x) associated to the group $G_2(2)$

Assume that  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  is an RGD system of type (W, S) as in the setup. Choose a fundamental apartment and chamber  $\Sigma$  and C of the associated building  $\Delta$ , and assume that lk(x) is the building associated to  $G_2(2)$  where x is the vertex of C of type s. Notably this implies that m(t, u) = 6.

We saw in the previous chapter that a vertex contained in  $\mathcal{D}$  was a sufficient condition to construct a corresponding map  $\tilde{\phi}_v$ . However, it is not a necessary condition, and we will see in this section we can relax a few conditions to still construct  $\tilde{\phi}_v$  for infinitely many vertices. Our first step is to make some general observations about this case and then prove a statment similar to Lemma 11.

We still have the presentation of  $U_+$  as in Lemma 9 and so extending  $\phi_v$  is still a matter of checking the commutator relations in  $U_+$ . We want to extend the map in the same way by defining  $\tilde{\phi}_v$  by

$$\tilde{\phi}_v(u) = \begin{cases} \phi_v(u) & v \in \partial \alpha \text{ and } u \in U_\alpha \\ 1 & \text{otherwise} \end{cases}$$

{ lem:336f2ex}

Using the properties outlined in Chapter 4 we can prove the following Lemma.

**Lemma 18.** Let v be a vertex of  $\Sigma$  of type s, meaning |st(v)| = 12. Assume  $\gamma_1, \ldots, \gamma_6$  is a standard ordering of the positive roots through v such that  $U_{\gamma_2} \subset \ker \phi_v$ . If  $\gamma_3, \gamma_4$ , and  $\gamma_5$  are simple at all other vertices they meet, then  $\tilde{\phi}_v$  as defined in Lemma 11 exists.

*Proof.* First we mention that it is always possible to choose a standard ordering of  $\gamma_1, \ldots, \gamma_6$  such that  $U_{\gamma_2} \subset \ker \phi_v$  by Lemma 6 and Corollary 2.

To check  $\tilde{\phi}_v$  is well defined is a matter of checking the relations of  $U_+$  are satisfied by the images under  $\tilde{\phi}_v$ . The proof is similar to that for Lemma 11. In fact, the identical argument shows that relations in  $U_{\alpha}$  and commutator relations with nested roots will again be satisfied. Thus it remains to check commutator relations between roots with intersecting walls.

Suppose  $\alpha$  and  $\beta$  are any two positive roots with  $y = \partial \alpha \cap \partial \beta$ . Then there is a relation in  $U_+$  of the form [u, u'] = w where  $u \in U_{\alpha}, u' \in U_{\beta}$ , and  $w \in U_{(\alpha,\beta)}$ . Since  $[u_{\alpha}, u_{\beta}]$  must be mapped to the identity then we just need to check that w is also mapped to the identity. If y = v then  $u_{\alpha}, u_{\beta}, w$  all lie in  $U_v$  and  $\tilde{\phi}_v(w) = \phi_v(w)$  which must be the identity because  $\phi_v$  is a well defined homomorphism.

Now suppose  $y \neq v$ . Let  $\delta_1, \ldots, \delta_n$  be the positive roots through y, with a standard labeling, and assume that  $\alpha = \delta_i$  and  $\beta = \delta_j$  with i < j. There is at most one positive root whose wall can pass through both v and y, call it  $\delta_k$  if it exists. If  $\delta_k$  does not exist, then no positive roots through y pass through v and so  $\tilde{\phi}_v(u_{\delta_m}) = 1$  for all v. Thus  $\tilde{\phi}_v(v) = 1$  as desired.

Now suppose  $\delta_k$  does exist and  $\delta_k = \gamma_r$  for  $r \in \{1, 2, 6\}$ . Then we know  $\tilde{\phi}_v(U_{\delta_m}) = \{1\}$  for all  $m \neq k$  and  $\tilde{\phi}_v(U_{\delta_k}) = \tilde{\phi}_v(U_{\gamma_r}) = \phi_v(U_{\gamma_r}) = \{1\}$  by the construction of  $\phi_v$ . Thus  $\tilde{\phi}_v(U_{\delta_m}) = \{1\}$  for all m and so  $\tilde{\phi}_v(w) = \{1\}$  as well.

Now suppose  $\delta_k$  does exist and  $\delta_k = \gamma_r$  for  $r \in \{3, 4, 5\}$ . Then by assumption,  $\delta_k$  is simple at y and thus k = 1, n. Thus  $\tilde{\phi_v}(U_{\delta_m}) = \{1\}$  for all  $2 \le m \le n - 1$ . But w is a word in  $U_{(\alpha,\beta)} \subset U_{(\delta_2,\delta_{n-1})}$  and thus  $\tilde{\phi_v}(w) = 1$  again, which gives the result.

It is worth noting that the hypotheses of this Lemma are weaker than those of Lemma 11, and so we have a hope of constructing more  $\tilde{\phi}_v$  than the theory of the previous chapter would allow us to. However, many of the ideas will still be similar and the proofs in this section will run parallel to those in the previous chapter.

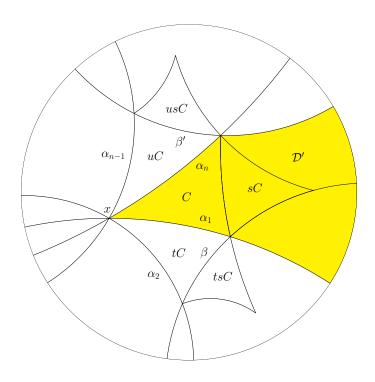
Now recall that x is the vertex of C of type s so that  $[U_x : U_x'] = 2$ . Let  $\alpha_1, \ldots, \alpha_6$  be a standard labeling of the positive roots through x such that  $\phi_x(U_{\alpha_2}) = \{1\}$ , which we may do by Lemma 6 and Corollary 2. As in the previous chapter we define roots

$$\alpha_{1} = \{D \in \Sigma | d(D, C) < d(D, tC)\} = \{w \in W | \ell(w) < \ell(tw)\}$$

$$\alpha_{6} = \{D \in \Sigma | d(D, C) < d(D, uC)\} = \{w \in W | \ell(w) < \ell(uw)\}$$

$$\beta' = \{D \in \Sigma | d(D, uC) < d(D, usC)\} = \{w \in W | \ell(uw) < \ell(suw)\}$$

Now define  $\mathcal{D}' = \alpha_1 \cap \alpha_6 \cap \beta'$ . We will now prove a result similar to Lemma 13 in the current context.



{fig:defineDprime}

Figure 6.1: The roots  $\alpha_1, \alpha_n, \beta'$  with the region  $\mathcal{D}'$  in yellow.

 $\{lem:336f2D\}$ 

**Lemma 19.** Let x be the vertex of C of type s so that |st(x)| = 12. Let  $\alpha_1, \ldots, \alpha_6$  be the positive roots at x with a standard ordering. Also assume that  $\phi_x(U_{\gamma_2}) = 1$ . Suppose  $\gamma = \alpha_i$  for  $i \in \{3, 4, 5\}$ . If  $\delta$  is any positive root with  $\partial \gamma \cap \partial \delta \neq \emptyset$  then  $\mathcal{D}' = \alpha_1 \cap \alpha_6 \cap \beta' \subset \gamma \cap \delta$  where

$$\begin{split} \alpha_1 &= \{D \in \Sigma | d(D,C) < d(D,tC)\} = \{w \in W | \ell(w) < \ell(tw)\} \\ \alpha_6 &= \{D \in \Sigma | d(D,C) < d(D,uC)\} = \{w \in W | \ell(w) < \ell(uw)\} \\ \beta' &= \{D \in \Sigma | d(D,uC) < d(D,usC)\} = \{w \in W | \ell(uw) < \ell(suw)\} \end{split}$$

as in the previous chapter.

*Proof.* Since  $\gamma$  is a positive root at x, and  $\alpha_1, \alpha_6$  are the simple roots at x, we know that  $\mathcal{D}' \subset \alpha_1 \cap \alpha_6 \subset \gamma$  and thus it will suffice to show that  $\mathcal{D}' \subset \delta$ .

Let  $y = \partial \gamma \cap \partial \delta$ . If y = x then  $\delta$  is also a root which passes through x and so  $\delta = \alpha_j$  for some  $j \neq i$ . Then as before we get  $\alpha_1 \cap \alpha_6 \subset \alpha_j = \delta$  and thus  $\mathcal{D}' \subset \delta$  so that  $\mathcal{D}' \subset \gamma \cap \delta$  as desired.

Now suppose that  $\partial \gamma \cap \partial \delta = y \neq x$ . From the local geometry of  $\Sigma$  around x we can see the following facts. For any  $\alpha_i$  with  $2 \leq i \leq n-1$  we know that  $\partial \alpha_i \cap \alpha_1 \cap \alpha_6 = \{x\}$  and  $\partial \alpha_i \subset \alpha_1 \cup \alpha_6$ . Thus the point y will lie in exactly one of  $\alpha_1$  or  $\alpha_6$ .

First suppose that  $y \in \alpha_1$  so that  $y \notin \alpha_6$ . If  $\partial \alpha_6 \cap \partial \delta = \emptyset$  then there are exactly 3 possibilities. Either  $\alpha_6 \subset \delta$ ,  $\delta \subset \alpha_6$ , or  $-\delta \subset \alpha_6$ . But the last two possibilities would contradict our assumption that  $y \notin \alpha_6$  and thus we get  $\alpha_6 \subset \delta$  and thus  $\mathcal{D}' \subset \alpha_6 \subset \gamma \cap \delta$  as desired.

Alternatively, assume that  $\partial \alpha_6 \cap \partial \delta = y'$ . Then the points x, y, y' will form a triangle with sides on walls of  $\Sigma$ . Then by the triangle condition, these three vertices must form a chamber, call it E. The points x, y lie on  $\partial \gamma = \partial \alpha_i$  and the points x, y' lie on  $\partial \alpha_6$ . Since y and y' are adjacent this means that either  $\gamma = \alpha_5$  or  $\gamma = \alpha_1$ . The latter is a contradiction of our assumptions and thus  $\gamma = \alpha_5$ . We know that y and y' are adjacent and  $y \in \alpha_1$ . Since neither y or y' lies on  $\partial \alpha_1$  this means that  $y' \in \alpha_1$  as well.

We know that E is a chamber in  $\operatorname{st}(x)$  with a side on  $\partial \alpha_6$  and  $\partial \alpha_5$ . let D = tC and D' be the chamber opposite D in  $\operatorname{st}(x)$ . Then either E = D or E = D'. By definition,  $\alpha_6$  is the only wall separating C and tC which means  $D = tC \in \alpha_1$ . If E = D' then  $D' \in \alpha_1$  since x, y, y' all lie in  $\alpha_1$ . But this is a contradiction as  $\alpha_1$  cannot contain two opposite chambers in  $\operatorname{st}(x)$ . Thus E = D = tC and  $\delta = \beta$  by definition. Thus  $\mathcal{D}' \subset \beta = \delta$  and  $\mathcal{D}' \subset \gamma \cap \delta$  as desired.

If we assume insteach that  $y \in \alpha_1$  so that  $y \notin \alpha_6$  then we have the same two possibilites. If  $\partial \alpha_6 \cap \partial \delta = \emptyset$  then by similar arguments we get  $\mathcal{D}' \subset \alpha_6 \subset \delta$  and thus  $\mathcal{D}' \subset \gamma \cap \delta$  as desired. If  $\partial \alpha_6 \cap \partial \delta = y'$  then the vertices x, y, y' form a chamber with y' on  $\alpha_6$ . Again, by similar arguments as before, this would imply that  $\gamma = \alpha_2$  or  $\alpha_6$ , both of which are impossible.

Therefore, regardless of case we have  $\mathcal{D}' \subset \gamma \cap \delta$  as desired.

We now have a condition for  $\phi_v$  to exist which we can check and so it remains to find potential candidates to use at v. We know by Lemma 8 that  $\phi_v$  will exist for all vertices v of type s. We will us a strategy similar to that of the previous chapter which relies on the definition of  $\mathcal{D}'$  to show  $\tilde{\phi}_v$  exists for certain v. To this end we now prove the analogue of Lemma 14.

**Lemma 20.** Let x be the vertex of C of type s and suppose that v is any vertex in  $\mathcal{D}' = \alpha_1 \cap \alpha_6 \cap \beta$  of type s. Then there is a  $w \in W$  such that  $w^{-1}x = v$  and  $\tilde{\phi}_{wx}$  exists.

Proof. The proof is nearly identical to that of Lemma 14. Let  $D = \operatorname{Proj}_v(C)$  and define w so that  $D = w^{-1}C$ . By definition, v is a vertex of D of type s and  $w^{-1}x$  is also a vertex of D of type s and thus  $w^{-1}x = v$ . The claim is that this w will satisfy the desired properties. First we mention that wx is also a vertex of  $\Sigma$  of type s and thus  $[U_{wx}: U'_{wx}] \geq 2$  and  $\phi_{wx}$  exists by Corollary 4.

Again, the definition of projections means that D is the closest vertex to C which has a vertex of  $w^{-1}x$ . Since  $\mathcal{D}'$  is convex, and  $w^{-1}x$  and C both lie in  $\mathcal{D}'$ , we also know that  $D = \operatorname{Proj}_{w^{-1}x}(C)$  lies in  $\mathcal{D}'$  as well. By a similar argument we know that  $\operatorname{Proj}_x(D)$  must lie in  $\mathcal{D}' \subset \alpha_1 \cap \alpha_6$  and thus  $\operatorname{Proj}_x(D) = C$ . Now define E = wC and note that the action of W respects projections and thus we have

$$E = wC = \operatorname{Proj}_{wx} wD = \operatorname{Proj}_{wx} C$$
  $C = wD = \operatorname{Proj}_{w(w^{-1}x)} wC = \operatorname{Proj}_x E$ 

In particular, a root through wx is positive if and only if it contains E.

Our goal is to apply Lemma 18 at the vertex wx. Let  $\gamma_1, \ldots, \gamma_6$  be a standard labeling of the positive roots through wx such that  $U_{\gamma_2} \subset \ker \phi_{wx}$ . We need to check that if  $y \neq wx$  is on  $\partial \gamma_i$  for  $i \in \{3, 4, 5\}$  then  $\gamma_i$  is simple at y. First we will show that  $w^{-1}$  sends positive

{ lem:336f2Dex}

roots at wx to positive roots at x. Suppose  $\gamma$  is any positive root at wx. Then we know that  $E \in \gamma$  and thus  $C = w^{-1}E \in w^{-1}\gamma$  so that  $w^{-1}\gamma$  is positive, and thus  $w^{-1}$  sends positive roots at wx to positive roots at x.

If we apply Lemma 8 then we know that  $w^{-1}\gamma_1 = \alpha_1, \ldots, w^{-1}\gamma = \alpha_6$  is a standard labeling of the positive roots at x. If we apply this isomorphism given by Corollary 4 then we know that  $U_{w^{-1}\gamma_2} = U_{\alpha_2} \subset \ker \phi_x$  since  $U_{\gamma_2} \subset \ker \phi_{wx}$ .

Now we fix  $i \in \{3, 4, 5\}$  and we need to check  $\gamma_i$  is simple at all vertices  $y \neq v$  on  $\partial \gamma_i$ . If we apply  $w^{-1}$  we get that  $w^{-1}y \neq x$  is a vertex on  $\partial \alpha_i$ . Thus by Lemma 12 we know that  $\alpha_i$  is simple at  $w^{-1}y$ . Now suppose that  $\delta$  is any positive root at  $w^{-1}y$ . Recall that  $D \in \mathcal{D}'$  and we can apply Lemma 19 to see that  $\mathcal{D}' \subset \delta$  so that  $D \in \delta$ . If we apply w we get  $C = wD \in w\delta$  so that  $w\delta$  is a positive root through  $w(w^{-1}y) = y$ . Thus w sends positive roots at  $w^{-1}y$  to positive roots at w. We can apply Lemma 8 again to say that w sends the simple roots at  $w^{-1}y$  to the simple roots at w. Since w is simple at  $w^{-1}y$  we know that w and w is simple at w as desired. We now for all positive roots w for w for w and thus we can apply Lemma 18 to say that w exists as desired.

As in the previous chapter, we now have a potentially large class of vertices for which  $\tilde{\phi}_v$  exists, but we still must show there are infinitely many, and that they do not lie on finitely many walls. The approach will be similar as in the previous chapter, and recall in our current setup that m(s,t) = m(s,u) = 3 and m(t,u) = 6.

**Lemma 21.** Let  $w_k = (uts)^k$  for all  $k \ge 0$  and let x be the vertex of C of type s. Then the vertices  $(w_k)^{-1}x$  are all distinct, and they all lie in  $\mathcal{D}' = \alpha_1 \cap \alpha_6 \cap \beta$  as defined previously.

Proof. The proof will be similar to that of Lemma 15. First note that  $(w_k)^{-1} = (stu)^k$  for all k. Since  $(w_k)^{-1}x$  is a vertex of  $(w_k)^{-1}C$ , it will suffice to show that  $(w_k)^{-1}C$  is a chamber of  $\mathcal{D}'$ . Now we may use the identification of chambers with the elements of W, and work with the length function and M-Operations. There are certainly no M-Operations possible in  $w_k^{-1}$  so we have  $\ell(w_k^{-1}) = 3k$ . There are also no M-Operations possible in  $uw_k^{-1} = u(stustu\cdots)$  which means  $\ell(uw_k^{-1}) = 3k + 1$  so that  $w_k^{-1} \in \alpha_6$ . Some computation also shows that

```
tw_k^{-1} = t(stustus \cdots)
= (tst)(ustus \cdots)
= (sts)(ustus \cdots)
= (st)(sus)(tus \cdots)
= (st)(usu)(tus \cdots)
= (st)(us)(utus \cdots)
```

which exhausts all of the possible M-Operations in  $tw_k^{-1}$ . Since no operations of type 1 were performed, we have  $\ell(tw_k^{-1}) = 3k + 1$  so that  $tw_k^{-1} \in \alpha_1$  as well.

 ${lem:336f2inf}$ 

Finally, we check that

```
suw_k^{-1} = su(stustus \cdots)
= (sus)(tustus \cdots)
= (usu)(tustus \cdots)
= (us)(utustus \cdots)
```

which shows by the same logic that  $\ell(suw_k^{-1}) = 3k + 2$  and  $suw_k^{-1} \in \beta'$ . Therefore,  $w_k^{-1}x$  is a vertex in  $\alpha_1 \cap \alpha_6 \cap \beta'$  for all  $k \geq 0$  as desired.

The previous proof shows that the vertices  $w_k^{-1}x$  are all distinct and lie in  $\mathcal{D}'$ , which means each one will give rise to a  $\tilde{\phi}_w x$  for some w. If we check the proof of Lemma 20 then we can verify that  $w_k$  will satisfy the properties of the desired w, and thus  $\tilde{\phi}_{w_k x}$  will exist for all k. The last major step is to show that these  $w_k x$  cannot all lie on finitely many walls.

**Lemma 22.** Let x be the vertex of C of type s and let  $w_k = (uts)^k$  for all  $k \ge 0$ . Any wall of  $\Sigma$  can contain only finitely many  $w_k x$ .

Proof. The proof is nearly identical to that of Lemma 16. Suppose that  $w_m x$  and  $w_n x$  lie on the same wall for  $m > n \ge 0$ . Then we also have  $w_{n-m} x$  and x lie on the same wall. The walls passing through x are exactly the walls  $\partial \alpha_1, \ldots, \partial \alpha_6$ . But  $w_{n-m} = w_k^{-1}$  for  $k = m - n \ge 1$  so  $w_{n-m} x$  lies in  $\mathcal{D}' \subset \alpha_1 \cap \alpha_6$ . As mentioned before,  $\partial \alpha_i \cap \alpha_1 \cap \alpha_6 = \{x\}$  for  $2 \le i \le 5$  and thus  $w_{n-m} x$  will lie on  $\partial \alpha_1$  or  $\partial \alpha_6$ . These walls are the fixed points of the reflections t and t respectively, so we have either  $tw_{n-m} x = w_{n-m} x$  or t or

```
w_{n-m}^{-1}tw_{n-m} = (\cdots utsutsuts)t(stustustu\cdots)
= (\cdots utsutsut)(sts)(tustustu\cdots)
= (\cdots utsutsut)(tst)(tustustu\cdots)
= (\cdots utsutsu)s(ustustu\cdots)
= (\cdots utsut)(susus)(tustu\cdots)
= (\cdots utsut)(u)(tustu\cdots)
= (\cdots uts)(ututu)(stu\cdots)
```

and no further M-operations are possible. While we were able to do some reductions in length, we have shown that  $w_{n-m}^{-1}tw_{n-m}$  can only be contained in  $\langle u,t\rangle$  if  $m-n\leq 2$ . By a similar computation we can see that

```
w_{n-m}^{-1}uw_{n-m} = (\cdots utsutsuts)u(stustustu\cdots)
= (\cdots utsutsut)(sus)(tustustu\cdots)
= (\cdots utsutsut)(usu)(tustustu\cdots)
= (\cdots utsuts)(utu)s(utu)(stustu\cdots)
```

{lem:336f2walls

and any further M-operations are impossible. In either case we have shown that  $w_m x$  and  $w_n x$  can only lie on the same wall if  $|m-n| \leq 2$  and thus only finitely many  $w_k x$  can lie on any wall as desired.

Now we are ready to prove the main result of the section, which extends the result of Theorem 5.2 to this new case.

{thm:336f2notfg}

**Theorem 7.** Let  $(G, (U_{\alpha})_{\alpha \in Phi}, T)$  be an RGD system of type (W, S) with assumptions as in (A). Suppose that a = m(s, t) = b = m(s, t) = 3. Also suppose that lk(x) is the Moufang polygon associated to the group  $G_2(2)$ , where x is the vertex of the fundamental chamber C of type s. Then  $U_+$  is not finitely generated.

Proof. Suppose that  $U_+$  is finitely generated. Then there is some finite set of roots  $\beta_1, \ldots, \beta_m$  such that  $U_+ = \langle U_{\beta_i} | 1 \leq i \leq m \rangle$ . Let  $w_k = (uts)^k$  for all  $k \geq 0$ . Now only finitely many of the vertices  $w_k x$  lie on the same wall and thus we can choose k so that  $v = w_k x$  does not lie on  $\partial \beta_i$  for any i. By Lemma 21 we know that  $\tilde{\phi}_v$  exists, and by definition it is a surjective map from  $U_+ \to H$ . However, we can also see by definition that  $\tilde{\phi}_v(U_{\beta_i}) = 1$  for all i, since none of these walls meet v. But this means  $\tilde{\phi}_v$  sends all of the generators of  $U_+$  to the identity and thus it must be the trivial map which is a contradiction. Thus  $U_+$  is not finitely generated as desired.

In keeping with the theme of copying Chapter 5 nearly verbatim, we also get the following Corollary

Corollary 7. If  $(G, (U_{\alpha})_{\alpha \in Phi}, T)$  as in Theorem 7, then  $(U_{+})_{ab}$  is not finitely generated.

*Proof.* The proof is identical to that of Corollary 6.

There are two more cases to consider and they will be the topic of the next section.

### **6.2** Case: lk(x) associated to the group $C_2(2)$ or $G_2(3)$

The two remaining cases to consider are as follows.  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  is an RGD system of type (W, S) satisfying (A). We also assume that x is the vertex of the fundamental chamber C of type s and lk(x) is the Moufang polygon associated to the group  $C_2(2)$  or  $G_2(3)$ . Finally we assume that a = m(s, t) = b = m(s, u) = 3.

In the previous section we were able to modify the strategy of Chapter 5 to see that  $U_+$  was not finitely generated. No ammount of modification to that strategy will work in the current context as we will show that in these cases, the group  $U_+$  will be finitely generated. We will do this by defining a filtration of  $U_+$  with nice finiteness properties.

We will say that a chamber D borders a root  $\alpha$  if a panel of  $\mathcal{D}$  lies on the wall  $\partial \alpha$ . For any positive root  $\alpha \in \Phi$  this allows us to define  $d(\alpha, C)$  to be  $\min\{d(D, C)|D$  borders  $\alpha$ . If  $d(\alpha, C) = n$  then we know there is some chamber D such that D borders  $\alpha$  and d(D, C) = n.

Furthermore, we know that D must be a chamber of  $\alpha$ , as otherwise there would be a chamber D' adjacent to D across  $\partial \alpha$  with d(D', C) < d(D, C).

We can define subgroups  $U_k$  for all  $k \geq 1$  where  $U_k = \langle U_\gamma | d(\gamma, C) \leq k, \gamma \in \Phi_+ \rangle \leq U_+$ . From the definition we have  $U_1 \subset U_2 \subset \cdots$  and we also can see that  $U_+ = \cup_{k \geq 1} U_k$  since any root of  $\Phi_+$  will be some finite distance away from C. Since chambers of  $\Sigma$  correspond to elements of W, for any  $k \geq 1$  there are only finitely many chambers at distance k or less away from C. Since each of these chambers borders 3 distinct walls, there are only finitely many positive roots distance k away from C. Since each  $U_\gamma$  is finitely generated by (A), this means that  $U_k$  is finitely generated for all  $k \geq 1$ . The goal for the rest of the section will be to prove that the  $U_k$  must eventually stabilize, which would show  $U_k = U_+$  and thus  $U_+$  would be finitely generated. First we need some results about the interaction between  $U_k$  and  $U_v$ .

{lem:deg3fg}

**Lemma 23.** Suppose v is a vertex of  $\Sigma$  with  $U_v = U_v'$ . If  $d(\operatorname{Proj}_v(C), C) = k$  then  $U_v \subset U_k$ .

Proof. Let  $\alpha_1, \ldots, \alpha_n$  be a standard labeling of the positive roots through v and let  $E = \operatorname{Proj}_v(C)$ . By the properties of projections we know that E is the only chamber in  $\operatorname{st}(v)$  which is contained in  $\alpha_1$  and  $\alpha_n$ . Suppose that E borders some root  $\alpha_i$  for  $2 \le i \le n-1$ . Then we can choose a chamber D which is adjacent to E along  $\partial \alpha_i$ . Since  $\partial \alpha_i$  is the only wall crossed in a gallery from E to D, we must have that  $D \in \alpha_1 \cap \alpha_n$  as well. This is a contradiction, and thus E cannot border  $\alpha_i$  for  $1 \le i \le n-1$ . But the chambers in  $1 \le i \le n-1$  arranged in a circular pattern around  $1 \le i \le n-1$  and  $1 \le i \le n-1$  and  $1 \le i \le n-1$  must border exactly two of  $1 \le i \le n-1$  and  $1 \le i \le n-1$  and  $1 \le i \le n-1$  and  $1 \le i \le n-1$  must border  $1 \le i \le n-1$  and  $1 \le i \le n-1$  must border  $1 \le i \le n-1$  and  $1 \le n-1$  must border  $1 \le i \le n-1$  must border  $1 \le i \le n-1$  and  $1 \le n-1$  must border  $1 \le i \le n-1$  must border  $1 \le i \le n-1$  and  $1 \le n-1$  must border  $1 \le i \le n-1$  must border  $1 \le n-1$  must bo

By definition, since E borders  $\alpha_1$ , we know that  $d(\alpha_1, C) \leq d(E, C) = k$  and similarly for  $\alpha_n$ . Thus  $U_{\alpha_1}, U_{\alpha_n} \subset U_k$ . Since  $U'_v = \langle U_{\alpha_1}, U_{\alpha_n} \rangle$  we know that  $U'_v \subset U_k$  and thus  $U_v \subset U_k$  by assumption as desired.

{lem:exdegfg}

When  $U'_v \neq U_v$  the situation is slightly more complicated, but we can still prove a similar result.

**Lemma 24.** Let  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  be an RGD system of type (W, S) of rank 3. Furthermore, assume that the Coxeter diagram of W has two labels of 3. Suppose v is a vertex of  $\Sigma$  with  $[U_v : U'_v] \geq 2$ . If  $\alpha_1, \ldots, \alpha_n$  is a standard labeling of the positive roots through v then  $U_{\alpha_1}$  and  $U_{\alpha_n}$  are contained in  $U_k$ . Furthermore, if  $d(\operatorname{Proj}_v(C), C) = k \geq 2$  then at least one of  $U_{\alpha_2}$  and  $U_{\alpha_{n-1}}$  is also contained in  $U_k$ .

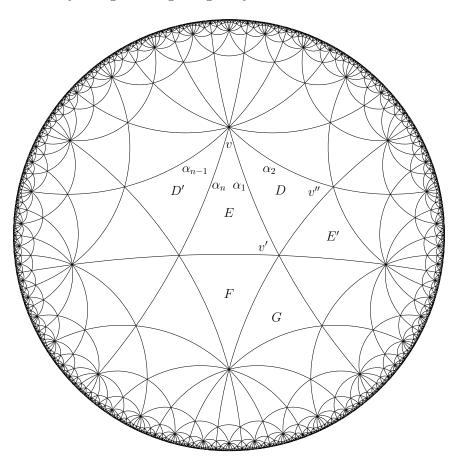
*Proof.* The groups  $U_{\alpha_1}$  and  $U_{\alpha_k}$  are contained in  $U_k$  by arguments identical to those in Lemma 23.

Let  $E = \operatorname{Proj}_v(C)$ . Let D and D' be the chambers in  $\operatorname{st}(v)$  which are adjacent to E, and assume that D and E are separated by  $\partial \alpha_1$  while D' and E are separated by  $\partial \alpha_n$ . Since  $d(E,C) \geq 2$  by assumption, we know that there is a minimal gallery from E to C containing at least 3 chambers. Choose such a minimal gallery which starts with chambers E, F, G. By definition d(F,C) = d(E,C) - 1 and thus F cannot be either D or D' since d(D,C) = d(D,E) + d(E,C) > d(E,C) by the gate property, and similarly for D'. The chambers E and E have two vertices in common, and the chambers E and E have two vertices in common, so E, F, G must have a vertex in common, call it E since E and E have two vertices in common,

 $F \notin \operatorname{st}(v)$  by the definition of projections, and thus  $v' \neq v$ . But v' is also a vertex of E so v and v' are two distinct vertices of E. Since  $[U_v : U'_v] \geq 2$  we know that  $|\operatorname{st}(v)| \geq 8$  and thus  $|\operatorname{st}(v')| = 6$  since two of the edge labels for W are 3.

There are exactly 2 chambers in  $\operatorname{st}(v')$  which are adjacent to E, and there are exactly 3 vertices in  $\Sigma$  adjacent to E, namely F, D, D'. Thus either D or D' is in  $\operatorname{st}(v')$ . Assume that  $D \in \operatorname{st}(v')$ , then we know that d(D,C) > d(E,C) > d(F,C) > d(G,C) and D,E,F,G form a gallery. Therefore, d(D,C) = d(G,C) + 3 and since  $|\operatorname{st}(v)| = 6$  we know that D and G are opposite in  $\operatorname{st}(v')$ . This means there is another minimal gallery D,E',F',G in  $\operatorname{st}(v')$  from D to G which does not include E or F. This minimal gallery can also be extended to a minimal gallery from D to C by using the original gallery after G.

 $\{\mathit{fig:}33n\}$ 



Since  $\partial \alpha_1$  separates D and E, we know that D borders  $\partial \alpha_2$ . We know that D and E' share two vertices, one of which is v'. The other one cannot be v as the only two chambers which share v and v' are D, E and we assume  $E' \neq E$ . Thus we can say that D, E' share two vertices, v, v'' and  $v'' \neq v$ . As before, this means  $|\operatorname{st}(v'')| = 6$ . Since D borders  $\partial \alpha_2$  also know that two vertices of D lie on  $\partial \alpha_2$ . The vertex v' cannot lie on  $\partial \alpha_2$  as we know that  $\partial \alpha_1$  contains v and v' and two distinct walls cannot share two vertices. Therfore, v'' lies on  $\partial \alpha_2$ .

We have that v'' is a vertex of  $\Sigma$  with  $|\operatorname{st}(v'')| = 6$  and thus  $U_{v''} = U'_{v''}$ . We also know that  $E' \in \operatorname{st}(v'')$  and d(E', C) = d(D, C) - 1 = d(E, C) = k. Thus  $d(\operatorname{Proj}_{v''}(C), C) \leq k$ . By Lemma 23 this means that  $U_{v''} \subset U_k$ . But  $\alpha_2$  is a positive root through v'' and thus  $U_{\alpha_2} \subset U_k$ 

as desired.

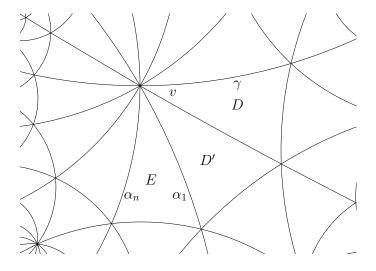
If  $D' \in \operatorname{st}(v')$  from before, then identical arguments show that  $U_{\alpha_{n-1}} \subset U_k$  which gives the desired result.

As we saw in Lemma 7, if lk(x) is associated to  $C_2(2)$  or  $G_2(3)$ , then the inclusion of either  $U_2$  or  $U_{n-1}$  into  $U_k$  will also show that all of  $U_v$  is contained in  $U_k$  as well. Thus in the setup of this chapter, the previous result is an extension of Lemma 23. We are now ready to prove the main result of this section.

 $\{thm:334fg\}$ 

**Theorem 8.** Let  $(G, (U_{\alpha})_{\alpha \in \Phi}, T)$  is an RGD system of type (W, S) satisfying (A). Also assume that  $S = \{s, t, u\}$  and m(s, t) = m(s, u) = 3. If lk(x) is the Moufang polygon associated to  $C_2(2)$  or  $G_2(3)$ , where x is the vertex of the fundamental chamber of type s, then  $U_+$  is finitely generated.

Proof. We will show that  $U_k \subset U_{k-1}$  for  $k \geq 3$  which will show  $U_+ = U_2$  and thus  $U_+$  will be finitely generated by our earlier remarks. Let  $k \geq 3$  and choose  $\gamma \in \Phi_+$  such that  $d(\gamma, C) = k$ . Then we can find a chamber D of  $\Sigma$  which borders  $\gamma$  such that  $d(\gamma, C) = d(D, C) = k$ . Let D' be a chamber adjacent D which is closer to C, or in other words, d(D', C) = d(D, C) - 1. Since D borders  $\gamma$  we know that D will have two vertices on  $\partial \gamma$ , and we also know that D and D' will share two vertices, which means one of the common vertices will also lie on  $\partial \gamma$ . Let v be a vertex shared by D and D' which lies on  $\partial \gamma$ . By definition, this means  $\gamma$  is a positive root at v and thus  $U_{\gamma} \subset U_v$ .



 $\{fig:vdef\}$ 

Figure 6.2: An example of the chambers D, D' and E.

Let  $E = \operatorname{Proj}_v(C)$ . Then E is the chamber in  $\operatorname{st}(v)$  which minimizes the distance to C. Since  $D' \in \operatorname{st}(v)$  and d(D',C) < d(D,C) we know that  $E \neq D$  and l = d(E,C) < d(D,C) = k. There are exactly two possibilites for v. If v is a vertex of type t or u then  $|\operatorname{st}(v)| = 6$  and  $U_v = U'_v$ . Then we can apply Lemma 23 to see that  $U_v \subset U_l \subset U_{k-1}$ , and since  $U_\gamma \subset U_v$  we know that  $U_\gamma \subset U_{k-1}$  as desired.

Now suppose that v is a vertex of type s. Then  $lk(v) \cong lk(x)$  is the Moufang polygon for either  $C_2(2)$  or  $G_2(3)$ . If  $d(E,C) \geq 2$  then we can apply Lemma 24 to say that  $U_{\alpha_1}, U_{\alpha_n}$  and at least one of  $U_{\alpha_2}$  and  $U_{\alpha_{n-1}}$  is contained in  $U_l \subset U_k$ . Now we can apply Lemma 7 to see that  $U_v \subset U_l \subset U_k$ . Since  $\gamma$  is a positive root through v we know that  $U_\gamma \subset U_v$  and thus  $U_\gamma \subset U_k$  as desired.

If d(E,C) < 2 we still have  $U_{\alpha_1}, U_{\alpha_n} \subset U_l \subset U_2 \subset U_{k-1}$  by Lemma 24 and the assumption that  $k \geq 3$ . Let F, F' be the chambers in  $\mathrm{st}(v)$  adjacent to E along  $\partial \alpha_1$  and  $\partial \alpha_n$  respectively. Observation of the local geometry around v shows that F borders  $\alpha_2$  and F' borders  $\alpha_{n-1}$ . Since d(F,C) = d(E,C) + 1 we know that  $d(\alpha_2,C) \leq d(F,C) = d(E,C) + 1 \leq 2$ . This means that  $U_{\alpha_2} \subset U_2 \subset U_{k-1}$  since  $k \geq 3$ . An identical argument shows  $U_{\alpha_{n-1}} \subset U_{k-1}$  and thus  $U_v \subset U_{k-1}$  by Lemma 7. Since  $\gamma$  is a positive root through v this also shows that  $U_\gamma \subset U_{k-1}$  as desired.

We have shown for any  $k \geq 3$  and positive root  $\gamma$  with  $d(\gamma, C) = k$ , that  $U_{\gamma} \subset U_{k-1}$ . Since the choice of  $\gamma$  was arbitrary we have shown that  $U_k \subset U_{k-1}$ , and thus by induction we get  $U_k = U_2$  for all  $k \geq 2$ . By our remarks on  $U_k$  this shows that  $U_+ = U_2$  and thus  $U_+$  is finitely generated as desired.

With this theorem, we have completely determined finite generation for  $U_+$  in all RGD systems satisfying (A). In particular, we have determined finite generation for  $U_+$  in all rank 3 Kac-Moody groups where the Weyl group W does not have any 2's in the Coxeter diagram.

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