# Abstract Algebra II

#### Mark

# Chapter 7, recall

Ring is an **abelian** additive group with multiplication that's **associative** and **closed**, linked by **distribution**.

**Division Ring**: a ring with mult inverses

**Zero Divisor**: if there is another element so product is zero

Integral Domain: commutative ring with unit and no zero divisors.

**Ideal**: subring I such that ir and  $ri \in I$  for all r in ring.

For I, J ideals,

IJ = set of finite sums of ij

**principle ideal**: ideal generated by one element using + and \*

maximal ideal: ideal not contained in any other proper ideal.

**prime ideal**: ab in ideal, then a or b is.

P is prime  $\iff R/P$  is an integral domain

see notes for proof.

M is maximal  $\iff R/M$  is a field

R/M is field means no ideals; by lattice iso, no ideals between R and M.

 $maximal ideal \rightarrow prime ideal$ 

max ideal  $\rightarrow R/P$  is a field. field is an integral domain.

## Quadratic Fields and integer rings

Define a quadratic field as

$$\mathbb{Q}(\sqrt{D}) = \{a + b\sqrt{D} : a, b \in \mathbb{Q}\}\$$

for  $D \in \mathbb{Q}$  and not divisible by a perfect square ('square-free').

can show this is a field using usual checks.

Inverses \* involves a trick :

key: 
$$(a + b\sqrt{D})(a - b\sqrt{D}) = a^2 - Db^2$$

If a and b are not both zero, then,  $a^2 - Db^2$  can't be zero.

(this would imply  $D = \frac{a^2}{b}$ , a contradiction) Thus,

$$(a+b\sqrt{D})\frac{a-b\sqrt{D}}{a^2-Db^2}=1$$

For D = -1,  $\mathbb{Z}[D]$  is  $\mathbb{Z}[i]$ , the set a + bi with integer coefficients, called the **Gaussian Integers**.

Define the quadratic ring of integers,  $\Theta_D$ , in the quadratic field  $\mathbb{Q}(\sqrt{D})$  as

$$\left\{ \begin{array}{ll} \mathbb{Z}[\sqrt{D}], & \text{if } D = 2, 3 \bmod 4 \\ \mathbb{Z}[\frac{1+\sqrt{D}}{2}], & \text{if } D = 1 \bmod 4 \end{array} \right.$$

\*note both  $\mathbb{Z}[\sqrt{D}]$  and  $\mathbb{Z}[\frac{1+\sqrt{D}}{2}]$  are subrings of the field  $\mathbb{Q}(\sqrt{D})$ .

The **field norm** N is a function from  $\mathbb{Q}(\sqrt{D}) \to \mathbb{Q}$  defined

$$N(a + b\sqrt{D}) = (a + b\sqrt{D})(a - b\sqrt{D}) = a^2 - Db^2$$

(as noted above norm is never zero if both a and b are not zero)

For the ring of integers ("quadratic integer rings"), the norm is more genrally defined as

$$N(a+b\omega) = (a+b\omega)(a+b\bar{\omega})$$

where  $\bar{\omega}$  is the Galois conjugate (-) is attached to  $\sqrt{D}$ .

Norm is **multiplicative**:  $N(\alpha\beta) = N(\alpha)N(\beta)$ .

a number in  $\mathbb{Q}[\sqrt{D}]$  is an **algebraic integer** if it's the root of a monic polynomial with integer coefficients.

 $\alpha$  is a unit implies there exists  $\beta$  such that  $\alpha\beta = 1$ .

An element  $\alpha$  in the ring of integers is a **unit** if and only if  $N(\alpha) = \pm 1$ . proof:  $\rightarrow$ ) Suppose  $\alpha$  is a unit. Then,

$$\alpha\beta = 1$$
, for some  $\beta \in \Theta_D$ 

So, 
$$N(\alpha\beta) = N(1) = 1$$
.  
 $\leftarrow$ )  $\alpha\bar{\alpha} = \pm 1$ , so either  $\alpha\bar{\alpha} = 1$  or  $\alpha(-\bar{\alpha}) = 1$ .

e.g., for  $\mathbb{Z}[i]$  (aka D=-1), the units are  $\{\pm 1, \pm i\}$  as they are the only option satisfying  $a^2 + b^2 = 1$ .

For rings,  $A, B, AB = \{a_1b_1 + a_2b_2 + a_3b_2 + ...\}$  "finite sums of elements"

**Ideal generated** by a subset of R, A is denoted (A). it's the "smallest ideal containing A"

**Kernel** of a ring homomorphism is set of elements mapping to 0 (additive id).

Kernel of ring hom is an ideal

For  $s \in Kernel$ , any  $r \in R$ , consider  $\varphi(sr)$  still maps to kernel. hence ker is ideal

#### **Exercises**

1. What are the units of  $\Theta_{-3} = \mathbb{Z}\left[\frac{1+\sqrt{-3}}{2}\right]$ ?

$$\alpha = a + b \frac{1 + \sqrt{-3}}{2}$$
 is a unit  $\iff$   $N(\alpha) = a^2 + ab + b^2 = 1$ 

$$N(\alpha) = a^2 + ab + b^2 = 1$$

TRICK: complete the square!

$$(2a+b)^2 + 3b^2 = 4$$

only options for b are 0, 1, or -1.

units are  $\{\pm 1, \pm \frac{1}{2}, \pm \frac{\sqrt{-3}}{2}\}$ .

2. Prove  $\mathbb{Z}[i]$  with  $N(a+bi)=a^2+b^2$  is a Euclidean Domain.

We need to show Division Algo works.

For  $\alpha, \beta \in \mathbb{Z}[i], (\beta \neq 0)$ 

$$\frac{\alpha}{\beta} = \frac{a+bi}{c+di} = \frac{a}{c^2+d^2} + \frac{bi}{c^2+d^2} = r + si \quad (r, s \in \mathbb{Q})$$

Let p, q be the closest integers to r, s in turn. Then,

$$N((r+si) - (p+qi)) = (r-p)^2 + (s-q)^2 \le \frac{1}{2}$$

Then, we define Algo as

$$\alpha = (p + qi)\beta + R$$

Remains to show  $N(R) < N(\beta)$ .

Define some other variable  $\theta = (r - p) + (s - q)i$ , with  $N(\theta) < \frac{1}{4} + \frac{1}{4} = \frac{1}{2}$ . Then,

$$N(R) = N(\theta)N(\beta) \le \frac{1}{2}N(\beta)$$

#### 3. Find the ideal generated by (3 - i, 2 + 11i).

idea is to find the gcd using Euclidean Algo.

First,

$$\frac{2+11i}{3-i} = \frac{-1}{2} + \frac{7}{2}i.$$

Select closests integers p = -1, q = 3. Then remainder R is

$$= 2 + 11i - (-1 + 3i)(3 - i) = 2 + i.$$

We have

$$2 + 11i = (-1 + 3i)(3 - i) + (2 + i).$$

Next,

$$\frac{3-i}{2+i} = (1-i)$$

Thus,

$$3 - i = (1 - i)(2 + i) + 0.$$

Meaning, the gcd = 2 + i (last nonzero remainder). Thus, ideal is ((2 + i)).

### 4. Show $\mathbb{Z}[\sqrt{-5}]$ is not a Euclidean Domain.

idea is to show it's not a PID (hence not a Euclidean Domain).

Consider  $I = (2, 1 + \sqrt{-5}).$ 

Suppose I is a principal ideal with generator  $\alpha$ .

Then  $2 = k_1 * \alpha \text{ and } 1 + \sqrt{-5} = k_2 \alpha$ .

Then,  $N(\alpha)$  divides 4 and divides  $6 \to N(\alpha) = 1$  or 2.

Case 1:  $N(\alpha) = 2$ 

Then,  $2 = a^2 + 5b^2$ , which is impossible for  $a, b \in \mathbb{Z}$ .

Case 2:  $N(\alpha) = 1$ 

...somehow contradiction

# 5. What are zero divisors of /Z? What are units?

no zero divisors; units are  $\pm 1$ . So  $\mathbb{Z}^*$   $\{\pm 1\}$ 

# Chapter 8: Euclidean Domains

#### Norm

For R an integral domain, a **norm** is a function N such that

$$N: R \to \mathbb{Z}_{\geq 0}$$
 and  $N(0) = 0$ 

A norm is a measure of size in R.

e.g., R = F[x], norm is generally the degree of the polynomial.

\*possible for same integral domain to have more than one norm. Often, statements are with respect to a particular norm.

#### **Eucliean Domain**

A **Euclidean Domain** is an integral domain, R, with a division algorithm such that for any two elements  $a, b \in R(b \neq 0)$ , there exists  $q, r \in R$  where

$$a = qb + r$$
 and  $r = 0$  or  $N(r) < N(b)$ 

q is the quotient and r is the remainder.

e.g., fields (with any norm),  $\mathbb{Z}$  with N(a) = |a|, F[x] with norm = degree of polynomial.

#### Every ideal in a Euclidean Domain is **principal**

proof: consider d in an ideal I, such that d has minimum norm in I. (exists by Well ordering principal)

- (1):  $(d) \subset I$ , by closure.
- (2):  $I \subset (d)$ , since for  $a \in I$ ,

$$a=qd+r$$

with N(r) < N(a) (impossible) or r = 0. Thus,  $a \in (d)$ .

\*useful to show NOT Euclidean Domain, if some ideal is not principal.

A Euclidean Domain allows for the use of the **Euclidean Algorithm**.

If 
$$(a, b)$$
 (ideal generated by a, b) =  $(d)$ , then  $d = gcd(a, b)$  because  $d = ax + by$  by Euclidean Algo.

## Principal Ideal Domains, PIDs

A **Principal Ideal Domain** is an integral domain where every ideal is principal.

Euclidean Domain  $\rightarrow$  PID

since every ideal in Euclidean Domain is principal

In a PID, irreducible  $\rightarrow$  prime.

For r irreducible, wwts (r) is a prime ideal.

Suppose  $(r) \subset (m)$ .

Then r = am for some a, then a is a unit or m is a unit since r is irreducible. a unit  $\rightarrow (r) = (m)$ 

 $m \text{ unit } \rightarrow (m) = \text{entire ring.}$ 

### Unique Factorization Domains, UFDs

For R an integral domain,

- $r \in R$  is **irreducible** if whenever, r = ab  $(a, b \in R)$ , a or b is a unit. (otherwise, r is **reducible**)
- $p \in R \ (\neq 0)$ , is **prime** if (p) is a prime ideal. i.e., normal notion of prime p|ab, p|a or p|b (aka a or b in ideal).
- $a, b \in R$  are associate if a = ub for some unit  $u \in R$ .

prime element 
$$\rightarrow$$
 irreducible

p, prime. If  $ab = p \in (p)$ , then  $a \in (p)$  or  $b \in (p)$ .

Next, show a or b is a unit.

Note without loss of generality,  $p = ab = prb \rightarrow rb = 1$ , meaning b is a unit.

irreducible  $\neq$  prime: e.g.,  $2|(1+\sqrt{-5})(1-\sqrt{-5})$ , but 2 does not divide  $1+\sqrt{5}$ .

In PID, prime element 
$$\iff$$
 irreducible

above proves  $\rightarrow$ ).

 $\leftarrow$ ) see previous page.

A Unique Factorization Domain is an integral domain in which for every  $r \neq 0$  and not a unit:

- (1) r can be written as a **finite product** of irreducible elements.
- \*not necessarily distinct.
- (2) above is **unique** up to associates.
- \*any factorization has same number of products and elements are associates with elements of composition in (1).

easiest example is any field, since every element in a field is a unit (hence nothing to verify in order be a UFD).

examples of UFDs: 
$$\mathbb{Z}$$
,  $\mathbb{F}[x]$ ,  $\mathbb{Z}[i]$ .

 $\mathbb{Z}[i]$  showed it's a Euclidean Domain  $\to$  PID  $\to$  UFD. Similar proof for F[x].  $\mathbb{Z}[x]$  is a UFD, but not a PID.

$$\mathbb{Z}[\sqrt{-5}]$$
 is not a UFD  $6 = 2 * 3$ , but also  $6 = (1 + \sqrt{-5})(1 - \sqrt{-5})$ . Each produc is made of irreducible terms.

# Ascending Chain Condition (ACC), Notherian

A commutative ring with unit R is **Noetherian** if it satisfies ACC:

every increasing sequence of ideas:

$$I_1 \subset I_2 \subset ...$$

terminates, eventually.

Equivalent to say:

- 1. ACC
- 2. every nonempty collection of ideals has a maximal element
- 3. every ideal is finitely generated

proof

 $(1 \rightarrow 2)$  Suppose A is any nonempty collection of ideals.

If no maximal ideal  $I_n$  existed in the collection, we can construct an infinite chain, hence not ACC, a contradiction.

 $(2 \rightarrow 3)$  Let A be a nonempty collection of ideals with a maximal element, say  $I_0$ .

Thus, for  $I_i$  in chain:

- (a)  $I_0 \subset I_i$ , since  $I_0$  is maximal.
- (b)  $I_i \subset I_0$ , since unclear  $(3 \to 1)$  Suppose  $I_1 \subset I_2 \subset I_3 \subset ...$  is a chain of ideals

Then,  $_{i}^{\infty}I_{i}$  is an ideal, say I.

Since, every ideal is finitely generated, so is I, meaning the chain terminates.

e.g.,  $\mathbb{Z}[x_1,...,x_n,...]$  is Netherian.

 $(x_1) \subset (x_1, x_2) \subset \dots$  infinite.

PID is Netherian

since every ideal is generated by  $\overline{1}$  element, by  $\overline{(3)}$  above, PID is Netherian.

$$PID \rightarrow UFD$$

proof:

IDEA: factor such as integers.

Suppose  $r \neq 0$  in R, a PID.

Then we can factor r as  $r_1 * r_2 ....$ 

Suppose a branch of the factorization continued, then we'd have a chain:

$$(r_1) \subset (r_2) \subset (r_3)$$

along the branch, which contradicts ACC (since PID has ACC).

Is this product unique?

Suppose  $r = p_1 p_2 ... p_n = q_1 q_2 ... q_m$ .

Then,  $p_1|q_1q_2...q_m$ , hence,  $p_1|q_i$  for some i.

Thus,  $q_i = p_1 k$ , but  $q_i$  is irreducible, hence  $p_1, q_i$  are associates.

Next repeat for  $p_2$ , to show n=m and all are associates.

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In UFD, irreducible \iff prime.
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proof:  $\rightarrow$ ) P is irreducible. If P|ab with  $a=p_1...p_n, b=p'_1...p'_m$ , then P is associate to some  $p_i$ , hence divides a or b.

 $\leftarrow$ ) true in general.

Field is a ED, is a PID, is a UFD, is an Integral Domain. (nicest to less)

#### GCD

```
An ideal is a gcd d of a, b if

(1) If (a) \subset (d) and (b) \subset (d) implies (a, b) \subset (d).

(2) If (a, b) \subset (c), then (d) \subset (c).

"gcd(a, b) is a generator for smallest principal ideal containing (a, b)"

*(2) is a bit counterintuitive, careful.

gcds exist in UFD

gcd(a, b) = min power of primes in a, b

In PID, (a, b) = (d). (exists since PID is UFD).

(but no Euclidean Algo!)

*gcd is not always a linear combo if not in PID.

e.g., \mathbb{Z}[x] (UFD not PID)

a = 2, b = x: \gcd(2, x) = 1

but 1 \neq 2s + xt for any s, t.
```

Euclidean domain for gcd, is even better: linear combo and algo (euclidean) to find it!

## Davenport-Hasse Norm

```
R has a Davenport-Hasse norm N ifj:
For a,b \neq 0, \ a \in (b) or N(as+bt) < N(b) for some s,t.
e.g., Euclidean Domain has a Davenport-Hasse norm, since N(R) = N(a-qb) < N(b).
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## Arithmetic, applying gcd

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Recall, for integer rings \theta_D: PID \iff UFD D < 0: almost never a PID D > 0: unknown when they're PID.
```

 $\theta_D$  has **no unique factorization** for elements; it does have unique factorization for ideals. (every ideal can be written as a product of prime ideals)

$$I = (a_1, ..., a_n) = r_1 a_1 + ... + r_n a_n$$
 (r in ring) "linear combos of generator elements"

For  $J = (b_1, ..., b_m)$ .

$$IJ = ra_1b_1 + \dots + ra_1b_m + ra_2b_1 + \dots + r_{a2}b_m$$

e.g., 
$$R = \mathbb{Z}[\sqrt{-5}]$$
, recall not PID.  
 $P = (2, 1 + \sqrt{-5})$  we showed was not principal, BUT  
 $P^2 = (2, 1 + \sqrt{-5})(2, 1 + \sqrt{-5})$   
 $= (4, 2 + 2\sqrt{-5}, -4 + 2\sqrt{-5})$  by def of  $P * P$  as linear combos  
 $= (4, 2, 2\sqrt{-5}) = (2)$ .

### Irreducibles in Integer Rings

For  $\pi$  in integer ring,  $\theta_D$ ,

If 
$$N(\pi) = p$$
 (for  $p$  prime in  $\mathbb{Z}$ ),  $\pi$  is **irreducible**

Suppose  $N(\pi) = p$ .

Then for 
$$\pi = ab$$
,  $p = N(a)N(b)$ 

$$\rightarrow N(a) = 1$$
 or  $N(b) = 1$ , meaning a or b is a unit.

What are the irreducible elements in  $\mathbb{Z}[i]$ ?

look at  $p \in \mathbb{Z}$  and see how they factor in  $\mathbb{Z}[i]$ . read and take notes on end of section 8.3

$$p$$
 factors in  $\mathbb{Z}[i]$  into two irreducibles  $\iff p = a^2 + b^2$  for  $a, b \in \mathbb{Z}$ 

idea is to think about norm of elements factoring p

Use tool from Number Theory:

prime 
$$p \in \mathbb{Z}$$
 divides  $n^2 + 1 \iff p \cong 1 \mod 4$  or  $p = 2$ 

look at elements of order 4 in  $\mathbb{Z}/p\mathbb{Z}$  look at again

## Fermat's Sum of Squares

$$p = a^2 + b^2 \iff p \cong 1 \mod 4 \text{ or } p = 2$$

Furthermore, the sum of squares representation is **unique** up to sign changes.

#### What are irreducibles in $\mathbb{Z}[i]$ ?

1+i,  $p \cong 3mod4$  for prime in  $\mathbb{Z}$ , and  $a \pm bi$  which form  $p = a^2 + b^2$  for  $p \cong 1mod4$  (p prime) reread 8.3 end to understand proof

For  $n = 2^k p_1^{a_1} p_2^{a_2} ... p_r^{a_r} q_1^{b_1} ... q_s^{b_s}$ ,

if p, q are distinct primes with

 $p_i \cong 1 \mod 4$  and  $q_i \cong 4 \mod 4$ , then n can be written as the sum of squares

\*the number of representations of n as a sum of squares is  $4(a_1 + 1)(a_2 + 1)...(a_r + 1)$ . proof at end of 8.3

#### **Exercises**

1. Show  $\mathbb{Z}[2i]$  is not a UFD

find an element written as product of different irreducibles. 4 = 2 \* 2 = 2i(-2i), all irreducible.

2. Is  $p = (2, 1 + \sqrt{-5})$  a prime ideal in  $\mathbb{Z}[\sqrt{-5}]$ ?

consider quotient  $\mathbb{Z}[\sqrt{-5}]/(2, 1 + \sqrt{-5})$ .

Is it an integral domain?

note in quotient,  $\overline{1+\sqrt{-5}} = \overline{0} \to \overline{\sqrt{-5}} = \overline{-1}$ .

Thus,  $\overline{a+b\sqrt{-5}} = \overline{a-b}$ .

So,  $\mathbb{Z}[\sqrt{-5}]/(2,1+\sqrt{-5})\cong\mathbb{Z}/(2)$  (by previous work). Thus, it is an integral domain.

# Chapter 9: Polynomial Rings

Constructing  $\mathbb Q$  from  $\mathbb Z$ 

set: (a, b) with  $a, b \in \mathbb{Z}$ 

equivalence:  $(a, b) \equiv (c, d) \iff ad - bc = 0$  can confirm operations are well-defined as expected (based on representatives from equivalence class)

#### R UFD

Gauss's Lemma

p(x) reducible in  $F[x] \implies p(x)$  reducible in R[x]

proof idea: use unique factorization in UFD

p(x) is irreducible in  $R[x] \iff$  it is irreducible in F[x] Part by Gauss's other by looking at gcd of coefficients of p(x).

$$R \text{ is UFD} \iff R[x] \text{ is UFD}$$

proof from notes and in section 8.2

#### Rational Roots Test

If polynomial with integer coefficients has a **rational root** r/s, r divides constant term and s divides leading coefficient.

think about factoring

#### **Smaller Fields**

For I ideal,

If the image of p(x) is **irreducible** in R/I[x], then it's **irreducible** in R[x]

\*careful: reducible in modulo doesn't imply reducible in ring.

Take away:

p(x) is irreducible in say  $\mathbb{Z}/p\mathbb{Z} \implies p(x)$  irred in Z[x]

**content** of  $p(x) \in R[x]$ , UFD = gcd of coefficients, "ideal generated by coef"

### **Roots of Polynomials**

degree n polynomial has n roots in F, a field.

\*not true in rings:  $x^2 - 1$  in  $\mathbb{Z}/8\mathbb{Z}[x]$  has only 4 roots.

## Eisentein's Irreducibility Criterion

p(x) is **irreducible** in  $\mathbb{Z}[x]$  if

there is p, **prime dividing** all coefficients, but  $p^2$  doesn't divide constant

More generally true for **integral domain** R: p(x) irreducible in R[x] if coefficients are elements of prime ideal P, but constant is not element of  $P^2$ .

Tip: f(x) is irreducible  $\iff$  f(x+1) is

# **Exercises**

1. Show  $x^3 - 3x - 1$  is irreducible in  $\mathbb{Z}[x]$ .

Since any rational root has to divide 1, the only candidates for roots are  $\pm 1$ . Neither is a root.

So, polynomial is irreducible.

2. Is  $x^3 + 5x - 17$  irreducible in  $\mathbb{Z}[x]$ ? check mod 2:  $x^3 + x + 1$ , which has no roots so irreducible!

# Chapter 10: Modules

# Linear Algebra Revisted

**Linear Transformation** is a homomorphism  $\varphi: V \to W$  both vector spaces:

- 1)  $\varphi(V+W) = \varphi(V) + \varphi(W)$
- 2)  $\varphi(\alpha V) = \alpha \varphi(V)$

 $T: V \to W$  a linear transformation can be written as a matrix:  $M_b^{\epsilon}$  where b is a basis of V and  $\epsilon$  is a basis of W.

\*note T depends on the basis chosen for V and W.

**Big Theorem**: every vector space has a basis. (same number of elements as dimensionality of vector space)

$$Ker(T) = null space$$

## Module

An R-module M is an **abelian group** with R, a ring, acting on M by:

- 1) r(m+n) = rm + rn
- 2) (r+s)m = rm + sm
- 3) (rs)m = r(sm)

\*if R has unit, then additional requirement: 1m = m. Examples

- $\bullet$  F-module is a vector space over F
- Z-module is an abelian group
- F[x]-module is a vectorspace V over field F with a linear transformation

# **Quotient Modules**

For any N, M R-modules with  $N \subseteq M$ ,

M/N is a quotient

"all quotients are submodules"

why? 1.  $N \subseteq M$  since N is abelian. so "+" makes sense

2. r(m+N) = rm + N just need to check it's well-defined.

## Generators

Idea in general "blah" generated by  $m_1, m_2, ..., m_n$  means the smallest "blah" stucture containing all  $m_i$ .

**Sub-module** generated by  $m_1, m_2, ..., m_n \in M$  is

$$Rm_1, +... + Rm_n$$

since closure is over addition and scalar mult, both captured above by linear combo cyclic R-module if generated by a single element.

#### submodule

N is a sub-module of a module M if for  $n_1, n_2 \in N$ ,

- 1.  $n_1 + n_2 \in N$  "closure +"
- 2.  $rm_1 \in N$  "scalar closure"

# Homomorphisms

 $\varphi: M \to N$ 

**R-module homomorphism**  $\varphi$  is what you'd expect:

 $\varphi(x+y) = \varphi(x) + \varphi(y)$  and  $\varphi(rx) = r\varphi(x)$ .

 $\operatorname{Ker}(\varphi)$  and  $\operatorname{Image}(\varphi)$  are both submodules (in M, N in turn)

## Isomorphism Theorems

- $M/\operatorname{Ker}\varphi \cong \operatorname{Image}(\varphi)$
- $A + B/B \cong A/A \cap B$
- $(M/N)/(M'/N) \cong M/M'$  for  $N \subseteq M' \subseteq M$

and lattice bien sur.

# Cyclic Modules

a module M is cyclic means there exists  $m \in M$  such that R \* m = M.

an element a of M is **torsion-free** if  $ra \neq 0$  for any  $r \in R$ . (a is **torsion** element if there is some r such that ra = 0)

## Natural Map for Cyclic Modules (over PIDs)

 $\varphi: R \to M$  by  $r \to rm$  where m is generator.

 $Ker(\varphi) = left ideal in R$ , call it I. Then,

$$R/I \cong M$$

by first iso theorem. idea: M = R \* m, so it's isomorphic to left cosets of R: R/(r). \*idea: nicer ring, yields nicer r-module M.

"annihilator" of M in  $R = \{r \in R : rm = 0 \text{ for all } m\}$ 

# **Properties of Determinants**

- $det(I_n) = 1$
- $det(A^T) = det(A)$
- $det(A^{-1}) = det(A)^{-1}$  (i.e., 1/ det(A))
- det(AB) = det(A)det(B) (= det(BA)) "commutative"
- $det(cA) = c^n det(A)$  for c a constant
- for A triangular (upper or lower right entires all zero),

det(A) =product of diagonal entries

## Find determinant using cofactors

What's det(A)?

$$\begin{bmatrix} 2 & -1 & 1 & 0 \\ 3 & 5 & 0 & -2 \\ 1 & 1 & 0 & -3 \\ 4 & 0 & 3 & -1 \end{bmatrix}$$

<sup>\*</sup>torsion module implies every element is a torsion element.

Easiest to go down the third column (b/c of the zeros):

$$\det(\mathbf{A}) = 1^{1+3} * 1 \det\left(\begin{bmatrix} 3 & 5 & -2 \\ 1 & 1 & -3 \\ 4 & 0 & -1 \end{bmatrix}\right) + 0 + 0 + (-1)^{4+3} * 3 \det\left(\begin{bmatrix} 2 & -1 & 0 \\ 3 & 5 & -2 \\ 1 & 1 & -3 \end{bmatrix}\right) = -50 + 99$$

$$= 49.$$

# Chapter 12: Modules over PID

A  $\mathbb{Z}$ -module is an **abelian group**.

Thus,

$$\mathbb{Z}$$
-module =  $\mathbb{Z} \oplus \mathbb{Z}/n\mathbb{Z} \oplus \mathbb{Z}/n\mathbb{Z} \dots$ 

by FTFGAG

Any  $n\mathbb{Z}$  is an **ideal** of  $\mathbb{Z}$  (the ideals are precisely  $n\mathbb{Z}$ ).

The idea is to **generalize** the above by replacing  $\mathbb{Z}$  with any PID, R.

### in context of linear algebra

for vectors space V with a linear transformation A, we find a **different basis**. This means we find B such that  $B = P^{-1}AP$  for some matrix P. This allows us to write transformation in **unique forms**:

- Jordan Canonical Form: as close to a diagonal matrix as possible
  - requires eigenvalues to be in field F.
- Rational Canonical Form: similar but doesn't require eigenvalues to be in F.

# 1 Fundamental Theorem of Finitely Generated Modules

(FTFGM) over PIDs

recall, PID = integral domain (commutative ring with unit and no zero divisors) where every ideal is principal.

For,

R = PID

M = finitely generated R-module.

There are two ways of **uniquely** decomposing a finitely generated module M:

1. Invariant Factor way:

$$M = \underbrace{R \oplus \cdots \oplus R}_{\text{rank r}} \oplus \underbrace{R/(r_1) \oplus \cdots \oplus R/(r_n)}_{invariant factors}$$

where  $r_1|r_2|\ldots|r_n$ .

2. Elementary Divisor way:

$$M = \underbrace{R \oplus \cdots \oplus R}_{\text{rank r}} \oplus \underbrace{R/(p_1^s) \oplus \cdots \oplus R/(p_n^s)}_{elementary divisors}$$

where  $p_1, p_2 \dots$  are prime elements (not necessarily distinct).

\*recall:  $p \in R$  is **prime** if (p) is a **prime ideal** (ab in  $(p) \implies$  a or b in (p)); implies traditional def:  $p|ab \implies p|ap|b$ .

\*recall:  $A \oplus B = \{(a, b) : a \in A, b \in B\}.$ 

proof after Chineses Remainder and Noetherian R-modules

Note: Fundamental TFG Modules  $\implies$  FTFGAG.

#### Chinese Remainder Theorem for R-modules

Let R be commutative with 1.

For A, B comaximal ideals in R,

$$A \cap B = AB$$
 and  $R/AB \cong R/A \oplus R/B$ 

**comaximal** means A + B = R " sum gives entire ring." proof in notes

#### Noetherian R-Modules

M is a Noetherian R-Module is equivalent to any of the following:

- $\bullet$  M satisfies ACC on R-submodules
- Every R-submodule is finitely generated

• Every collection of submodules has a maximal element

(eerily similar to Noetherian ring)

M is Noetherian  $\iff$  M'' and M' = M/M'' are Noetherian "submodules and quotients of Noetherian are Noetherian" proof

#### **Torsion**

For R integral domain and M an R-module,

$$Tor(M) = \{x \in M : rx = 0 \text{ for some } r \in R\}$$

\*M is torsion free if Tor(M) = 0

Annihilator of M is the ideal of R such that

$$Ann(N) = \{ r \in R : rn = 0 \text{ for all } n \in N \}$$

#### 2 Rational Canonical Form

### Eigenvalue

The **eigenspace** of a linear transformation T is

$$\{v \in V : T(v) = Av = \lambda v\}$$

The characteristic polynomial of T, denoted  $Ch_A(x)$  is det(xI - A). often written A - xI, but above produces a nice monic polynomial.

**degree** n of  $Ch_A(x)$  is the **dimension of V**.

The set of eigenvalues is precisely the set of roots of the characteristic polynomial. (at most n eigenvalues)

## Minimal Polynomial

The unique monic polynomial,  $m_A(x)$ , of smallest degree such that  $m_A(A) = 0$ . -can also think of  $m_A(x)$  as generator of Ann(V) in F[x]

The minimal polynomial is the **largest invariant factor** (all invariant factors divide  $m_A(x)$ ).

## Characteristic Polynomial

- characteristic polyn is the **product** of all invariant factors
- Cayley Hamilton: min poly divides char poly

• char divides some power of the min polyn (meaning char and min have same roots)

SAME Charactersitic polynomial is a **necessary** but **not sufficient** condition to conclude two matrices are similar (they need to have the same RCF or JCF)

## Companion Matrix of a polynomial

For any  $a(x) \in F[x] = x^k + b_{k-1}x^{k-1} + b_{k-2}x^{k-2} + \cdots + b_00$ , the **companion matrix** of a(x) is

$$\begin{bmatrix} 0 & 0 & \dots & -b_0 \\ 1 & 0 & \dots & -b_1 \\ \dots & & & \\ 0 & 0 & \dots 1 & -b_{k-1} \end{bmatrix}$$

#### Rational biz

A matrix is in **rational canonical form** if the companion matrices of some polynomials  $a_1(x)|a_2(x)|\dots|a_m(x)$  form the matrix. " $a_i(x)$  are the **invariant factors**"

RCF of any matrix is **unique** 

Two matrices are similar if and only if they have the same RCF.

To find all similar matrices, consider different possible minimal polynomials and invariant factors.

## 3 Jordan Canonical Form

Jordan form is as **close** as possible to a **diagonal matrix** (often simpler matrix than rational form).

To obtain the JCF, we use the **elementary divisor form** of the fundamental theorem. Suppose for an F[x]-module of V with invariant factors  $a_1(x)|a_2(x)|\dots|a_m(x)$ , all monic polynomials. Then, the **elementary divisors** are powers of  $(x - \lambda)^k$  (under the assumption the field F contains all eigenvalues of A).

The k x k elementary Jordan matrix with eigenvalue  $\lambda$  is

$$\begin{bmatrix} \lambda & 1 & 0 & \dots & 0 \\ 0 & \lambda & 1 & 0 \dots & 0 \\ \dots & & & & & \\ 0 & 0 & 0 & \dots & 1 \\ 0 & 0 & 0 & \dots & \lambda \end{bmatrix}$$

Jordan Canonical Form is a block diagonal matrix (square matrices along diagonal, zero elsewhere) with Jordan Blocks (above) along the diagonal.

**unique** up to permuting the Jordan Blocks.

**Theorem** if A contains all eigenvalues, then A is **similar to** a matrix in Jordan Canonical Form (JCF =  $P^{-1}AP$  for some P).

A similar to JCF 
$$\iff m_A(x)$$
 has no repeated roots dim of eigenspace = # invariant factors = # Jordan Blocks

A can be **diagonalized** 
$$\iff$$
 Jordan blocks are of size 1

equivalent of  $m_A(x)$  having distinct roots

For matrices of size 2 or 3, knowing  $m_A(x)$  and  $ch_A(x)$  determines JCF.

For larger matrices (say 4x4), we can use **rank** to computer Jordan Blocks:

# Jordan Blocks of size 
$$k = r_{k-1} - 2r_k + r_{k+1}$$

where r = rank of matrix computed as rank  $(A - I)^k$  (which is the number of linearly independent rows/columns.

e.g., number of Jordan blocks of size  $2 = r_1 - 2r_2 + r_3$  (compute  $(A - I)^0 = I$  has rank 4 (for A 4x4),  $(A - I)^1$  see # of independent rows ..)

#### computing JCF

- 1. Put charactersitic polynomial into form:  $(x \lambda)^k$  (if can't, we won't be able to put into JCF)
- 2. size of JB for = dim null space (A  $\lambda I$ )?

Linear fact

 $\dim \text{ null space} + \dim \text{ column space } (\text{rank}, = \text{row space}) = n$ 

## **Exercises**

1. What are the submodules of  $\mathbb{R}[x]$  for  $V = \mathbb{R}^2$  and T: rotation (counterclockwise) by  $\pi/4$ ?

possibilities are dimension 0: point at center

dimension 1: lines thorugh the center

dimension 2: entire plane

dimension 1 is not closed when rotating a point by  $\pi/4$ .

So, 0 and whole thing are only submodules.

2. How about for S: rotation by  $\pi$ ?

0, lines through the origin, and whole plane

3. Is  $M = \text{set } \mathbb{R}^2$  with T: rotation by  $\pi$  inside  $\mathbb{R}[t]$ -module cyclic? No, think polynomial  $a + bt + ct^2$  acts by multiplication where tv = T(v). Span of  $v, tv, t^2v, t^3v$  doesn't yield all of  $\mathbb{R}^2$ .

- 4. How about with T: rotation by  $\pi/4$ ? yes!,  $v = (1, 0, then <math>T^2(v) = (0, 1)$  which spans all of  $\mathbb{R}^2$ .
- 5. Show A, B similar matrices have the same characteristic polynomial.  $ch(B) = det(xI B) = det(xI P^{-1}AP) = det(P^{-1}xP P^{-1}AP)$ =  $det(P^{-1})det(x - A)det(P) = det(x - A)$ .
- 6. Show the constant term in the characteristic polynomial of A (nxn) is  $(-1)^n det A$ . char poly =  $\det(xI A)$ . The constant term is where x = 0, so we have constant term =  $\det(-A) = (-1)^n det(A)$  (depending on n even or odd)
- 7. Show the coefficient of A is the negative of trace(A). Note product of the diagonal:  $(x a_1)(x a_2) \dots (x a_n)$ ; unclear

# Chapter 13: Field Extensions

**Goal**: if a(x) has no roots in F, how do we enlarge F so a(x) has a root?

an element c is **algebraic** over F if it is the root of some nonzero polynomial in F[x].(else it's **transcendental**)

a field K is algebraically closed if every polynomial  $f(x) \in K[x]$  has at least one root in K.

recall: F[x] is a ring, a particularly nice ring: Euclidean Domain. Thus, every ideal in F[x] is principal since F[x] is a Euclidean Domain, hence a PID

## Extensions as a Map over Polynomials

Consider

$$\varphi_c: F[x] \to F \text{ by } a(x) \to a(c)$$

 $\varphi_c$  is a homomorphism!

- $Ker(\varphi)$  is an **ideal**, so it must be principal
  - -it's generated by the minimum\* polynomial of  $\boldsymbol{c}$
- Image( $\varphi$ ): turns out to be a field!
  - it's the **smallest field** containing F and c; call it F(c).

- Image
$$(\varphi) \cong \frac{F[x]}{\langle m(x) \rangle}$$

\*the **minimum polynomial** p(x) of c over F is the polynomial of lowest degree in F[x] such that p(c) = 0 (note by making p(x) monic, we can ensure it's unique).

Any homomorphism  $\varphi: F_1 \to F_2$  between fields is an **isomorphism** (or 0 map).

# **Extensions as Vector Spaces**

For  $F \subseteq K$ , K can be thought of as a vector space over F.

The degree of K is denoted [K:F].

It turns out  $F(c) = span\{1, c, \dots, c^{n-1}\}$  where n is the degree of the **minimal polynomial**.

proof: take any  $a(c) \in F(c)$ , then a(x) = q(x)m(x) + r(x).

Evaluate at c, then a(c) = 0 + r(c) where r(c) has degree in.

Furthermore,

$$[E:F] = [E:K][K:F]$$

\*when [E:F] = n, [K:F] = m with gcd(n,m) = 1, [EK:F] = nm.

Any polynomial of degree n in F[x] has n roots in an extension of F.

proof idea: the extension is  $\frac{F[x]}{(p(x))}$ , where p(x) is the irreducible polynomial in F. This extension is a field by the work above, where a root c of p(x) exists

If both a, b are roots of some irreducible  $p(x) \in F[x]$ , then

$$F(a) = \frac{F[x]}{(p(x))} = F(b)$$

implying a, b are algebraically indistinguishable!

 $\alpha$  algebraic over F  $\iff$   $F(\alpha)/F$  is finite degree extension.

 $\alpha,\beta$  algebraic: carries over sums, products, division:  $\alpha+\beta$  algebraic etc.

**algebraic closure** of a filed, say  $\mathbb{Q}$ , denoted  $\overline{\mathbb{Q}}$ , is  $\mathbb{Q}$  plus all algebraic elements in  $\mathbb{Q}$ . Every element of a **finite** field is algebraic

Take  $F \subseteq K$  and  $c \in K$  (deg k =n), then  $1, c, \ldots, c^n$  is a linearly dependent set. Thus,  $a_0 + a_1c + a_2c^2 + \cdots + a_nc^n = 0$  for some  $a_i \in F$ .

Every Finite extension is a  $\mathbf{simple}$  extension (adjoins one element)

#### Characteristic of a field

The smallest number n such that  $\underbrace{1 + \dots 1}_{n} = 0$ 

(else ch(F) = 0, if no finite n exists, e.g.  $\mathbb{Q}$ )

Note ch(F) must be **zero** or **prime** (if not prime, then ab = 0, implying a=0 or b=0, a contradiction of requirement for ch to be smallest!)

so finite fileds must have **prime order!** 

In characteristic p,

$$(a+b)^p = a^p + b^p$$

# **Splitting Fields**

For  $f(x) \in F[x]$ , K is called a **splitting field** for f(x) if

- f(x) has all its roots in K(f(x)) splits into linear factors in K(x)
- it's the smallest such extensions (no subextension of K contains all roots of f(x))

a polynomial is called **separable** if it has distinct roots in some splitting field. (if polynomial a repeated root, it's **inseparable**)

$$f(x)$$
 has distinct roots  $\iff$   $(f(x), d/dx f(x)) = 1$ 

 $\alpha$  is a root of  $f'(x) \iff$  is a multiple root of f(x), thus minimal polynomial divides both f and f', meaning  $\gcd \neq 1 \square$ 

$$ch(F) = 0 \implies any irred p(x) has distinct roots$$

why? if  $\alpha$  is a multiple root of p(x), then p'(x) would have the same root and be of lower degree!

proof: division algo and induction by looking at map between two splitting fields to show they're iso

What's the degree of K over F ([K:F])?

Suppose  $\alpha_1, \ldots, \alpha_n$  are the roots of f(x). Then,  $F(\alpha_1)/F \leq n$ ,  $(\alpha_1, \alpha_2)/F \leq n-1$ , etc. Since, degree of extensions are multiplicative,  $[K:F] \leq n!$ .

For  $K_1, K_2$  extensions of F of degrees n and m,

$$[K_1K_2:F] = nm$$
 (if (n, m) = 1)

If p(x) irred in F[x] has one root in a splitting field K, it must have **all its roots** in K.

<sup>&</sup>quot;against every inclination"

### **Roots of Unity**

The nth **roots of unity** in a field are elements  $a_i$  such that  $a_i^n = 1$ . the roots of unity divide a unit circle into arcs of equal length.

a is a **primitive nth root** of unity if n is the **smallest** integer such that  $a^n = 1$ .

## Cyclotomic Polynomials

The nth cylotomic polynomial is

$$\Phi_n(x) = \prod_{1 \le k \le n, (n,k)=1} \left(x - e^{\frac{2i\pi k}{n}}\right)$$

For n prime,

$$\Phi_n(x) = 1 + x + x^2 + \dots + x^{n-1}$$

For n = 2p,

$$\Phi_{2p}(x) = 1 - x + x^2 - \dots + x^{p-1}$$

The first few cyclotomic polynomials are

$$\Phi_1(x) = x - 1\Phi_2(x) = x + 1$$

$$\Phi_4(x) = x^2 + 1\Phi_5(x) = x^4 + x^3 + x^2 + x + 1$$

$$\Phi_6(x) = x^2 + x + 1$$

$$\Phi_6(x) = x^2 - x + 1$$

The degree of 
$$\Phi_n(x) = \varphi(n)$$

The cyclotmoic polynomial  $\Phi(n)$  is the **minimal** polynomial of any nth root of unity  $\zeta_n$ .

## Cyclotomic Fields

Field obtained by joining any primitive nth roots of unity.

For any field F,  $F(\zeta_n)$  is called a **cylotomic field** for  $\zeta_n$  the nth root of unity. The field is **cylic**!

## **Exercises**

- 1. What is  $(1 + \sqrt[3]{2})^{-1}$  in  $\mathbb{Q}(\sqrt[3]{2})$ ?
  - 1. min poly is  $p(x) = x^3 2$ , since  $\sqrt[3]{2}$  is a root and p(x) is irreducible by Eisenstein.
  - 2. Thus,  $\mathbb{Q}[x]/p(x) = \mathbb{Q}(\sqrt[3]{2})$ .
  - 3. Inside field, p(x) is zero.

idea: use euclidean algo to find  $a(x)(1+x) + b(x)(x^3-2) = 1$ . evaluate a(x) at  $\alpha$  to find inverse of  $(1+\alpha)$ , since right term goes to zero!

- 2. What is  $[\mathbb{Q}(\sqrt[n]{2}) : \mathbb{Q}]$ ? min poly:  $x^n - 2$ , so degree of extension is n.
- 3. What's the degree of the splitting field for  $(x^2-2)(x^2-3)$ ? It's the degree of  $\mathbb{Q}(\sqrt{2},\sqrt{3})$  over  $\mathbb{Q}$ , which by previous work is 4.
- 4. What's the degree of  $\mathbb{Q}(\sqrt[4]{2}, \sqrt{2})$ ? it equal to the degree of  $\mathbb{Q}(\sqrt[4]{2})$
- 5. For  $p(x) = x^3 + 9x + 6$  and  $\theta$  a root, find  $\frac{1}{1+\theta} \in \mathbb{Q}(\theta)$ . since p(x) is irreducible, we know

$$a(x)(1+x) + b(x)(x^3 + 9x + 6) = 0$$

by gcd. At  $x = \theta$ ,  $a(\theta)(1 + \theta) + 0 = 0$ , meaning  $a(\theta)$  is the inverse we seek. To find a(x) use Euclidean algo.

6. In general to find  $\theta^{-1}$ , consider factoring  $\theta$  as in page 516 of Dummit.

#### Strategies:

- To find minimal polynomial, try multiplying out complex conjugates of root given (x root)(x - complex conju of root). Hopefully polynomial is irreducible, done.
- Another way to determine degree is to take something like  $\mathbb{Q}(\sqrt{3+2\sqrt{2}})$  show it equals a simpler field  $(\mathbb{Q}(\sqrt{2}))$  in this case by squaring the element.

# Field Automorphisms and Galois

$$Aut(K/F) = \{ \sigma \in Aut(K) | \sigma \text{ fixes } F \}$$

Automorphisms of K/F only take **roots to roots** of same poly.

$$||Aut(K/F)| \le [K:F]$$

 $|Aut(K/F)| \leq [K:F]$  (equality if the polynomial of the splitting field is **separable**)

A field extension K is **Galois** only if |Aut(K/F)| = [K:F]. Since automorphisms of a splitting field K of p(x) permute roots of p(x), |Aut(K/F)| = [K:F] =degree of K over F

e.g.,  $2 = |Aut(\mathbb{Q}(\sqrt{2})/\mathbb{Q})|$  since autmorphisms can take  $\sqrt{2}$  to itself or  $-\sqrt{2}$ .

e.g.,  $4 = |Aut(\mathbb{Q}(\sqrt{2}, \sqrt{3})/\mathbb{Q})|$  similar argument with options for both  $\sqrt{2}$  and  $\sqrt{3}$ .

## Automorphisms as a Group: Galois Groups

Gal(K/F) = permutations of roots of <math>a(x) = Aut(K/F)

(not every permutation; just ones uniquely identifying an automorphism)

These automorphisms are a group, called the Galois Group, under composition.

#### Fixed Fields

For K a splitting field of p(x) over F, one-to-one between

subgroups of  $Gal(K/F) \iff$  subfields of K

e.g., what's the splitting field of  $x^p - 2$  over  $\mathbb{Q}$ ?

roots are  $\sqrt[p]{2}, \zeta_p \sqrt[p]{2}, \dots \zeta_p^{p-1} \sqrt[p]{2}$  which are contained in  $\mathbb{Q}\sqrt[p]{2}, \zeta_p$ .

# Review Galois Theory

- For  $p(x) \in F[x]$  irreducible, then p(x) has a root in some extension of F.
- For  $\alpha$  a **root** of p(x),  $F(\alpha) \cong \frac{F[x]}{(p(x))}$ .
  - if  $\alpha, \beta$  roots of p(x)  $F(\beta) \cong F(\alpha)$  "algebracailly indistinguishable"
- $[F(\alpha):F] = \text{degree of minimal polynomial}$
- a homomorphism between fields is an isomorphism or 0.
- quadratic extensions equivalent to adjoining  $\sqrt{D}$  (square-free)
- $K_1K_2$ , composite extension, is the smallest field containing  $K_1, K_2$ .

- 
$$[K_1:F] = n, [K_2:F] = m$$
, then  $[K_1K_2:F] \le nm$  (= if (n, m)=1).

- a field K is algebraically closed if every poly in K[x] has a root in K. (this in fact means every root of any poly is in K by factoring argument)
- ullet the splitting field K of a polynomial is the smallest field containing all its roots.
  - for K splitting field,  $[K:F] \leq n!$
- $f(x) \in F[x]$  is separable  $\iff (f(x), f'(x)) = 1$ .
- In ch(F) = 0 or for F finite field,
  - -p(x) irreducible  $\implies p(x)$  is separable.
  - -p(x) is separable  $\iff p(x)$  is the product of distinct irreducibles.

#### Questions:

• Does  $\zeta_n = e^{2\pi i/n}$ ?