

FAST SEARCHING / BALANCED TREES

Algorithms and Data Structures 2
Exercise – 2021W

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BALANCED TREES

Motivation

- Real data is usually not randomly distributed
- Prevent **degeneration** of binary search trees into linear lists!
- Search/Insert in **$O(\log(n))$**

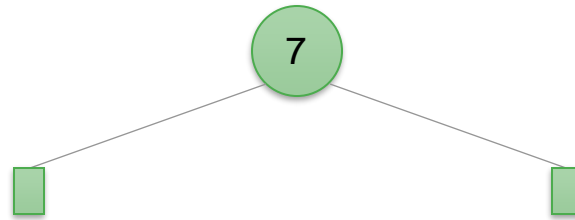
Approach

- Monitor tree structure
- Insert/Remove may require restructuring

Binary search trees that guarantee the execution of search, insert and delete operations in **$O(\log(n))$** even in worst case → height-balanced trees (e.g.: **AVL tree**)

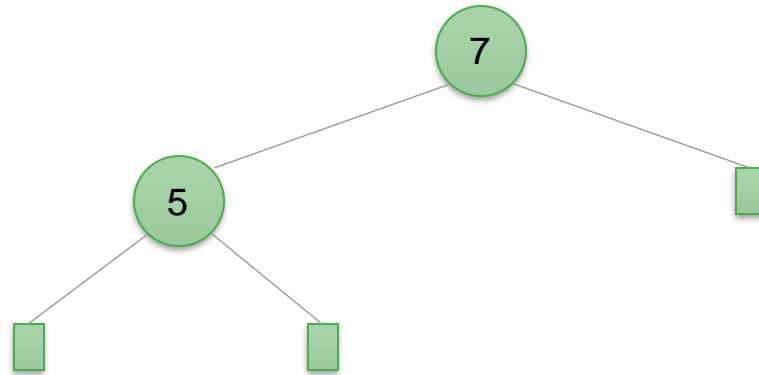
BALANCED TREES :: DEGENERATION

7, 5, 8, 17, 32



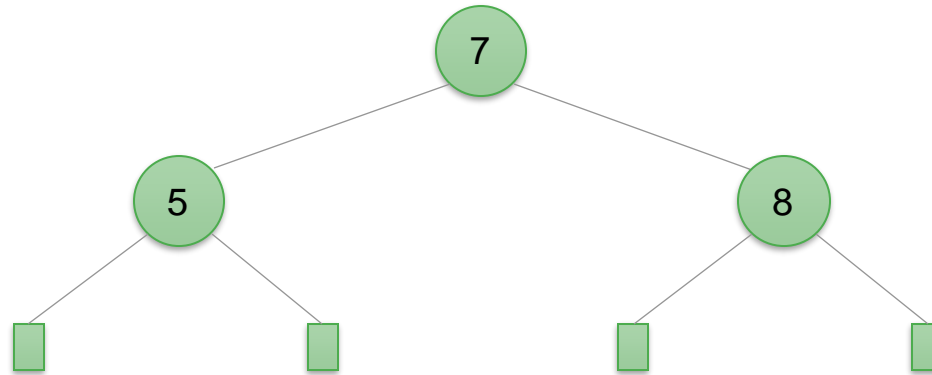
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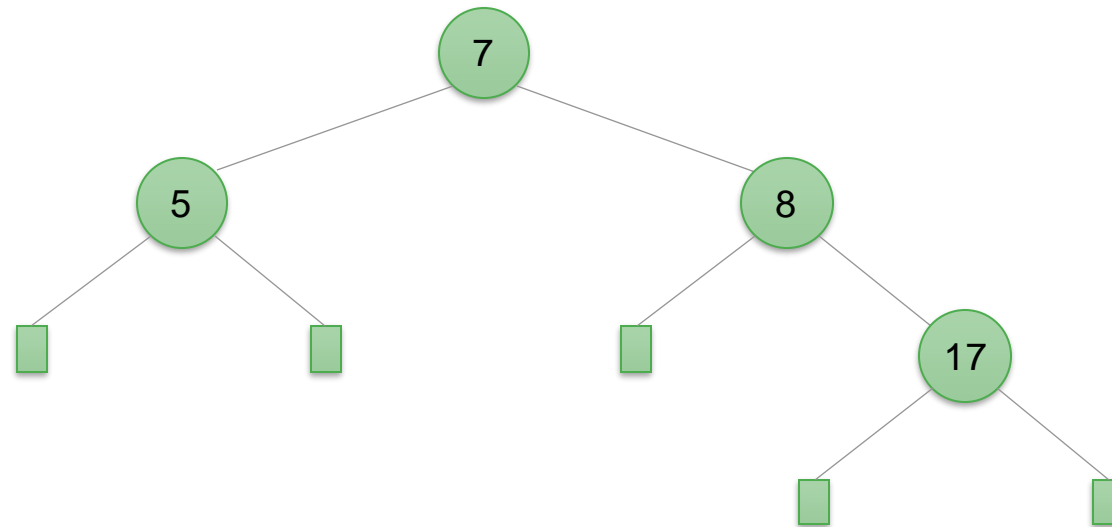
BALANCED TREES :: DEGENERATION

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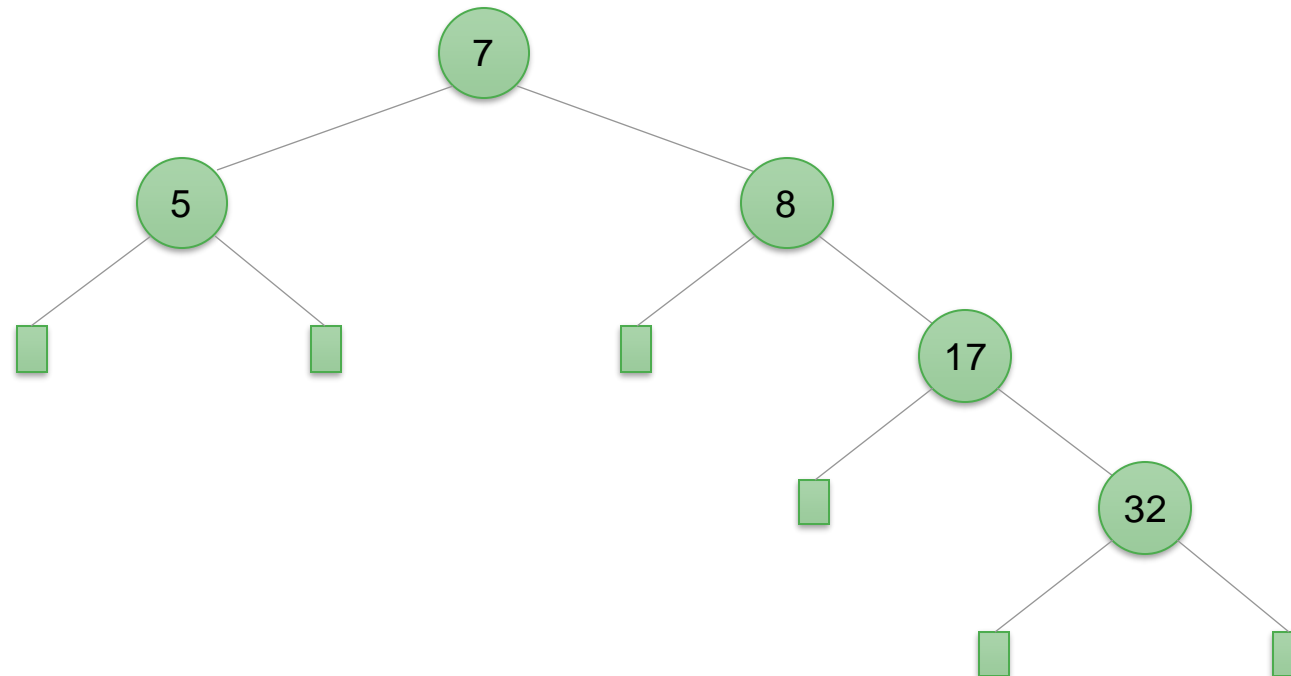
BALANCED TREES :: DEGENERATION

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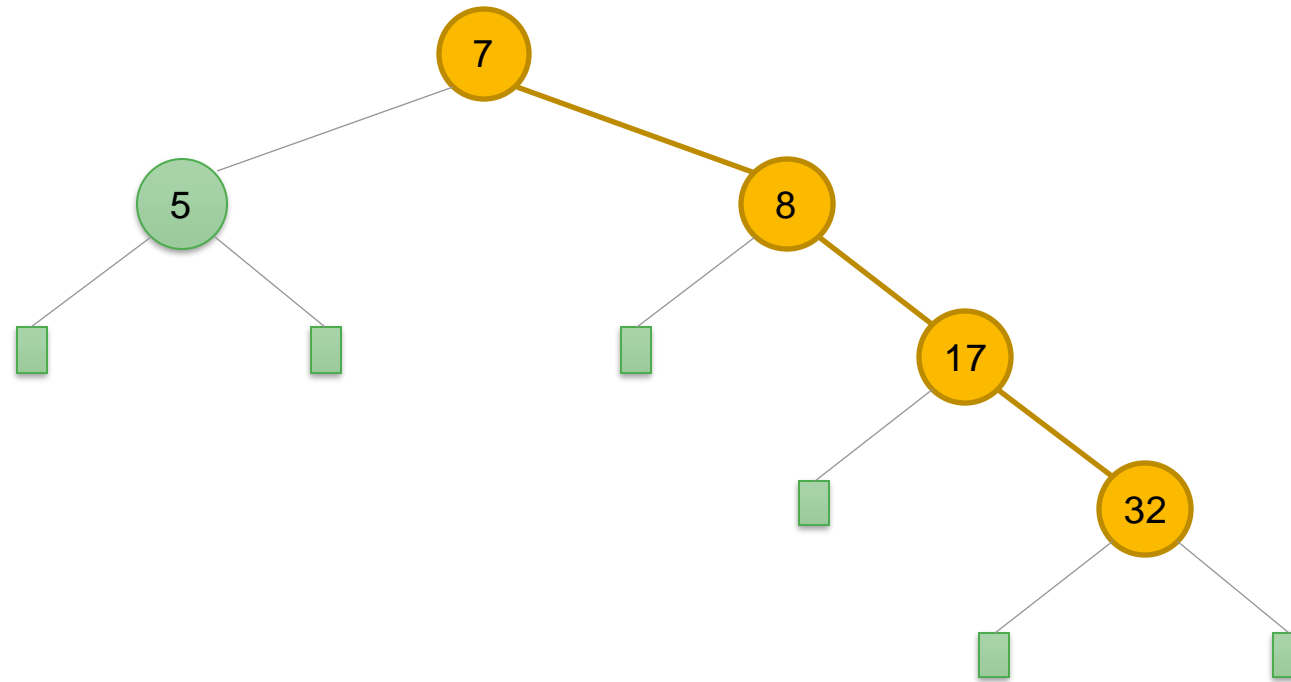
BALANCED TREES :: DEGENERATION

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BALANCED TREES :: DEGENERATION

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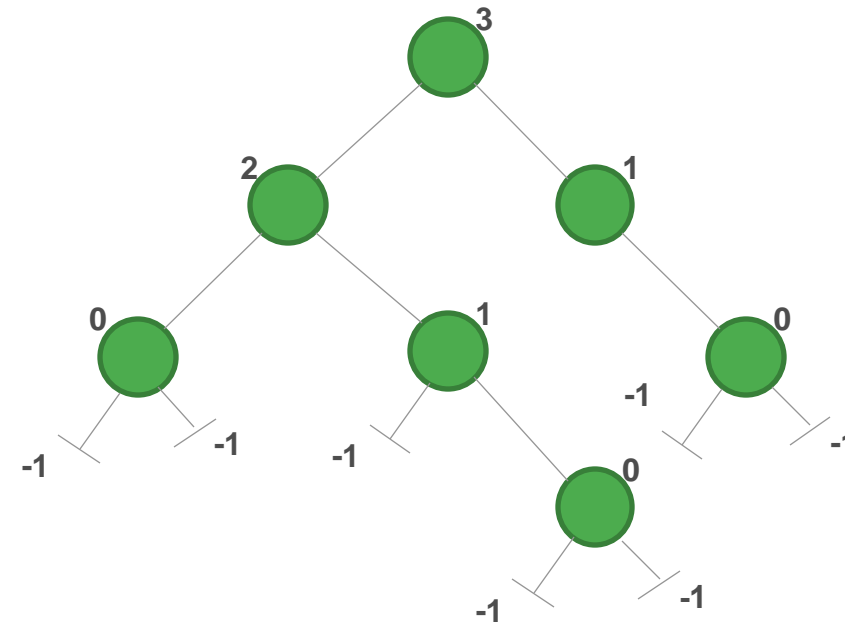
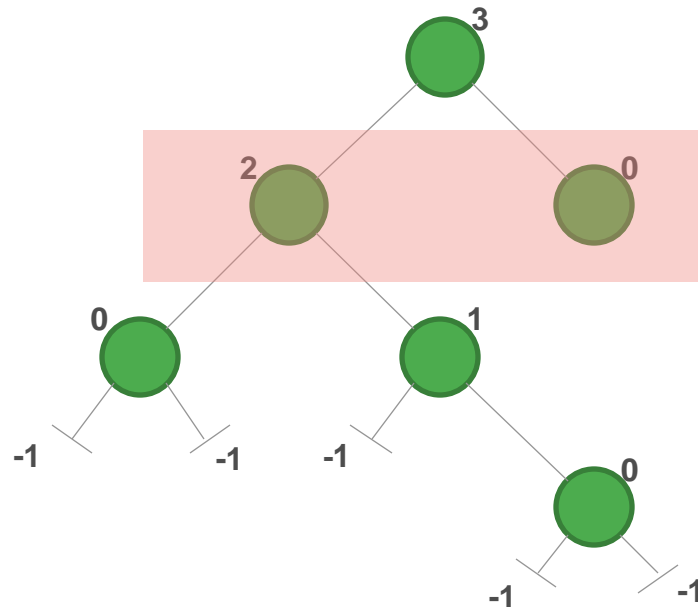
List: Access $O(n)$

AVL TREE

Properties

- Binary search tree
- for each node, the heights of its two subtrees differ by not more than 1 („balanced“)

Examples



AVL TREE :: INSERT

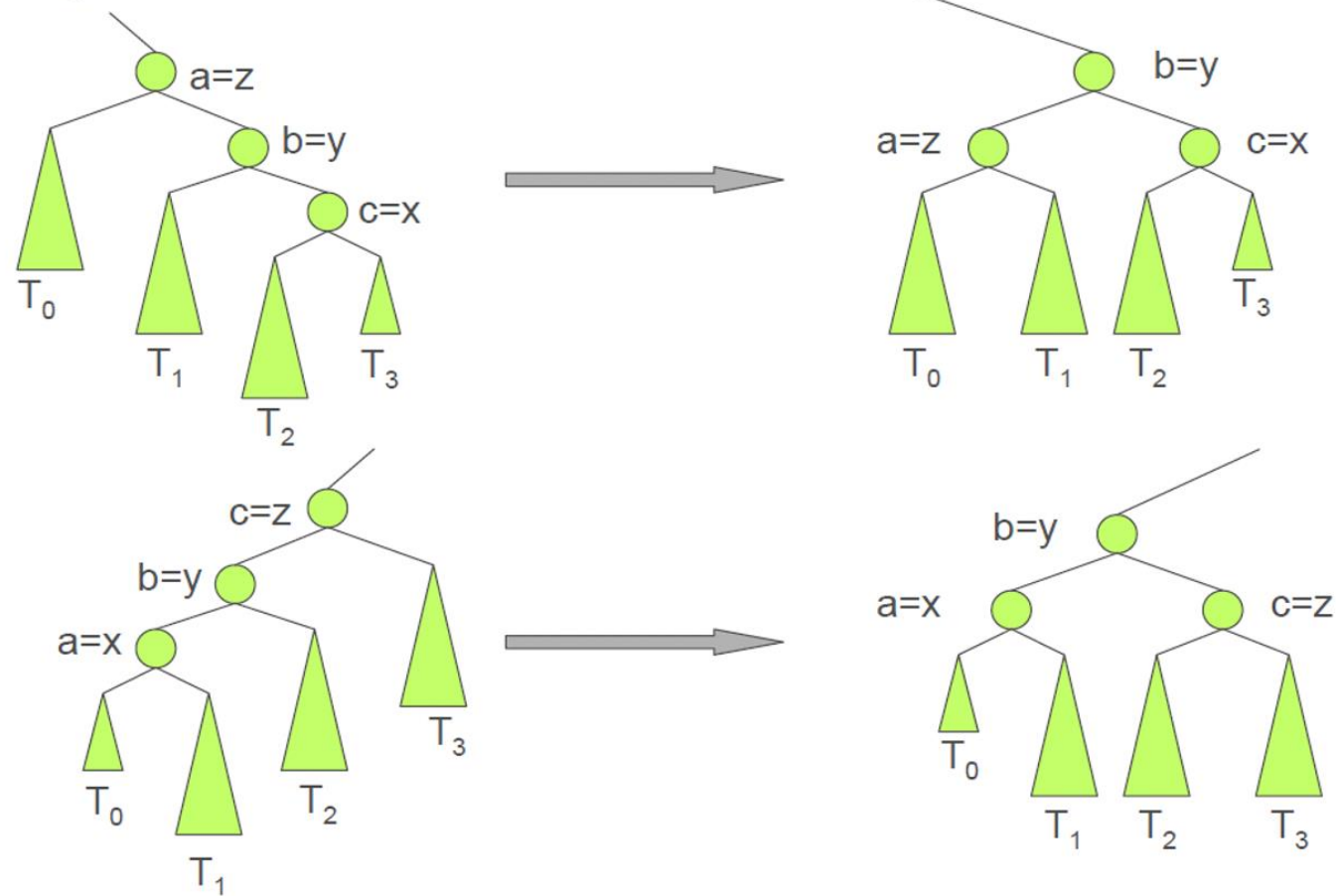
Insert is in general the same as for the binary search tree but may cause the AVL tree to become unbalanced → restructuring required!

Restructuring

1. Go up from the new node in the tree until the first node **x** is found, whose grandparent **z** is an unbalanced node
2. Define **y** as child of **z** (= the node we passed on the way to z);
 $\text{height}(y) = \text{height}(\text{sibling}(y)) + 2$
3. Define **x** as child of **y**
4. Rename **x, y, z** in **a, b, c** (according to Inorder traversal!)
5. Replace **z** by **b**
6. Children of **b** are now **a** (left) and **c** (right)
7. Children of **a** and **c** are the subtrees $T_0 \dots T_3$, which have been children of **x, y** and **z** before → reassign and distinguish **4 cases...**

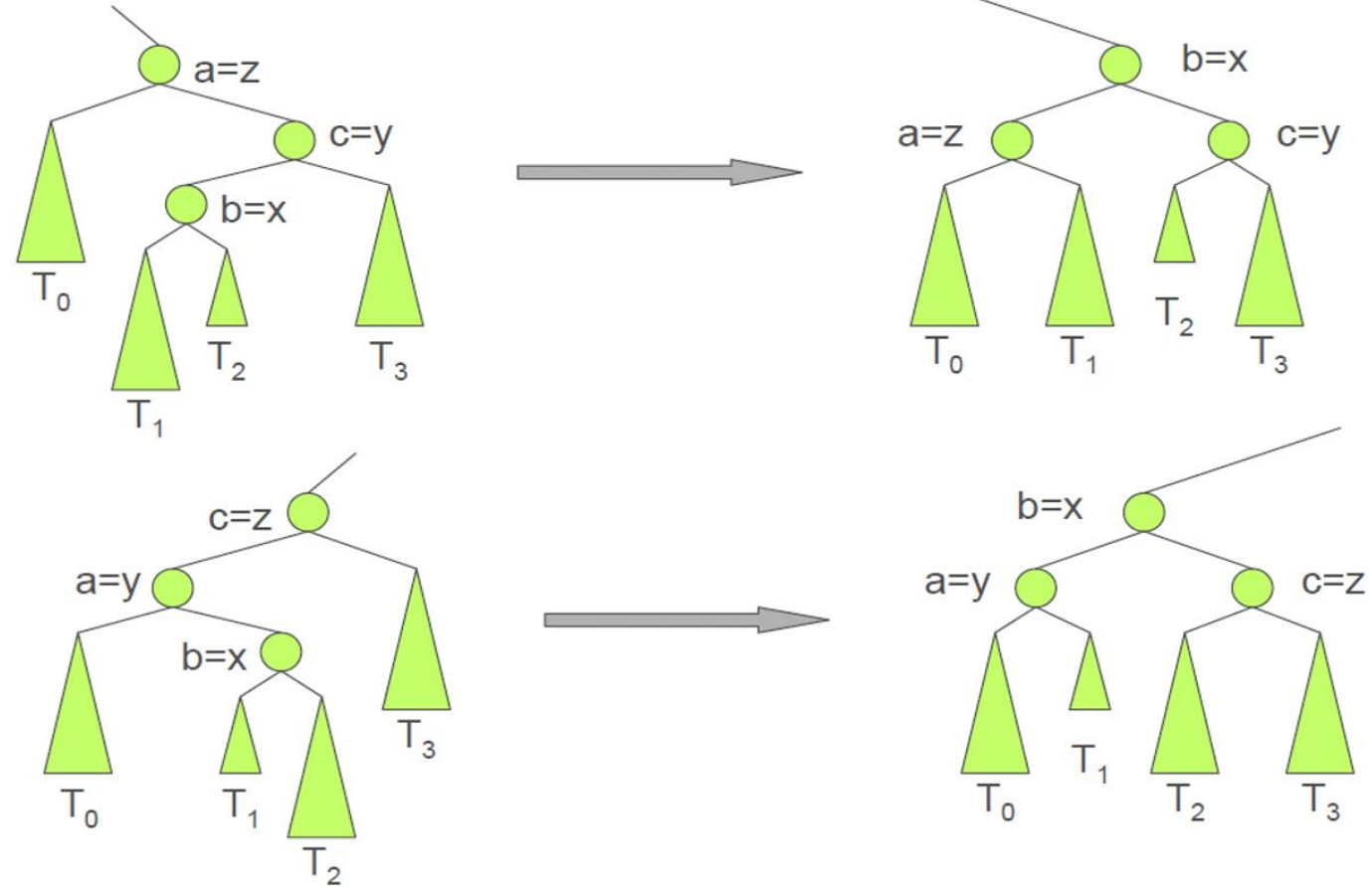
AVL TREE :: ROTATIONS

Single Rotations

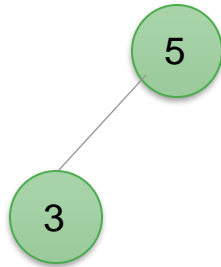


AVL TREE :: ROTATIONS

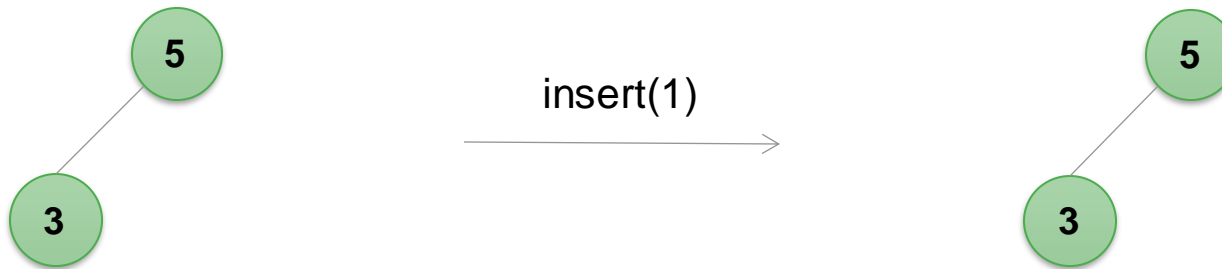
Double Rotations



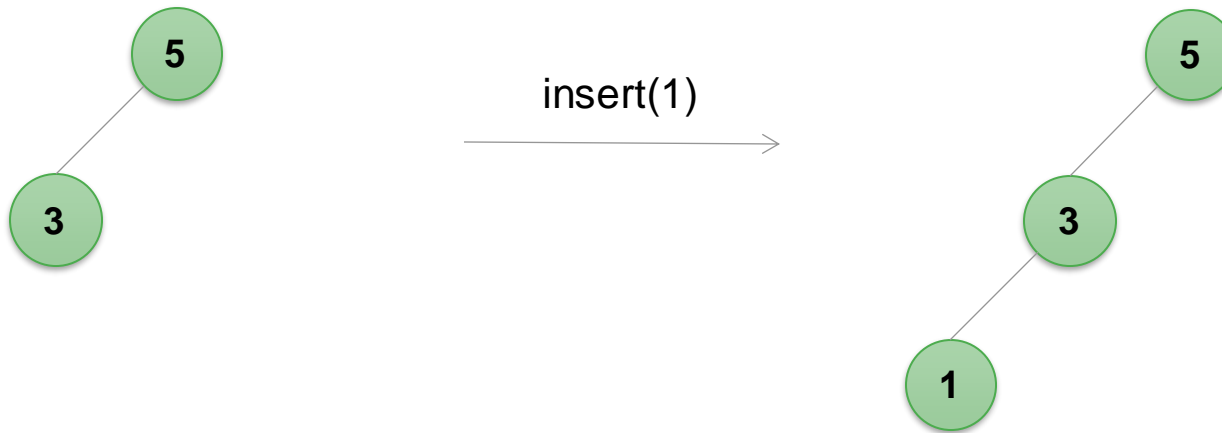
AVL TREE :: ROTATIONS



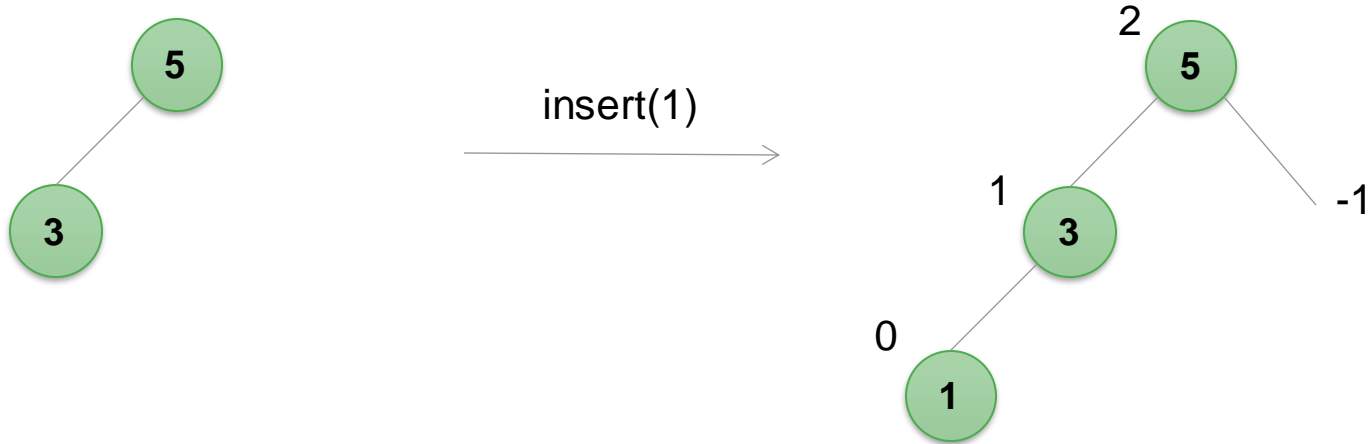
AVL TREE :: ROTATIONS



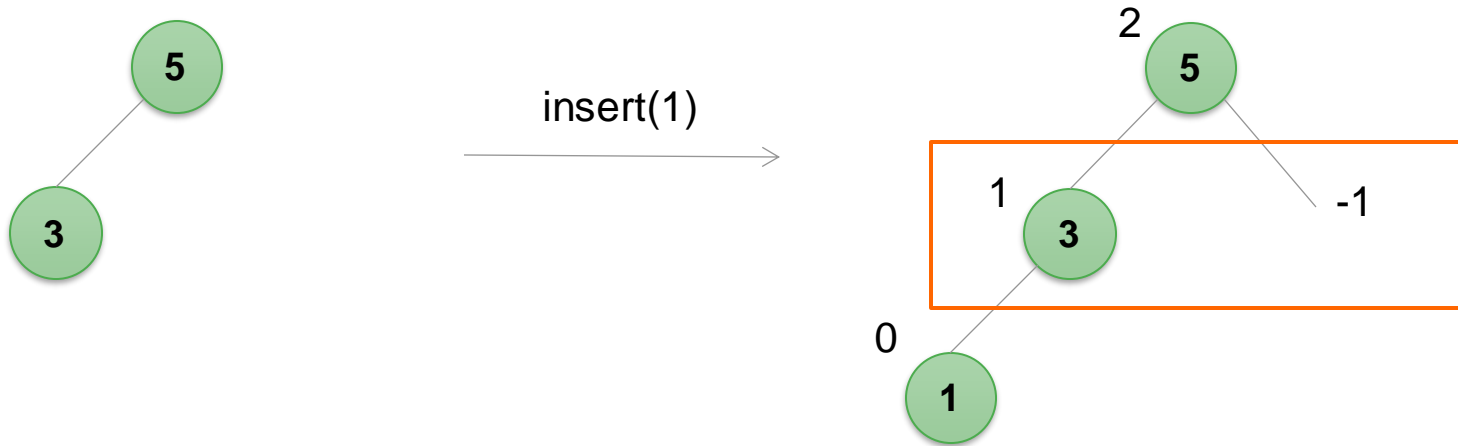
AVL TREE :: ROTATIONS



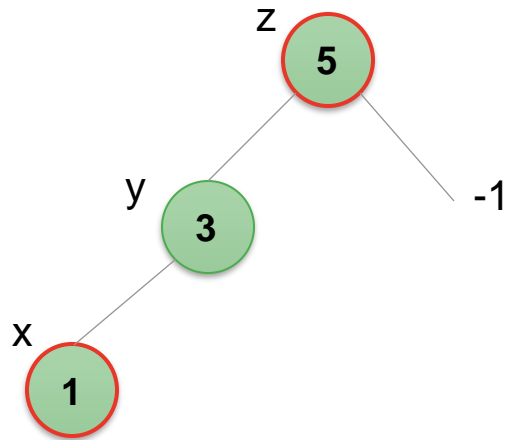
AVL TREE :: ROTATIONS



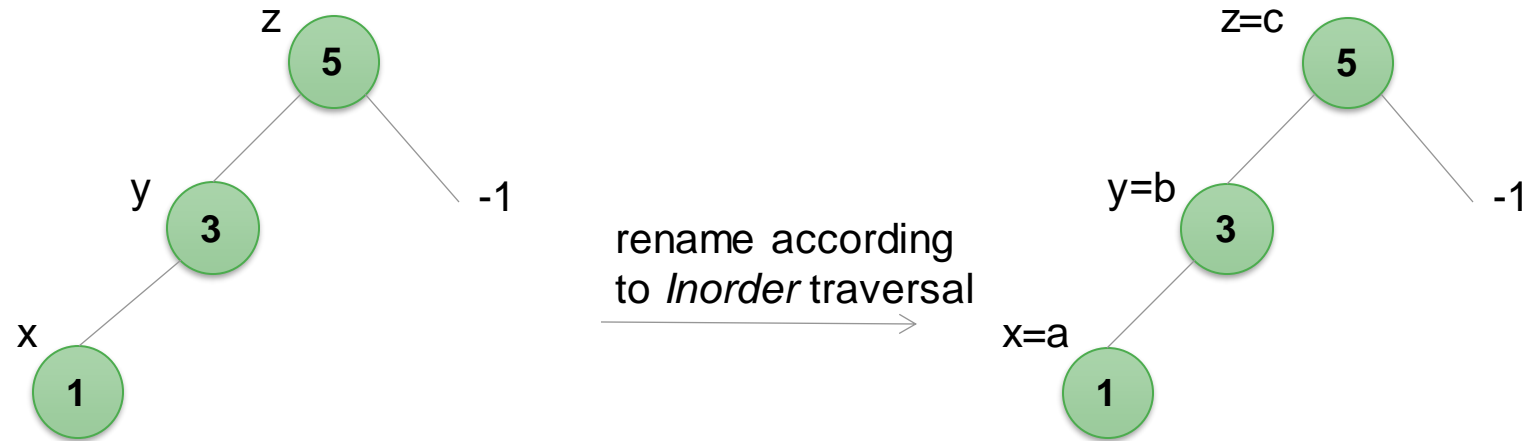
AVL TREE :: ROTATIONS



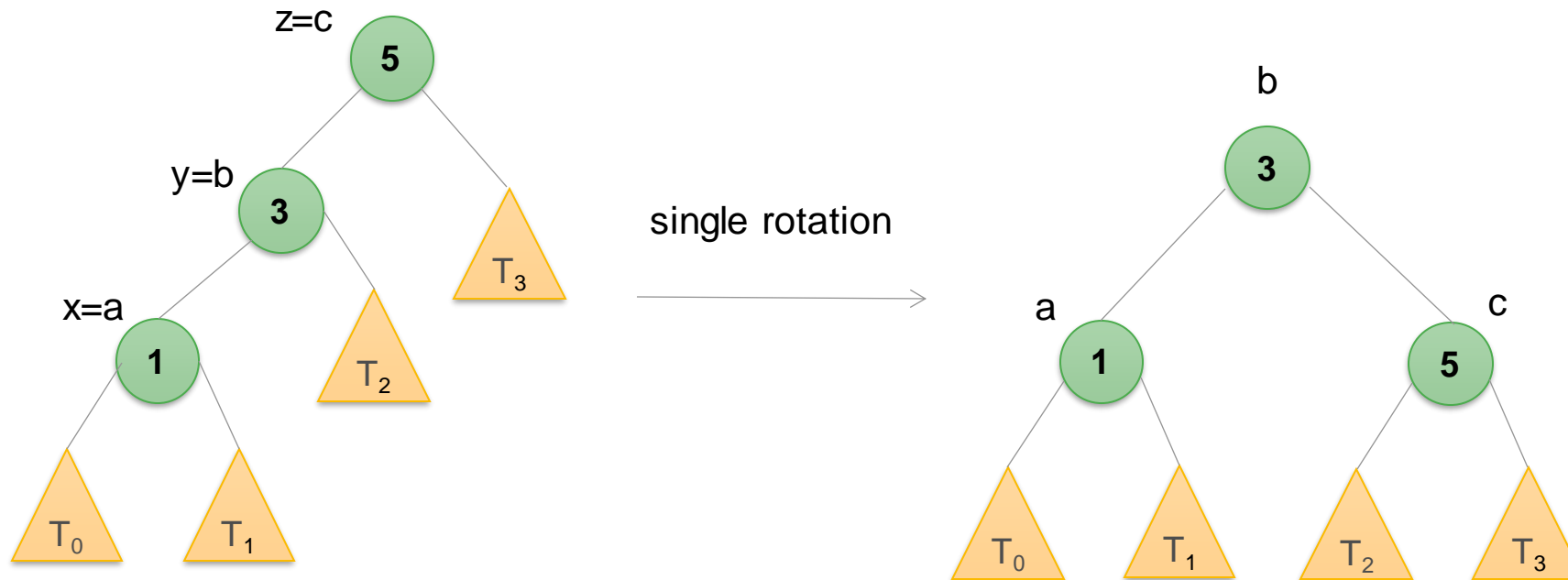
AVL TREE :: ROTATIONS



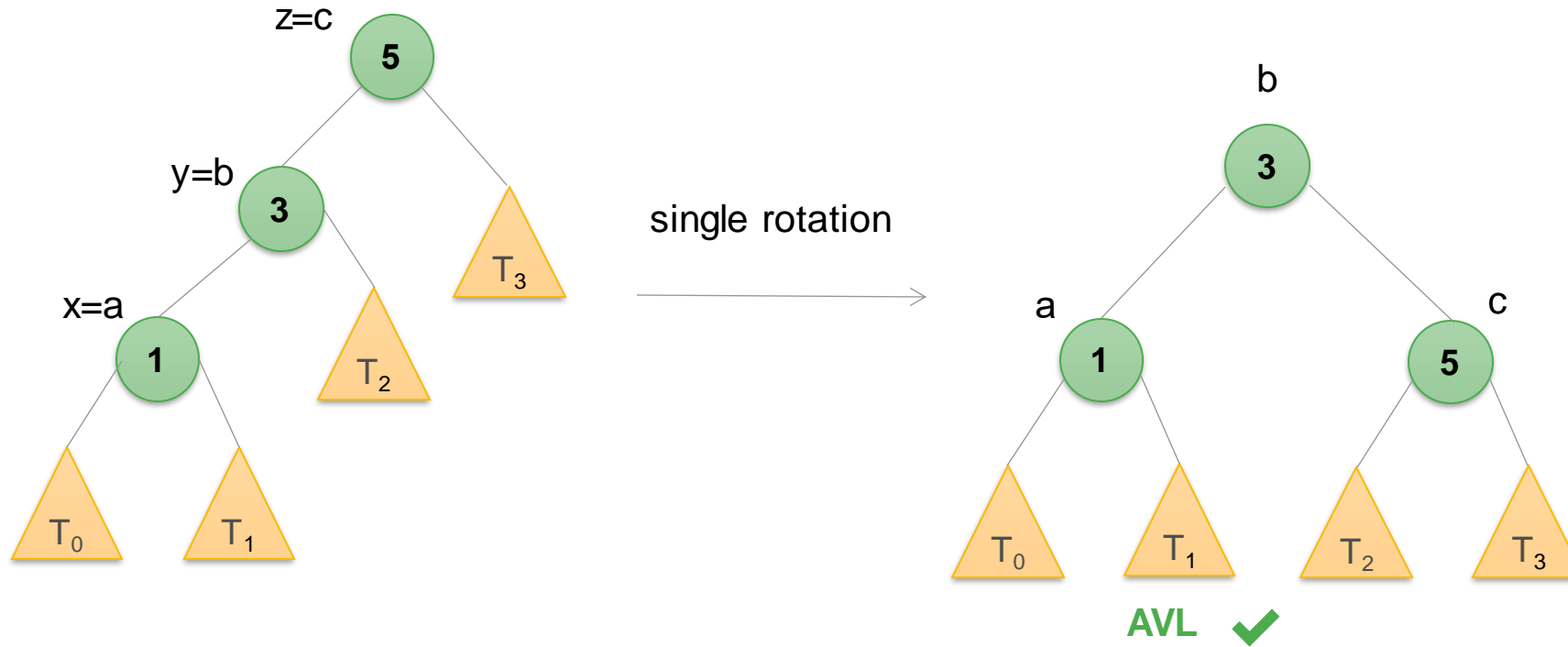
AVL TREE :: ROTATIONS



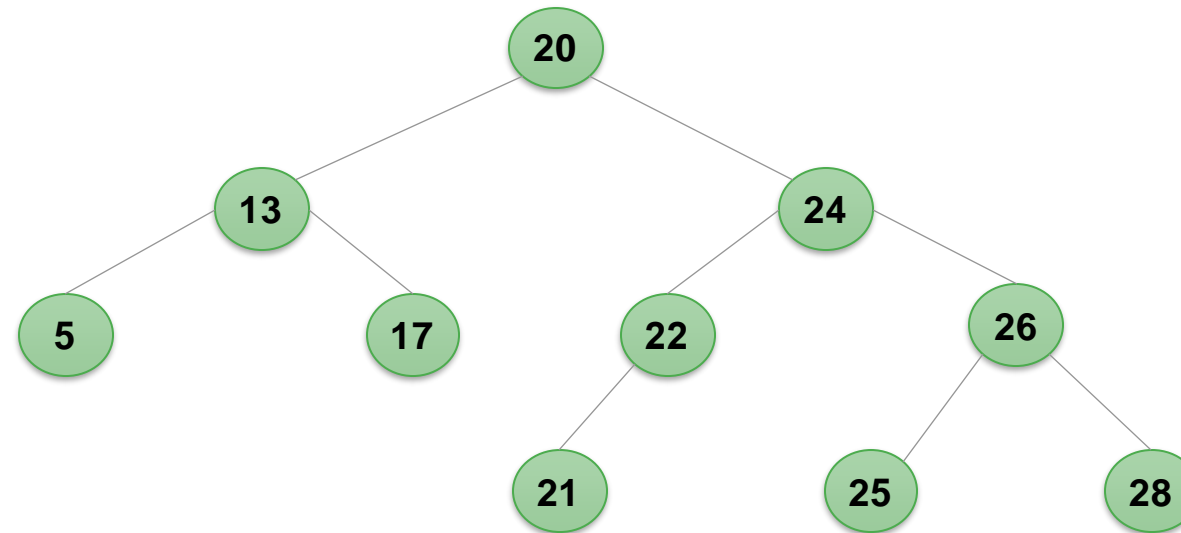
AVL TREE :: ROTATIONS



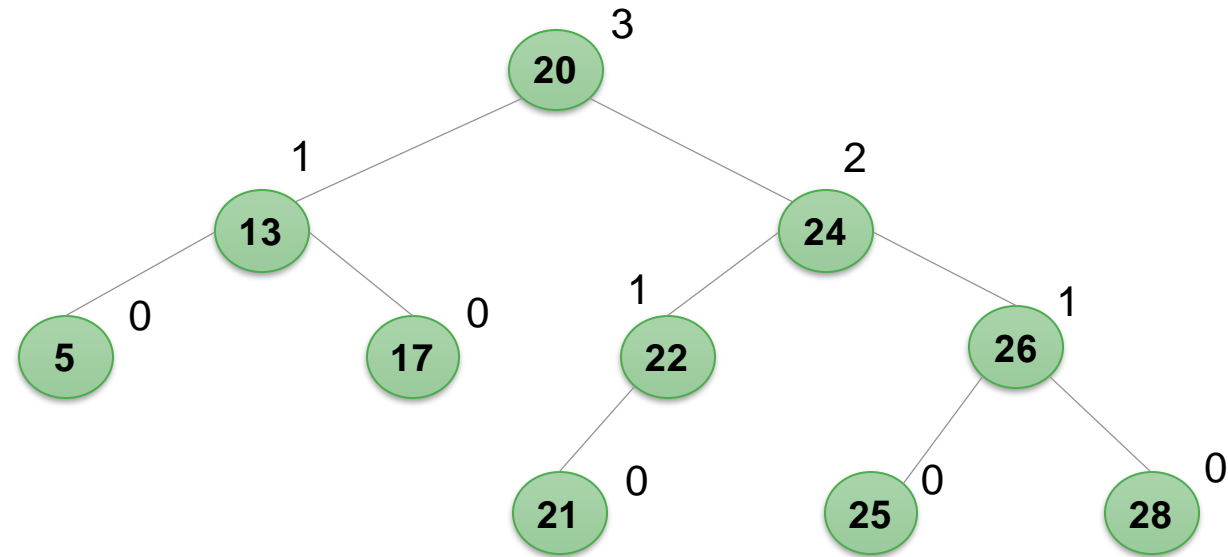
AVL TREE :: ROTATIONS



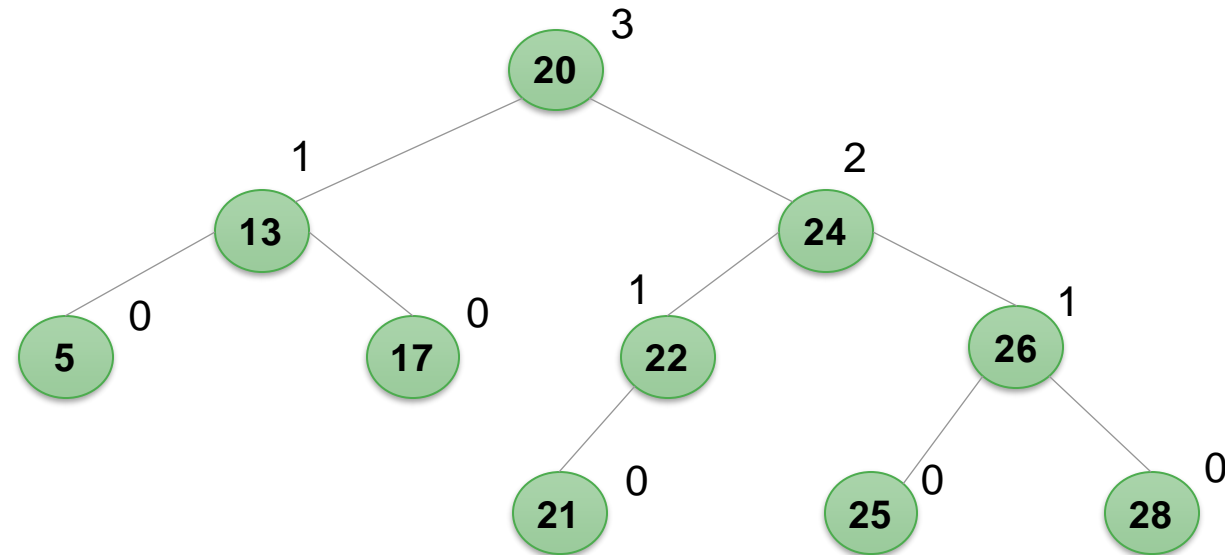
AVL TREE :: SINGLE ROTATION EXAMPLE



AVL TREE :: SINGLE ROTATION EXAMPLE



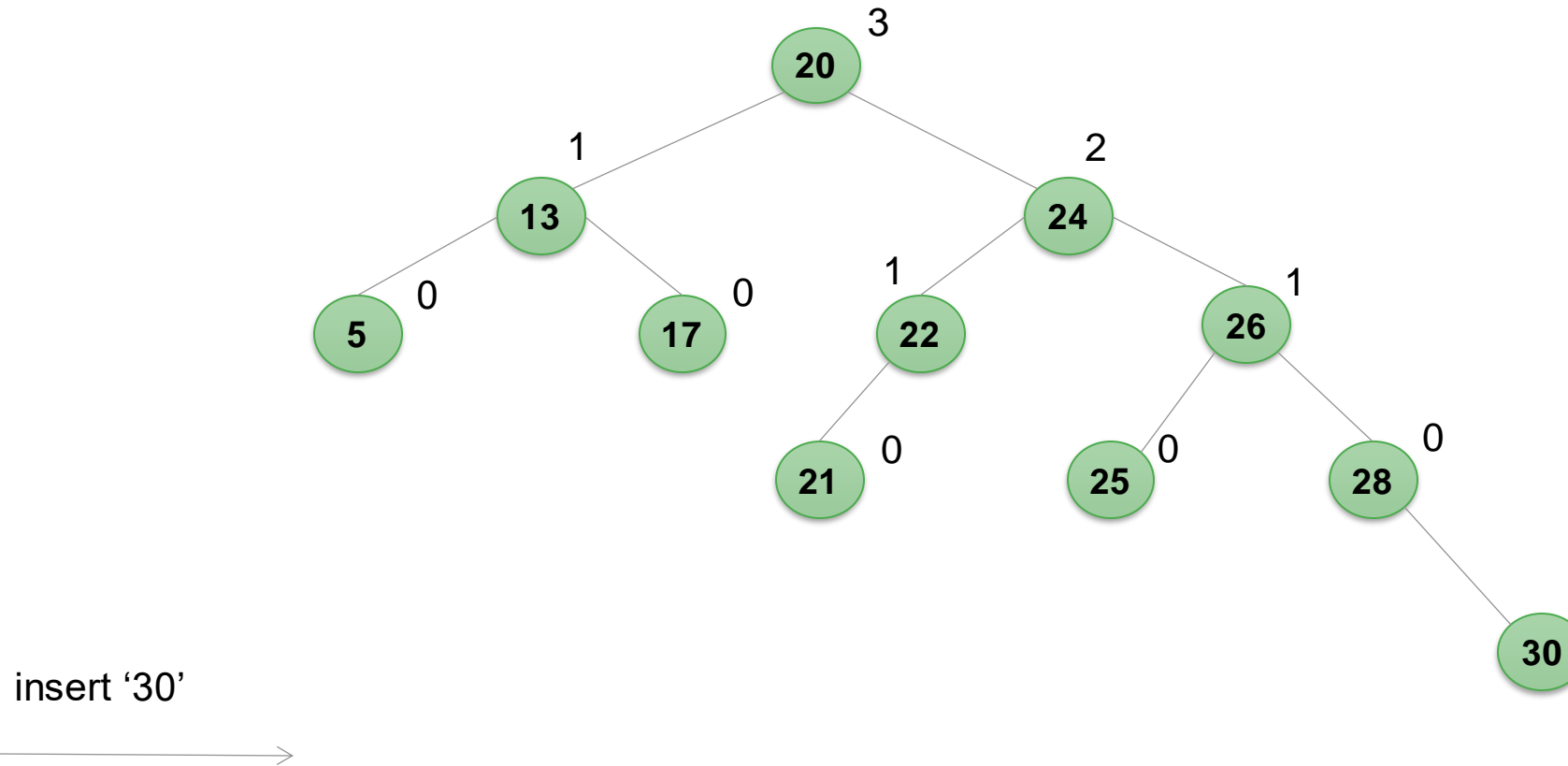
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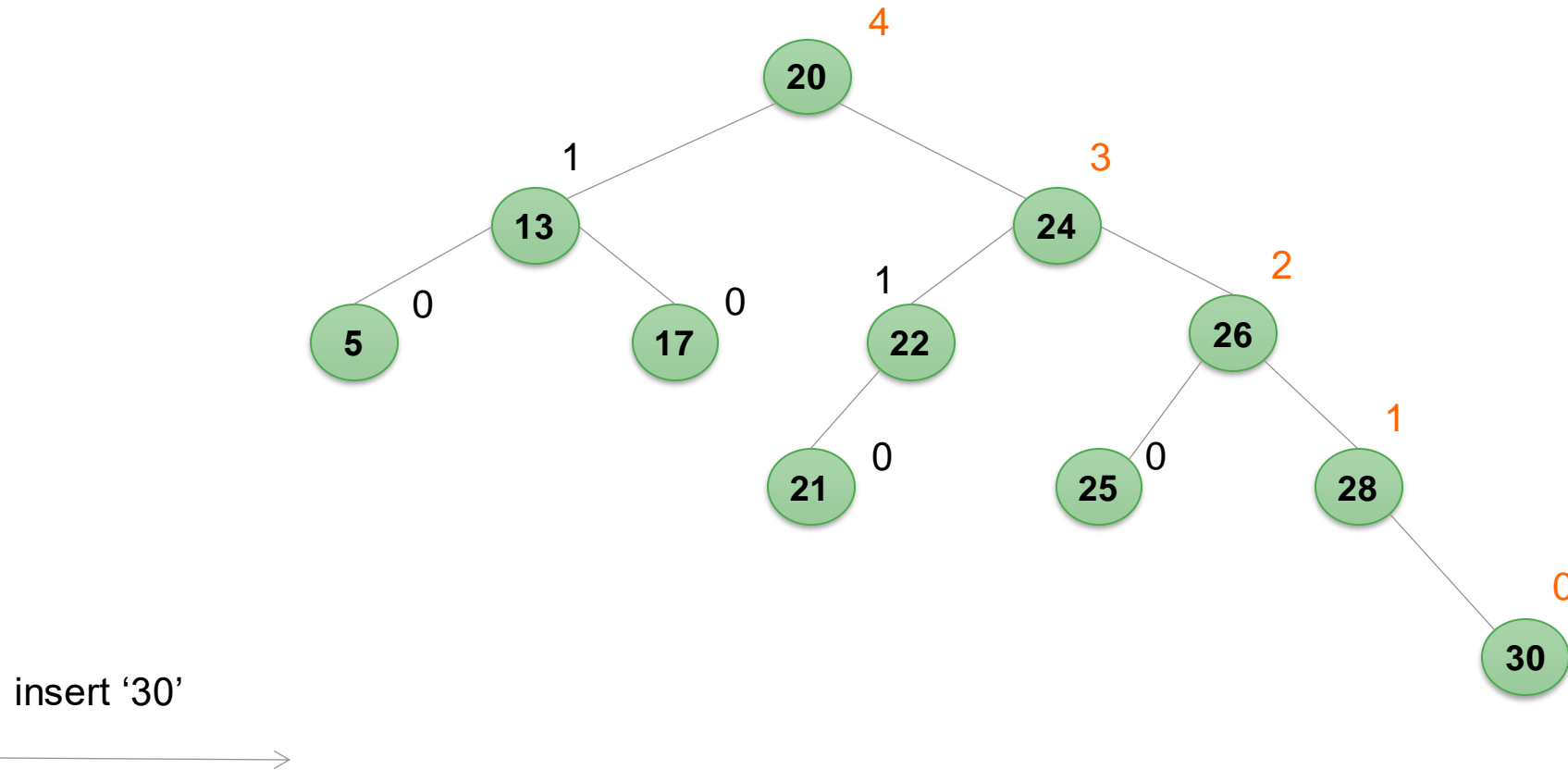
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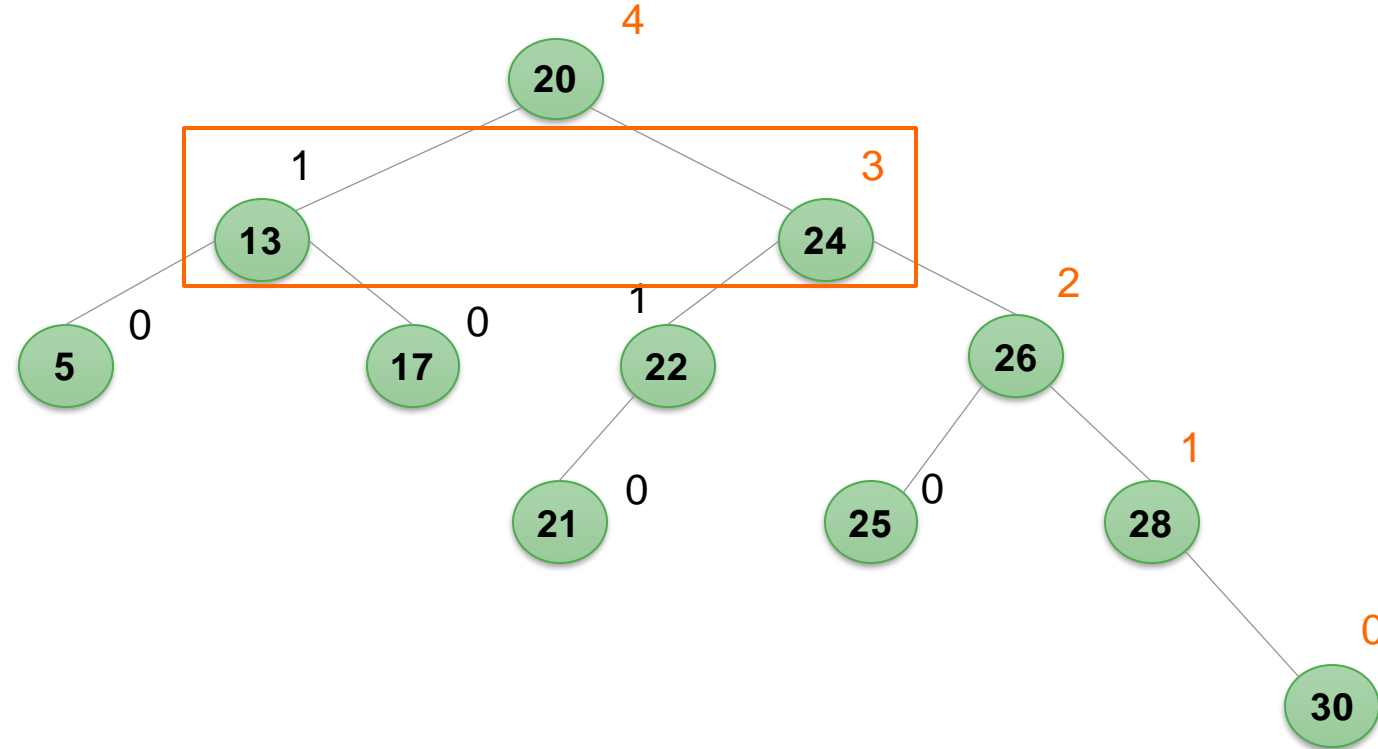
AVL TREE :: SINGLE ROTATION EXAMPLE



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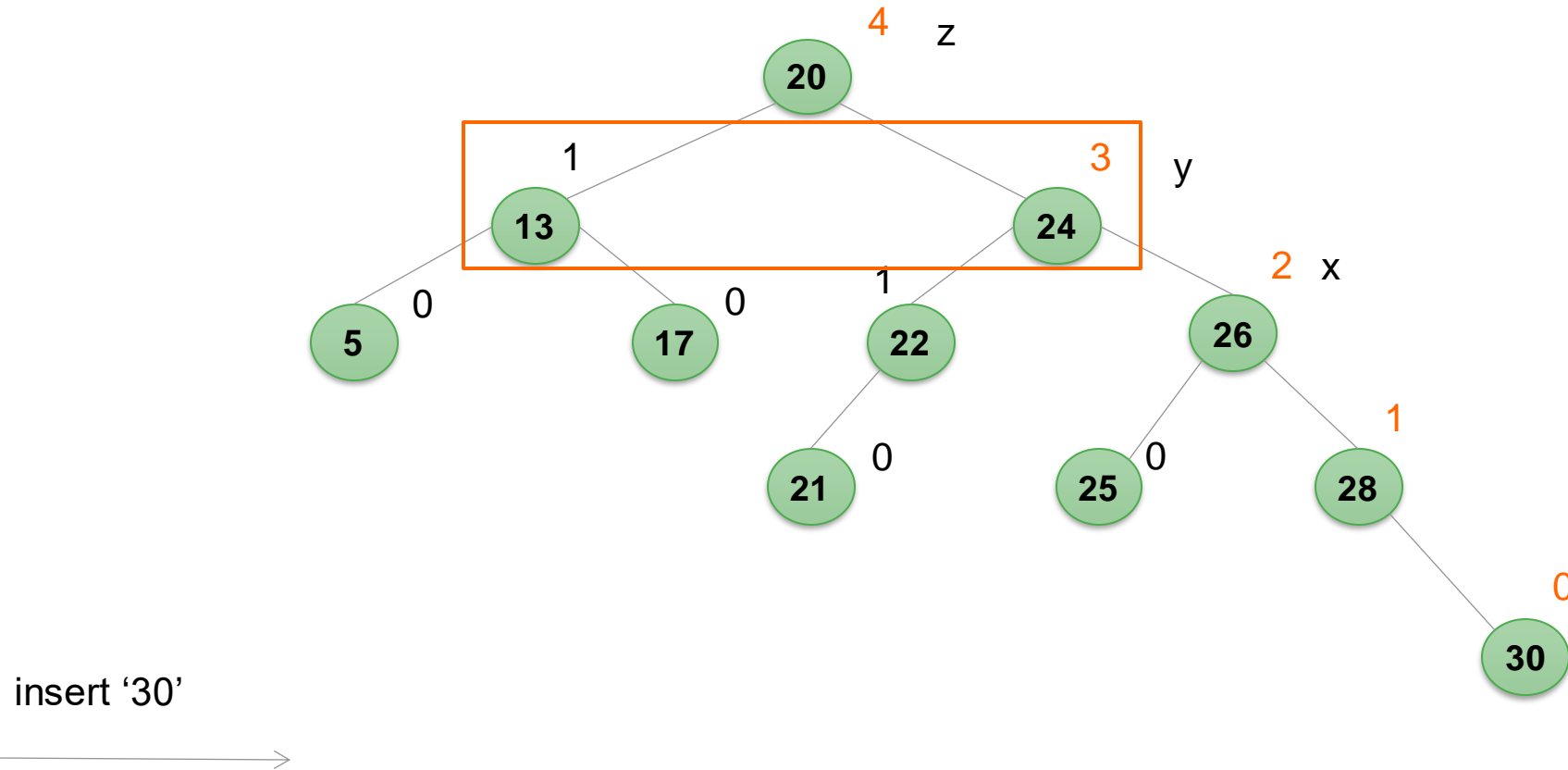
AVL TREE :: SINGLE ROTATION EXAMPLE



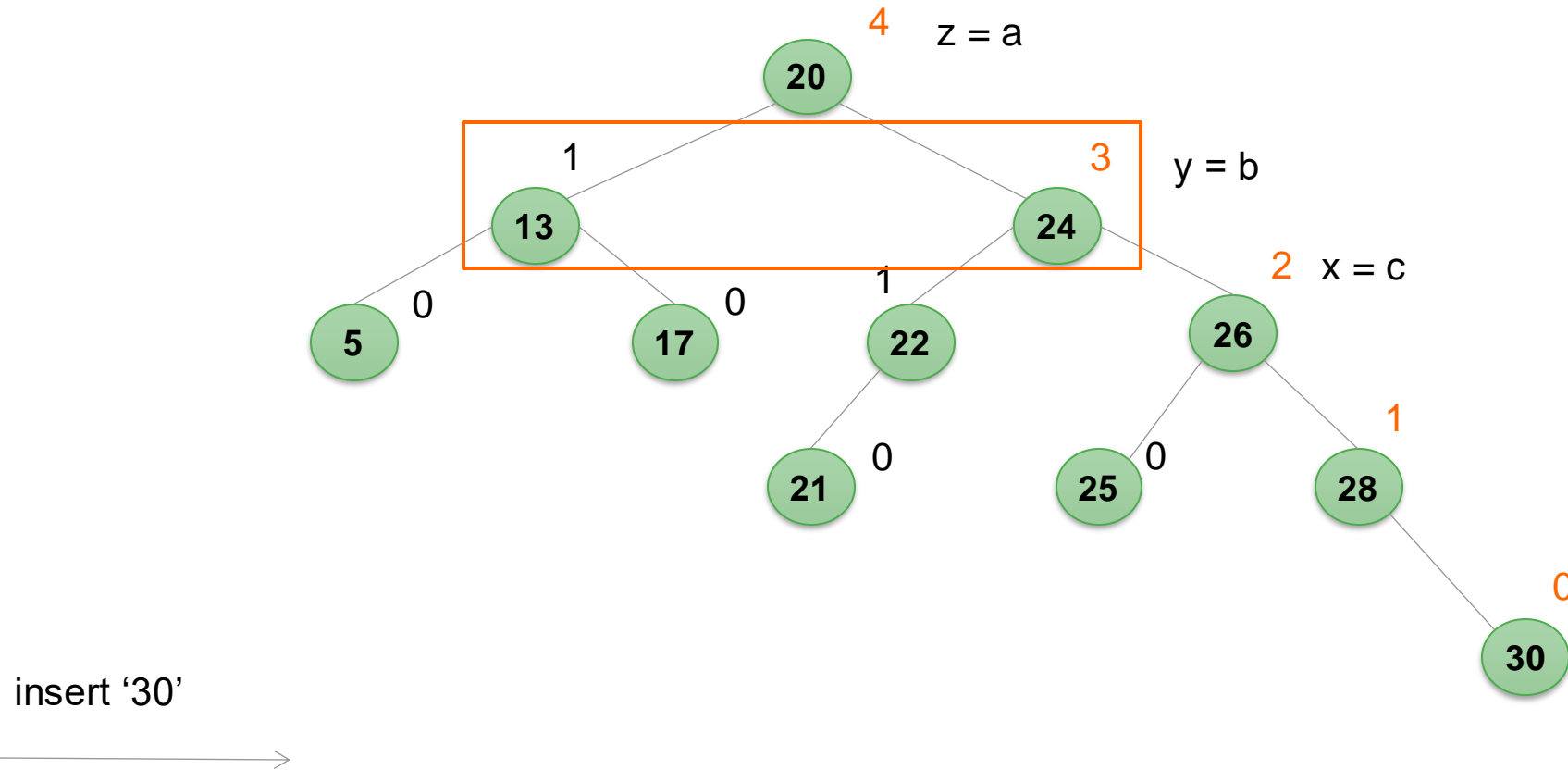
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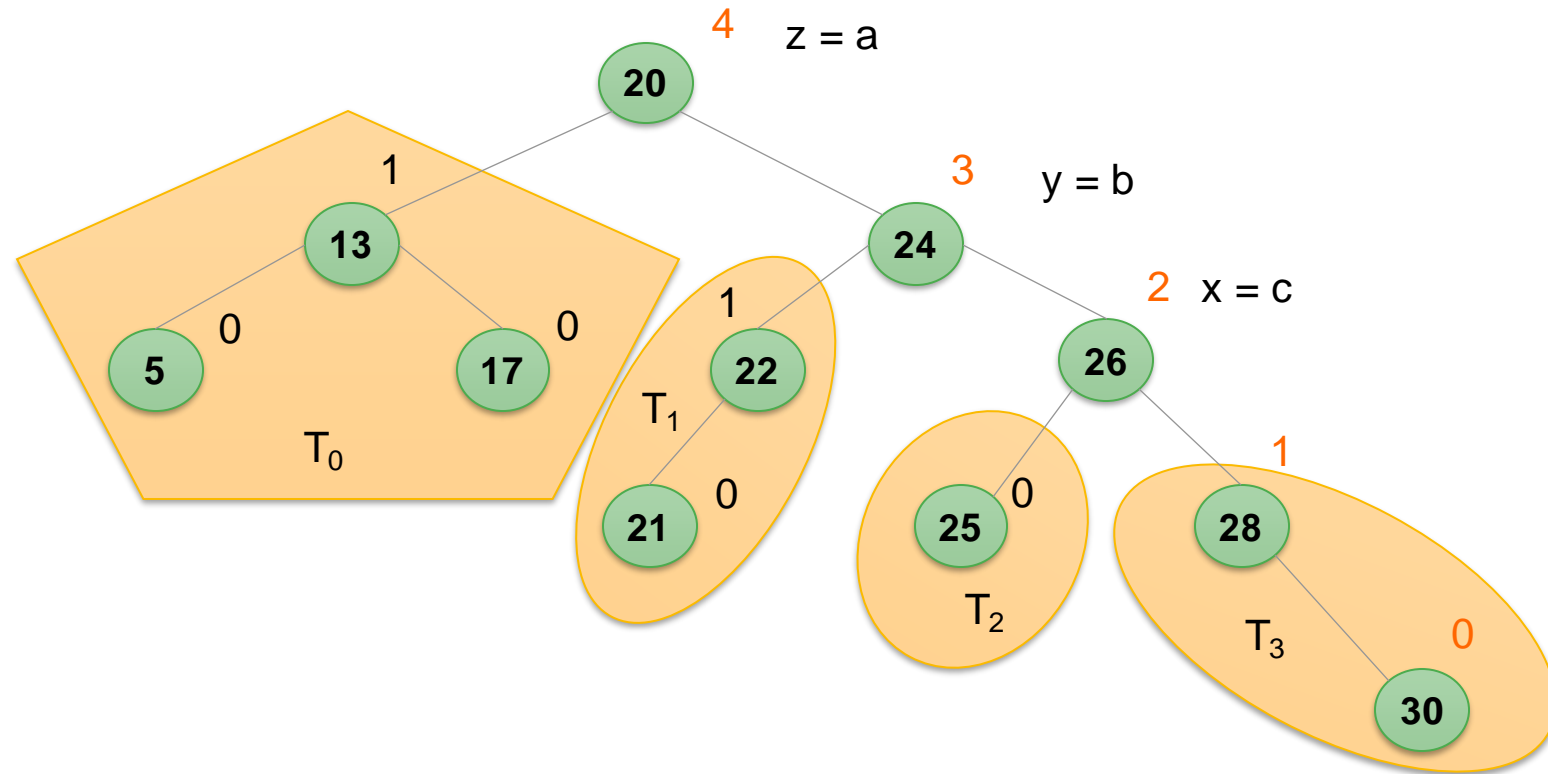
AVL TREE :: SINGLE ROTATION EXAMPLE



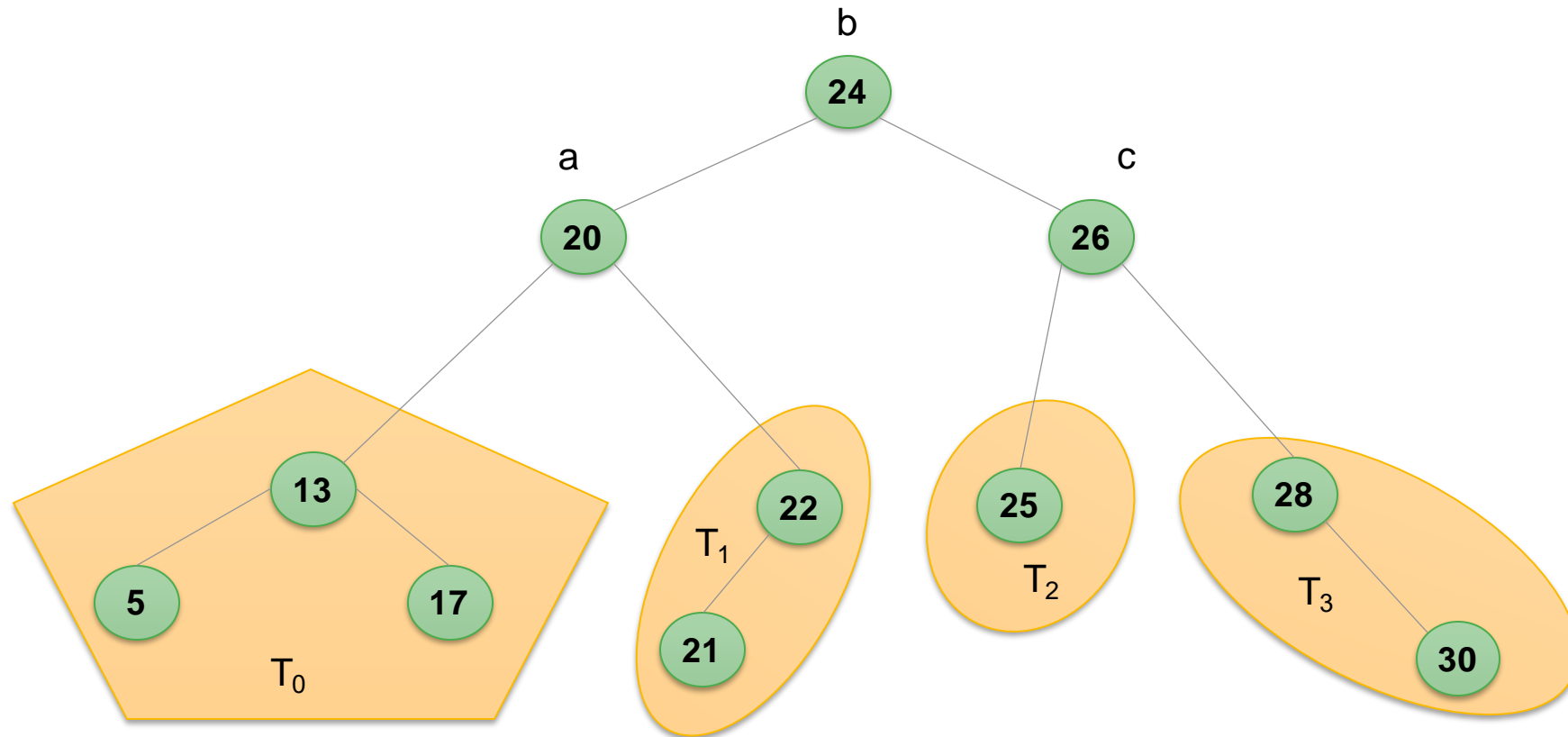
AVL TREE :: SINGLE ROTATION EXAMPLE



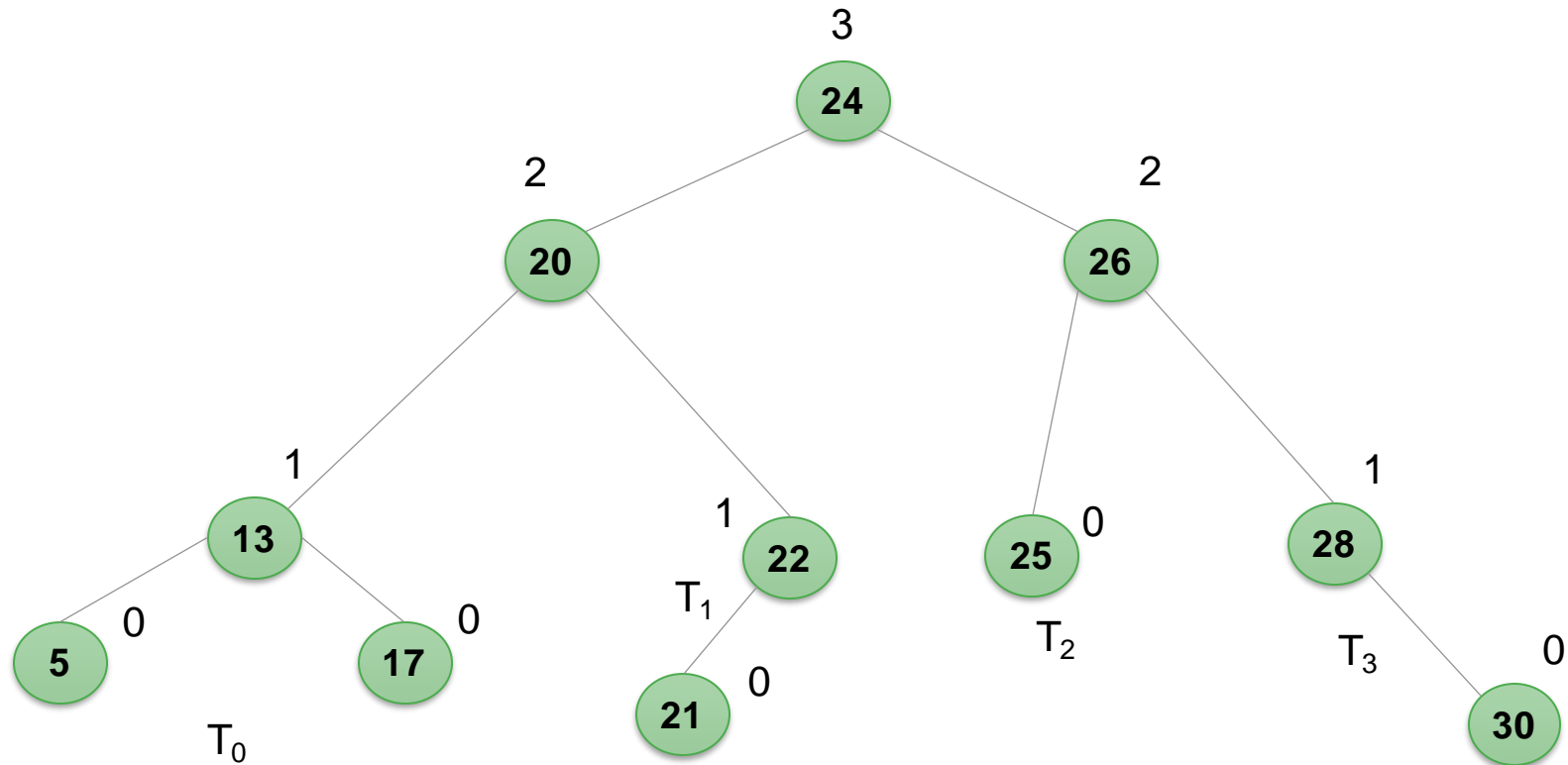
AVL TREE :: SINGLE ROTATION EXAMPLE



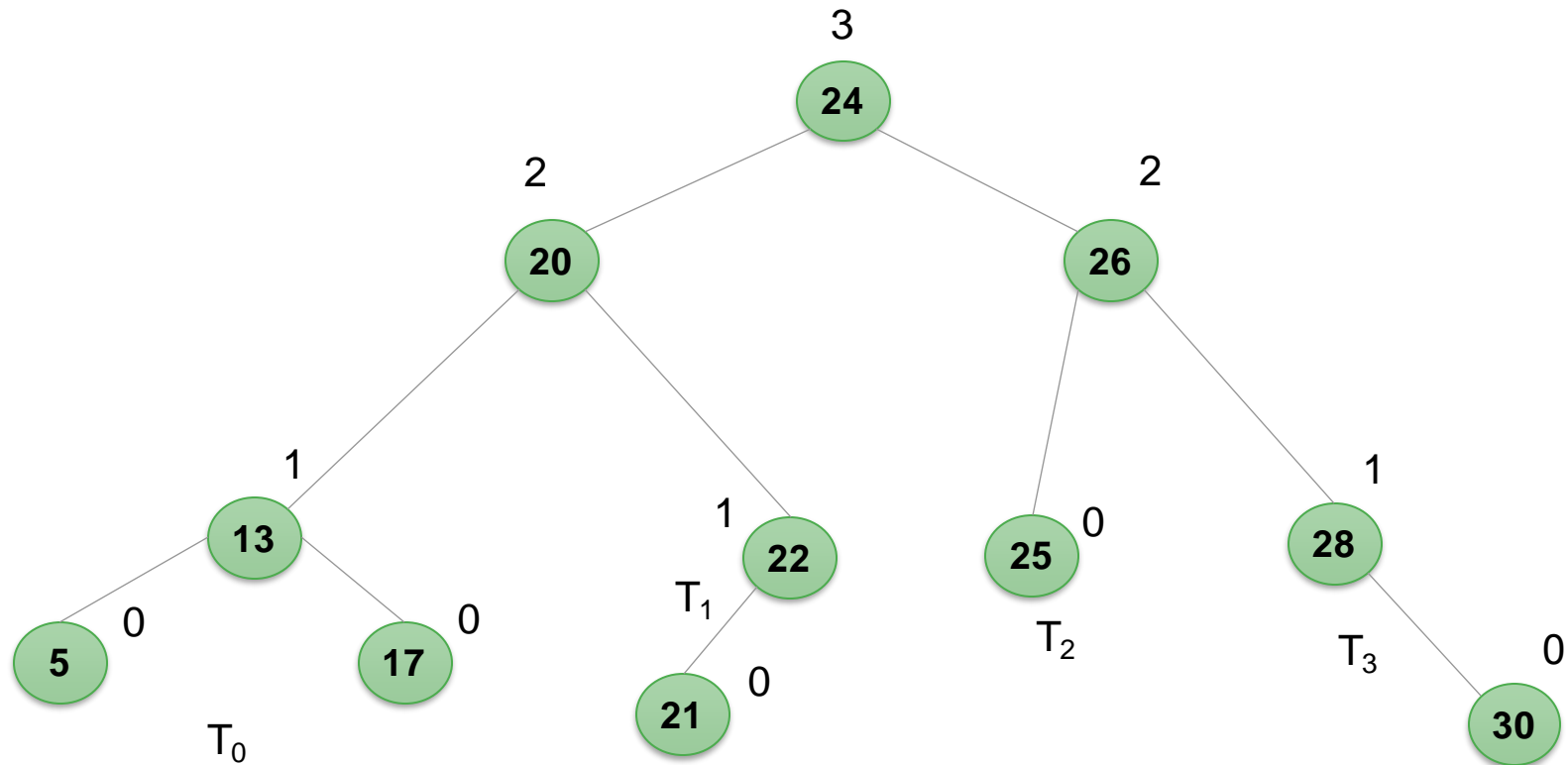
AVL TREE :: SINGLE ROTATION EXAMPLE



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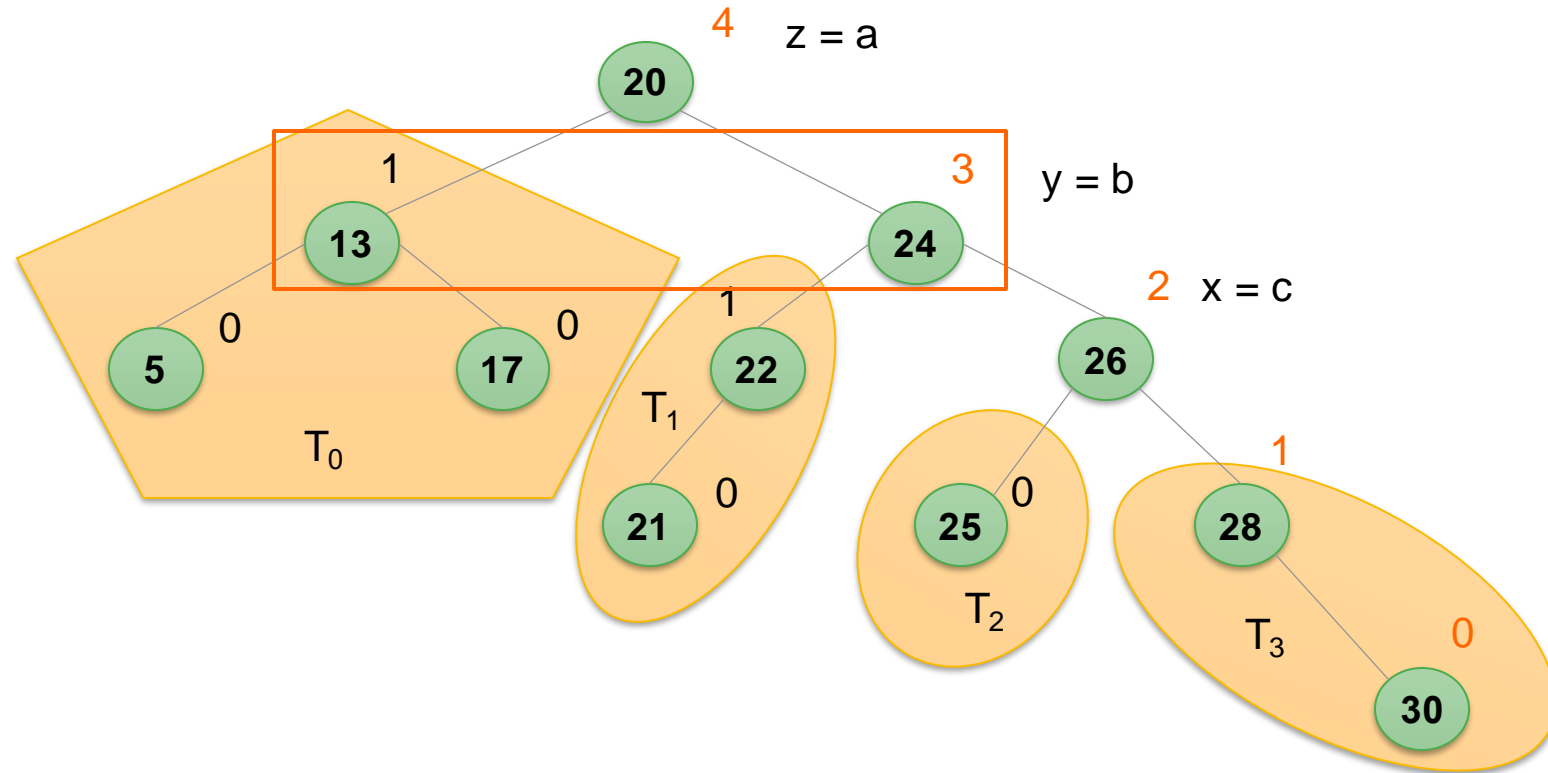
AVL ✓

AVL TREES :: CUT & LINK RESTRUCTURING

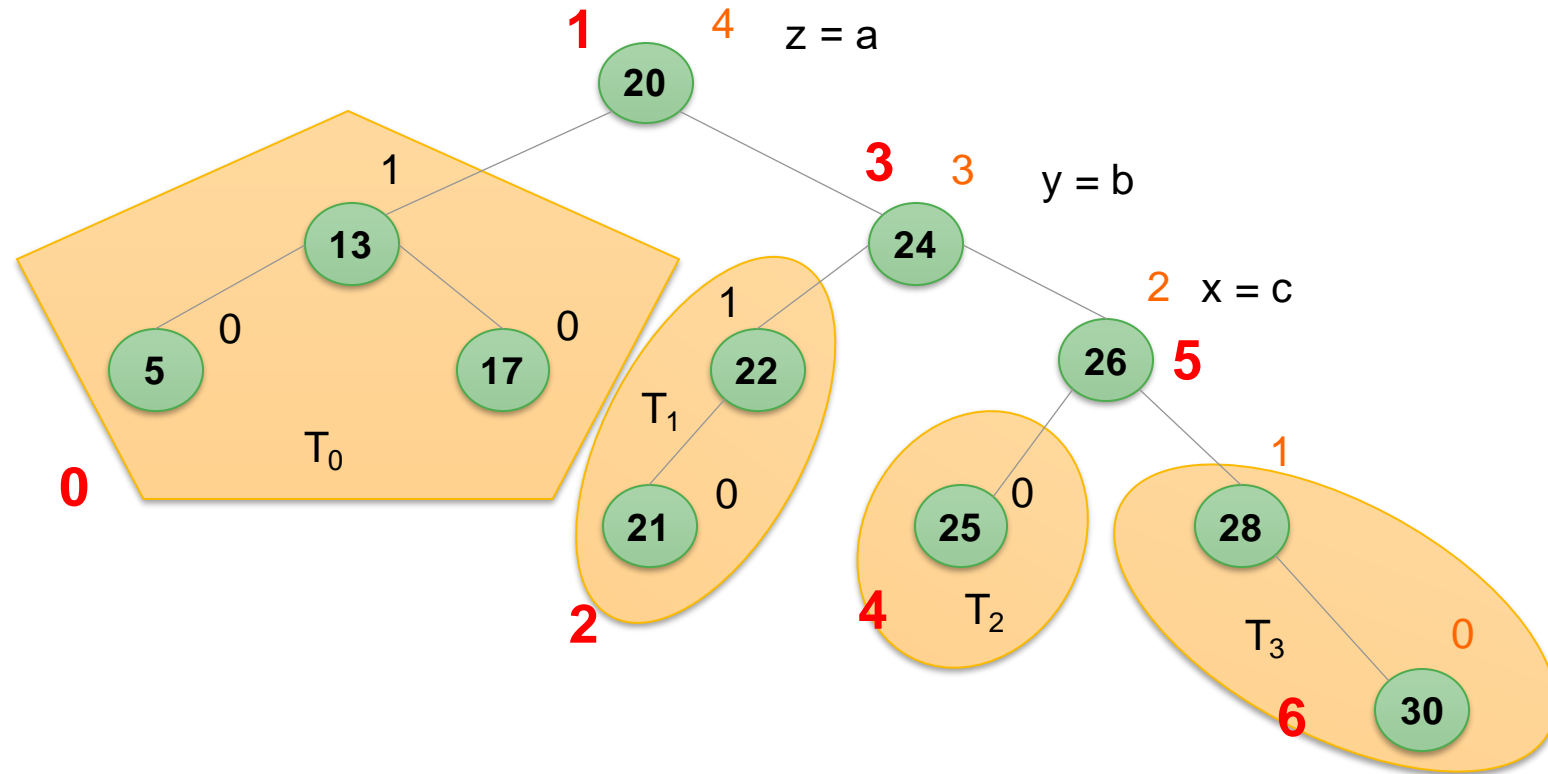
Procedure

1. Number 7 parts according to Inorder traversal
2. Create an array with the indices 0..6, „cut“ the 4 subtrees as well as the nodes x, y and z out and put them into the array according to their numbering.
3. (Re)Link the subtrees by setting the element on position 3 as root, those on position 1 and 5 as left and right child of 3, and finally 0, 2, 4 and 6 as children of 1 and 5.

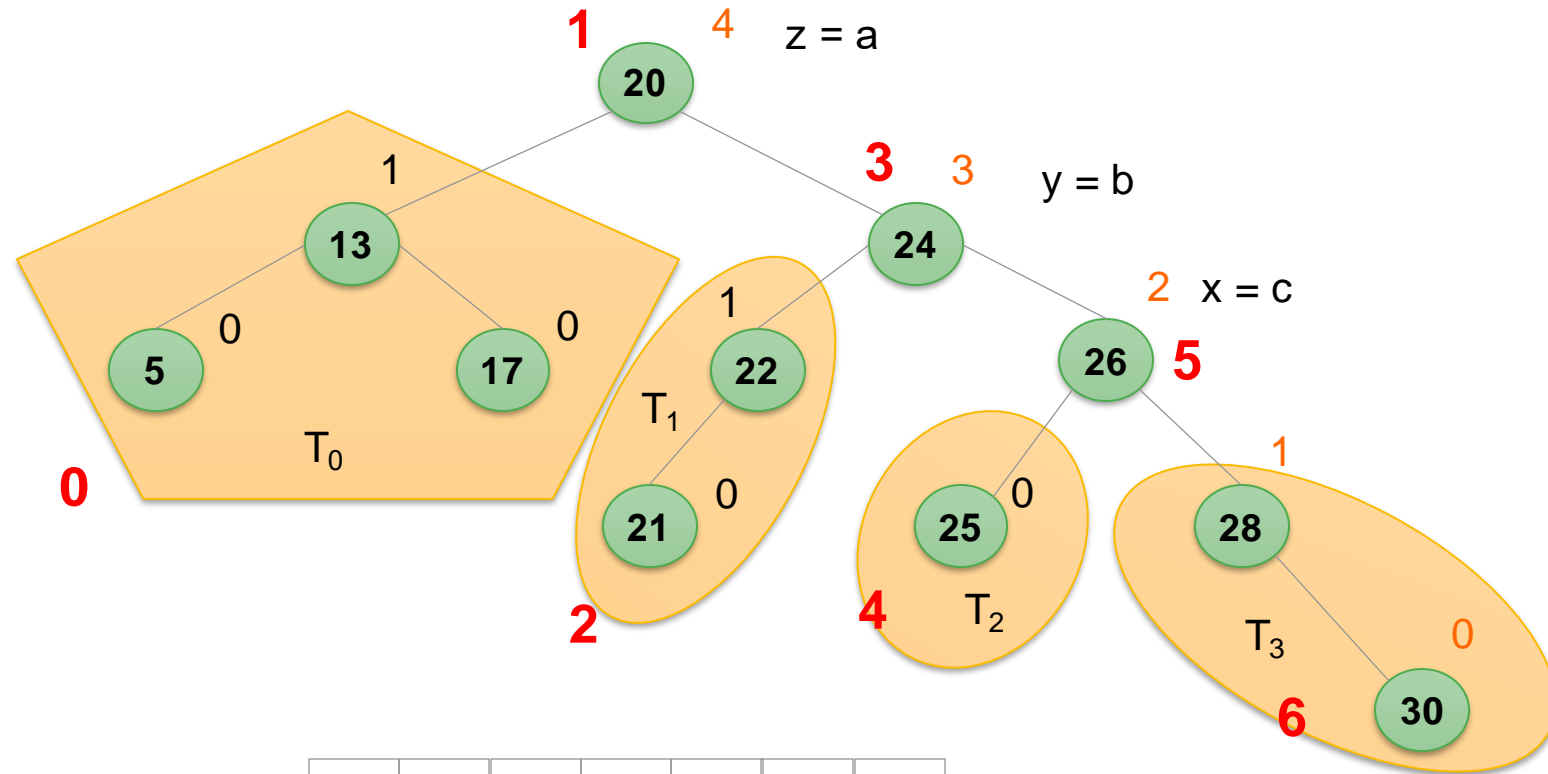
AVL TREE :: SINGLE ROTATION EXAMPLE



AVL TREE :: SINGLE ROTATION EXAMPLE

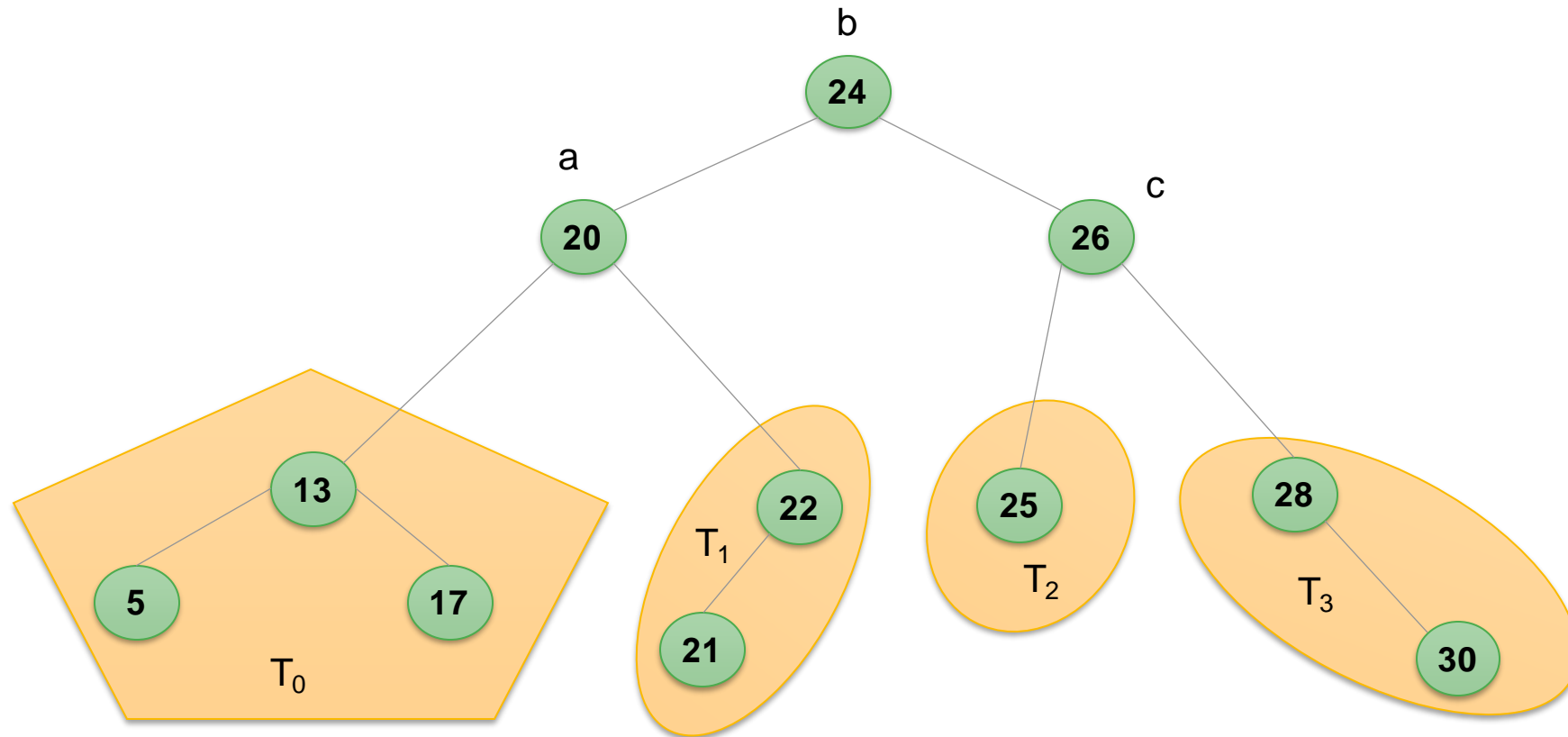


AVL TREE :: SINGLE ROTATION EXAMPLE



0	1	2	3	4	5	6
T ₀	z=a	T ₁	y=b	T ₂	x=c	T ₃

AVL TREE :: SINGLE ROTATION EXAMPLE



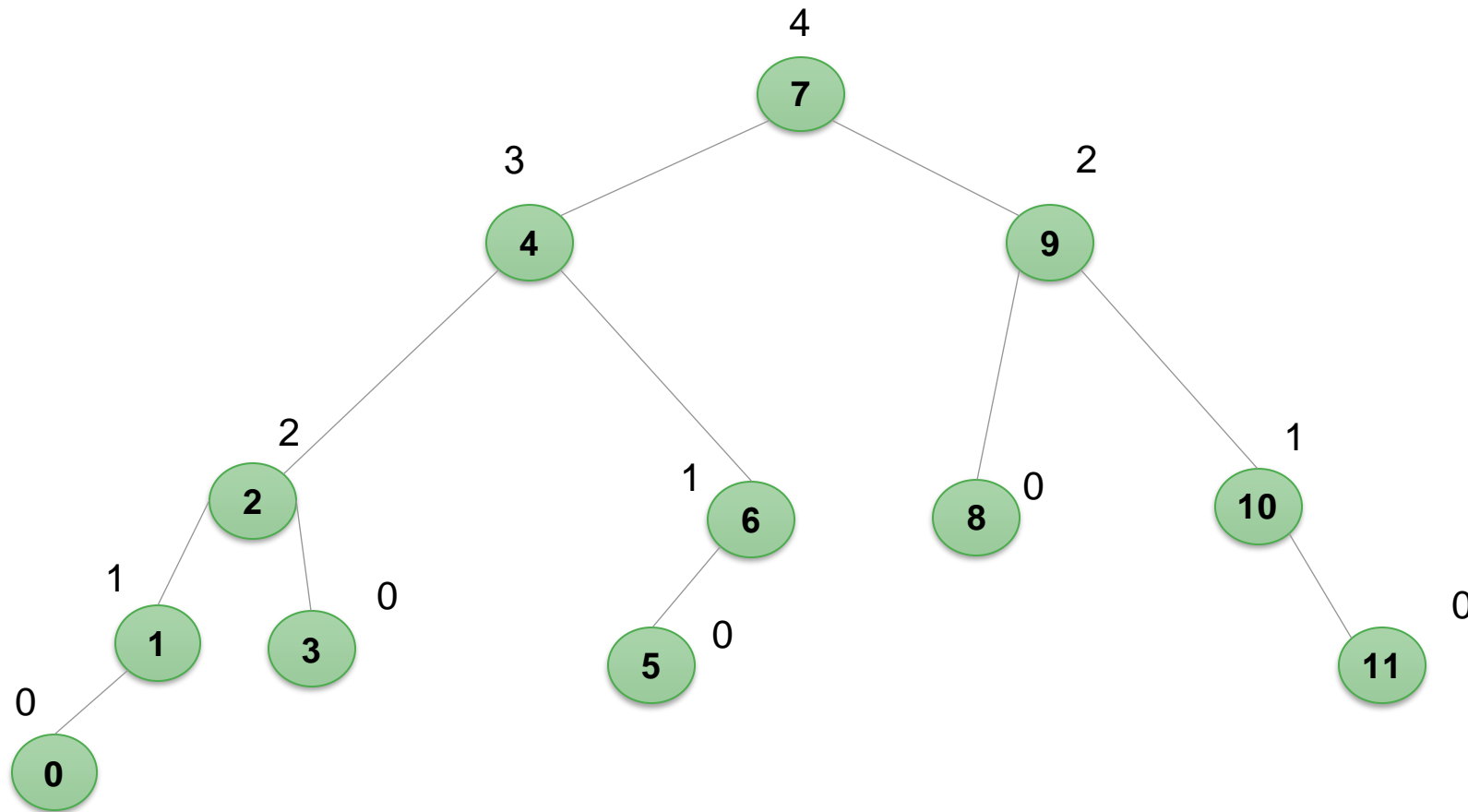
AVL TREES :: REMOVE

- Remove as in binary search tree
- Check the balance starting from the parent node of the removed **Inorder** successor to the root.
- **Restructure** if necessary

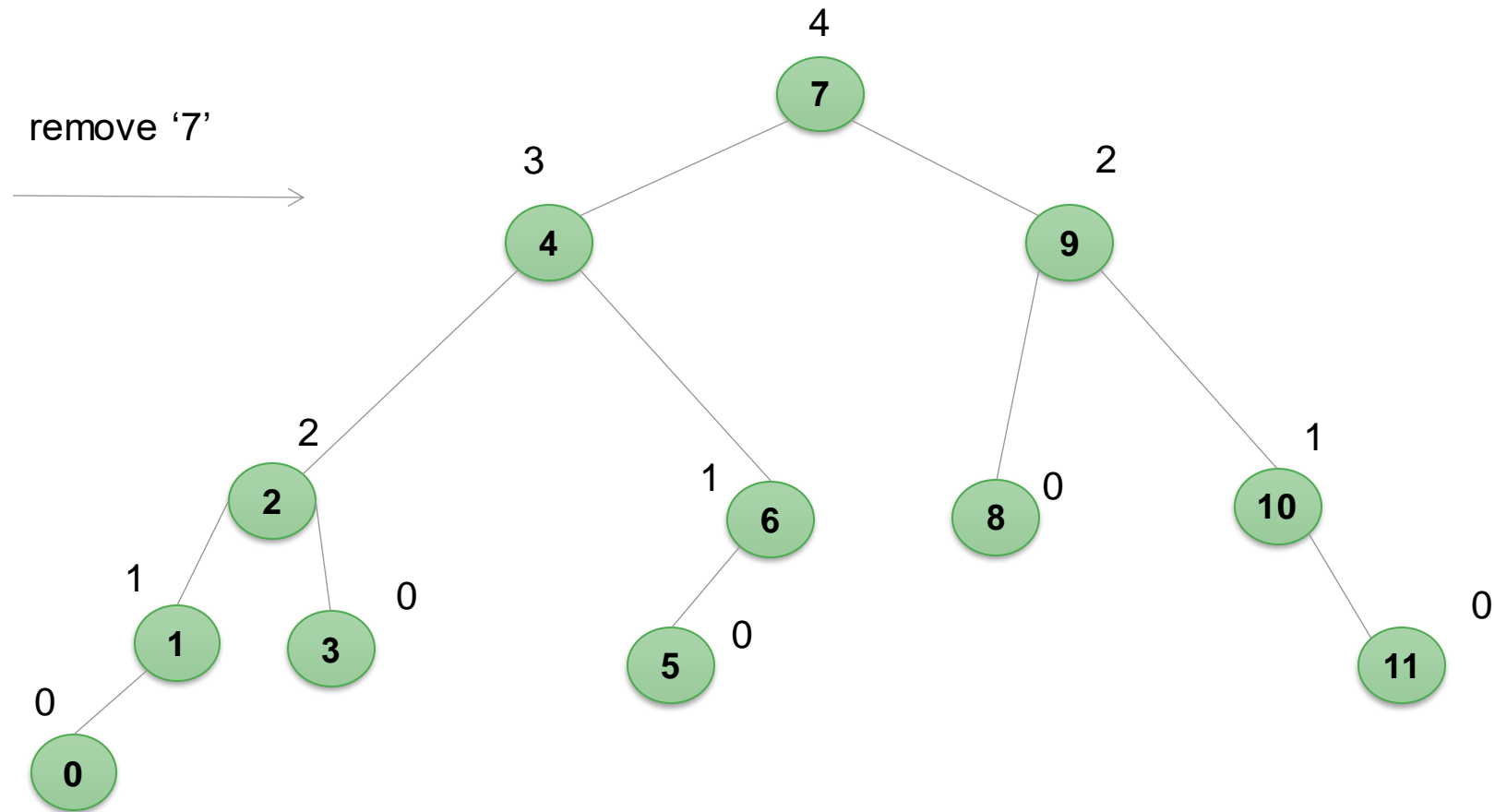
Procedure

1. Search for the 1. unbalanced node z
2. Put y on child of z with greatest height
3. Put x on child of y with greatest height

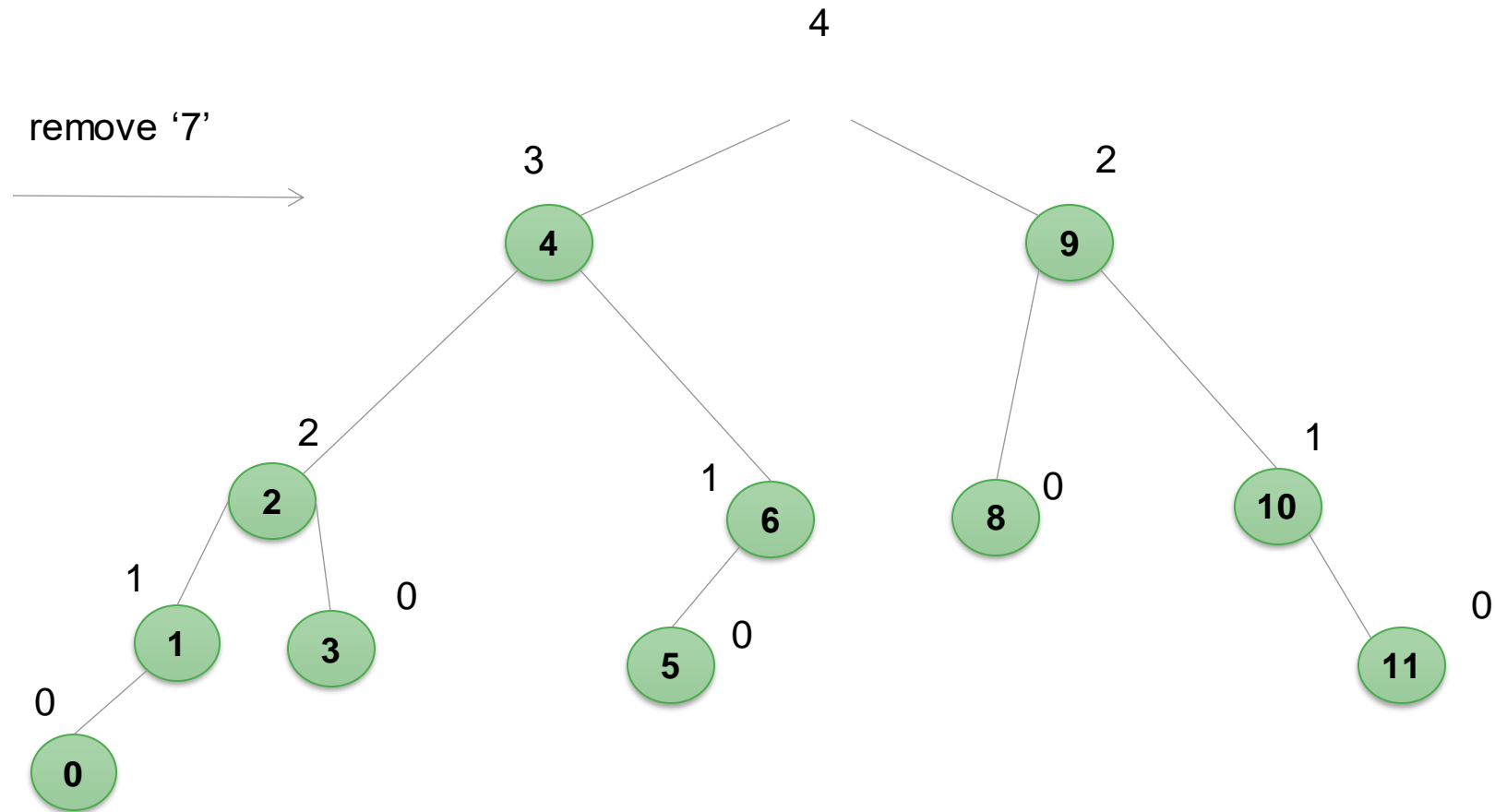
AVL TREES :: REMOVE



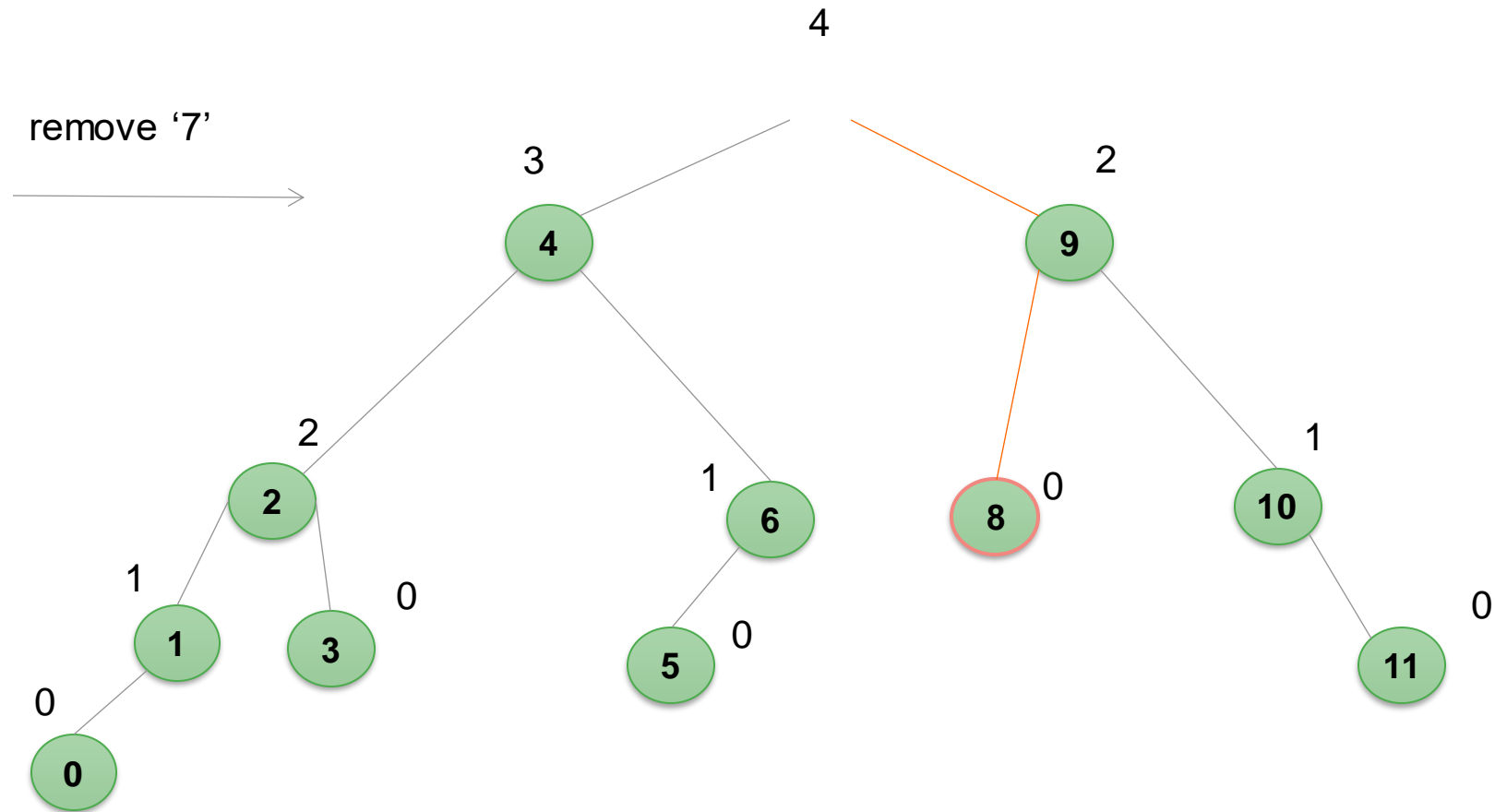
AVL TREES :: REMOVE



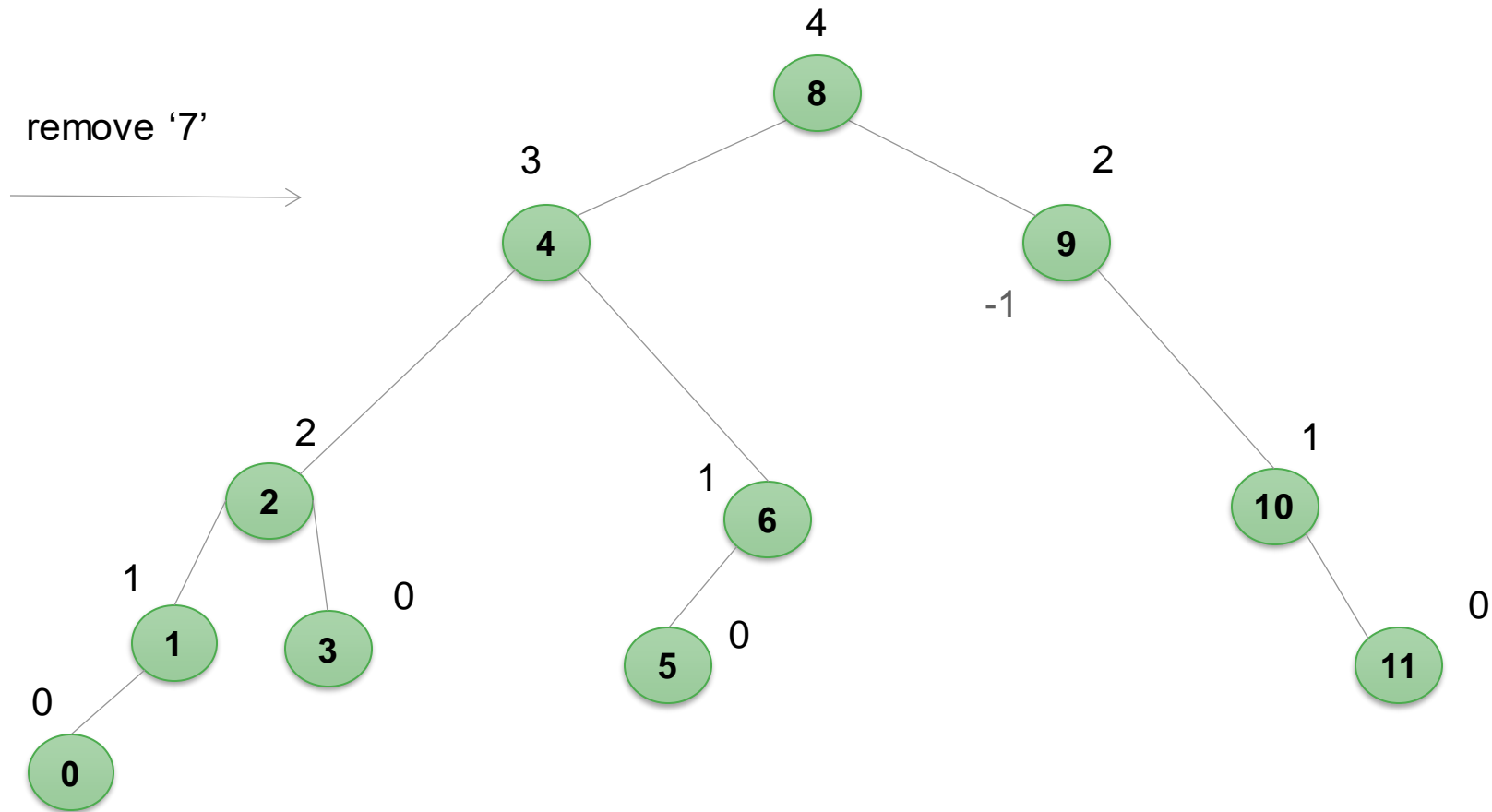
AVL TREES :: REMOVE



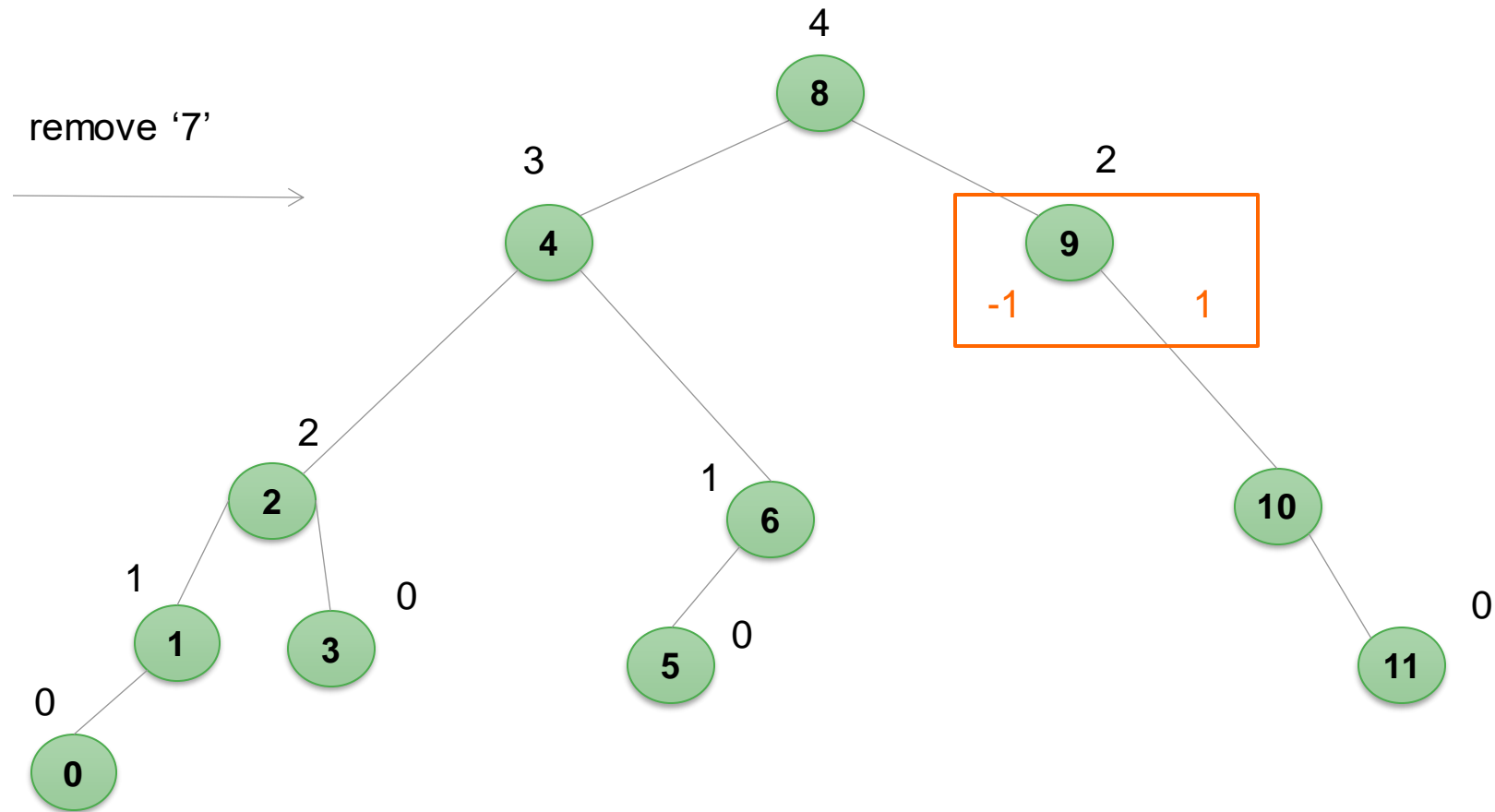
AVL TREES :: REMOVE



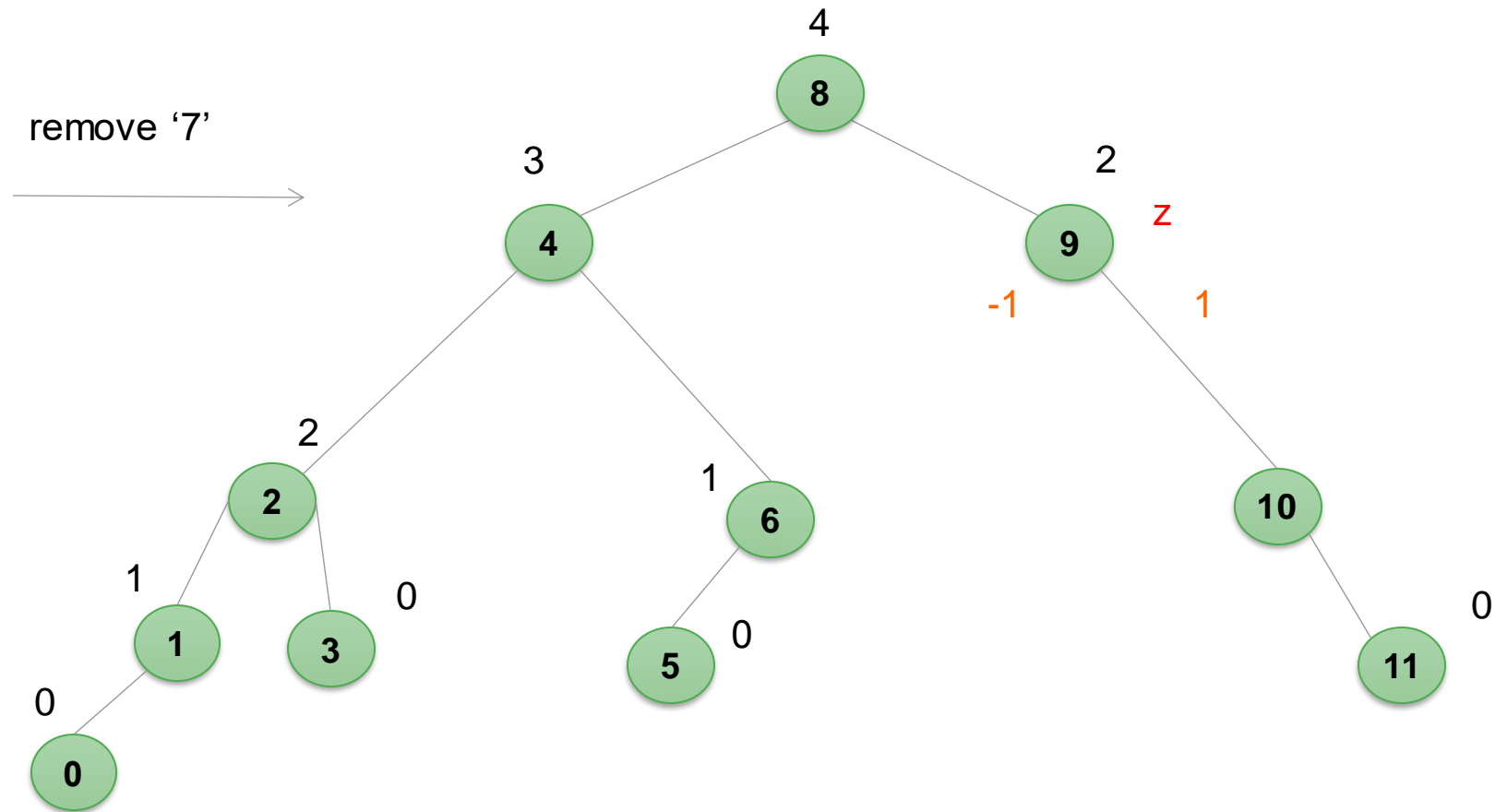
AVL TREES :: REMOVE



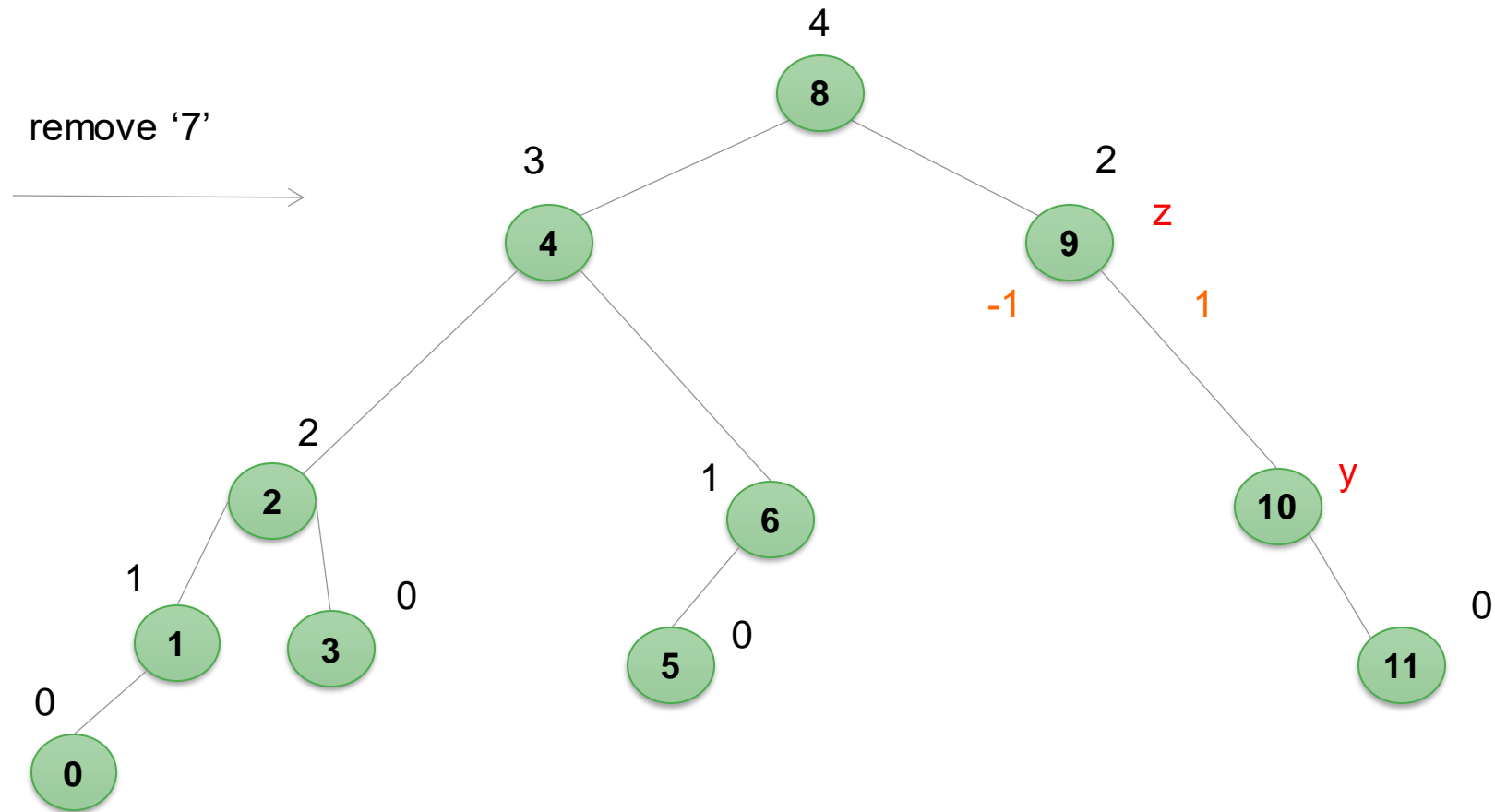
AVL TREES :: REMOVE



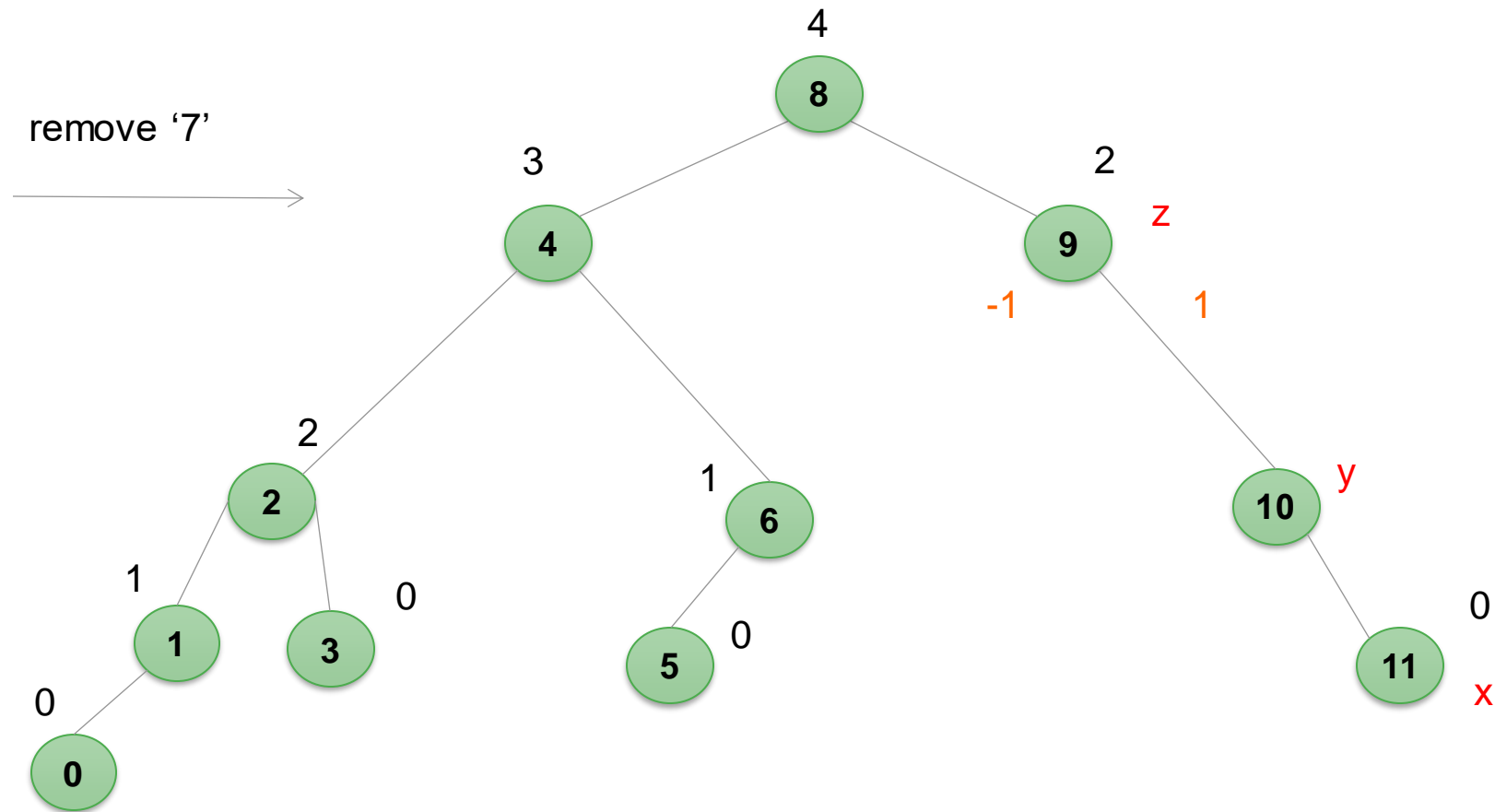
AVL TREES :: REMOVE



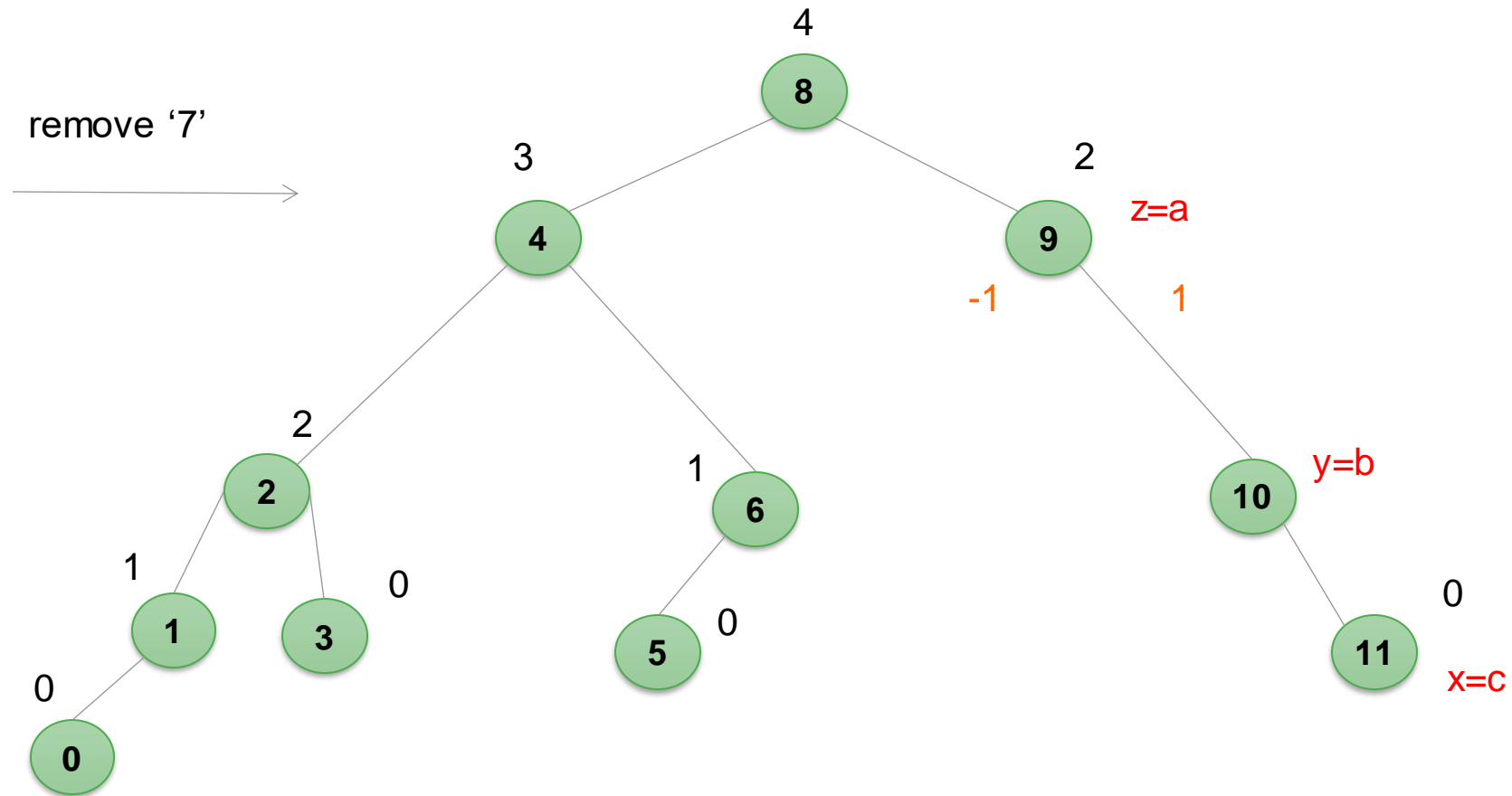
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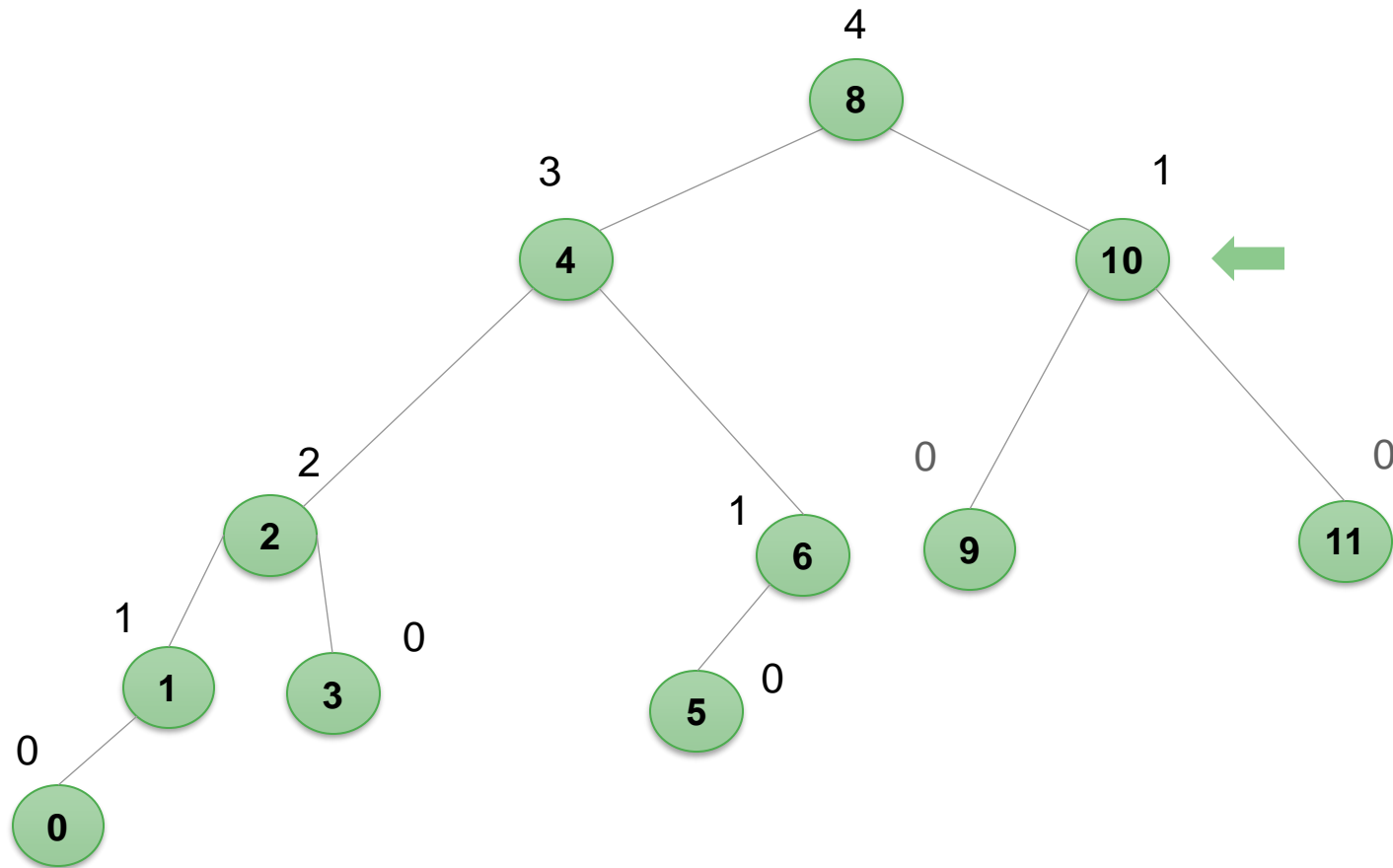
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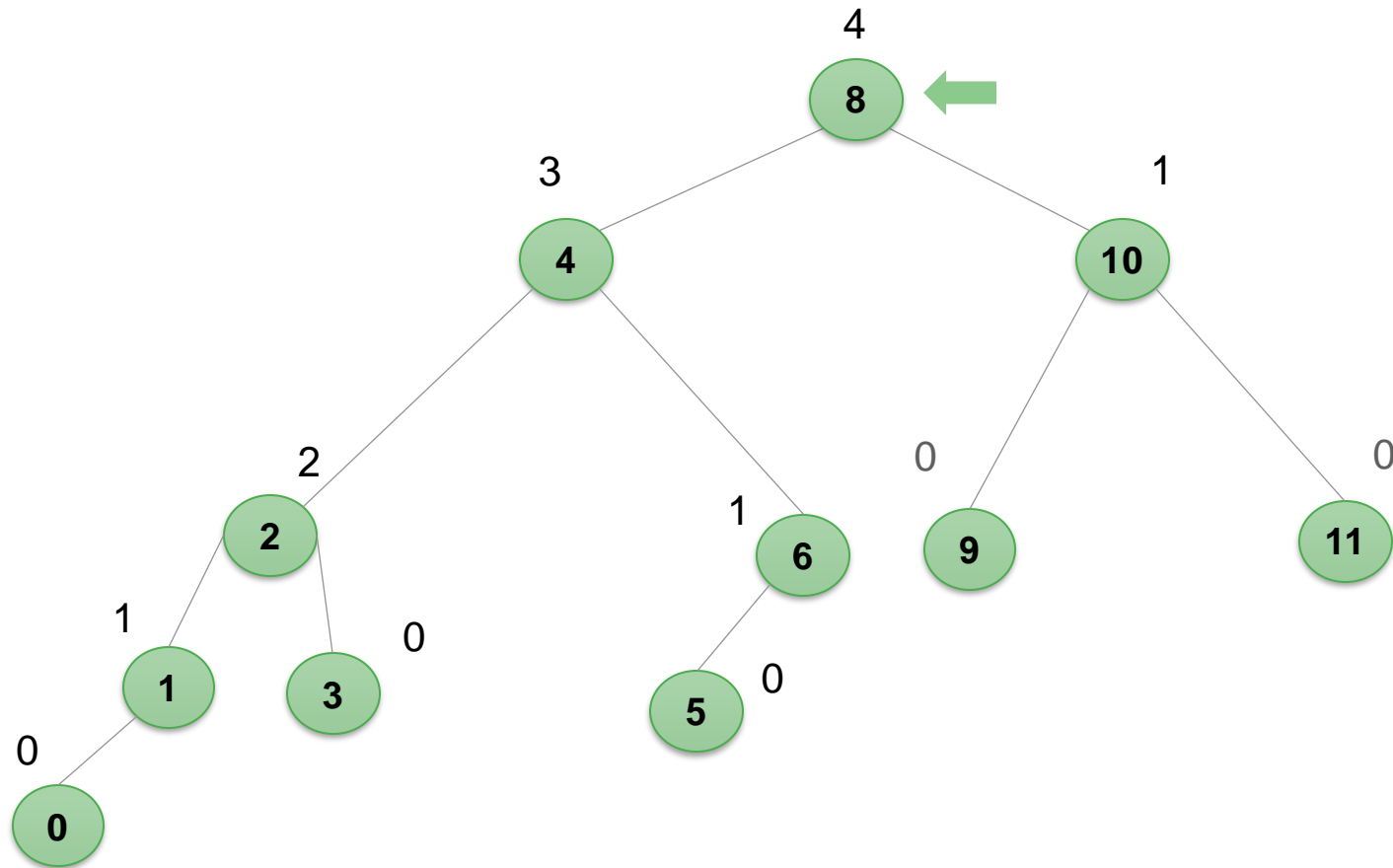
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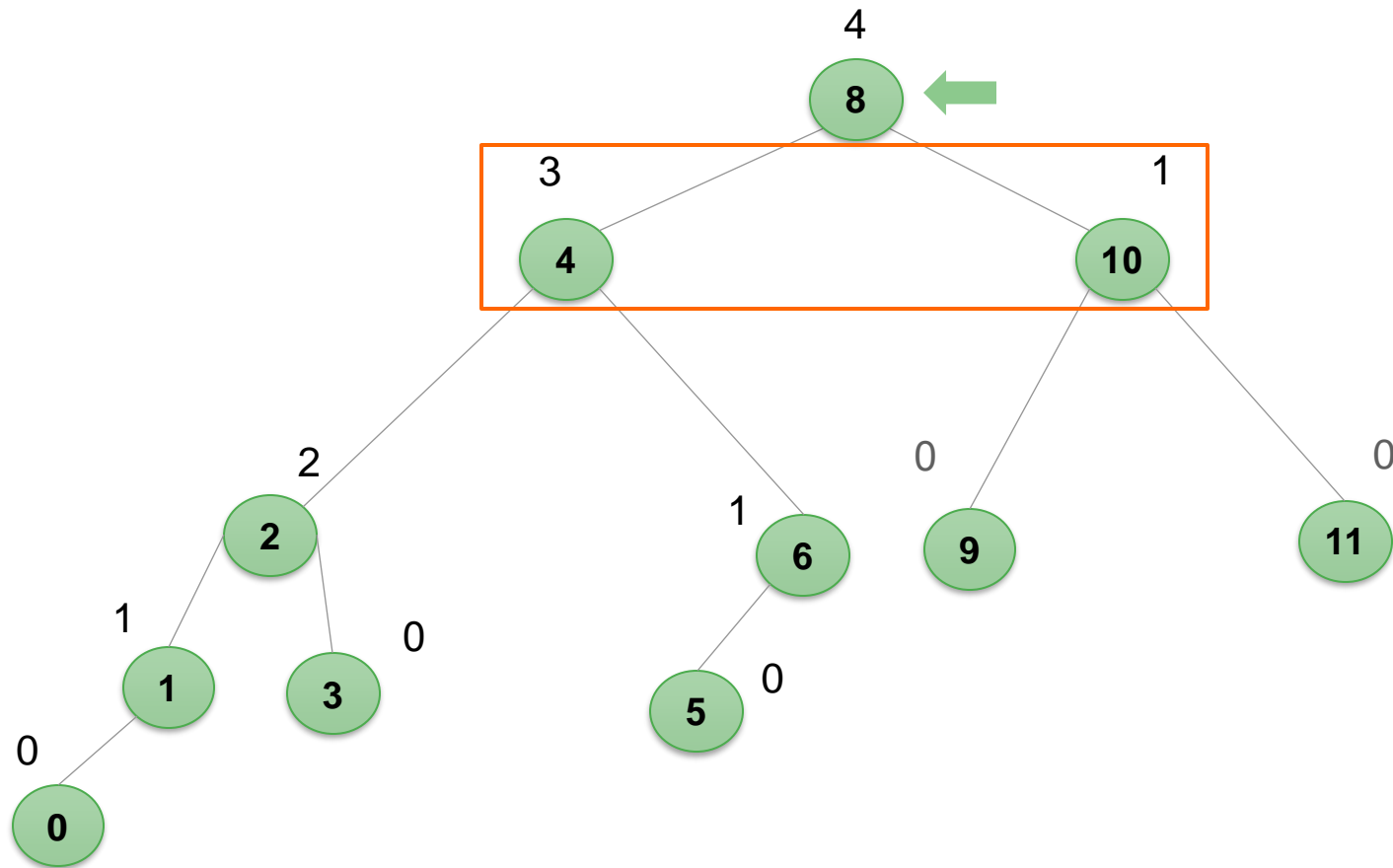
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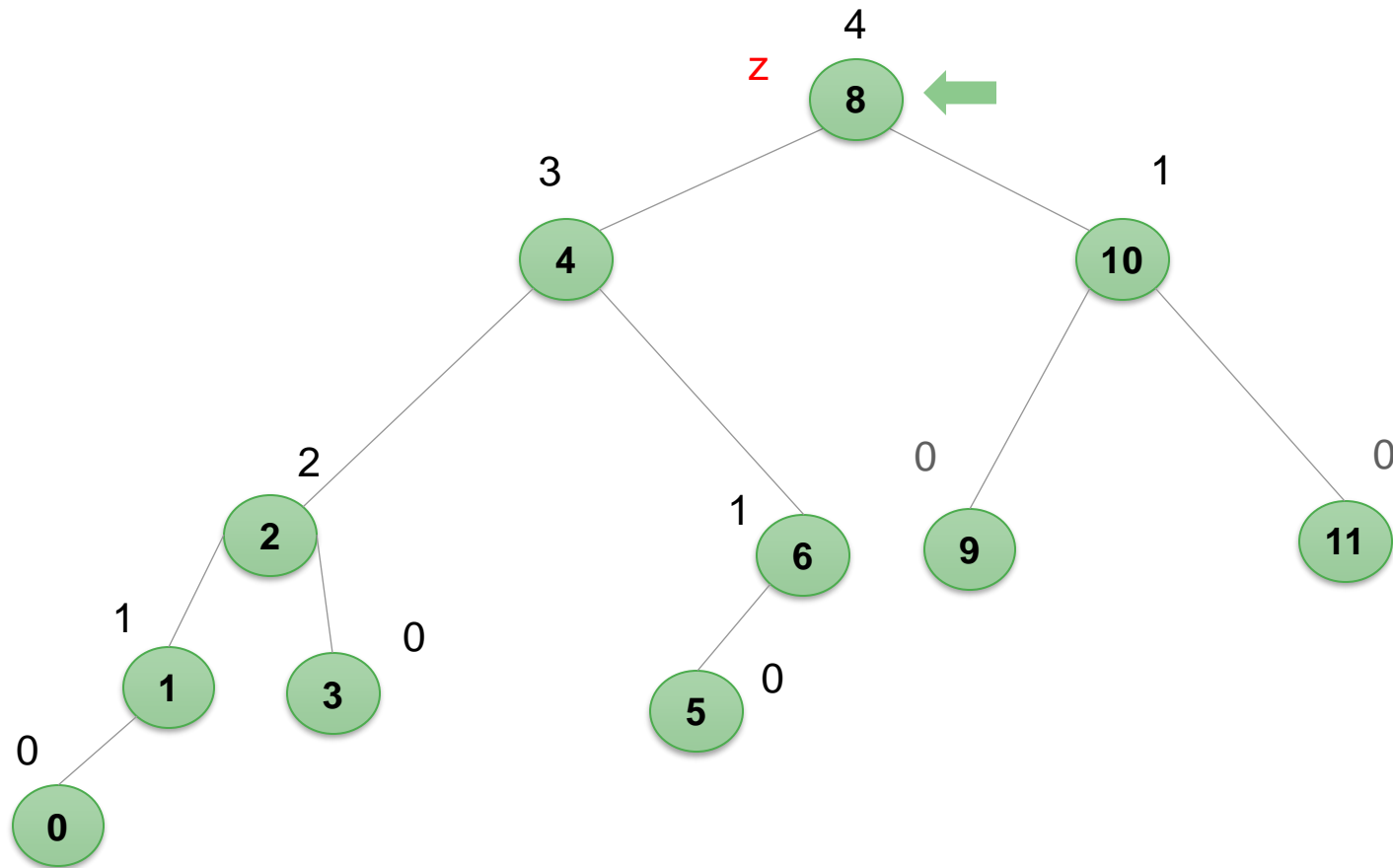
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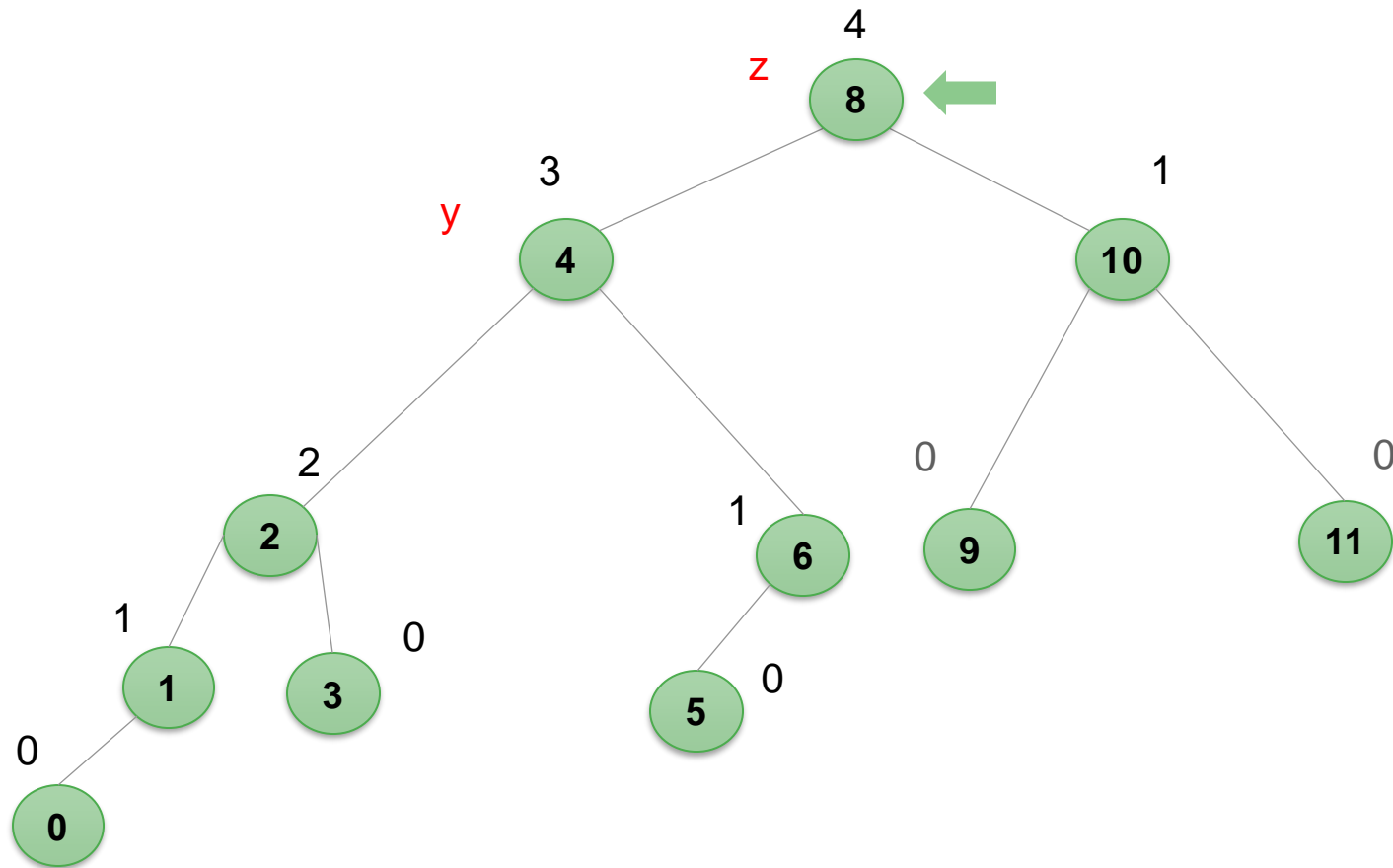
AVL TREES :: REMOVE



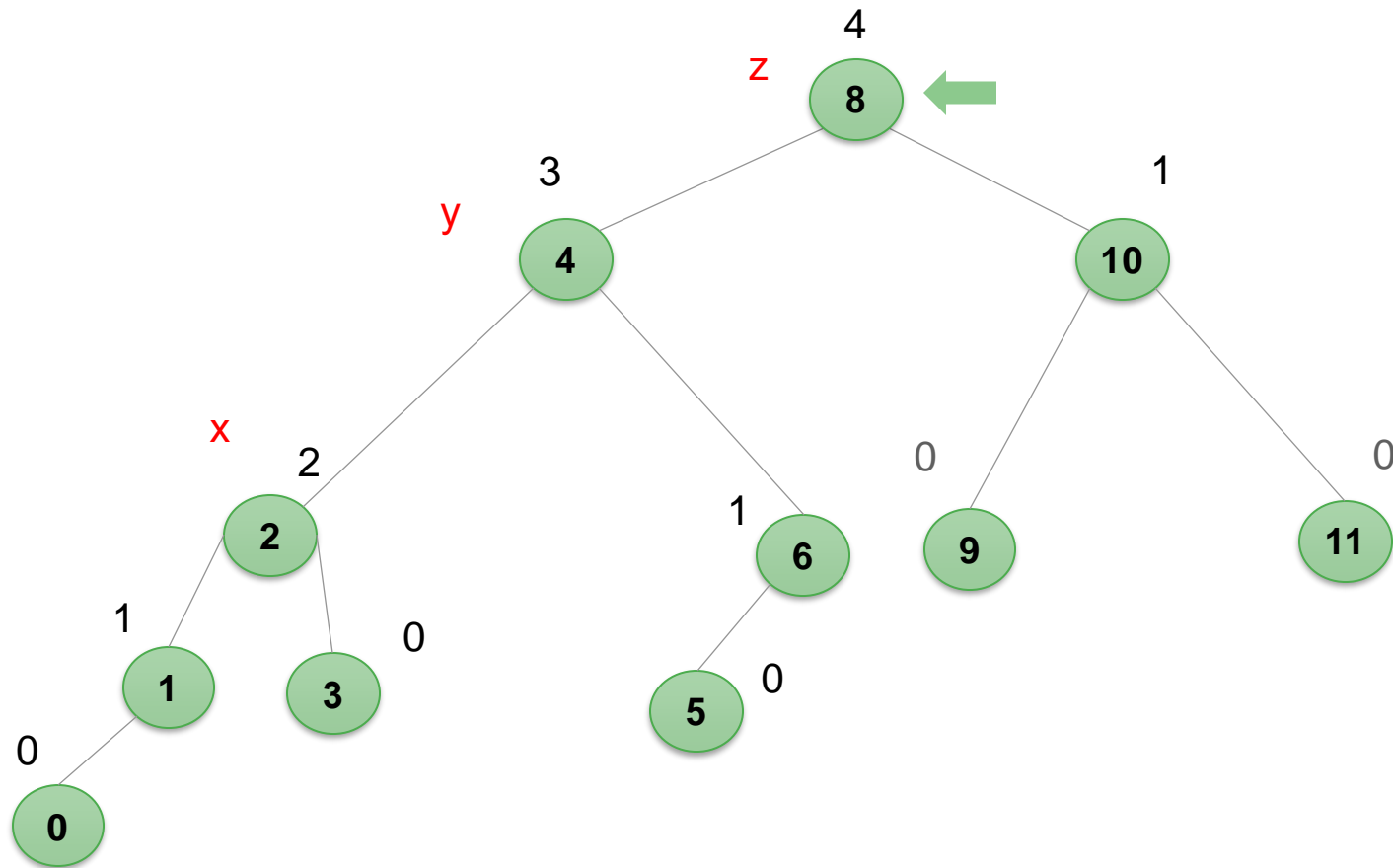
AVL TREES :: REMOVE



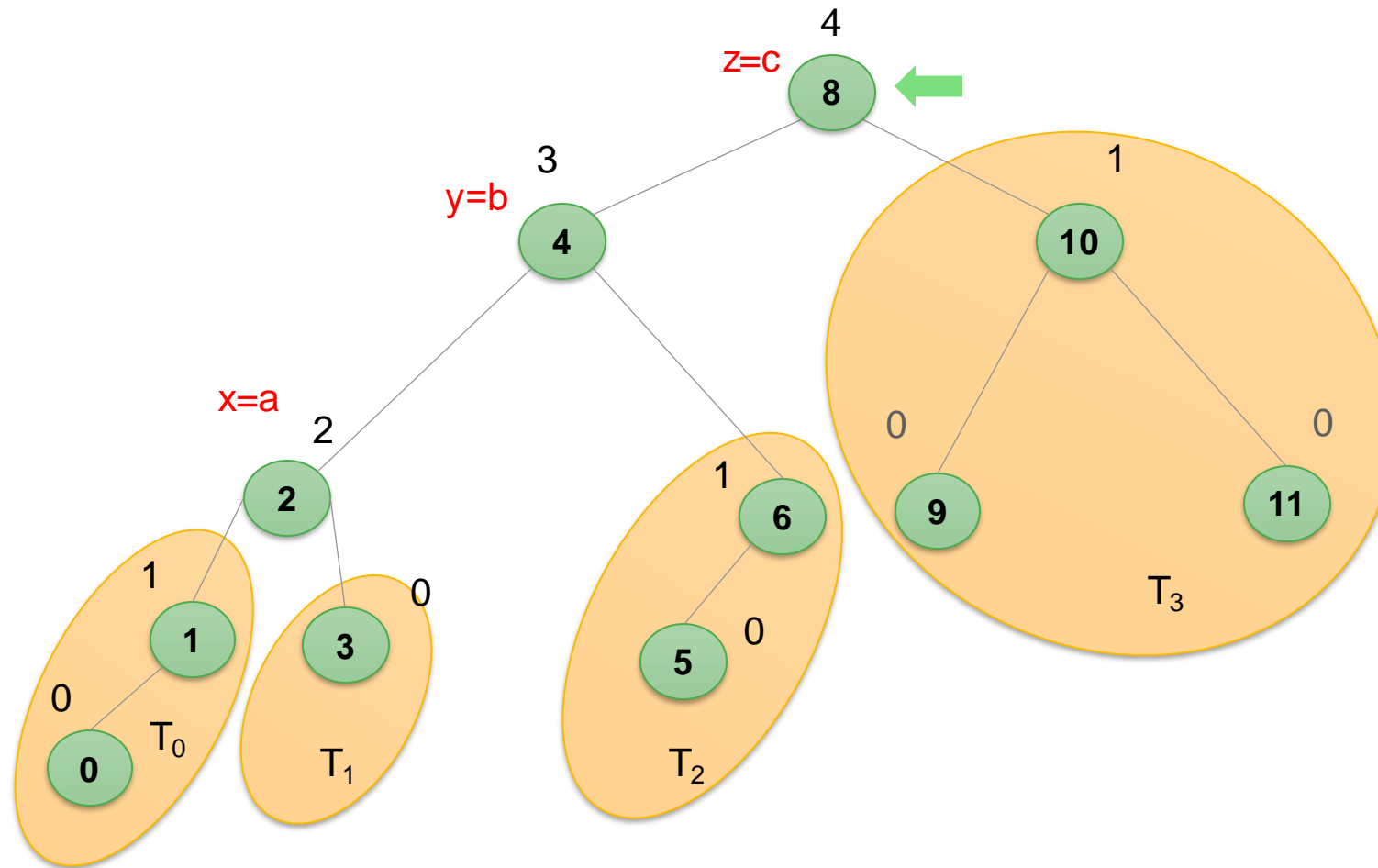
AVL TREES :: REMOVE



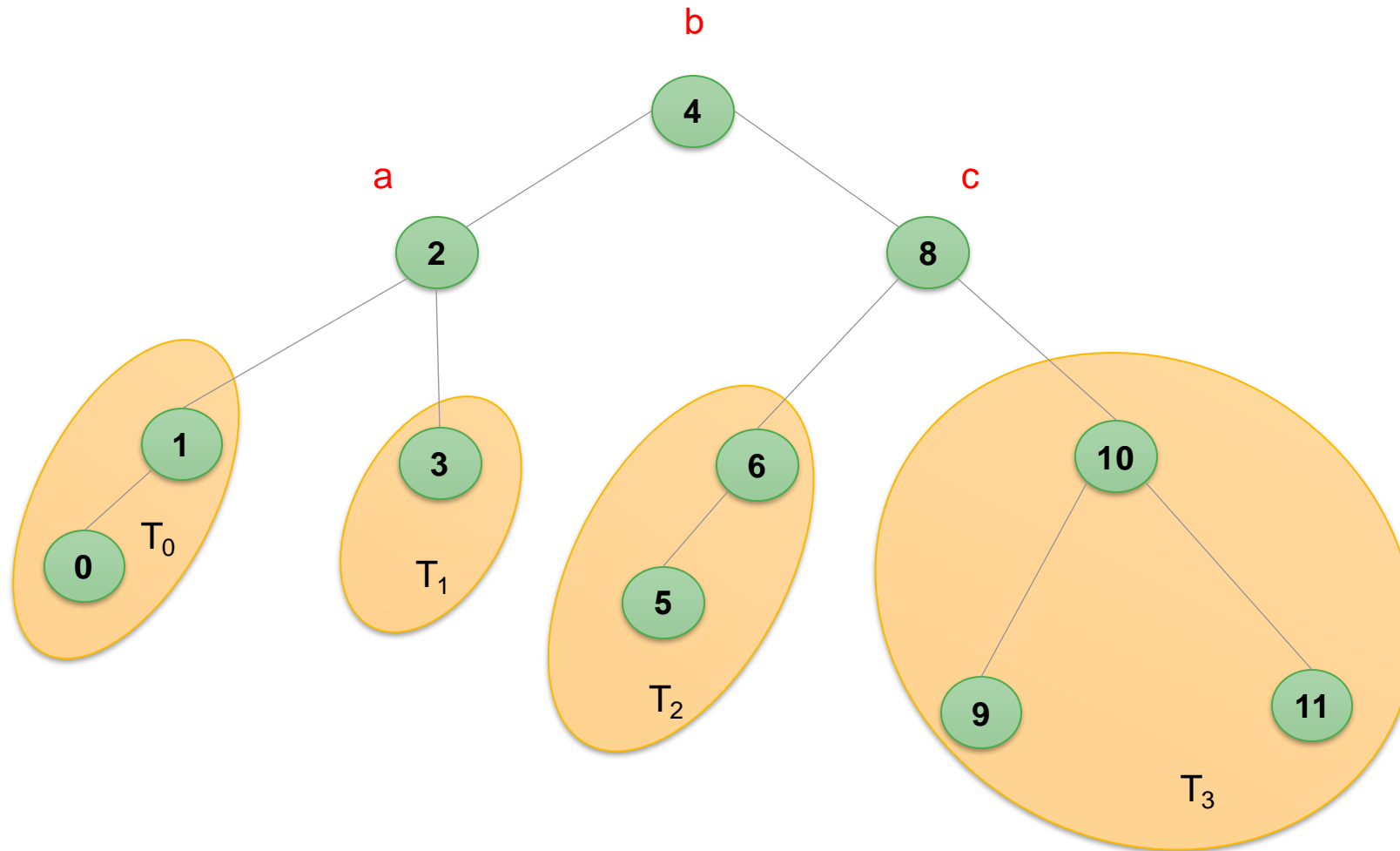
AVL TREES :: REMOVE



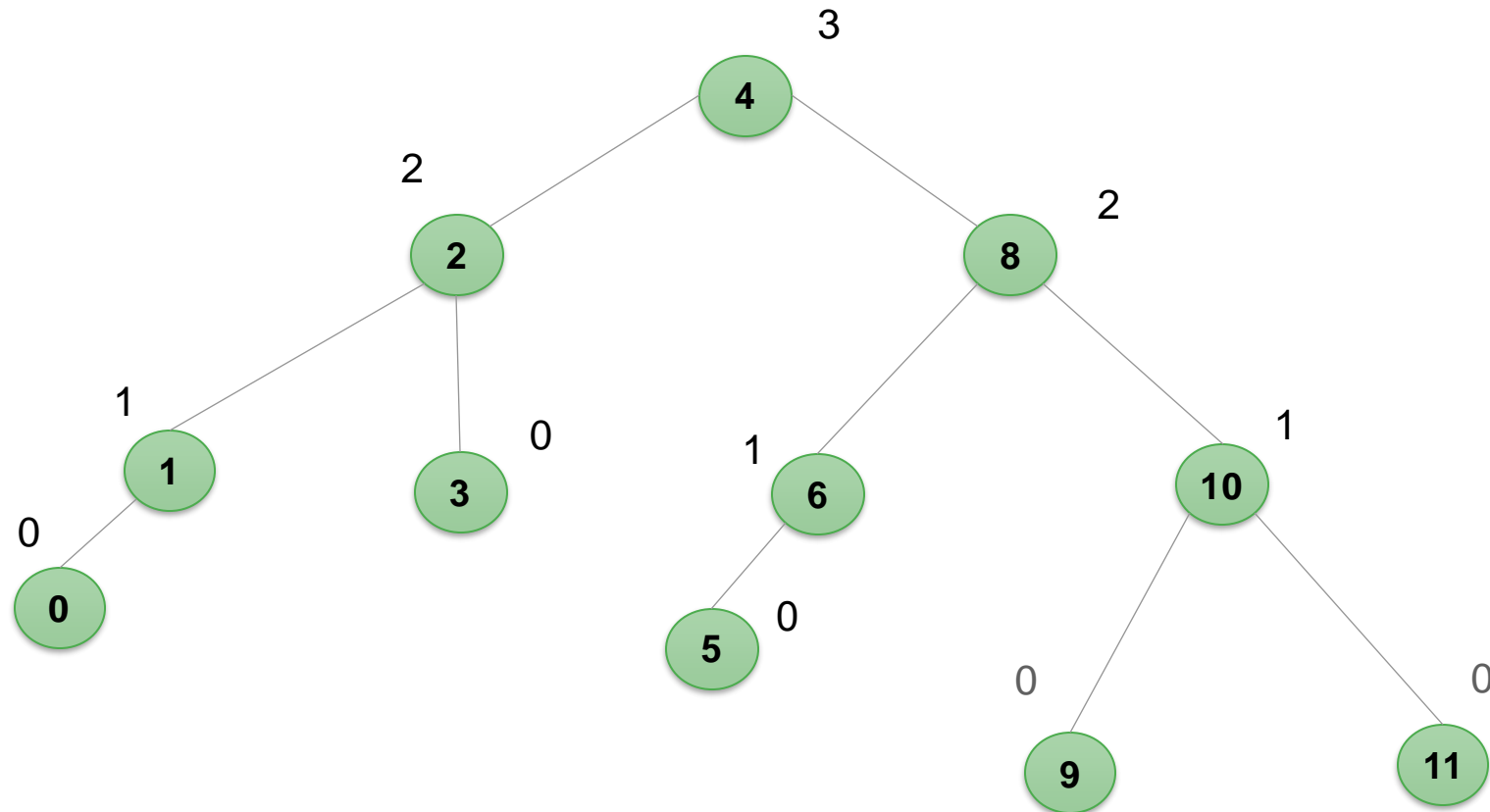
AVL TREES :: REMOVE



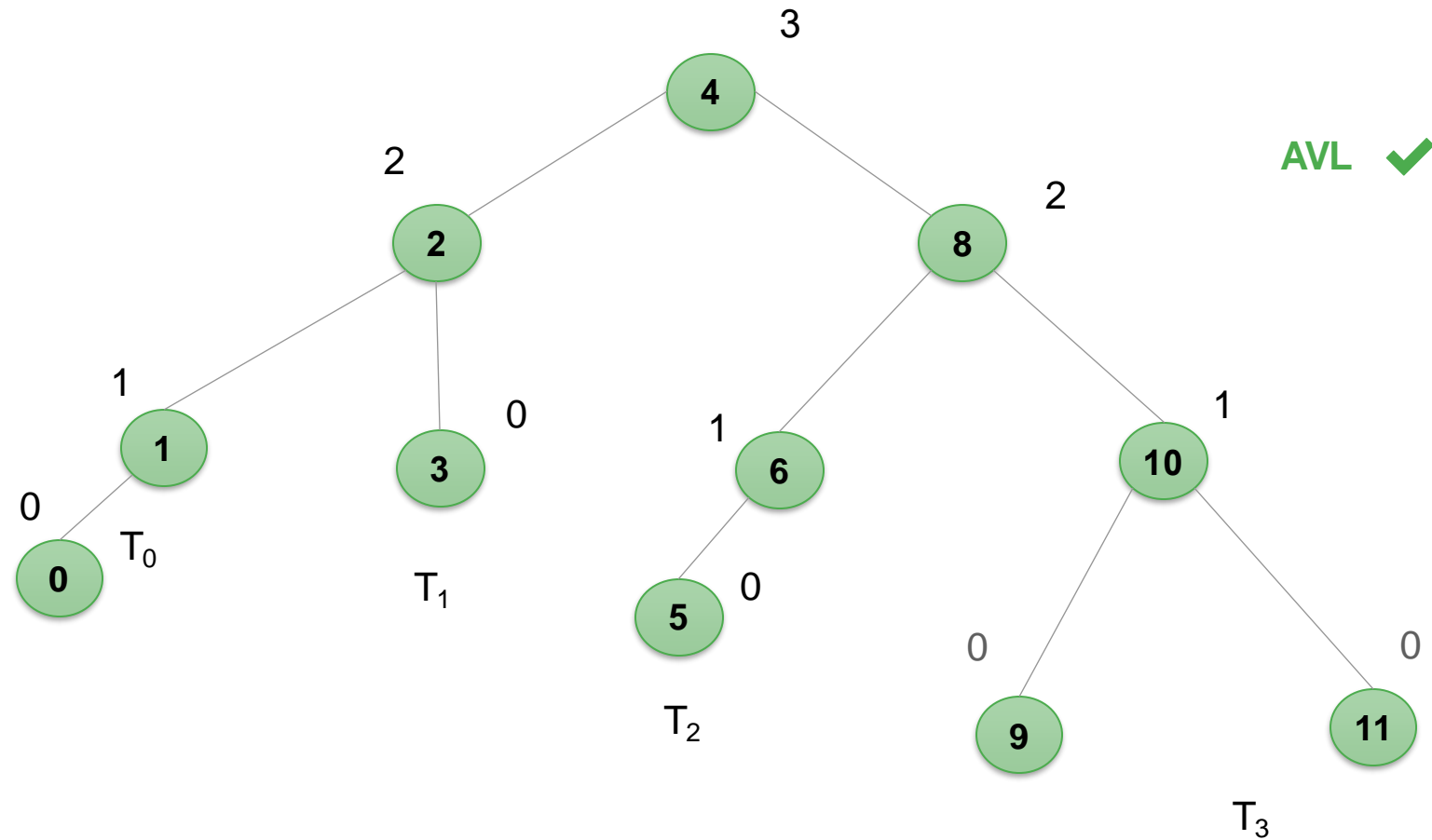
AVL TREES :: REMOVE



AVL TREES :: REMOVE



AVL TREES :: REMOVE



ASSIGNMENT 02

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