

Design and Implementation of a Tool to Collect Execution- and  
Service-Data of Big Data Analytics Applications

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Matriculation number: s786694  
Date of submission: 06.09.2016

# Acknowledgements

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# Abstract

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# 1 Introduction

## 1.1 Motivation

According to a survey in Germany, nine out of ten companies (89 percent) analyze large volumes of data from a variety of different sources for operational decision-making processes using modern Big Data Analytics Applications, where 48 percent of the respondents see the greatest potential of Big Data [Jör14a]. The analysis of continuous data streams is taking up a growing importance for companies and therefore constitutes an important factor for business success.

Collecting, storing and analyzing system and operational data of Big Data Applications is therefore an essential tool in order to ensure successful operation and to prevent failures. Even though logfiles are useful for tracing problems in software systems, problems can be tracked and potential sources of error can be identified much earlier by collecting and storing execution and service data at runtime to describe the state of the system at a given point in time.

Due to the distributed character of Big Data Applications, where a system is composed of several interacting components, the examination of log data is not an adequate choice to gain insight into an entire system [Les14].

Apache Flink is a "new player" in the plurality of stream processing frameworks. It was initialized by researchers of the Technische Universität Berlin, Humboldt Universität Berlin and Hasso-Plattner Institut Potsdam in 2008. On the 12th of January 2015 Flink became a top level project of the Apache Foundation. In the meantime, the development of Flink is driven by a grown community (216 contributors, 22.08.2016) and a wide range of companies that are actively using it.

## 1.2 Objective

The main goal of the thesis is the design and implementation of a software system to ingest and store system and operational data of Big Data Analytics Applications on the example of the streaming frameworks Apache Flink. It will be examined which data is available and can be collected at all, what data is relevant and how to collect from source systems. Furthermore, the collected data must be stored in a persistence system to become available for possible consumers like visualization applications, analytical processes or as a data source for applications from the context of Machine Learning for example.

## 1.3 Structure of thesis

After a short introduction to the topics and the main goals of the present thesis in this chapter, the Chapter 2 covers basic concepts of Big Data Analytics Applications, discusses the concept of stream processing and introduces Apache Flink and Apache Kafka as representatives of widely used stream-processing frameworks.

Chapter 3 examines Apache Flink and Apache Kafka regarding to the provided data both of the systems, which sources for data exist which data should be collected and stored in a persistence system regarding to its relevance and data quality. According to the results of the data analysis, the functional and non-functional requirements of the system being developed will be introduced at the end of the chapter.

Based on the requirements elaborated in the Chapter before, Chapter 4 introduces the software solution by giving a detailed conceptional overview of the software components involved and discusses implementation details for selected items.

In chapter 7 we'll see how to setup the technical environment for the usage of the prototype to verify the correct functionality related to the requirements defined in Chapter 4.

The last Chapter 8 covers a conclusion and summary of the present work.



## 2 Basic Concepts

After a short introduction to the terminology of Big Data, this chapter will discuss the main characteristics of Big Data Analytics Applications and introduces the concept of stream processing, which is one of the main characteristics of the popular streaming frameworks Apache Flink and Apache Kafka. The underlying concepts both of these systems and how they're used in context of Big Data Analytics will be explained at the end of this chapter.

### 2.1 Big Data

According to [Nat15] the term “Big Data” is a misleading name since it implies that pre-existing data is somehow small, which is not true, or that the only challenge is the sheer size of data, which is just one of them among others. In reality, the term Big Data applies to information that can't be processed or analyzed using traditional processes or tools.

Another definition comes from the science historian George Dyson, who was cited by Tim O'Reilly in [ORe13]: *Big data is what happened when the cost of storing information became less than the cost of making the decision to throw it away.*

In the past decade the amount of data being created is a subject of immense growth. More than 30,000 gigabytes of data are generated every second, and the rate of data creation is only accelerating.[Nat15]. People create content like blog posts, tweets, social network interactions, photos, servers continuously log messages, scientists create detailed measurements, permanently.

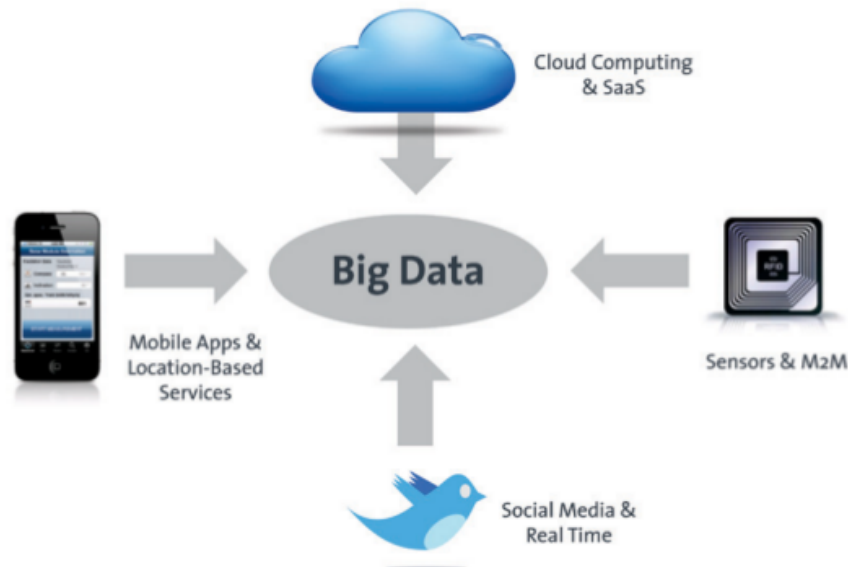


Figure 2.1: Sources of Big Data[Jör14b]

Through advances in communications technology, people and things are becoming increasingly interconnected. Generally referred to as machine-to-machine (M2M), interconnectivity is responsible for double-digit year over year data growth rates. Finally, because small integrated components are now affordable, it becomes possible to add intelligence to almost everything. As an example, a simple railway car has hundreds of sensors for tracking the state of individual parts and GPS-based data for shipment tracking and logistics.[Ziko12]

Besides the extremely growing amount of data, an increase in data diversity goes hand in hand. It comes in its raw and unstructured, semistructured or structured form, which makes processing it in a traditional relational system impractical or impossible.[Jör14b] describes, that around 85 percent of the data comes in an unstructured form, but containing valuable information.

According to [Nat15] [Ziko12], Big Data is defined by three characteristics:

**Volume** The amount of data present is growing because of growing amount of producers, e.g. environmental data, financial data, medical data, surveillance data.

**Variety** Data varies in its form, it comes in different formats from different sources.

**Velocity** Data needs to be evaluated and analyzed quickly, which leads to new challenges like analysis of large data sets with answers in seconds range, data processing in realtime, data generation and transmission at highspeed.

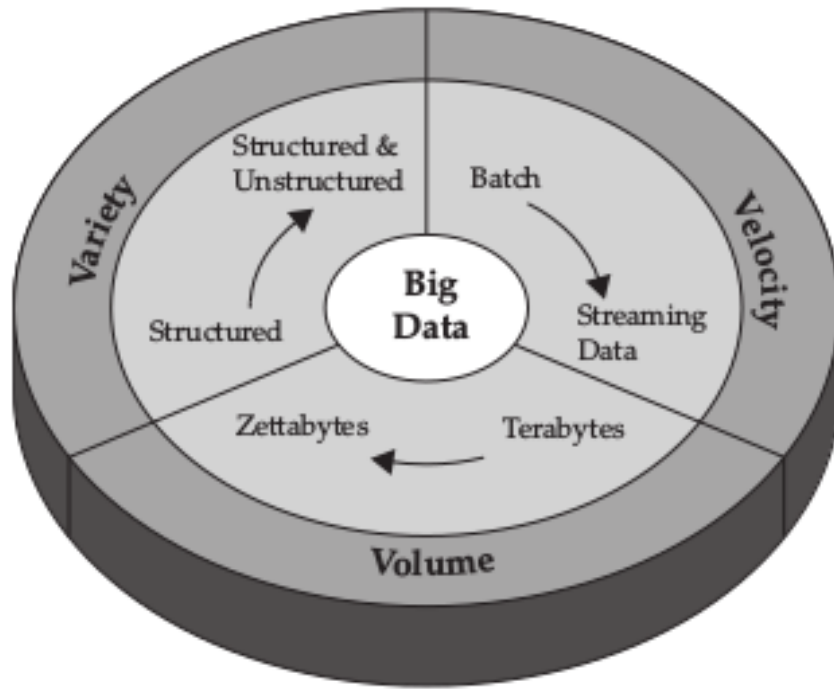


Figure 2.2: The three 'V's of Big Data[Ziko12]

A possible definition for Big Data could be derived as follows: *Big Data refers to the use of large amounts of data from multiple sources with a high processing speed for generating valuable information based on the underlying data.*

## 2.2 Big Data Analytics Applications

Big Data Analytics describes the process of collecting, organizing and analyzing large volumes of data with the aim to discover patterns, relationships and other useful information extracted from incoming data streams [Nat15]. The process of analytics is typically performed using specialized software tools and applications for predictive analytics, data mining, text mining, forecasting and data optimization.

The analytical methods raise data quality for unstructured data on a level that allows more quantitative and qualitative analysis. With this structure it becomes possible to extract the data that is relevant by iteratively refined queries.

The areas of applications may be extremely diverse and ranges from analysis of financial flows or traffic data, processing sensor data or environmental monitoring as explained in the previous chapter.

The illustration below summarises the six-dimensional taxonomy [Jör14a; Gro14] of Big Data Analytics Applications.

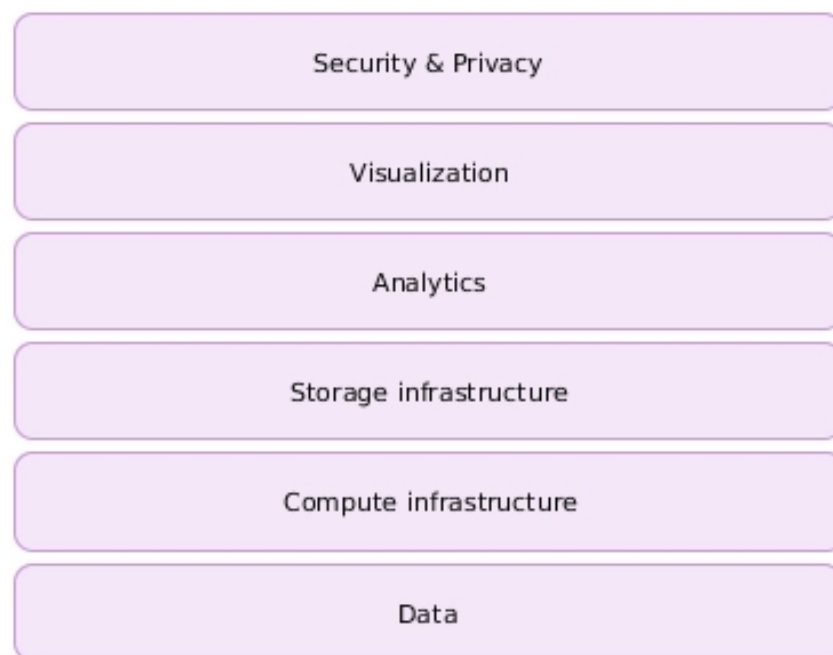


Figure 2.3: Taxonomy of Big Data Analytics Applications [Jör14a; Gro14]

The following section will discuss the topic stream processing, which is part of the "Compute infrastructure" layer shown in the figure above.

## 2.3 Stream Processing

Computing paradigms on big data currently differ on whether the processing will be done in batch mode, or in real-time/near real-time on streaming data. This section is focussed on processing continuous data streams in real-time/near real-time and introduces Apache Flink and Apache Kafka as representatives of streaming frameworks.

According to [Kle16], stream processing is the real-time processing of data continuously, concurrently, and in a record-by-record fashion in which data is treated not as static tables or files, but as a continuous infinite stream of data integrated from both live and historical sources. Various data streams could have own features. For example, a stream from the financial market describes the whole data. In the same time, a stream for sensors depends on sampling (e.g. get new data every 5 minutes).

The general approach is to have a small component that processes each of the events separately. In order to speed up the processing, the stream may be subdivided, and the computation distributed across clusters. Stream processing frameworks primarily address parallelization of the computational load; an additional storage layer is needed to store the results in order to be able to query them.

Benefits of stream processing:

- Accessibility: live data can be used while still in motion, before being stored.
- Completeness: historical data can be streamed and integrated with live data for more context.
- High throughput: large volumes of data can be processed in high-velocity minimal latency.

To introduce a more formal expression, a data stream is described as an ordered pair (S, T) where:

- S is a sequence of tuples.
- T is a sequence of positive real time intervals.

It defines a data stream as a sequence of data objects, where the sequence in a data stream is potentially unbounded, which means that data streams may be continuously generated at any rate [Nam15] and leads to the following characteristics:

- the data arrives continuously
- the arrival of data is disordered
- the size of the stream is potentially unbounded

After this short introduction to the basics of stream processing, the following sections cover a short introduction of the streaming frameworks Apache Flink and Apache Kafka.

### 2.3.1 Apache Flink

As described in the documentation [Fli16], *"Apache Flink is an open source platform for distributed stream and batch data processing. Flink's core is a streaming dataflow engine that provides data distribution, communication, and fault tolerance for distributed computations over data streams. Flink also builds batch processing on top of the streaming engine, overlaying native iteration support, managed memory, and program optimization."*

The main components of Flink applications are formed by streams and transformations, in which streams define intermediate results whereas transformations represent operations computed on one or more input streams with one or more resulting streams.

To illustrate the main components of a Flink application, the following code from [Fli16] shows a working example of a streaming application, that counts the words coming from a web socket in 5 second windows:

```
1 public static void main(String[] args) throws Exception {  
2     StreamExecutionEnvironment env = ←  
        StreamExecutionEnvironment.getExecutionEnvironment();  
3     DataStream<Tuple2<String, Integer>> dataStream = env  
4         .socketTextStream("localhost", 9999) *(1)  
5         .flatMap(new Splitter()) *(2)  
6         .keyBy(0) *(2)  
7         .timeWindow(Time.seconds(5)) *(2)
```

```
8         .sum(1); *(2)
9
10    dataStream.print(); *(3)
11    env.execute("Window WordCount");
12 }
13
14 public static class Splitter implements FlatMapFunction<String, ↵
15     Tuple2<String, Integer>> {
16     @Override
17     public void flatMap(String sentence, Collector<Tuple2<String, ↵
18         Integer>> out) throws Exception {
19         for (String word: sentence.split(" ")) {
20             out.collect(new Tuple2<String, Integer>(word, 1));
21         }
22     }
23 }
```

Codeauszug 2.1: Basic Apache Flink streaming application

On execution, Flink applications are mapped to streaming dataflows, consisting of streams and transformation operators (3) where each dataflow starts with one or more sources (1) the data is received from and the resulting stream will be written in one or more sinks (3). to.

The following overview summarises the most important streaming features of Apache Flink:

**Event time and out of order streams** Due to the distributed character of Big Data Analytics Applications, data doesn't arrive necessarily in the order that they are produced. Since version 0.10, Flink provides the concept of "event time", the processing of events by the time they happened in the real world to support "out of order" streams what enables consistently processing of events according to their timestamps.

**Windows** Flink uses a concept called windows to divide a data stream that can be potentially infinite into finite slices based on the timestamps of elements or other

criteria. This division is required when working with infinite streams of data and performing transformations that aggregate elements

**Consistency, fault tolerance, and high availability** Flink guarantees consistent state updates and data movement in the presence of failures between selected sources and sinks. Such failures include machine hardware failures, network failures, transient program failures, etc. It supports worker and master failover, eliminating any single point of failure by providing a checkpointing mechanism that recovers streaming jobs after failures.

**Connectors and integration points** Flink integrates with a wide variety of open source systems for data input and output (Kafka, Elasticsearch and others) which makes technical decisions regarding the infrastructure quite flexible.

### 2.3.2 Apache Kafka

Apache Kafka is publish-subscribe queuing service rethought as a distributed commit log [Kaf16], supporting stream processing with millions of messages per second, durability of messages through disk storage and replication accross multiple machines in clustered environments. It is written in Scala, was initially developed at LinkedIn and follows the distributed character of Big Data Analytics Applications by it's inherent design.

This excerpt from the paper [Jay11] the team at LinkedIn published about Kafka describes the basic principles:

*A stream of messages of a particular type is defined by a topic. A producer can publish messages to a topic. The published messages are then stored at a set of servers called brokers. A consumer can subscribe to one or more topics from the brokers, and consume the subscribed messages by pulling data from the brokers. (...) To subscribe to a topic, a consumer first creates one or more message streams for the topic. The messages published to that topic will be evenly distributed into these sub-streams. (...) Unlike traditional iterators, the message stream iterator never terminates. If there are currently no more messages to consume, the iterator blocks until new messages are published to the topic.*

A common use case for Apache Kafka in the context of stream processing is the buffering of messages between stream producing systems by providing a queue for incoming and



outgoing data. According to the explanation of the concept of data sources and sinks in the section above, Apache Kafka is heavily used as an input source, as well as output sink for the Apache Flink processing dataflow.

The design of Apache Kafka has the following characteristics:

**Persistent messages** To avoid any kind of data loss, Apache Kafka provides constant-time performance even with very large volumes of stored messages, which is in order of Terrabytes.

**High throughput** Even on commodity hardware, Apache Kafka supports millions of messages per second.

**Distributed by design** : Enables Messages partitioning over Kafka servers and distributing consumption over a cluster of message consuming machines

Multiple client support Integration of clients from different platforms, e.g. Java, .NET, PHP, Ruby, Python.

Real Time Messages produced by the producer threads should be immediately visible to consumer threads.

## 2.4 Summary

TODO

## 3 Requirements Analysis and Specification

After a short introduction to the basic concepts of Big Data, Big Data Analytics Applications and Apache Flink and Apache Kafka as examples for streaming frameworks, this chapter examines what different kind of data are available for both of the systems and should be transported to a central storage system. According to the results of the data analysis, the functional and non-functional requirements of the software system which is forming the core of the present thesis will be defined.

### 3.1 Data Analysis

live and historical sources

"COLLECT EVERYTHING!"

#### 3.1.1 System data

Observation of cpu-, disk- and memory-utilization, why. Dstat system util introduction

#### 3.1.2 Application data

Apache Flink provides application data via Monitoring REST API, describe REST Since version 1.1.0 new Metrics data via JMX

## **Representational State Transfer (REST)**

Analyze Flinks REST data

## **Java Management Extensions (JMX)**

General JMX explanation, data access MBeansServerConnection

## **3.2 Data Quality**

Define DQ, evaluate quality for data above

## **3.3 Functional Requirements**

Describe "big picture" functionality see [Les14], follows distributed character of Big Data Analytics Applications, provide "on demand" data collection, as much data as possible, realtime?, three main components, break down for:

### **3.3.1 Collection**

collect data in clustered environments

### **3.3.2 Transport**

Scalability with message broker

### **3.3.3 Persistence**

Accessibility for AI, UI applications

## **3.4 Non-Functional Requirements**

## **3.5 Summary**

# 4 Architecture and Implementation

Distributed system -> distributed collection, cloud environments, microservice architecture, service-discovery, communication via REST, Publish(client) -> Topic <- Subscribe  
Logstash,

continuous, distributed, time-series "data feed", difference raw and aggregated[Kle16], events as "immutable facts", why? arch uses both, raw because of unknown interests in data, flink-job-index for demonstration of SP.

## 4.1 Architecture

TODO

### 4.1.1 Infrastructure Components

#### Service-Discovery

Registration for CollectorClients

#### Message-Broker

Queueing, see Marz15

Transport, "Event-Log", see [Kre13] Collect the streams and make them available for consumption

**Indexer**

Receive messages from Kafka, route data, create ES index, why, describe context BDAA

**Persistence**

ES as search index for time-series based data, easy visualization with Kibana, why?

**4.1.2 Software Components****CollectorClient**

The CollectorClient tier is our entry point for bringing data into the system... A module to gather the event streams from data sources.

**CollectorManager**

Gives overview, uses Consul as service-discovery

**CollectorDataProcessor**

module to analyze the streams creating derived streams and persist flat data -> data transformation

**4.2 Implementation**

Introduce software stack, why used?

## 4.3 The "collect"-algorithm

Java8, CPs, non-blocking streams

## 4.4 Summary

Maybe Spring alternatives, Lagom, VertX, Play? Maybe collector as agent instead of microservice, alternatives REST, maybe (Web-)Sockets Instrumentation alternative

## 5 Evaluation

### 5.1 Local test environment

### 5.2 Docker environment

### 5.3 Observations

### 5.4 Discussion

### 5.5 Summary



# 6 Conclusion

TODO

## 6.1 Summary

## 6.2 Outlook

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# Image resources

- [Nat15] James Warren Nathan Marz. *Big Data - Principles and best practices of scalable real-time data systems*. Shelter Island, NY 11964: Manning Publications Co., 2015. ISBN: 978-1-617-29034-3.



# A

## A.1 Diagrams

### A.1.1 Use Case diagram

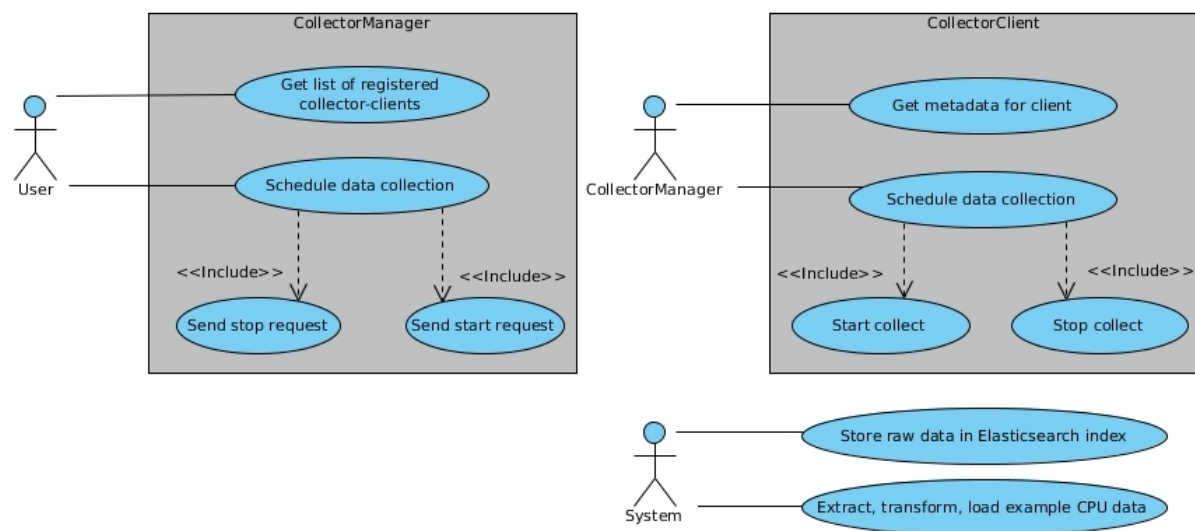


Figure A.1: Use Case Diagramm

## A.1.2 Class diagrams

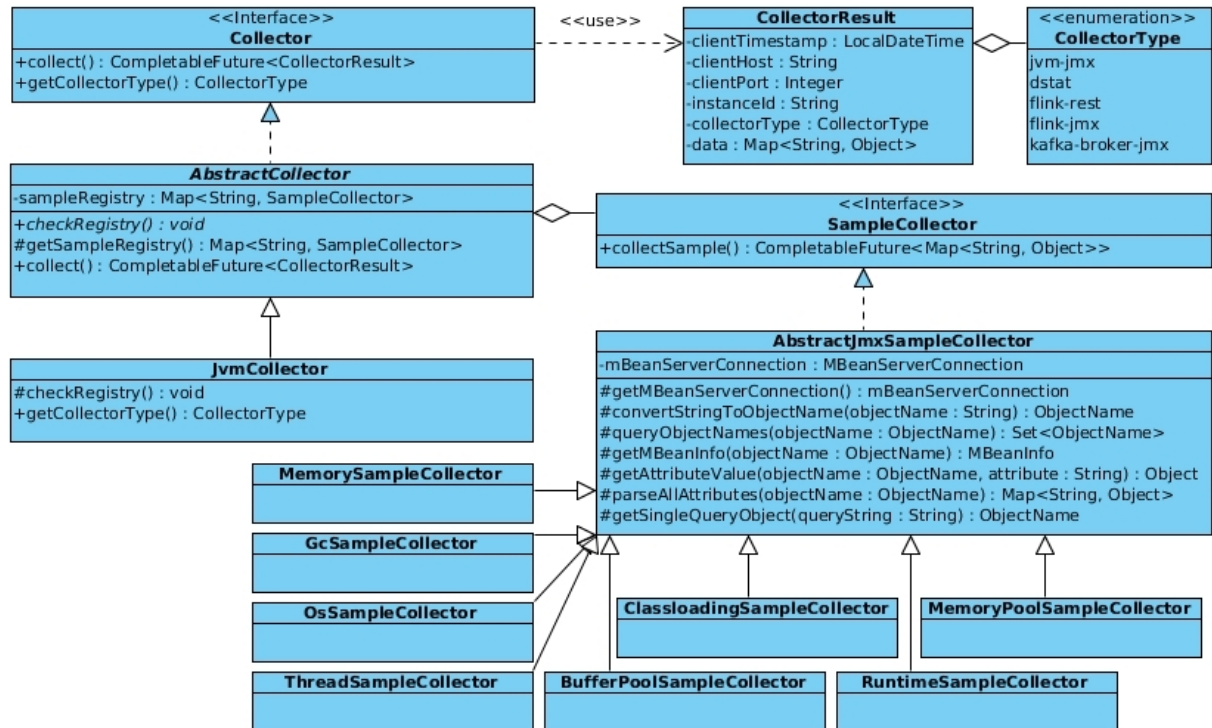


Figure A.2: Class diagram 'JvmCollector'

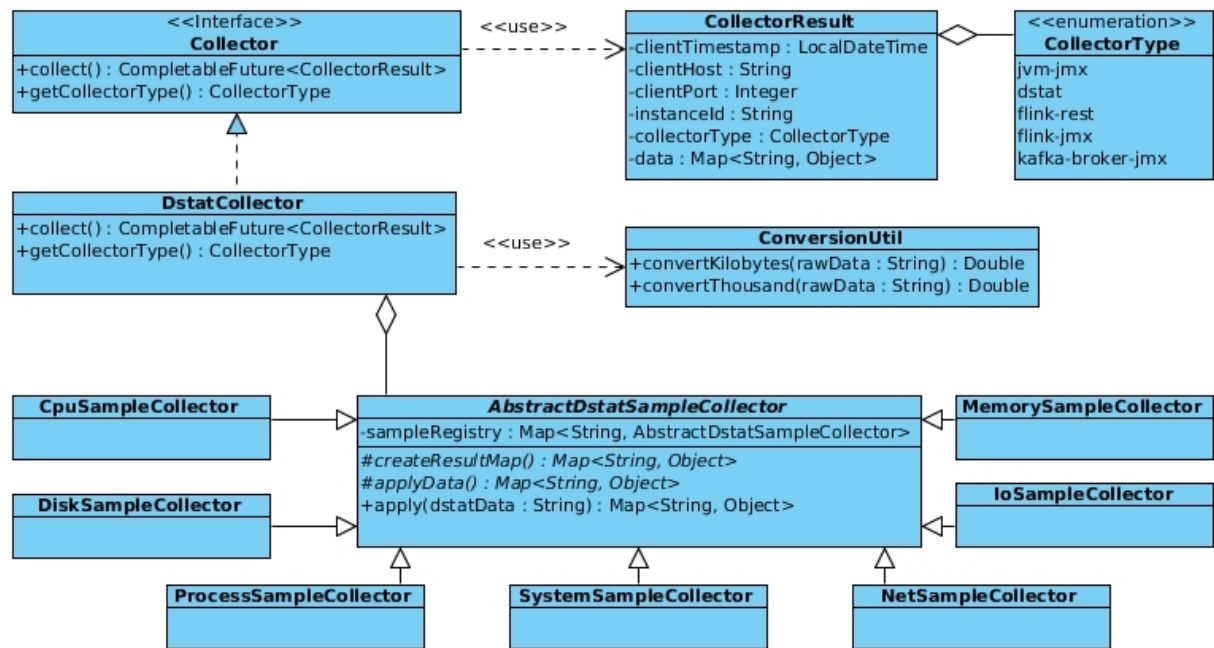


Figure A.3: Class diagram 'DStatCollector'

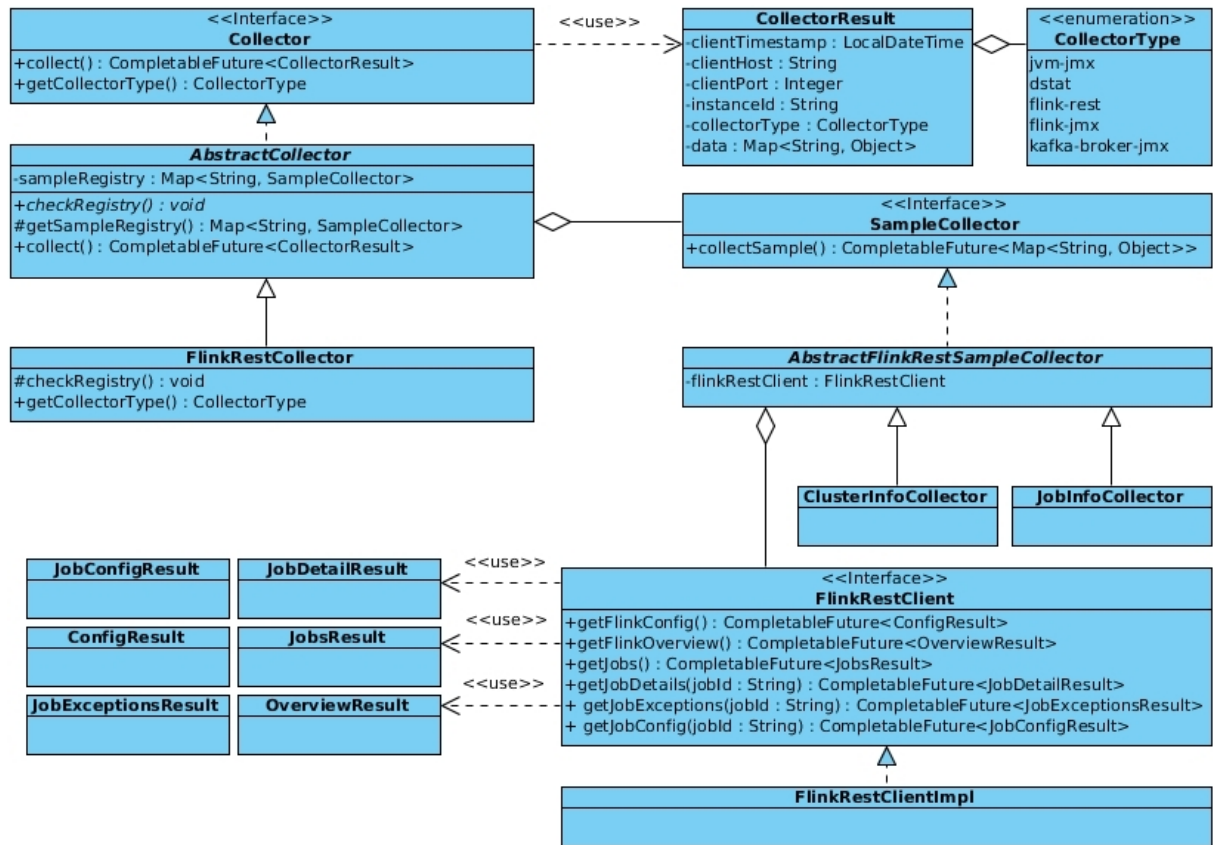


Figure A.4: Class diagram 'FlinkRestCollector'

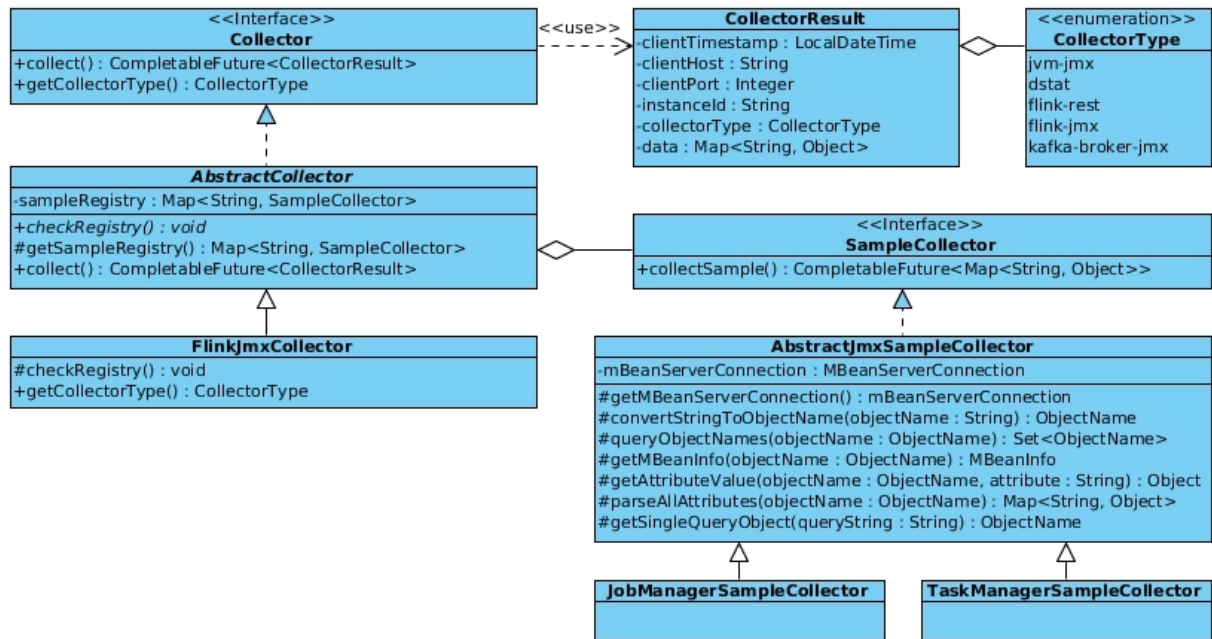


Figure A.5: Class diagram 'FlinkJmxCollector'

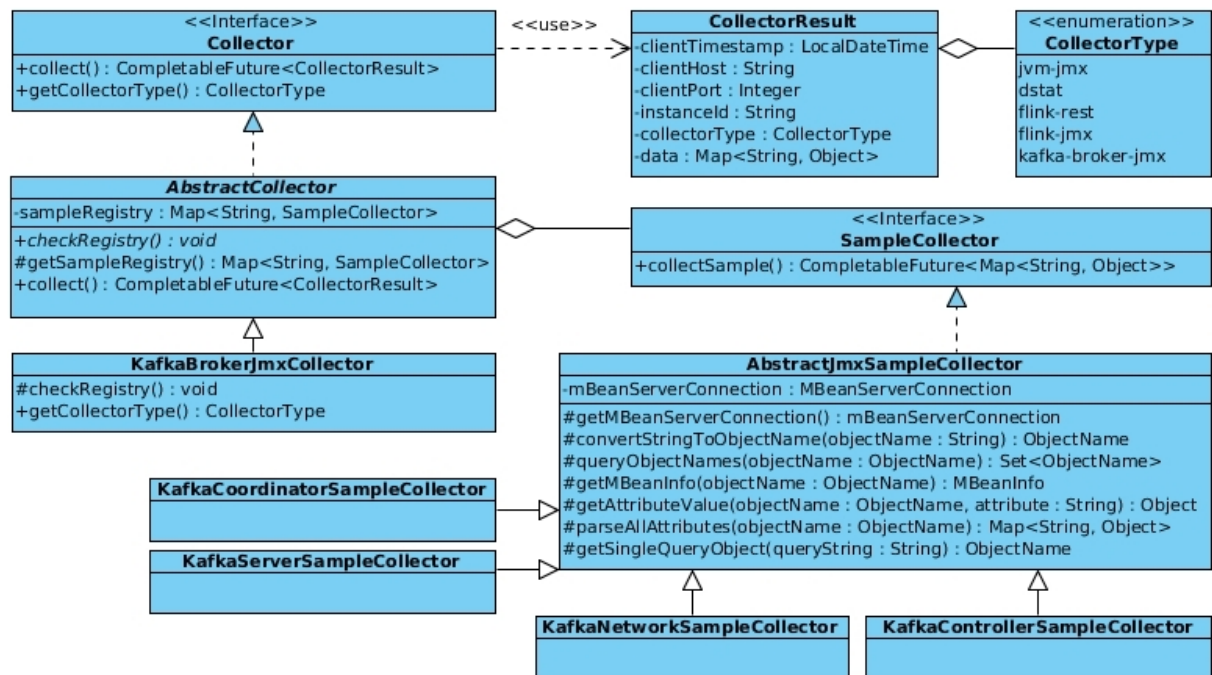


Figure A.6: Class diagram 'KafkaBrokerJmxCollector'

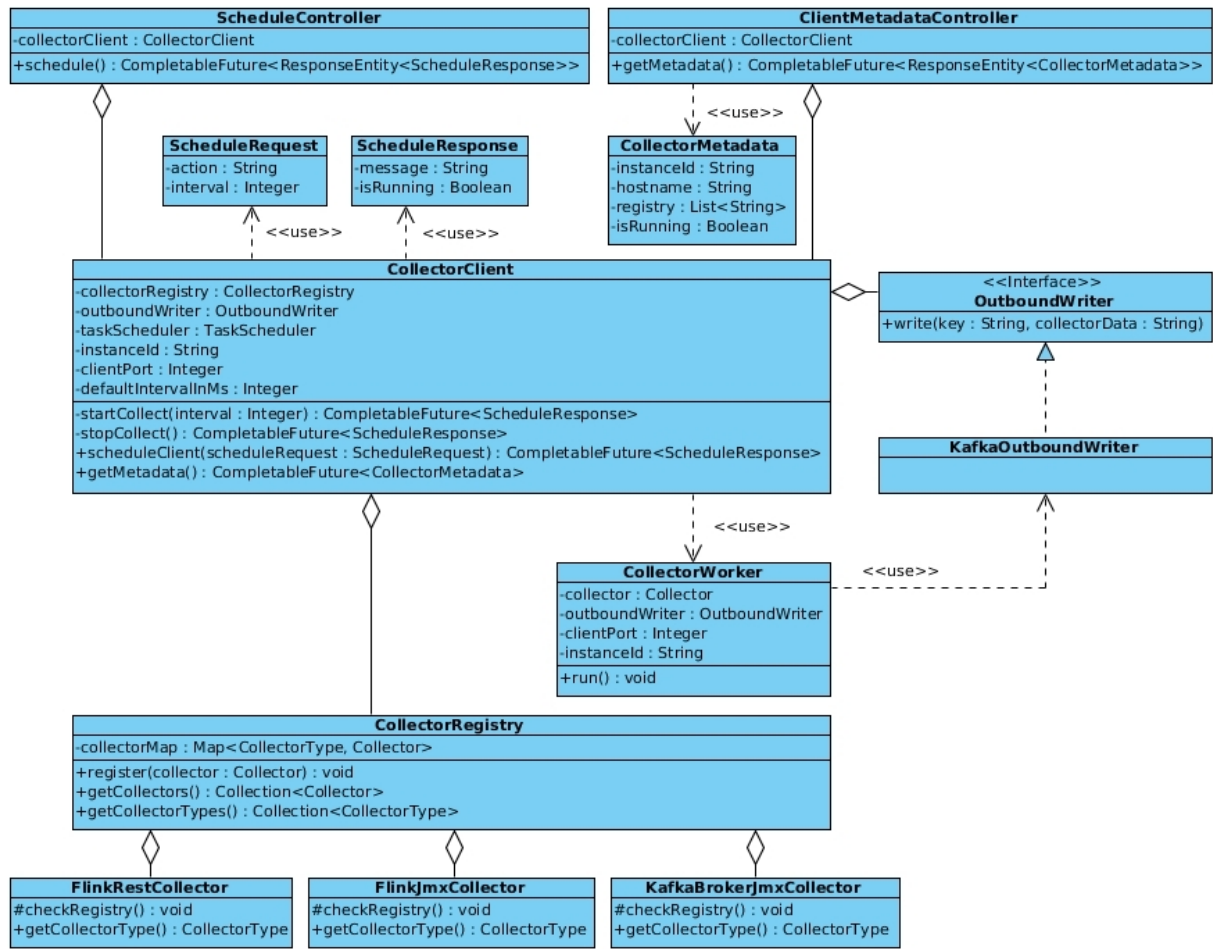


Figure A.7: Class diagram 'CollectorClient'

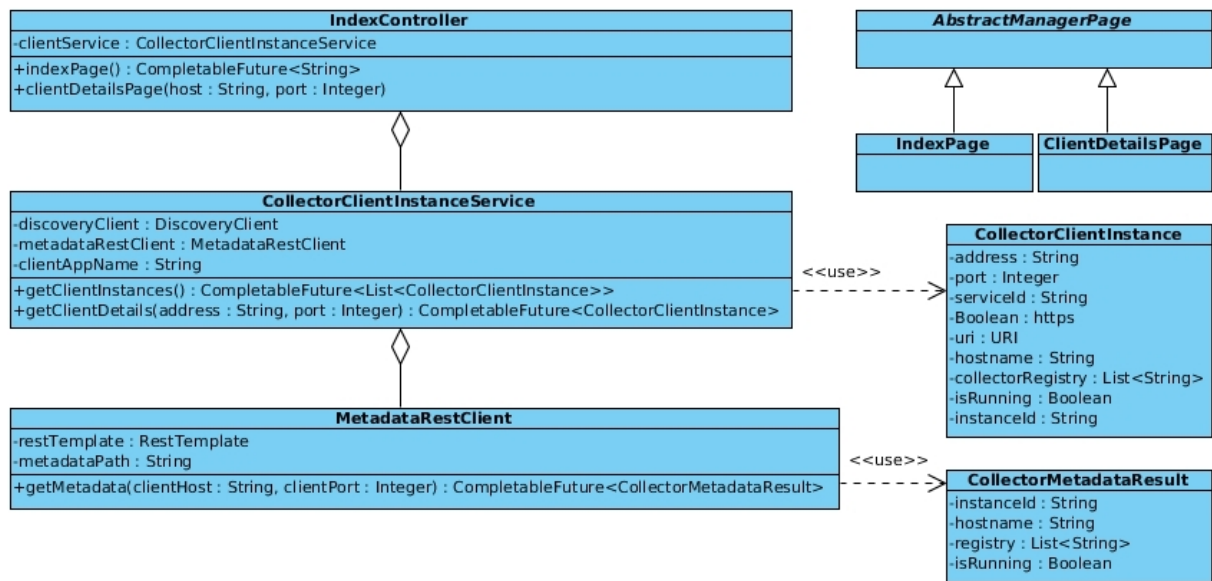


Figure A.8: Class diagram 'CollectorManager'

### A.1.3 Sequence diagrams

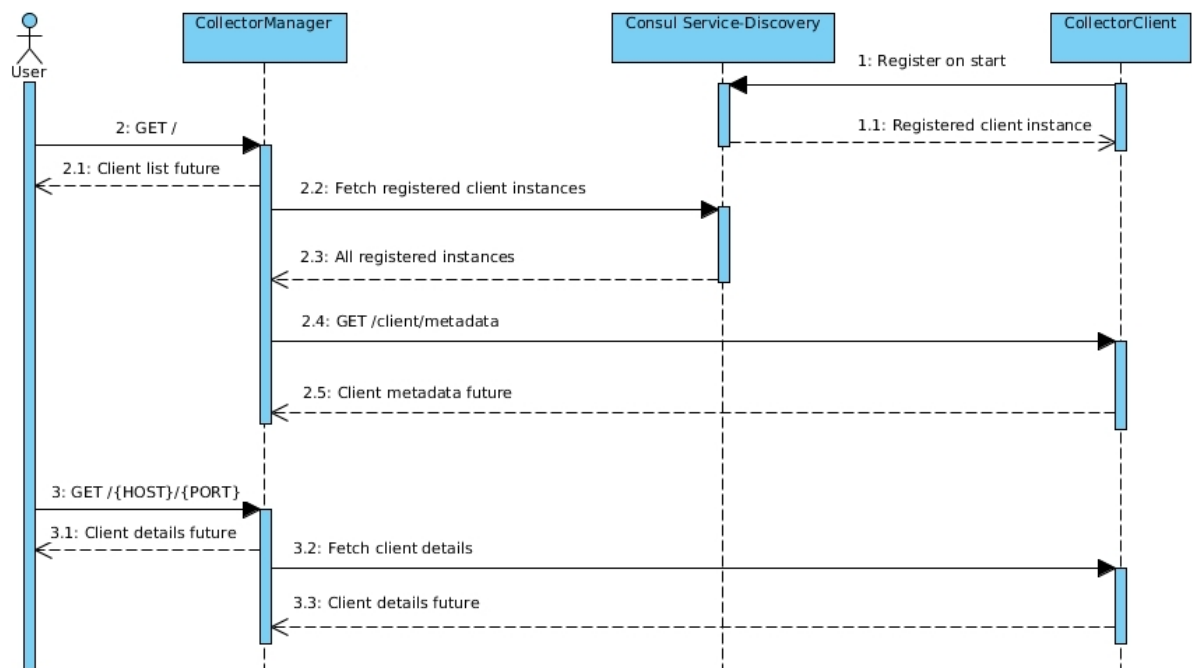


Figure A.9: Sequence diagram 'Client discovery'



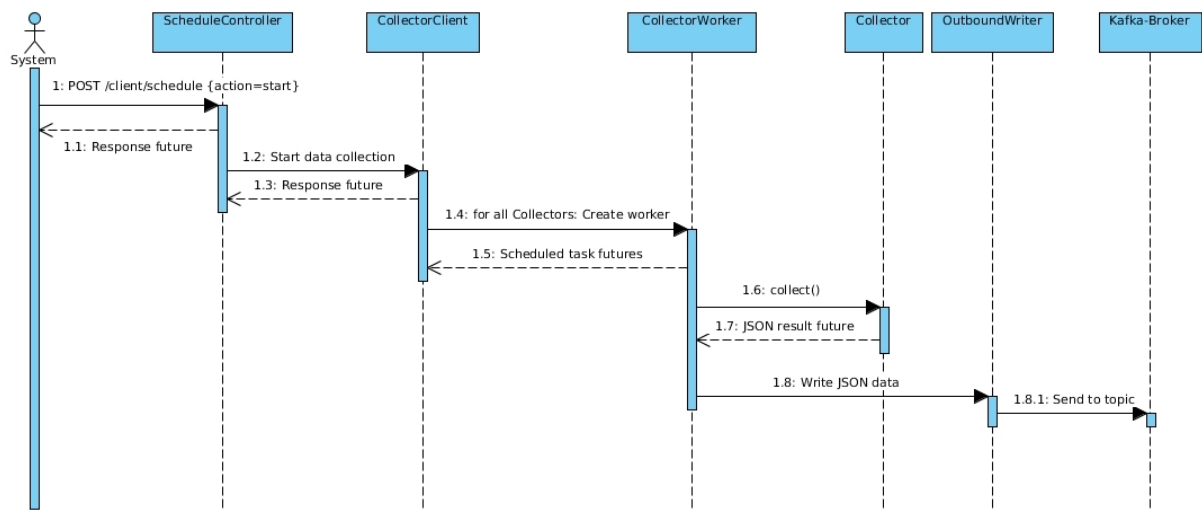


Figure A.10: Sequence diagram 'Client scheduling'

### A.1.4 Component diagram

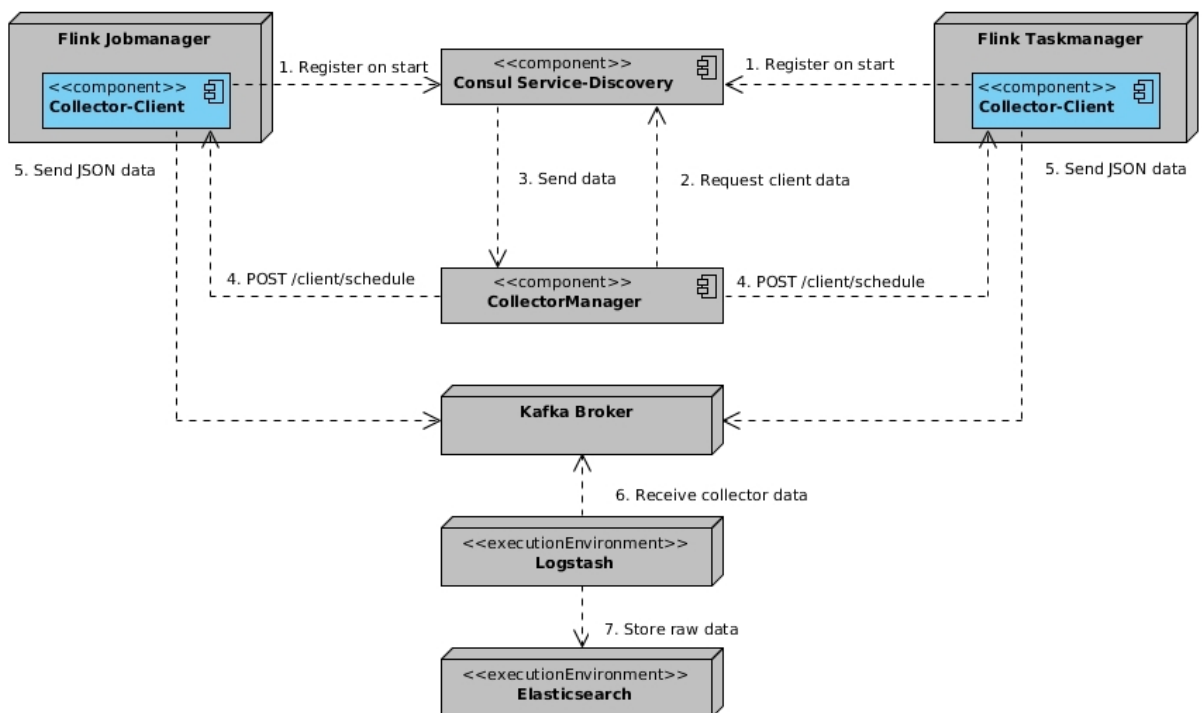


Figure A.11: Component diagram



### A.1.5 Deployment diagram

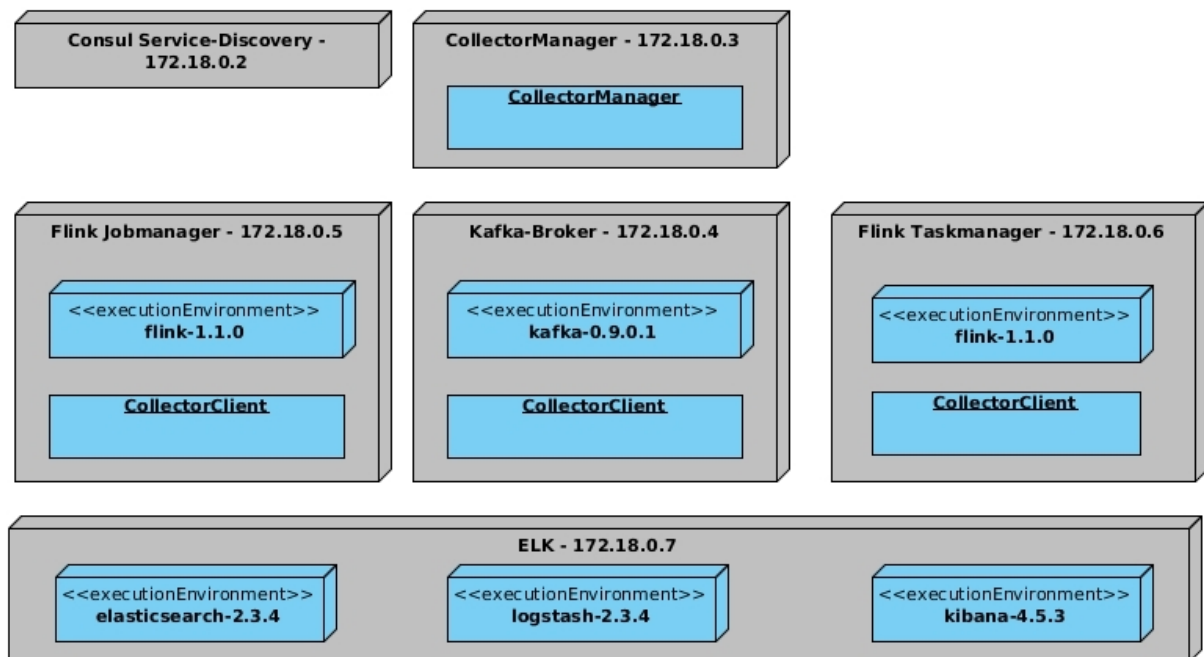


Figure A.12: Deployment diagram

## A.2 Tabelle

## A.3 Screenshot

## A.4 Graph

# Eigenständigkeitserklärung

Hiermit versichere ich, dass ich die vorliegende Masterarbeit selbstständig und nur unter Verwendung der angegebenen Quellen und Hilfsmittel verfasst habe. Die Arbeit wurde bisher in gleicher oder ähnlicher Form keiner anderen Prüfungsbehörde vorgelegt.

Stadt, den xx.xx.xxxx

Max Mustermann