# Hw 3 Report

Hw 3 - Decision Tree

AU7008 Data Mining, SJTU, 2023 Spring

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# **Problem Description**

For the following data,

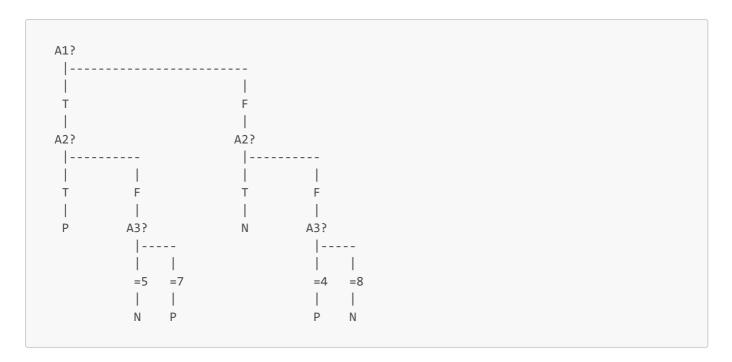
ID	$A_1$	$A_2$	$A_3$	Class	
1	Т	Т	1	Р	
2	Т	Т	6	Р	
3	Т	F	5	N	
4	F	F	4	Р	
5	F	Т	7	N	
6	F	Т	3	N	
7	F	F	8	N	
8	Т	F	7	Р	
9	F	Т	5	Ν	

- 1. Train a binary decision tree;
- 2. using the **Gini index** metric to judge, which of  $A_1$  and  $A_2$  is the best split;
- 3. calculate the **entropy** metric to determine the best split of the continuous attribute  $A_3$ ;
- 4. using the **entropy** metric to judge, which of  $A_1$ ,  $A_2$  and  $A_3$  is the best split.

# Solution

# **Question-1**

By applying Hunt's Algorithm, we have the following binary decision tree,



#### **Question-2**

There are 4 occurrence as P and another 5 as N, and thus yielding a root Gini index of  $1 - (4/9)^2 - (5/9)^2 = 40/81.$ 

Occurrence for  ${\cal A}_1$  and  ${\cal A}_2$  after splitting are,

yielding,

• 
$$I(N_1) = 1 - (3/4)^2 - (1/4)^2 = 3/8$$

• 
$$I(N_2) = 1 - (1/5)^2 - (4/5)^2 = 8/25$$

• 
$$I(N_3) = 1 - (2/5)^2 - (3/5)^2 = 12/25$$

• 
$$I(N_4) = 1 - (2/4)^2 - (2/4)^2 = 1/2$$

further yielding,

$$\begin{array}{lll} \bullet & \Delta_{A_1} = 40/81 - \frac{4}{9}I(N_1) - \frac{5}{9}I(N_2) = 40/81 - \frac{4}{9} \times 3/8 - \frac{5}{9} \times 8/25 = 121/810 \approx 0.149 \\ \bullet & \Delta_{A_2} = 40/81 - \frac{5}{9}I(N_3) - \frac{4}{9}I(N_4) = 40/81 - \frac{5}{9} \times 12/25 - \frac{4}{9} \times 1/2 \approx 4.94 \times 10^{-3} \end{array}$$

$$ullet \ \Delta_{A_2} = 40/81 - rac{5}{9}I(N_3) - rac{4}{9}I(N_4) = 40/81 - rac{5}{9} imes 12/25 - rac{4}{9} imes 1/2 pprox 4.94 imes 10^{-3}$$

Since  $\Delta_{A_1} > \Delta_{A_2}$ ,  $A_1$  is the best split.

# **Question-3**

For  $A_3$ .

	$\leq 0$	> 0	$\leq 1$	> 1	$\leq 3$	> 3	$\leq 4$	>4	$\leq 5$	> 5	$\leq 6$	> 6	$\leq 7$	> 7	$\leq 8$	> 8
Р	0	4	1	3	1	3	2	2	2	2	3	1	4	0	4	0
N	0	5	0	5	1	4	1	4	3	2	3	2	4	1	5	0

yielding entropy values for splitting by each partition value,

• 0: 
$$E_0 = \frac{9}{9} \times [-\frac{4}{9} \log \frac{4}{9} - \frac{5}{9} \log \frac{5}{9}] \approx 0.298$$

• 1: 
$$E_1 = \frac{1}{9} \times [-\frac{1}{1}\log\frac{1}{1}] + \frac{8}{9} \times [-\frac{3}{8}\log\frac{3}{8} - \frac{5}{8}\log\frac{5}{8}] \approx 0.255$$

• 3: 
$$E_3 = \frac{3}{2} \times \left[ -\frac{1}{2} \log \frac{1}{2} - \frac{1}{2} \log \frac{1}{2} \right] + \frac{7}{9} \times \left[ -\frac{3}{7} \log \frac{3}{7} - \frac{4}{7} \log \frac{4}{7} \right] \approx 0.298$$

• 0: 
$$E_0 = \frac{9}{9} \times \left[ -\frac{4}{9} \log \frac{4}{9} - \frac{5}{9} \log \frac{3}{9} \right] \approx 0.298$$
  
• 1:  $E_1 = \frac{1}{9} \times \left[ -\frac{1}{1} \log \frac{1}{1} \right] + \frac{8}{9} \times \left[ -\frac{3}{8} \log \frac{3}{8} - \frac{5}{8} \log \frac{5}{8} \right] \approx 0.255$   
• 3:  $E_3 = \frac{2}{9} \times \left[ -\frac{1}{2} \log \frac{1}{2} - \frac{1}{2} \log \frac{1}{2} \right] + \frac{7}{9} \times \left[ -\frac{3}{7} \log \frac{3}{7} - \frac{4}{7} \log \frac{4}{7} \right] \approx 0.298$   
• 4:  $E_4 = \frac{3}{9} \times \left[ -\frac{2}{3} \log \frac{2}{3} - \frac{1}{3} \log \frac{1}{3} \right] + \frac{6}{9} \times \left[ -\frac{2}{6} \log \frac{2}{6} - \frac{4}{6} \log \frac{4}{6} \right] \approx 0.276$   
• 5:  $E_5 = \frac{5}{9} \times \left[ -\frac{2}{5} \log \frac{2}{5} - \frac{3}{5} \log \frac{3}{5} \right] + \frac{4}{9} \times \left[ -\frac{2}{4} \log \frac{2}{4} - \frac{2}{4} \log \frac{2}{4} \right] \approx 0.296$   
• 6:  $E_6 = \frac{6}{9} \times \left[ -\frac{3}{6} \log \frac{3}{6} - \frac{3}{6} \log \frac{3}{6} \right] + \frac{3}{9} \times \left[ -\frac{1}{3} \log \frac{1}{3} - \frac{2}{3} \log \frac{2}{3} \right] \approx 0.293$   
• 7:  $E_7 = \frac{8}{9} \times \left[ -\frac{4}{9} \log \frac{4}{8} - \frac{4}{8} \log \frac{4}{8} \right] + \frac{1}{9} \times \left[ -\frac{1}{1} \log \frac{1}{1} \right] \approx 0.268$   
• 8:  $E_8 = \frac{9}{9} \times \left[ -\frac{4}{9} \log \frac{4}{9} - \frac{5}{9} \log \frac{5}{9} \right] \approx 0.298$ 

• 5: 
$$E_5 = \frac{5}{9} \times \left[ -\frac{2}{5} \log \frac{2}{5} - \frac{3}{5} \log \frac{3}{5} \right] + \frac{4}{9} \times \left[ -\frac{2}{4} \log \frac{2}{4} - \frac{2}{4} \log \frac{2}{4} \right] \approx 0.296$$

• 6: 
$$E_6 = \frac{6}{9} \times \left[ -\frac{3}{6} \log \frac{3}{6} - \frac{3}{6} \log \frac{3}{6} \right] + \frac{3}{9} \times \left[ -\frac{1}{3} \log \frac{1}{3} - \frac{2}{3} \log \frac{2}{3} \right] \approx 0.295$$

• 7: 
$$E_7 = \frac{8}{9} \times \left[ -\frac{4}{8} \log \frac{4}{8} - \frac{4}{8} \log \frac{4}{8} \right] + \frac{1}{9} \times \left[ -\frac{1}{1} \log \frac{1}{1} \right] \approx 0.268$$

• 8: 
$$E_8 = \frac{9}{9} \times [-\frac{4}{9} \log \frac{4}{9} - \frac{5}{9} \log \frac{5}{9}] \approx 0.298$$

By choosing the minimum to maximize the split difference, 1 is the best split.

#### **Question-4**

Occurrence for  $A_1$  and  $A_2$  after splitting are,

yielding entropy values,

• 
$$E(N_1) = -\frac{3}{4}\log\frac{3}{4} - \frac{1}{4}\log\frac{1}{4} \approx 0.2442$$

$$\begin{array}{l} \bullet \quad E(N_1) = -\frac{3}{4}\log\frac{3}{4} - \frac{1}{4}\log\frac{1}{4} \approx 0.2442 \\ \bullet \quad E(N_2) = -\frac{1}{5}\log\frac{1}{5} - \frac{4}{5}\log\frac{4}{5} \approx 0.2173 \\ \bullet \quad E(N_3) = -\frac{2}{5}\log\frac{2}{5} - \frac{3}{5}\log\frac{3}{5} \approx 0.2923 \end{array}$$

• 
$$E(N_3) = -\frac{3}{\epsilon} \log \frac{3}{\epsilon} - \frac{3}{\epsilon} \log \frac{3}{\epsilon} \approx 0.2923$$

• 
$$E(N_4) = -\frac{2}{4}\log\frac{2}{4} - \frac{2}{4}\log\frac{2}{4} \approx 0.3010$$

further yielding,

• 
$$E_{A_1} = rac{4}{9}E(N_1) + rac{5}{9}E(N_2) pprox 0.229$$

$$egin{aligned} ullet & E_{A_1} = rac{4}{9}E(N_1) + rac{5}{9}E(N_2) pprox 0.229 \ ullet & E_{A_2} = rac{5}{9}E(N_3) - rac{4}{9}E(N_4) pprox 0.296 \end{aligned}$$

By choosing the minimum of,

$$ullet E_{A_1} = rac{4}{9} E(N_1) + rac{5}{9} E(N_2) pprox 0.229$$

$$egin{array}{ll} ullet & E_{A_1} = rac{4}{9}E(N_1) + rac{5}{9}E(N_2) pprox 0.229 \ ullet & E_{A_2} = rac{5}{9}E(N_3) - rac{4}{9}E(N_4) pprox 0.296 \end{array}$$

• 
$$E_{A_3}=E_1pprox 0.255$$

to maximize the split difference,  $A_1$  is the best split.