



Graphs and Complexity

2) Complexity and its particularities on graph problems

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- ▶ Basics (reminders)
- ▶ Compute the running time of an algorithm:
 - ▶ Easy cases
 - ▶ More difficult cases
- ▶ Graphs are not easy cases
- ▶ P, NP, ...

Basics (reminders)

- ▶ Qualities of an algorithm/corresponding program
 - ▶ Correction : no universal approach to show it
 - ▶ Performance : universal unit mesure
 - ▶ Memory requirements
 - ▶ Time requirements (usually **the most acute** problem)
- ▶ Time requirements **not** measured in seconds/min etc.



Why?

Why?

```
import time
```

```
for i in range(5):
```

```
    n = 1000
```

```
    start = time.time()
```

```
    duplicates1(list(range(n)))
```

```
    timetaken = time.time() - start
```

```
    print("Time taken for n = ", n, ": ", timetaken)
```

```
def duplicates1(L):  
    n = len(L)  
    for i in range(n):  
        for j in range(n):  
            if i != j and L[i] == L[j]:  
                return True  
    return False
```



```
Time taken for n = 1000 : 0.08032798767089844  
Time taken for n = 1000 : 0.07732391357421875  
Time taken for n = 1000 : 0.07471418380737305  
Time taken for n = 1000 : 0.07387709617614746  
Time taken for n = 1000 : 0.07915425300598145
```

Different running
times for the same
instance !



Basics (reminders)

- Complexity of an algorithm, depending on the size n of the input:



- **Running time:** an estimation based on the number of **unit** operations performed by the algorithm

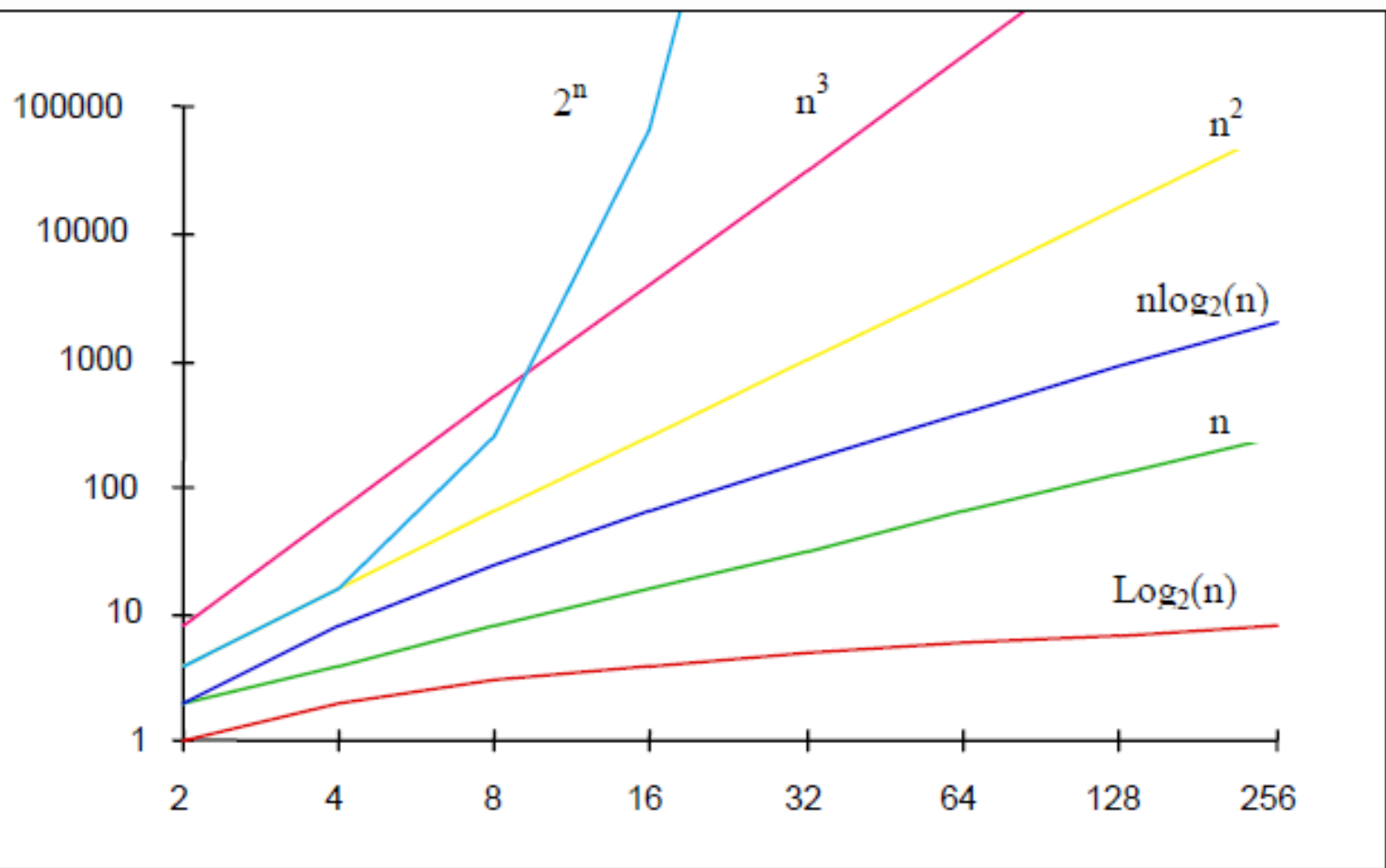
Examples: assignment, simple mathematical operations (+, -, /, *), push, pop, comparison of two values, move a pointer to the next element (list)

- **Memory size:** an estimation of the number of memory cells used by the algorithm

Example: total size of the data structures used by the algorithm

Note: recursive algorithms use hidden data structures (stacks) whose size must be taken into account (usually the computation of the running time helps)

- We focus on the worst case of the running time, asymptotically (i.e. for large values of n)



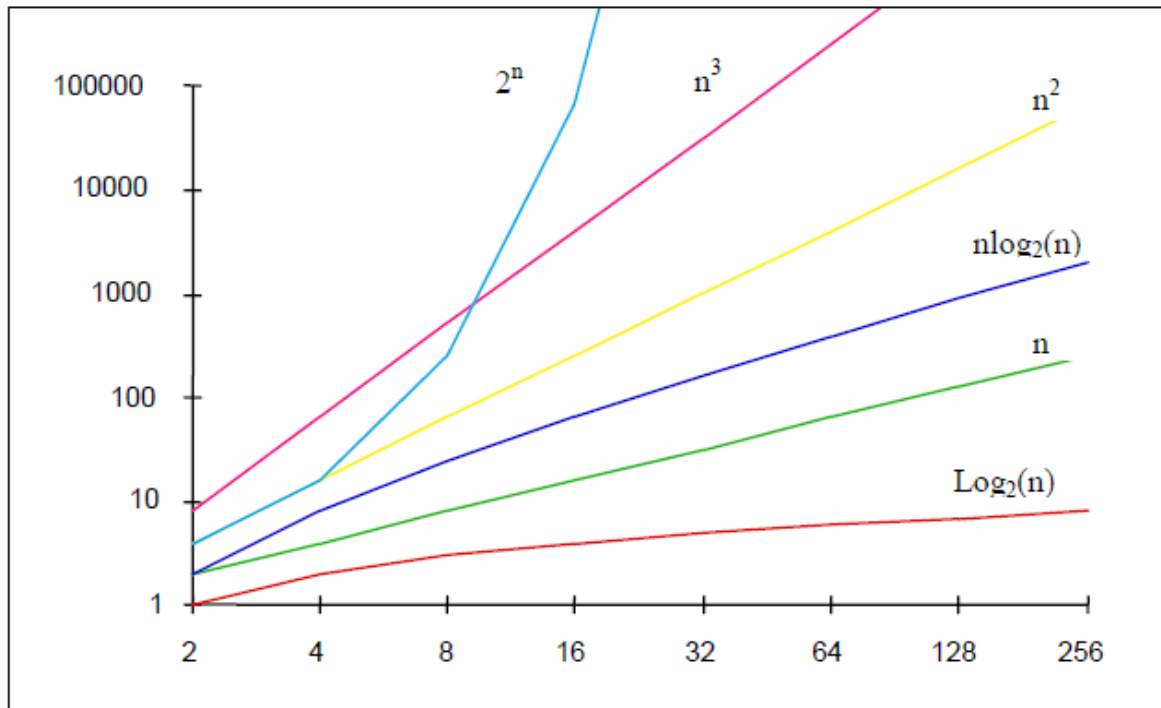
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Usually we write $\log n$ instead of $\log_2 n$



The relative position of the curves representing the functions is not modified for large values of n , even when additive or multiplicative constants are used.

Notations O , Θ



► $c(n) = O(g(n))$

means that $c(n)$ is **upper bounded** by (or **below**) $g(n)$ for large values of n .

► $c(n) = \Theta(g(n))$

means that $c(n)$ is the same function as $g(n)$, up to the multiplicative or additive constants

Running time of the algorithm : $c(n)$ (initially unknown)

Computing the running time requires to evaluate $c(n)$ as precisely as possible, using Θ if possible, or O with a $g(n)$ as low as possible.



$O()$ and $\Theta()$ simplify the computation

- ▶ $O()$ and $\Theta()$ may seem difficult to understand
- ▶ But they allow us
 - ▶ To forget the multiplicative and additive constants
 - ▶ And thus to focus only on the **significant unit operations** (those that appear in the most « costly » loops, in terms of number of operations).

Example. In a sorting algorithm, we may decide that

$c(n)$ = the number of **comparisons** performed by the algorithm

(unless the algorithm performs many other useless operations, meaning it is a bad algorithm).

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Compute the running time

- ▶ No magic solution, working for all algorithms
- ▶ An attempt (if a direct computation fails):
 1. Hope that your algorithm for size n builds upon the same algorithm (yours) but for a (unique) smaller size $n-1$, or $n-2$, ..., or $n/2$, ...
 2. Deduce a relationship between $c(n)$ and $c(n-1)$ (or $c(n-2)$...). May be $c(n)=\dots$ or $c(n) \leq \dots$
 3. Solve this recurrence by replacing $c(n-1)$ with its own formula and so on, up to $c(0)$ or $c(1)$ etc., which are known (and not 0).

Example

$S=1+2+3+4+5$

for $i=2$ to n do

*$S=S*i$*

endfor

$$c(n)=c(n-1)+3,$$

$$\begin{aligned}c(n) &= c(n-1) + 3 \\ &= (c(n-2) + 3) + 3 \\ &= ((c(n-3) + 3) + 3) + 3 \\ &= \dots = c(0) + 3n\end{aligned}$$

With $c(0)=5$,

$$c(n)=3n+5=\Theta(n).$$

Easy cases

$$c(n) = c(n-1)$$

→ $c(n) = \Theta(1)$ constant running time

$$c(n) = c(n-1) + 1$$

→ $c(n) = \Theta(n)$ linear running time

$$c(n) = c(n-1) + n$$

→ $c(n) = \Theta(n^2)$ quadratic running time

(...)

→ other **polynomial running times**

$$c(n) = 2c(n-1)$$

→ $c(n) = \Theta(2^n)$ exponential running time

$$c(n) = 2c(n-1) + n$$

→ $c(n) = \Theta(2^n)$ exponential running time

$$c(n) = nc(n-1)$$

→ $c(n) = \Theta(n!)$ factorial running time

(...)

→ other **exponential running times**



If $=$ is replaced with \leq (left), then Θ is replaced with O (right)

More difficult cases

- $n/2, 3n/4$ etc instead of $n-1$

$$\begin{aligned}c(n) &= c(n/2) + 3 \\&= (c(n/2^2) + 3) + 3 \\&= ((c(n/2^3) + 3) + 3) + 3 \\&\quad \dots \\&= c(n/2^k) + 3 + \dots + 3 \\&\quad \text{(k fois)}\end{aligned}$$

where $n/2^k = 1$, i.e. $k = \log n$.

With $c(1) = 4$ (for instance):

$$\begin{aligned}c(n) &= c(1) + 3 \log n = 3 \log n + 4 \\c(n) &= \Theta(\log n).\end{aligned}$$

- ... and a multiplicative constant

$$\begin{aligned}c(n) &= 5c(n/2) + 7 = \\&= 5(5c(n/2^2) + 7) + 7 \\&= 5(5(5c(n/2^3) + 7) + 7) + 7 \\&\quad \dots \\&= 5^k c(n/2^k) + 7(1 + 5 + \dots + 5^{k-1}) \\&= 5^k c(n/2^k) + 7 * \frac{5^k - 1}{5 - 1}\end{aligned}$$

where $n/2^k = 1$, i.e. $k = \log n$.

With $c(1) = 8$ (for instance):

$$\begin{aligned}c(n) &= 5^{\log n} * 8 + 7 * \frac{5^{\log n} - 1}{4} \\c(n) &= \Theta(5^{\log n}) = \Theta(n^{\log 5}).\end{aligned}$$

Observations

- ▶ The recurrence relationship is not very complex
- ▶ The computations may seem complex, but they are routine ...
- ▶ What can be more difficult than that?
 - ▶ $c(n)$ depends on several values among $c(n)$, $c(n-1)$, ...
 - ▶ It is impossible to find a recurrence relationship :

Usually, one can find one with \leq , but we feel it is not precise enough.

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Graphs are not easy cases

Algorithm InputGraphList1 ($n, m : \text{int}$) : vector L of pointers

Begin // we assume that the directed graph is simple

for i from 1 to n do $L[i] \leftarrow \text{null}$ **endfor**

for k from 1 to m do

 Write ('Give the endpoints of the next arc (source, target)')

 Read(i, j) // The numbers of the two vertices are assigned to i and j respectively

$p \leftarrow L[i]$ // $L[i]$ points to the first node of the list $L[i]$, if such a node exists;
 // otherwise $p = \text{null}$

$q \leftarrow \text{create_node}$ // only the VERY simple instructions which depend on the used
 // language may be assumed already implemented.

$q.\text{val} \leftarrow j$; $q.\text{suiv} \leftarrow p$

$L[i] \leftarrow q$ // j has been added at the beginning of the list $L[i]$

endfor

 return L

End

Analysis 1

- ▶ Size of the input : n (vertices) + m (edges)
- ▶ Significant unit operations : assignment

Used in all this course !



- ▶ The running time will depend on n and/or m .
→ $c(n, m)$ and not $c(n)$!



- ▶ Try a direct computation

$$\begin{aligned} c(n, m) &= n + \sum_{k=1}^m c(\text{body of the } \textbf{for} \text{ loop for } k) \\ &= n + \sum_{k=1}^m \Theta(1) = \Theta(n + m) \end{aligned}$$

- ▶ Easier than expected !



Algorithm InputGraphList2 ($n, m : \text{int}$) : vector L of pointers

Begin // we assume that the directed graph is simple

for i from 1 to n do $L[i] \leftarrow \text{null}$ **endfor**

for k from 1 to m do

Write ('Give the endpoints of the next arc (source, target)')

Read(i, j) // The numbers of the two vertices are assigned to i and j respectively

$p \leftarrow L[i]$ // $L[i]$ points to the first node of the list $L[i]$, if such a node exists;
// otherwise $p = \text{null}$

$q \leftarrow \text{create_node}$ //only the VERY simple instructions which depend on the used
//language may be assumed already implemented.

$q.\text{val} \leftarrow j$; $q.\text{suiv} \leftarrow \text{null}$;

while ($p \neq \text{null}$) and ($p.\text{suiv} \neq \text{null}$) do $p \leftarrow p.\text{suiv}$ **endwhile**

if ($p = \text{null}$) then $L[i] \leftarrow q$

else $p.\text{suiv} \leftarrow q$ // j has been added at the end of the list $L[i]$

endfor


return L

End



Analysis 2

- ▶ Try a direct computation


$$\begin{aligned}c(n, m) &= n + \sum_{k=1}^m (\Theta(1) + c(\text{while loop for } k)) \\&= n + \Theta(m) + \sum_{k=1}^m c(\text{while loop for } k) \\&= ???\end{aligned}$$

- ▶ Solution (we are happy with less)

$$c(\text{while loop for } k) \leq n-1 \quad \text{and} \quad c(\text{while loop for } k) \leq m$$

thus

$$c(\text{while loop for } k) \leq \min(m, n-1)$$

and

$$c(n, m) \leq n + \Theta(m) + \sum_{k=1}^m \min(m, n-1)$$

$$c(n, m) = O(n + m * \min(m, n) + m) = O(n + m * \min(m, n))$$

Analysis 2 (continued)

- ▶ Is this a good evaluation of the running time in the worst case ?


Try an experimental evaluation (with examples).

- ▶ Sometimes one do not see a better approach.
- ▶ Sometimes one can show that the upper bound we found is also a lower bound.
- ▶ $O()$ then becomes $\Theta()$.

For instance, an upper bound of $O(n)$ for an algorithm that searches a given value in a vector of size n : we know that we must look at each cell of the vector, thus performing **at least** n operations $\rightarrow \Theta(n)$.



What if these methods fail ?

- ▶ Change the viewpoint. (Also useful in other situations !)
- ▶ **Focus on the data** (instead of the algorithm) 
- ▶ Evaluate **the number of times each data is used in the unit operations** you chose to count, **globally** (for the entire algorithm)

Example

Assume undirected G is (already) stored using adjacency list L

- ▶ Compute the degrees of all the vertices, in a vector d .

Algorithm ComputeDegreesList (L : adjacency list) : vector d of int

Begin // we assume that the graph is undirected and simple

for i from 1 to n do $d[i] \leftarrow 0$ **endfor**

for i from 1 to n do

$p \leftarrow L[i]$

while ($p \neq \text{null}$) do

$d[i] \leftarrow d[i] + 1$

$p \leftarrow p.\text{suiv}$

endwhile

endfor

return d

End

► Unit operation: assignment of a value to a pointer (note: it appears inside the most costly loop)

► Direct computation fails:

$$c(n, m) = \sum_{i=1}^n (1 + c(\text{while loop for } i))$$

=n **=???**

► Focus on data:

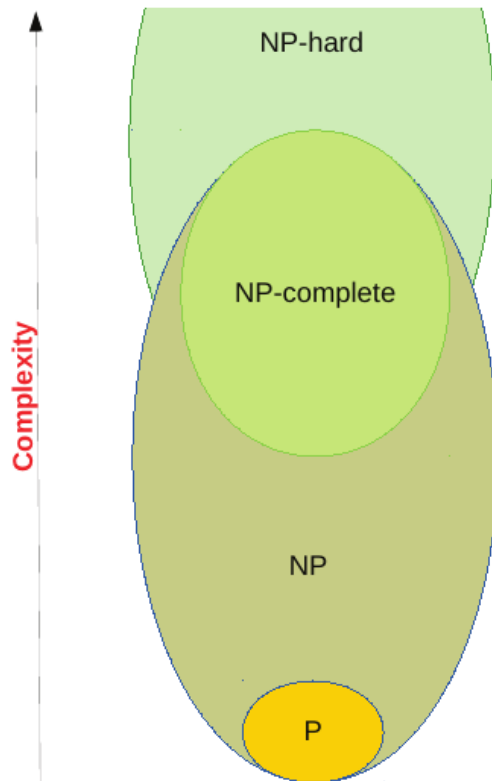
► Each node of L is pointed to exactly once, over all the **while** loops globally

► #nodes in L : $2m$ (each edge appears twice)

► $c(n, m) = \Theta(n + m)$

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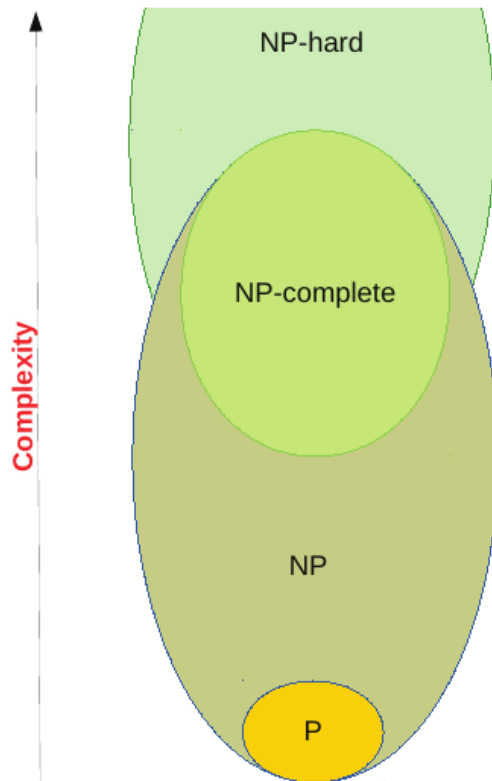
P, NP, ...



Only for decision problems
(answer Yes/No)



P, NP, ...



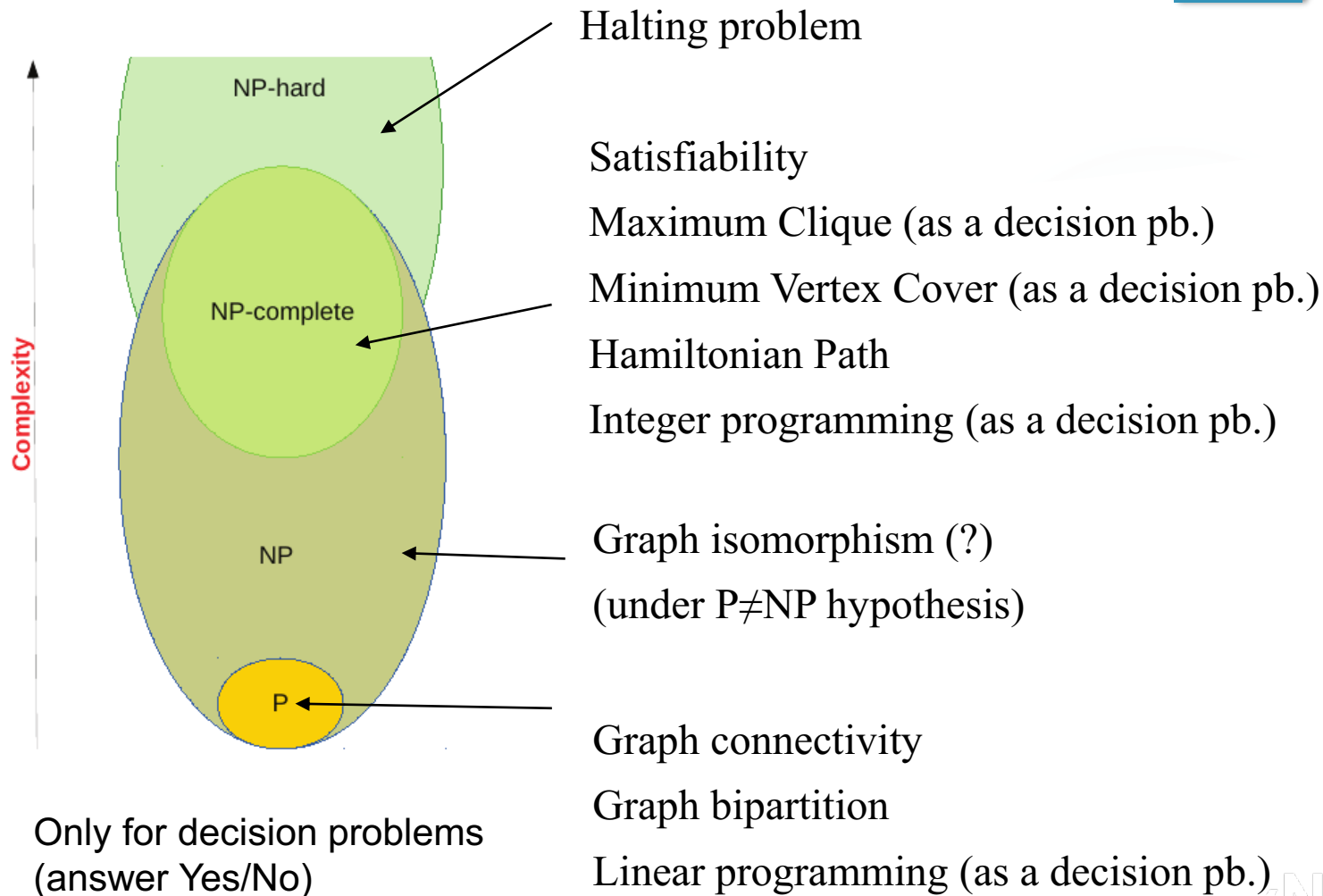
Only for decision problems
(answer Yes/No)

Intuitively (fast=polynomial time algorithm):

- ▶ **NP** (non-deterministic polynomial)
 - ▶ A proposed solution can be tested by a **fast** algorithm.
 - ▶ **P** : polynomial problems
 - ▶ Can be solved **exactly** and **fast**.
 - ▶ **NP-complete** problems
 - ▶ Cannot be solved exactly and fast
(under $P \neq NP$ hypothesis)
 - ▶ **NP-hard** problems
 - ▶ At least as difficult as NP-complete
- $NP\text{-complete} = NP \cap NP\text{-hard}$

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Examples



Conclusions

- ▶ Carefully choose the unit operations to count
- ▶ Try:
 - ▶ Direct computation
 - ▶ Find a recurrence relationship and solve it
 - ▶ Focus on data and count the number of times each data is used in the unit operations
- ▶ Look for identities (" $c(n,m) =$ ") rather than inequations (" $c(n,m) \leq$ ") in order to obtain $\Theta()$ rather than $O()$.

A good solution for a problem =



an efficient algorithm + a good evaluation of its running time.

- ▶ Efficiency depends on the difficulty of the problem.
 - don't try to find a polynomial algorithm for an NP-complete problem !