# cs5460/6460: Operating Systems

Lecture: Synchronization

Anton Burtsev April, 2025

# Starting other CPUs

```
Started from main()

Started from main()

Started from main()

startothers(); // start other processors

startothers(); // first user processors

startothers(); // start other processors

startothers()
```

# Starting other CPUs

- Copy start code in a good location
  - 0x7000
- Pass start parameters on the stack
- Allocate a new stack for each CPU
- Send a magic inter-processor interrupt (IPI) with the entry point (mpenter())

```
1374 startothers(void)
```

1398

1399

#### Start other CPUs 1375 { 1384 code = P2V(0x7000); 1385 memmove(code, binary entryother start, (uint) binary entryother size); 1386 1387 for(c = cpus; c < cpus + ncpu; c++){ if(c == cpus+cpunum()) // We've started already. 1388 1389 continue; stack = kalloc(); 1394 1395 \*(void\*\*)(code-4) = stack + KSTACKSIZE; 1396 \*(void\*\*)(code-8) = mpenter; \*(int\*\*)(code-12) = (void\*) V2P(entrypgdir);1397

lapicstartap(c->apicid, V2P(code));

## Start other CPUs

```
1375 {
1384 code = P2V(0x7000);
1385 memmove(code, binary entryother start,
       (uint) binary entryother size);
1386
1387 for(c = cpus; c < cpus + ncpu; c++){
       if(c == cpus+cpunum()) // We've started already.
1388
1389
        continue;
       stack = kalloc();
1394
1395
       *(void**)(code-4) = stack + KSTACKSIZE;
       *(void**)(code-8) = mpenter;
1396
       *(int**)(code-12) = (void*) V2P(entrypgdir);
1397
1398
1399
       lapicstartap(c->apicid, V2P(code));
```

 Allocate a new kernel stack for each CPU

 What will be running on this stack?

1397

1398

1399

#### 1375 { 1384 code = P2V(0x7000); 1385 memmove(code, binary entryother start, (uint) binary entryother size); 1386 1387 for(c = cpus; c < cpus + ncpu; c++){ if(c == cpus+cpunum()) // We've started already. 1388 1389 continue; stack = kalloc(); 1394 1395 \*(void\*\*)(code-4) = stack + KSTACKSIZE; \*(void\*\*)(code-8) = mpenter; 1396

\*(int\*\*)(code-12) = (void\*) V2P(entrypgdir);

lapicstartap(c->apicid, V2P(code));

## Start other CPUs

 Allocate a new kernel stack for each CPU

- What will be running on this stack?
- Scheduler

## Start other CPUs

```
1375 {
1384 code = P2V(0x7000);
1385 memmove(code, binary entryother start,
       (uint) binary entryother size);
1386
1387 for(c = cpus; c < cpus + ncpu; c++){
      if(c == cpus+cpunum()) // We've started already.
1388
1389
        continue;
       stack = kalloc();
1394
1395
       *(void**)(code-4) = stack + KSTACKSIZE;
1396
       *(void**)(code-8) = mpenter;
       *(int**)(code-12) = (void*) V2P(entrypgdir);
1397
1398
1399
       lapicstartap(c->apicid, V2P(code));
```

What is done here?

#### 1375 { 1384 code = P2V(0x7000); 1385 memmove(code, binary entryother start, (uint) binary entryother size); 1386 1387 for(c = cpus; c < cpus + ncpu; c++){ if(c == cpus+cpunum()) // We've started already. 1388 1389 continue; stack = kalloc(); 1394 1395 \*(void\*\*)(code-4) = stack + KSTACKSIZE; \*(void\*\*)(code-8) = mpenter; 1396 \*(int\*\*)(code-12) = (void\*) V2P(entrypgdir);1397 1398 1399 lapicstartap(c->apicid, V2P(code));

Start other CPUs

- What is done here?
- Kernel stack
- Address of mpenter()
- Physical address of entrypgdir

#### 1375 { 1384 code = P2V(0x7000); 1385 memmove(code, binary entryother start, (uint) binary entryother size); 1386 1387 for(c = cpus; c < cpus + ncpu; c++){ if(c == cpus+cpunum()) // We've started already. 1388 1389 continue; stack = kalloc(); 1394 1395 \*(void\*\*)(code-4) = stack + KSTACKSIZE; \*(void\*\*)(code-8) = mpenter; 1396 \*(int\*\*)(code-12) = (void\*) V2P(entrypgdir);1397 1398 1399 lapicstartap(c->apicid, V2P(code));

Start other CPUs

- Send "magic" interrupt
- Wake up other CPUs

```
1123 .code16
```

1124 .globl start

1125 start:

1126 cli

1127

1128 xorw %ax,%ax

1129 movw %ax,%ds

1130 movw %ax,%es

1131 movw %ax,%ss

1132

- Disable interrupts
- Init segments with 0

```
1133 lgdt gdtdesc
1134 movl %cr0, %eax
1135 orl $CRO PE, %eax
1136 movl %eax, %cr0
1150 ljmpl $(SEG KCODE << 3), $(start 32)
1151
1152 .code32
1153 start32:
1154 movw $(SEG KDATA<<3), %ax
1155 movw %ax, %ds
1156 movw %ax. %es
1157 movw %ax, %ss
1158 movw $0, %ax
1159 movw %ax, %fs
1160 movw %ax, %gs
```

- Load GDT
- Switch to 32bit mode
- Long jump to start32
- Load segments

```
1162 # Turn on page size extension for 4Mbyte pages
1163 movl %cr4, %eax
1164 orl $(CR4 PSE), %eax
1165 movl %eax, %cr4
1166 # Use enterpgdir as our initial page table
1167
     movl (start-12), %eax
1168 movl %eax, %cr3
1169 # Turn on paging.
1170 movl %cr0, %eax
1171 orl $(CRO PE|CRO PG|CRO WP), %eax
1172 movl %eax, %cr0
1173
1174 # Switch to the stack allocated by startothers()
1175 movl (start-4), %esp
1176 # Call mpenter()
```

1177 call \*(start-8)

```
1162 # Turn on page size extension for 4Mbyte pages
1163 movl %cr4, %eax
1164 orl $(CR4 PSE), %eax
1165 movl %eax, %cr4
1166 # Use enterpgdir as our initial page table
1167 movl (start-12), %eax
1168 movl %eax, %cr3
1169 # Turn on paging.
1170 movl %cr0, %eax
1171 orl $(CRO PE|CRO PG|CRO WP), %eax
1172 movl %eax, %cr0
1173
1174 # Switch to the stack allocated by startothers()
1175 movl (start-4), %esp
1176 # Call mpenter()
1177 call *(start-8)
```

```
1162 # Turn on page size extension for 4Mbyte pages
1163 movl %cr4, %eax
1164 orl $(CR4 PSE), %eax
1165 movl %eax, %cr4
1166 # Use enterpgdir as our initial page table
1167
     movl (start-12), %eax
1168 movl %eax, %cr3
1169 # Turn on paging.
1170 movl %cr0, %eax
1171 orl $(CRO PE|CRO PG|CRO WP), %eax
1172 movl %eax, %cr0
1173
1174 # Switch to the stack allocated by startothers()
1175 movl (start-4), %esp
1176 # Call mpenter()
                                                              entryother.S
```

1177 call \*(start-8)

```
1162 # Turn on page size extension for 4Mbyte pages
1163 movl %cr4, %eax
1164 orl $(CR4 PSE), %eax
1165 movl %eax, %cr4
1166 # Use enterpgdir as our initial page table
1167
     movl (start-12), %eax
1168 movl %eax, %cr3
1169 # Turn on paging.
1170 movl %cr0, %eax
1171 orl $(CRO PE|CRO PG|CRO WP), %eax
1172 movl %eax, %cr0
1173
```

# 1174 # Switch to the stack allocated by startothers() 1175 movl (start-4), %esp 1176 # Call mpenter() 1177 call \*(start-8)

```
1251 static void
1252 mpenter(void)
1253 {
1254 switchkvm();
1255 seginit();
1256 lapicinit();
1257 mpmain();
1258 }
```

```
1251 static void
1252 mpenter(void)
1253 {
1254 switchkvm();
1255 seginit();
1256 lapicinit();
1257 mpmain();
1258 }
```

# Init segments

```
seginit(void)
                                                   Init segments
 struct cpu *c;
// Map "logical" addresses to virtual addresses using identity map.
// Cannot share a CODE descriptor for both kernel and user
// because it would have to have DPL USR, but the CPU forbids
// an interrupt from CPL=0 to DPL=3.
c = &cpus[cpuid()];
 c->gdt[SEG_KCODE] = SEG(STA_X|STA_R, 0, 0xffffffff, 0);
 c->gdt[SEG KDATA] = SEG(STA W, 0, 0xffffffff, 0);
 c->gdt[SEG_UCODE] = SEG(STA_X|STA_R, 0, 0xffffffff, DPL_USER);
 c->gdt[SEG_UDATA] = SEG(STA_W, 0, 0xffffffff, DPL_USER);
 lgdt(c->gdt, sizeof(c->gdt));
```

# Per-CPU variables

Variables private to each CPU

### Per-CPU variables

- Variables private to each CPU
- Current running process
- Kernel stack for interrupts
  - Hence, TSS that stores that stack

```
struct cpu cpus[NCPU];
```

```
// Per-CPU state
struct cpu {
 uchar apicid;
                // Local APIC ID
 struct context *scheduler; // swtch() here to enter scheduler
 struct taskstate ts; // Used by x86 to find stack for interrupt
 struct segdesc gdt[NSEGS]; // x86 global descriptor table
 volatile uint started; // Has the CPU started?
 int ncli;
                  // Depth of pushcli nesting.
                    // Were interrupts enabled before pushcli?
 int intena;
 struct proc *proc; // The process running on this cpu or null
};
extern struct cpu cpus[NCPU];
```

```
1250 // Common CPU setup code.

1251 static void

1252 mpmain(void)

1253 {

1254 cprintf("cpu%d: starting %d\n", cpuid(), cpuid());

1255 idtinit(); // load idt register

1256 xchg(&(mycpu()->started), 1); // tell startothers() we're up

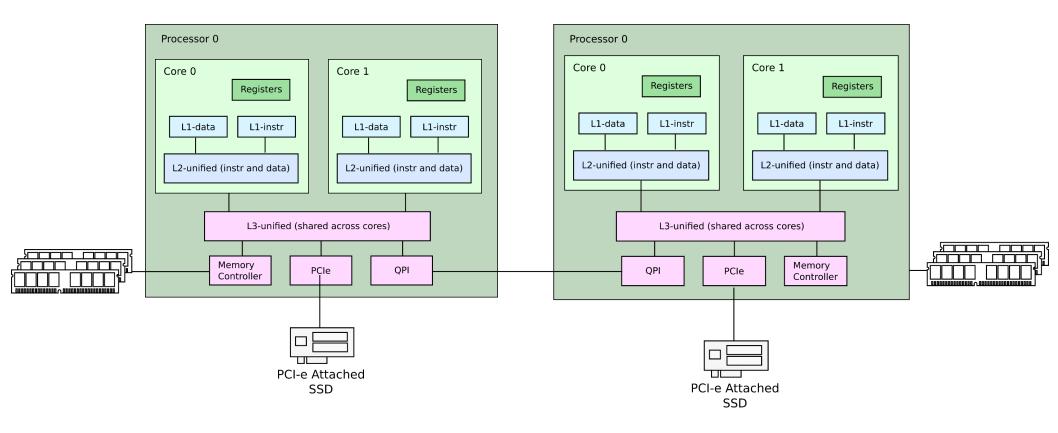
1257 scheduler(); // start running processes

1258 }
```

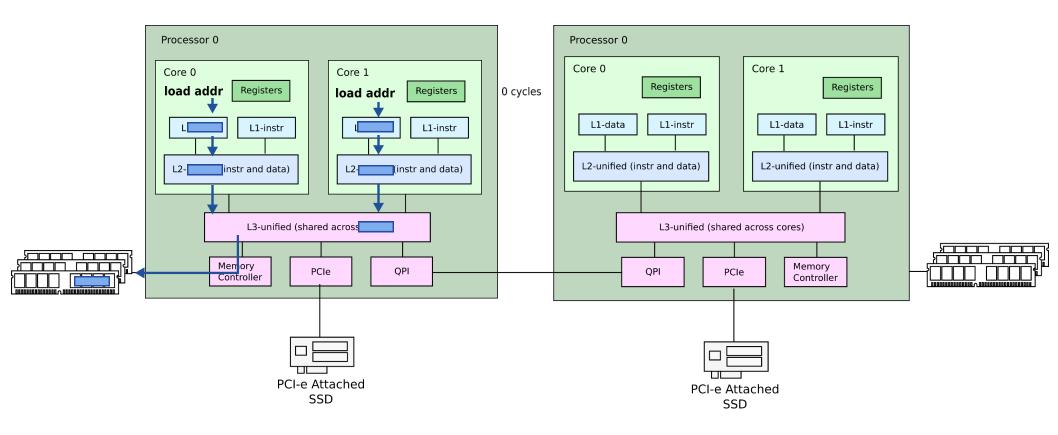
# How do CPUs access memory?

# Intel Memory Hierarchy

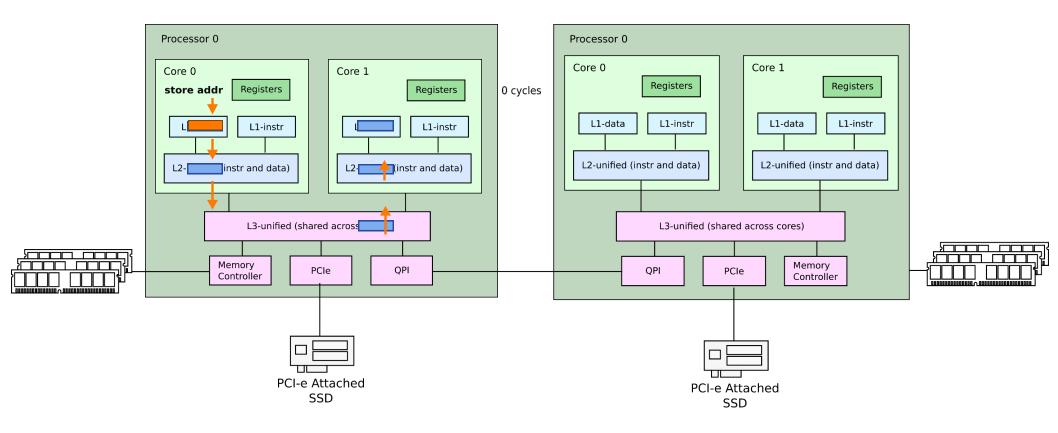
# Processors, cores, memory and PCle



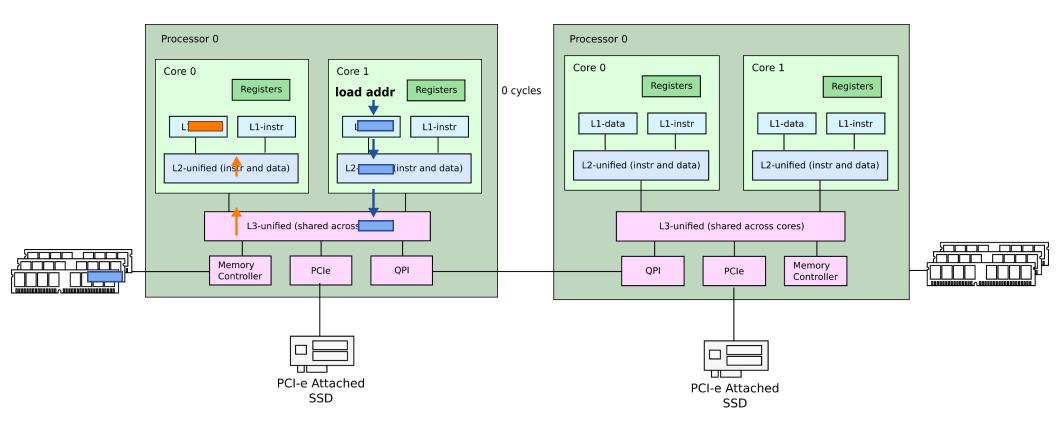
# Caches (load)



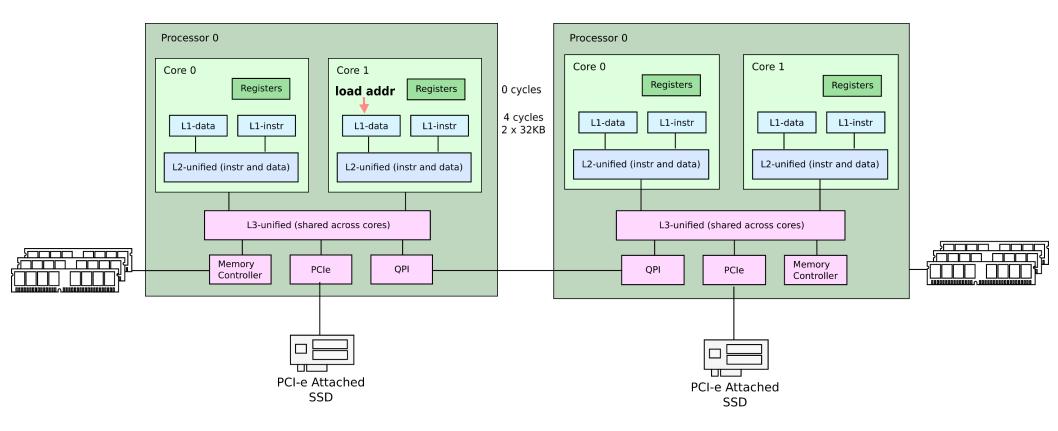
## Cache-coherence (store)



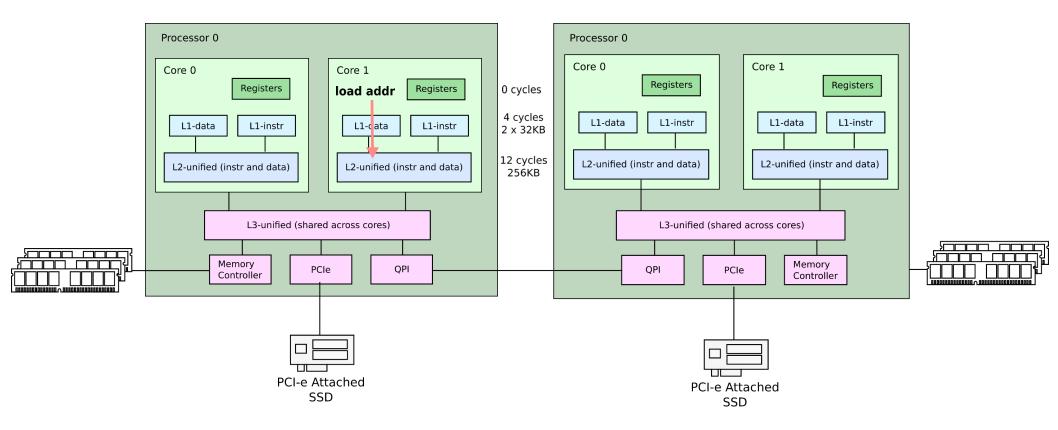
### Cache-coherence (load of modified)



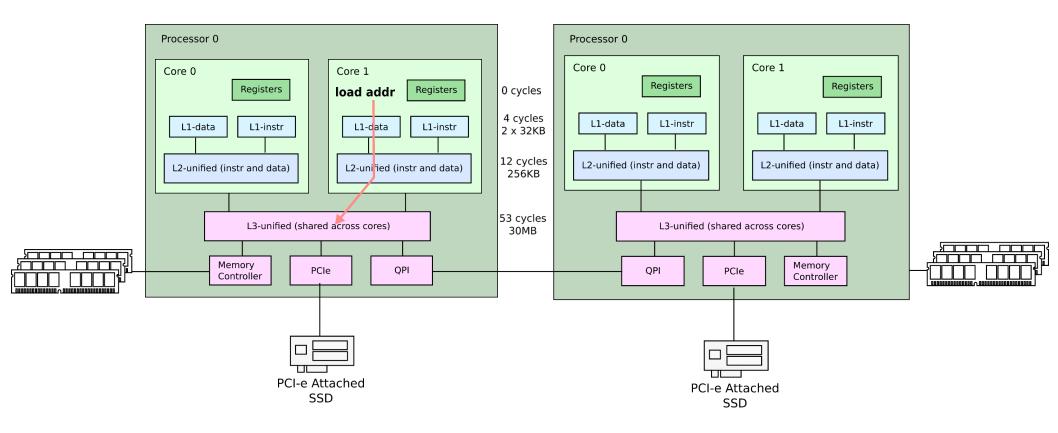
#### Latencies: load from local L1



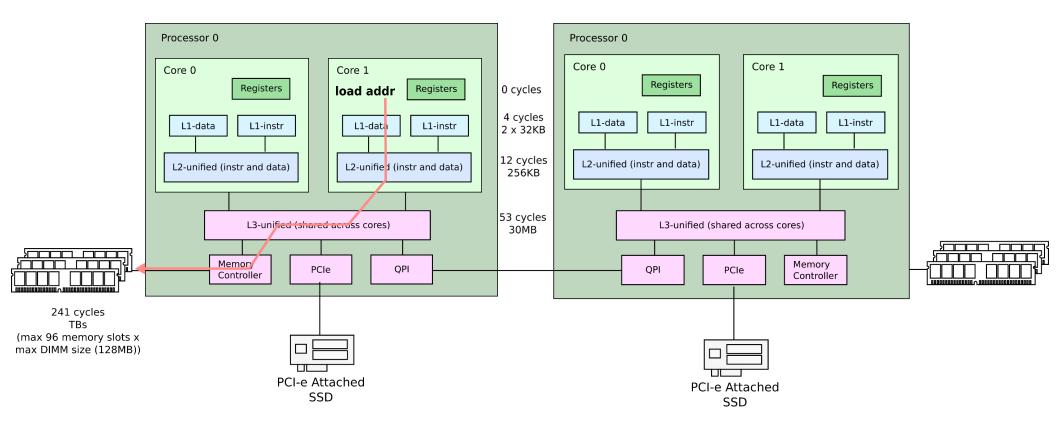
#### Latencies: load from local L2



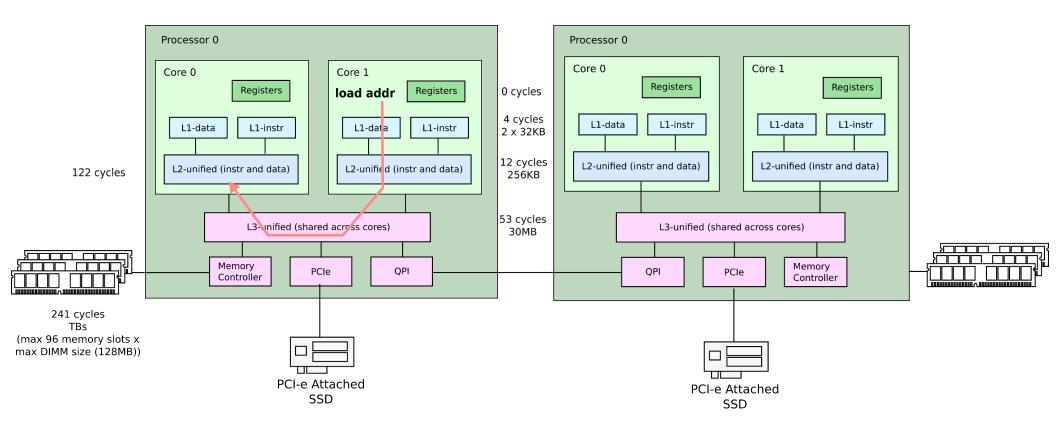
#### Latencies: load from local L3



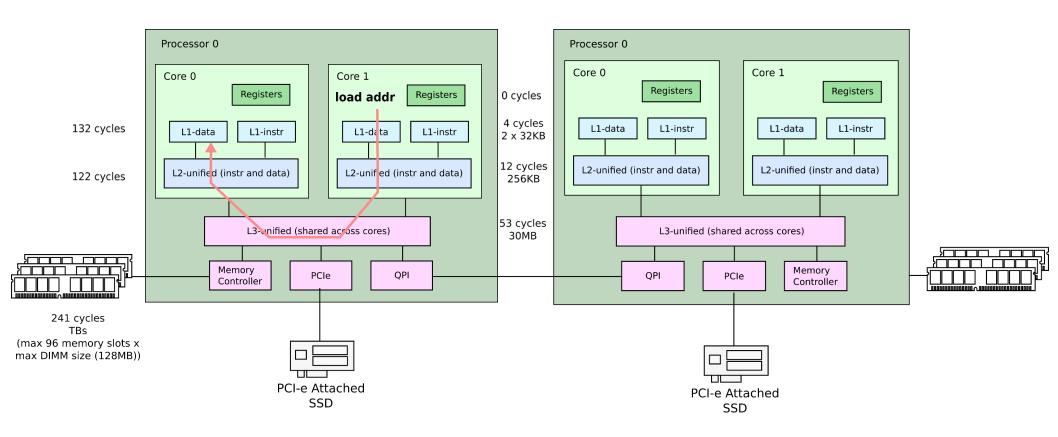
# Latencies: load from local memory



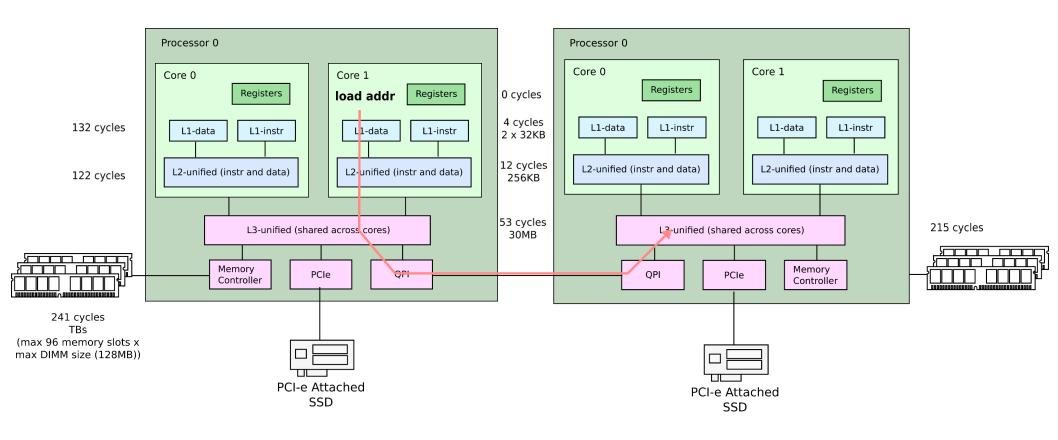
#### Latencies: load from same die core's L2



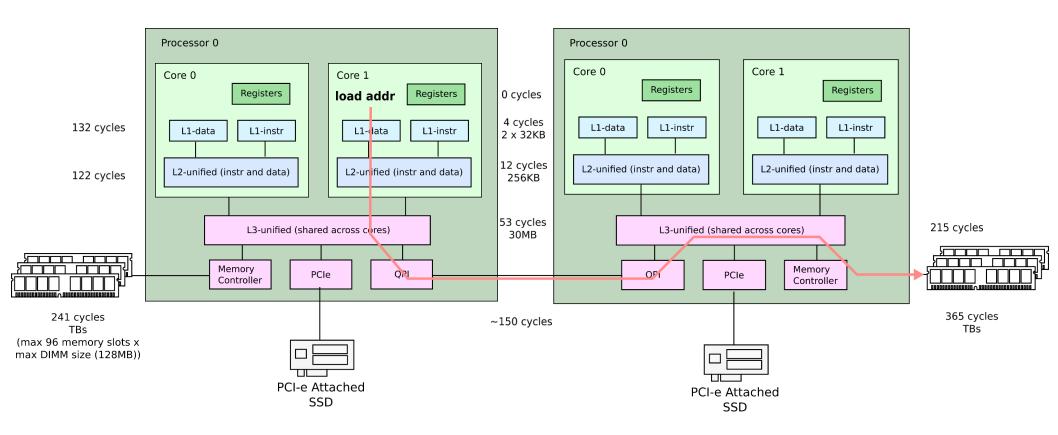
#### Latencies: load from same die core's L1



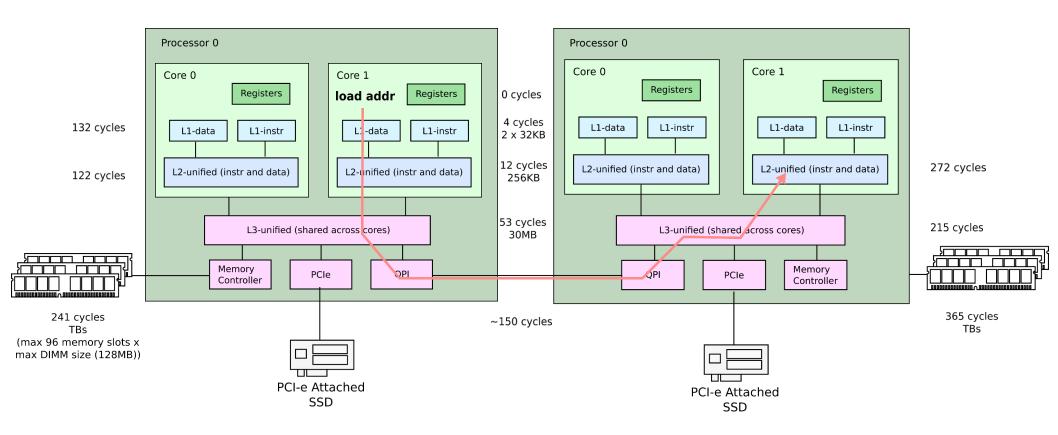
#### Latencies: load from remote L3



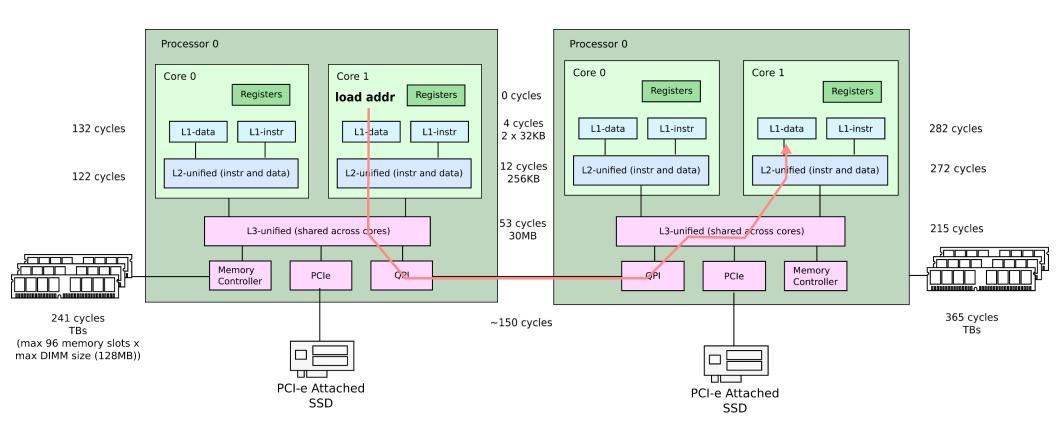
### Latencies: load from remote memory



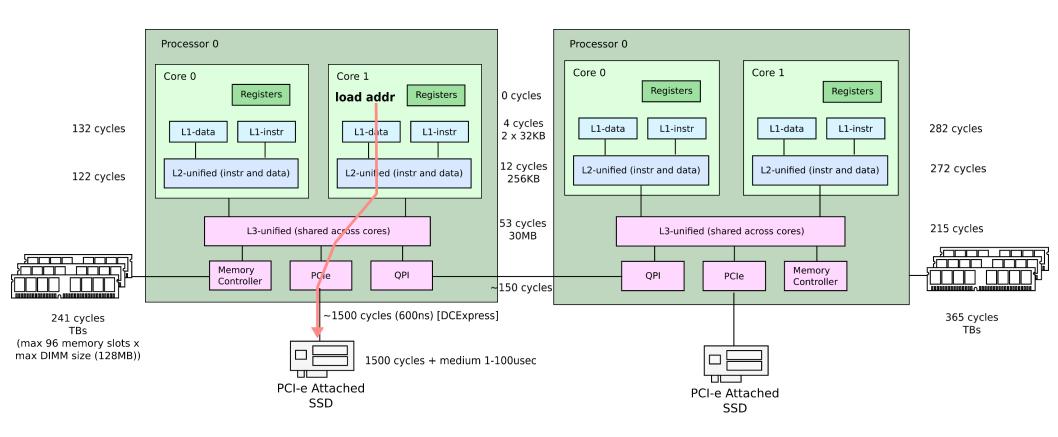
#### Latencies: load from remote L2



#### Latencies: load from remote L2



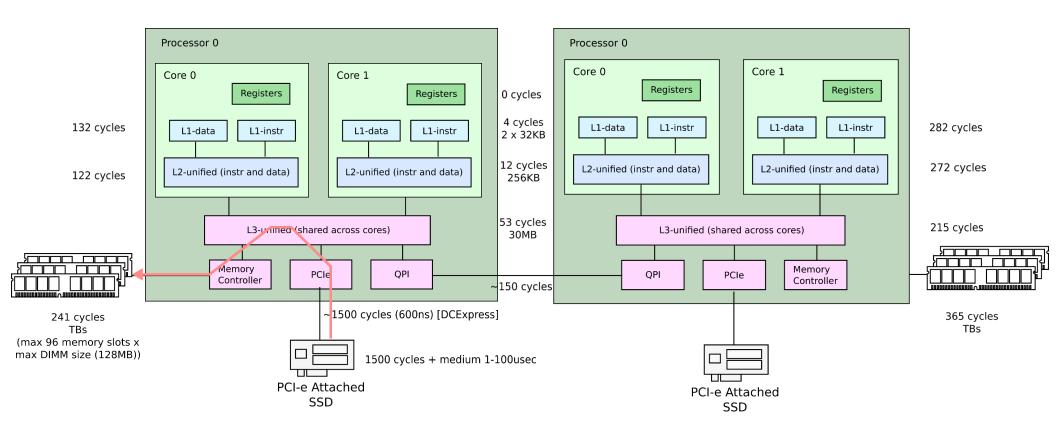
### Latencies: PCle round-trip



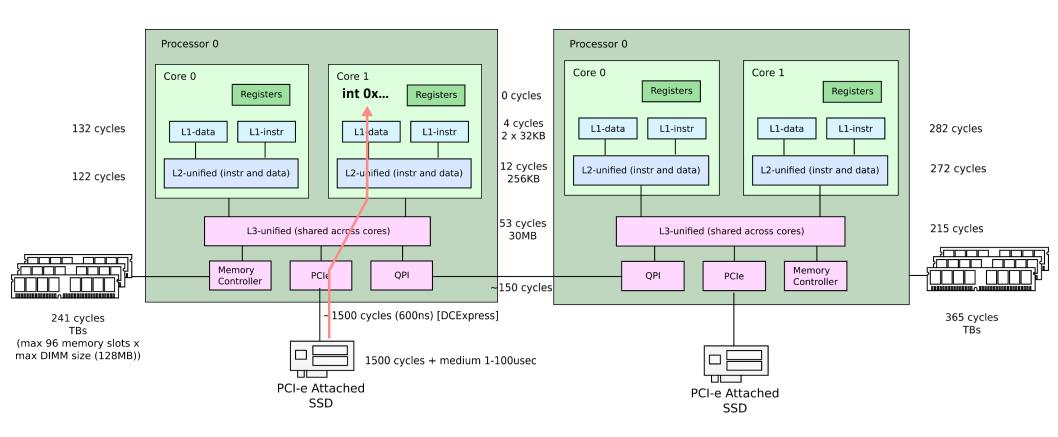
### Device I/O

- Essentially just sending data to and from external devices
- Modern devices communicate over PCIe
  - Well there are other popular buses, e.g., USB, SATA (disks), etc.
  - Conceptually they are similar
- Devices can
  - Read memory
  - Send interrupts to the CPU

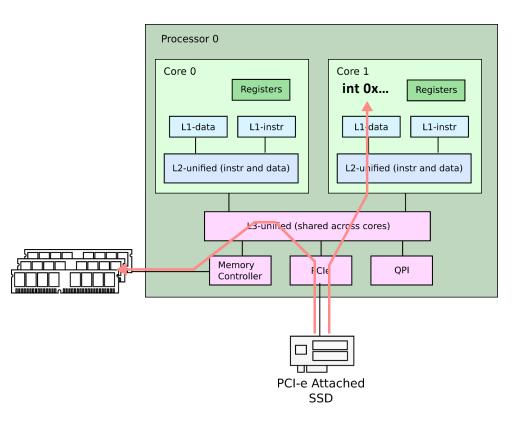
### Direct memory access



### Interrupts

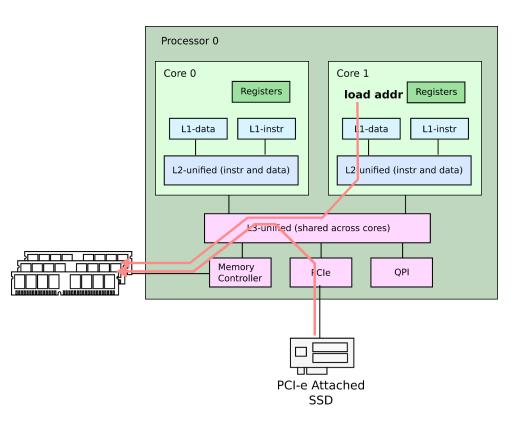


### Device I/O



- Write incoming data in memory, e.g.,
  - Network packets
  - Disk requests, etc.
- Then raise an interrupt to notify the CPU
  - CPU starts executing interrupt handler
  - Then reads incoming packets form memory

### Device I/O (polling mode)



- Alternatively the CPU has to check for incoming data in memory periodically
  - Or poll
- Rationale
  - Interrupts are expensive

#### References

- Cache Coherence Protocol and Memory Performance of the Intel Haswell-EP Architecture.
  - http://ieeexplore.ieee.org/abstract/document/7349629
- Intel SGX Explained <a href="https://eprint.iacr.org/2016/086.pdf">https://eprint.iacr.org/2016/086.pdf</a>
- DC Express: Shortest Latency Protocol for Reading Phase Change Memory over PCI Express

https://www.usenix.org/system/files/conference/fast14/fast14-paper vucinic.pdf

## End of detour: Cache-coherence and memory hierarchy

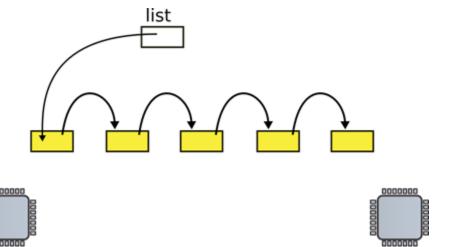
## Synchronization

### Race conditions

- Example:
- Disk driver maintains a list of outstanding requests
- Each process can add requests to the list

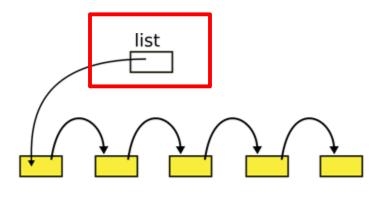
```
1 struct list {
2 int data;
3 struct list *next:
4 };
6 struct list *list = 0;
9 insert(int data)
10 {
11 struct list *I;
12
   l = malloc(size of *I);
14 l->data = data;
16 list = l;
17 }
```

- List
  - One data element
  - Pointer to the next element



```
1 struct list {
2 int data;
3 struct list *next;
4 };
6 struct list *list = 0;
9 insert(int data)
10 {
11 struct list *I;
12
   l = malloc(size of *I);
14 l->data = data;
16 list = l;
17 }
```

Global head

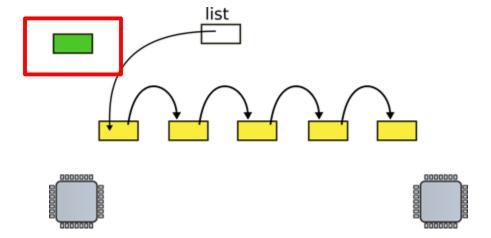






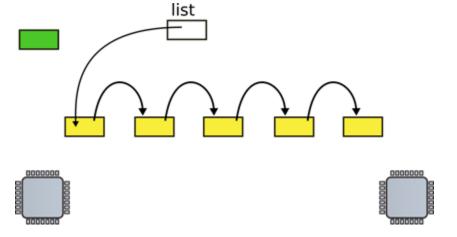
```
1 struct list {
2 int data;
3 struct list *next;
4 };
6 struct list *list = 0;
9 insert(int data)
10 {
11 struct list *I;
12
      = malloc(size of *I);
13
    I->data = data;
   I->next = list;
16 list = l;
17 }
```

- Insertion
  - Allocate new list element



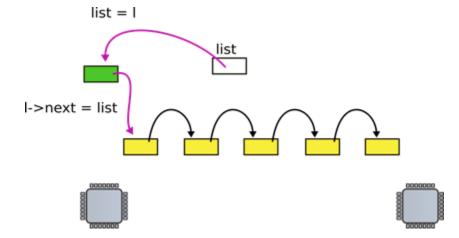
```
1 struct list {
2 int data;
3 struct list *next:
4 };
6 struct list *list = 0;
9 insert(int data)
10 {
11 struct list *I;
12
   l = malloc(size of *I);
14 l->data = data;
     >next = list:
   list = 1;
17 }
```

- Insertion
  - Allocate new list element
  - Save data into that element



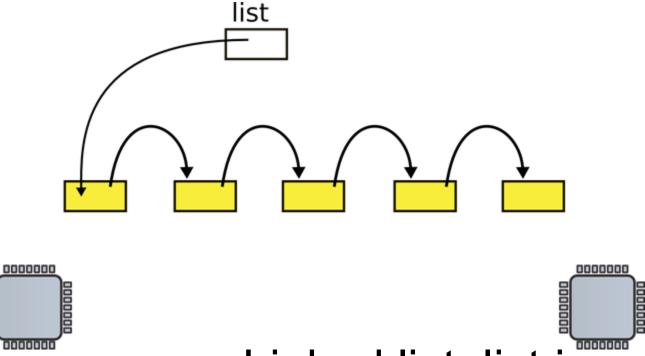
```
1 struct list {
2 int data;
3 struct list *next:
4 };
6 struct list *list = 0;
9 insert(int data)
10 {
11 struct list *I;
12
   l = malloc(sizeof *I);
14 l->data = data;
    I->next = list;
16
   list = l;
17 }
```

- Insertion
  - Allocate new list element
  - Save data into that element
  - Insert into the list



## Now what happens when two CPUs access the same list

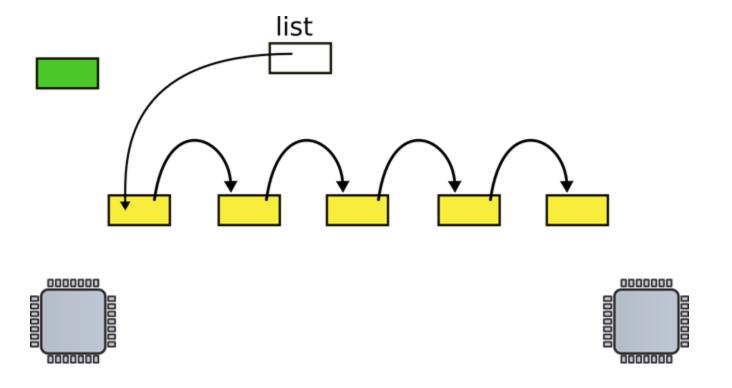
## Request queue (e.g. pending disk requests)



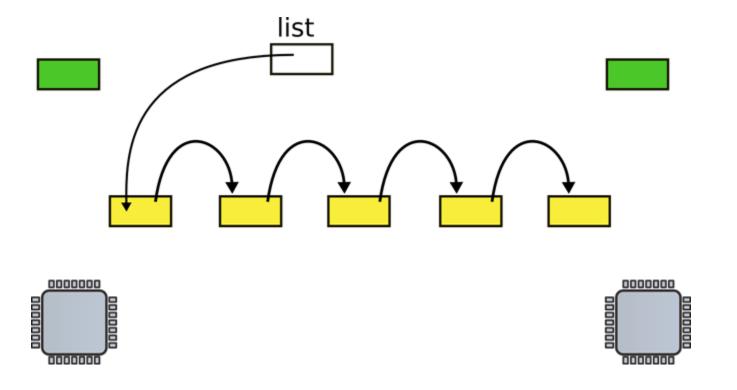
0000000

Linked list, list is pointer to the first element

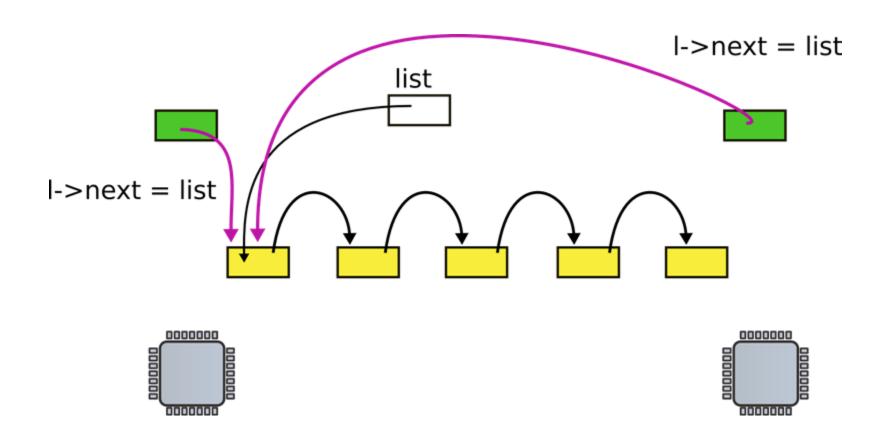
## CPU1 allocates new request



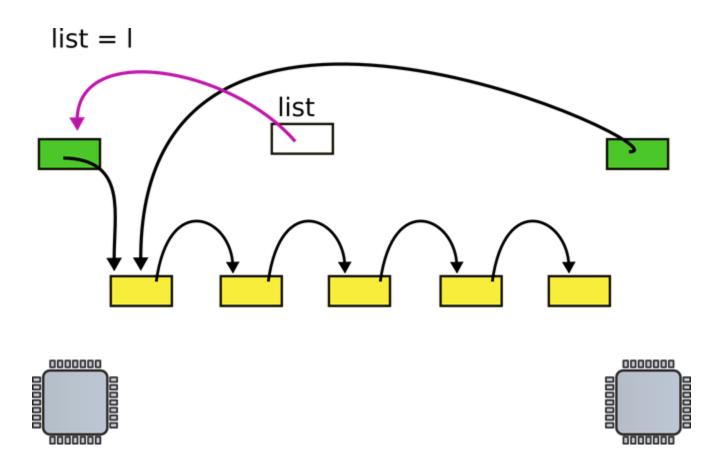
## CPU2 allocates new request



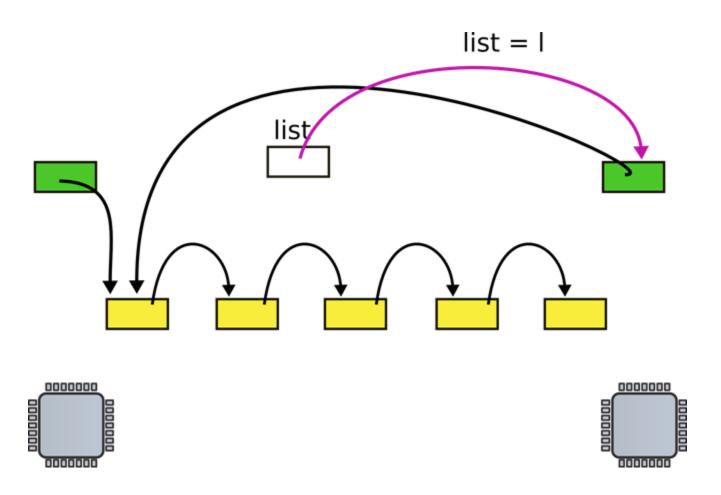
## CPUs 1 and 2 update next pointer



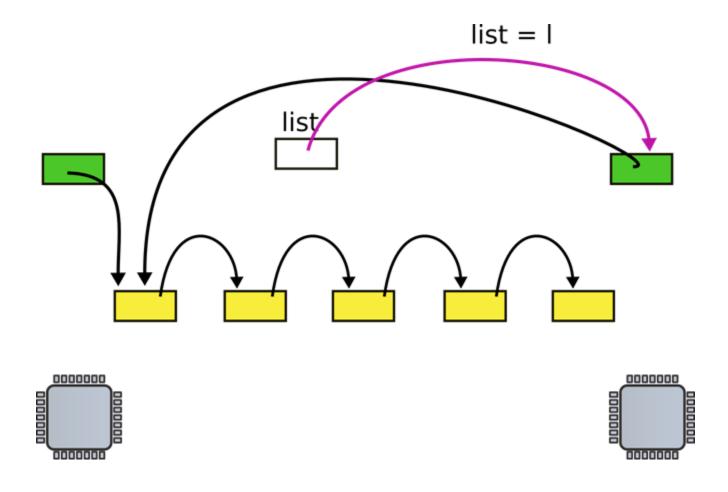
## CPU1 updates head pointer



# CPU2 updates head pointer

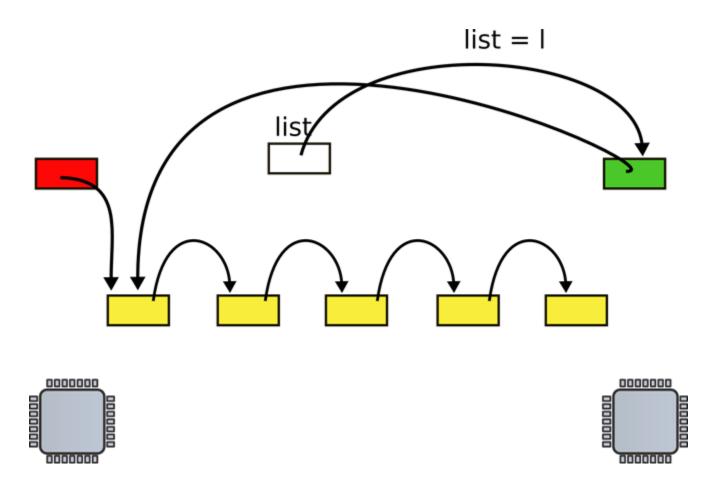


## CPU2 updates head pointer



Is everything ok? Poll: PollEv.com/antonburtsev

## State after the race (red element is lost)



### Mutual exclusion

Only one CPU can update list at a time

```
1 struct list {
2 int data;
3 struct list *next;
4 };
6 struct list *list = 0;
 struct lock listlock;
9 insert(int data)
10 {
11 struct list *I;
acquire(&listlock);
16 list = l;
  release(&listlock);
17 }
```

## List implementation with locks

Critical section

How can we implement acquire()?

### Spinlock

```
21 void
22 acquire(struct spinlock *lk)
23 {
24 for(;;) {
     if(!lk->locked) {
25
      lk->locked = 1;
26
      break;
27
28
29 }
30 }
```

- Spin until lock is 0
- Set it to 1

### Still incorrect

```
21 void
22 acquire(struct spinlock *lk)
23 {
24 for(;;) {
     if(!lk->locked) {
25
      lk->locked = 1;
26
27
      break;
28
29 }
30 }
```

- Two CPUs can reach line #25 at the same time
- See not locked, and
- Acquire the lock
- Lines #25 and #26 need to be atomic

### Compare and swap: xchg

- Swap a word in memory with a new value
- Return old value

## Correct implementation

```
1573 void
1574 acquire(struct spinlock *lk)
1575 {
1580 // The xchg is atomic.
1581 while(xchg(&lk->locked, 1) != 0)
1582
1592 }
```

### xchg instruction

```
0568 static inline uint
0569 xchg(volatile uint *addr, uint newval)
0570 {
0571 uint result;
0572
0573 // The + in "+m" denotes a read-modify-write
     operand.
0574 asm volatile("lock; xchgl %0, %1":
             "+m" (*addr), "=a" (result) :
0575
             "1" (newval) :
0576
             "cc");
0577
0578 return result;
0579 }
```

## Correct implementation

```
1574 acquire(struct spinlock *lk)
1575 {
1580 // The xchg is atomic.
1581 while(xchg(\&lk->locked, 1) != 0)
1582 ;
1584 // Tell the C compiler and the processor to not move loads or stores
1585 // past this point, to ensure that the critical section's memory
1586 // references happen after the lock is acquired.
1587 sync synchronize();
1592 }
```

1573 void

#### **Deadlocks**

#### Deadlocks

```
acquire(A)

acquire(B)

acquire(B) {
    while(xchg(&B->locked, 1) != 0)
}
acquire(A) {
    while(xchg(&A->locked, 1) != 0)
}
```





#### Lock ordering

Locks need to be acquired in the same order

#### Locks and interrupts

```
Network
                       interrupt
                                     network_packet(){
network_packet(){
                                       insert() {
  insert() {
                                        acquire(A)
     acquire(A)
                     0000000
```

#### Locks and interrupts

Never hold a lock with interrupts enabled

```
1573 void
1574 acquire(struct spinlock *lk)
1575 {
      pushcli(); // disable interrupts to avoid deadlock.
1577 if(holding(lk))
      panic("acquire");
1578
1580 // The xchg is atomic.
1581 while(xchg(&lk->locked, 1) != 0)
1582
1587 __sync_synchronize();
. . .
1592 }
```

#### Disabling interrupts

#### Simple disable/enable is not enough

- If two locks are acquired
- Interrupts should be re-enabled only after the second lock is released

Pushcli() uses a counter

```
1655 pushcli(void)
1656 {
1657 int eflags;
1658
1659 eflags = readeflags();
1660 cli();
1661 if(cpu->ncli == 0)
      cpu->intena = eflags & FL_IF;
1662
1663 cpu->ncli += 1;
1664 }
```

## Pushcli()/popcli()

```
1667 popcli(void)
1668 {
1669 if(readeflags()&FL_IF)
       panic("popcli - interruptible");
1670
1671 if(--cpu->ncli < 0)
       panic("popcli");
1672
1673 if(cpu->ncli == 0 && cpu->intena)
       sti();
1674
1675 }
```

#### Pushcli()/popcli()



```
100 struct q {
                                                    112 void*
101 void *ptr;
                                                    113 recv(struct q *q)
102 };
                                                    114 {
103
                                                    115 void *p;
104 void*
                                                    116
105 send(struct q *q, void *p)
                                                    117 while((p = q - ptr) == 0)
106 {
                                                    118 ;
107 while(q->ptr != 0)
108 ;
                                                    119 q - ptr = 0;
109 q - ptr = p;
                                                    120 return p;
110 }
                                                    121 }
```

Sends one pointer between two CPUs

```
100 struct q {
101 void *ptr;
102 };
103
104 void*
105 send(struct q *q, void *p)
106 {
107 while(q->ptr != 0)
108;
109 q - ptr = p;
110 }
```

```
112 void*
113 recv(struct q *q)
114 {
115 void *p;
116
117 while((p = q - ptr) == 0)
118 ;
119 q - ptr = 0;
120 return p;
121 }
```

```
100 struct q {
                                                    112 void*
101 void *ptr;
                                                    113 recv(struct q *q)
102 };
                                                    114 {
103
                                                    115 void *p;
104 void*
                                                    116
105 send(struct q *q, void *p)
                                                    117 while((p = q - ptr) == 0)
106 {
                                                    118 ;
107 while(q->ptr != 0)
108 ;
                                                    119 q - ptr = 0;
109 q - ptr = p;
                                                    120 return p;
110 }
                                                    121 }
```

```
100 struct q {
                                                    112 void*
101 void *ptr;
                                                    113 recv(struct q *q)
102 };
                                                    114 {
103
                                                    115 void *p;
104 void*
                                                    116
105 send(struct q *q, void *p)
                                                    117 while((p = q - ptr) == 0)
106 {
                                                    118 ;
107 while(q->ptr != 0)
108 ;
                                                    119 q - ptr = 0;
109 q - ptr = p;
                                                    120 return p;
110 }
                                                    121 }
```

Poll: <a href="https://pollev.com/antonburtsev">https://pollev.com/antonburtsev</a>

```
100 struct q {
                                                 112 void*
101 void *ptr;
                                                 113 recv(struct q *q)
102 };
                                                 114 {
103
                                                 115 void *p;
104 void*
                                                 116
105 send(struct q *q, void *p)
                                                 117 while((p = q - ptr) == 0)
106 {
                                                 118 ;
107 while(q->ptr != 0)
                                                 119 q - ptr = 0;
108 ;
                                                 120 return p;
109 q - ptr = p;
                                                 121 }
110 }
```

- Works well, but expensive if communication is rare
- Receiver wastes CPU cycles

#### Sleep and wakeup

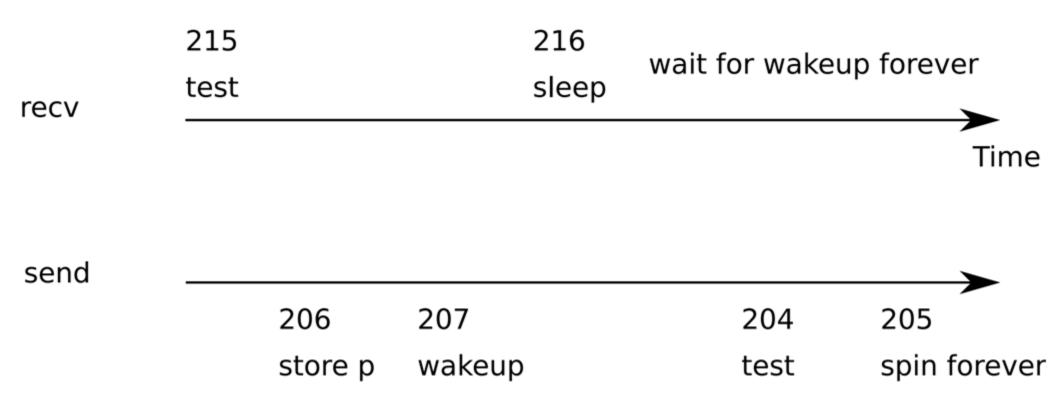
- sleep(channel)
  - Put calling process to sleep
  - Release CPU for other work
- wakeup(channel)
  - Wakes all processes sleeping on a channel if any
  - i.e., causes sleep() calls to return

```
201 void*
                                                   210 void*
202 send(struct q *q, void *p)
                                                   211 recv(struct q *q)
203 {
                                                   212 {
204 while(q->ptr != 0)
                                                   213 void *p;
205 ;
                                                   214
                                                   215 while((p = q - ptr) == 0)
206 q - ptr = p;
207 wakeup(q); /*wake recv*/
                                                   216 sleep(q);
208 }
                                                   217 q - ptr = 0;
                                                   218 return p;
                                                   219 }
```

```
201 void*
                                                   210 void*
202 send(struct q *q, void *p)
                                                   211 recv(struct q *q)
203 {
                                                   212 {
204 while(q->ptr != 0)
                                                   213 void *p;
205 ;
                                                   214
                                                   215 while((p = q > ptr) == 0)
206 q - ptr = p;
207 wakeup(q); /*wake recv*/
                                                   216 sleep(q);
208 }
                                                   217 q - ptr = 0;
                                                   218 return p;
                                                   219 }
```

- recv() gives up the CPU to other processes
- But there is a problem...

#### Lost wakeup problem



```
300 struct q {
301 struct spinlock lock;
302 void *ptr;
303 };
304
305 void*
306 send(struct q *q, void *p)
307 {
308 acquire(&q->lock);
309 while(q->ptr != 0)
310 ;
311 q - ptr = p;
312 wakeup(q);
313 release(&q->lock);
314 }
```

#### Lock the queue

```
316 void*
317 recv(struct q *q)
318 {
319 void *p;
320
321 acquire(&q->lock);
322 while((p = q - ptr) == 0)
323 sleep(q);
324 q - ptr = 0;
325 release(&q->lock);
326 return p;
327 }
```

- Doesn't work either: deadlocks
- Holds a lock while sleeping

```
300 struct q {
301 struct spinlock lock;
302 void *ptr;
303 };
304
305 void*
306 send(struct q *q, void *p)
307 {
308 acquire(&q->lock);
309 while(q->ptr != 0)
310 ;
311 q - ptr = p;
312 wakeup(q);
313 release(&q->lock);
314 }
```

# Pass lock inside sleep()

```
316 void*
317 recv(struct q *q)
318 {
319 void *p;
320
321 acquire(&q->lock);
322 while((p = q - ptr) == 0)
323
      sleep(q, &q->lock);
324 q - ptr = 0;
325 release(&q->lock);
326 return p;
327 }
```

```
2809 sleep(void *chan, struct spinlock *lk)
2810 {
2823 if(lk != &ptable.lock){
2824
       acquire(&ptable.lock);
2825
       release(lk);
2826 }
2827
2828 // Go to sleep.
2829 proc->chan = chan;
2830 proc->state = SLEEPING;
2831 sched();
2836 // Reacquire original lock.
2837 if(lk != &ptable.lock){
       release(&ptable.lock);
2838
2839
       acquire(lk);
2840 }
2841 }
```

#### sleep()

- Two steps:
- Acquire ptable.lock
  - All process operations are protected with ptable.lock

```
2809 sleep(void *chan, struct spinlock *lk)
2810 {
2823 if(lk != &ptable.lock){
2824
       acquire(&ptable.lock);
       release(lk);
2825
2826 }
2827
2828 // Go to sleep.
2829 proc->chan = chan;
2830 proc->state = SLEEPING;
2831 sched();
2836 // Reacquire original lock.
2837 if(lk != &ptable.lock){
       release(&ptable.lock);
2838
2839
       acquire(lk);
2840 }
2841 }
```

#### sleep()

- Two steps:
- Acquire ptable.lock
  - All process operations are protected with ptable.lock
- Release Ik lock
  - Why is it safe?

```
2809 sleep(void *chan, struct spinlock *lk)
2810 {
2823 if(lk != &ptable.lock){
2824
       acquire(&ptable.lock);
       release(lk);
2825
2826 }
2827
2828 // Go to sleep.
2829 proc->chan = chan;
2830 proc->state = SLEEPING;
2831 sched();
2836 // Reacquire original lock.
2837 if(lk != &ptable.lock){
2838
       release(&ptable.lock);
2839
       acquire(lk);
2840 }
2841 }
```

#### sleep()

- Acquire ptable.lock
  - All process operations are protected with ptable.lock
- Release Ik
  - Why is it safe?
- Even if new wakeup starts at this point, it cannot proceed
  - Sleep() holds ptable.lock

```
2853 wakeup1(void *chan)
                                          wakeup()
2854 {
2855 struct proc *p;
2856
     for(p = ptable.proc; p < &ptable.proc[NPROC]; p++)</pre>
      if(p->state == SLEEPING && p->chan == chan)
2858
2859
        p->state = RUNNABLE;
2860 }
2864 wakeup(void *chan)
2865 {
2866 acquire(&ptable.lock);
2867 wakeup1(chan);
2868 release(&ptable.lock);
2869 }
```

## Pipes

```
6459 #define PIPESIZE 512
6460
6461 struct pipe {
6462 struct spinlock lock;
6463 char data[PIPESIZE];
6464 uint nread; // number of bytes read
6465 uint nwrite; // number of bytes written
6466 int readopen; // read fd is still open
6467 int writeopen; // write fd is still open
6468 };
```

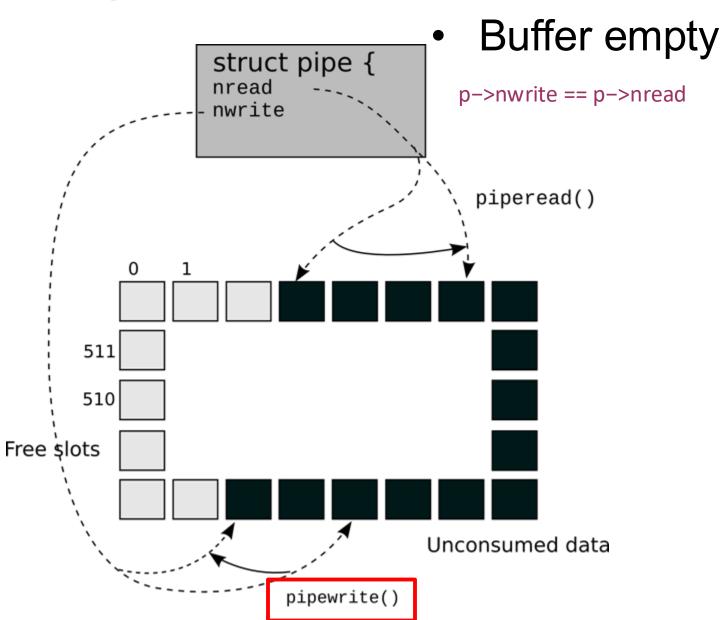
#### Pipe

```
6459 #define PIPESIZE 512
6460
6461 struct pipe {
6462 struct spinlock lock;
6463 char data[PIPESIZE];
6464 uint nread; // number of bytes read
6465 uint nwrite; // number of bytes written
6466 int readopen; // read fd is still open
6467 int writeopen; // write fd is still open
6468 };
```

#### Pipe

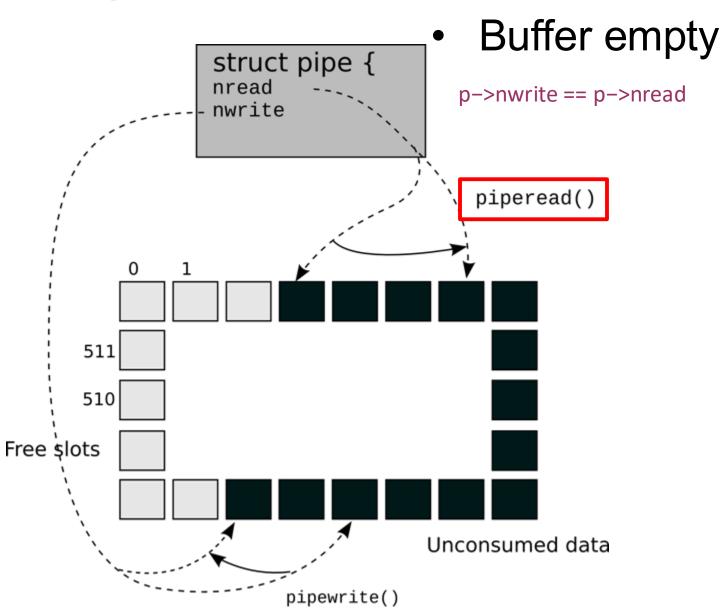
Buffer full

p->nwrite == p->nread + PIPESIZE



Buffer full

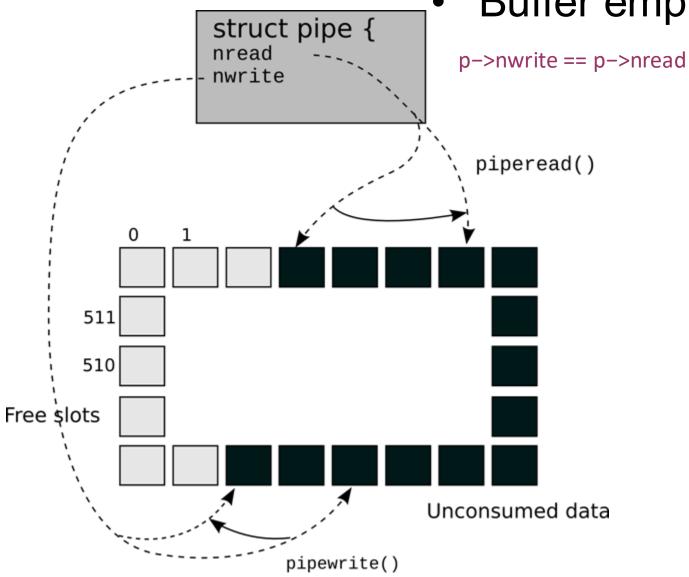
p->nwrite == p->nread + PIPESIZE



Buffer full

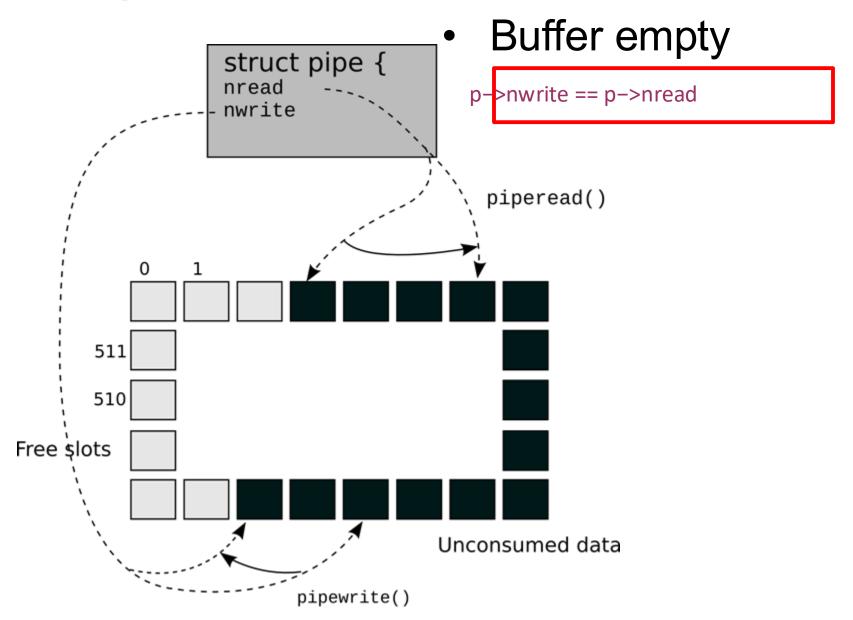
->nwrite == p->nread + PIPESIZE

Buffer empty



Buffer full

p->nwrite == p->nread + PIPESIZE



```
6551 piperead(struct pipe *p, char *addr, int n)
6552 {
6553 int i;
6554
6555 acquire(&p->lock);
6556 while(p->nread == p->nwrite && p->writeopen){
6557
       if(proc->killed){
6558
       release(&p->lock);
6559
        return -1;
6560
6561
       sleep(&p->nread, &p->lock);
6562 }
6563 ...
```

#### piperead()

- Acquire pipe lock
- All pipe
   operations are
   are protected
   with the lock

```
6551 piperead(struct pipe *p, char *addr, int n)
6552 {
6553 int i;
6554
6555 acquire(&p->lock);
6556 while(p->nread == p->nwrite && p->writeopen){
6557
       if(proc->killed){
6558
       release(&p->lock);
6559
        return -1;
6560
6561
       sleep(&p->nread, &p->lock);
6562 }
6563 ...
```

## piperead()

- If the buffer is empty && the write end is still open
- Go to sleep

```
6551 piperead(struct pipe *p, char *addr, int n)
6552 {
6553 int i;
6554
     acquire(&p->lock);
6555
      while(p->nread == p->nwrite && p->writeopen){
6557
       if(proc->killed){
6558
        release(&p->lock);
6559
        return -1;
6560
       sleep(&p->nread, &p->lock);
6562 }
6563 for(i = 0; i < n; i++){
       if(p->nread == p->nwrite)
6564
        break;
6565
       addr[i] = p->data[p->nread++ % PIPESIZE];
6566
6567 }
6568 wakeup(&p->nwrite);
6569 release(&p->lock);
6570 return i;
6571 }
```

#### piperead()

- After reading some data from the buffer
- Wakeup the writer

```
6530 pipewrite(struct pipe *p, char *addr, int n)
6531 {
6532 int i;
6533
6534 acquire(&p->lock);
6535 for(i = 0; i < n; i++)
6536
       while(p->nwrite == p->nread + PIPESIZE){
        if(p->readopen == 0 | | proc->killed){
6537
         release(&p->lock);
6538
6539
         return -1;
6540
        wakeup(&p->nread);
6541
6542
        sleep(&p->nwrite, &p->lock);
6543
       p->data[p->nwrite++ % PIPESIZE] = addr[i];
6545 }
6546 wakeup(&p->nread);
6547 release(&p->lock);
6548 return n;
6549 }
```

#### pipewrite()

- If the buffer is full
- Wakeup reader
- Go to sleep

```
6530 pipewrite(struct pipe *p, char *addr, int n)
6531 {
6532 int i;
6533
6534 acquire(&p->lock);
6535 for(i = 0; i < n; i++)
6536
       while(p->nwrite == p->nread + PIPESIZE){
        if(p-readopen == 0 | | proc->killed){
6537
         release(&p->lock);
6538
6539
         return -1;
6540
        wakeup(&p->nread);
6541
6542
        sleep(&p->nwrite, &p->lock);
6543
       p->data[p->nwrite++ % PIPESIZE] = addr[i];
6545 }
6546 wakeup(&p->nread);
6547 release(&p->lock);
6548 return n;
6549 }
```

#### pipewrite()

- If the buffer is full
  - Wakeup reader
  - Go to sleep
- However, if the read end is closed
  - Return an error
  - (-1)

```
6530 pipewrite(struct pipe *p, char *addr, int n)
6531 {
6532 int i;
6533
6534 acquire(&p->lock);
6535 for(i = 0; i < n; i++)
6536
       while(p->nwrite == p->nread + PIPESIZE){
        if(p->readopen == 0 | | proc->killed){
6537
         release(&p->lock);
6538
6539
         return -1;
6540
        wakeup(&p->nread);
6541
6542
        sleep(&p->nwrite, &p->lock);
6543
       p->data[p->nwrite++ % PIPESIZE] = addr[i];
6544
6545 }
6546 wakeup(&p->nread);
6547 release(&p->lock);
6548 return n;
6549 }
```

#### pipewrite()

- Otherwise keep writing bytes into the pipe
- When done
- Wakeup reader

# Thank you!