

Module 1

Algorithm

An algorithm is a finite set of instructions that, if followed, accomplishes a particular task. In addition, all algorithms must satisfy the following criteria:

- Input: Zero or more quantities are externally supplied.
- Output: At least one quantity is produced.
- Definiteness: Each instruction is clear & unambiguous.
- Finiteness: If we trace out the instructions of an algorithm, then for all cases, the algorithm terminates after a finite no. of steps.
- Effectiveness: Every instruction must be very basic so that it can be carried out in principle, by a person using only pencil & paper.

Space / Time Complexity

- Space Complexity of an algorithm is the amount of memory it needs to run to completion.
 - Time Complexity of an algorithm is the amount of computer time it needs to run to completion.
- Performance Evaluation can be loosely divided into two major phases:

- 1) A priori estimates (Performance analysis)
- 2) A posteriori testing (Performance measurement)

→ Space Complexity

* The space needed by each algorithm is seen to

be the sum of the following components

- 1) A fixed part that is independent of the characteristics of the I/p & O/p. This part typically includes the instruction space (i.e., space for the code), space for sample variables, forced-size component variables, space for constants, & so on.
- 2) A variable part that consists of the space needed by component variables whose size is dependent on the particular problem. Instances being solved, the space needed by referenced variables (to the extent that this depends on instance characteristics) & the recursion stack space.
- 3) The space requirements(s) of any algorithm & may therefore be written as

$$S(p) = C + SP \quad (\text{instance characteristics})$$

where C is a constant.

How to Analyse algorithm?

In theoretical analysis of algorithms, it is common to estimate their complexity in the asymptotic sense, i.e., to estimate the complexity function for arbitrarily large I/p. The term "analysis of algorithms" was coined by Donald Knuth.

- Algorithm analysis provides theoretical estimation for the required resources of an algorithm.
- It is the determination of the amount of time

* Space resources required to execute it.

Performance Evaluation consists of:

- * Priority estimate on performance or asymptotic analysis
- * posteriori testing on performance measurement

→ A priori analysis

- It is an absolute analysis
- independent of language of compiler & types of h/w
- It will give approximate answer.
- Uses asymptotic notations to represent how much time algorithm will take in order to complete its execution.

→ A Posteriori analysis

- * It is a relative analysis
- * dependent on language of compiler & types of h/w
- * give exact answer.
- * don't use asymptotic notations to represent the time complexity.

Time efficiency is analysed by determining the number of repetitions of the basic operation as a function of input size.



It contributes towards the running time of the algorithm.

$$T(n) \approx C_{op} C(n)$$

RUNNING TIME EX.
TIME

NUMBER OF TIMES BASIC OPERATION
IS EXECUTED

where n is the input size.

Best-Case, Average Case, Worst-Case

For some algorithms, efficiency depends on form of input:

- Worst-case
- Best case
- average case

Worst-case

- * $C_{\text{worst}}(n)$
- * maximum over inputs of size n

Best-case

- * $C_{\text{best}}(n)$
- * minimum over inputs of size n

Average-case

- * $C_{\text{avg}}(n)$
- * "average" over inputs
- * Number of times the basic operation will be executed on typical input.
- * NOT the average of worst & best case.
- * Expected no. of basic operations considered as a random variable under some assumption about the probability distributions of all possible I/P.

Mathematical Analysis (Frequency Count Method)

- Time \rightarrow Time Complexity \rightarrow
- as a func. of I/P. size
 - measuring time of algorithm.

SPACE \rightarrow Space Complexity \rightarrow Space used by an algorithm represented as func. of I/P size 'n'

Q)	Algorithm Sum(A, n)	Cost	Frequency	
			C_1	$C_2(n+1)$
	$S = 0$			
	for($i=0$; $i < n$; $i++$)		$C_2(n+1)$	$n+1$
	{			
	$S = S + A[i]$;	C_3		n
	}			
	return S;	C_4		1
	}			

$$\begin{aligned}
 T(n) &= C_1 + C_2(n+1) + C_3(n) + C_4 \\
 &= C_1 + C_2 \cdot n + C_2 + C_3 \cdot n + C_4 \\
 &= C_1 + n(C_2 + C_3) + C_2 + C_4 \\
 &= n(C_2 + C_3) \\
 &= \underline{\underline{O(n)}} \quad (\text{Linear Complexity})
 \end{aligned}$$

$S(n)$ List out the variables

$A \rightarrow n$ (array)

$S \rightarrow 1$

$i \rightarrow 1$

$n \rightarrow 1$

$$\underline{\underline{n+3}} \approx \underline{\underline{O(n)}}$$

Q. Writing algo. for adding $n \times n$ matrices. Find time & space complexity.

- Algorithm Add(A, B, n)	Cost	Frequency	
		$n+1$	$(n+2)$
	C_1		
	C_2		
	$n(n+1)$		

$$c[i,j] = A[i,j] + B[i,j] \quad C_3 \quad n \times n$$

}

$$\begin{aligned}
 T(n) &= C_1(2n+a) + C_2(n+a)n + C_3n^2 \\
 &= C_1 \cdot 2n + C_1a + C_2 \cdot n^2 + C_2 \cdot an + C_3n^2 \\
 &= n(2C_1 + aC_2) + aC_1 + n^2(aC_2 + C_3) \\
 &= n + n^2 \\
 &= n^2 \approx \underline{\underline{O(n^2)}}
 \end{aligned}$$

$S(n)$

$$\begin{aligned}
 A &\longrightarrow n^2 \\
 B &\longrightarrow n^2 \\
 C &\longrightarrow n^2 \\
 i &\longrightarrow 1 \\
 j &\longrightarrow 1 \\
 n &\longrightarrow 1 \Rightarrow 3n^2 + 3 = n^2 \approx \underline{\underline{O(n^2)}}
 \end{aligned}$$

Q. Multiplying two square matrix

Algorithm multiply(A, B, n)

for (i=0; i<n; i++)

Cost

freq.

C_1

$2n+a$

for (j=0; j<n; j++)

C_2

$(an+a)n$

$c[i,j] = 0$

C_3

$n \times n$

for (k=0; k<n; k++)

C_4

$(an+a)n^2$

$c[i,j] = c[i,j] + A[i,j,k] + B[k,j]$

C_5

n^3

}

$$\begin{aligned}
 T(n) &= C_1(2n+a) + C_2(n+a)n + C_3n^2 + C_4(n+a)n^2 + C_5n^3 \\
 &= aC_1n + aC_1 + aC_2n^2 + nC_2 + C_3n^2 + aC_4n^3 + aC_5n^3 \\
 &= n(aC_1 + C_2) + aC_1 + n^2(aC_2 + C_3 + aC_4) + n^3(aC_4 + C_5) \\
 &= n + n^2 + n^3 = n^3 \approx \underline{\underline{O(n^3)}}
 \end{aligned}$$

$s(n)$

$A \rightarrow n^2$
 $B \rightarrow n^2$
 $i \rightarrow 1$
 $j \rightarrow 1$
 $K \rightarrow 1$
 $c \rightarrow n^2$
 $n \rightarrow 1$

$$\begin{aligned}
 & 3n^2 + 4 \\
 & \approx \underline{O(n^2)}
 \end{aligned}$$

Q. 1) $\text{for } (i=0; i < n; i++)$

$\{$
 stmt 1
 $\}$

$$= O(n)$$

a) $\text{for } (i=n; i > 0; \del{i++} i--)$

$\{$
 stmt 2
 $\}$

$$= n+1 = O(n)$$

3) $\text{for } (i=i; i < n; i = i+2)$

$\{$
 stmt 3
 $\}$

$$= n/2 = O(n)$$

4) $\text{for } (i=1; i < n; i = i+20)$

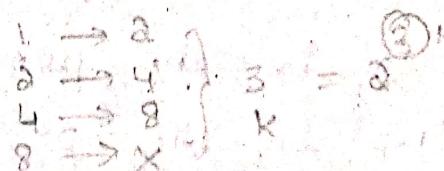
$\{$
 stmt 4
 $\}$

$$= n/20 = O(n)$$

Q. $\text{for } (i=i; i < n; i = i * 2)$

$\{$
 stmt 1
 $\}$

Suppose $n = 8$



$$n = 2^K$$

$$K = \log_2 n$$

$$\approx \underline{O(\log_2 n)}$$

$$n = 10$$

$$n = K/2 = 10$$

$$10 \text{ b/w } 2^3 \text{ & } 2^4 = n = 2^4$$

$$\text{take ceil} \rightarrow K = \log_2 10 = 4$$

$$\log_2 10 = 4$$

$$i = i * 3 \rightarrow O(\log_3 n)$$

$$i = i * 16 \rightarrow O(\log_{16} n)$$

Q. $\text{for } (i=0; i < n; i++)$

$\text{for } (j=0; j < i; j++)$

{ sum =

}

$$= 1 + 2 + 3 + \dots + n$$

$$= \frac{n(n+1)}{2}$$

$$= \frac{n^2 + n}{2} \approx \underline{\underline{O(n^2)}}$$

Q. $P = 0$

$\text{for } (i=1; p < n; i++)$

{ $P = P + i$

}

Worst, Best & Average case analysis of algorithm

Here we assume that element can be at any position & is equally likely.

Let 'p' be the probability that for successful search, '1-p' be the probability that for unsuccessful search.

$p \rightarrow$ Successful Search

$1-p \rightarrow$ Unsuccessful Search

$P_i = p/n$ = successful search for every possible equal

$$P_0 = p/n$$

$$T_{avg}(n) = \sum P_e \cdot x_i$$

$$= \left[\frac{1 \times p}{n} + \frac{2 \times p}{n} + \frac{3 \times p}{n} + \dots + \frac{n \times p}{n} \right]$$

$$= \frac{p}{n} (1 + 2 + 3 + \dots + n)$$

$$= \frac{p}{n} \times \frac{n(n+1)}{2} = \boxed{\frac{p(n+1)}{2}}$$

$$\text{Successful Search } P=1, \frac{1(n+1)}{2} = \frac{n+1}{2} //$$

$$\text{Unsuccessful Search, } T_{avg}(n) = (1-p)n$$

$$P=0 = (1-0)n = n //$$

n	n^2	$\frac{n^2}{2}$
1	1	2
2	4	4
4	16	16
8	64	256
16	256	65536
100	10000	2^{100}

ASYMPTOTIC NOTATIONS

To enable us to make meaningful statements about time & space complexities of an algorithm, asymptotic notations are used.

Execution time of an algorithm depends on the

- 1) Instructions set
- 2) Processor speed
- 3) Disk I/O speed

Hence, we estimate the efficiency of our algorithm asymptotically.

• Asymptotic notations are mathematical tool to represent the time complexity of algorithms for

asymptotic analysis.



To measure the efficiency of algorithms that

- don't depend on machine specific constants
- don't require algorithms to be implemented
- time taken by programs to be compared.

• Important asymptotic notations include

1) Big Oh (O)

2) Omega (Ω)

3) Theta (θ)

* Big Oh Notations

• Let $f(n)$ & $g(n)$ be two functions mapping integers to real numbers.

• A function $f(n) = O(g(n))$ if $f(n) \leq c \cdot g(n)$ for some $n \geq n_0$ & c .

where n_0 & c are constants which is greater than 0.

Eg: $f(n) = 2n + 3$

We know for $O(n)$, $f(n) \leq c \cdot g(n)$

$$2n + 3 \leq c \cdot g(n)$$

$$2n + 3 \leq 5n \Rightarrow n=1 + c=5$$

$$\rightarrow f(n) = 2n^2 + 3n + 5$$

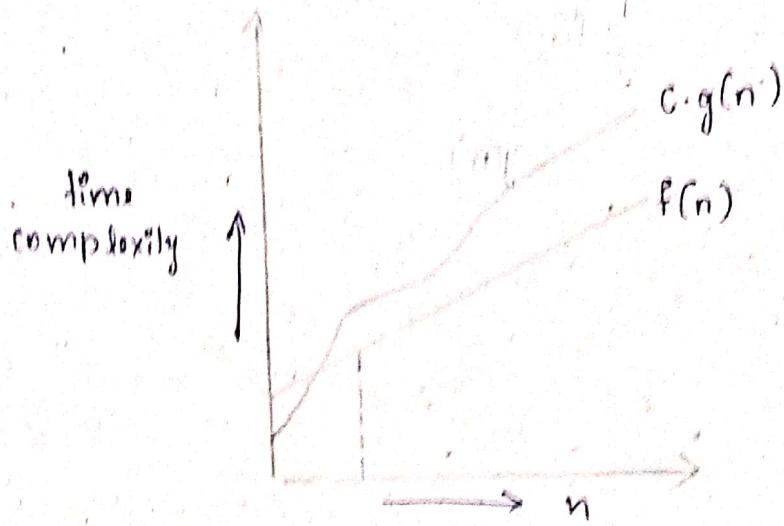
$$2n^2 + 3n + 5 \leq c \cdot g(n)$$

$$2n^2 + 3n + 5 \leq 10n^2$$

$$n=1$$

$$10 \leq 10$$

Then $n_0 = 1$ & $c = 10$.



- Function $g(n)$ is an upper bound, for function $f(n)$, as $g(n)$ grows faster than $f(n)$ for all $n > n_0$.
(max time) if $n=5$
(worst case) time = 5

* Big-O notation

- Th. function $f(n) = \Omega(g(n))$ if $f(n) \geq c \cdot g(n)$, for all $n > n_0$.

- Ω notation represents the lower bound of the running time of the algorithm. Thus, it provides the best case complexity of an algorithm.

- For Ω notation,

$f(n) \geq c \cdot g(n)$ is a function $f(n)$ belongs to the set $\Omega(g(n))$ if there exist a +ve. constant c such that it is above $c \cdot g(n)$ for sufficiently large n .

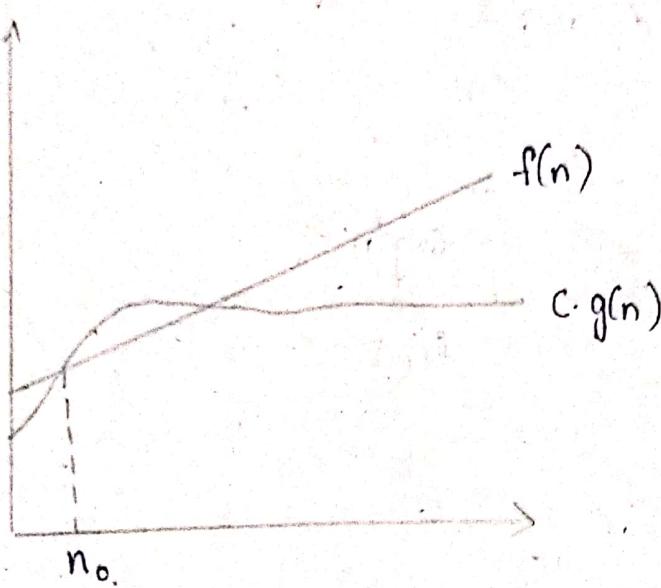
- For any value of n , the minimum time required by the algorithm is given by $\Omega(g(n))$.

Eg: $f(n) = 3n + 2$

$$f(n) \geq c \cdot g(n)$$

$$3n + 2 \geq 3n \text{ for all } n \geq 1, c = 3, g(n) = n, n_0 = 1$$

(best case)

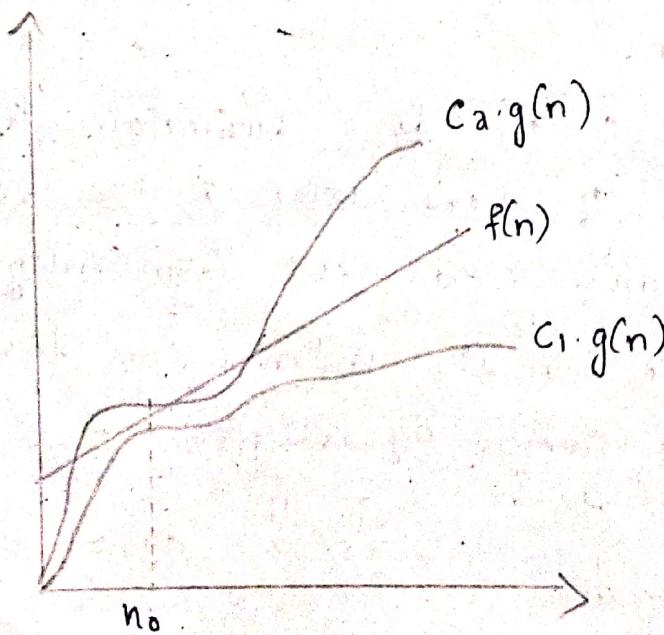


* Big-Theta(Θ) Notation

- Θ notation encloses the func. ~~function~~ above & below.
- Used for analyzing the average case complexity.
- Function $f(n) = \Theta(g(n))$ iff there exist a positive constants c_1, c_2 & n_0 such that

$$c_1 g(n) \leq f(n) \leq c_2 g(n) \quad \text{for all } n \geq n_0$$

- $f(n) = \Theta(g(n))$ states that $g(n)$ is both upper & lower bound on the value of $f(n)$ for all $n \geq n_0$.



Q. Suppose $f(n) = \frac{1}{2}n^2 - 3n$. S.T $f(n) = \Theta(n^2)$

we know, for Θ condition,

$$c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$$

Here $f(n) = \frac{1}{2}n^2 - 3n$ $g(n) = n^2$

$$f(n) \geq c_1 \cdot g(n)$$

$$f(n) \leq c_2 \cdot g(n)$$

$$\frac{1}{2}n^2 - 3n \leq c_2 \cdot n^2$$

Suppose $n=1$, $\frac{1}{2} - 3 \leq c_2$

$$-\frac{5}{2} \leq c_2 \times \cancel{X}$$

$n=2$, $\frac{1}{2} \times 4 - 3 \times 2 \leq c_2 \cdot 4$

$$-2 \leq c_2 \cdot 4$$

$$-1 \leq c_2 \times \cancel{X}$$

$n=7$, $\frac{1}{2} \times 49 - 21 \leq c_2 \cdot 49$

$$\frac{1}{14} \leq c_2 \times \cancel{X}$$

Q. $f(n) = 3n^2 + 4n - 2$. S.T $f(n) = \Theta(n^2)$

$f(n) \leq c_2 \cdot g(n)$ & $f(n) \geq c_1 \cdot g(n)$

$$f(n) \geq c_1 \cdot g(n)$$

$$3n^2 + 4n - 2 \leq c_2 \cdot (n^2)$$

$$5 \leq c_2$$

$n=1$, $3 + 4 - 2 \geq c_1$

Mathematical Analysis of Recursive algorithm.

All recursive algorithms must obey three laws:

- A recursive algo. must have a base case.
- It must change its state & move towards base case.
- It must call itself, recursively.

Stopping condition on base case occurs when:

- > there has been no improvement in the population for x iterations.
- > we reach an absolute no. of generations.
- > objective func. value has reached a certain pre-defined value.

General plan for analyzing time efficiency of recursive algorithm

- 1) decide on a parameter indicating input size
- 2) identify algorithm's basic operation
- 3) check whether no. of times basic operation is executed
- 4) set up a recurrence relation
- 5) solve the recurrence

General algorithm should contain

- * identify the base case
- * write base case in 'IF' condition
- * else part in recursive case.

Eg: $n!$

Algorithm fact(n)

{ $n=0$ }

return 1;

else

return $n \times \text{fact}(n-1)$

Recurrence relation / Recurrence Equation.

- A recurrence is an equation, or inequality, that describes a function in terms of its values on smaller inputs.
- Useful for expressing the running time of recursive algorithms.

$$T(n) = \begin{cases} O(1) & n=1 \\ \alpha T(n/2) + g(n) & n>1 \end{cases}$$

General form:

$$T(n) = 0 \quad \text{if} \quad n = n_0 \quad \xrightarrow{\text{Base Case}}$$

$$T(n) = a T(n) + g(n) \quad \text{for} \quad n > n_0$$

no. of times

↓ time required for doing other operation

Recursive func. is called.

Recurrence eqⁿ for factorial

$$T(n) = 0 \quad \text{if} \quad n = 0$$

$$T(n) = 1 \cdot T(n-1) + b \quad \text{for} \quad n > 0$$

constant

Three different methods for solving recurrences?

> Substitution method

> Iteration method

> Master method.

① Iteration method :

Here, we expand the recurrence by backward substitution & express it as summation of terms. Only our 'n' & initial conditions.

Steps

① Expand the recurrence k times

② Work some algebra to express as a summation

③ Evaluate the summation.

$$\begin{cases} T(n) = c & \text{for } n=0 \\ T(n) = T(n-1) + b & \text{for } n > 0 \end{cases} \quad \begin{array}{l} (1) \\ (2) \end{array}$$

* We use method of backward substitution in this method to solve the problem efficiently.

✓ $T(n) = T(n-1) + b$

✓ $T(n-1) = T(n-1-1) + b = T(n-2) + b$

Sub. the value of $T(n-1)$ in this eqn

$$\begin{aligned} T(n) &= T(n-2) + b + b \\ &= T(n-2) + 2b \end{aligned}$$

✓ $T(n) = T(n-2-1) + b = T(n-3) + b$

$$\begin{aligned} T(n) &= T(n-3) + 2b \\ &= T(n-3) + b + 2b = T(n-3) + 3b \end{aligned}$$

General formula : $T(n) = T(n-k) + kb$

In the above equation, when base condition is reached, put $n-k=0$

$$n = k \Rightarrow k = n$$

Substitute the value of K in ③

$$T(n) = T(n-n) + nb = T(0) = nb \quad \text{--- ④}$$

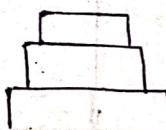
From ①, $T(0) = c$.

Substitute in ④

$T(n) = c + nb$, where c & b are constants

$$T(n) = O(n)$$

Recursive solⁿ for Tower of Hanoi



Source X

Z

y

Hanoi(x, y, z)

Source \downarrow temporary destⁿ
 \downarrow destⁿ

① Move (N-1) disk from Source(x) to temp(z)

② Move Nth disk from Source(x) to destⁿ(z)

③ Move (N-1) disk from temp(z) to destⁿ(y)

Algorithm hanoi(n, x, y, z)

{
 \downarrow no. of disk
 if(n=1)

 print("Move disk 1 from x to y")

 else

 hanoi(n-1, x, z, y)

 print("move disk n from x to y")

 } hanoi(n-1, z, y, x)

Recurrence Eq. :-

$$T(n) = c \text{ for } n=1 \quad \text{--- (1)}$$

$$T(n) = aT(n-1) + b \text{ for } n>1 \quad \text{--- (2)}$$

↳ hand (P) used 2 times

~~$$T(n) = aT(n-1) + b$$~~

~~$$T(n-1) = aT(n-a) + b$$~~

~~$$T(n) = a \cdot aT(n-a) + b + b = a^2T(n-a) + ab$$~~

~~$$T(n-a) = aT(n-3) + b$$~~

~~$$T(n) = a^2 \cdot aT(n-3) + b + ab = a^3T(n-3) + 3b$$~~

~~$$T(n-3) = aT(n-4) + b$$~~

~~$$T(n) = a^3 \cdot aT(n-4) + b + 3b = a^4T(n-4) + 4b$$~~

General form:

~~$$T(n) = a^k T(n-k) +$$~~

$$\checkmark T(n) = aT(n-1) + b$$

$$T(n-1) = aT(n-a) + b$$

$$\checkmark T(n) = a(aT(n-2) + b) + b = 4T(n-2) + ab + b$$

$$T(n-2) = aT(n-3) + b$$

$$\checkmark T(n) = a(a(aT(n-3) + b) + b) + b =$$

$$= a(a(4(aT(n-3) + b) + ab) + b) + ab + b$$

$$= 8T(n-3) + 4b + ab + b$$

$$T(n-3) = aT(n-4) + b$$

$$\checkmark T(n) = 8(aT(n-4) + b) + 4b + ab + b$$

$$= 16T(n-4) + 8b + 4b + ab + b = 2T(n-4) + b \sum_{i=1}^4 a^{i-1}$$

General form:

$$a^k T(n-k) + b(a^k - 1) \longrightarrow (3)$$

When base case is reached, $n=1$

$$n-k=1$$

$$a^k = n-1 \rightarrow \text{sub. in (3)}$$

$$= a^{n-1} T(n-(n-1)) + b(a^{n-1} - 1) \quad T(1) = c$$

$$= a^{n-1} T(1) + b(a^{n-1} - 1)$$

$$= a^{n-1} c + b(a^{n-1} - 1)$$

$$= c \cdot a + b \cdot a^{n-1} - b$$

$$-1 = 1/2$$

$$= a^{n-1} (b+c) - b$$

$$= \frac{a^n}{2} (b+c) - b$$

$$= a^n/2 \approx \boxed{T(n) \approx O(a^n)}$$

Q. Algorithm Bin(n)

HW

```
if (n=1)
    return 1
else
    return bin((n/2)+1)
```

- Recurrence eqn:

$$T(n) = c \text{ for } n=1 \longrightarrow (1)$$

$$T(n) = T(n/2) + b \text{ for } n>1 \longrightarrow (2)$$

The presence of $(n/2)$ in the function's argument makes backward substitutions stumble on values of n that are not powers of 2.

Therefore, the standard approach to solve such recurrence is to solve by backtracking.

$$n = 2^k$$

• Use Smoothness Rule.

$$\text{if } T(a^k) \quad T(n) = T(n-1) + 1$$

$$T(a^k) = T(a^{k-1}) + 1 \quad \text{for } k > 0 \quad \text{--- (1)}$$

$$T(a^0) = 0 \quad \text{for } k = 0 \quad \text{--- (2)}$$

By backward Substitution :

$$T(a^k) = T(a^{k-1}) + 1$$

$$T(a^{k-1}) = T(a^{k-2}) + 1$$

$$T(a^k) = T(a^{k-2}) + 1 + 1 = T(a^{k-2}) + 2 //$$

$$T(a^{k-2}) = T(a^{k-3}) + 1 //$$

$$T(a^k) = T(a^{k-3}) + 3 //$$

general form,

$$T(a^k) =$$

$$T(a^n) = T(a^{n-k}) + k \quad \text{--- (3)}$$

$$T(a^n) = T(a^{n-(n-1)}) + n-1$$

$$\therefore T(a^n) = n-1$$

$$T(a^n) = n$$

we know $n = a^k$

$$T(a^n) = a^k$$

$$k = \log_a n \approx O(\log n) //$$

② Master's Method

Theorem: Let 'a' be a positive integer, let 'b' be an integer greater than 1, & let f be a real-valued func. defined on perfect powers of b. for all perfect powers of n of b, define $T(n)$ by the recurrence.

$$T(n) = aT(n/b) + f(n) \quad \text{--- (1)}$$

With a non-negative initial value $T(1)$.

① can be a Master method is used to solve the recurrence of the form $T(n) = aT(n/b) + f(n)$, $a \geq 1$ & $b \geq 1$.

NOTE: Compare $f(n)$ with $n^{\log_b a}$

$f(n)$ is asymptotically smaller or larger than $n^{\log_b a}$ by a polynomial factor n^k .

$$f(n) = n^{\log_b a}$$

Three cases:

① case 1:

If $f(n) = O(n^{\log_b a - \epsilon})$ for some $\epsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$

② case 2:

If $f(n) = \Theta(n^{\log_b a})$ then $T(n) = \Theta(n^{\log_b a} \log n)$

③ case 3:

If $f(n) = \Omega(n^{\log_b a + \epsilon})$ for some $\epsilon > 0$, and if

REGULARITY \leftarrow $af(n/b) = c \cdot f(n)$ for $c < 1$ and all sufficiently large n ,
 CONDITION then $T(n) = \Theta(f(n))$

Q. Given that, $T(n) = 9T(n/3) + n$ using master's theorem

We know, $T(n) = aT(n/b) + f(n)$

$$a = 9 \quad b = 3 \quad f(n) = n$$

$$n^{\log_b a} = n^{\log_3 9} = n^{\log_3 (3)^2} = n^2 \log_3 3 = \underline{\underline{n^2}}$$

$$f(n) = n^{\log_b a}$$

$$n = n^2 \text{ (case 1)}$$

$$\Theta(n^{\log_b a - \epsilon}) = \Theta(n^{\log_b a})$$

$$\Rightarrow \Theta(n^2)$$

Q. $T(n) = T(\frac{2n}{3}) + 1$

$$a = 1 \quad b = \frac{2}{3} \quad f(n) = 1$$

$$n^{\log_b a} = n^{\log_{\frac{2}{3}} 1} = n^0 = 1//$$

$$f(n) = n^{\log_b a}$$

$$1 = 1$$

case 2

$$= \Theta(\log^{b^a}) \cdot \log n = \Theta(1 \cdot \log n) = \Theta(\underline{\underline{\log n}})$$

Q. $T(n) = 3T(n/4) + n \log n$

$$a = 3 \quad b = 4 \quad f(n) = n \log n$$

$$n^{\log_b a} = n^{\log_4 3} = n^{0.793}$$

$$f(n) = n^{\log_b a}$$

$$n \log n \geq n$$

$$\underline{\text{case 3}} \quad f(n) = \Theta(n^{\log_b a + \epsilon})$$

Regularity condition: $a \cdot f(n/b) = c \cdot f(n)$ for $c < 1$

$$f(n) = n \log n \Rightarrow f(n/b) = (n/b) \log(n/b)$$

$$3 \cdot \left(\frac{n}{4} \log \left(\frac{n}{4} \right) \right) = \Theta(n \log n)$$

$$3 \left(\frac{n}{4} \log \left(\frac{n}{4} \right) \right) < \frac{3}{4} (n \log n) \quad (\text{as } \frac{3}{4}) \quad \times$$

$$\boxed{\frac{a}{b^k} < 1} \quad = \quad \frac{3}{4} < 1$$

$K = \text{power of log}$

$$f(n) = n \log^{\frac{3}{4}} n$$

$$= \Theta(f(n)) = \Theta(n \log n)$$

$$Q) T(n) = 4T(n/2) + n$$

$$a=4 \quad b=2 \quad f(n) > n$$

$$n^{\log b^a} = n^{\log 4} = n^{\log_2 2^2} = n^{\log_2 2^2} = n^3$$

$$f(n) = n^{\log b^a}$$

$$n < n^2$$

$$\underline{\text{case 1}} \quad \Theta(n^{\log b^a - 1}) = \Theta(n^{\log b^a}) = \underline{\Theta(n^3)}$$

$$Q) T(n) = 4T(n/2) + n^2$$

$$a=4 \quad b=2 \quad f(n) = n^2$$

$$f(n) = n \log^{b^a}$$

$$n^2 = n^2$$

$$\underline{\text{case 2}} \quad = f(n) = \Theta(\log_b^a \cdot \log n) = \Theta(\underline{n^2 \cdot \log n})$$

$$Q) T(n) = 4T(n/2) + n^3$$

$$a=4 \quad b=2 \quad f(n) = n^3$$

$$f(n) = n \log^{b^a}$$

$$n^3 > n^2$$

case 3

$$f(n) = n(n^{\log_b a} + w)$$

$$a \cdot f(n/b) = c \cdot f(n)$$

$$4 \cdot f\left(\frac{n}{2}\right) = c \cdot n^3$$

$$4 \cdot \left(\frac{n}{2}\right)^3 = c \cdot n^3$$

$$\Rightarrow c = \frac{1}{2}$$

$$T(n) = \Theta(\log \Theta(f(n))) = \underline{\underline{\Theta(n^3)}}$$

(HW)

1) $T(n) = 5T(n/2) + \Theta(n^3)$

2) $T(n) = 27T(n/3) + \Theta(n^3/\log n)$

Q1 $T(n) = 5T(n/2) + \Theta(n^3)$

$$a = 5, \quad b = 2, \quad f(n) = n^3$$

$$n^{\log_b a} = n^{\log_2 5} = n^{2.322}$$

$$f(n) = n^{\log_b a}$$

$$n^3 = n^{2.322} \Rightarrow n^3 > n^{2.322}$$

case 3 $f(n) = n(n^{\log_b a} + w) \Rightarrow (n^3 = n^{2.322 + w})$
 $\Rightarrow (w > 0)$

$$a \cdot f(n/b) = c \cdot f(n)$$

$$5 \cdot f(n) = n^3$$

$$f(n/a) = \left(\frac{n}{2}\right)^3$$

$$5 \cdot \left(\frac{n}{2}\right)^3 = c \cdot (n)^3$$

$$\frac{5}{8} = C \quad C > \frac{5}{8} \Rightarrow C$$

$$\frac{0}{8} \cancel{10}$$

$$\frac{5}{8} < 1$$

$$\tau(n) = \Theta(f(n)) = \underline{\Theta(n^3)}$$

$$Q.2 \quad \tau(n) = a \tau(n/b) + \Theta(n^3/\log n)$$

$$\Rightarrow a = 2, \quad b = 3, \quad f(n) = \frac{n^3}{\log n}$$

$$n^{\log_b a} = n^{\log_3 2^3} = n^{\log_3 (3)^3} = n^{3 \log_3 3} = \underline{n^3}$$

$$f(n) = n^{\log_b a}$$

$$\frac{n^3}{\log n} \leq n^3$$

$$\text{Case 1: } f(n) = \Theta(n^{\log_b a - \omega})$$

$$\tau(n) = \Theta(n^{\log_b a}) = \underline{\Theta(n^3)}$$

13/01/2023

Divide And Conquer

CONTROL ABSTRACTION

→ Divide and Conquer

- It is a top-down approach for designing algorithms that consist of dividing the problem into smaller sub problems hoping that the solutions of sub problems are easier to find.
- Then composing the partial solutions into

the solutions of the original problem.

→ 3 parts are

- divide
- conquer
- combine

① Divide :

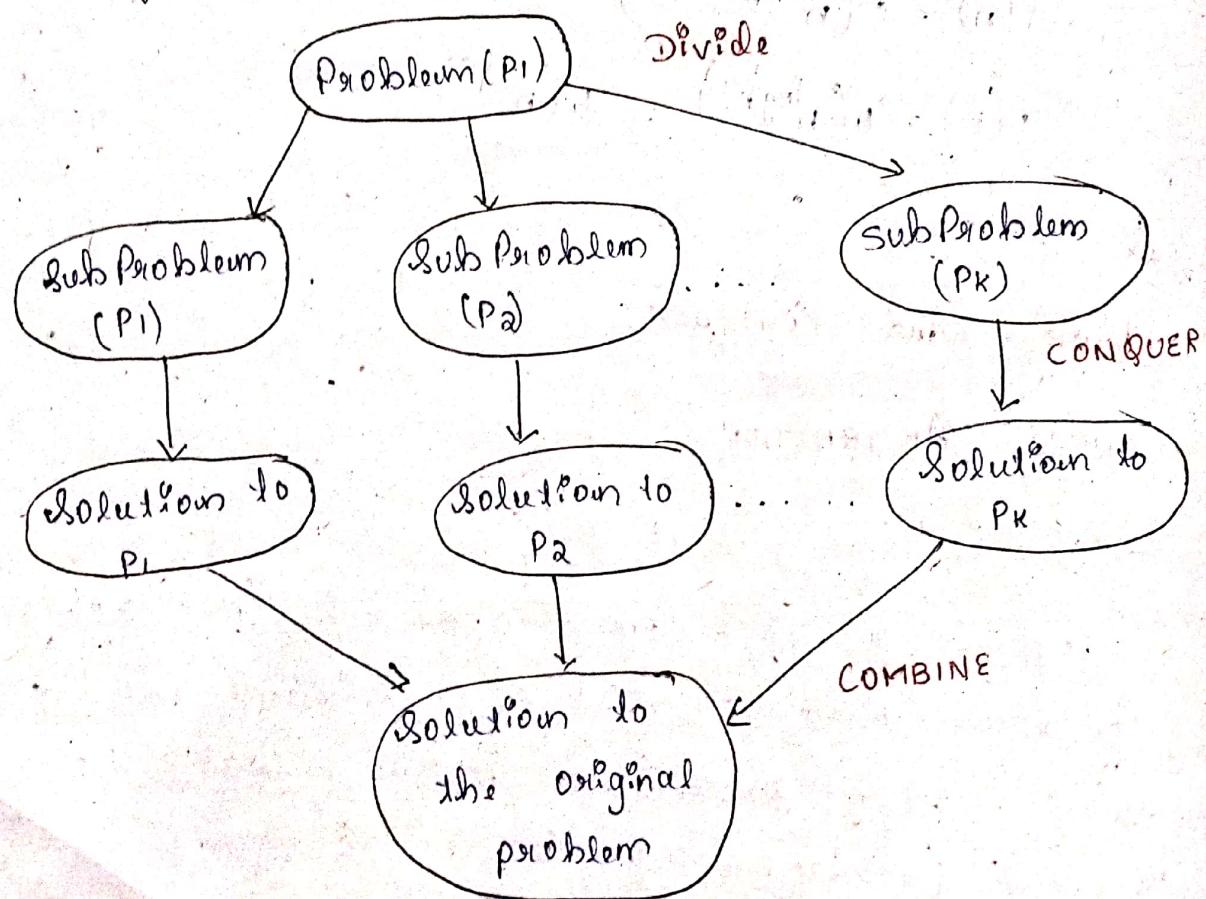
Dividing the problem into number of sub problems that are smaller instances of same problem.

② Conquer :

Conquer (solve) the sub problems by solving them recursively if they are small enough, solve the sub problems as base cases.

③ combine :

Combining the solutions of subproblems into solution of the original problem.



control abstraction for recursive divide & conquer
method with problem instance 'P'

divide-and-conquer(P)

{ if $\text{small}(P)$ // P is very small, sol n is trivial

- return solution(s);

else

divide the problem P into K instances P_1, P_2, \dots, P_K

return (combine (divide-and-conquer(P_1),

divide-and-conquer(P_2),

divide-and-conquer(P_K)))

}

The solution of the above problem is described by recurrence, assuming size of P denoted by n .

$$T(n) = \begin{cases} g(n) \\ Tn_1 + Tn_2 + Tn_3 + \dots + Tn_K + f(n) \end{cases}$$

where $f(n) \Rightarrow$ time to divide elements & combine the solutions.

Run time is determined by size & no. of sub problems to be solved in addition to the time required for decomposition.

General complexity computation,

$$T(n) = \begin{cases} T_1, n=1 \\ aT_{n/b} + f(n), n>1 \end{cases}$$

where a & b are constants.

$$\left[\begin{array}{l} a \rightarrow \text{Number of sub-Problems} \\ n/b \rightarrow \text{Size of each sub-Problem} \end{array} \right]$$

Eg: MERGE SORT RECURRENCE

$$T(n) = \begin{cases} \Theta(1), & \text{if } n=1 \\ 2T\frac{n}{2} + \Theta(n), & \text{if } n>1 \end{cases}$$

Solution for merge sort recurrence = $\Theta(n \log n)$

Algorithm that uses divide and conquer is

- 1) Merge Sort
- 2) Quick Sort
- 3) Matrix Multiplication

MERGE SORT

* Uses divide and conquer strategy.

* The basic idea is to divide the list into sublists,
 > sort each sublist and
 > finally merge them to get a single sorted list

The recursive implementation of a-way merge sort
 divides the array into a sorts of sublists, then
 merges them into a single sorted list

To sort: $A[lb \dots ub]$

$A[lb \dots \bar{mid}]$

$B[mid+1 \dots ub]$

divide into 2 arrays

$$mid = \frac{up + lb}{2}$$

floor

algorithm:

MERGE (A, p, q, r)

$$\{ n_1 = q - p + 1$$

$$p = lb$$

$$q = mid$$

$$r = ub$$

$$n_2 = r - q$$

Let $L[1 \dots n_1+1]$ and $R[1 \dots n_2+1]$ be new arrays

for ($i=1$ to n_1)

$$L[i] = A[p+i-1]$$

for ($j=1$ to n_2)

$$R[j] = A[q+j]$$

$$L[n_1+1] = \infty$$

$$R[n_2+1] = \infty$$

$$i=1, j=1$$

for ($R=p$ to r)

$$\text{if } (L[i] \leq R[j])$$

$$A[k] = L[i];$$

$$i=i+1;$$

else

$$A[k] = R[j]$$

$$j=j+1;$$

}

Eg:

	1	2	3	4	5	6	7	8
A	1	5	7	8	2	4	6	9

→ Here left half of the array is sorted and right half of the array is also sorted i.e., Merging algorithm works on two sorted arrays.

① Firstly, it copies the 2 sorted halves into arrays L & R. (adding ∞ at the end)

L	1	5	7	8	∞
	\circ				

R	2	4	6	9	∞
	i				

i & j pointing to the first elements of L & R.

ii) comparing if $L[i] \leq R[j]$

i.e., $1 \leq 2$

if so $L[i]$, i.e. 1 is copied to the initial array,

A	1	2	3	4	5	6	7	8
	1	

then, increment $i \rightarrow i = i + 1$

L	1	5	7	8	∞
	i	.	.	.	

R	2	4	6	9	∞
	i	.	.	.	

\rightarrow if $L[i] \leq R[j]$ is false

$R[j]$ is copied to the initial array A &
(j is incremented)

compare $5 \leq 2 \rightarrow$ false

$j = j + 1$ & 2 is copied to A

L	1	5	7	8	∞
	i	.	.	.	

R	2	4	6	9	∞
	i	.	.	.	

thus do all the steps.

A	1	2	3	4	5	6	7	8
	1	2	4	5	6	7	8	9

algorithm for merge sort:

MERGE-SORT (algorithm)

MERGE-SORT (A, ^{first}P, _{last}r)

{ if ($P < r$)

middleq = $\lceil (P+r)/2 \rceil$

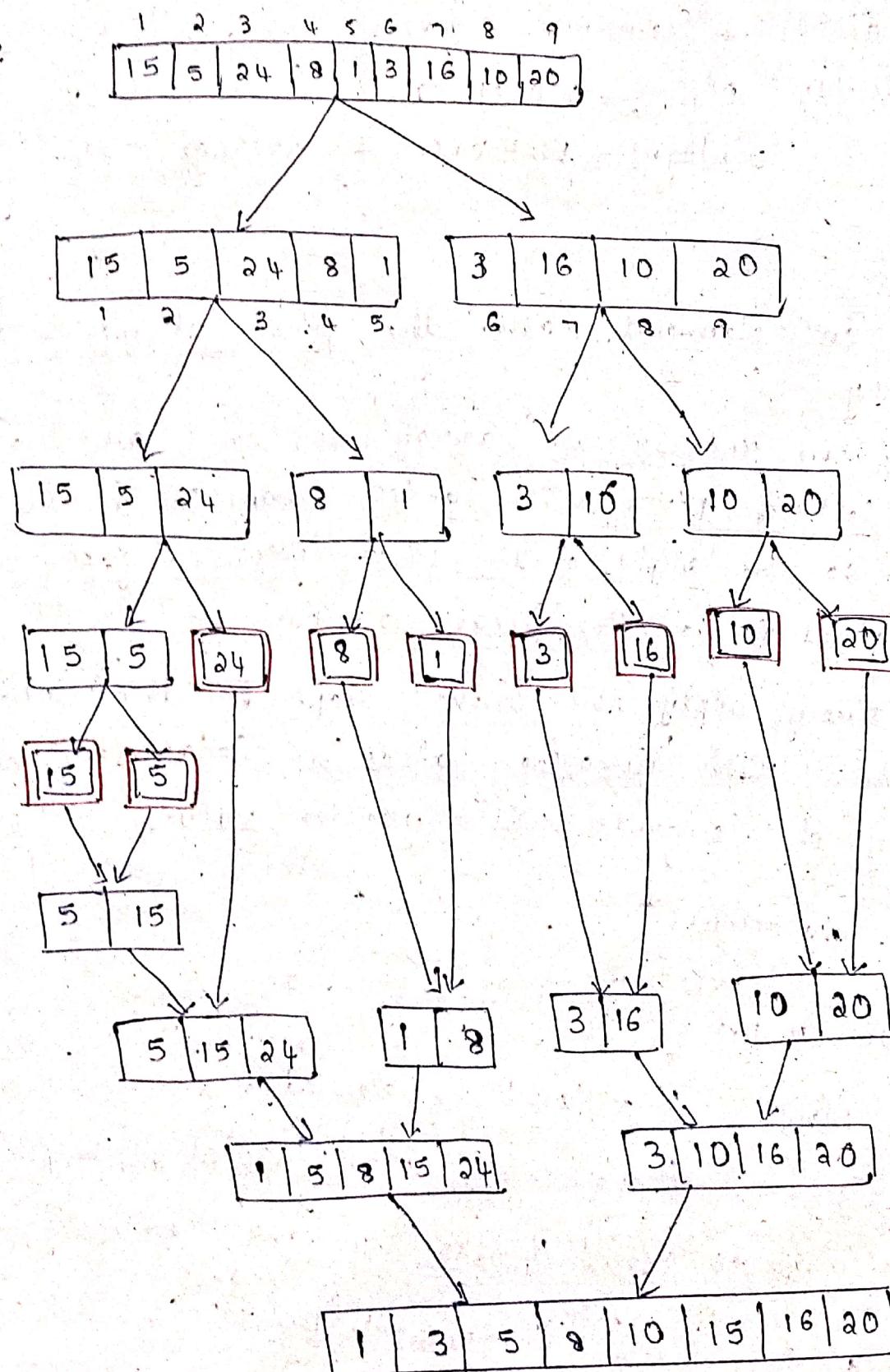
MERGE-SORT(A, p, q)

MERGE-SORT(A, q+1, r)

MERGE(A, p, q, r)

}

e.g.:



Quick Sort

- * In-place comparisons (no extra array)
- * not stable
- * fast
- * Use divide & conquer strategy
- * Complexity $O(n^2)$ — worst case
 $O(n \log n)$ — Best case & Average case

Steps

- Pick an element called the pivot element from the array.
 - Partition around the array, so that all the elements to the left of 'pivot' will be less than it & all the elements to the right of the 'pivot' will be greater than it.
- Now pivot is in its final position.
- Recursively apply the 'above' steps to the sub-array of elements with smaller values & separately to the sub array of elements with greater values.

Partition algorithm

PARTITION(A, p, r)

{ $x = A[r]$ // x is Pivot element.

$i = p-1$ // i initially defined point to any element

for ($j=p$ to $r-1$) // scan upto last element

{ if ($A[j] \leq x$) // element is Pivot

{ $i=i+1$

exchange $A[i]$ with $A[j]$

exchange $A[i+1]$ with $A[r]$

91210011 9+1

}

eg. 6

9	6	5	0	8	2	4	1
0	1	2	3	4	5	6	7

6	9	5	0	8	2	4	7
0	1	2	3	4	5	6	7

6	5	9	0	8	2	4	7
---	---	---	---	---	---	---	---

6 3 0 9 8 2 4 (7)

6	5	0	2	8	9	4	7
---	---	---	---	---	---	---	---

6	5	0	2	4	7	8	9
0	1	2	3	4	5	6	7

less than 7

Greater than T

And it is in this correct position.

QUICK SORT ALGORITHM

QUICK-SORT(A, P, R)

```

    if (p < r)
    {
        q = PARTITION(A, p, r) // Position of Pivot Element
        QUICKSORT(A, p, q-1)
        QUICKSORT(A, q+1, r)
    }
}

```

STRASSEN'S MATRIX MULTIPLICATION

It is an algorithm for matrix multiplication which is faster than the standard matrix multiplication algorithm.

① Naive matrix multiplication

Two matrices $A = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix}$ $B = \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix}$

$$C = A \times B = \begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix}$$

$$c_{11} = a_{11} * b_{11} + a_{12} * b_{21}$$

$$c_{12} = a_{11} * b_{12} + a_{12} * b_{22}$$

$$c_{21} = a_{21} * b_{11} + a_{22} * b_{21}$$

$$c_{22} = a_{21} * b_{12} + a_{22} * b_{22}$$

$$C_{ij} = \sum_{k=1}^n A_{ik} * B_{kj}$$

Algorithm

```
for (i=0; i<n; i++)
```

```
  for (j=0; j<n; j++)
```

```
    c[i, j] = 0
```

```
    for (k=0; k<n; k++)
```

```
      c[i, j] += A[i, k] * B[k, j]
```

```
}
```

It has 3 for loops & each will iterate n times

\therefore Time complexity = $O(N^3)$

By using divide + conquer

- Strassen's algorithm is a recursive method for matrix multiplication, where we divide the matrix into 4 sub-matrices of dimensions $\frac{n}{2} \times \frac{n}{2}$ for each recursive step.

• n should be power of 2

Eg: Consider two 4×4 matrices, A and B that we need to multiply. A 4×4 can be divided into four 2×2 matrices.

2×2 matrices

$$A = \begin{bmatrix} A_{11} & A_{12} & | & A_{13} & A_{14} \\ A_{21} & A_{22} & | & A_{23} & A_{24} \\ \hline A_{31} & A_{32} & | & A_{33} & A_{34} \\ A_{41} & A_{42} & | & A_{43} & A_{44} \end{bmatrix}$$

A_{11}

A_{12}

A_{21}

A_{22}

$$B = \begin{bmatrix} B_{11} & B_{12} & | & B_{13} & B_{14} \\ B_{21} & B_{22} & | & B_{23} & B_{24} \\ \hline B_{31} & B_{32} & | & B_{33} & B_{34} \\ B_{41} & B_{42} & | & B_{43} & B_{44} \end{bmatrix}$$

B_{11}

B_{12}

B_{21}

B_{22}

$$\text{i.e., } A = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \quad B = \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

where A_{11} & B_{11} ... are sub-elements. They are matrix elements.

Algorithm

$MM(A, B, n)$

1. if ($n \leq 2$)

$$C_{11} = A_{11} * B_{11} + A_{12} * B_{21}$$

$$C_{12} = A_{11} * B_{12} + A_{12} * B_{22}$$

$$C_{21} = A_{21} * B_{11} + A_{22} * B_{21}$$

$$C_{22} = A_{21} * B_{12} + A_{22} * B_{22}$$

else

}

$mid = 1/2$: // divide matrix into 2×2

$$MM(A_{11}, B_{11}, n/2) + MM(A_{12}, B_{12}, n/2)$$

$$MM(A_{21}, B_{12}, n/2) + MM(A_{22}, B_{22}, n/2)$$

$$MM(A_{11}, B_{11}, n/2) + MM(A_{22}, B_{21}, n/2)$$

$$MM(A_{21}, B_{12}, n/2) + MM(A_{22}, B_{22}, n/2)$$

}

Recurrence Relation:

$$T(n) = \begin{cases} 1, & n \leq 2 \\ 8 T(n/2) + n^2 & n > 2 \end{cases}$$

$$T(n) = aT(n/b) + f(n)$$

$$a = 8, \quad b = 2, \quad f(n) = n^2$$

$$\log_b^a = \log_2 8 = \frac{\log 8}{\log 2} = 3$$

~~Also~~ $n^{\log_b^a} = n^3$

$$f(n) < n^{\log_b^a}$$

$$n^2 < n^3$$

$$\underline{\underline{\Theta(n^{\log_b^a})}} = \underline{\underline{\Theta(n^3)}}$$

Module 11

GREEDY STRATEGY

- One of the algorithm design strategy.
- for solving optimization problem.
- Algorithm picks the best solution at the moment without regarding consequences.
- It picks the immediate O/p, but does not consider the big picture, hence it is considered as greedy.
- It shows an optimal solution that may or may not be true.

application's

- 1) ^{no} Knapsack problem
- 2) MST
- 3) Job sequencing
- 4) Huffman coding
- 5) Optimal merge pattern
- 6) Dijkstra's algorithm

Greedy algorithm has 5 components:

- 1) Candidate set
- 2) A selection function
- 3) A feasibility function
- 4) An objective function
- 5) A solution function

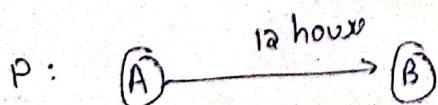
- ① Candidate list: A solution is created from this list.
 - ② A Selection function: used to choose the best candidate to be added to the solution.
 - ③ A feasibility solution: used to determine whether a candidate can be used to construct the solution.
 - ④ An objective function: used to assign a value to a solution or a partial solution.
 - ⑤ A solution function: used to indicate whether the complete soln has been reached.
- A subset that satisfies the constraint is called a feasible solution.



A feasible solution that maximizes or minimizes a given (objective) function is called optimal solution.

→ The greedy method finds optimal solution from feasible solutions.

- Eg:
- Let us take a problem, P
 - We want to move from location A to location B
 - But the constraint is that we want to cover the journey in ~~12~~ 12 hours.



There are many solutions, we can travel by

- * walk
- * car
- * auto

- * ~~origin~~ } but the feasible solution can be derived on
- * flight } by flight

- Feasible \rightarrow Satisfying the constraint
- \rightarrow Now we need to cover the passengers with minimum cost (MINIMIZATION PROBLEM)
- \rightarrow So out of two feasible solutions, which above is the best
i.e. Selected, i.e. minimum cost or best result
- \rightarrow Again \rightarrow minimum cost satisfying solution
- \rightarrow So there can be
- \rightarrow more than one feasible solution
 - \rightarrow only one optimal solution.

CONTROL ABSTRACTION

- Greedy method says that a problem can be solved in stages.
- In each stage we will consider one input from a given problem & if that i/p is feasible, then we include it in the solution.
- So by including all those input which are feasible we get optimal solutions.

algorithm

Algorithm - greedy(a, n) \rightarrow no. of Solutions
 \rightarrow problem

{ for($i=1$ to n) do

$x = \text{selected}(a)$; // check solⁿ

 if (feasible(x)) then // whether each solⁿ is
 $\{$ feasible

$$\text{Solution} = \text{solution} + x; \quad // \text{add to solution}$$

} }

We want to buy the best car. For that all the brands also are checked. Checking with the features we select the best and by sorting latest released ones.

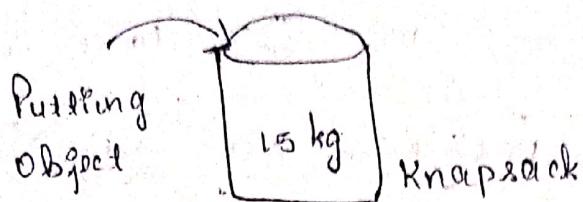
KNAPSACK PROBLEM

- Combinatorial optimization problem.
- It appears as a sub-problem in many more complex mathematical model of real world.
- According to the problem statement
 - i) There are n items in the store
 - ii) weight of i th item, $w_i > 0$
 - iii) Profit for i th item, $P_i > 0$
 - iv) capacity of knapsack, m

Eg:

- > suppose there are 7 objects
- > every object has some profit associated with it.
- > Also object have some weight
- > This is a bag or knapsack, here, its capacity is 15 kg.
- i.e., $n = 7$; $m = 15$ kg

Object	1	2	3	4	5	6	7
Profit(P)	10	5	15	7	6	18	3
Weight	2	3	5	7	1	4	1



Object	1	2	3	4	5	6	7	Profit per kg = Profit / Weight
Profit(P)	10	5	15	7	6	18	3	
Weight(w)	2	3	5	7	1	4	1	
P/w	5	1	3	1	6	4.5	3	

- We are filling the bag with all these objects.
- And we will carry this bag to a different place & will sell these objects, so that we get some profit.
- That profit is the gain, that we get from by transporting these objects to some locations. So for transporting we need to carry this container or bag.
- So we can say that the knapsack is a container.
- The problem is called CONTAINER LOADING PROBLEM.
- (Problem is filling these objects to a container)
- When we are filling the objects, the problem is whether it exceeds knapsack's capacity.

Our constraint: \rightarrow Total weight ≤ 15

\rightarrow getting max^m profit (GREEDY APPROACH)

- Check whether the object is included in our knapsack & how much we can include

$$x = (x_1, x_2, \dots, x_n)$$



Then x -value can be either

$0 \leq x \leq 1$ (means we can take fractions)

• So the knapsack problem can be divisible

i.e., if object = 5 kg

we can put a kg from 5 kg.

• Next we are selecting a criteria for including item to our bag. So that we are getting maximum profit by including more object.

• Select the object having high profit.

• Here maximum profit = 18

Object = 4.

But we may not be sure that we can include maximum number of objects. So we are finding the Profit/weight value. i.e., Profit/kg.

* So first we are adding 5th object.

i.e., we get profit 6 & object weight = 1

* Next high profit = 5 for

Object = 1

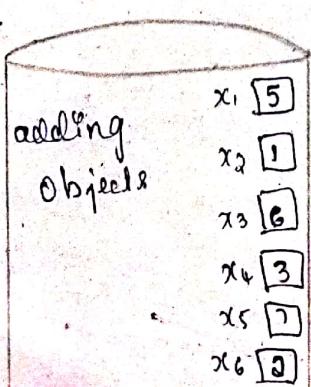
Weight = 2

Remaining weight = 14

So add object 1 to the bag & get the profit

18 as 5.

Only



$$15 - 1 = 14$$

$$14 - 2 = 12$$

$$12 - 3 = 9$$

$$9 - 4 = 5$$

$$5 - 1 = 4$$

$$4 - 2 = 2$$

$x_1 = 1$ (1 means we are taking 800 kg of that particular object)

$$x_2 = 1$$

$$x_4 = 1$$

$$x_5 = 1$$

$$x_6 = 2/3$$

$$x_7 = 0$$

Total weight = $x_1 \times (\text{weight of } \boxed{5}) + x_2 \times (\text{weight of } \boxed{1}) + x_3 \times (\text{weight of } \boxed{6}) + x_4 \times (\text{weight of } \boxed{3}) + x_5 \times (\text{weight of } \boxed{7}) + x_6 \times (\text{weight of } \boxed{2}) + x_7 \times (\text{weight of } \boxed{4})$

$$= (1 \times 1) + (1 \times 2) + (1 \times 4) + (1 \times 5) + (1 \times 1) + \left(\frac{2}{3} \times 3\right) + 0 \times 7$$
$$= 1 + 2 + 4 + 5 + 1 + 2 + 0 = 15 \text{ kg}$$

Total Profit = $x_1 \times (\text{profit of } \boxed{5}) + x_2 \times (\text{profit of } \boxed{1}) + x_3 \times (\text{profit of } \boxed{6}) + x_4 \times (\text{profit of } \boxed{3}) + x_5 \times (\text{profit of } \boxed{7}) + x_6 \times (\text{profit of } \boxed{2}) + x_7 \times (\text{profit of } \boxed{4})$

$$= (1 \times 6) + (1 \times 10) + (1 \times 18) + (1 \times 15) + (1 \times 3) + \left(\frac{2}{3} \times 5\right) + (0 \times 7)$$
$$= 6 + 10 + 18 + 15 + 3 + \frac{10}{3} + 0 = 54.6$$

So the constraint consistent in the given problem:

$$\sum_{i=1}^n x_i \text{ weight} \leq m \rightarrow \text{max capacity of bag}$$

Objective:

$$\max \sum_{i=1}^n x_i p_i (\max \text{ profit})$$

Algorithm:

Greedy-fractional-knapsack(n, m, $x[i]$)

for $i=1$ to n ,

do $x[i] = 0$

weight = 0 // initially

while (weight < m) $\frac{\text{maximum weight}}{\text{weight}}$

do $i = \text{best item minimizing weight}$

if weight * $w[i] \leq m$

then $x[i] = 1$

weight = weight + $w[i]$

else

$x[i] = (m - \text{weight}) / w[i]$

weight = m

return x

}

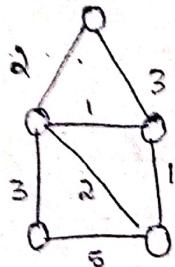
MINIMAL SPANNING TREE

A minimum spanning tree or minimum weight spanning tree is a subset of the edges of a connected, edge-weighted undirected graph that connects all the vertices together, without any cycles & with minimum possible total edge weight.

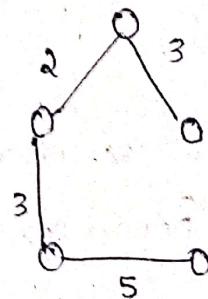
i.e. it is a spanning tree whose sum of edge

weights is as small as possible.

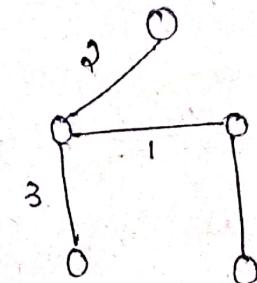
Spanning Tree : Given a undirected graph, final graph should contain all vertices of initial graph, edges should be one less than initial graph & that should not form any cycle.



GRAPH



SPANNING TREE
COST = 7



MST COST = 7

$$G_1 = (V, E)$$

$$\text{In MST, } G_1' = (V', E'),$$

$$V' = |V|$$

$$E' = |V| - 1$$

Number of spanning tree can be made out of a graph = $\boxed{|E|C_{|V|-1}} \rightarrow \text{no. of cycles}$

There are algorithms (Greedy) finding out MST without finding all the spanning tree. They are

① Prim's Algorithm

② Kruskal's Algorithm

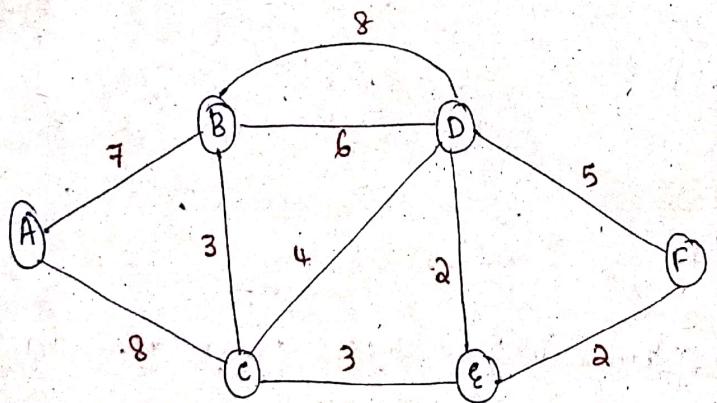
PRIM's ALGORITHM

- * It is a greedy algorithm that finds MST for a connected weighted undirected graph.
- * It finds a subset of edges that form a tree that includes every vertex, where the total weight of all the edges in a tree is minimised.

Steps:

- ① Initialise the MST with a vertex chosen at random.
- ② Find all the edges that connect the tree to new vertices.
Find minimum & add it to the tree.
- ③ Keep repeating step (a) until we get a MST.

Eg:

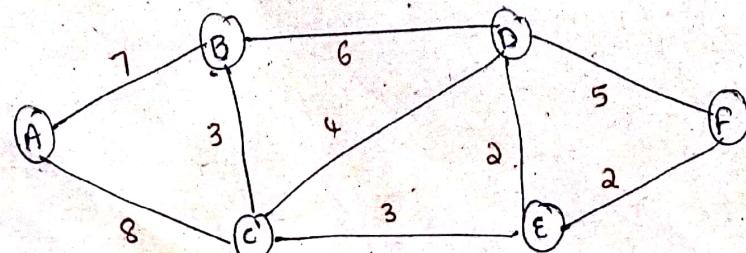


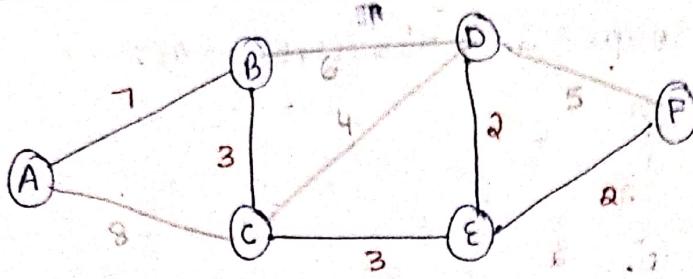
First, we have to eliminate

→ Self loops

→ parallel edges

Remove loops & parallel edge, we get





$$\text{cost} = 7 + 3 + 3 + 2 + 2 = \underline{17}$$

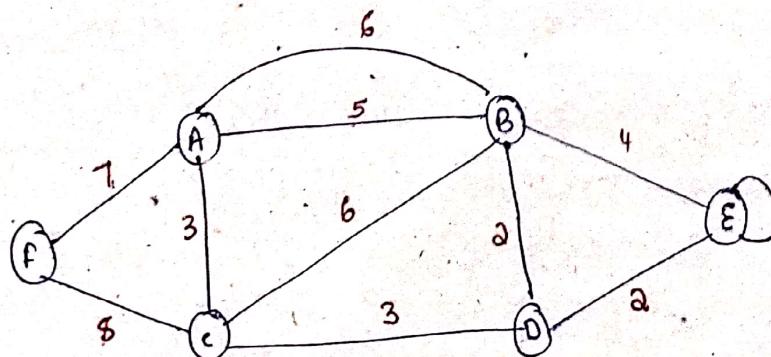
KRUSKAL's ALGORITHM

- To find MST
- Uses greedy approach
- This algorithm treats the graph as a forest & every node it has as an individual tree.
- A tree connects to another only & only if it has the least cost among all available options & does not violate MST properties.

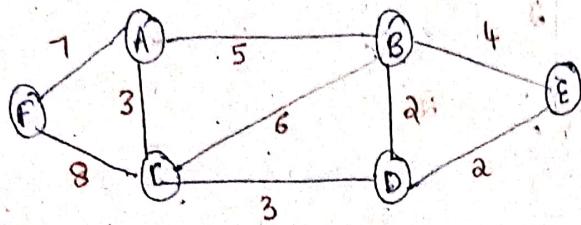
① Sort all the edges in increasing order of their weight.

- ② Pick the smallest edge. Check if it forms cycle with spanning tree formed so far. If cycle is not formed, include the edge; else discard it.
- ③ Repeat step 2 until there are $(v-1)$ edges in spanning tree.

Eg:



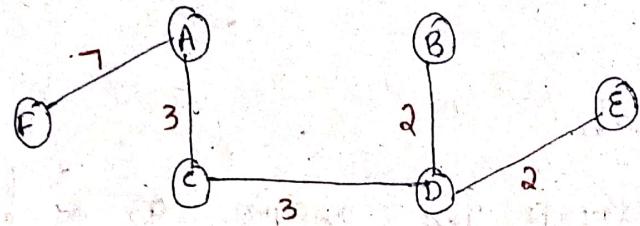
> Remaining self loops & parallel edges



> choose the path in the ascending order of weight

$$BD = 2 \quad CD = 3 \quad BE = 4 \quad CB = 6 \quad FC = 8$$

$$ED = 2 \quad AC = 3 \quad AB = 5 \quad FA = 7$$



$$\text{Total cost} = 17 //$$

Job Sequencing with deadline

Given an array of jobs where every job has a deadline & also ~~create~~ associated profit if the job is finished before deadline. It is also given that every job takes a single unit of time; so the maximum possible deadline for any job is $\frac{n}{1}$.

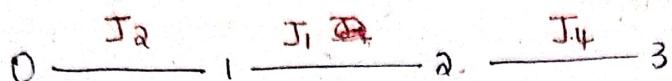
How to maximize the total profit if only one job can be scheduled at a time? \rightarrow

Eg:

Jobs	J_1	J_2	J_3	J_4	J_5
PROFIT	20	15	10	5	1
DEADLINE	2	2	1	3	3

Here Maximum time is 3 hours. No job can wait after that.

So the time slot is. $0 \longrightarrow 1 \longrightarrow 2 \longrightarrow 3$



J_3 & J_5 cannot be included since the slots are already filled.

JOB CONSIDER	SLOT ASSIGN	SOLUTION	PROFIT
-	-	0	0
J_1	$[1, 2]$	J_1	20
J_2	$[0, 1] [1, 2]$	J_1, J_2	$20 + 15$
$\times J_3$	$[0, 1] [1, 2]$	J_1, J_2	$20 + 15$
J_4	$[0, 1] [1, 2] [2, 3]$	J_1, J_2, J_4	$20 + 15 + 5$
$\times J_5$	$[0, 1] [1, 2] [2, 3]$	J_1, J_2, J_4	$40 //$

Algorithm

- ① Sort all jobs in decreasing order of profit.
- ② Iterate over jobs in decreasing order of profit, for each job, do the following.
 - a) Find a time slot i , such that the slot is empty & $i <$ deadline and i is greatest. Put the job in this slot & mark this slot filled.

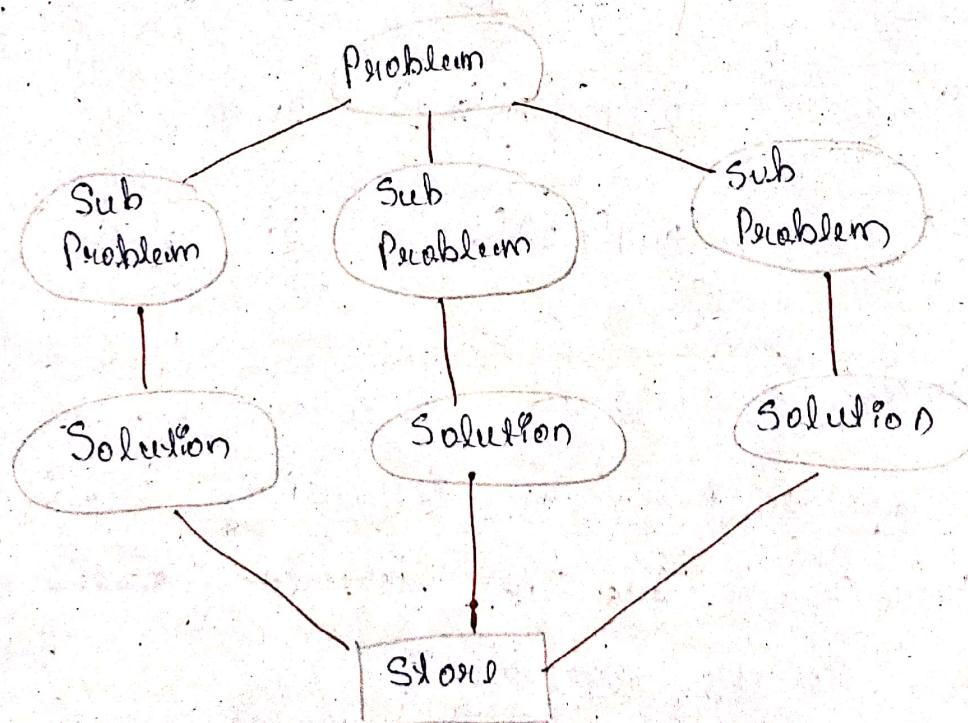
b) If no such i exists, then ignore the job.

21/01/2022

DYNAMIC PROGRAMMING

- > One of the algorithm design technique.
- > It is used when problem breaks down into recurring smaller sub-problems.
- > It is typically applied to optimization problem.
- > In such optimization problems, there can be many solutions & each solution has a value and we ~~will~~ wish to find a solution with optimal value.

Structure:



Every dynamic problem has a property

- ① Overlapping subproblems
- ② Optimal sub structure

① OVERLAPPING SUB PROBLEM

If the problem can be broken down into subproblems with which are reused several times rather than generating new subproblems.

② OPTIMAL SUB STRUCTURE

A given problem is said to have optimal substructure, if the solution of the problem can be obtained from the optimal solⁿ of its subproblems.

Solutions are stored in a table.

NOTE → D.P. is not useful in problems which do not have overlapping subproblems.

$$\text{eg: } \text{fib}(n) = \begin{cases} 0 & \text{if } n=0 \\ 1 & \text{if } n=1 \\ \text{fib}(n-2) + \text{fib}(n-1) & \text{if } n>1 \end{cases}$$

int fib(int n)

{ if (n <= 1)

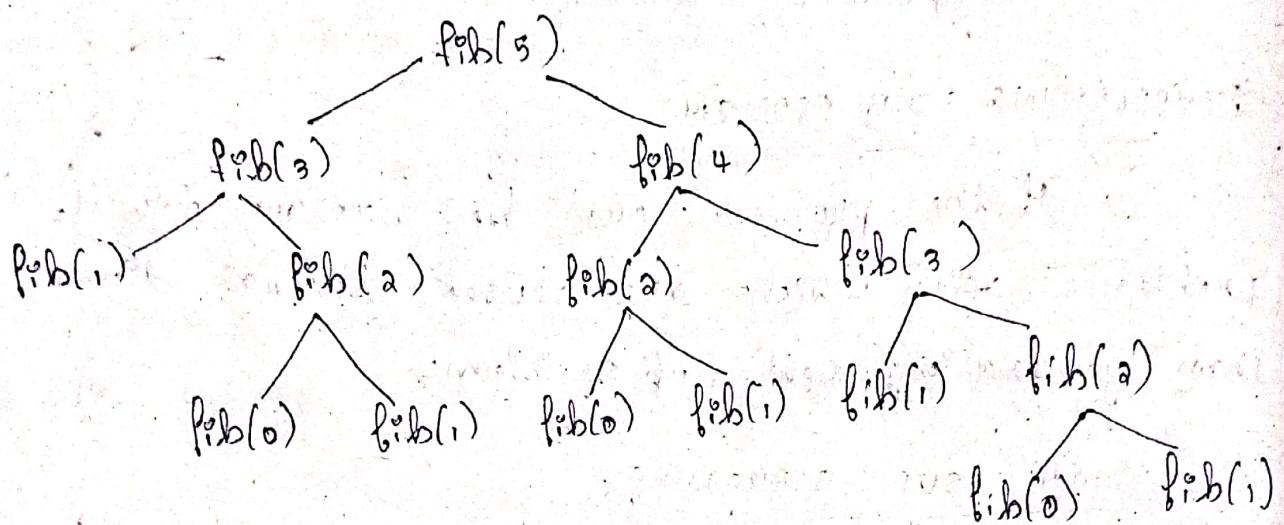
 return;

else

 return fib(n-2) + fib(n-1);

}

Suppose we want to find the 5th term of the Fibonacci series, the recursion tree is shown below.



complexity of this function is

$$T(n) = aT(n-1) + 1$$

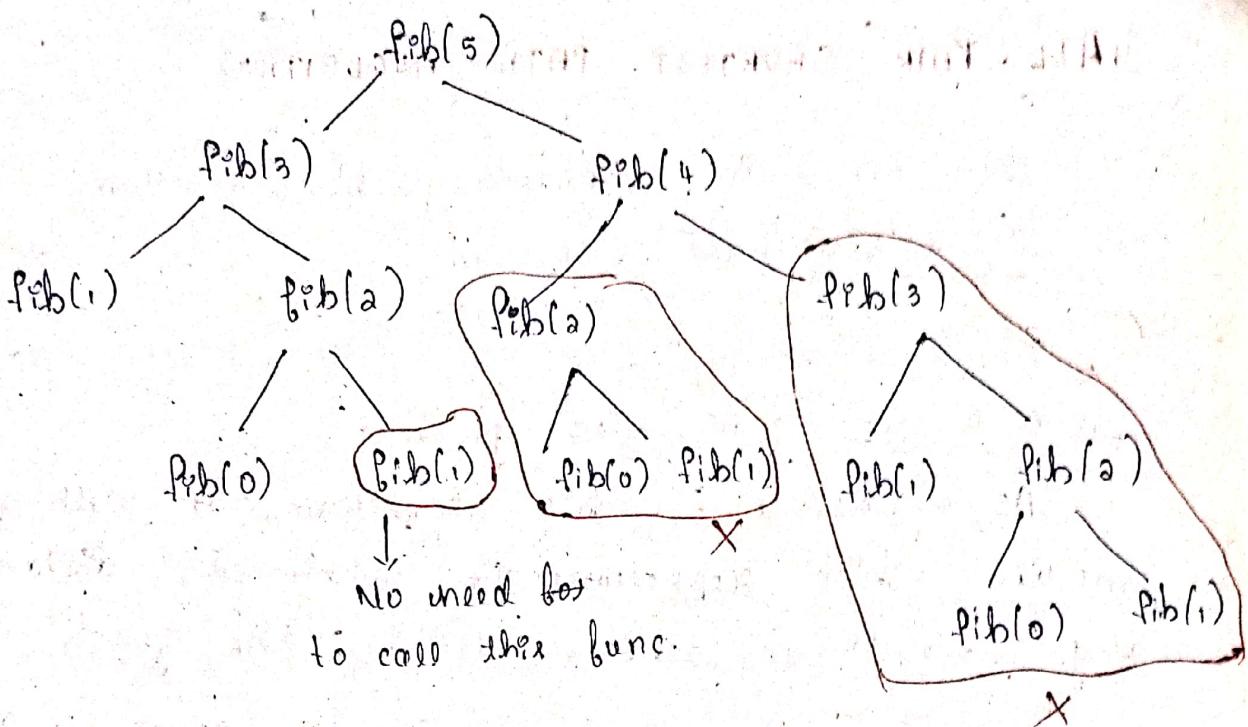
i.e., $O(2^n)$

By using D.P., we can reduce the no. of recursive calls & thereby, the time required of its execution. For that we use global array for storing the sub-solutions.

f	-1	-1	-1	-1	-1	-1
	0	1	2	3	4	5

Initially the values are set to -1. Whenever we get a solution, for a value, it is filled & reused later.

Considering the recursion tree,



F	-1	1	-1	-1	-1	-1	\therefore	$\text{fib}(1) = 1$
	0	1	2	3	4	5		

F	0	1	-1	-1	-1	-1	\therefore	$\text{fib}(0) = 0$
	0	1	2	3	4	5		

F	0	1	1	2	-1	-1	\therefore	$\text{fib}(2) = \text{fib}(0) + \text{fib}(1)$
	0	1	2	3	4	5		$= 0 + 1 = 1 //$

$$\text{fib}(3) = \text{fib}(1) + \text{fib}(2)$$

$$= 1 + 1 = 2 //$$

F	0	1	1	2	3	5
	0	1	2	3	4	5

$$\text{fib}(4) = \text{fib}(2) + \text{fib}(3)$$

$$= 1 + 2 = \underline{\underline{3}}$$

$$\text{fib}(5) = \text{fib}(3) + \text{fib}(4)$$

$$= 2 + 3 = 5$$

$$\text{fib}(n) = n+1 \text{ calls}$$

$$\text{i.e., complexity} = \underline{\underline{O(n)}}$$

- Three algorithms are
- ① All pair shortest path algorithm
 - ② Travelling salesman problem
 - ③ Bellman-Ford algorithm

1 ALL-PAIR SHORTEST PATH ALGORITHM

The all pair shortest path algorithm is also known as Floyd-Warshall algorithm.

It is used to find all pair shortest path problem from a given weighted graph.

As a result of this algorithm, it will generate a matrix, which represents the minimum distance from any node to all other nodes in the graph.

At first, the output matrix is same as given cost matrix of the graph. After that the output matrix will be updated with all vertices k as the intermediate vertex.

The time complexity of this algorithm is $O(v^3)$, where v is the number of vertices in the graph.

Algorithm

Floyd Warshall (cost)

Input — The cost matrix of the given graph

Output — Matrix for shortest path b/w any vertex to any other vertex

Begin

for $K := 0$ to n , do

 for $i := 0$ to n , do

 for $j := 0$ to n , do

 if $cost[i, k] + cost[k, j] < cost[i, j]$ then

$cost[i, j] = cost[i, k] + cost[k, j]$

Dom

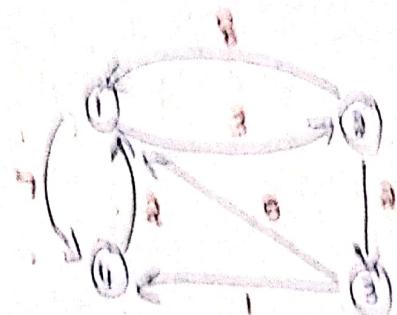
Dom

Dom

Display the current root node

End

Ex:



A0

	1	2	3	4
1	0	3	8	7
2	8	0	2	15
3	5	8	0	1
4	2	5	8	0

$$n^0(2,3) = n^0(2,1) + n^0(1,2)$$

$$2 \geq 8 + 8 + 8$$

$$n^0(2,4) = n^0(2,1) + n^0(1,4)$$

$$8 \geq 8 + 7 = 15$$

$$n^0(3,2) = n^0(3,1) + n^0(1,2)$$

$$8 \geq 5 + 3 = 8$$

A1

	1	2	3	4
1	0	3	8	7
2	8	0	2	15
3	5	8	0	1
4	2	5	8	0

$$n^0(4,3) = n^0(4,1) + n^0(1,2)$$

$$1 \leq 5 + 7$$

$$n^0(4,2) = n^0(4,1) + n^0(1,1)$$

$$8 \geq 2 + 3 = 5$$

$$n^0(4,1) = n^0(4,0) + n^0(0,1)$$

$$8 \geq 2 + 8$$

$$A^3 = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 1 & 0 & 3 & 5 & 6 \\ 2 & 7 & 0 & 2 & 3 \\ 3 & 5 & 8 & 0 & 1 \\ 4 & 2 & 5 & 0 & 0 \end{bmatrix}$$

$$(1,2) \\ (1,4) = (1,3)(3,4) \\ 5 \\ (2,3) = (2,4)(3,1) \\ 2 \quad 5 \\ (2,4) = (2,3)(3,4)$$

$$A^4 = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 1 & 0 & 3 & 5 & 6 \\ 2 & 5 & 0 & 2 & 3 \\ 3 & 3 & 6 & 0 & 1 \\ 4 & 2 & 5 & 7 & 0 \end{bmatrix}$$

$$2-1 \\ (4,1) = (4,3)(3,2) = 7+5=12 \\ 14, \quad 7+8=15 \\ (1,2) = 6+5 \\ (1,5) = (2,1) = 0+6 \\ 2,4 = 3- \\ (2,3)$$

$$= \begin{bmatrix} 0 & 3 & 5 & 6 \\ 5 & 0 & 2 & 3 \\ 3 & 6 & 0 & 1 \\ 2 & 5 & 7 & 0 \end{bmatrix}$$

② TRAVELLING SALESMAN PROBLEM

Problem Statement

A traveller needs to visit all the cities from list, where distances b/w all the cities are known & each city should be visited just once. What is the shortest possible route that he visits each city exactly once & returns to the origin city?

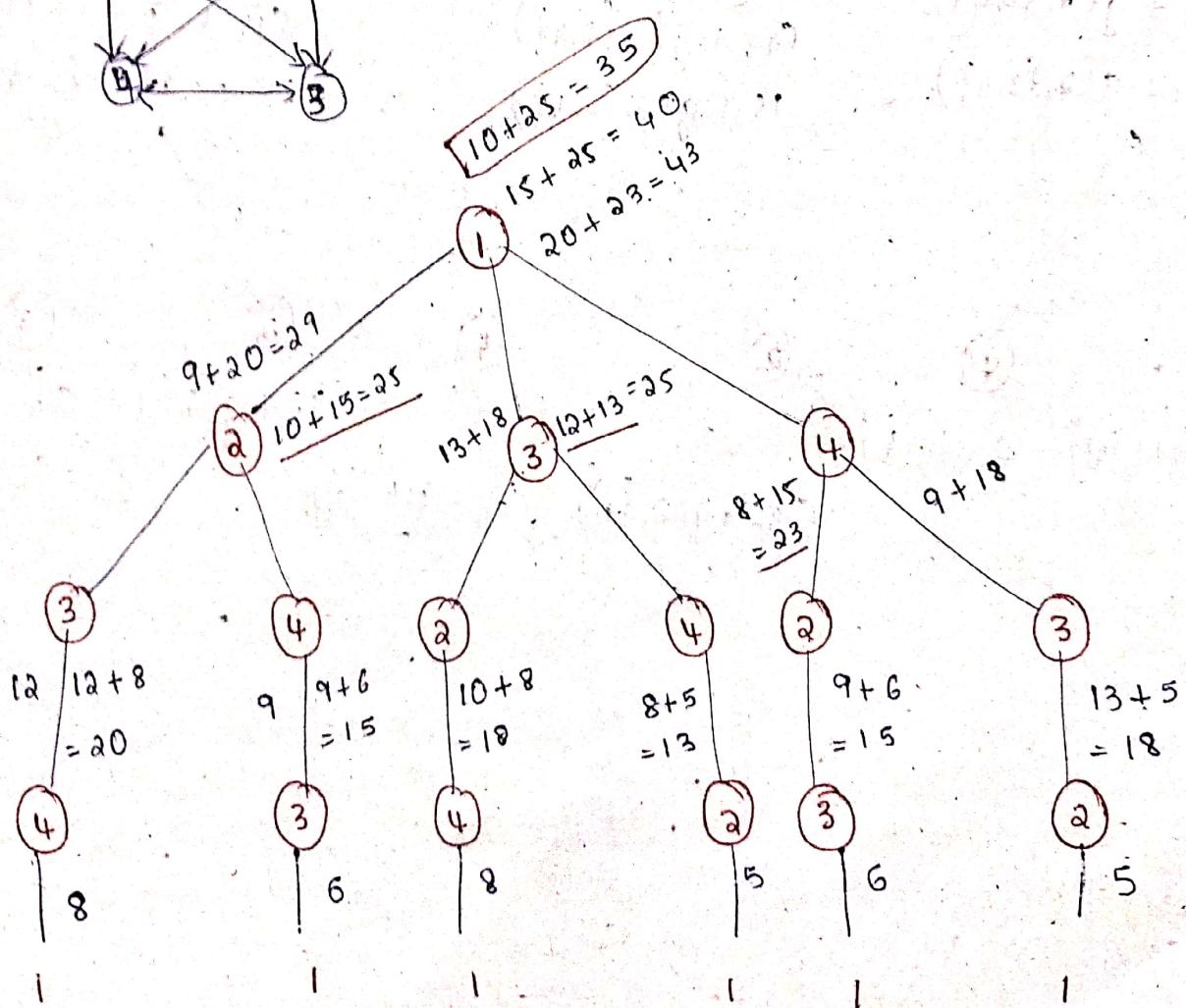
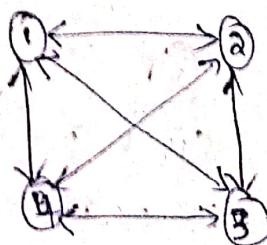
Solution

If we use brute force approach to evaluate all possible tour & select the best one;

For n number of vertices in a graph, there are $(n-1)!$ number of possibilities.

Instead of brute force, using dynamic approach, the solution can be obtained in $\log n$ time, though there is no polynomial time algorithm.

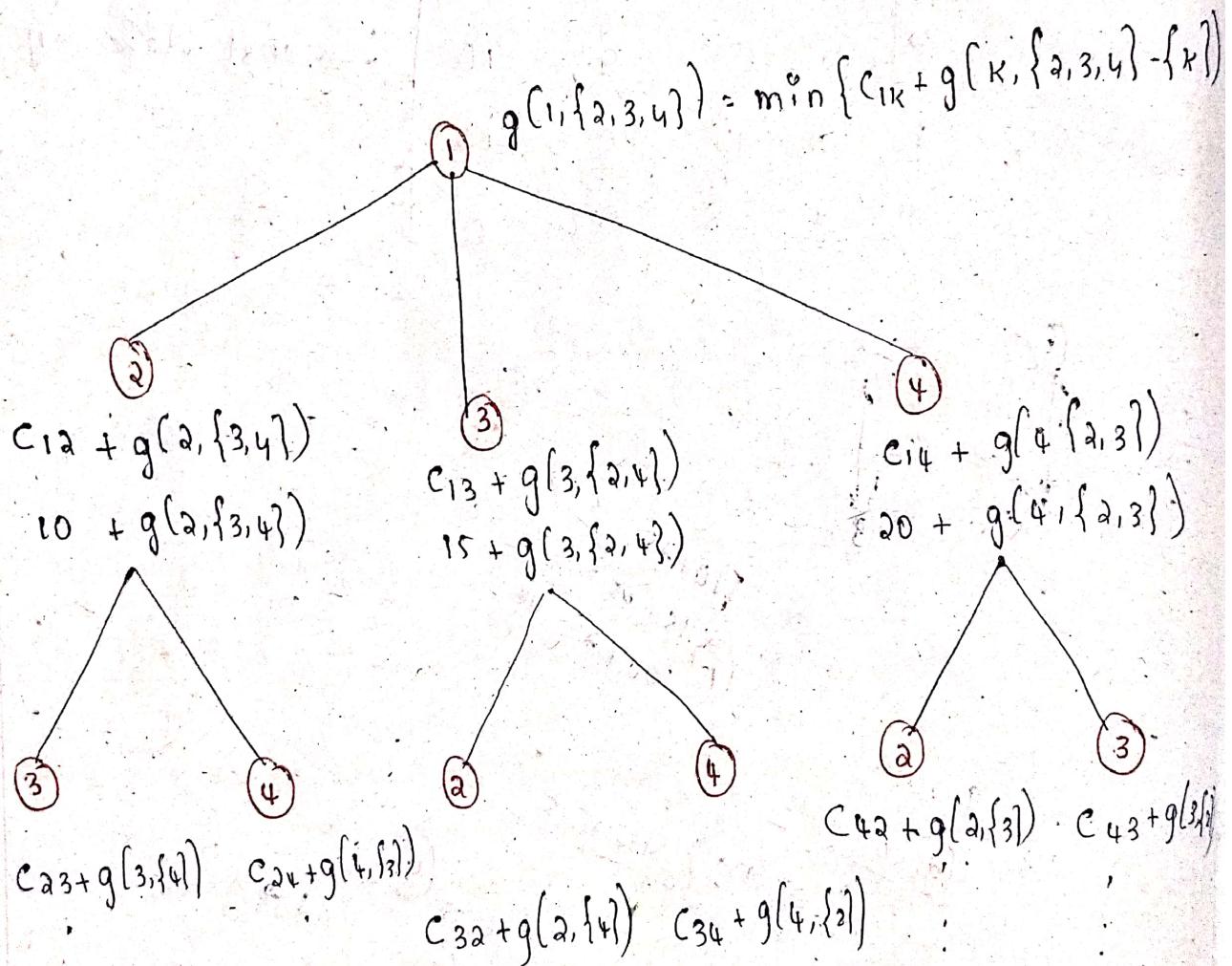
Ex: $A = \begin{bmatrix} 0 & 10 & 15 & 20 \\ 5 & 0 & 9 & 10 \\ 6 & 13 & 0 & 12 \\ 8 & 8 & 9 & 0 \end{bmatrix} \rightarrow \text{cost Adjacency Matrix}$



formula: (for visiting vertex i)

$$g(i, \{2, 3, 4\}) = \min_{K \in \{2, 3, 4\}} \{ c_{ik} + g(k, \{2, 3, 4\} - \{k\}) \}$$

$$g(i, s) = \min_{K \in s} \{ c_{ik} + g(k, s - \{k\}) \}$$



BELLMAN-FORD ALGORITHM (Single-Source shortest path)

- To find the shortest path from source vertex to other vertices in a weighted graph.
- It will work for negative edge.
- Slower than Dijkstra's algorithm.
- If there are n vertices, $(n-1)$ iterations should be performed.

Steps:-

- ① Select a source vertex & assign the distance of source vertex to 0 & the distance of all other vertices as infinity (∞).
- ② calculate the shortest distance from u to v by checking if $(d(u) + c(u,v) < d(v))$
then update $d(v) = d(u) + c(u,v)$ } : RELAXATION
- ③ On relaxing with all edges $(n-1)$ times, where n = no. of vertices, we get the shortest path from source vertex to all other vertex.

Algorithm

BELLMAN-FORD(G, c, s)

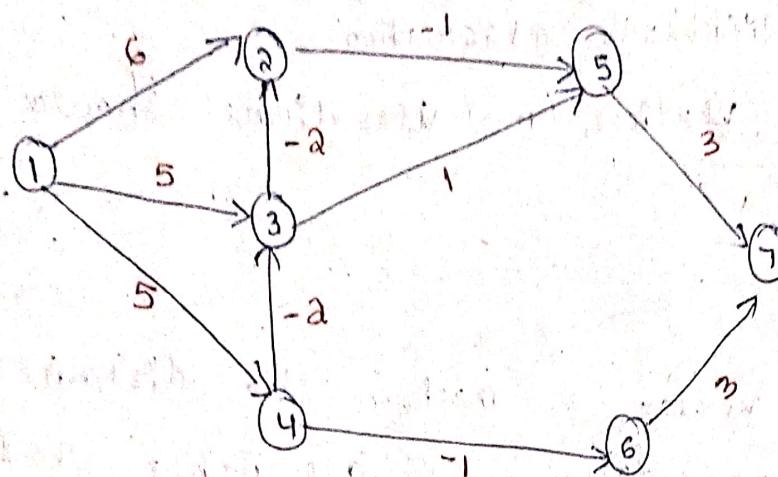
1. Initialize - single-source(G, s)
2. for $i=1$ do $|G \cdot v| - 1$.
3. for each edge $(u, v) \in G \cdot E$
4. RELAX (u, v, c)

5. For each edge $(u, v) \in G_1$, if

6. If $d(u) + c(u, v) < d(v)$

return false

7. return true.

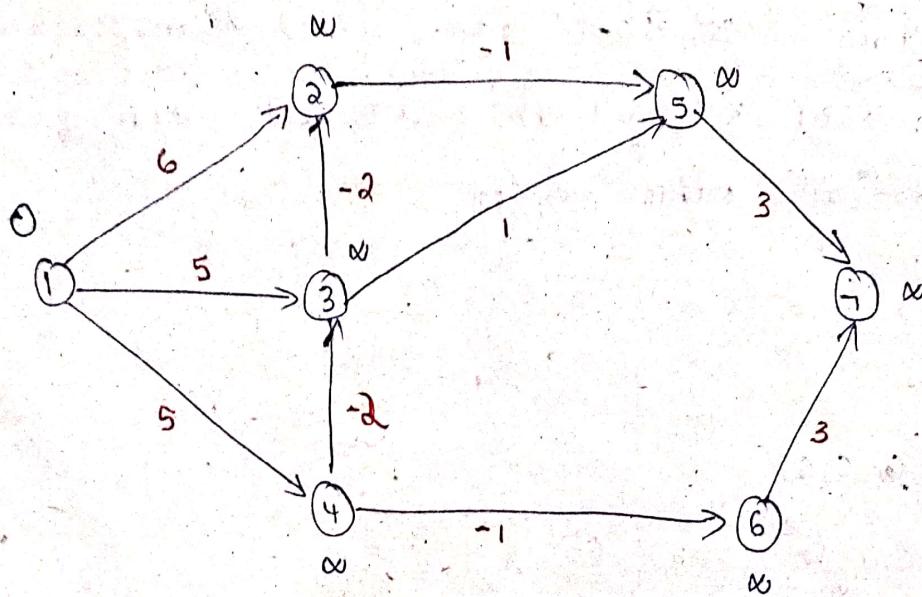


Hence there are 7 vertices and therefore 6 edges
around are required.

> First write all pairs of vertices

$(1, 2), (1, 3), (1, 4) \Leftrightarrow (3, 2), (4, 3), (2, 5), (3, 5), (4, 6), (5, 7), (6, 7)$

> Now relax all these edges



Make the distance of starting vertex as 0 & the remaining as ∞ .

Iteration 1

(1,2)

$$0+6 < \infty$$

$$d(2) = 6 \times$$

(2,5)

$$6-1=5$$

$$5 < \infty$$

$$d(5) = 5$$

(4,3)

$$5-2 < 5$$

$$3 < 5$$

$$d(3) = 3$$

(4,6)

$$5-1 = 4$$

$$4 < \infty$$

$$d(6) = 4$$

(1,3)

$$0+5 < \infty$$

$$d(3) = 5 \times$$

(3,2)

$$5-2 = 3$$

$$3 < \infty$$

$$d(2) = 3 \checkmark$$

(5,7)

$$5+3 = 8$$

$$8 < \infty$$

$$d(7) = 8$$

(1,4)

$$0+5 < \infty$$

$$d(4) = 5$$

(3,5)

$$5+1 = 6$$

$$6 > 5$$

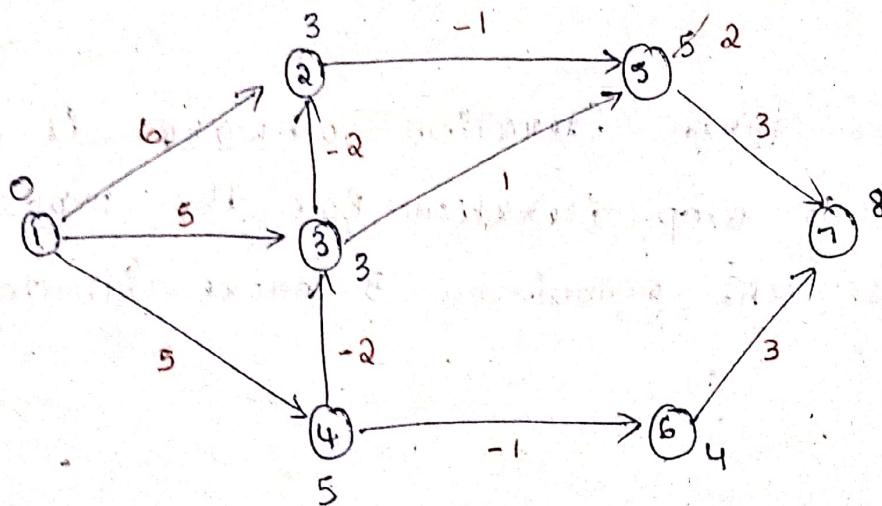
$$d(5) = 5$$

(6,7)

$$4+3 = 7$$

$$7 < 8$$

$$d(7) = 7$$



Iteration 2

(1,2)

$$0+6 > 3$$

$$d(2) = 3 \times$$

(2,5)

$$3-1 = 2 \checkmark$$

$$2 < 5$$

$$d(5) = 2$$

(3,5)

$$3+1 = 4$$

No change

(5,7)

$$2+3 = 5$$

$$d(7) = 5$$

(1,3)

$$0+5 > 3$$

(1,4)

$$0+5 = 5$$

(3,2)

$$-2+3 = 1$$

$$1 < 3$$

$$d(2) = 1$$

(4,3)

$$5-2 = 3$$

No change

(4,6)

$$5-1 = 4$$

No change

(6,7)

$$4+3 > 5$$

No change

Iteration 3

(1,2)

(1,3)

(1,4)

(2,5)

No change

No change

No change

$$1-1 < 2$$

$$0 < 2 \therefore d(2) = 0$$

(3,2)

No change

(3,5)

No change

(4,5)

No change

(4,6)

No change

(5,7)

$$0+3 < 5$$

(6,7)

No change

$$\therefore d(7) = 3$$

In the fourth iteration onwards it stops changing. So. 6 stop iteration. But the program will continue till the remaining 3 more iterations are completed.

Result:

$$d(1) = 0$$

$$d(2) = 1$$

$$d(3) = 3$$

$$d(4) = 5$$

$$d(5) = 0$$

$$d(6) = 4$$

$$d(7) = 3$$

Time Complexity

$$\rightarrow O(\varepsilon, (|V|-1)) = O(\underline{\varepsilon \cdot v})$$

\rightarrow In case of complete graph, time complexity

In complete graph, no. of edges (ε) = $\frac{n(n-1)}{2}$

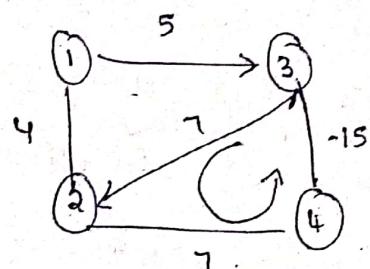
(where n is the no. of vertices)

$$\text{So, } O(\varepsilon v) \text{ becomes } \rightarrow \left[\frac{n(n-1)}{2} (n-1) \right] = O(n^3) //$$

Drawback

This algorithm will not work with graphs having cycles. If there is a negative cycle in the graph the value continue changing even after $(n-1)$ iterations.

Ex:



Module 11

BACKTRACKING

- It is one of the problem solving algorithm design strategy.
- Backtracking is an algorithmic technique to solve problem by incremental way.
- It was a recursive approach to solve the problem.
- We can say that backtracking is used to find all the possible combinations to solve an optimization problem.

In backtracking, the problem can be categorized into three:

① DECISION PROBLEM :- Here we find whether there is any feasible solution.

② OPTIMIZATION PROBLEM :- Here we find whether there exists any best solution.

③ ENUMERATION PROBLEM :- Here we find all possible feasible solutions.

If incrementally builds candidate to the solution, & abandons a candidate ("backtrack") as soon as it determines that the candidate cannot possibly be completed to a valid solution.

Applications of backtracking

- 1) N-Queen's Problem
- 2) Graph Colouring
- 3) 0/1 Knapsack Problem
- 4) Hamiltonian cycles
- 5) Sum of Subsets

Working of backtracking with an example

3 students \rightarrow 2 boys
 \rightarrow 1 girl

3 chairs are there

* we have to arrange them in these chairs.

PROBLEM :- How many ways we can arrange them?

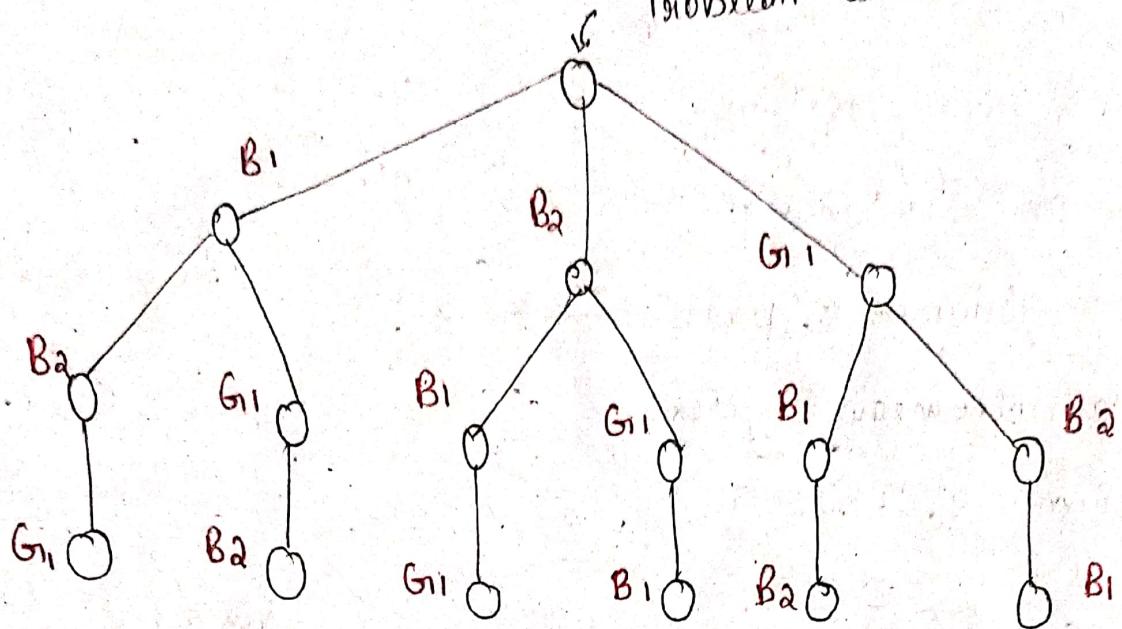
SOLUTION :- $3!$ ways $= 3 \times 2 \times 1 = 6$ ways

We can represent the solution in the form of

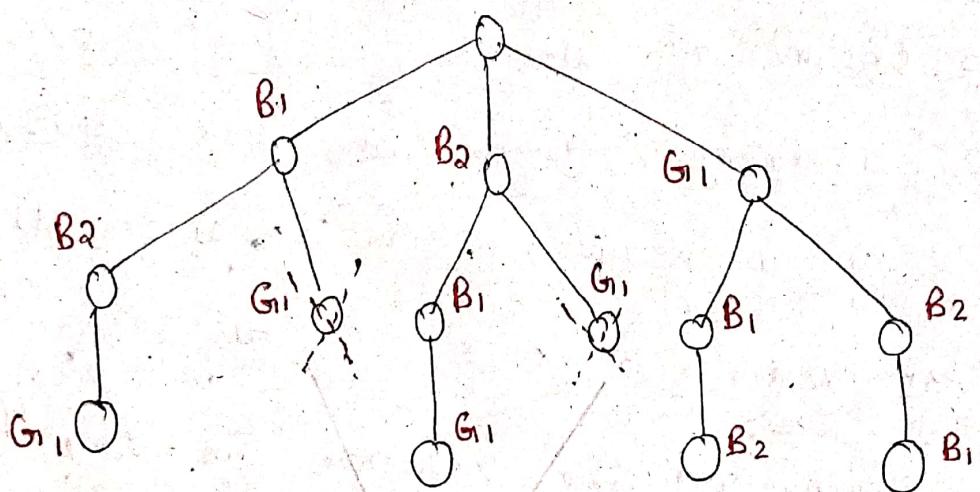
State Space Tree



A state space tree is the tree of the construction of the solution from partial solutions, starting with the root with no component solution.



Next, we are applying a constraint that a girl should not be run below the boys.



Bounding Function

It is needed to kill some live nodes without actually expanding them.

N-Queens Problem

N-Queens problem is to place n-queens in

such a manner on an $N \times N$ chessboard that no Queens attack each other by being in the same row, column or diagonal. It can be seen that for $n=1$, the problem has a trivial solution & solution ^{does not} _{exists} for $n=2$ and $n=3$.

So first we will consider the 4 Queens problem.

Given a 4×4 chessboard & number the rows & columns of the chessboard 1 through 4.

	1	2	3	4
1				
2				
3				
4				

4x4 chessboard.

Since we have to place 4 Queens such as Q_1 , Q_2 , Q_3 & Q_4 on the chessboard, such that no 2 Queens attack each other. In such a condition, each Queen must be placed on a different row.

i.e, we put Queen ' i ' on row ' i '

Now, we place Queen Q_1 on the very first acceptable position $(1,1)$. (Whenever we place a Queen, increment the column & find a position for the next Queen). Next we put Queen Q_2 so that both the Queens do not attack each other.

	1	2	3	4
1	Q1			
2				
3		Q2		
4				

We find that we have placed Q_2 in column 2 & row 3. Then increment the column & when we try to place Q_3 , then the dead end is encountered. So we backtrack one step & replace the last placed Queen Q_2 (by incrementing row). Then we obtain the position of Q_2 in the 4th row & now we can place Q_3 in 3rd column & 2nd row. These steps are continued until all 4 queen are placed in the safe position.

	1	2	3	4
1	Q1			
2			Q3	
3				
4		Q2		

Q_4 can't be placed
So BackTrack

	1	2	3	4
1			Q3	
2		Q1		
3				Q4
4		Q2		

Algorithm

- 1) Start in the leftmost column
- 2) If all queens are placed, then return true.
- 3) Try all row in the current column.
Do following for every tried row.

a) If the Queen can be placed safely in this row, then mark this [row, column] as part of the solⁿ & recursively check if placing queen have to here leads to a solution.

b) If placing the Queen in [row, column] leads to a solution then return true.

c) If placing queen does not lead to a solution then unmark this [row, column] (backtrack) & go to step(a) to try other rows.

4) If all rows have been tried & nothing worked, return false to trigger backtracking.

10/12/2022

SUM OF SUBSETS

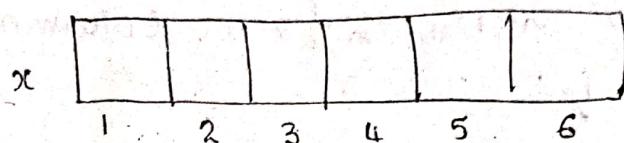
Subset sum problem is to find subset of elements that are selected from a given set whose sum adds up to a given number K . We are considering the set contains non-negative values. It is assumed that the input set is unique (no duplicates are presented).

Consider the following example:

$$w[1:6] = \{5, 10, 12, 13, 15, 18\} \quad n=6$$

We have to take subsets of those weights such that their sum total is exactly equal to a given value (here it is 30). i.e., $m=30$.
Which all weights are including, we will write

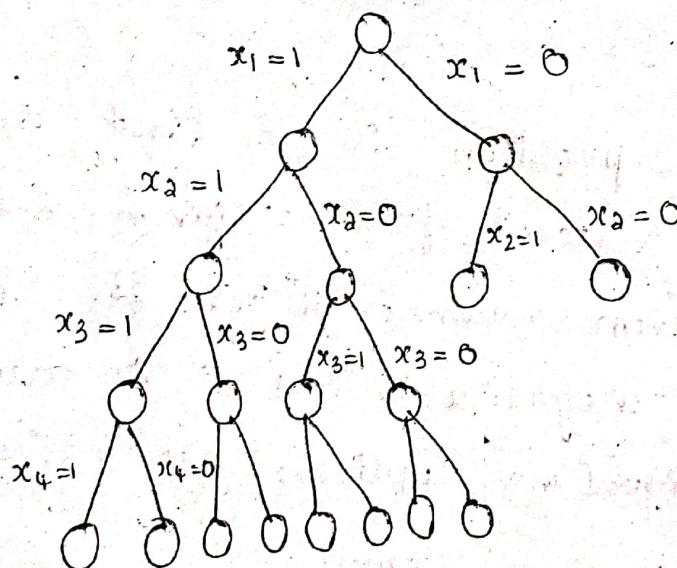
the solution in an array which contains either 0 or 1 as values. i.e., If we include a value, 1 will be written in the array. & If a value is not included, then 0 will be written.



$$x_i = 0/1$$

The solution can be found out by using different methods. One way is to consider all possible selections & find out which of those selections is giving them sum 30. If we draw the state space tree giving

Space tree.

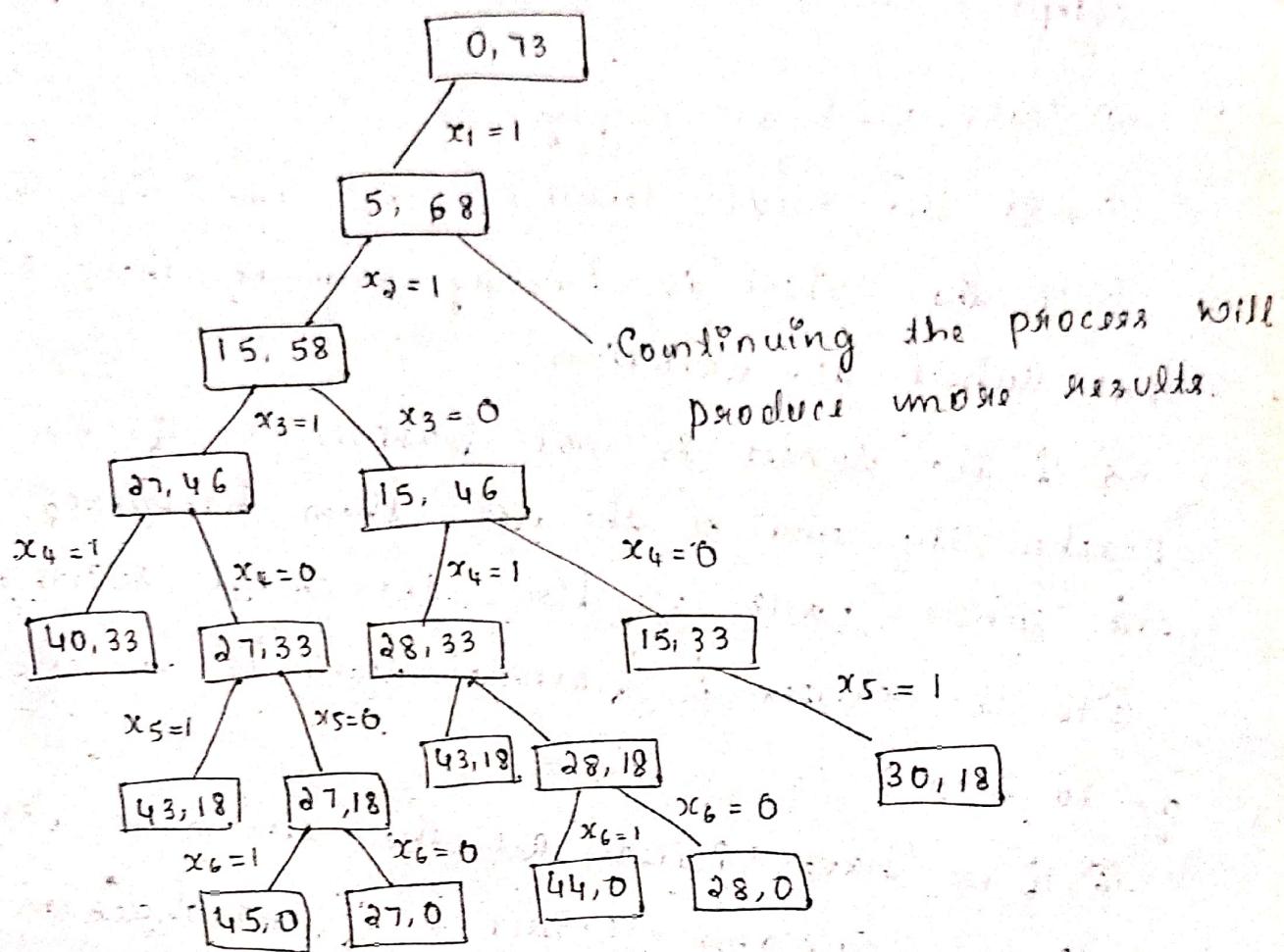


But it is extremely time consuming & the complexity is 2^n .

If we apply backtracking, we have to kill the nodes by applying bounding function.

The state space tree using backtracking is

$$w[1:6] = \{5, 10, 12, 13, 15, 18\}$$



Bounding functions

$$\begin{aligned}
 \sum_{i=1}^K w_i x_i + w_{K+1} &\leq m \\
 \sum_{i=1}^K w_i x_i + \sum_{i=K+1}^n w_i &> m
 \end{aligned}$$

Solutions $x = \begin{bmatrix} 1 & 1 & 0 & 0 & 1 & 0 \end{bmatrix}$

Complexity = $O(nm)$

Steps

- ① Start with an empty set
 - ② Add the next element from the list to the set
 - ③ If the subset is having sum M , then stop with that subset as solution.
 - ④ If the subset is not feasible or if we have reached the end of the set, then backtrack through the subset until we find the most suitable value.
 - ⑤ If the subset is feasible (sum of subset $< M$), then go to step 2.
 - ⑥ If we have visited all the elements without finding a suitable subset & if no backtracking is possible then stop without solution.

Algorithm

Subset-Sum (list, starting-index, target-sum)

```

    if (target - sum == sum) {
        subsetCount++;
        if (startingIndex < list.length) {
            subsetSum(list, sum - list[startingIndex - 1],
                      target - sum);
        }
    } else {
        for (i = startingIndex; i < list.length; i++) {
            if (target - sum == sum) {
                subsetCount++;
                if (startingIndex < list.length) {
                    subsetSum(list, sum - list[startingIndex - 1],
                              target - sum);
                }
            }
        }
    }
}

```

```
    subSetSum( list, sum + list[i], targetSum );  
}  
}  
}
```

3/02/2022 BRANCH AND BOUND

* A systematic method for solving optimization problems.

* It is used when the Greedy method & dynamic programming method may fail.

* Branch & bound is much slower. It often leads to exponential time complexity in the worst case.

On the other hand, if applied very carefully, it can lead to algorithms that run reasonably fast on average.

* The general idea of branch & bound is, it is a BFS like search for optimal solution, but not all the nodes get expanded. Rather, a carefully selected criteria determines which node to expand & when & another criteria tells the algorithm a optimal solution has been found.

* Both BFS & DFS generalizes to branch & bound strategies.

1) BFS is a FIFO search in terms of live nodes. List of live nodes are represented in the form of a Queue.

2) DFS is a LIFO like search in terms of live nodes. List of live nodes are represented in the form of a stack.

3) Least cost search: based on minimum cost

Like backtracking, in branch & bound also we use a bounding function to avoid searches that do not lead to a solution.

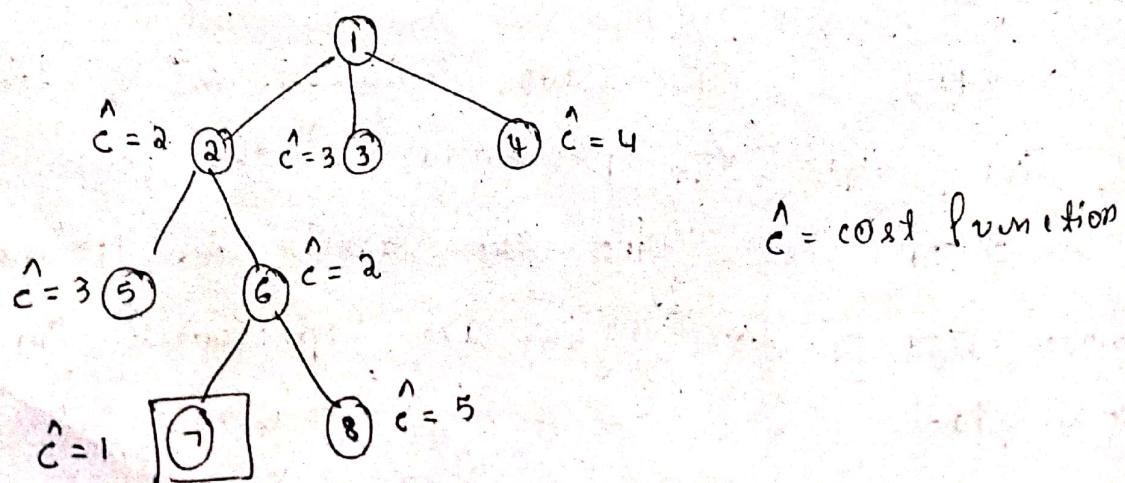
* Branch & bound representation: after the state space search method in which all the children of an E-node are generated before any other live node can become the E-node.

{
→ Live node :- A node we get generated but its children not generated.

→ E-node :- A node is generated if also its children are generated.

→ Dead :- The expansion of this node has no use so, we will kill the node.

eg: state space tree



- > Initially we will take mode 1 i.e., ϵ mode.
- > Next generate the children of mode 1. The children of mode 1 are 2, 3 & 4.
- > By using ranking function, we will evaluate the cost of mode 2, 3 & 4.

$$\hat{c}(2) = 2$$

$$\hat{c}(3) = 3$$

$$\hat{c}(4) = 4$$

respectively.

- > Now we will select a mode which is minimum cost i.e., mode 2. Generate the children of mode 2, i.e., 5 & 6.

- > Between 5 & 6, we will select mode 6. Since its cost is minimum.

- > Generate the children of mode 6 i.e., 7 & 8.

- > We will select mode 7, since the cost of 7 is minimum.

- > cost of mode 7 is the answer.

- > Terminate the selection process.

> Head, ϵ mode $\rightarrow 1, 2, 6$

Live mode $\rightarrow 3, 4, 5, 8$

* Branch & Bound method of algorithm design involves:

- ① Tree organisation of solution space
- ② Use of bounding function to limit the search.

i.e., to avoid the generalization of subproblems
donot consider an answer mode.

* Search techniques used in Branch & Bound

a) B-FS.

b) DPS

c) Least cost search (LCB)

* Two types of bound of used in LCB

c.a) lower bound (\hat{c})

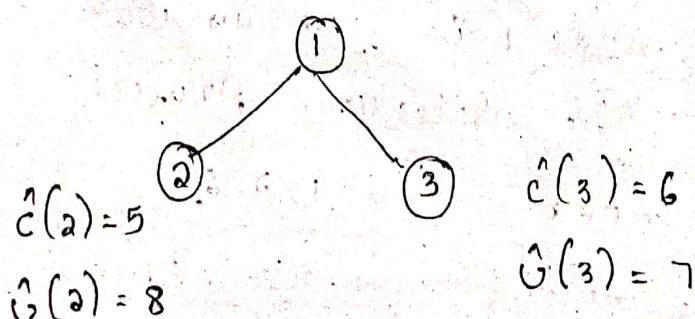
c.b) upper bound (\hat{G})

* While calculating \hat{c} for a node in the state space tree, fractions are allowed.

* While calculating \hat{G} for a node, fractions are not allowed.

$\hat{c} \rightarrow$ fractions

$\hat{G} \rightarrow$ no fractions



lowest upper
bound are
taken if
lowest bound
are equal.

8 - PUZZLE Problem

→ In 8 puzzle problem, there are 8 tiles which are numbered from 1 to 8 placed on a tile

capacity square puzzle

→ The objective of 8 puzzle problem is to transforms the arrangement of tiles from initial arrangement to a goal arrangement.

→ The initial & goal arrangement is shown in below figures.

1	2	3
4		6
8	5	7

a) initial arrangement

1	2	3
4	5	6
7	8	

b) goal arrangement

- There is always an empty slot in the initial arrangement.
- legal moves are the moves in which the tiles adjacent to empty slot are moved to either left, right, up, down.
- The state space tree for 8 puzzle is large because there can be $9!$ different arrangements.
- In state space tree, the nodes are numbered as per the level.
- Each next node is generated based on empty slot positions.
- Edges are labelled according to the direction in which empty spaces moves.
- Root node becomes the E-node.
- We can decide which node to become an

E-mod, based on estimation formula.

$$\hat{c}(x) = f(x) + g^*(\phi x)$$

Consider the given example

1	2	3
4	6	
7	5	8

Initial state

1	2	3
4	5	6
7	8	

Goal State

state space tree

level 1

Initial state

1	2	3
4	6	
7	5	8

level 2

	2	3
1	4	6
7	5	8

1	2	3
4	6	
7	5	8

down node 3

1	2	3
7	4	6
	5	8

up node 2

right node 4

Down node 5

Right node 6

up node 7

level 3

1	2	3
4	5	6
7	8	

1	2	3
4	6	
7	5	8

1	2	3
4	2	6
7	5	8

left node 8

right node 9

level 4

1	2	3
4	5	6
7	8	

Goal state

1	2	3
4	5	6
7	8	

$$f^*(x) = f(x) + g^*(x)$$

where

$f^*(x)$ = lower bound cost of node 'x'

$f(x)$ = length of the path from root node to node 'x'

$g^*(x)$ = number of nodes which are not in their goal pos. position.

$$f^*(1) = 0 + 3 = 3 \quad f^*(5) = 2 + 1 = 3 \text{ (expand)} \quad f^*(8) = 3 + 2 = 5$$

$$f^*(2) = 1 + 4 = 5 \quad f^*(6) = 2 + 3 = 5 \quad f^*(9) = 3 + 0 = 3$$

$$f^*(3) = 1 + 4 = 5 \quad f^*(7) = 2 + 3 = 5$$

$$f^*(4) = 1 + 2 = 3 \text{ (expand)}$$

Lower Bound Theory

Lower bound theory concept is based upon the calculation of minimum time that is required to execute an algorithm.

→ lower bound theory is used to calculate the minimum number of comparison required to execute an algorithm.

→ According to lower bound theory, for lower bound $L(n)$ of an algorithm, it is not possible to

have any algorithm (for common problem) whose time complexity is less than $l(n)$ for a random I/P.

→ Once lower bound is calculated, then we can compare it with the actual complexity of an algorithm & if the orders are same then we can declare our algorithm as optimal.

→ The techniques which are used by lower bound for obtaining lower bounds are:

* Decision tree (comparison tree)

* Oracle & adversary argument

* Techniques for the algebraic problem.

Decision Tree | Comparison Tree

Decision trees are the computational model useful for determining lower bounds for searching & sorting problems.

A decision tree is a full binary tree that shows the comparisons b/w elements that are executed by an appropriate sorting algorithm operating on an $\frac{1}{p}$ of a given size, where

* control, data movement & other conditions of the algorithm are ignored.

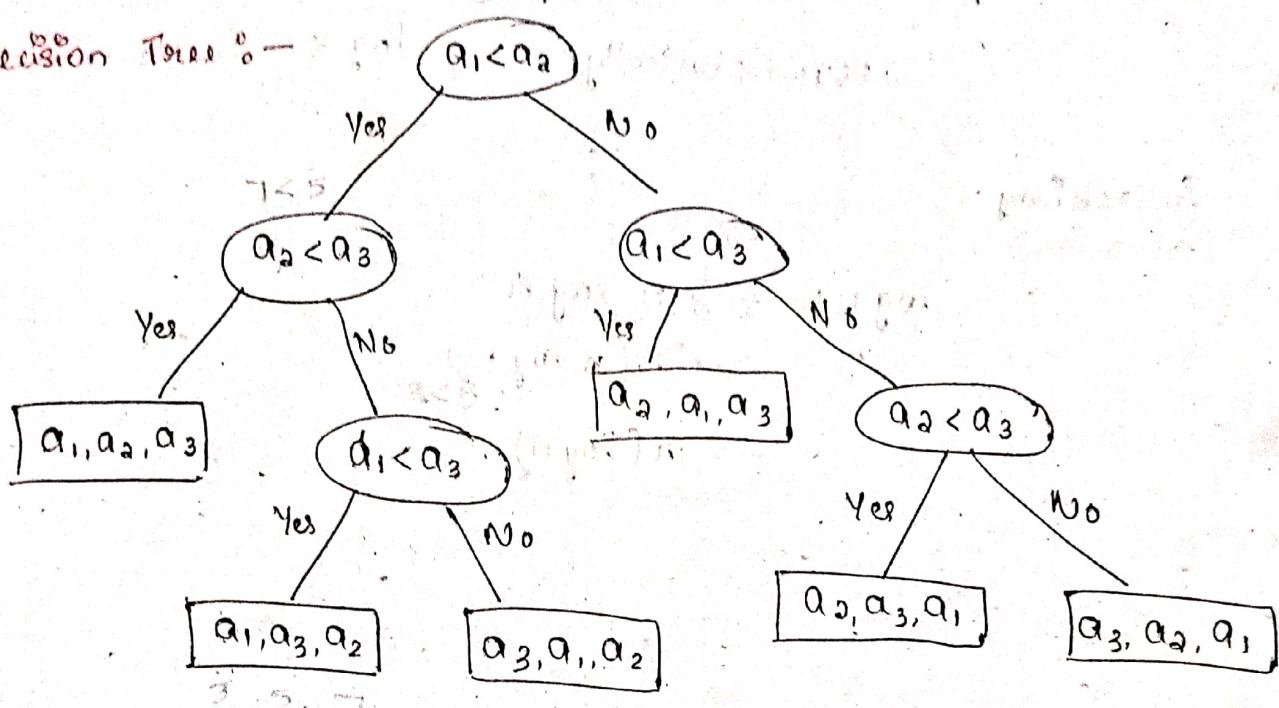
In a decision tree, there will be an array of length n . So total leaves will be $n!$ (total no. of comparisons).

Eg: Comparison of 3 elements a_1, a_2 & a_3 .

Left subtree will be true condition i.e., $a_i \leq a_j$
 Right subtree will be false condition i.e., $a_i > a_j$

Eg: $a_1 = 3, a_2 = 7 \& a_3 = 5$

Decision Tree :-



In this tree all permutations are formed at leaves of tree. For n elements, there are $n!$ permutations. If the decision tree has height h [need h comparison].

A tree with height h has atmost 2^h leaves.

Let l be number of leaves in the tree,

$$n! \leq l \leq 2^h$$

$$n! \leq 2^h$$

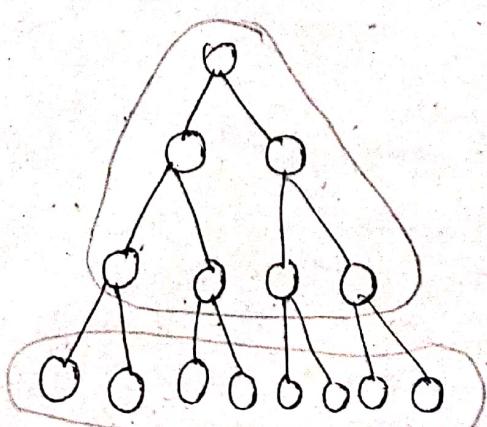
$$\Rightarrow h \geq \log(n!)$$

$$h \geq \log(n!)$$

$$\Rightarrow h = \mathcal{O}(n \log n)$$

$$h = \mathcal{O}(n \log n)$$

General case :



Total No. of nodes = 2^K

Height of the tree = $\log 2^K$

i.e. $\log(\text{total no. of nodes}) = \log 2^K$

asymptotically, = $\log K$

Searching:

$$\log n! \stackrel{\text{height}}{\Rightarrow} n \log n$$

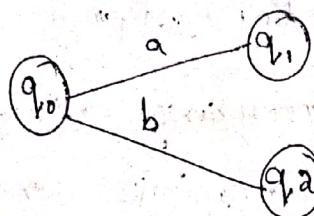
$$\Rightarrow \mathcal{O}(n \log n)$$

$$\Rightarrow \underline{\mathcal{O}(\log n)}$$

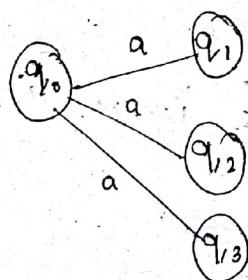
Module 4

Non-deterministic Algorithm

An algorithm for which every operation is uniquely defined is called deterministic algorithm.



An algorithm for which every operation may not have unique result, instead there can be specified set of possibilities for every operation. Such an algorithm is called non-deterministic algorithm.



Non-deterministic means that no particular rule is followed to make the guess.

~~Non-deterministic algorithm is a two stage algorithm.~~

- ① Non-deterministic (Guessing) stage
- ② Deterministic (Verification) stage.

Non-deterministic (Guessing) stage

→ In this stage, generate an arbitrary string that can be thought of as a candidate solution.

→ Deterministic (Verification) stage.

In this stage, candidate solution & instance of the problem are given as input if it returns "Yes", the candidate solution represents their actual solution.

A non-deterministic algorithm terminates successfully if & only if there exist a set of choices leading to a successful solution/ signal.

A machine capable of executing non-deterministic algorithm is called non-deterministic machine (NFA - non-deterministic finite automata).

Algorithm

Algorithm - Non-deterministic()

|| A[1:n] is a set of elements

|| we have to determine the under "i" of A at which element is located.

{

The following for loop is for guessing stage

for i=1 to n do

A[i] = choice()

|| Next is verification stage

if (A[i] == x) then

{

 Write(i);

 Success();

}

 Write(o);

failure();

}

In the above given non-deterministic algorithm, there are 3 function used.

① choice()

② Failure()

③ Success()

① choice() : arbitrarily choose one of the element from the given input set.

② Failure() : It indicate the unsuccessful completion.

③ Success() : It indicate successful completion.

The above non-deterministic search algorithm complexity is $O(1)$ whereas the deterministic search algorithm time complexity is $O(n)$ where Array A is not ordered.

P-NP-Hard and NP complete

The problems are classified into two group: first one consist of the problem that can be solved in polynomial time by using deterministic algorithm.

Eg: Searching of an element from an array - $O(\log n)$ / best

Searching of given m elements - $O(n \log n)$

All pair shortest path problem - $O(n^3)$.

Second one consist of the problem that can be solved in polynomial time by using non-deterministic algorithm.

Eg: 0/1 knapsack problem - $O(2^n)$

Travelling Salesman Problem — $O(n!)$

Sum of subset — $O(2^n)$

P-class Problem

Problems that can be solved in polynomial time are called P-class Problem where P stands for polynomial time.

Linear search — n

Binary Search — $\log n$

Quick Sort — n^2

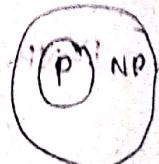
Merge Sort — $n \log n$

Matrix Multiplication — n^3

NP-class Problem

Problems that can be solved in non-deterministic polynomial time is called NP-class Problem.

Relationship b/w P & NP class Problem



COMPUTATIONAL COMPLEXITY PROBLEM

P-class

NP-class

NP-Hard

NP-compl

* Every problem which is in P is called in NP-class.

* Every problem which is a NP-class is not in P-class.

* P class problem can be solved efficiently, NP class problem cannot be solved efficiently as P-class Problem.

NP-Complete Problem

- A problem L_1 is NP complete if and only if it satisfies two conditions.

① L_1 is in NP

i.e., $L_1 \in NP$

② Every problem L_2 in NP is polynomial time reducible to L_1 .

i.e., Determining whether a graph has hamiltonian cycle.

Determining whether a boolean formula is satisfiable

A problem L is **NP Hard** if and only if satisfiability reduces to L .

Eg: Set Cover problem

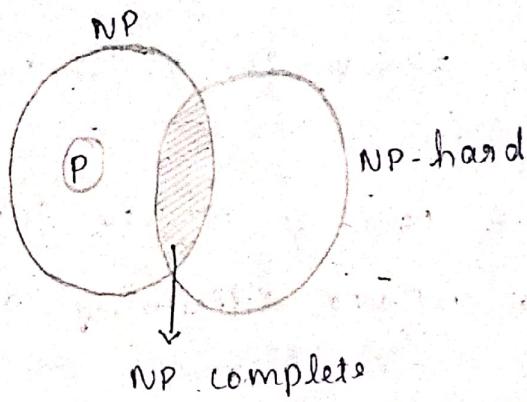
Vertex Cover problem

Travelling Salesperson

Satisfiability $\leq L$

Let L_1 & L_2 be two problem. Problem L_1 reduces to L_2 (symbolic representation) if and only if there is a way to solve L_1 by a deterministic algorithm in polynomial time, then solve L_2 in polynomial time.

Relationship b/w P, NP-Hard, NP, NP-Complete Problems



Cook's theorem:

Satisfiability is in P if and only if $P = NP$.