

# Constructive mathematics in univalent type theory

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# Classical higher-type computability result

In the model of Kleene–Kreisel spaces, the searchable subspaces are precisely the computable images of the Cantor space  $2^{\mathbb{N}}$ .

(M.H.E, LMCS'2008.)

(The compact subspaces are precisely the *continuous* images of the Cantor space.)

(So in the computable word, the searchable sets are the effective analogues of the compact spaces in topology.)

## Closure under $\Sigma$

If  $X$  is omniscient/searchable and  $Y$  is an  $X$ -indexed family of omniscient/searchable types, then so is its disjoint sum  $\Sigma(x : X), Y(x)$ .

## Closure under $\Pi$

Not to be expected in general.

E.g.  $\mathbb{N}_\infty$  and  $2$  are omniscient, but in continuous and effective models of type theory, the function space  $\mathbb{N}_\infty \rightarrow 2$  is not.

In the topological topos,  $\mathbb{N}_\infty \rightarrow 2$  is a countable discrete space.

# Closure under finite products

**Theorem (baby Tychonoff)** A product of searchable types indexed by a finite type is searchable.

## We will need this form of closure under $\Pi$

### Theorem (micro Tychonoff)

A product of searchable types indexed by a subsingleton type is itself searchable.

That is, if  $X$  is a subsingleton, and  $Y$  is an  $X$ -indexed family of searchable types, then the type  $\Pi(x : X), Y(x)$  is searchable.

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This cannot be proved if searchability is replaced by omniscience (that is, if we don't assume that every  $Y(x)$  is pointed).

This is easy with excluded middle, but we are not assuming it.

**Theorem** A subsingleton-indexed product of searchable types is searchable.

1. Let  $X$  subsingleton,  $Y(x)$  searchable for every  $x : X$ .
2.  $Z \stackrel{\text{def}}{=} \Pi(x : X), Y(x)$ .

We have  $\Pi(x : X), (Z \simeq Y(x))$  and  $(X \rightarrow 0) \rightarrow (Z \simeq 1)$ .



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3. Let  $p : Z \rightarrow 2$ .
4. Construct  $z_0(x) \stackrel{\text{def}}{=} \dots$  in  $Z$  using the first equivalence.
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## Amusing consequence, tangential to our development

Consider the truncated version of LPO, which is logically equivalent to the original, untruncated one.

**Corollary.** *The type  $\mathbb{N}^{LPO}$  is searchable.*

- ▶ The reason is that LPO implies that  $\mathbb{N}$  is searchable, and so this is a product of searchable types.

Even though the searchability of  $\mathbb{N}$  is undecided!

- ▶ If LPO holds, the type of the corollary is  $\mathbb{N}$ .
- ▶ If LPO fails, it is the contractible type  $1$ .
- ▶ As LPO is undecided, we don't know what the type  $\mathbb{N}^{LPO}$  “really is”.
- ▶ Whatever it is, however, it is always searchable.

# Disjoint sum with a point at infinity

## Theorem

The disjoint sum of a countable family of searchable sets with a point at infinity is searchable.

We need to say how we add a point at infinity.

The type  $1 + \Sigma(n : \mathbb{N}), X(n)$  won't do, of course.

We will do this in a couple of steps.

# Injectivity of the universe of types

## Theorem

For any embedding  $e : A \rightarrow B$ , every  $X : A \rightarrow U$  extends to some  $Y : B \rightarrow U$  along  $e$ , up to equivalence,

$$\prod (a : A), (Y(e(a)) \simeq X(a)).$$

A map  $e : A \rightarrow B$  is called an embedding iff its fibers  $e^{-1}(b)$ ,

$$\Sigma (a : A), f(a) = b,$$

are all subsingletons.

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Two constructions:

1. We have the “maximal” extension  $Y = X/e$ .

$$\begin{aligned}(X/e)(b) &= \Pi (s : e^{-1}(b)) , X(\text{pr}_1 s) \\ &\simeq \Pi(a : A), e(a) = b \rightarrow X(a).\end{aligned}$$

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2. And also the “minimal” extension  $Y = X \setminus e$ .

$$\begin{aligned}(X \setminus e)(b) &= \Sigma (s : e^{-1}(b)) , X(\text{pr}_1 s) \\ &\simeq \Sigma(a : A), (e(a) = b) \times X(a).\end{aligned}$$

The first one works our purposes.

# Injectivity of the universe of types

Let  $e : A \rightarrow B$  be an embedding and  $X : A \rightarrow U$ .

Consider the extended type family  $X/e : B \rightarrow U$  defined above:

$$(X \setminus e)(b) = \Pi (s : e^{-1}(b)) , X(\text{pr}_1 s)$$

We have

1. For all  $b : B$  *not* in the image of the embedding,

$$(X/e)(b) \simeq 1.$$

2. If for all  $a : A$ , the type  $X(a)$  is searchable too, then for all  $b : B$  the type  $(X/e)(b)$  is searchable, by **micro-Tychonoff**.
3. Hence if additionally  $B$  is searchable, the type  $\Sigma(b : B), (X/e)(b)$  is searchable too.
4. We are interested in  $A = \mathbb{N}$  and  $B = \mathbb{N}_\infty$ , which gives the disjoint sum of  $X(a)$  with a point at infinity.

A map  $L : (\mathbb{N} \rightarrow U) \rightarrow U$

Let  $e : \mathbb{N} \rightarrow \mathbb{N}_\infty$  be the natural embedding.

Given  $X : \mathbb{N} \rightarrow U$ , first take  $X/e : \mathbb{N}_\infty \rightarrow U$

This step adds a point at infinity to the sequence.

We then sum over  $\mathbb{N}_\infty$ , to get  $L(X)$ :

$$L(X) = \Sigma(u : \mathbb{N}_\infty), (X/e)(u).$$

By micro-Tychonoff,  $L$  maps any sequence of searchable types to a searchable type.

Iterating this map  $L : (\mathbb{N} \rightarrow U) \rightarrow U$

We get (very large!) searchable ordinals, with the property that any inhabited *decidable* subset has a least element.



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An ordinal is a type  $X$  with a transitive, extensional, relation satisfying well-foundedness for *decidable* subsets.

A functor  $F : U \rightarrow U$

$F(X) = L(\lambda n.X)$ , which is equivalent to  $\Sigma(u : \mathbb{N}_\infty), \Pi(n : \mathbb{N}), X^{e(n)=u}$ .

An equivalent coinductive definition of  $F$  is given by constructors

$$\begin{aligned} \text{zero} & : X \rightarrow F(X), \\ \text{succ} & : F(X) \rightarrow F(X). \end{aligned}$$

This is the so-called delay monad.

# Curry–Howard “excluded middle”

**Theorem of MLTT+ $\parallel - \parallel$ .** The following are logically equivalent:

1.  $\Pi(X : \mathcal{U}), X + \neg X$ .
2.  $\Pi(X : \mathcal{U}), \neg\neg X \rightarrow X$ .
3.  $\Pi(X : \mathcal{U}), \parallel X \parallel \rightarrow X$ .
4.  $\Pi(X : \mathcal{U}), \Sigma(f : X \rightarrow X), \Pi(x, y : X), f(x) = f(y)$ .

► This is more like **global choice** than excluded middle.

We can pick a point of every non-empty type.

► It implies that all types are **sets**, making univalent type theory trivial.

► **False** in the presence of univalence.

Not possible to choose a point of every set in an invariant-under-isomorphism way.

# Univalent excluded middle

The following are equivalent:

1.  $\Pi(P : \mathcal{U}), \text{isProp}(P) \rightarrow P + \neg P,$
2.  $\Pi(P : \mathcal{U}), \text{isProp}(P) \rightarrow \neg\neg P \rightarrow P,$
3.  $\Pi(X : \mathcal{U}), \neg\neg X \rightarrow \|X\|.$

Which is consistent with univalent type theory.

# Correct formulation of unique existence

- ▶ Not  $(\Sigma(x : X), A(x)) \times (\Pi(x, y : X), A(x) \times A(y) \rightarrow x = y)$ .
- ▶ Instead  $\text{isSingleton}(\Sigma(x : X), A(x))$ .  
Especially when formulating universal properties.
- ▶ A unique  $x : X$  such that  $A(x)$  is not enough.
- ▶ What is really needed is a unique *pair*  $(x, a)$  with  $x : X$  and  $a : A(x)$ .  
Like in category theory again.  
Unless all types are sets.

## Correct formulation of “at most one”

$\text{isProp}(\Sigma(x : X), A(x)).$

# Choice just holds in Curry–Howard logic

Let  $X, Y : \mathcal{U}$  be types and  $R : X \times Y \rightarrow \mathcal{U}$  be a relation.

$$(\Pi(x : X), \Sigma(y : Y), R(x, y)) \rightarrow \Sigma(f : X \rightarrow Y), \Pi(x : X), R(x, f(x)).$$

Moreover, the implication is a type equivalence.



However, univalent choice implies univalent excluded middle

$$(\Pi(x : X), \|\Sigma(y : Y(x)), R(x, y)\|) \rightarrow \|\Sigma(f : \Pi(x : X), Y(x)), \Pi(x : X), R(x, f(x))\|.$$

The assumptions are that  $\text{isSet } X$  and  $\text{isSet } Y(x)$  for all  $x : X$ , and we are given  $R : (\Sigma(x : X), Y(x)) \rightarrow \Omega$ .

This form of choice is consistent with univalent type theory.

## Equivalent formulation of univalent choice in pure MLTT (and hence in UTT)

$$(\Pi(x : X), \neg\neg\Sigma(y : Y(x)), R(x, y)) \rightarrow \neg\neg\Sigma(f : \Pi(x : X), Y(x)), \Pi(x : X), R(x, f(x)).$$

This is because if we have excluded middle then the propositional truncation is double negation, but choice (expressed with double negation) gives excluded middle.

But we do get unique choice

# “Moral”

1.  $\Sigma$  is used to express given structure or data in general.

Cf. the type of groups.

2. Truncated  $\Sigma$  is used to express existence. (Still constructive.)

- ▶ But, even better, in practice, one is encouraged to use  $\Sigma$  so that it produces univalent propositions without the need of truncation (if we can).

- ▶ A crucial example is Voevodsky’s primary notion of [equivalence](#).

(But we have seen additional examples.)

3. At the moment, it seems to be an art to decide whether particular mathematical statements should be formulated as giving structure/data or propositions.

4. But the [main point](#) is that this mathematical language allows the distinction.