



Hands on with GraphRAG



Hi, ich bin Martin



Technical Curriculum Developer at Neo4j

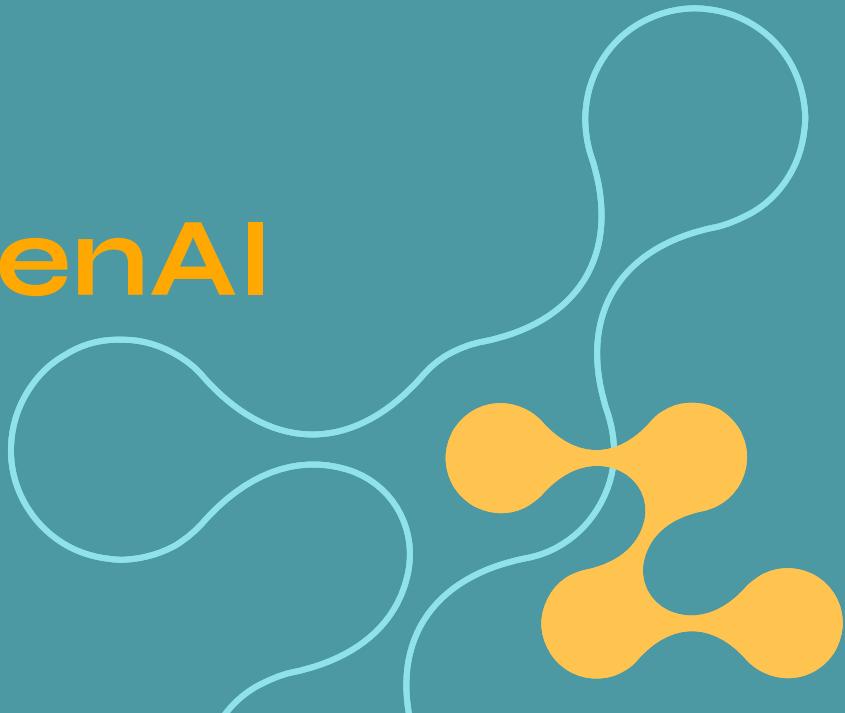


@martinohanlon





Graphs and GenAI



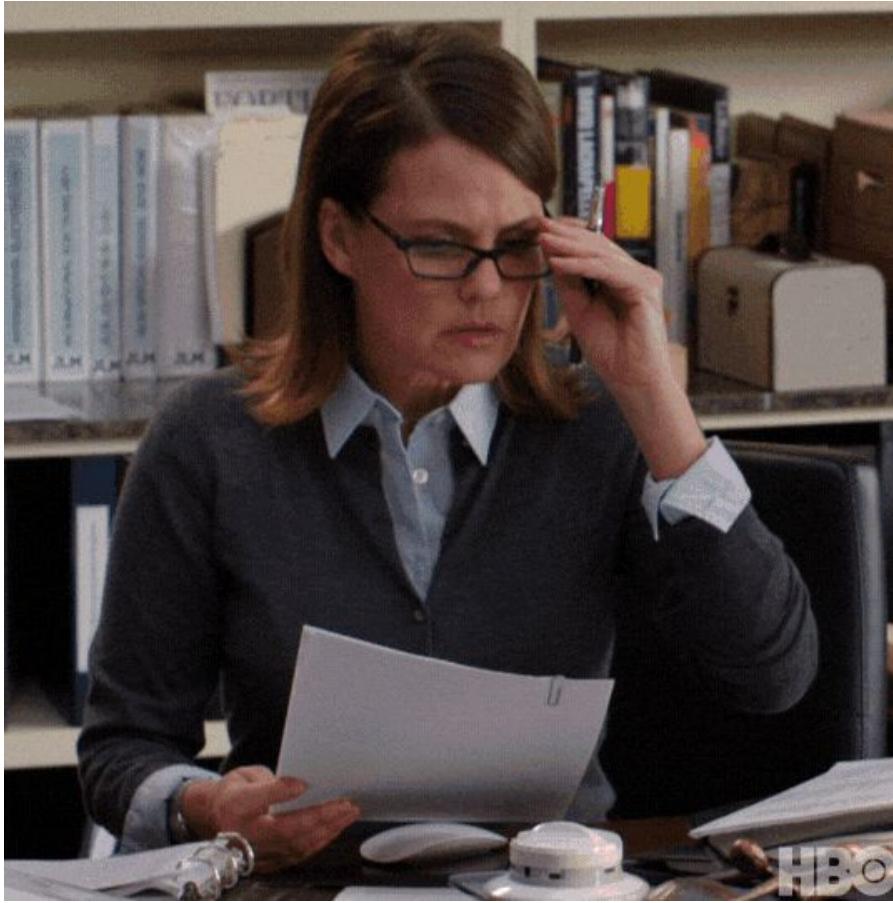
LLMs aren't perfect!



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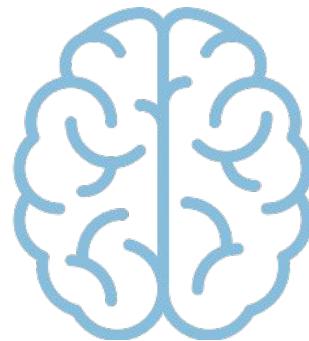


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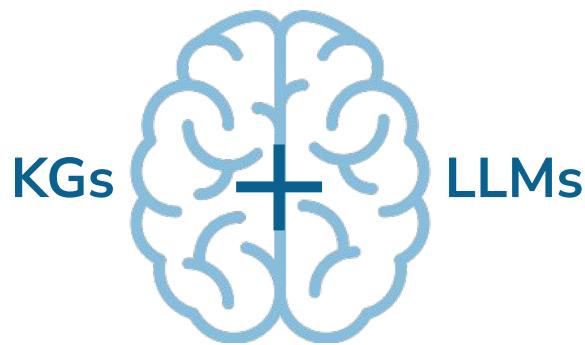
HBO

Knowledge
Context
Enrichment



Language
Reasoning
Creativity

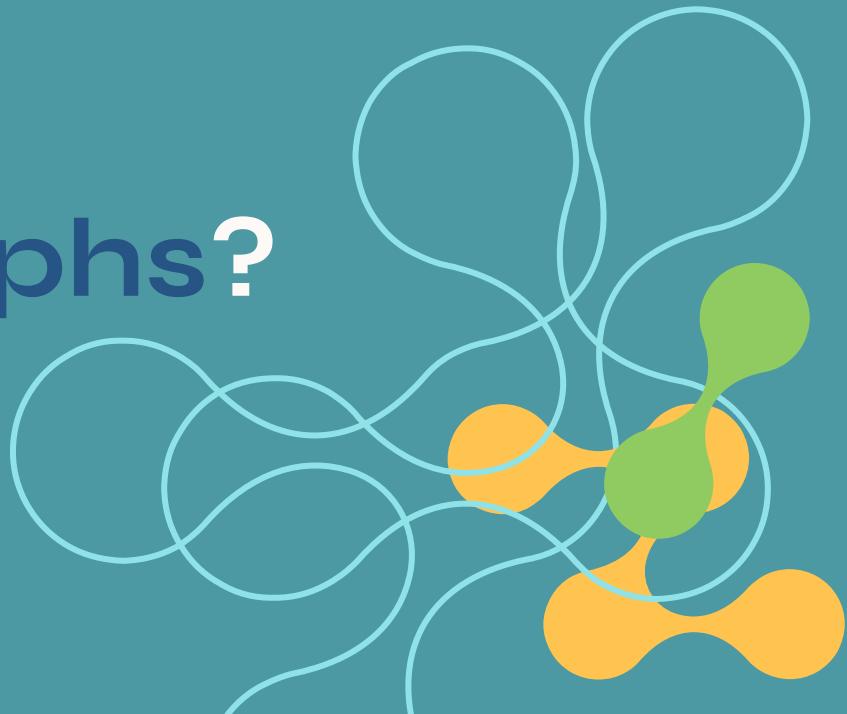
Knowledge
Context
Enrichment



Language
Reasoning
Creativity



What are Graphs?



graphs - Google Search

google.com/search?scas_euv=a7dd94b2e88221ce&sca_upv=1&rlz=1C5GCEM_en&sxsrf=ADLYWILO-Kh6XfeDAmQuqUguqGhFRfoiA:1717428819204&q=graphs&uds=ADvngMjdDqq...

Google graphs

All Images Videos News Maps More Tools

Math Data Line Bar Statistics Blank Chart Printable Function

Types of Graphs

Types of graphs are a visual representation of a mathematical relationship.

Third Space Learning Types of Graphs - Math Steps, Examples ...

Favourite Colour

Twinkl What is a Graph? - Maths - Twinkl

Cambridge Mathematics

Cambridge Mathematics Cambridge Mathematics

BBC

BBC Line graphs - KS3 Maths - BBC Bitesize

BYJU'S

BYJU'S of Graphs in Mathematics and Statistics ...

Corporate Finance Institute

Corporate Finance Institute Types of Graphs

MathBitsNotebook

MathBitsNotebook Interpreting Graphs - MathBit...

Indeed

Indeed 13 Types of Graphs and Charts (Plus ...)

BYJU'S

BYJU'S of Graphs in Mathematics and S...

Carolina Knowledge

Carolina Knowledge ... Graphs and Charts

storytelling with data

verbalizing and explaining charts ...

Twinkl

Twinkl What is a graph? - Twinkl

SplashLearn

SplashLearn What is a Graph in Math? Definition ...

BBC

BBC Introducing line graphs - Maths ...

SplashLearn

SplashLearn What is a Graph in Math? Definition ...

ChartExpo

Charts and Graphs for Data Visualization

Actual Count of Pieces of Candy in 10 Bags

Actual Count of Pieces of Candy in 10 Bags

Net Income / Y2

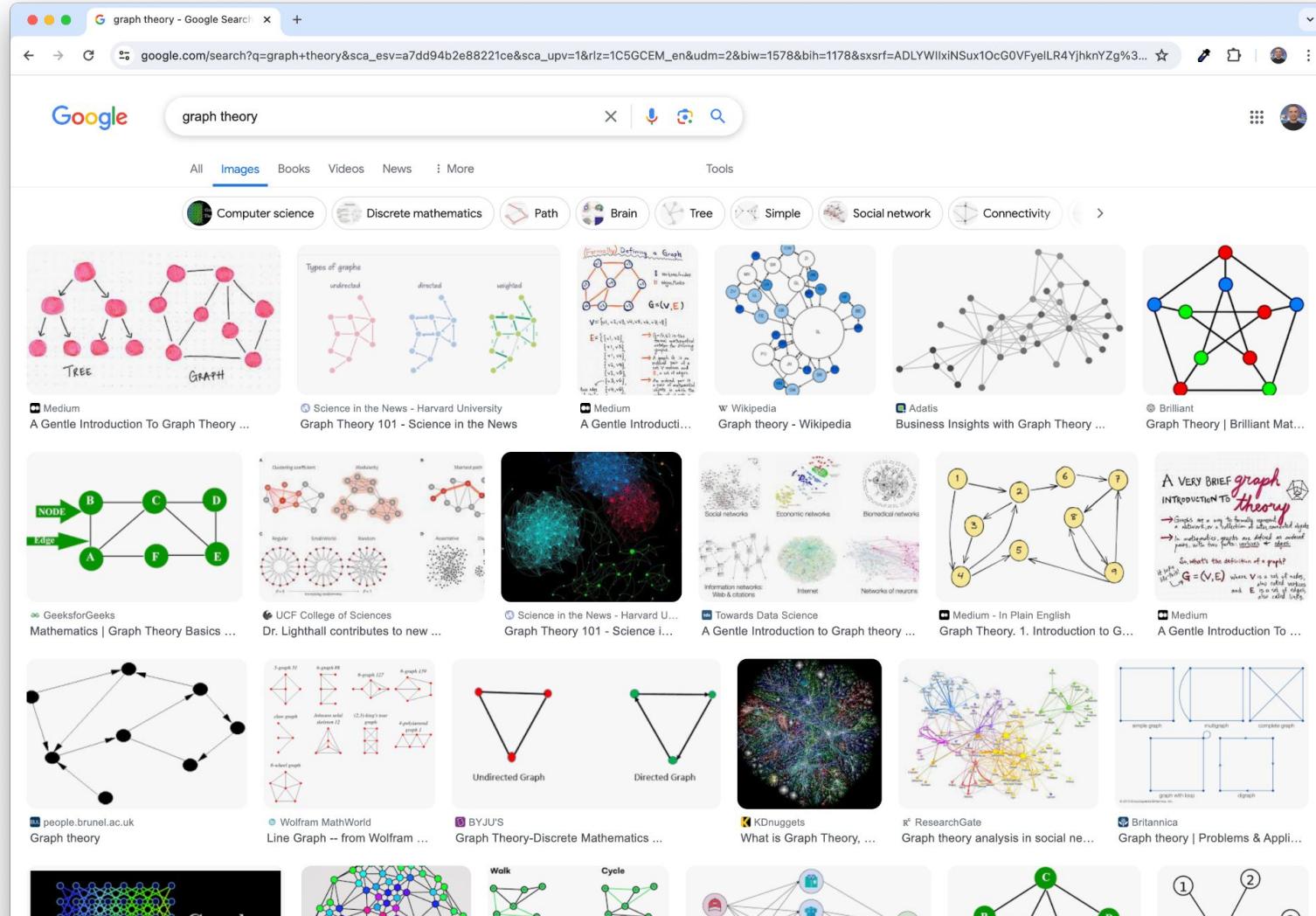
Net Income / Y2

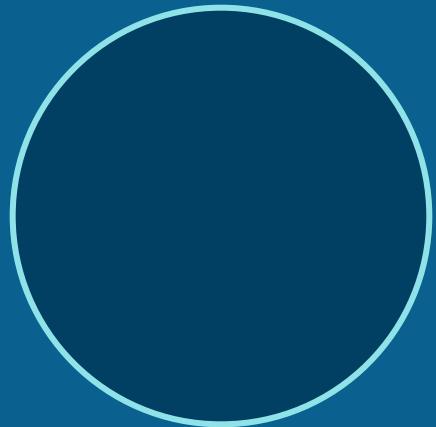
Matplotlib

Matplotlib

EXAMPLE OF A LINE GRAPH

EXAMPLE OF A LINE GRAPH Push-ups







Toy
Story

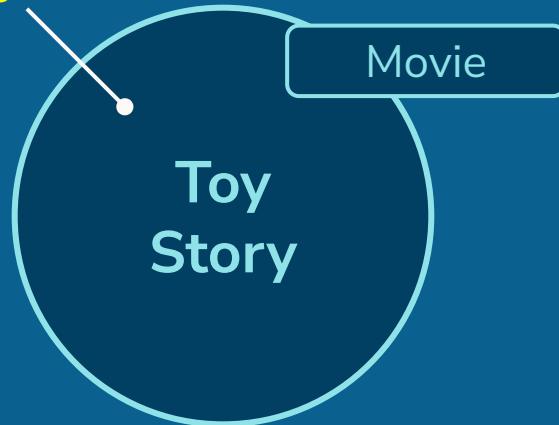


Nodes represent *things*



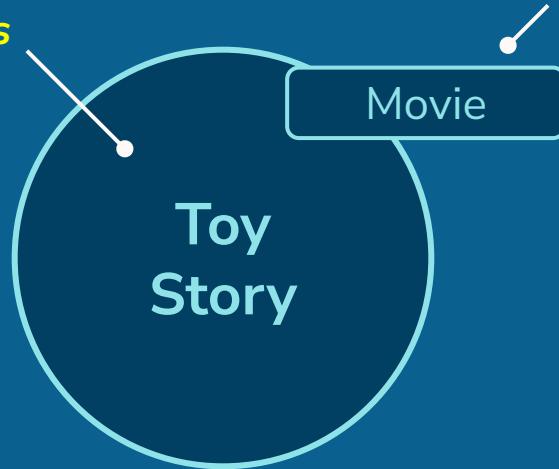


Nodes represent *things*





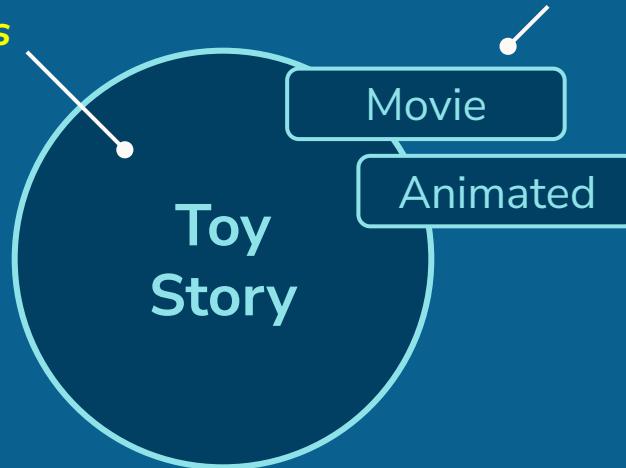
Nodes represent *things*



Nodes can be identified by one or more *labels*



Nodes represent *things*

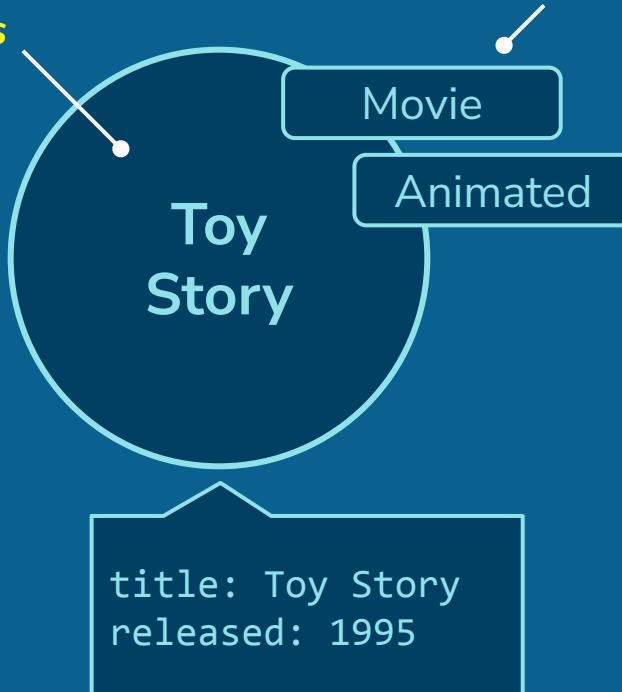


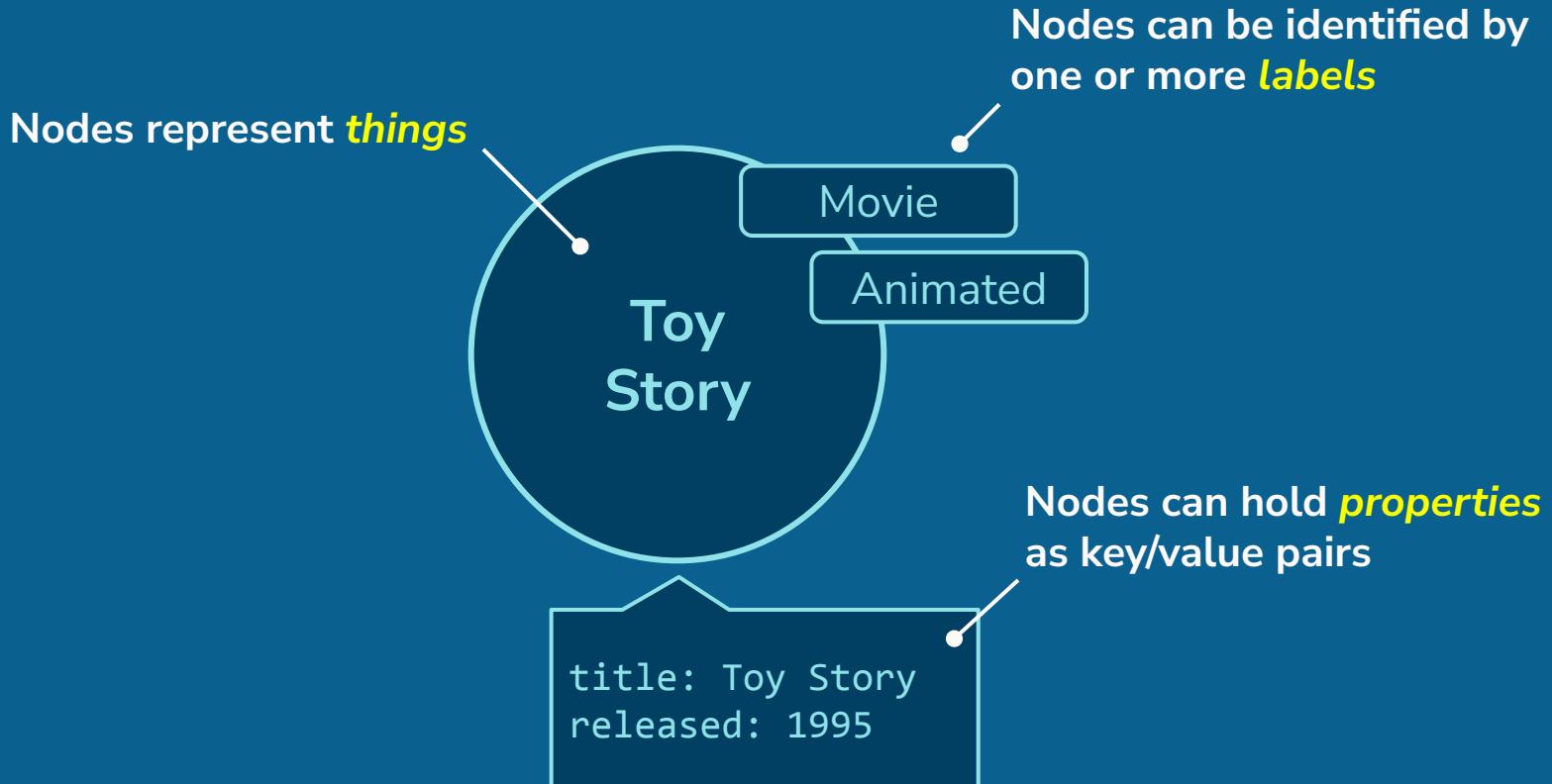
Nodes can be identified by one or more *labels*



Nodes represent *things*

Nodes can be identified by one or more *labels*

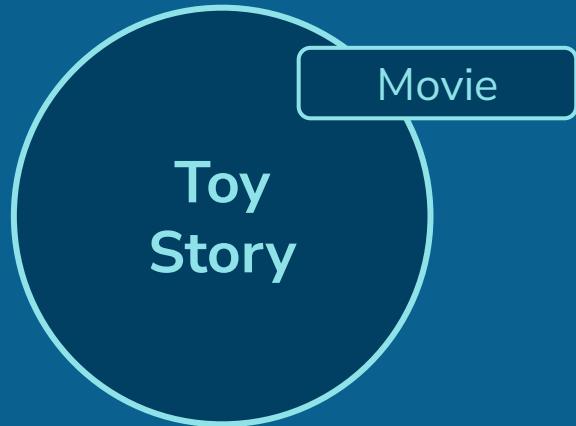
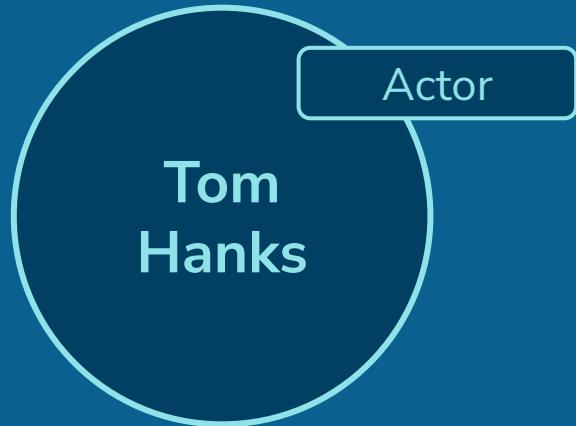


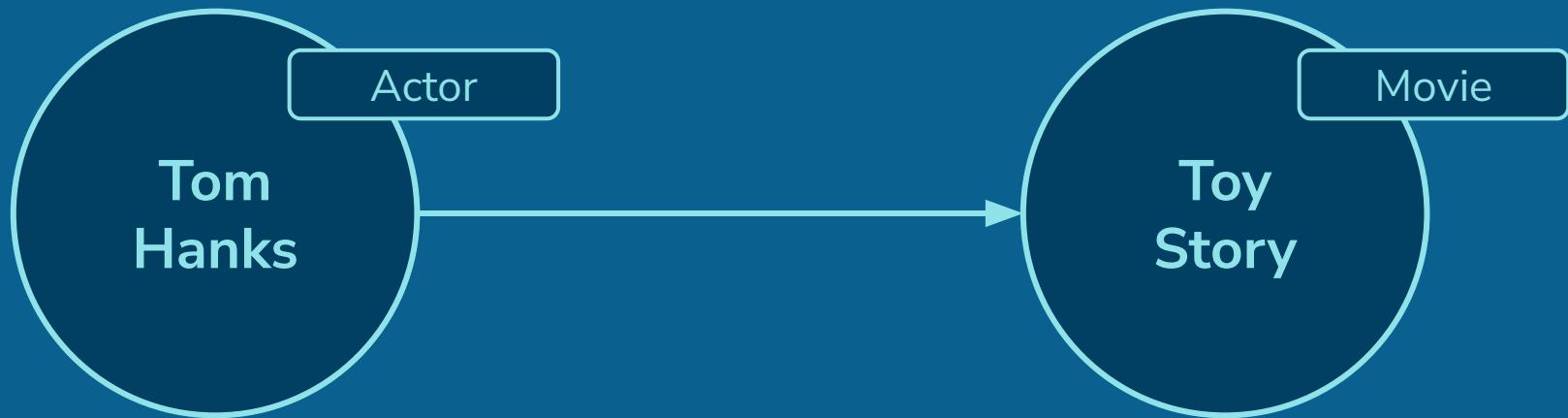




Toy
Story

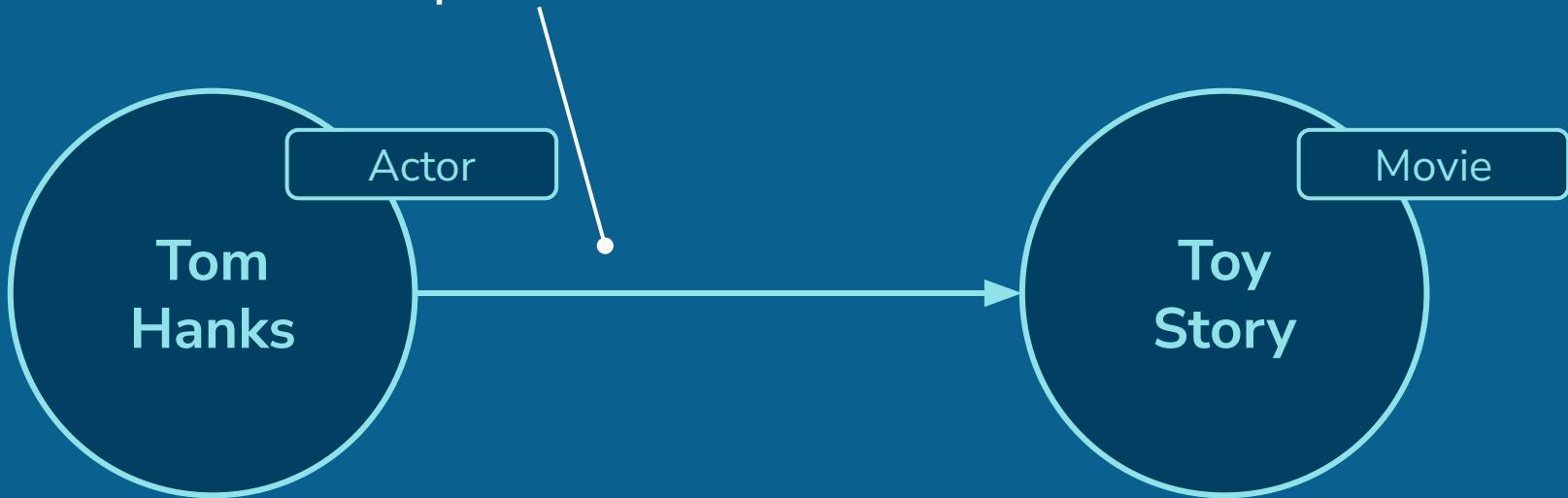
Movie





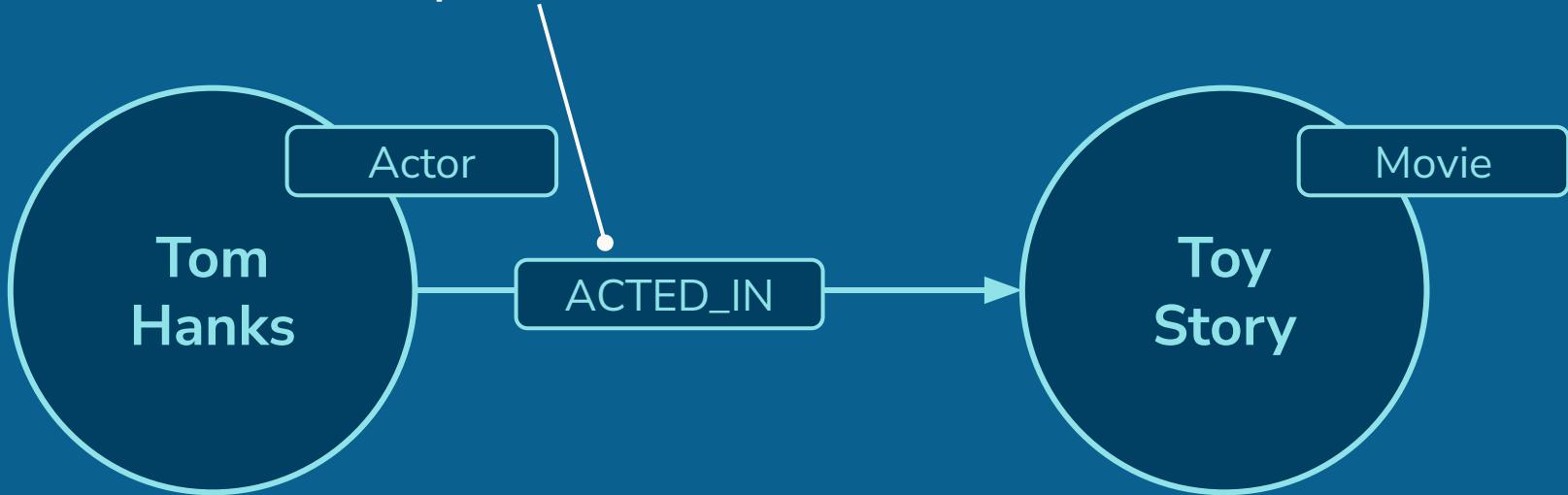


Relationships connect *two nodes*



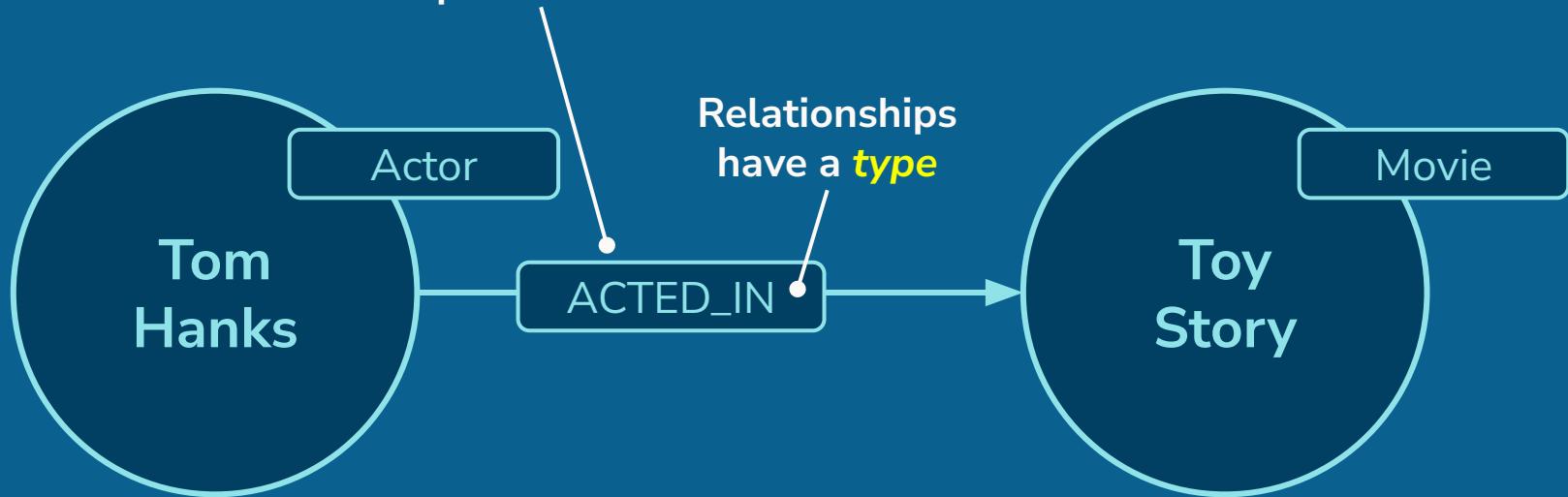


Relationships connect *two nodes*



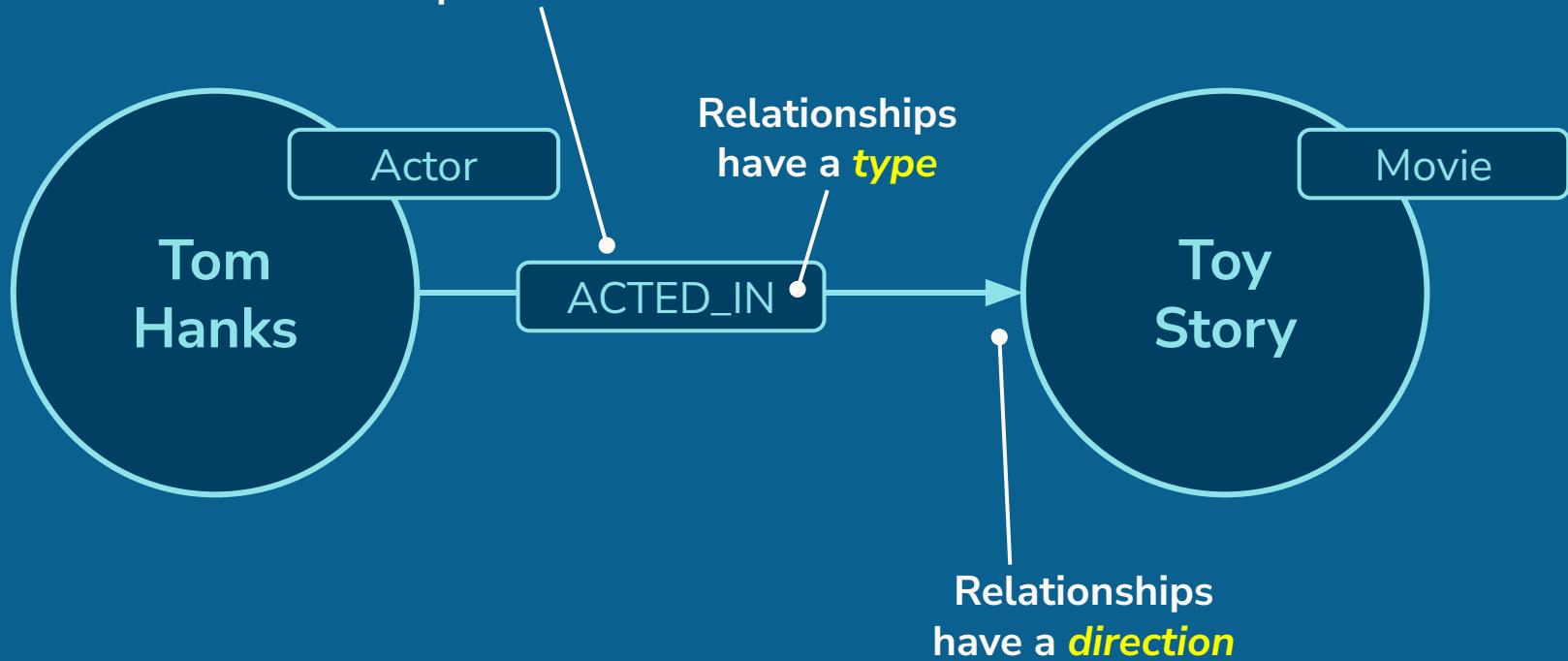


Relationships connect *two nodes*



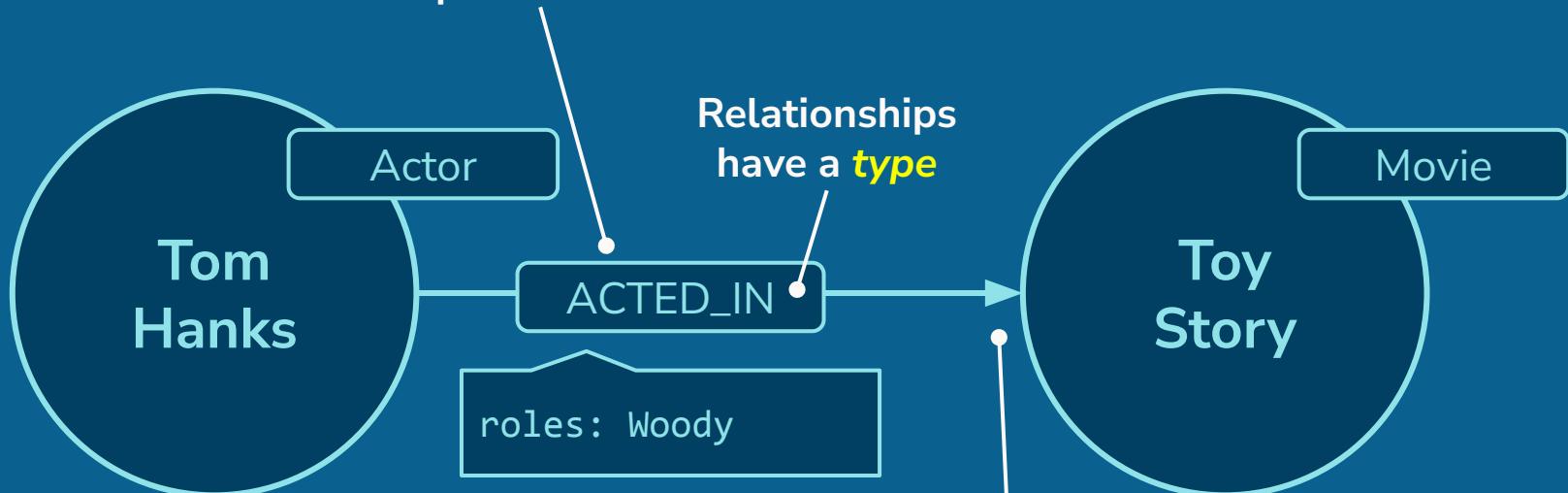


Relationships connect *two nodes*





Relationships connect *two nodes*

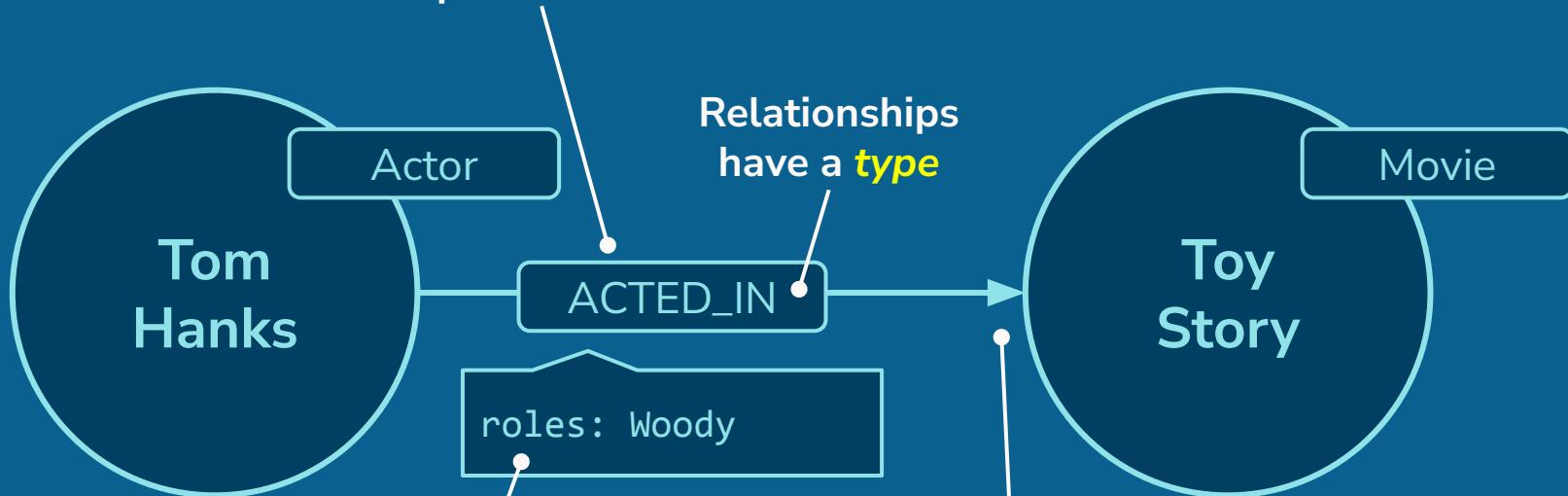


Relationships
have a *type*

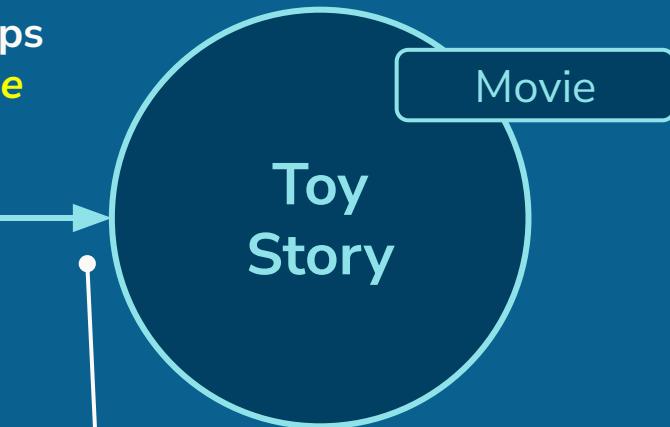
Relationships
have a *direction*



Relationships connect *two nodes*



Relationships can also hold *properties* as key/value pairs



Relationships have a *type*

Relationships have a *direction*

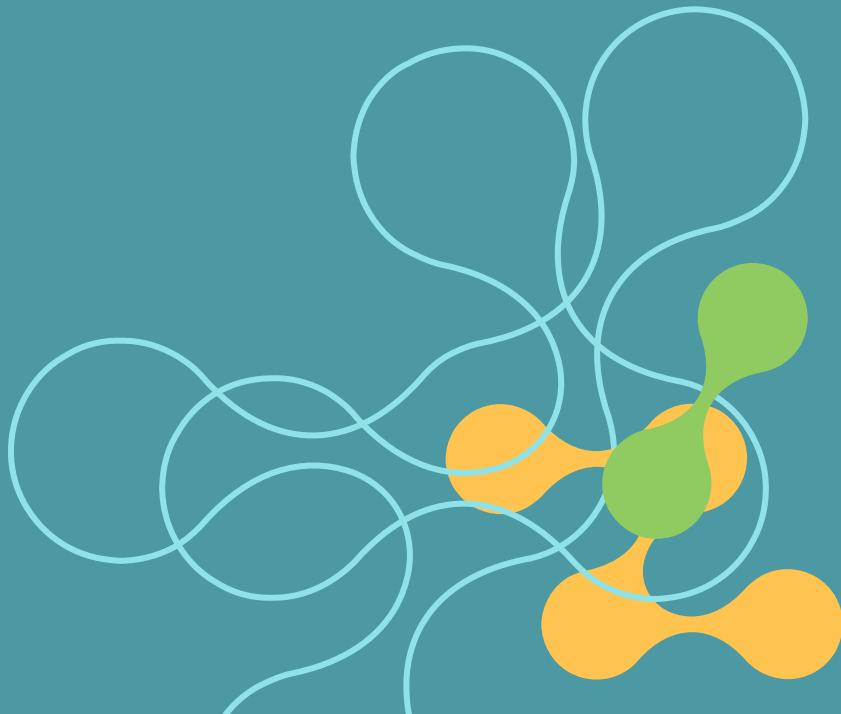
Graphs are everywhere



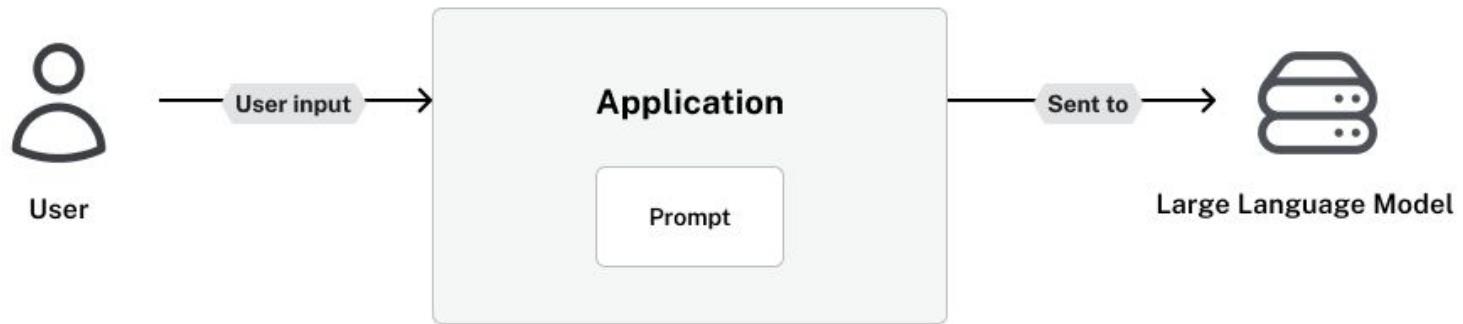
**Graph databases are
designed to efficiently **store** and
query complex networks of
nodes and relationships**



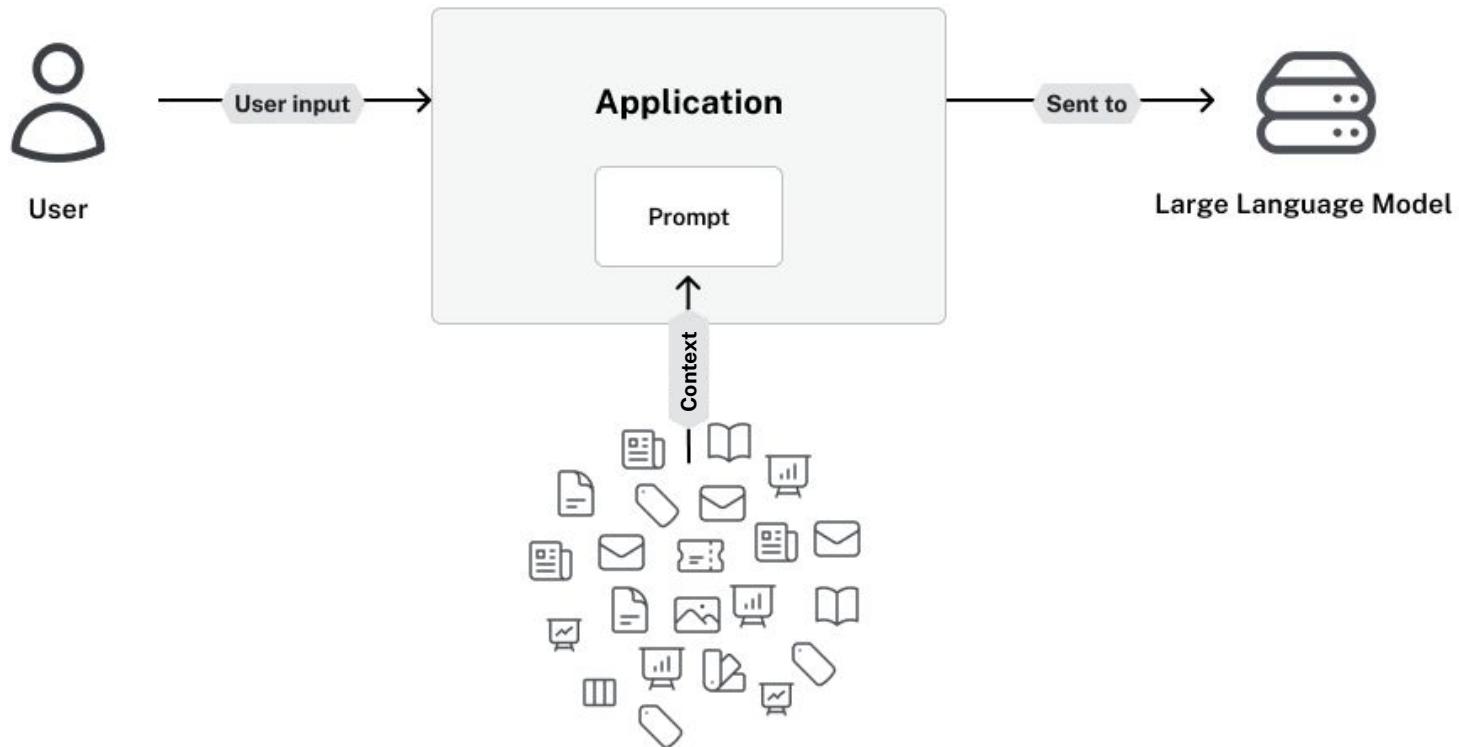
Retrieval augmented generation



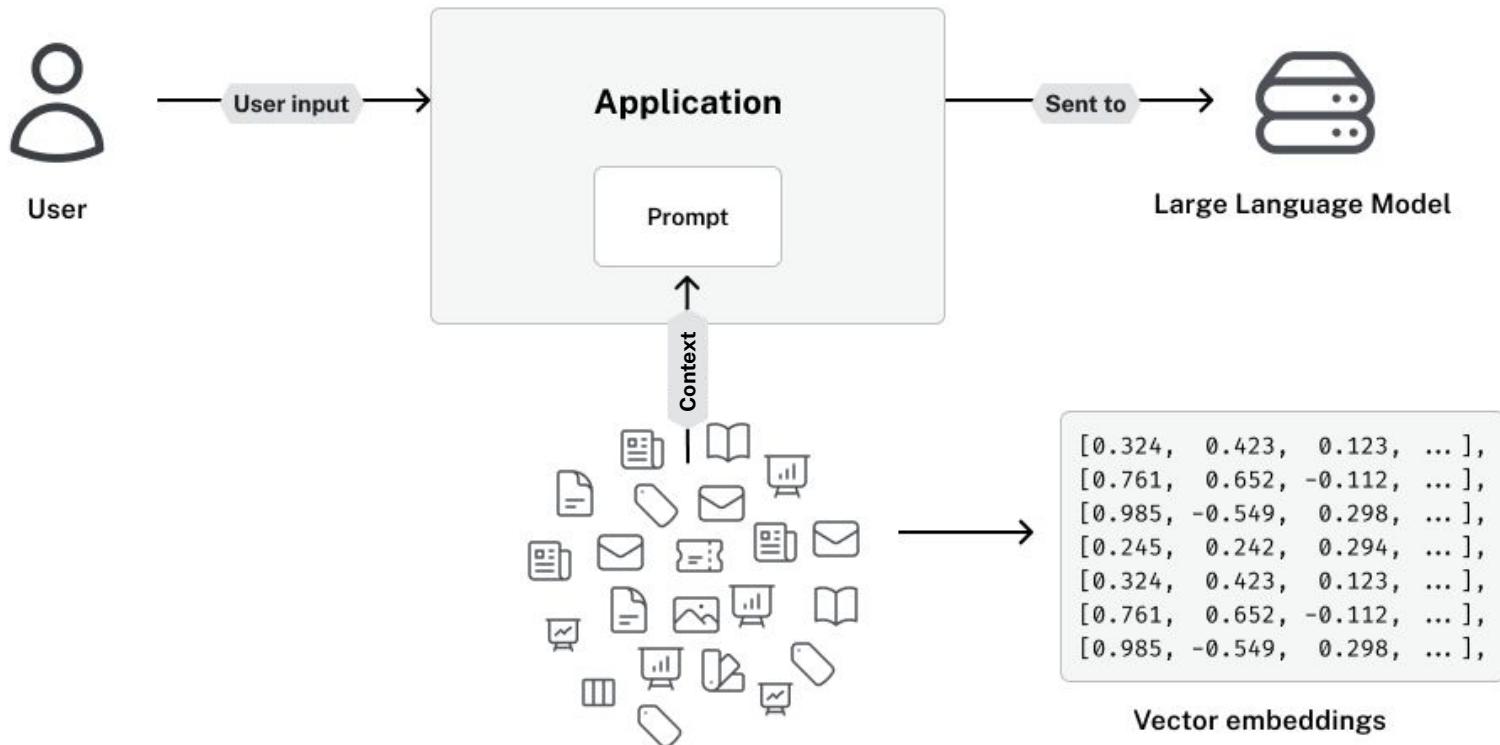
Interacting with LLMs



Interacting with LLMs



Interacting with LLMs



Vector embeddings



Text	Vector embedding
She's just a small town girl	[0.12, -0.34, 0.56, 0.78, ... , -0.91]
Living in a lonely world	[0.22, 0.45, -0.67, 0.11, ... , 0.33]
She took the midnight train	[-0.55, 0.89, 0.12, -0.44, ... , 0.67]
Going anywhere	[0.78, -0.23, 0.45, 0.91, ... , -0.12]

Where vector search fall short



Vectors work well for:

- Contextual or Meaning Based Questions
- Synonyms or Paraphrasing
- Fuzzy or Vague queries
- Broad or Open-Ended questions
- Complex queries with multiple concepts

Vectors are ineffective for:

***What does Paul Graham think about
Generative AI?***

Where vector search fall short



Vectors work well for:

- Contextual or Meaning Based Questions
- Synonyms or Paraphrasing
- Fuzzy or Vague queries
- Broad or Open-Ended questions
- Complex queries with multiple concepts

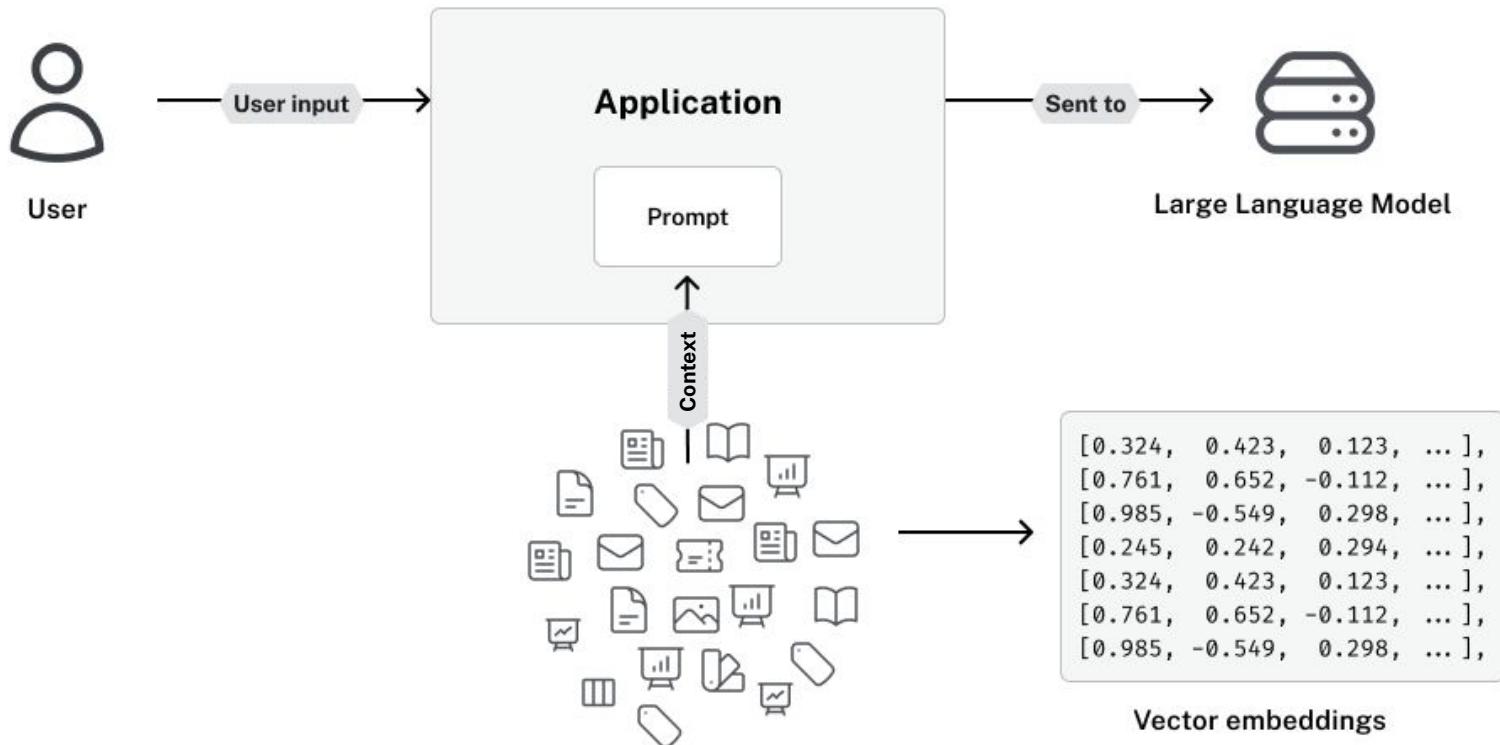
Vectors are ineffective for:

- Highly Specific or Fact-Based Questions
- Numerical or Exact-Match Queries
- Boolean or Logical Queries
- Ambiguous or Unclear Queries without Context
- Specialised Knowledge

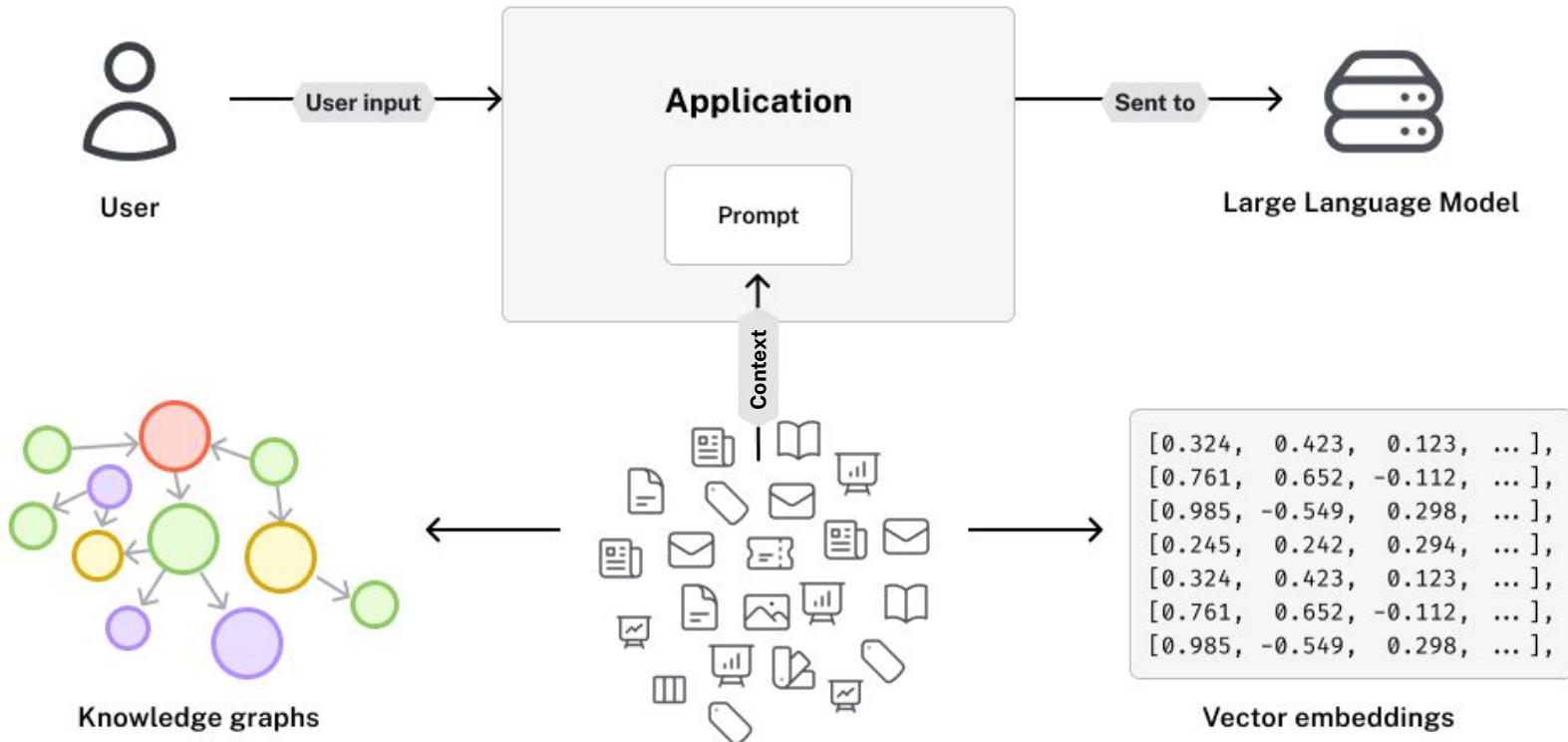
What does Paul Graham think about Generative AI?

How many Generative AI Startups has Paul Graham invested in?

Interacting with LLMs



Interacting with LLMs



Vector embeddings and a knowledge graph

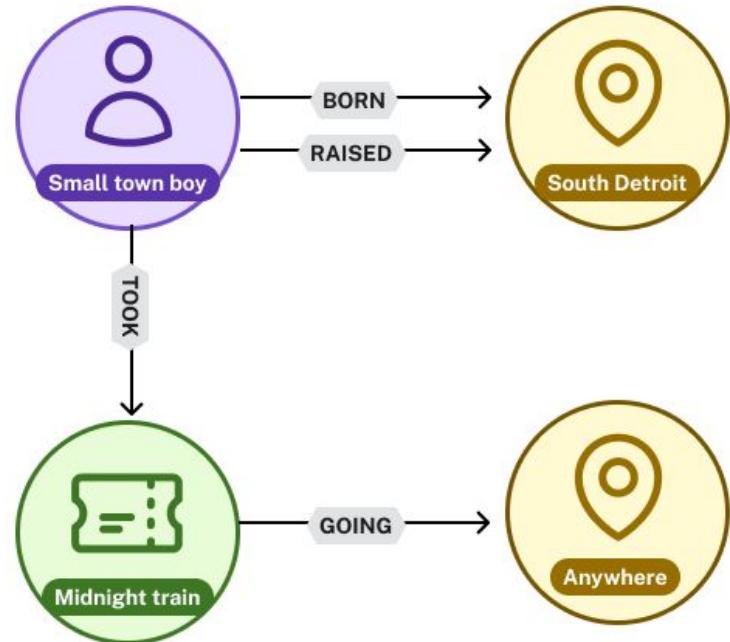


Text	Vector embedding
She's just a small town girl	[0.12, -0.34, 0.56, ..., -0.91]
Living in a lonely world	[0.22, 0.45, -0.67, ..., 0.33]
She took the midnight train	[-0.55, 0.89, 0.12, ..., 0.67]
Going anywhere	[0.78, -0.23, 0.45, ..., -0.12]

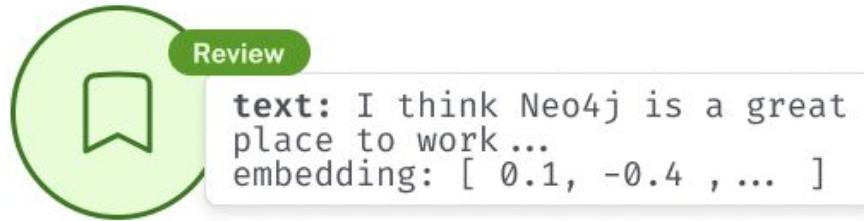
Vector embeddings and a knowledge graph



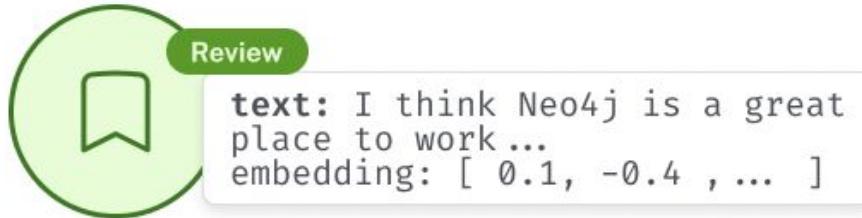
Text	Vector embedding
She's just a small town girl	[0.12, -0.34, 0.56, ..., -0.91]
Living in a lonely world	[0.22, 0.45, -0.67, ..., 0.33]
She took the midnight train	[-0.55, 0.89, 0.12, ..., 0.67]
Going anywhere	[0.78, -0.23, 0.45, ..., -0.12]



Context is key



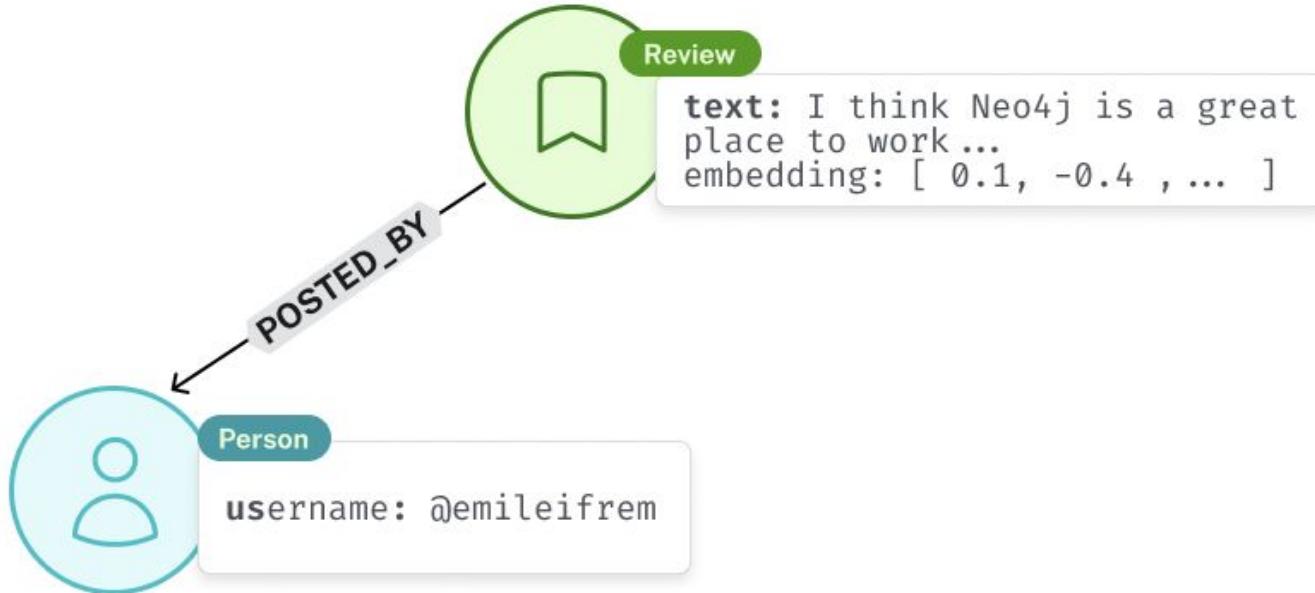
Context is key



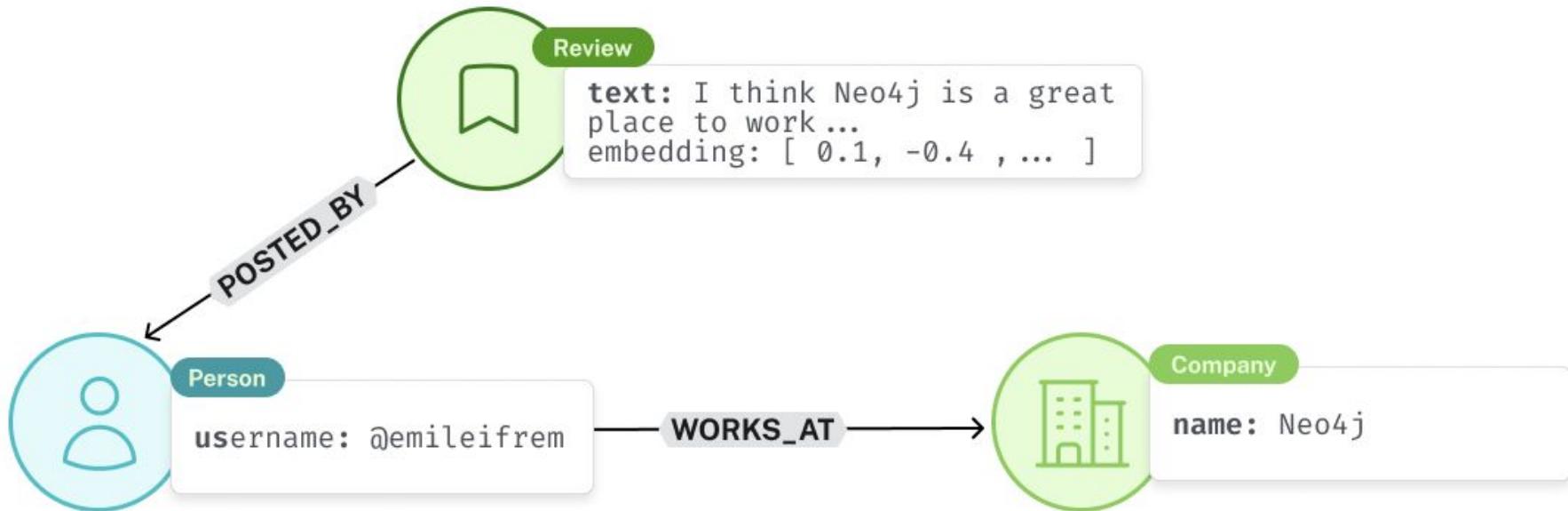
*According to the provided context,
Neo4j is a great place to work...*



Context is key

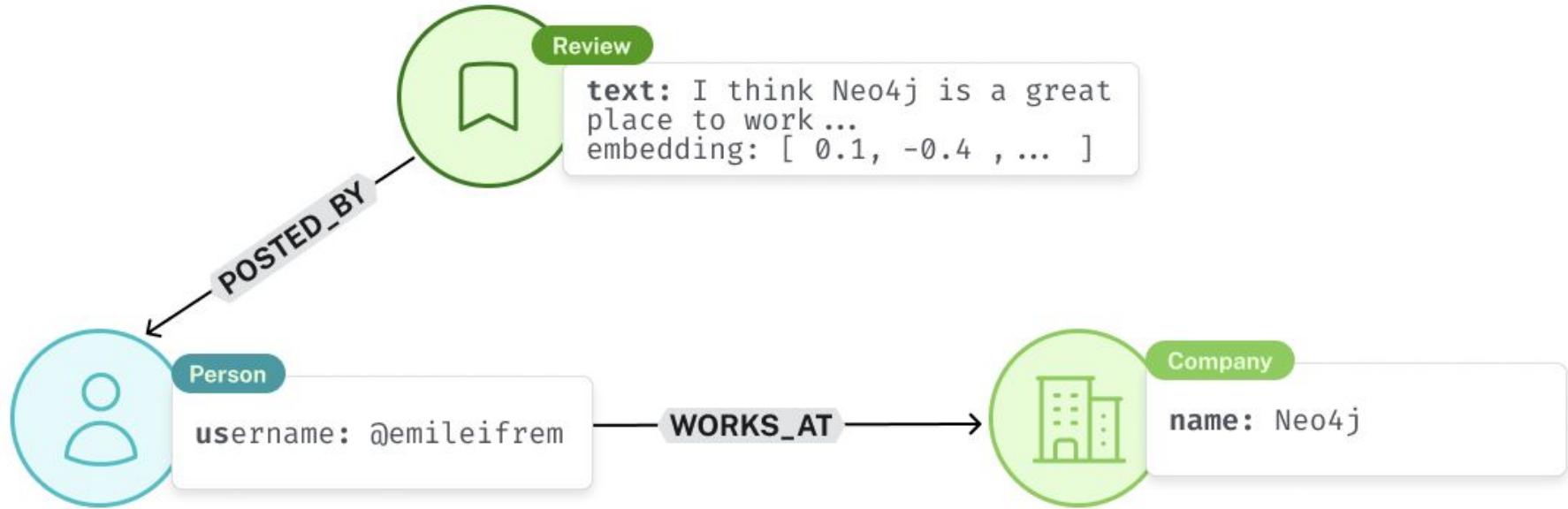


Context is key





Context is key

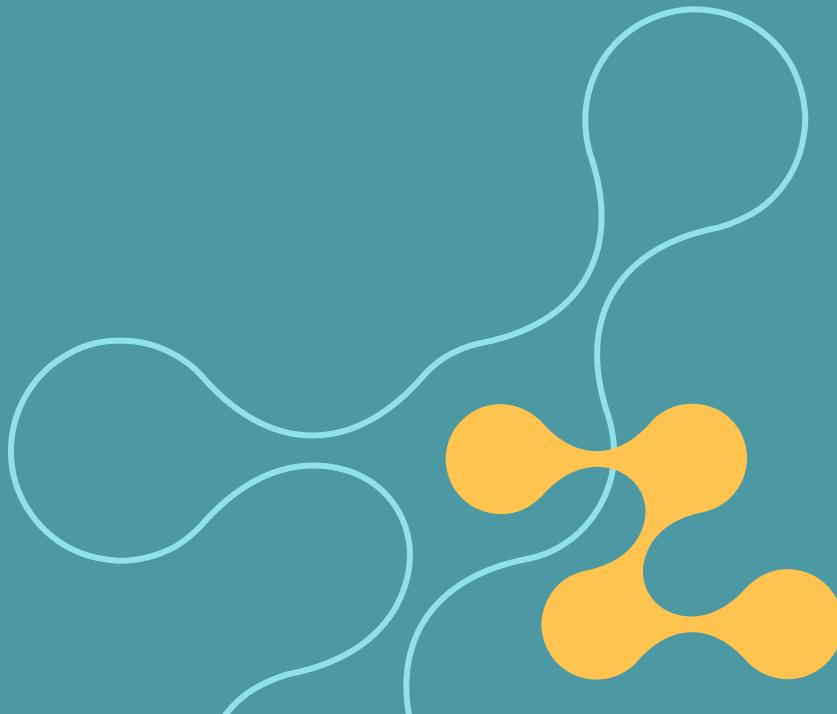


According to **Emil Eifrem, the CEO**,
Neo4j is a great place to work...



Knowledge Graphs

Building Graphs from unstructured data



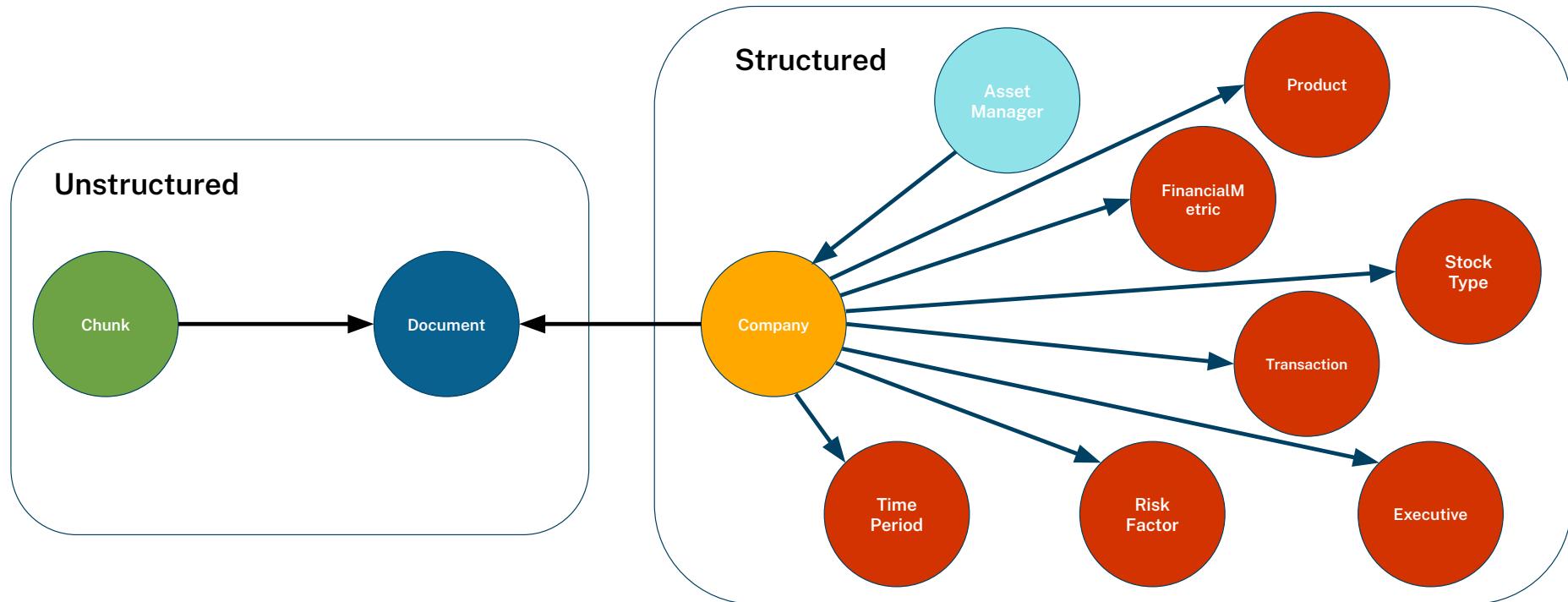


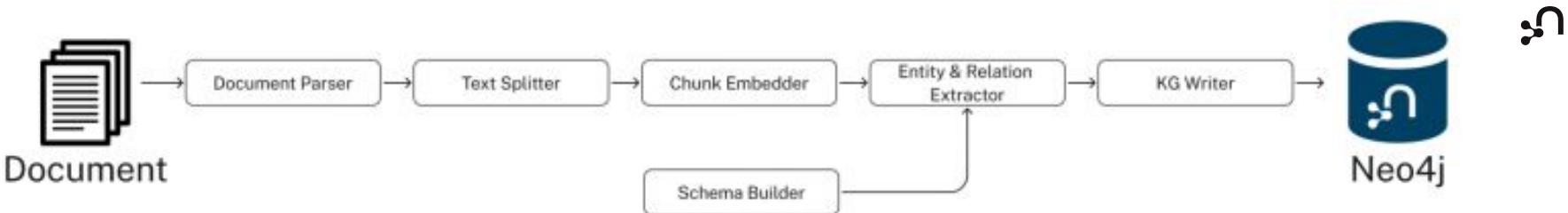
continual improvement in product price and performance characteristics, rapid adoption of technological advancements by competitors, and price sensitivity on the part of consumers and businesses. Many of the Company's competitors seek to compete primarily through aggressive

pricing and very low cost structures, and by imitating the Company's products and infringing on its intellectual property.

[Apple Inc. | 2023 Form 10-K | 2](#)

The Company's ability to compete successfully depends heavily on ensuring the continuing and timely introduction of innovative new products, services and technologies to the marketplace. The Company designs and develops nearly the entire solution for its products, including the hardware, operating system, numerous software applications and related services. Principal competitive factors important to the Company include price, product and service features (including security features), relative price and performance, product and service quality and reliability, design





```

pipeline = SimpleKGPipeline(
    driver=driver, # Neo4j connection driver
    llm=llm, embedder=embedder, # OpenAI llm and embeddings
    entities=entities, relations=relations, # Define schema
    enforce_schema="STRICT",
    prompt_template=prompt_template,
)
# Process the SEC filing documents
pdf_documents = [
    "apple-10K-2023.pdf", "microsoft-10K-2023.pdf",
    # ... more company filings
]
# Run the pipeline to transform PDFs into knowledge graph
for pdf_file in pdf_documents:
    pipeline.run(file_path=pdf_file)

```

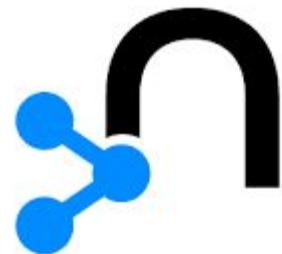
Schema driven extraction



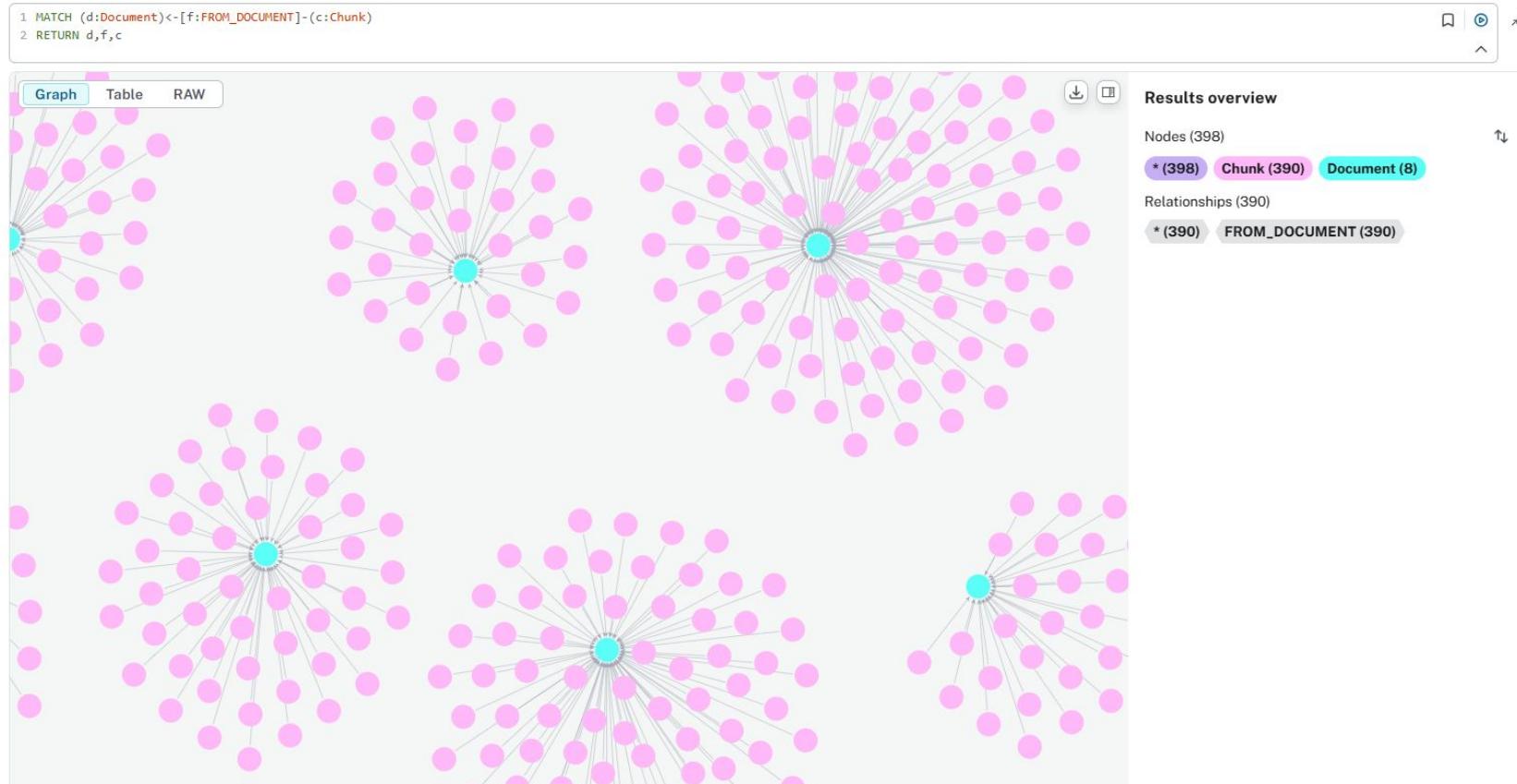
Company	Company HAS_METRIC FinancialMetric
Executive	Company FACES_RISK RiskFactor
Product	Company ISSUED_STOCK StockType
FinancialMetric	Company MENTIONS Product
RiskFactor	
StockType	
Transaction	
TimePeriod	

ج

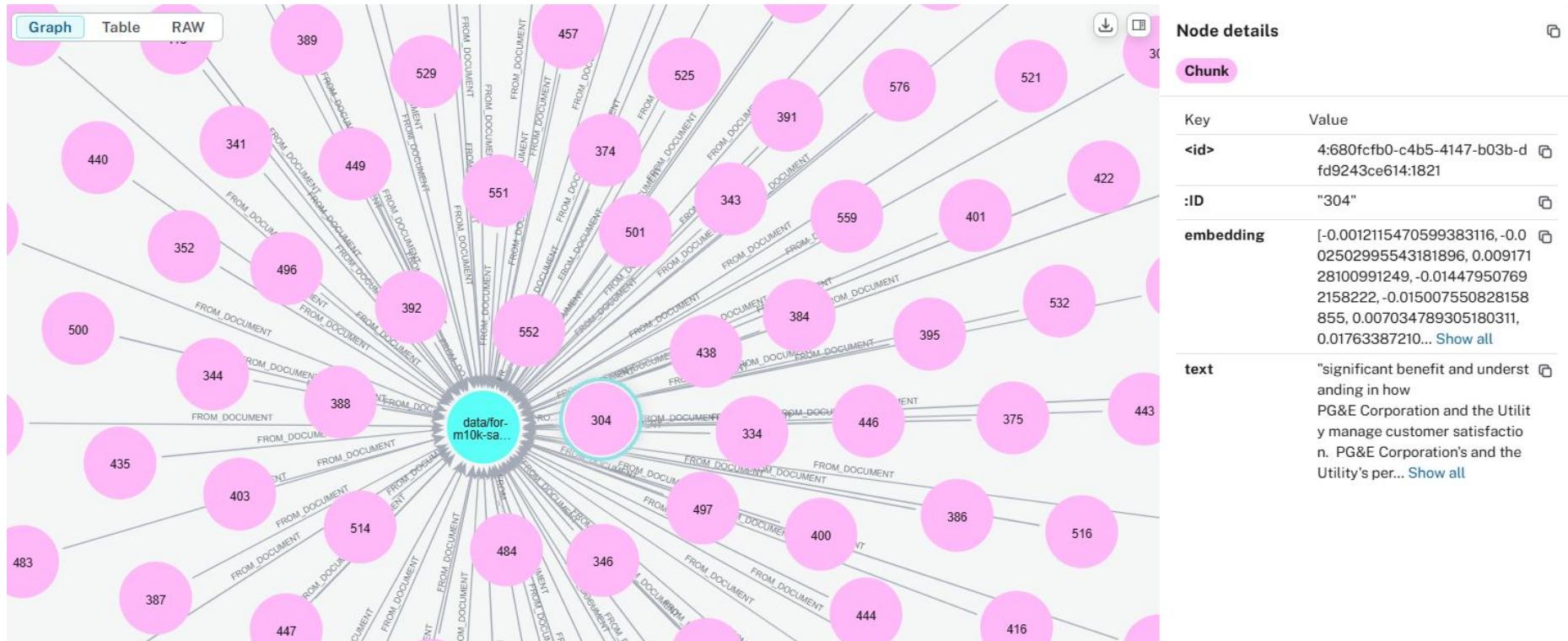
DEMO



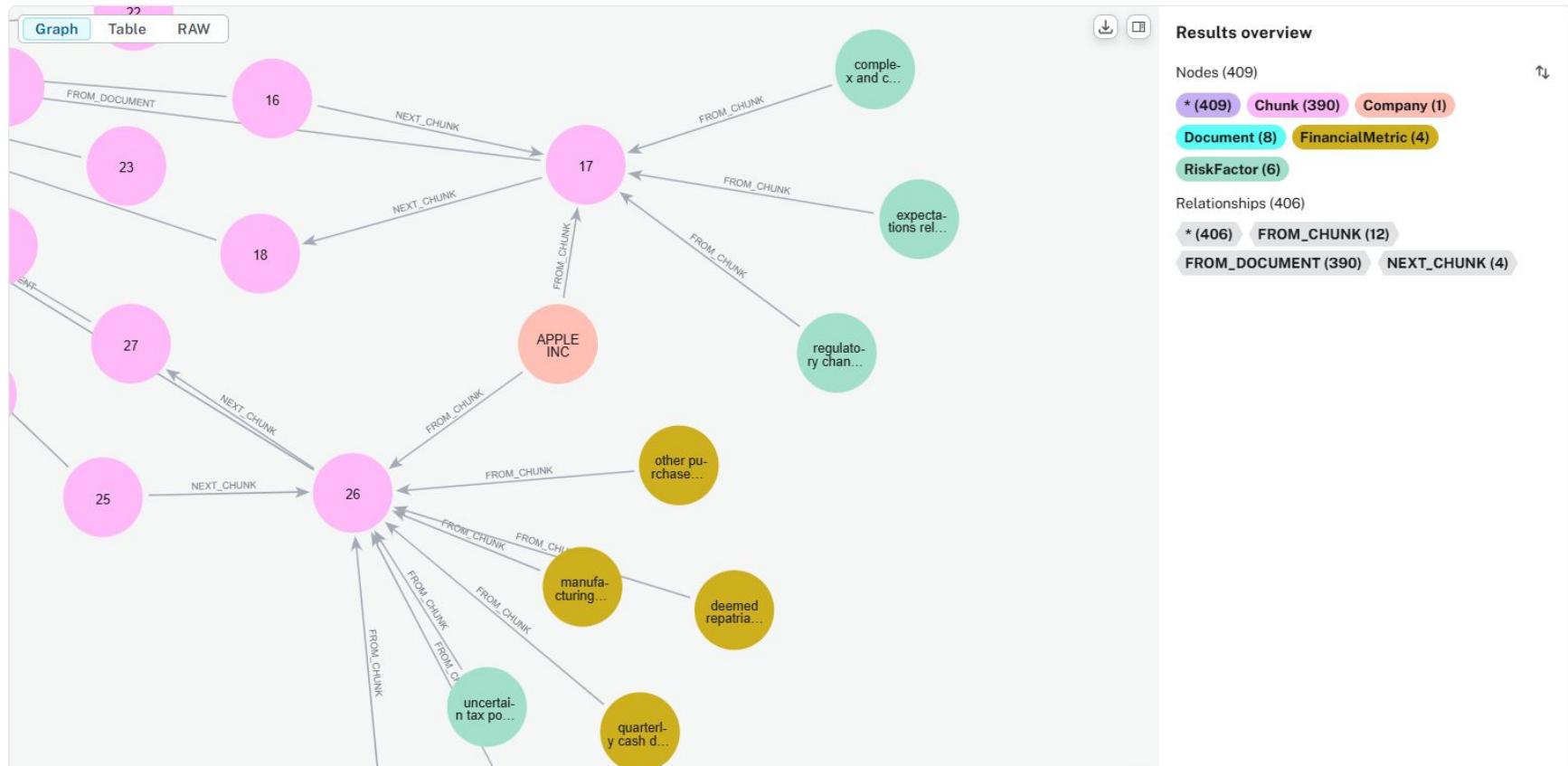
```
MATCH (d:Document)<-[f:FROM_DOCUMENT]-(c:Chunk)  
RETURN d, f, c
```



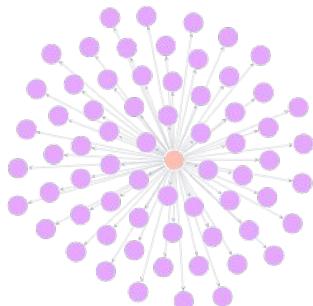
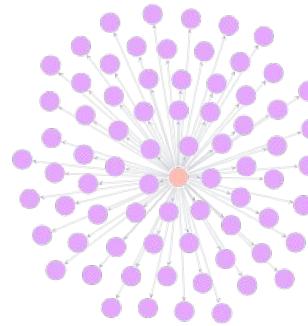
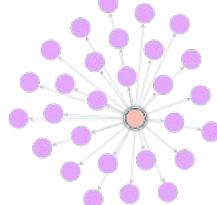
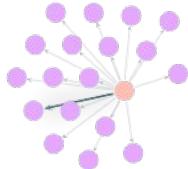
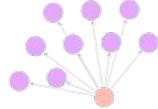
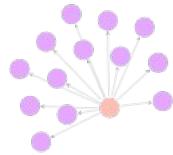
MATCH (d:Document)<-[f:FROM_DOCUMENT]->(c:Chunk)
 RETURN d, f, c



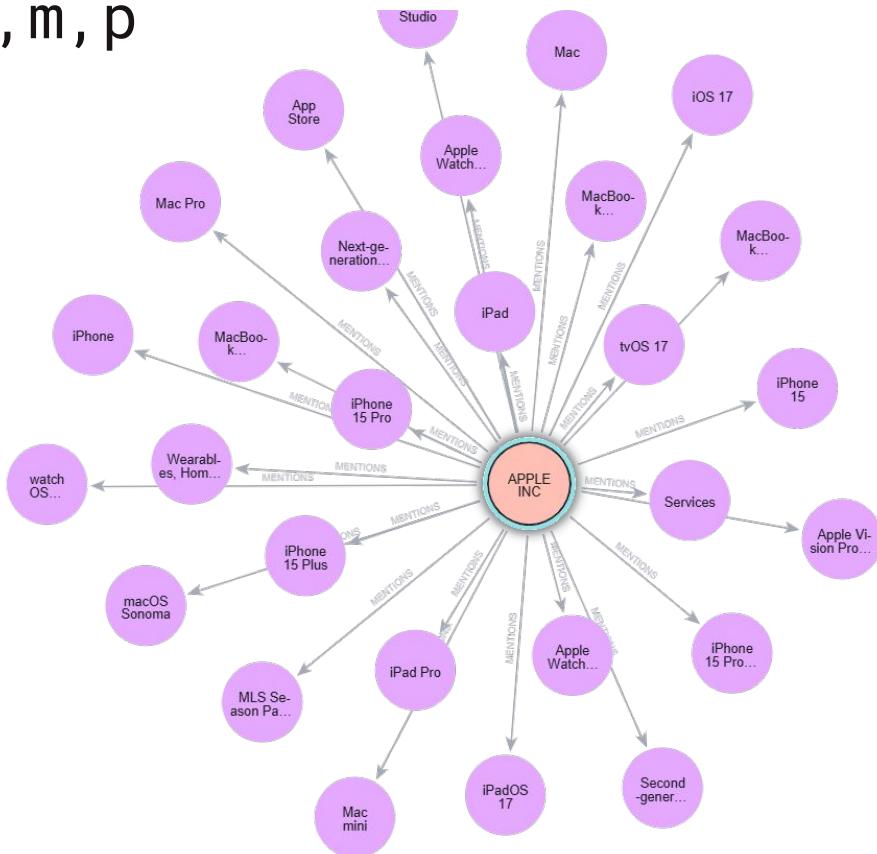
```
MATCH (d:Document)<-[f:FROM_DOCUMENT]-(c:Chunk),  
RETURN d, f, c
```



```
MATCH (c:Company)-[m:MENTIONS]->(p:Product)  
RETURN c, m, p
```



```
MATCH (c:Company)-[m:MENTIONS]->(p:Product)  
RETURN c, m, p
```



```

MATCH (e) WHERE NOT e:Document AND NOT e:Chunk
RETURN
    labels(e) as entityType,
    count(e) as count
ORDER BY count DESC

```

entityType	count
¹ ["RiskFactor"]	820
² ["FinancialMetric"]	470
³ ["Product"]	241
⁴ ["TimePeriod"]	102
⁵ ["Transaction"]	46
⁶ ["Executive"]	29
⁷ ["AssetManager"]	15
⁸ ["Company"]	12
⁹ ["StockType"]	9

```
MATCH (c:Company {name: 'MICROSOFT CORP'})  
-[ :HAS_METRIC]->(m:FinancialMetric)  
RETURN c.name, m.name
```

↙

c.name	m.name
¹ "MICROSOFT COR P"	"Provision for Income Taxes"
² "MICROSOFT COR P"	"Scope 1 emissions"
³ "MICROSOFT COR P"	"Scope 2 emissions"
⁴ "MICROSOFT COR P"	"Scope 3 emissions"
⁵ "MICROSOFT COR P"	"transaction volumes with Black- and African American-owned financial institutions"
⁶ "MICROSOFT COR P"	"\$100 million program focused on mission-driven banks"

```
MATCH (c:Company)-[ :FACES_RISK ]->(r:RiskFactor)  
RETURN c.name, r.name
```

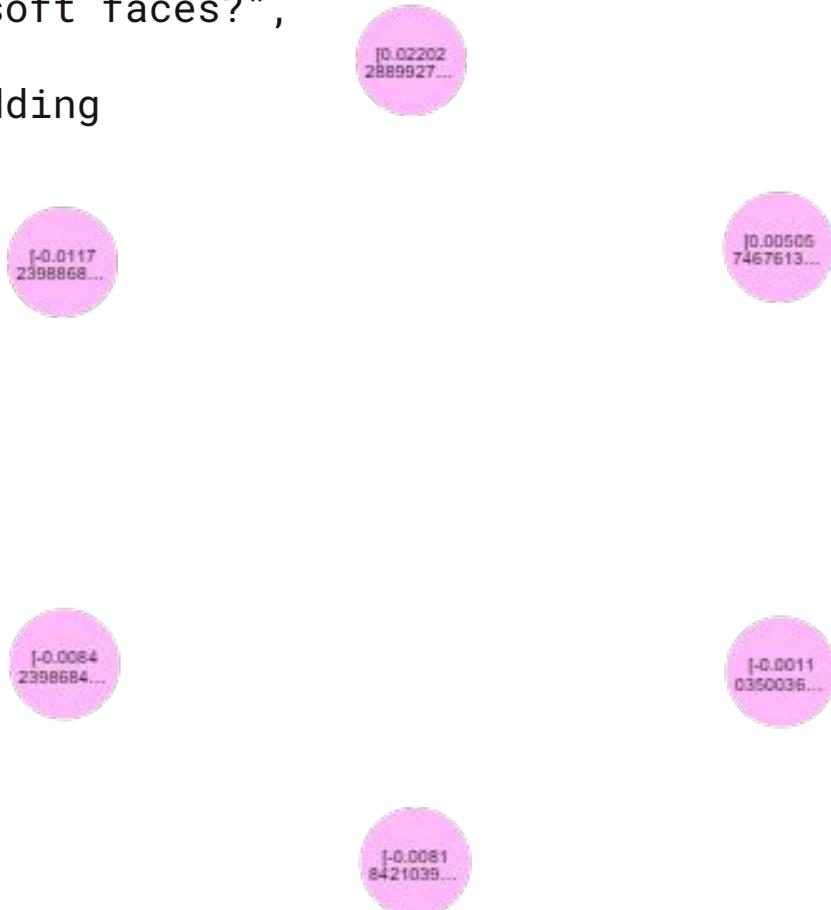
c.name	r.name
1 "APPLE INC"	"Geography"
2 "APPLE INC"	"Aggressive price competition"
3 "APPLE INC"	"Frequent introduction of new products"
4 "APPLE INC"	"Short product life cycles"
5 "APPLE INC"	"Evolving industry standards"
6 "APPLE INC"	"Commodity pricing fluctuations"
7 "APPLE INC"	"Industry-wide shortage and significant commodity pricing fluctuations"
8 "APPLE INC"	"Initial capacity constraints when new technologies are used"
9 "APPLE INC"	"Availability of components at acceptable prices"



```
WITH genai.vector.encode(  
    "What are the risks that Microsoft faces?",  
    "OpenAI",  
    { token: $token }) AS userEmbedding
```

```
CALL db.index.vector.queryNodes(  
    'chunkEmbeddings',  
    6,  
    userEmbedding)
```

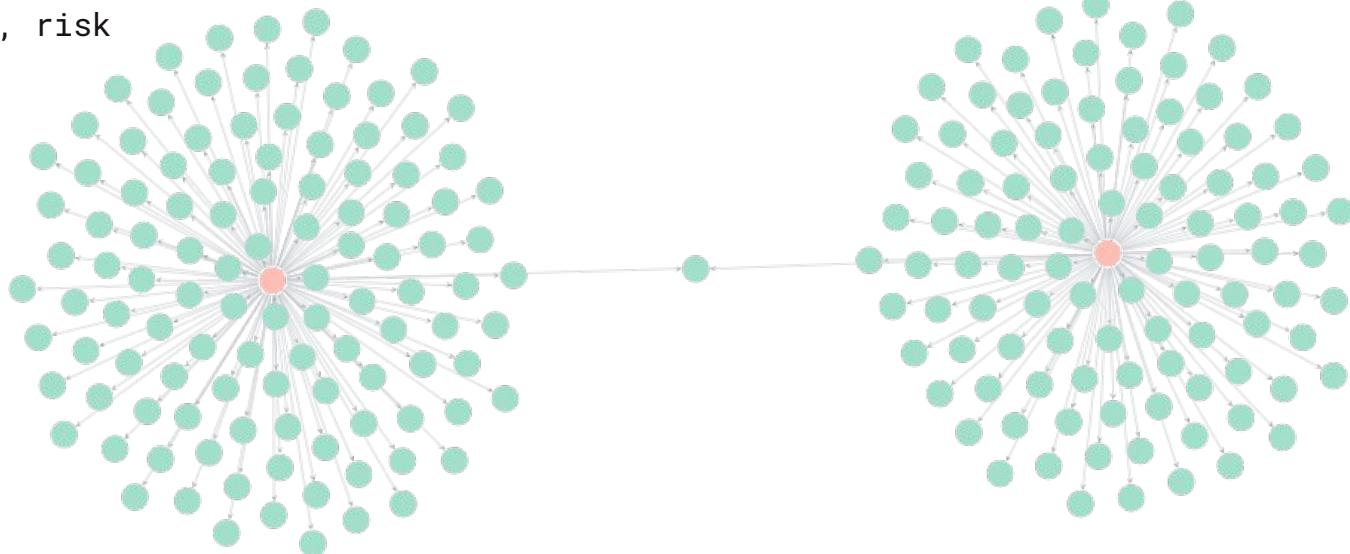
```
YIELD node, score  
RETURN node
```



"time that investors might find useful or interesting.	0.9173431396484 375
- Opportunities to sign up for email alerts to have information pushed in real time.	
We publish a variety of reports and resources related to our Corporate Social Responsibility	
programs and progress on our Reports Hub website,	
www.microsoft.com/corporate-responsibility/reports-hub , including reports on sustainability,	
responsible sourcing, accessibility, digital trust, and public policy engagement.	
The information found on these websites is not part of, or incorporated by reference into, this or any other report we file with, or furnish to, the SEC. In addition to these channels, we use social media to communicate to the public. It is possible that the information we post on social media could be deemed to be material to investors. We encourage investors, the media, and others interested in our company to review our reports and other communications on our Reports Hub website.	



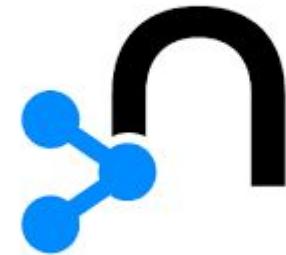
```
WITH genai.vector.encode(  
    "What are the risks that Microsoft faces?",  
    "OpenAI",  
    { token: $token }) AS userEmbedding  
CALL db.index.vector.queryNodes('chunkEmbeddings', 6, userEmbedding)  
YIELD node, score  
  
MATCH (node)-[ :FROM_DOCUMENT]->(doc:Document)-[:FILED]  
-(company:Company)-[fr:FACES_RISK]->(risk:RiskFactor)  
  
RETURN company, fr, risk
```



DEMO



LangChain



Vector



```
# Create Vector
chunk_vector = Neo4jVector.from_existing_index(
    embedding_model,
    graph=graph,
    index_name="chunkEmbeddings",
    embedding_node_property="embedding",
    text_node_property="text"
)
```

```
# Define a tool to retrieve financial documents
@tool("Retrieve-financial-documents")
def retrieve_docs(query: str):
    """Find details about companies in their financial
    documents."""
    # Use the vector to find relevant documents
    context = chunk_vector.similarity_search(
        query,
        k=3,
    )
    return context

# Add the tools to the agent
tools = [retrieve_docs]

agent = create_react_agent(
    model,
    tools
)
```

Microsoft faces a variety of risks that could impact its operations and financial results. These risks are categorized into strategic and competitive risks, operational risks, legal, regulatory, and litigation risks:

1. **Strategic and Competitive Risks:**

- Intense competition across all markets, including technology and platform-based ecosystems.
- Competitors range in size and capabilities, creating barriers and rapid changes in technology.
- Challenges in maintaining scale and profitability in marketplace ecosystems against competitors who control both hardware and software elements.

2. **Operational Risks:**

- Risks related to product design, manufacturing defects, and reliability issues affecting customer trust and potential legal liabilities.
- Supply chain dependencies, including limited suppliers for certain components and potential disruptions that may affect sales and margins.
- Security vulnerabilities in third-party components, leading to potential costs, liability claims, and reputational damage.
- Challenges in protecting personal data from breaches, misuse, or regulatory liabilities. Increased data breaches heighten the risk environment despite security controls.

3. **Legal, Regulatory, and Litigation Risks:**

- Scrutiny from government agencies and regulatory actions related to competition laws, which are actively enforced, particularly in the EU, U.S., and China.
- Potential regulatory restrictions could limit the design and marketing of products or result in fines.
- Risks related to data and privacy, where improper disclosure could harm reputation and lead to legal exposure.
- Challenges in protecting information and content from unauthorized use, which may weaken product value.

These risks highlight several pressures across different dimensions of Microsoft's operations, from competitive challenges and technological innovations to regulatory scrutiny and data security issues.



Vector



```
# Define the retrieval query
retrieval_query = """
MATCH (node)-[:FROM_DOCUMENT]-(doc:Document)-[:FILED]-(company:Company)
RETURN
    node.text as text,
    score,
    {
        company: company.name,
        risks: [ (company:Company)-[:FACES_RISK]->(risk:RiskFactor) | risk.name ]
    } AS metadata
ORDER BY score DESC
"""

```

Create Vector

```
chunk_vector = Neo4jVector.from_existing_index(
    embedding_model,
    graph=graph,
    index_name="chunkEmbeddings",
    embedding_node_property="embedding",
    text_node_property="text",
    retrieval_query=retrieval_query,
)
```

```
# Define a tool to retrieve financial documents
@tool("Retrieve-financial-documents")
def retrieve_docs(query: str):
    """Find details about companies in their financial
    documents."""
    # Use the vector to find relevant documents
    context = chunk_vector.similarity_search(
        query,
        k=3,
    )
    return context

# Add the tools to the agent
tools = [retrieve_docs]

agent = create_react_agent(
    model,
    tools
)
```

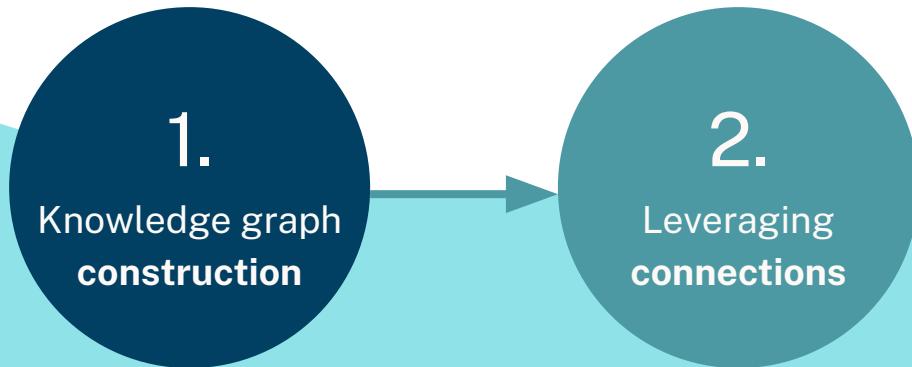
Microsoft faces a variety of risks that can potentially impact its operations and financial health. Key risks include:

1. **Competition Risks**: Intense competition across all markets, especially in the technology sector. Competitors include both global diversified companies and small specialized firms. Competition among platform-based ecosystems and business models also poses a strategic risk.
2. **Technological Risks**: Risks related to the cost of converting ideas into software products, investment in AI development, and open source competition. There are also execution risks in cloud-based services and enhanced risks in specific industries.
3. **Security and Cybersecurity Risks**: Threats include cyberattacks, security vulnerabilities in products and services, and data breaches. Specific concerns are nation-state attacks, supply chain cyberattacks, and emerging cybersecurity regulations.
4. **Legal and Regulatory Risks**: Includes compliance issues, such as non-compliance with data privacy laws (like GDPR), legal challenges to data transfer frameworks, anti-corruption law violations, and increased costs or fines from trade laws.
5. **Geopolitical and Economic Risks**: These include geopolitical instability, regional epidemics or global pandemics, climate change, and adverse economic or market conditions.
6. **Operational Risks**: Risks associated with supply chain disruptions, quality or supply problems with hardware products, and design defects leading to product liability.
7. **Financial Risks**: Currency risks, interest rate risks, market price risks, and credit exposures. There are unresolved IRS audit issues related to transfer pricing and income taxes in various jurisdictions.
8. **AI and Innovation Risks**: Risks from AI scenarios include reputational or competitive harm, ineffective AI development or deployment practices, ethical issues, and broad societal impacts.
9. **Brand and Reputational Risks**: Reputational harm from GDPR violations, infringement claims, or data privacy and security concerns.

These risks are detailed in Microsoft's financial documents and reflect the comprehensive challenges the company faces in today's dynamic and complex environment.



GraphRAG



Extracting
entities and
relationships
from
unstructured text

Using
relationships to
provide context
and answer
questions

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- Building Neo4j Applications with .NET**: Learn how to interact with Neo4j from .NET using the Neo4j .NET Driver. Duration: 30 minutes.
- Building Neo4j Applications with Go**: Learn how to interact with Neo4j from your Go application using the Neo4j Go Driver. Duration: 1 hour.
- Building Neo4j Applications with TypeScript**: Learn how to interact with Neo4j in your TypeScript project using the Neo4j JavaScript Driver. Duration: 1 hour.



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